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# Modeling Coupled Evaporation and Seepage in Ventilated Tunnels

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**ABSTRACT**

1  
2       Tunnels excavated in unsaturated geological formations are important to activities such  
3 as nuclear waste disposal and mining. Such tunnels provide a unique setting for simultaneous  
4 occurrence of seepage and evaporation. Previously, inverse numerical modeling of field liquid-  
5 release tests and associated seepage into tunnels were used to provide seepage-related large-scale  
6 formation properties by ignoring the impact of evaporation. The applicability of such models was  
7 limited to the narrow range of ventilation conditions under which the models were calibrated.  
8 The objective of this study was to alleviate this limitation by incorporating evaporation into the  
9 seepage models. We modeled evaporation as an isothermal vapor diffusion process. The semi-  
10 physical model accounts for the relative humidity, temperature, and ventilation conditions of the  
11 tunnels. The evaporation boundary layer thickness (BLT) over which diffusion occurs was  
12 estimated by calibration against free-water evaporation data collected inside the experimental  
13 tunnels. The estimated values of BLT were 5 to 7 mm for the open underground tunnels and 20  
14 mm for niches closed off by bulkheads. Compared to previous models that neglected the effect of  
15 evaporation, this new approach showed significant improvement in capturing seepage  
16 fluctuations into open tunnels of low relative humidity. At high relative- humidity values, the  
17 effect of evaporation on seepage was very small.

18

## INTRODUCTION

18  
19           Seepage of liquid water into tunnels is an important phenomenon for subsurface activities  
20 such as mining and geologic disposal of nuclear wastes. A key factor affecting the long-term  
21 safety of the proposed nuclear waste repository at Yucca Mountain (YM), Nevada, is the seepage  
22 of liquid water into waste emplacement tunnels. The rate, chemical composition, and spatial and  
23 temporal distributions of seepage are critical factors that determine corrosion of waste canisters,  
24 integrity of engineered barriers, and dissolution and mobilization of contaminants and their  
25 release to groundwater (Bodvarsson et al., 1999; Finsterle et al., 2003). In unsaturated  
26 formations, capillary forces hold the pore water tightly in the formation and prevent it from  
27 seeping by gravitational forces into the tunnel – the invisible barrier created by the capillary  
28 force is commonly known as a “capillary barrier.” Philip and co-workers (Knight et al., 1989;  
29 Philip, 1989a; Philip, 1989b; Philip et al., 1989a; Philip et al., 1989b) considered steady-state  
30 unsaturated flow around capillary barriers and provided analytical solutions for the critical  
31 conditions that trigger seepage into various idealized tunnel geometries excavated in  
32 homogeneous formations. Detailed numerical models have been used to study unsaturated flow  
33 in heterogeneous fractured media and seepage into tunnels of various geometries under transient  
34 conditions (e.g., Birkholzer et al., 1999; Finsterle, 2000; Finsterle and Trautz, 2001; Li and  
35 Tsang, 2003). Site-specific seepage models for the nuclear waste repository at Yucca Mountain,  
36 Nevada were developed by calibrating the effective seepage-related parameters against field  
37 seepage test data (Finsterle et al., 2003).

38           Most of the previous numerical models assumed that liquid water leaking into a tunnel  
39 drips (seeps) immediately at the place of entry. The potential for evaporation to compete with  
40 seepage has been generally ignored, and its effect was lumped with the effective flow parameters



64 area of the ambient air. Accurate modeling of these coupled processes is difficult for several  
65 reasons: (1) the first step is a non isothermal phenomenon, and the parameters that govern this  
66 process are strongly temperature dependent; (2) the vapor concentration gradient in the boundary  
67 layer is strongly influenced by the air flow regime; and (3) the air flow depends on among other  
68 things the ambient wind velocity and the roughness of the evaporating surface.

69 Ho (1997) and Or and Ghezzehei (2000) modeled evaporation from individual water  
70 droplets attached to tunnel ceilings, assuming constant temperature and humidity conditions.  
71 However, the scale of their approach is too small to be incorporated into the larger scale seepage  
72 models that represent the discrete dripping process as a continuum flow. Therefore, the  
73 evaporation model required in this study should be of an intermediate scale and be compatible  
74 with the existing seepage models. The formulation used herein capitalizes on the observed  
75 dependence of evaporation rate on tunnel humidity and ventilation conditions, and the  
76 availability of high resolution time-series data of relative humidity, temperature and free-water  
77 evaporation rate (Trautz and Wang, 2002).

78 In the following subsections, we introduce an isothermal vapor diffusion model of  
79 evaporation and define the problem domain and boundary conditions. This is followed by  
80 estimation of the evaporation model parameters, using free-water evaporation data. Finally, a  
81 remark on evaporation from porous surface is provided.

### 82 **Isothermal Vapor Diffusion Model**

83 To simplify the first step of evaporation (vaporization) we assume the following: (1) the  
84 absorption of latent heat and its effect on the physical properties of the liquid-vapor interface are  
85 ignored; (2) the time dependence of the vaporization process (e.g., Zhang and Wang, 2002;  
86 Zhang et al., 2001) is neglected; and (3) the vapor partial pressure of the interfacial air is

87 assumed to be under thermodynamic equilibrium. At equilibrium, the air above a flat surface of  
 88 pure water is considered saturated with vapor; its vapor pressure is denoted by  $p_s$ . This  
 89 saturation vapor pressure rises with temperature. In the temperature range of  $-10^{\circ}\text{C}$  to  $50^{\circ}\text{C}$ , the  
 90 saturation vapor pressure is related to interfacial temperature by (Murray, 1966):

$$91 \quad \ln p_s = a \frac{T}{T+b} + c \quad [1]$$

92 where  $a = 21.87$ ,  $b = 265.5^{\circ}\text{C}$  and  $c = 6.41$  are constants, and  $T$  is the interfacial temperature.  
 93 For non-flat interfaces (such as capillary menisci) the actual interfacial vapor pressure  $p$  is  
 94 related to the interfacial capillary potential by the classic Kelvin equation,

$$95 \quad \ln \left( \frac{p}{p_s} \right) = P_C \cdot \frac{M_W}{\rho_W R T} \quad [2]$$

96 where  $P_C$  is the capillary pressure,  $\rho_W$  and  $M_W$  are the density and molecular mass of liquid  
 97 water, respectively, and  $R$  is the universal gas constant. Note that the relative humidity of air is  
 98 defined as the ratio of the actual partial pressure ( $p$ ) to the saturated vapor pressure ( $p_s$ )

$$99 \quad h = p/p_s \quad [3]$$

100 The second step of evaporation, vapor removal from the interface, is modeled as a first-  
 101 order phenomena described by Fickian diffusion (Rohsenow and Choi, 1961). In one dimension  
 102 and under constant temperature, the vapor flux ( $J_v$ ) is given by

$$103 \quad J_v|_T = -D_v \cdot \frac{dC}{dz} \quad [4]$$

104 where  $D_v$  is the vapor diffusion coefficient, which is related to the ambient air pressure ( $P$ ) and  
 105 air temperature ( $T$ ) by

106 
$$D_V = 2.13 \times 10^{-5} \frac{10^5}{P} \left( \frac{T}{273.15} \right)^{1.8} \quad [5]$$

107 and the vapor concentration  $C$  is related to vapor pressure by

108 
$$C = \frac{M_W}{RT} \cdot p \quad [6]$$

109 In the subsequent subsection, we define the problem domain and develop the appropriate  
110 boundary conditions needed to solve the vapor diffusion equation [4].

### 111 **Velocity and Concentration Boundary Layers**

112 In admitting diffusive flux as the primary mechanism for vapor removal from the  
113 evaporating surface, we tacitly assume that airflow above the evaporating surface is fully  
114 developed and laminar, as illustrated in Fig. 1a. The free-stream air velocity ( $V^\infty$ ) is retarded in  
115 the vicinity of the evaporating surface because of frictional resistance. The air velocity parallel to  
116 the evaporating surface increases from  $V = 0$  at  $z = 0$  (no-slip) asymptotically to  $V = V^\infty$  at a  
117 distance sufficiently far away from the surface. For fully laminar flow conditions, the thickness  
118 of the boundary layer of retarded velocity (defined as  $V \leq 0.99V^\infty$ ) is inversely proportional to  
119 the square root of the free-stream velocity (Rohsenow and Choi, 1961):

120 
$$\delta_V \propto 1/\sqrt{V^\infty} \quad [7]$$

121 Because the equations that describe laminar air flow parallel to a flat surface and  
122 diffusion from a flat surface are analogous (Rohsenow and Choi, 1961), a similar notion of  
123 concentration boundary layer holds near the evaporating surface. The vapor concentration profile  
124 is illustrated in Fig. 1b. The vapor concentration decreases from an equilibrium value ( $C = C^0$ ) at  
125  $z = 0$  to a value determined by the free-stream humidity at sufficiently far distance. The



126 concentration boundary layer thickness ( $\delta_C$ ) is related to the velocity boundary layer thickness  
 127 by the Schmidt number,

$$128 \quad Sc = \frac{\delta_C}{\delta_V} = \frac{\mu_a}{\rho_a \cdot D} \quad [8]$$

129 where  $\mu_a$  and  $\rho_a$  are the viscosity and density of air, respectively. At 20 °C and 1 atm pressure,  
 130 the Schmidt number is approximately unity. In the remainder of this paper the subscripts in the  
 131 boundary layer thickness are dropped and  $\delta = \delta_V = \delta_C$ . It is evident from [7] and [8] that the  
 132 concentration BLT ( $\delta$ ) is inversely related to the square root of the free-stream velocity ( $V^\infty$ )  
 133 and can serve as a direct measure of the tunnel ventilation condition. In a subsequent subsection,  
 134 estimation of the BLT will provide further elaboration on the dependence of  $\delta$  on ventilation  
 135 conditions.

136 Fig. 1. Schematic description of (a) air velocity and (b) vapor concentration profiles above a  
 137 free water surface

### 138 **Boundary Conditions**

139 The domain of the vapor diffusion equation [4] is the concentration boundary layer  
 140 introduced in the preceding subsection. The boundary condition on [4] corresponding to the  
 141 equilibrium vapor concentration at the evaporating surface ( $z = 0$ ) is given by (using [2] and  
 142 [6]):

$$143 \quad C = C^0 = \frac{M_W}{R T} p_s \exp \left[ \frac{P_C}{\rho_W} \frac{M_W}{R T} \right] \quad [9]$$

144 The second boundary condition is at the border of the concentration boundary layer  $z = \delta$ , where  
 145 the vapor concentration is defined by the relative humidity ( $h$ ) of the ambient air:

146 
$$C = C^\infty = \frac{M_W}{R T} p_s h \quad [10]$$

147 If the boundary conditions change slowly, the evaporation rate can be considered to be at  
148 steady state and the concentration gradient  $\mathbf{d}C/\mathbf{d}z$  is constant throughout the boundary layer.  
149 Then, the steady state vapor diffusion equation [4] under isothermal conditions is simplified to

150 
$$J_V = -D_v \cdot \frac{C^0 - C^\infty}{\delta} \quad [11]$$

151 Note that the ratio  $\delta/D_v$  is commonly referred to as aerodynamic resistance. The isothermal  
152 vapor diffusion equation [11] is considered valid for modeling evaporation from tunnel surfaces  
153 and free water. Fujimaki and Inoue (2003) found [11] (also known as the bulk transfer equation)  
154 to be valid in laboratory evaporation experiments in which the ambient air velocity was on the  
155 order of 1 m/s. All the variables of this model are directly related to physical conditions in the  
156 tunnel, and all of them, except  $\delta$ , can be independently determined from measured quantities.  
157 The boundary-layer thickness ( $\delta$ ) can be estimated by calibrating [11] against free water  
158 evaporation data, as discussed in the next subsection.

### 159 **Estimation of the Boundary-Layer Thickness**

160 Apart from the capillary pressure at the evaporating surface, evaporation from free water  
161 and that from a wet porous surface are thus far assumed to be identical processes. Therefore, a  
162 controlled evaporation experiment from a still water surface can be used to estimate the vapor  
163 concentration boundary layer thickness, which is also applicable to evaporation from wet tunnel  
164 surfaces at similar ventilation conditions. Upon substitution of [1], [5], [9], and [10] in [11], and  
165 noting that the capillary pressure of the free water surface is  $P_C = 0$ , we arrive at a free-water  
166 evaporation equation,

$$J_V = -2.13 \times 10^{-5} \frac{10^5}{P} \left( \frac{T}{273.15} \right)^{1.8} \cdot \left( a \frac{T}{T+b} + c \right) \cdot \frac{M_w}{RT} \frac{1-h}{\delta} \quad [12]$$

167  
168 According to the isothermal assumption,  $T$  denotes the temperature of the evaporating surface  
169 and the surrounding air. Assuming the change in conditions that affect evaporation rate is slow  
170 compared to the time it takes to reach steady-state evaporation, [12] can be fitted to time-series  
171 data of evaporation rate data, measured at known temperature, pressure, and relative humidity  
172 conditions. The best-fit  $\delta$  represents the boundary-layer thickness at the prevailing ventilation  
173 condition. However, it should also be noted that uncertainties associated with the assumed  
174 simplifications (including isothermal conditions, flat evaporating surface, and laminar airflow)  
175 are lumped in this parameter. Thus, the boundary-layer thickness should be considered an  
176 effective parameter.

### 177 **Evaporation from Porous Surface**

178 The surface of an unsaturated porous medium typically consists of solid (matrix of the  
179 medium) and pore/fracture (liquid and gas) components, rendering the evaporating surface  
180 heterogeneous with respect to vapor concentration, as illustrated in Fig. 2a. During seepage,  
181 however, tunnel ceilings are usually covered with liquid films (e.g., Trautz and Wang, 2001), and  
182 the vapor concentration could be considered locally homogeneous. For simplicity, we extend  
183 this assumption of locally uniform distribution of vapor concentration to the entire tunnel Fig.  
184 2b. The vapor concentration at any given location on the tunnel is assumed to be at capillary  
185 equilibrium with the pores and fractures of the porous medium. The datum  $z = 0$  for the vapor  
186 diffusion is set on the surface of the tunnel (as illustrated in Fig. 2b). Although this assumption is  
187 likely to fail at very low saturations (when the liquid is scattered in a few fine pores and

188 fractures) it is expected to be of marginal consequence because the evaporation rate under such  
189 conditions is very low.

190 Fig. 2. Evaporating surface area of a porous medium: (a) partitioning of the surface into non-  
191 evaporating solid and evaporating pores; (b) proposed approach of uniform gas-phase  
192 surface. The dark shade denotes vapor in pores and/or fractures.

### 193 **COUPLED SEEPAGE AND EVAPORATION**

194 In a tunnel constructed in unsaturated formations, the flow velocity of water in the rock is  
195 usually stagnated near the crown, resulting in elevated moisture (Philip et al., 1989b). Unlike  
196 evaporation from ground surface, where infiltration opposes the evaporation flux, the condition  
197 in tunnels is favorable for simultaneous occurrence of evaporation and seepage. Field tests that  
198 exhibit simultaneous evaporation and seepage are described below. After field test descriptions,  
199 we present a brief description of seepage modeling using the numerical simulators TOUGH2  
200 (Pruess et al., 1999) and iTOUGH2 (Finsterle, 1999) and discuss implementation of evaporation  
201 in these models.

#### 202 **Field Tests**

203 The data reported in this paper were obtained from field tests and measurements  
204 conducted at the proposed nuclear waste repository at Yucca Mountain currently under  
205 investigation by the US Department of Energy (DOE). Air-injection tests were conducted to  
206 characterize the permeability and small-scale heterogeneities of the formation, and liquid-release  
207 tests were performed to study seepage phenomena. Relative humidity, temperature, and free-  
208 water evaporation were monitored at the test site to assess the evaporation conditions. Detailed  
209 description of the site and tests conducted at the site are provided elsewhere (Birkholzer et al.,

210 1999; Bodvarsson et al., 1999; Finsterle and Trautz, 2001; Finsterle et al., 2003; Trautz and  
211 Wang, 2001; Trautz and Wang, 2002; Wang et al., 1999). This study is concerned with the lower  
212 lithophysal welded tuff unit at Yucca Mountain, in which about 80% of the proposed repository  
213 is expected to reside. This unit contains many small fractures (less than 1 m long) and is  
214 interspersed with numerous lithophysal cavities (0.15 m–1 m in diameter).

215 In the lower lithophysal unit, an 800-m long drift (5 m in diameter) for enhanced  
216 characterization of the repository block (ECRB) was excavated off the main Exploratory Studies  
217 Facility (ESF) tunnel. Liquid-release and air-injection tests were systematically conducted in this  
218 ECRB Cross Drift along boreholes drilled into the ceiling of the Cross Drift at regular intervals.  
219 Similar tests were conducted in a short (approximately 15 m long) drift excavated off the Cross  
220 Drift (niches). Schematic alignment of the tunnels is shown in Fig. 3a. This paper is concerned  
221 with tests conducted at a Cross Drift borehole designated as LA#2 (Fig. 3b) and a short drift  
222 known as Niche 5 (Fig. 3c). The tests and measurements conducted in the Cross Drift and Niche  
223 5 are briefly described below.

#### 224 **Air-injection tests**

225 The purpose of the air-injection tests was to estimate absolute permeability of the  
226 formation as a basis for the stochastic generation of heterogeneous permeability fields. Short  
227 sections of the boreholes (0.3 m in Niche 5, 1.8 m in Cross Drift) were isolated using an  
228 inflatable packer system, and compressed air was injected. Air injection was terminated when  
229 steady-state pressure was reached. Air-permeability values were derived from the steady-state  
230 pressure data according to an analytical solution of LeCain (1995). Permeabilities determined  
231 from air-injection tests were considered representative of the absolute permeability of the test  
232 interval.

### 233 **Liquid-release Tests**

234           Liquid release tests were conducted in boreholes drilled above tunnels to evaluate  
235 seepage into waste emplacement drifts. The alignment of the boreholes and test intervals are  
236 schematically shown in Fig. 3. The liquid release boreholes in the Cross Drift were  
237 approximately 20 m long, drilled into the ceiling of the Cross Drift at a nominal inclination of  
238 15° from the horizontal. Liquid release data from a borehole designated as LA#2 were used in  
239 this study. The borehole was partitioned into three zones (designated as Zone 1, Zone 2, and  
240 Zone 3) available for liquid release testing. The distances from the middle of the liquid-release  
241 zones to the drift crown were 1.58 m, 2.84 m, and 4.10 m for Zone 1, Zone 2, and Zone 3,  
242 respectively. The liquid release boreholes in Niche 5 were near horizontal. Of the six boreholes  
243 available for tests, data from boreholes #4 and #5 were used in this study. The liquid release tests  
244 were performed by injecting water into a test interval isolated by inflated rubber packers. Water  
245 that seeped into the tunnels was captured and measured using automated recording devices.

### 246 **Relative Humidity and Temperature Measurements**

247           The Cross Drift was actively ventilated during regular working hours, thus the relative  
248 humidity of the tunnel was usually low. To mitigate the effect of evaporation in the seepage  
249 process, the seepage collection interval was guarded using curtains on both ends. Because Niche  
250 5 was isolated from the actively ventilated Cross Drift by a bulkhead, the relative humidity was  
251 relatively high. To aid in the estimation of evaporation during the liquid release tests, the relative  
252 humidity and temperature of the air inside and outside of the curtains (for the Cross Drift) and in  
253 front of and behind the bulkhead (for Niche 5) were monitored.

254 The evaporation rate from still water was measured by monitoring the level (mass) of  
 255 water in evaporation pans placed within the space enclosed by the seepage capture tray and end  
 256 curtains (for the Cross Drift tests) and behind the bulkhead (for Niche 5).

257 Fig. 3. Schematic alignment of tunnels and boreholes: (a) parts of the Exploratory Studies  
 258 Facility (ESF) tunnel and Enhanced Characterization of the Repository Block (ECRB)  
 259 cross-drift; (b) liquid release test setup in the Cross Drift, including liquid release  
 260 intervals and liquid injection and seepage collection equipment; and (c) vertical section  
 261 of Niche 5 along with location of all the test boreholes.

### 262 **TOUGH2/iTOUGH2 Seepage Model**

263 A detailed description of the numerical models developed for flow in fractured formation  
 264 around a tunnel and associated seepage into the tunnel using TOUGH2/iTOUGH is given by  
 265 Finsterle et al (2003). A summary follows.

266 The TOUGH2 code is an integral finite difference simulator that represents unsaturated  
 267 flow at the scale of individual grids by Richards' equation (Bear, 1972; Pruess et al., 1999)

$$268 \quad \phi \rho \frac{\partial}{\partial t} S_e = \text{div} \left[ k \frac{\rho}{\mu} \nabla (P_C + \rho g z) \right] \quad [13]$$

269 The appropriateness of using this continuum approach to simulate water flow through  
 270 unsaturated fractured rock was shown by Finsterle (2000). The effective permeability ( $k$ ) and  
 271 capillary pressure ( $P_C$ ) are functions of liquid saturation as given by van Genuchten's models  
 272 (1980)

$$273 \quad k = k_a S_e^{1/2} \left[ 1 - \left( 1 - S_e^{1/m} \right)^m \right]^2 \quad [14]$$

$$P_C = -\frac{1}{\alpha} \left[ S_e^{-1/m} - 1 \right]^{1-m} \quad [15]$$

274 where  $k_a$  is the absolute permeability,  $1/\alpha$  and  $m$  are fitting parameters with  $\alpha > 0$  and  
 275  $0 < m < 1$ , and the effective saturation,  $S_e$ , is defined as  $S_e = (S - S_{lr}) / (1 - S_{lr})$ , with  $S_{lr}$  being the  
 276 residual liquid saturation. While the  $k_a$  values were considered spatially heterogeneous, the  $1/\alpha$ ,  
 277  $m$ , and  $S_{lr}$  parameters were summed to be homogeneous for a given test bed (Finsterle et al.,  
 278 2003). The absolute permeability,  $k_a$ , was derived from the air-injection tests. The van  
 279 Genuchten  $m$  parameter and the residual saturation were taken to be  $m = 0.608$  and  $S_{lr} = 0.01$ ,  
 280 respectively (Finsterle et al., 2003). The van Genuchten capillary strength parameter  $1/\alpha$  was  
 281 estimated through inverse modeling. In the numerical seepage model, the condition for seepage  
 282 is determined by the total water-potential gradient at the connection between the porous medium  
 283 and the tunnel, as depicted in Fig. 4. The flow rate along the connection between the porous  
 284 medium and the tunnel is given by

$$q_z = k \frac{\rho}{\mu} \frac{\Delta P + \rho g z}{\Delta z} \quad [16]$$

287 where  $\Delta P$  denotes the capillary pressure difference across the distance between the last  
 288 formation node and the tunnel node  $\Delta z$ . The nodal distance  $\Delta z$  is chosen to be a representative  
 289 of the average length of fractures intersecting the tunnel that are not draining laterally (Finsterle  
 290 et al., 2003). From [16], and assuming that the capillary pressure in the opening is zero, it  
 291 follows that downward seepage ( $q_z > 0$ ) occurs only when the following condition is satisfied:

$$-P_C^* > \rho g \Delta z \quad [17]$$

292 where  $P_C^*$  is the threshold capillary pressure at the last node adjacent to the opening. The critical  
 293 capillary pressure  $P_C^* = -\rho g \Delta z$  depends on the grid size or nodal distance of the numerical



295 model. According to [17], the tunnel surface does not need to be fully saturated for seepage to  
 296 commence as in the case of unfractured homogeneous porous media (Philip et al., 1989b).

297 Fig. 4. Schematic description of the seepage and evaporation connections between nodes that  
 298 represent the rock of the tunnel wall and the tunnel.

### 299 Implementation of Evaporation in TOUGH2

300 While seepage occurs only when the critical condition given in [17] is satisfied, vapor  
 301 flow from/to tunnel walls to/from tunnel air occurs as long as there is vapor pressure  
 302 disequilibrium between them. Coupling of the seepage and evaporation processes is illustrated in  
 303 Fig. 4. Mass-transfer rate of water, including seepage, is represented in TOUGH2 by equations  
 304 similar to [16], where the driving force is pressure gradient. To incorporate evaporation into the  
 305 existing model without significant changes to the governing flow equations, we must rewrite the  
 306 concentration-gradient dependent diffusion equation [11] in the form of equation [16]. Noting  
 307 that the connection length  $\Delta z$  denotes the vapor concentration boundary layer thickness  $\delta$ , the  
 308 equivalent evaporative permeability can be written as

$$309 \quad k_{eq} = D_v \frac{\mu}{\rho} \left( \frac{C^0 - C^\infty}{P_C^0 - P_C^\infty} \right) \quad [18]$$

310 where the variables with a superscript of 0 correspond to the tunnel wall and those with a  
 311 superscript of  $\infty$  denote the tunnel air. The capillary pressure of the tunnel  $P_C^\infty$  is equivalent to  
 312 the relative humidity [3] of the tunnel, as described by Kelvin's equation [2]. The vapor  
 313 concentrations are computed according to [11] and [12]. Equation [18] was implemented in  
 314 TOUGH2 as a special evaporation connection. When the conditions for both evaporation and

315 seepage permit, the total mass flow from the tunnel wall to the tunnel is considered as the sum of  
316 both.

### 317 **Numerical Meshes**

318 Different numerical models were constructed to simulate liquid-release tests and seepage  
319 into the underground openings at different test locations. Three-dimensional meshes of the test  
320 sites were generated with grid sizes of  $0.3 \text{ m} \times 0.1 \text{ m} \times 0.1 \text{ m}$  for the Cross Drift and  $0.1 \text{ m} \times$   
321  $0.1 \text{ m} \times 0.1 \text{ m}$  for Niche 5 (see Fig. 5). For the Cross Drift meshes, a circular cylindrical tunnel  
322 of 5 m diameter was removed from the center of the mesh to represent the tunnel. Only one half  
323 of the symmetric mesh was used in the simulations to save computational load. For the Niche 5  
324 meshes, surveyed niche geometry was removed from the numerical mesh to replicate the test  
325 sites. The liquid-release boreholes are indicated in Fig. 5 by bold black lines, and the white  
326 sections at the middle of the boreholes represent the injection intervals. The Cross Drift borehole  
327 is inclined while the Niche 5 boreholes are parallel to the centerline of the niche. The Cross Drift  
328 mesh in Fig. 5a represents the Zone 2 test interval. In Fig. 5b and Fig. 5c, boreholes #4 and #5  
329 are revealed, respectively (see also Fig. 3c). Notice that the injection intervals in boreholes #4  
330 and #5 are located at 3–3.5 m and 8.5–8.8 m, respectively, from the borehole collars; hence, the  
331 respective tunnel outlines are different.

332 Fig. 5. Numerical meshes of (a) Niche 5 with borehole #4, (b) Niche 5 with borehole #5, and  
333 (c) the Cross Drift, along with a typical realization of the correlated stochastic  
334 permeability field. Bold black lines denote the liquid-release boreholes, and the white  
335 section in the middle of the boreholes is the injection interval.

336 The spatial structure of the Niche 5 permeability data was analyzed using the GSLIB  
 337 module GAMV3 (Deutsch and Journel, 1992) and a spherical semivariogram was fitted to the  
 338 resulting variogram. Because only six permeability data were available from the Cross Drift,  
 339 assumed spherical variogram parameters were used. Recall that the permeability of the Cross  
 340 Drift was measured on 1.8 m long intervals of the boreholes, and the standard deviation of the  
 341 measured data was 0.21. The variability of the permeability on the scale of the 0.3 m long  
 342 gridblock was expected to be greater than the measurement interval. For the purpose of  
 343 generating a heterogeneous field, the permeability was taken to be log-normally distributed with  
 344 a variance (sill) value of 1 order of magnitude. Computed and prescribed geostatistical  
 345 parameters (Table 1) were used to generate spatially correlated permeability fields, using the  
 346 sequential indicator simulation (SISIM) module of the GSLIB (Deutsch and Journel, 1992).  
 347 Multiple realizations of the permeability field were generated and mapped to the numerical  
 348 meshes. Representative permeability field realizations for the Cross Drift and Niche 5 are shown  
 349 in Fig. 5.

350 Table 1. Mean, standard deviation, and correlation length of log-permeability data collected in  
 351 the Cross Drift and Niche 5. The values in parentheses are prescribed values because the  
 352 number of measurements was not adequate to compute the respective parameters.

Location	<i>n</i>	Mean log (k) [m <sup>2</sup> ]	Std. Dev. [m <sup>2</sup> ]	Spherical Variogram		
				Sill Value [log(k) <sup>2</sup> ]	Correlation length [m]	Nugget effect [log(k) <sup>2</sup> ]
Niche 5	61	-10.95	1.31	1.81	0.91	0.02
Cross Drift	6	-10.73	0.21	1.0	0.2	-

353

354 The tunnels were represented in the seepage models by two types of overlapping  
355 gridblocks, one corresponding to seepage and the other to evaporation. The seepage gridblocks  
356 were assigned a zero capillary pressure, whereas the evaporation gridblocks were assigned a  
357 capillary pressure and vapor concentration corresponding to the tunnel relative humidity of the  
358 tunnel, as given by [2] and [3]. No-flow boundary conditions are specified at the left, right, front,  
359 and back sides of the model. A free-drainage boundary condition is applied at the bottom to  
360 prevent an unphysical capillary boundary effect.

## 361 RESULTS AND DISCUSSIONS

### 362 Evaporation Boundary Layer

363 The evaporation data collected in Niche 5 were used to calibrate the evaporation model.  
364 The data were grouped into three classes based on airflow velocity (ventilation): (1) inside Niche  
365 5 without ventilation; (2) outside Niche 5 with active ventilation; and (3) outside Niche 5 without  
366 active ventilation, the regime usually encountered during nights and weekends. In Fig. 6, the  
367 measured relative humidity, and temperature, and evaporation rates from still water are plotted.  
368 The evaporation model [12] was fitted to the measured data by adjusting the boundary layer  
369 thickness. The best-fit estimates of the boundary layer thickness are listed in Table 2.

370 Fig. 6. Temperature, humidity, and evaporation rate data, along with model fit of the  
371 evaporation data for inside and outside of Niche 5.

372 In agreement with the theoretical assessment (Equation [7]), the estimated  $\delta$  showed an  
373 inverse relationship with the ventilation conditions. Inside Niche 5, the air was the calmest  
374 because it was isolated from the Cross Drift by a bulkhead (see Fig. 3). As a result, the thickest  
375 boundary layer (20 mm) was obtained inside Niche 5. Fig. 6 shows that the relative humidity

376 outside Niche 5 increases at nights and during weekends when active tunnel ventilation is turned  
 377 off. However, this increase in relative humidity is insufficient to explain the observed decrease in  
 378 evaporation. Therefore, as shown in Fig. 6, reduced air ventilation during nights and weekends is  
 379 also accompanied by an increase in the thickness of the boundary layer. The estimated boundary-  
 380 layer-thickness values and Equation [7] suggest that the air velocity outside Niche 5 is higher  
 381 than the inside by factors of 7 (without active ventilation) and 16 (with active ventilation). These  
 382 results confirm the applicability of Equation [12] to describe the effects of humidity,  
 383 temperature, and ventilation on evaporation rate.

384 Table 2. Summary of estimated boundary layer thickness for Niche 5 and their application.

Location of Experiment	$\delta$ (mm)	Used For Simulation of Liquid-Release Tests in
Inside Niche 5	20.0	Niche 5
Outside Niche 5, ventilation off	7.5	Cross Drift (with end curtains)
Outside Niche 5, ventilation on	5.0	Not used

385

### 386 **Coupled Seepage and Evaporation**

387 In this section, simulations of coupled seepage and evaporation are compared with  
 388 measured seepage rate data. The software iTOUGH2 (Finsterle, 1999) was used to match the  
 389 simulated seepage rate with the measured values by adjusting the free capillary strength  
 390 parameter ( $1/\alpha$ ) (Finsterle et al., 2003). The corresponding evaporation rate from the tunnel  
 391 walls simulated using the tunnel relative humidity and calibrated boundary layer thickness.

392 **Niche 5**

393 Here, two different data sets from liquid release tests conducted in boreholes #4 (October,  
394 2002) and #5 (July 2002) are compared with the Niche 5 seepage models. The liquid release rate,  
395 seepage rate, and relative humidity data as well as modeled liquid release rate and fitted seepage  
396 rate are shown in Fig. 7. The best-fit  $1/\alpha$  values were  $671 \pm 223$  Pa and  $740 \pm 339$  Pa for  
397 boreholes #4 (30 inversions) and #5 (24 inversions), respectively. The measured seepage rates  
398 attained a steady-state flow rate after several days. Because the early-time transient data are  
399 biased by storage (e.g., in lithophysal cavities and matrix) and/or fast flow paths connecting the  
400 injection interval to the tunnel ceilings, the model was fitted to the late-time steady state data. In  
401 the simulations, the relative humidity was kept constant at 0.85 to match with the lowest steady-  
402 conditions observed during the borehole #4 tests.

403 Fig. 7. Calibration of seepage-rate data from liquid-release tests conducted in Niche 5.

404 Calculated seepage rate curves show only one of the multiple inversions.

405 To quantify the impact of evaporation on seepage over the observed high relative  
406 humidity range (0.85–0.99), the calibrated seepage model of borehole #4 was used to simulate  
407 seepage and evaporation at relative humidity values of 0.85, 0.95, and 0.99. The resulting steady  
408 state seepage and evaporation rates (on Day 10) are plotted as percentages of the liquid release  
409 rate in Fig. 8. At a relative humidity of 0.85, the evaporation rate from the entire niche wall  
410 surface and the seepage rate are comparable in magnitude. As the relative humidity was  
411 increased, the steady-state evaporation rate showed a drastic decrease, while the corresponding  
412 seepage rate increased only slightly. Thus, at these high relative humidity conditions, the main  
413 impact of evaporation is on the quantity of liquid diverted around the tunnel.

414 Fig. 8. Effect of high relative humidity on evaporation and seepage rates.

### 415 **ECRB Cross Drift**

416 In this subsection, two different data sets from liquid release tests conducted in borehole  
417 LA2, Zone 2 and Zone 3, are compared with the ECRB Cross Drift seepage model. The liquid  
418 release rate, seepage rate, and relative humidity data, as well as modeled liquid-release rates and  
419 fitted seepage rates, are plotted in Fig. 9. The best-fit capillary-strength parameter  $1/\alpha$  were 557  
420  $\pm 56$  Pa for zone 2 and  $535 \pm 58$  Pa for zone 3, based on 21 and 19 inversions, respectively. Note  
421 that both of the liquid-release tests were conducted concurrently. The measured and simulated  
422 seepage rate fluctuations were strongly correlated to the drastic changes in relative humidity  
423 (hence, evaporation). The model captured this evaporation effect satisfactorily, tracking  
424 increases in measured seepage rates as relative humidity increases and vice versa.

425 Fig. 9. Calibration of seepage-rate data from liquid-release tests conducted in the ECRB Cross  
426 Drift. Calculated seepage rate curves show only one of the multiple inversions.

427 The interplay between relative humidity fluctuation and dynamics of flow and ceiling  
428 wetness at different times during the test in Zone 2 are visualized in Fig. 10. During this test, the  
429 liquid release rate was relatively stable (steadily increasing from 31 mL/min on Day 0 to 34  
430 mL/min on Day 34). However, the relative humidity fluctuated between 30% and 90% during  
431 this period. Fig. 10 shows snapshots of the liquid saturation distribution on Days 0, 10, 20, and  
432 30. Just before the test began, the drift wall has dried out because of the low relative humidity in  
433 the drift. The liquid saturation at this time was in equilibrium was the assumed background  
434 percolation flux of 2 mm/yr. On day 10 day of injection (relative humidity  $\sim 70\%$ ), water  
435 reached the crown of the drift, seepage has started, water was being diverted around the drift, and

436 wet plume has reached approximately to the elevation of the spring line. After 20 days, however,  
437 the plume has shrunk significantly because of reduced humidity (approximately 12%) and  
438 increased evaporation. Moreover, the seepage rate and seepage locations (indicated by inverted  
439 triangles) have decreased. Before the 30-day time mark, the relative humidity rose up to  
440 approximately 80%; thus, the evaporation rate was reduced, the wet plume grew, and seepage  
441 rate and number of seeps increased. In general, despite the high liquid release rate, the flow  
442 regime remained unsaturated. The liquid saturation was highest near the drift crown, which  
443 induces a capillary pressure gradient that promoted flow diversion around the drift (capillary  
444 barrier effect). Seepage and evaporation removed water from the formation as water flows  
445 around the drift, limiting the spread of the wetted region on the drift wall.

446 Fig. 10. Liquid saturation distribution simulated with model calibrated against seepage-rate data  
447 from liquid-release tests conducted in the Cross Drift borehole LA#2, Zone 2 at 0, 10,  
448 20, and 30 days after the start of the liquid release tests. Note the correlation of tunnel  
449 wall wetness to tunnel relative humidity.

## 450 SUMMARY AND CONCLUSIONS

451 In this paper, we (1) estimated the evaporative boundary-layer thickness by calibrating a  
452 semi-physical evaporation model, which considers isothermal vapor diffusion; (2) calibrated a  
453 heterogeneous fracture-continuum model against seepage-rate data; and (3) tested the effect of  
454 evaporation on seepage predictions. The major conclusions of this study are listed below:

- 455 1. The simplified vapor-diffusion approach of modeling evaporation was found to be effective  
456 in capturing the roles of the important environmental conditions that affect evaporation –  
457 namely, relative humidity, temperature, and ventilation. Calibrated thicknesses of the



458 evaporation boundary layer were obtained for three ventilation conditions representing the  
459 conditions at the liquid-release test sites at Yucca Mountain.

460 2. We found that evaporation reduces seepage significantly in tests conducted under  
461 ventilated conditions. Therefore, it is important to account for evaporation effects when  
462 calibrating a seepage process model against liquid-release-test data collected under  
463 ventilated conditions. In contrast, the impact of evaporation on seepage rate was minimal in  
464 closed-off niches, where relative humidity values were generally high. Thus, when using  
465 data obtained from closed-off and/or artificially humidified niches, ignoring the effect of  
466 evaporation is expected to introduce little error in the estimation of seepage-relevant  
467 parameters.

468 3. The classification of ventilation regimes is based on crude assessment of the tunnel  
469 environment. Bearing of external wind velocity variations (note that the Cross Drift is  
470 connected to the air outside the ESF) was not accounted for. The matching between  
471 measured evaporation rate and model predictions can be improved if accurate measurement  
472 of air velocity in the tunnels was made.

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542

## Figures

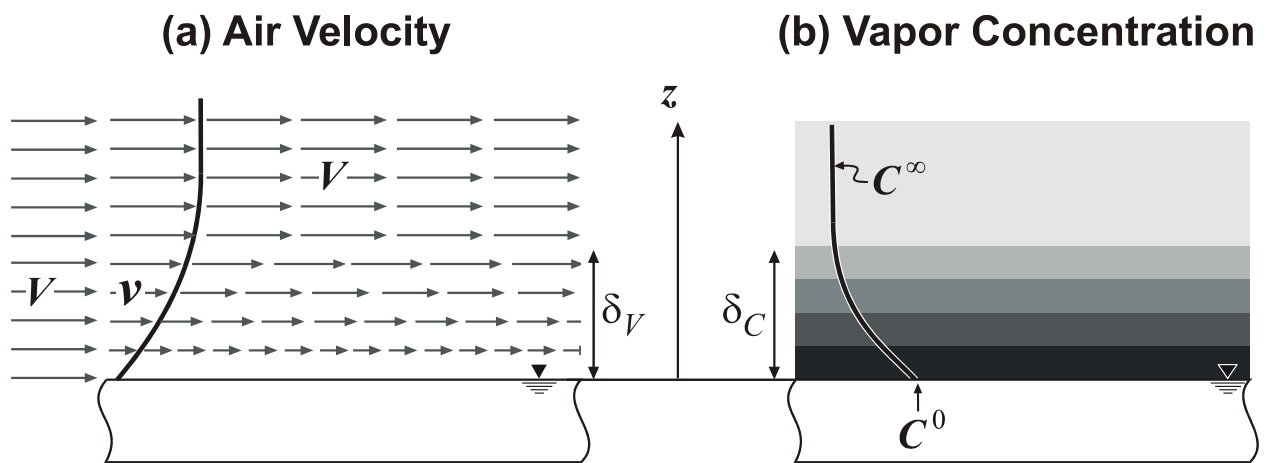


Fig. 1. Schematic description of (a) air velocity and (b) vapor concentration profiles above a free water surface

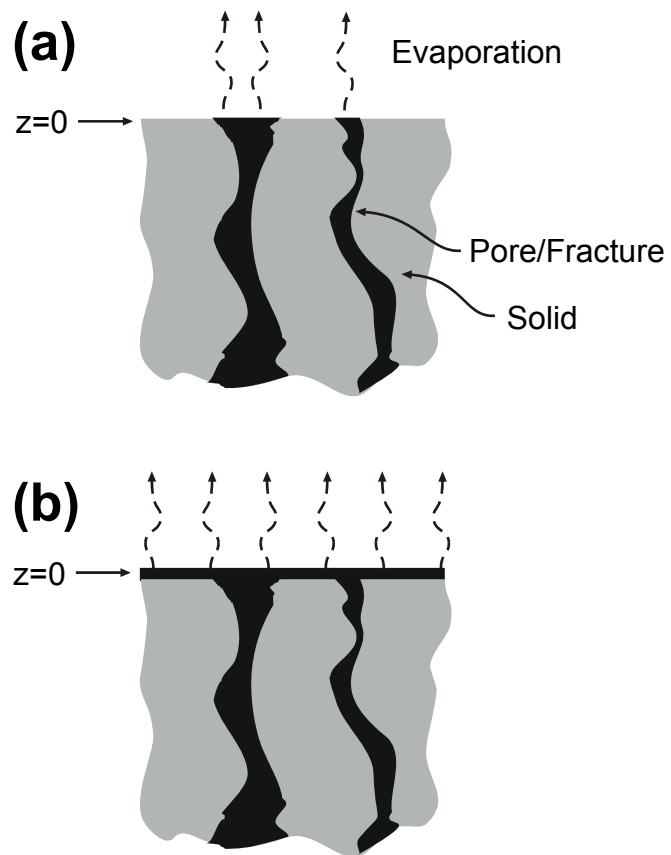


Fig. 2. Evaporating surface area of a porous medium: (a) partitioning of the surface into non-evaporating solid and evaporating pores; (b) proposed approach of uniform gas-phase surface.



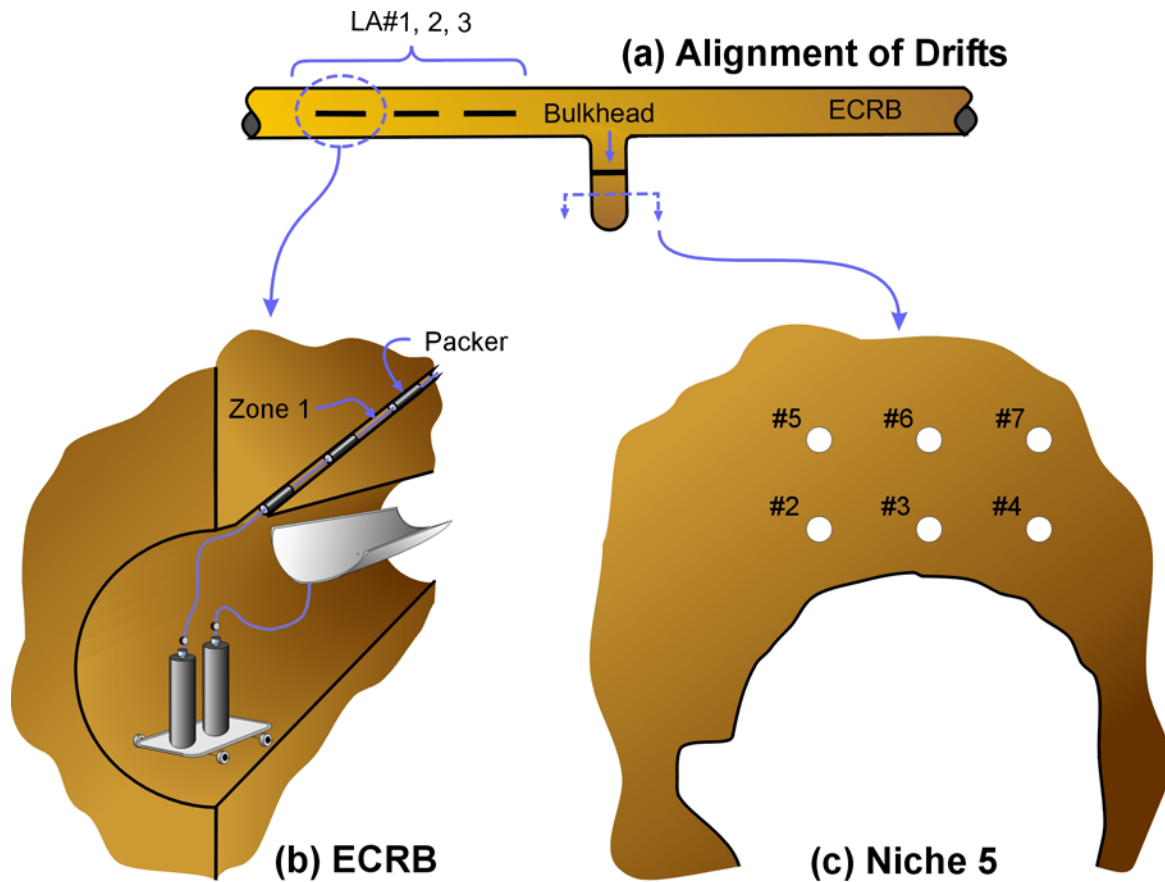


Fig. 3. Schematic alignment of tunnels and boreholes: (a) parts of the Exploratory Studies Facility (ESF) tunnel and Enhanced Characterization of the Repository Block (ECRB) cross-drift; (b) liquid release test setup in the Cross Drift, including liquid release intervals and liquid injection and seepage collection equipment; and (c) vertical section of Niche 5 along with location of all the test boreholes.

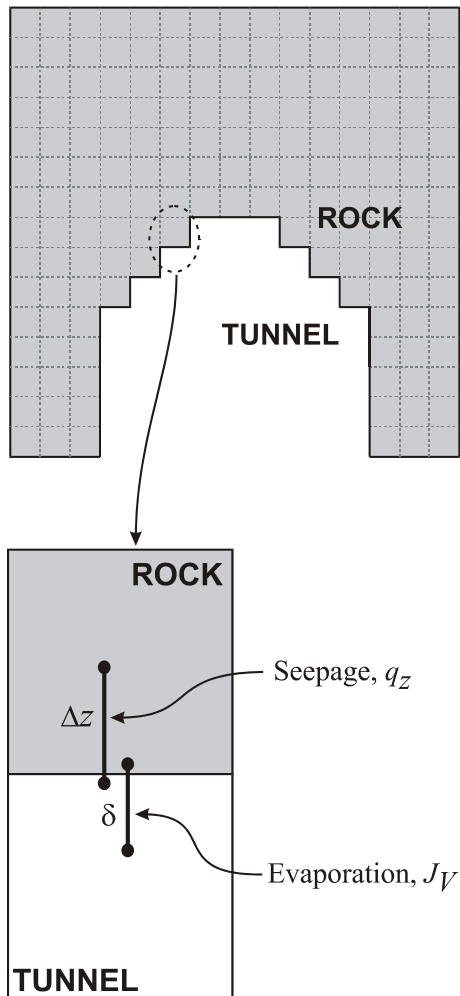


Fig. 4. Schematic description of the seepage and evaporation connections between nodes that represent the rock of the tunnel wall and the tunnel.

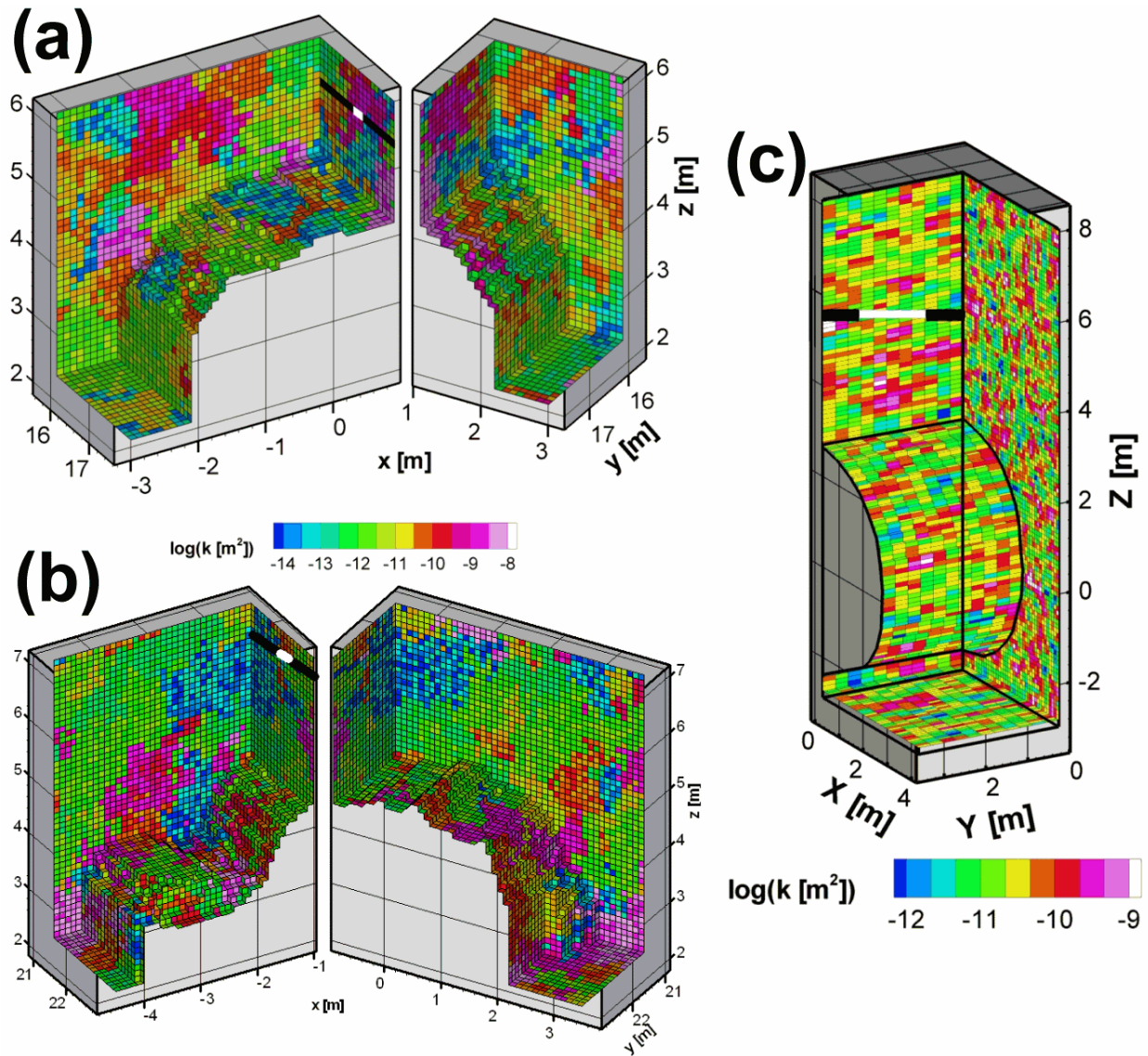


Fig. 5. Numerical meshes of (a) Niche 5 with borehole #4, (b) Niche 5 with borehole #5, and (c) the Cross Drift, along with a typical realization of the correlated stochastic permeability field. Bold black lines denote the liquid-release boreholes, and the white section in the middle of the boreholes is the injection interval.

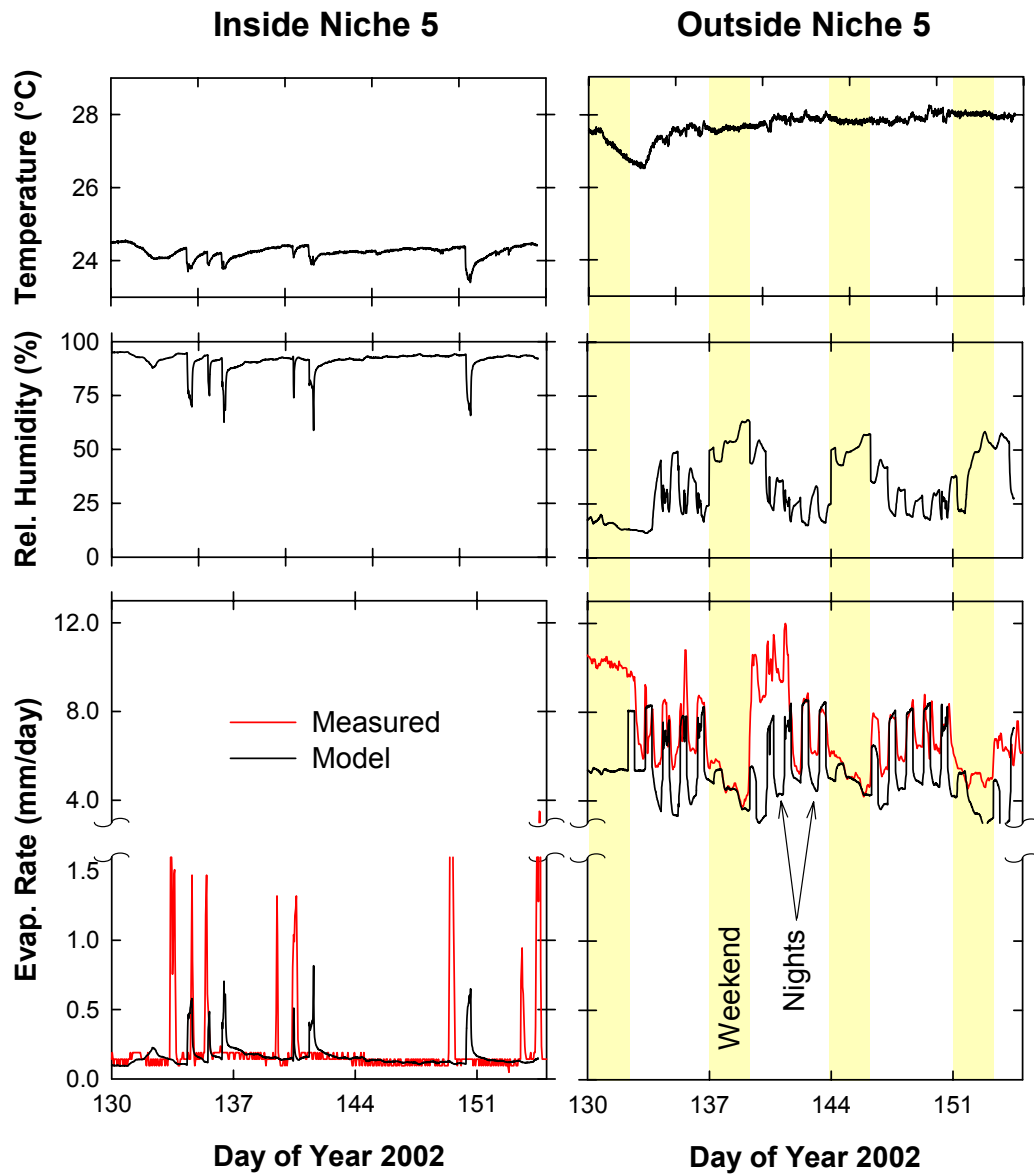


Fig. 6. Temperature, humidity, and evaporation rate data, along with model fit of the evaporation data

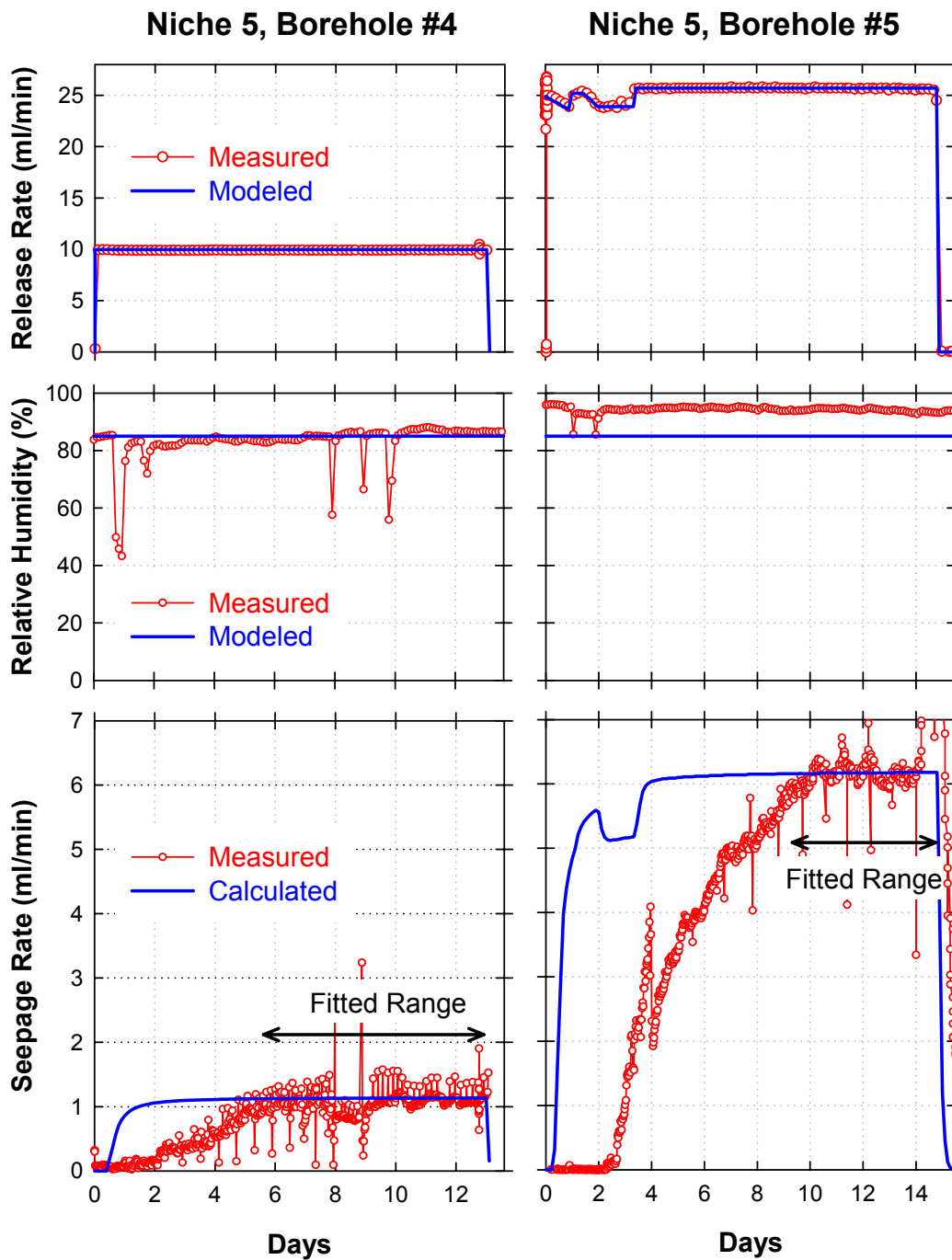


Fig. 7. Calibration of seepage-rate data from liquid-release tests conducted in Niche 5. Calculated seepage rate curves show only one of the multiple inversions.

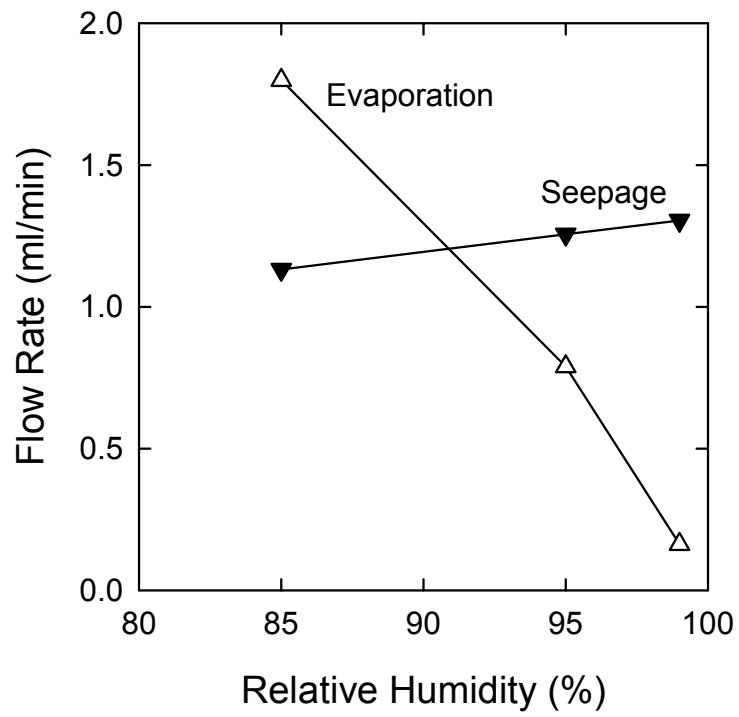


Fig. 8. Effect of high relative humidity on evaporation and seepage rates.

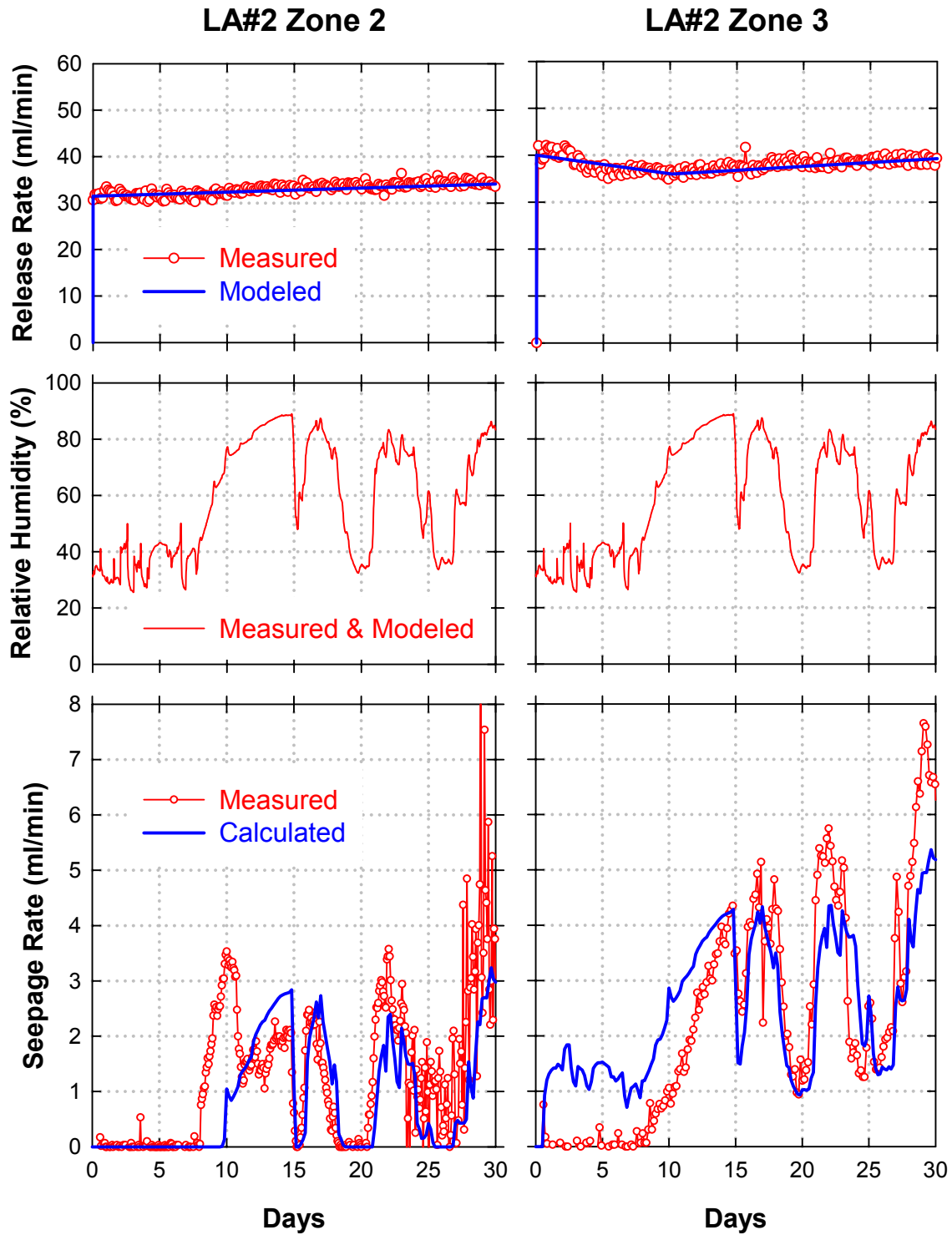


Fig. 9. Calibration of seepage-rate data from liquid-release tests conducted in the ECRB Cross Drift. Calculated seepage rate curves show only one of the multiple inversions.



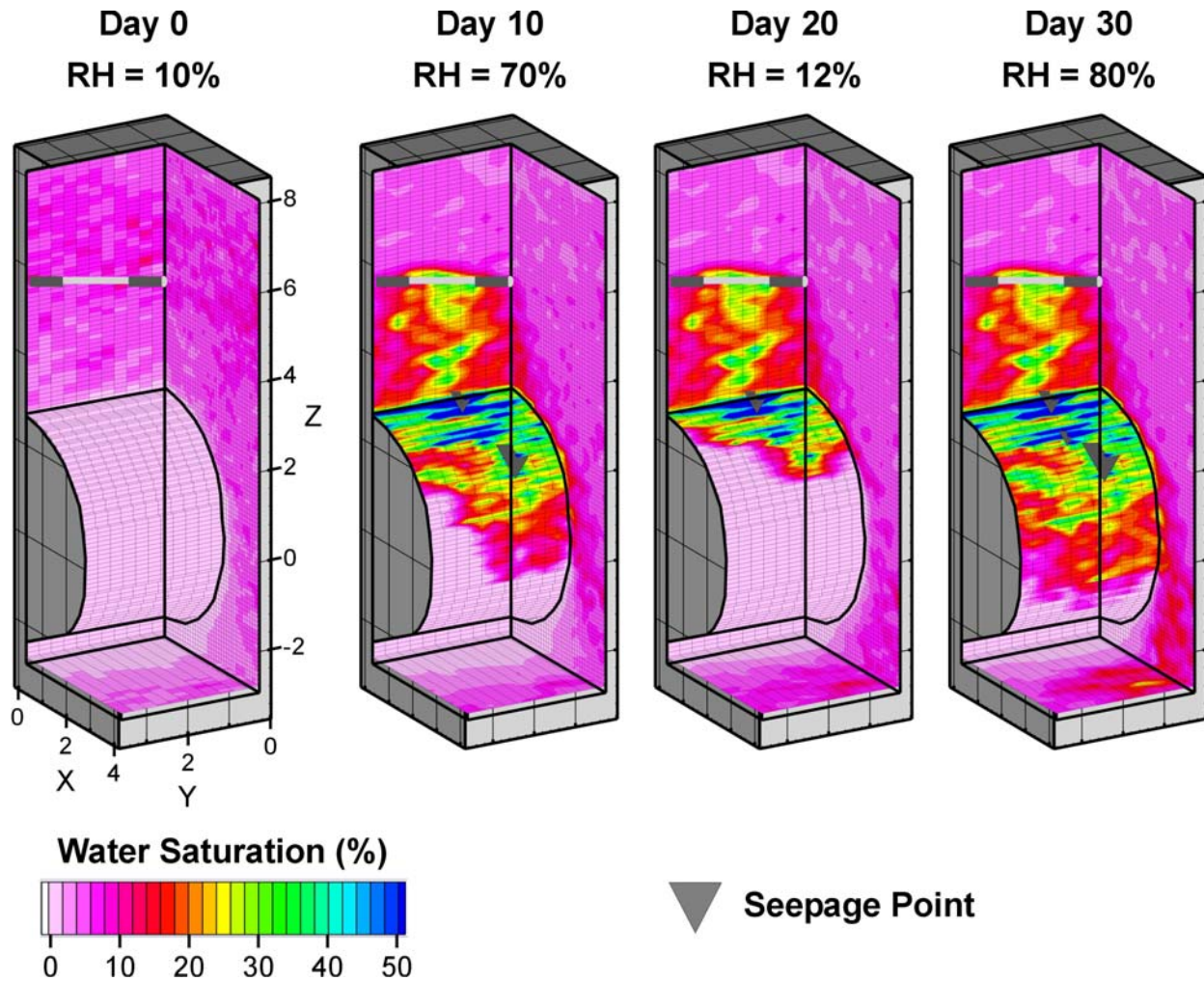


Fig. 10. Liquid saturation distribution simulated with model calibrated against seepage-rate data from liquid-release tests conducted in the Cross Drift borehole LA#2, Zone 2 at 0, 10, 20, and 30 days after the start of the liquid release tests. Note the correlation of tunnel wall wetness to tunnel relative humidity.