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Should There Be a Recommended Daily Intake of Microbes?

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ABSTRACT

The collective findings from human microbiome research, randomized controlled trials on specific microbes (i.e., probiotics), and associative studies of fermented dairy consumption provide evidence for the beneficial effects of the regular consumption of safe live microbes. To test the hypothesis that the inclusion of safe, live microbes in the diet supports and improves health, we propose assessment of the types and evidentiary quality of the data available on microbe intake, including the assembly and evaluation of evidence available from dietary databases. Such an analysis would help to identify gaps in the evidence needed to test this hypothesis, which can then be used to formulate and direct initiatives focused on prospective and randomized controlled trials on live microbe consumption. Outcomes will establish whether or not the evidence exists, or can be generated, to support the establishment of dietary recommendations for live microbes. *J Nutr* 2020;150:3061–3067.

Keywords: fermented food, probiotics, live dietary microbes, dietary guidelines, bioactive, gut microbiome, NHANES, International Scientific Association for Probiotics and Prebiotics

Introduction

For most of human evolution, diets were based on raw and unprocessed foods. The microorganisms associated with unprocessed foods were largely adventitious and originated

from plant, animal, and environmental sources. With the transition from hunter–gatherer to more settled communities, ~10,000–20,000 y ago, fermented foods containing large and diverse populations of microbes emerged as staples in numerous societies (1). Diets underwent further changes in the 19th and 20th centuries because of the development of modern food processing and preservation methods. Diets in high-income countries with large urban populations now consist of many highly processed foods. Further, water sources are treated to minimize microbial contamination. As a result, people live in increasingly hygienic environments with fewer and less diverse microbial exposures (2).

Despite the obvious public health benefits that more hygienic foods and environments provide, there may also be unforeseen negative health consequences as a result of these reductions in microbial exposures. Some research suggests that diet contributes to the rise over the past century of many contemporary chronic immune, metabolic, and other “lifestyle” diseases (3, 4). These diseases include asthma, eczema, lupus, type 1 diabetes, celiac disease, food allergies, multiple sclerosis, Crohn disease, and rheumatoid arthritis (5). The potential for a microbial role in the emergence of those diseases is addressed in “the old friends hypothesis” (6), which suggests that exposure to nonharmful or commensal microbes in foods

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Abbreviations used: AI, adequate intake; ISAPP, International Scientific Association for Probiotics and Prebiotics; PICO, population, interventions/exposures, comparators, and outcomes of interest; RCT, randomized controlled trial.

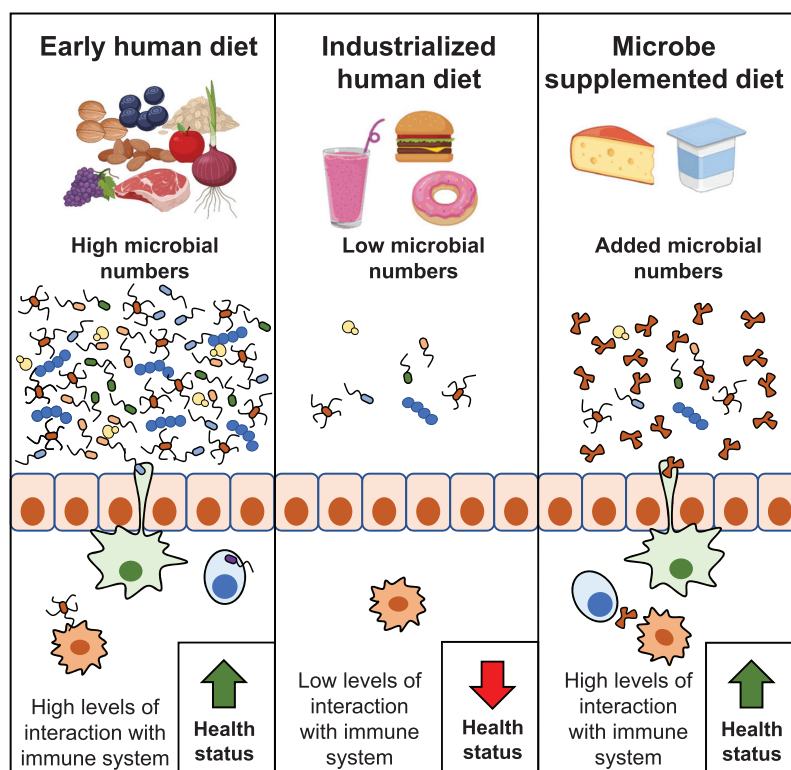


FIGURE 1 Higher levels of live safe microbes in the diet could engage with the immune system and prevent, limit, or ameliorate diseases connected to immune dysfunction.

is an important, beneficial source of microbial stimuli for the immune system. Live safe microbes obtained from daily intake in the diet may “engage” with the mucosal surfaces of the digestive tract, fine-tuning the immune system, bolstering gut function, and reinforcing the ability of the human symbiont to mitigate susceptibility to the development of chronic diseases (Figure 1). Immune regulatory activities of live microorganisms may also contribute to health by dampening an overactive inflammatory response produced by Western diets low in live and safe microbes (7).

Results of numerous human studies on probiotics are consistent with the premise that the ingestion of certain commensal microbes can benefit human health (8). Probiotics are defined as live microbes that, when administered in adequate amounts, confer a health benefit on the host (9). Although probiotic strain differences may account for heterogeneity in some health outcomes, accumulated evidence from human studies and meta-analyses point to common health benefits derived from consuming sufficient doses of safe strains of a range of species (9, 10). Indeed, the number of strains capable of conferring health benefits may greatly exceed the number subjected to rigorous testing, as practical considerations limit the range of strains subjected to clinical evaluation. Because some probiotics are highly related to the microorganisms used to make fermented foods, it is reasonable to hypothesize that health benefits may also accrue from consumption of fermented foods when the viability of those microbes is retained until the time of consumption. Indeed, fermented foods have been associated with a wide range of health benefits (11–14). We therefore hypothesize that the regular consumption of safe, live microbes, although not essential, may confer health-promoting properties to mitigate or reduce risk of disease. We acknowledge that although dead (inactivated) microbes

and microbial metabolites may also confer impacts on human health, they are outside the scope of this paper. The lack of data and the inability to quantify dead (inactivated) microbes significantly hinders the inclusion of this group at this time. Health benefits conferred by individual microbial metabolites, such as acetic acid, are addressed separately (15).

This paper builds on discussions held at the 2019 International Scientific Association for Probiotics and Prebiotics (ISAPP) Annual Meeting in Antwerp, Belgium, and on previous efforts (16–20). ISAPP is a nonprofit association dedicated to advancing the science of probiotics and prebiotics. At the 2019 ISAPP meeting, authors CH and BH organized a half-day workshop comprising invited experts and industry representatives to discuss how the hypothesis that live microbes could provide a health benefit could be rigorously tested, perhaps leading to a recognized dietary recommendation for consumption of live microorganisms.

Human holobiont–diet connection

Humans evolved in a microbial world. Microbes have been the primary colonizers of our planet for billions of years, and all multicellular organisms have had to find ways to cope with this abundance and diversity of microscopic life. Throughout the course of human evolution, microbes colonized all bodily surfaces, including mucosal surfaces associated with alimentary, respiratory, and vaginal tracts. Regular contact with microbes in the air, on surfaces, and in foods and beverages also meant that our ancestors needed to evolve effective microbial containment and management strategies. Humans and animals developed physicochemical barriers, including a largely impenetrable skin and intestinal mucosa, an acidic stomach, and adaptive and cell-mediated immune systems. It is notable that the mucosa-associated lymphoid tissue of the gut contains more plasma

B cells (the white blood cells responsible for making most antibodies) than the lymphoid nodes, spleen, and bone marrow combined (21). Rather than only eliminating or excluding microorganisms, these strategies may have evolved to select for and maintain specific microorganisms, and as such, humans and their associated microbiota should be regarded as holobionts (22). The human digestive tract alone houses trillions of resident microbes that perform vital roles in immune, neurological, and metabolic functions.

Although numerous factors can affect gut microbiome composition and function, including microbiota-depleting medications (23), diet is a particularly influential driver of gut microbiome composition (24). Although some diets, such as those high in fats and sugars, may be detrimental to normal gut microbiome function, other diets support the growth of saccharolytic members of the gut microbiome that are critical to producing intestinal short-chain fatty acids essential for gut health (25). The gut microbiota can be influenced through diets high in fibers (12) and inclusion of prebiotics (26), probiotics (27), and consumption of fermented foods (28). The interplay among diet, the gut microbiome, and human health is at the center of our interest in understanding the beneficial role that consumption of safe, live dietary microbes may have in health.

The types and numbers of microbes in food

Microbes in food include bacteria, yeasts, and molds, and the amounts and types vary depending on the food, the food source, and the extent of processing the food has undergone. The goal of most food-preservation strategies is to inhibit growth or inactivate microbes, either collectively or by targeting specific groups such as pathogens or spoilage microorganisms. Microbial loads in dry, frozen, or thermally processed foods are low (29, 30). Further, processing steps combined with hygienic practices that minimize microbial exposure have led to relatively low numbers of microbes in both raw and minimally processed foods (31).

Surveys of the microbial content of fresh fruits and vegetables show that the quantities of microbes on those foods range widely, but are often $<10^6$ CFU/g (32). Although lactic acid bacteria (33) and fungi (34) are found on fresh produce, members of the Proteobacteria (including *Pantoea*, *Pseudomonas*, and *Xanthomonas*) frequently dominate (35–37). As fresh produce is now recognized as a frequent source of foodborne disease outbreaks, pre- and postharvest approaches to reduce microbial loads are being continuously updated in order to reduce the risk of foodborne pathogen contamination (38, 39). Such efforts, although necessary to prevent foodborne disease, also reduce the numbers of nonpathogenic microbes. Therefore, fresh produce, which is often washed and packaged, contributes relatively few live microbes to the daily diet of consumers.

In contrast, data from published studies on the amounts of live microorganisms in fermented foods show that those foods could serve as major sources of ingested microbes (40). Populations that widely consume fermented foods (e.g., yogurt) may ingest 10^8 – 10^{11} CFU/d according to estimates made in multiple reports (27, 40). However, those numbers were not based on empirical data and therefore may overestimate actual consumption. Lang et al. (41) attempted to estimate the number of ingested microbes based on consumption of actual meals. These authors developed 3 representative daily meal plans and enumerated the microbes remaining after meal preparation. The results showed that 2 of these meal plans provided $<10^7$

CFU/d. The third meal plan delivered $>10^9$ CFU/d, driven mostly by the yogurt and cultured milk contained in the diet.

Microbes in our diet: are they good for us?

The link between consumption of live microbes and health comes from intervention and associative studies on fermented foods and also from randomized controlled trials (RCTs) on specific live microbes, known as probiotics. Studies have shown that fermented foods, and dairy foods in particular, are linked to a reduced risk of cardiovascular disease (42, 43), reduced risk of weight gain (44), reduced risk of type 2 diabetes (45), healthier metabolic profiles (blood lipids, blood glucose, blood pressure, and insulin resistance) (46), and altered immune responses (47, 48) [see also reviews (11–13)]. One systematic review, which included RCTs, cohort studies, case-control studies, and cross-sectional studies, concluded that consumption of yogurt and fermented milk was associated with improvements in gastrointestinal and cardiovascular health, cancer risk, weight management, diabetes and metabolic health, and bone density (18). Furthermore, many RCTs with a range of therapeutic and preventive endpoints have been conducted on probiotic-containing yogurt or fermented milks and on probiotic supplement products (49). Many positive outcomes have been reported for interventions with live microorganisms, including treatment of colic (50), functional gut symptoms (51, 52), and acute pediatric diarrhea (53) and for reducing the risk of antibiotic-associated diarrhea (54, 55), necrotizing enterocolitis (56), *Clostridioides difficile*-associated diarrhea (57), lactose intolerance symptoms (58), and acute upper respiratory tract infections (59), among many others. For the purposes of dietary recommendations, studies on generally healthy populations are of primary relevance, but taken together, these studies provide a strong rationale for the value to human health of consuming live microbes. However, no studies have aimed to assess the specific contribution of safe, live microbes (numbers and types of living microbes) in either fermented foods or in diets as a whole on health outcomes. Therefore, utilizing these data to evaluate our hypothesis that live dietary microbes contribute to health remains a challenge.

Testing the hypothesis that live dietary microbes contribute to health

When considering options for developing a dietary recommendation for consuming live microbes, we recognize that the non-nutritive, non-essential nature of live dietary microbes and the limited available evidence makes establishing an RDA unfeasible. Adequate intake (AI) guidance is another approach that may offer more opportunities because, unlike RDAs, AIs are not based on estimated average requirement values. Evidence supporting an AI must ultimately be able to inform an estimate of the average daily amount of the nutrient that should be consumed by members of a healthy population. However, this level of intake must also be tied to minimizing the likelihood of nutrient inadequacy, disease, or compromised functional status. Because negative consequences of a lack of live microbe intake are not currently known, an AI may not be feasible. A framework for incorporating bioactive food components into dietary recommendations has been proposed (60). The evidence requirements for this approach may align better with our knowledge level for a range of live microbe intakes with demonstrated efficacy for identified health outcomes and may reflect a more likely attainable goal (61, 62).

TABLE 1 Examples of peer-reviewed studies that used NHANES to study research questions relating to consumption of live microbe-containing food and health outcomes

Study reference no.	Study design	Population	Intervention/comparator	Outcome	AOR (95% CI)	AHR (95% CI)
(63)	Secondary analysis of NHANES 2003–2006 survey data	Adult, civilian, noninstitutionalized, residential USA	≥3 vs. <2 servings/wk yoghurt or probiotic supplement	Prevalent proteinuric kidney disease	0.76 (0.61, 0.94)	—
(64)	Secondary analysis of NHANES 1999–2014	Adult, civilian, noninstitutionalized, residential USA	Yogurt and/or probiotic supplementation vs. neither	Obesity	0.83 (0.76, 0.92)	—
(65)	Secondary analysis of NHANES 1999–2010	Adult (≥20 y old), civilian, noninstitutionalized, residential USA	Top vs. bottom 4th of yogurt consumption	Mortality	—	0.89 (0.83, 0.94)*

*When additional covariates for body mass index, hypertension, and diabetes were included, the beneficial effect of yogurt was slightly attenuated to AHR=0.93 (0.85, 1.01)

Can we use existing dietary databases to test the benefits of live microbes?

We propose that evaluating available evidence from dietary databases is the first step to determining if there are quantifiable health benefits from consuming living microbes. This can be done by utilizing relevant data from existing observational studies, such as the NHANES, NutriNet-Santé, Korea National Health and Nutrition Examination Survey, and the European Prospective Investigation into Cancer and Nutrition. To date, several studies used NHANES to address research questions about yogurt and/or probiotic consumption that could help inform dietary recommendations for living microbes (Table 1). However, studies more specifically focused on general live microbe consumption are needed.

In order for this approach to result in high-quality and actionable data, appropriate research questions aimed to identify useful relations between live microbe consumption and health or disease endpoints should be formulated. Such an effort will need to do the following: 1) identify foods that contain living microbes, 2) provide estimates of the numbers of living microbes in foods, 3) propose specific biomarkers or disease endpoint(s) that are affected by live microbe consumption, and 4) assess the hypothesized exposure/outcome through rigorous hypothesis testing and effect size estimation.

Limitations to using existing databases

For an existing cohort study to be useful for determining the relation between a disease outcome and dietary exposure, a food frequency assessment, or other dietary assessment, must capture the food or nutrient exposure of interest. Therefore, the extent to which existing dietary questionnaires provide direct or indirect data on the intake of live microbes must be determined. Large data collection surveys, such as the NHANES (66) and the National Institutes of Health Automated Self-Administered 24-Hour Dietary Recall (67), should specifically be reviewed for data on foods that may have large microbial loads. Delineating factors such as cooked compared with raw, fermented compared with nonfermented, and canned compared with fresh could be important. Combined with knowledge of the microbial load of such foods, estimates of microbe consumption could be calculated. However, it is not clear if existing databases provide the level of granularity in food description that would be required. These questionnaires may capture intakes of yogurt, kombucha, or other fermented products. However, because intakes of these products are typically low in existing large prospective cohorts in the United States and Canada, it may be difficult to quantify any relation between intake of fermented foods and health or disease endpoints.

Research questions posed in evidence-informed health guideline-development formats can be specified by population, interventions/exposures, comparators, and outcomes of interest (PICO) elements (68, 69). However, specifications for PICO elements can be very complex. The scope of study could include populations of varying compositions with respect to health status (70), multiple formulations of the intervention, numerous outcomes, and even multiple indicators of the same outcome. Simple and transparent specification of these elements for individual studies will be required. For example, a National Academy of Medicine expert panel charged with establishing principles for evaluating evidence for dietary reference intakes based on chronic disease recommended using a single outcome indicator on the hypothesized causal pathway between the nutrient or other food substance and the chronic disease of interest (71). Additionally, to avoid “fishing expeditions” and

spurious conclusions, the research questions (including specific outcomes) that will be examined should be stated from the start (72), ideally in a study registry, in a published study protocol, or by an alternative means that would permit readers to verify that the question was posed before the analysis was conducted.

Observational food intake studies are also prone to internal validity threats, including selection, information, and confounding biases. For example, the NHANES consists of cross-sectional measurement of participants and thus lacks follow-up measurements. As a consequence, such studies cannot fully establish temporal, let alone causal, relations among factors being assessed. This limits the value of their findings for informing public health recommendations or can lead to opaque recommendations (73) or misinterpretations (74). Thus, it is important to develop and apply analytical methods for large cohort databases that can result in robust and transparent inferences while acknowledging that the strength of causal inferences from observational studies may be limited by confounding and other factors that cannot be fully controlled.

New prospective and randomized controlled studies

We recognize that existing evidence may not be sufficient to inform the determination of dietary recommendations for live microbes. Reduced levels of live microorganisms in foods is associated with other long-standing changes to dietary patterns (such as the intake of highly processed foods) that risk negative health effects, constituting a potential confounder. Therefore, new studies may need to be developed using study designs such as secondary analyses of national health surveys as well as new prospective cohort studies that include the collection of relevant biological samples, which might contribute to mechanistic understanding.

More RCTs on fermented foods containing live microbes, including probiotics, are also needed. Such studies are challenging in part due to the limitations of research on food intakes (75). One approach can include setting the background diet of subjects to provide control over potential dietary confounders. This method entails providing people with all their meals or keeping track of what foods at what quantity are eaten over the study duration. Another challenge inherent to the design of RCTs on fermented foods is the choice of proper controls, including maintenance of equivalent nutrient content and blinding of sensorially and texturally complex fermented foods. Another difficulty encountered in conducting RCTs on fermented food is that the microbiological composition of the food may not be accurately described, which is the case for food resulting from uncontrolled fermentation processes. RCTs on consumption of live probiotics contained in dietary supplements are also relevant and avoid many of the complications that the study of fermented foods entails. Such studies are typically easier to placebo control than other food studies. To our knowledge, no studies have directly compared effects of probiotic supplements and fermented foods. Furthermore, we recognize that differentiating among effects of live compared with dead microbes or microbial metabolites will be a challenge for testing our hypothesis. Initiating and advancing these efforts will also be complemented by in vitro and animal model studies that help to focus the key considerations needed for dietary studies in humans.

Conclusions

Even though recommendations for the consumption of live, safe microbes to health are largely absent from dietary guidelines

(76, 77), we believe that available evidence supports the hypothesis that inclusion of safe live microbes in the diet may support and improve health. We are not suggesting that consumption of live microbes will address a deficiency condition but that, analogous to dietary fiber consumption, they may improve some parameter of health. To evaluate this hypothesis, existing data should be evaluated in order to articulate missing information. To address this proposition, we suggest the following practical steps:

- 1) Analyze existing databases. Unrestricted grants could be solicited from interested, nonprofit organizations such as ISAPP, or from industry. An initial seed grant might be sufficient to develop indications of what relevant data are available and what data gaps exist. Appropriate research questions relating consumption of live microbe-containing food and health outcomes will need to be developed. Several challenges will need to be addressed for such efforts, including the absence of information on live microbe composition of foods in dietary databases and managing confounding factors present in microbe-containing foods that might also impact health, such as dead microbes, microbial metabolites, and food components.
- 2) Coordinate with key health organizations. Outreach to the NIH, the USDA, the National Academy of Sciences, or other national or global organizations should be undertaken. This effort could include meetings organized with such agencies along with nutrition, microbiology, food science, and clinical experts able to inform the dietary recommendation process and determine the evidence required to set a dietary recommendation for live microbes.
- 3) Develop needed data on dietary live microbes. Once existing databases are probed, gaps in knowledge required to address the hypothesis must be compiled. It is anticipated that a large prospective study, ideally with collection of relevant biological samples, may be needed to enable robust conclusions about the consumption of live microbe-containing food and health outcomes.
- 4) Perform research as an iterative process. This process will be needed to adjust and refine the scope to specify which taxa (e.g., only those generally recognized as safe species), food formats, requirements for cell viability, necessity for certain microbial metabolites or compounds (e.g., DNA, peptidoglycan, and other macromolecules), and different impacts of dietary microbes depending on stage in life (e.g., infancy compared with adulthood).

All steps should be coordinated with groups having similar goals. Interested stakeholders should be consulted to develop appropriate research paths and funding sources to make needed progress that will lead to evidence-based conclusions on the role of live dietary microbes in human health.

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governed by a volunteer board of directors of academic scientists. ISAPP is funded by member companies, but ISAPP's activities and outputs are not governed, stipulated, or controlled by industry members. ISAPP's mission is to provide objective, science-based information on probiotics, prebiotics, fermented foods, and related substances.

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