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## Using common genetic variation to examine phenotypic expression and risk prediction in 22q11.2 deletion syndrome

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### Author contributions

J.A.S.V., R.W.D., A.M.F. and C.E.B. had full access to all of the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis. R.W.D. and A.M.F. contributed equally to the study. Study concept and design: AIM II writing group: R.W.D., A.M.F., E.J.B., T.M., N.M.W., S.R.H., C.E.B. and J.A.S.V. Acquisition of data: M.J.O., M.v.d.B., D.G.M., C.A., J.A.S.V., A.M.F., S.N.D., S.E., M.S., M.J., M.A., S.V., V.S., S.R.H., E.W.C.C., D.M.M.-M., A.V., D.G., R.W., T.v.A., W.K., K.M.A., T.S., O.Y.O., A.S., R.E.G., C.E.B. and A.S.B. Analysis and interpretation of data: AIM II writing group: R.W.D., A.M.F., E.J.B., T.M., N.M.W., S.R.H., C.E.B. and J.A.S.V. Critical revision of the manuscript for important intellectual content: all authors. Statistical analysis: R.W.D., E.J.B., T.M., N.M.W. and J.A.S.V.

### Data availability

The data sets (raw data) generated and/or analyzed during the current study are available through the National Institute of Mental Health Data Archive repository at <https://nda.nih.gov/study.html?id=938>, accession number 10.15154/1519190. To construct the polygenic score for schizophrenia, we used results from the Schizophrenia Working Group of the Psychiatric Genomics Consortium<sup>24</sup>, specifically the 'Full SNP Results' file `ckqny.scz2snpres.gz` with md5 `af7b9b521a196ce711d99060426fe01e`, which is available after filling out an application as described at <https://www.med.unc.edu/pgc/download-results/scz/>. To construct the polygenic score for fluid intelligence, we used results from the Neale lab, which are available at <http://www.nealelab.is/blog/2017/7/19/rapid-gwas-of-thousands-of-phenotypes-for-337000-samples-in-the-uk-biobank>, specifically the file `fluid_intelligence.20016_assoc.tsv.gz` with md5 `685d4b5e2f35c82fe29d9d9ac6e35db4`, which is available through their website, <http://www.nealelab.is/uk-biobank>, and is additionally mirrored at [https://figshare.com/articles/dataset/fluid\\_intelligence\\_20016\\_assoc\\_tsv.gz\\_from\\_2017\\_Neale\\_lab\\_analysis/12746570](https://figshare.com/articles/dataset/fluid_intelligence_20016_assoc_tsv.gz_from_2017_Neale_lab_analysis/12746570).

### Code availability

Bespoke analysis code for analyses downstream of genotype generation is available. [https://github.com/rwdavies/IBBC\\_Aim2\\_22Q11DS](https://github.com/rwdavies/IBBC_Aim2_22Q11DS).

### Online content

Any methods, additional references, Nature Research reporting summaries, source data, extended data, supplementary information, acknowledgements, peer review information; details of author contributions and competing interests; and statements of data and code availability are available at <https://doi.org/10.1038/s41591-020-1103-1>.

### Competing interests

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**International 22q11.2 Brain and Behavior Consortium**

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## Abstract

The 22q11.2 deletion syndrome (22q11DS) is associated with a 20–25% risk of schizophrenia. In a cohort of 962 individuals with 22q11DS, we examined the shared genetic basis between schizophrenia and schizophrenia-related early trajectory phenotypes: sub-threshold symptoms of psychosis, low baseline intellectual functioning and cognitive decline. We studied the association of these phenotypes with two polygenic scores, derived for schizophrenia and intelligence, and evaluated their use for individual risk prediction in 22q11DS. Polygenic scores were not only associated with schizophrenia and baseline intelligence quotient (IQ), respectively, but schizophrenia polygenic score was also significantly associated with cognitive (verbal IQ) decline and nominally associated with sub-threshold psychosis. Furthermore, in comparing the tail-end deciles of the schizophrenia and IQ polygenic score distributions, 33% versus 9% of individuals with 22q11DS had schizophrenia, and 63% versus 24% of individuals had intellectual disability. Collectively, these data show a shared genetic basis for schizophrenia and schizophrenia-related phenotypes and also highlight the future potential of polygenic scores for risk stratification among individuals with highly, but incompletely, penetrant genetic variants.

Although schizophrenia is typically diagnosed in late adolescence or early adulthood, it is now well established that the first psychotic episode is, in fact, a manifestation of an advanced stage of this disease<sup>1</sup>. Early behavioral, cognitive and neuroanatomic changes are measurable before the first psychotic episode<sup>2–6</sup>. Both lower cognitive ability early in life (the estimated premorbid deficit is 8 IQ points)<sup>7</sup> and cognitive decline in early adolescence (estimated IQ change equal to  $-1.09$  s.d.)<sup>8</sup> are associated with schizophrenia, with effect sizes in the range of 0.4–0.5 (ref.<sup>7–13</sup>). In addition, sub-threshold psychotic symptoms in youth also index increased risk for schizophrenia<sup>14–16</sup>. These observations raise an important question: Do early cognitive phenotypes and sub-threshold symptoms of psychosis share a substantial genetic basis with either schizophrenia or intellectual ability?

Early schizophrenia-related phenotypes and trajectories are difficult to study, requiring longitudinal follow-up of large cohorts to capture a sufficient number of schizophrenia cases. At-risk populations facilitate such studies, as fewer individuals need to be followed to obtain the same number of cases. The 22q11DS, which is increasingly identified around birth, provides one such at-risk population<sup>17</sup>, given the associated 20–25% risk to develop schizophrenia<sup>18,19</sup>.

Findings from 22q11DS studies reproduce observations related to schizophrenia in the general population, thereby supporting 22q11DS as a genetic model of schizophrenia, including its early trajectory<sup>20</sup>. In 22q11DS, as in the general population, sub-threshold psychotic symptoms<sup>21</sup>, low baseline intellectual ability and increasing cognitive deficits over time, particularly in verbal IQ (VIQ)<sup>22</sup>, are all associated with increased risk of subsequent psychotic illness.

A large fraction of the heritability of schizophrenia comes from a polygenic burden of multiple common variants, each of small effect<sup>23,24</sup>. Increasingly, polygenic scores derived from genome-wide association studies (GWASs) have been used to study the genetic relationship between phenotypes<sup>25</sup>. For schizophrenia, a polygenic score using a recent GWAS explains up to 7% of the variance on the liability scale. Similarly, polygenic scores for general cognitive function, or proxies thereof, explain 2.5–4.3% of its variance<sup>26,27</sup>. Polygenic scores can also be used for phenotype prediction and, hence, risk stratification<sup>28</sup>. In the general population, they are not yet particularly effective as individual risk predictors<sup>29</sup>, given the relatively low population prevalence of phenotypes, such as schizophrenia and intellectual disability (ID), and the still modest effect sizes conferred by polygenic scores<sup>30</sup>. However, in high-risk populations, such as individuals with 22q11DS, the same effect size acts upon a higher baseline prevalence (for example, 25% for schizophrenia), which might allow for more substantial differences in absolute risk<sup>31</sup>.

The International Brain Behavior Consortium (IBBC) in 22q11.2 Deletion Syndrome has assembled the largest genotype–phenotype data set of individuals with 22q11DS<sup>20</sup>. Previously, the IBBC reported on genetic associations of both common and rare variants in 520 individuals with 22q11DS, exclusively focusing on schizophrenia<sup>32</sup>. The current study presents several novel analyses, conducted in a substantially larger cohort of individuals with 22q11DS ( $n = 962$ ) and including longitudinal IQ data. Our main objectives were two-fold. First, we studied the genetic relationships between schizophrenia and schizophrenia-related

phenotypes of low baseline intellectual ability, cognitive decline and sub-threshold positive psychotic symptoms. Second, we examined the use of polygenic scores for schizophrenia and IQ for individual risk prediction of schizophrenia and ID (IQ <70) in individuals with 22q11DS.

## Results

### Description of data set.

After applying phenotypic classification and performing genotype quality control, data from 962 IBBC cohort members were available for analysis (Table 1 and Methods). Within this cohort, we distinguished individuals with schizophrenia spectrum disorder (SSD;  $n = 207$ )<sup>20</sup>, individuals with sub-threshold psychotic symptoms ( $n = 158$ ) and individuals with neither phenotype, grouped into ‘putative controls’ (age <25 years (‘putative’ given the typical age at onset of schizophrenia)<sup>33</sup>,  $n = 382$ ) and ‘definite controls’ (age ≥ 25 years,  $n = 215$ ). Subsequently, we refer to all controls, regardless of age, as ‘merged controls’ ( $n = 597$ ). Baseline Full Scale Intelligence Quotient (FSIQ) was transformed to  $z$  score as previously described<sup>22</sup>, with an average near 0 (0.03; Table 1). VIQ decline, operationalized as exceeding  $-1$  s.d. (binary), occurred in 5.9% of the cohort.

### Polygenic scores and relationships among schizophrenia, IQ and associated phenotypes.

First, we examined known associations. We constructed polygenic scores for schizophrenia<sup>24</sup> (PS\_SZ) and polygenic scores for intellectual ability<sup>34</sup> (PS\_IQ) using standard methods and performed statistical analyses using either linear or logistic regression as appropriate, adjusting for age, sex and the first five principal components from the imputed genotypes<sup>32</sup> (Methods). We observed a significant association between SSD cases versus controls and PS\_SZ ( $n = 802$ ,  $P = 4.37 \times 10^{-8}$ , marginal Nagelkerke pseudo  $r^2 = 0.053$ ;  $P$  values reported in the text are nominal) and a similar result when including definite controls only ( $n = 420$ ,  $P = 1.89 \times 10^{-6}$ ,  $r^2 = 0.071$ ) (Table 2 and Extended Data Fig. 1), corroborating previous reports from the comprehensive IBBC genetic analyses related to schizophrenia in 22q11DS<sup>32</sup>. We also observed a significant association between baseline FSIQ and PS\_IQ ( $P = 1.08 \times 10^{-7}$ ), and, consistent with the known genetic correlation between schizophrenia and IQ ( $r^2_g = -0.234$ )<sup>26</sup>, we observed a nominal association between baseline FSIQ and PS\_SZ ( $P = 0.018$ ) as well as a significant association between SSD and PS\_IQ ( $P = 7.15 \times 10^{-4}$ ).

Next, we assessed relationships between schizophrenia-related phenotypes and the polygenic scores. We observed a decreasing trend of PS\_SZ for phenotypes of SSD (mean, 0.23), sub-threshold psychosis (mean, 0.16), putative controls (mean,  $-0.05$ ) and definite controls (mean,  $-0.27$ ) (Fig. 1). PS\_SZ was nominally significantly higher in individuals with sub-threshold psychosis compared to the merged control groups ( $n = 755$ ,  $P = 0.0247$ ,  $r^2 = 0.01$ ; Table 2 and Fig. 1). Finally, we observed a significant association between VIQ decline and PS\_SZ ( $P = 5.09 \times 10^{-3}$ ; Fig. 2). Neither the association between sub-threshold psychosis and PS\_IQ ( $P = 0.056$ ) nor between VIQ decline and PS\_IQ ( $P = 0.658$ ) reached statistical significance.

### Investigations into the relationship between sub-threshold psychosis and PS\_SZ.

Post hoc, we performed three analyses to additionally explore the observed association between sub-threshold psychosis and PS\_SZ. First, given that some fraction of individuals with sub-threshold psychosis will eventually develop SSD, we modeled what proportion would need to develop SSD to be consistent with our findings (Methods). The findings showed that observed levels of PS\_SZ are consistent with a scenario in which 86% (95% confidence interval (CI), 56–100%) of individuals with sub-threshold psychosis would, in fact, represent future patients with SSD who were not yet identified as such at the time of the assessment. This is a proportion inconsistent with known rates of SSD in 22q11DS (Extended Data Fig. 2), rendering it unlikely that our result is driven by ‘future’ SSD cases. Second, we examined whether our observation could be due to confounding through psychiatric comorbidity genetically correlated with SSD (Methods). In this sample, the rate of comorbid mood disorders in the sub-threshold psychosis group was 29.2% versus 22.7% in the merged controls (Table 1). Results from this mediation analysis indicated a lack of attenuation through the mood disorder phenotype (the effect size of PS\_SZ in the model without mood disorder was 0.239,  $P = 0.025$ ; the effect size of PS\_SZ in the model with mood disorder was 0.250,  $P = 0.021$ ) (Supplementary Table 1), indicating that the observed increased PS\_SZ in sub-threshold psychosis is not readily explained by the higher rate of mood disorders in this group. Third, as a source of additional evidence, we explored the use of residual quantitative variation in the measure of sub-threshold psychosis through a transformed quantitative measure of this phenotype (Structured Interview for Prodromal Syndromes (SIPS<sup>35</sup>)) (Methods). When adjusting for the previous binary indicator of sub-threshold psychosis versus control, the association between the transformed quantitative SIPS phenotype and PS\_SZ was not significant ( $n = 347$ ,  $P = 0.77$ ,  $r^2 = 0.0001$ ; Supplementary Fig. 1).

### Polygenic score and individual risk prediction.

Addressing the second objective of our study, we investigated the extent to which polygenic scores could be used for individualized risk prediction among individuals with 22q11DS. We divided the cohort into quantiles based on polygenic scores, and calculated positive predictive values (PPVs) in each. For SSD, 32% of individuals with scores above the median PS\_SZ had SSD (that is, a PPV of 32%) versus 20% of those with scores below the median (odds ratio (OR) = 1.91; 95% CI, [1.38, 2.64];  $P = 8.4 \times 10^{-5}$ ) (Fig. 3 and Supplementary Table 2). Values at the tails showed more extreme differences, with those exceeding the 90th percentile at substantially higher risk (33%) than those in the lowest decile (9.1%). Simulating an effect for the general population, using the observed effect sizes and assuming a general population prevalence of SSD of 1%, generated substantially smaller absolute differences (Fig. 3).

Similarly, using intellectual ability as a binary outcome (ID as  $IQ < 70$ ), we observed a higher rate of ID among individuals with a PS\_IQ below the median versus above (PPV = 49% versus 34%; OR = 1.85; 95% CI, [1.37, 2.51];  $P = 7.1 \times 10^{-5}$ ). This effect is accentuated at the tails, with PPVs of 63% for individuals in the lowest decile for PS\_IQ (that is, associated with lower IQ in the general population) versus 24% for individuals in the highest decile of PS\_IQ (Fig. 3 and Supplementary Table 3).

## Discussion

In this study, we used polygenic scores from large GWASs for schizophrenia and IQ both to better understand the association between schizophrenia and schizophrenia-associated phenotypes and to assess their potential for individual risk prediction. In the first part of the study, we confirmed several results known to occur in the general population and showed that known relationships between schizophrenia and IQ extend to individuals with 22q11DS. We observed that a polygenic score for IQ explained ~3.8% of the variance in IQ in 22q11DS, suggesting that the previously observed association between parental educational attainment and cognitive outcome in offspring with 22q11DS<sup>36</sup> might be at least partly explained by common variants.

In addition, we identified two novel associations between schizophrenia-related phenotypes and schizophrenia. First, we observed a novel association between sub-threshold psychosis and PS\_SZ. Given the nominal statistical significance of this observation, we performed several post hoc investigations to rule out potential confounding sources, showing that neither undiagnosed ‘future’ cases nor comorbid mood disorders in our samples can explain the observed signal. We also examined residual quantitative variation in sub-threshold psychosis and found that the association between this transformed quantitative variable and PS\_SZ was not significant when adjusting for the previous binary indicator of these phenotypes. However, it is worth noting that a priori power for this analysis was limited and dependent on strong assumptions. Interestingly, studies on genetic correlations between sub-threshold psychotic symptoms and PS\_SZ in the general population reported to date are conflicting<sup>37–39</sup>, impeding definite evidence in this regard. Regarding sub-threshold psychotic symptoms and schizophrenia in 22q11DS, we conclude that our findings tentatively suggest a genetic correlation, but further studies are required to provide more certainty.

In addition, we observed a novel and significant association between VIQ decline and PS\_SZ, but not PS\_IQ, suggesting that common risk variants for schizophrenia contribute to cognitive decline, whereas common variants associated with cognitive ability might not. A possible implication of these results is that cognitive decline before the first psychotic episode might not merely be a risk factor for schizophrenia, as reported previously for 22q11DS<sup>22</sup> and idiopathic schizophrenia<sup>40,41</sup> but also shares its genetic underpinnings. A previous study in a subset of this cohort showed that cognitive decline preceded the onset of the first psychotic episode by several years<sup>22</sup>, making reverse causation—that is, cognitive decline as a consequence of psychosis—a less likely explanation. The observed cognitive decline in 22q11DS could be caused by the inability of patients to keep up with peers or, alternatively, represent an absolute loss of cognitive abilities or a combination of both. The current analyses do not distinguish between these, but previous studies in 22q11DS found evidence in support of both mechanisms<sup>42,43</sup>. We cannot fully exclude the possibility that the observed cognitive decline could be affected by the negative effect of psychosis on cognitive testing. However, this is an unlikely explanation given that all study sites refrained from assessing individuals who were acutely psychotic, as is common clinical policy. Furthermore, in our data, the mean age at IQ assessment was below the age at psychosis onset for both baseline (14.8 and 20.6 years, respectively) and longitudinal IQ data (18.2 and



20.3 years, respectively). In addition, in individuals without psychosis, 55% showed an IQ decline versus 45% stable or increase ( $P = 0.02$ ), indicating that, on average, a modest cognitive decline can be observed in 22q11DS regardless of the occurrence of a psychotic disorder, as previously reported<sup>22</sup>. Taken together, our findings are consistent with the notion that disruption of normal cognitive development is a core component of schizophrenia<sup>11</sup>, and investigation of high-penetrance variants for both phenotypes offers important insights into its mechanism.

In the second part of the study, we examined to what extent polygenic scores could be used for individual risk prediction of SSD and ID among individuals with 22q11DS. Whereas, in research, the existence of association between test and outcome is most relevant, in the clinic, the PPV is key, as it enables stratification of individuals into groups with different outcome probabilities that can inform clinical decision-making<sup>30,44</sup>. Previous studies showed that high-risk copy number variant carriers with schizophrenia have increased polygenic scores<sup>45,46</sup>, including, specifically, 22q11DS<sup>32,45</sup>, but these studies did not look at stratification within those groups. Importantly, PPV depends not only on the strength of association but also on the baseline prevalence. In the current study, we examined risk stratification among individuals with 22q11DS, taking advantage of the higher baseline prevalence of schizophrenia and ID compared to the general population (in our sample, 23% and 41%, respectively). Among individuals in the highest PS\_SZ risk decile, 33% had schizophrenia versus 9% in the lowest decile. Applying the same effect sizes to the general population would yield estimates of 1.5% and 0.3%, respectively. Similarly, 63% of individuals in the lowest PS\_IQ decile had ID versus 24% in the highest decile.

The observed differences between PPVs in our study are similar to those previously reported for BRCA1 and BRCA2 among females for breast cancer risk<sup>31</sup> and males for prostate cancer risk<sup>47</sup>. The concept of using polygenic background to inform individual risk prediction and clinical decision-making is an area of active investigation<sup>48</sup> and is being incorporated into clinical trials for common medical conditions (for example, ref.<sup>49</sup>). Although our findings highlight the potential clinical utility of polygenic scores in the context of a high-penetrance variant such as 22q11DS, the PPVs reported here are not yet sufficient to affect clinical decision-making. In addition, although risk prediction enables stratification within high-risk populations, it is important to note that the reduction in risk of individuals in the lowest risk strata within the 22q11DS population does not bring them to population risk levels. At present, compared to the general population, increased risk for certain outcomes remains a clinical reality for all patients with 22q11DS, regardless of polygenic score results. However, as ever-increasing GWAS size improves the strength of polygenic score associations, we suggest that polygenic score might have clinical utility in risk models in the near future<sup>29</sup>, particularly in sub-populations selected for a priori increased baseline risk, such as patients with a high-impact mutation such as 22q11DS or patients with behaviorally defined sub-threshold symptoms<sup>50</sup>. Pending more substantial polygenic score effect sizes, as well as robust replication of the findings reported here, there are several areas of potential future clinical utility. For example, in the 22q11DS population, elevated PS\_SZ could be a reason to further intensify monitoring during adolescence, and PS\_IQ might play a role in seeking to prevent misalignment between academic potential and

demands<sup>51</sup>. Taken together, our findings highlight the potential clinical utility of polygenic scores in the context of a high-penetrance variant.

Furthermore, estimating risk raises important ethical questions that require careful consideration. For instance, in the absence of preventative interventions that can alter outcomes such as schizophrenia or ID, it will be essential to examine the balance between benefit and potential harm of exposing caregivers and patients to such information. Studies are required to examine to what extent early risk knowledge can be used to improve outcomes<sup>1</sup>. Findings like those reported here should prompt a broad societal discussion about the ethical framework in which they can be used.

Although this 22q11DS cohort is the largest ever reported, there are limitations to the work shown here. Recruitment into the IBBC cohort is not random, so there will be ascertainment biases that will affect prevalence estimates, but these are not expected to substantially affect the interpretation of the genotype–phenotype results reported here. For all analyses, given the current lack of transferability of polygenic score results across genetic backgrounds<sup>52</sup>, and that GWASs for schizophrenia and IQ are sufficiently large only within European populations to be adequately powered, our results were limited to individuals with 22q11DS who were of European descent. Future large GWASs from diverse backgrounds, as well as methodological improvements, will allow for analyses in more diverse cohorts. In addition, other uncaptured environmental variables are likely to modulate risk among 22q11DS carriers and should, therefore, be included in future studies. Finally, from a multiple testing standpoint, we intentionally restricted the main investigation of schizophrenia and associated phenotypes and polygenic scores to eight tests. Nonetheless, two of the associations, including one of the novel associations, were only nominally significant, necessitating further investigations for more definitive evidence.

In conclusion, common variants associated with schizophrenia risk and IQ variability in the general population modify expression of these phenotypes in 22q11DS. VIQ decline and sub-threshold psychosis at least partly share genetic underpinnings with schizophrenia, highlighting shared causal pathways between these phenotypes. Furthermore, in 22q11DS carriers, polygenic scores enable stratification into high- and low-risk groups substantially in excess of what would be found in a general population setting. We suggest that, in populations with high-risk rare pathogenic genetic variants such as 22q11DS, this approach is nearing a level of differentiation required for clinical utility.

## Methods

### Data set.

All individuals in this study were carriers of the 22q11.2 deletion, confirmed by multiplex ligation-dependent probe amplification<sup>54</sup>, as described previously<sup>32</sup>. All participants were recruited at one of 22 IBBC sites (total  $n = 1,789$ ). Local research ethics boards provided appropriate study approval at all sites, and all individuals, as well as parents/guardians where appropriate, provided written informed consent regarding participation in this research.

### Psychiatric assessment.

Psychiatric assessment was performed using standardized semi-structured interviews<sup>20</sup>, leading to a categorization of each participant in one of the following subgroups: SSD, sub-threshold psychosis, putative control and definite control. SSD included schizophrenia, schizo-affective disorder and related psychotic disorders, such as delusional disorder or psychotic disorder not otherwise specified, all in accordance with Diagnostic and Statistical Manual of Mental Disorders-IV criteria, based on data obtained by semi-structured in-person interviews at each site (see ref.<sup>20</sup> for case consensus procedures). Any individual who had never met criteria for any psychotic disorder diagnosis but had endorsed clinically significant positive symptoms at any time point was included in the sub-threshold psychosis group. Supporting scores from various standardized assessment methods used across sites included symptom scores in the moderate to severe range—that is, scores of 3–5 on SIPS<sup>35</sup>, of above 2 on the Comprehensive Assessment of At-Risk Mental States (CAARMS<sup>55</sup>) or of 2 or higher (probable or definite) on any of the positive symptoms on the Schedule for Affective Disorders and Schizophrenia for School-Age Children (K-SADS<sup>56</sup>). Individuals with 22q11DS without a lifetime diagnosis of any psychotic disorder and who had never endorsed sub-threshold positive psychotic symptoms were considered controls. Given that the risk of developing schizophrenia is most elevated until age 25<sup>17,33</sup>, individuals younger than 25 years at the most recent assessment were considered ‘putative controls’, whereas individuals aged 25 years or older at the most recent assessment were classified as ‘definite controls’ (Supplementary Fig. 2 and demographics in Supplementary Table 4).

### IQ values and definition of cognitive decline.

We previously found baseline FSIQ to be a significant risk factor for subsequent SSD in 22q11DS, whereas the strongest effect size for cognitive decline was observed for VIQ<sup>22</sup>. To remain consistent with our previous observations, we considered first available FSIQ as a measure of baseline intellectual ability and change in VIQ between the first and last available measurement as an index of cognitive decline. Given the moderate cognitive decline that occurs, on average, in this population<sup>42</sup>, we calculated standardized values (*z* scores) derived from the normative chart on which the average IQ trajectory for the 22q11DS population is mapped. Thus, a decline represents a negative deviation from the expected decline in this population.

In seeking to operationalize a cognitive decline as a binary variable, we sought a cutoff between lenient (that is, requiring less severe decline but could introduce too much noise) and conservative (more severe decline but could reduce a priori power). This task is further complicated by potential error variance inherent in the data collection across multiple sites, different versions of the Wechsler Intelligence Scale and different age groups.

We initially performed our analysis using a threshold of more than  $-0.5$  s.d. as the cutoff for VIQ decline. Using this cutoff, the observed association with PS\_SZ was not statistically significant ( $n = 396$ ,  $P = 0.22$ ,  $r^2 = 0.006$ ). Based on the literature on the reliable change index<sup>53</sup>, we subsequently revised our definition of significant change to a more stringent threshold. To minimize the chance that any observed decline was due to chance, we conservatively used the lower boundary of the reliable change index as the cutoff—that is,

defining VIQ decline as a binary variable operationalized as any negative change in  $z$  scores exceeding 1 s.d. difference.

### Genotyping methods and principal components analysis.

For a total of 1,789 individuals with a 22q11.2 deletion, phenotypic data were collected in a central consortium database, and available DNA samples were genotyped at Albert Einstein College of Medicine using Affymetrix Human 6.0 microarrays. We generated imputed genotypes from genotyping microarray data using standard methodological approaches as described elsewhere<sup>32</sup>. After imputation, genotype data for 992 individuals and 6,354,586 autosomal single-nucleotide polymorphisms (SNPs) were available for inclusion. We retained 4 million SNPs that had a minor allele frequency greater than 10% and were not in the major histocompatibility complex (chromosome 6, 26–34 Mbp) or in the 22q11.2 region (chromosome 22, 18,820,303–21,489,474 bp).

For principal components analysis (PCA), we then intersected this with the available GWAS SNPs described below to yield 3.2 million SNPs. We ran PCA on the 992 individuals at the 3.2 million SNPs using PLINK version 1.9, release 180612 (ref.<sup>57</sup>), which revealed between-cohort differences matching geographic ascertainment locations but no obvious outliers for quality control or non-European ancestry (Supplementary Fig. 3). Of the 992 individuals who met the criteria for subsequent analysis, 27 did not fall into one of the four pre-specified phenotype groups: 21 individuals were diagnosed with a mood disorder with psychotic features but did not meet criteria for any non-affective psychotic disorder, and six individuals had insufficient phenotypic data. We further removed three samples that overlapped with the CLOZUK cohort<sup>24</sup>, which was a component of the Psychiatric Genomics Consortium schizophrenia GWAS, yielding a total sample for analysis of  $n = 962$  (Supplementary Fig. 4).

### Polygenic score construction.

We sought out large GWASs that would enable us to generate maximally predictive polygenic scores for schizophrenia and IQ. For SSD, we used published summary statistics from a schizophrenia GWAS from the Psychiatric Genomics Consortium (max  $n$  samples = 77,096)<sup>24</sup>. For intellectual ability and IQ, we used results from Davies et al.<sup>26</sup> from a GWAS for a general intelligence factor or ‘g-factor’<sup>58,59</sup>. However, because released GWAS statistics from this work did not contain beta coefficients, which are necessary for polygenic score construction, we used summary statistics on the largest available component—that is, based on fluid intelligence (max  $n$  samples = 108,818) from [www.nealelab.is](http://www.nealelab.is) analysis extract of the UK Biobank<sup>34</sup>. In this case, fluid intelligence from the general population should capture any common genetic variants in the same fashion as FSIQ and VIQ and, thus, should serve as a suitable proxy.

We built polygenic scores using PRSice2 version 2.1.2 beta<sup>60</sup> under default conditions—that is, using SNPs with an INFO score  $>0.90$ ,  $r^2$  of 0.10 and distance of 250 kbp, where  $r^2$  was calculated on the target data (that is, this cohort). We used pre-specified  $P$  value cutoffs for SNPs for inclusion in the polygenic score based on the  $P$  value reported in the original GWAS that maximized previously reported prediction ability. For schizophrenia, we used a

*P* value threshold of 0.05 (from Extended Data Fig. 5 in ref.<sup>24</sup>), and, for the UK Biobank Fluid Intelligence/IQ, we used 0.10 (from Supplementary Table 2 in ref.<sup>26</sup>), using 0.10 because it was the value that explained the most variance in two of three analyses). For the schizophrenia polygenic score, there were 80,496 SNPs after clumping, whereas, for the IQ polygenic score, there were 80,557 SNPs after clumping.

### **A priori power analyses and estimation of cohort-specific parameter values.**

We conducted power analyses using simulations under a liability threshold model for our primary investigations using available sample sizes, known heritabilities, genetic correlations and assumptions regarding the nature of the relationship between schizophrenia and sub-threshold psychosis. All simulation results assume  $h2\_g$  SZ = 0.46 (ref.<sup>61</sup>),  $h2\_g$  SZ (PRS) = 0.08 (ref.<sup>24</sup>),  $h2\_g$  IQ = 0.25 (ref.<sup>26</sup>),  $h2\_g$  (PRS) IQ = 0.04 (ref.<sup>26</sup>) and  $r\_g$  between SZ and IQ of  $-0.234$  (ref.<sup>26</sup>). In addition, in the absence of pre-existing literature estimates, we assumed  $h2\_g$  sub-threshold psychosis = 0.46 (based on  $h2\_g$  SZ) and  $h2\_g$  VIQ decline = 0.25 (based on  $h2\_g$  IQ) (Supplementary Tables 5 and 6 and Supplementary Figs. 5 and 6).

To estimate cohort-specific parameters necessary for power analyses, we fit the observed data to a parametric likelihood-based model based on the liability threshold model, with parameters as follows: schizophrenia prevalence; sub-threshold psychosis prevalence; two shape parameters assuming the age distribution in the population following a beta binomial distribution; mean and s.d. for age at development of schizophrenia, assuming a normal distribution; and mean and s.d. for age at development of sub-threshold psychosis, assuming a normal distribution.

To explain the model, we considered a generative form—that is, with a population of individuals for study given the parameters above. Subsequently, we first simulated whether an individual would ever develop schizophrenia or sub-threshold psychosis, based on the prevalences of the two conditions (that is, if the prevalence was 20%, then one would simulate phenotypes under a Bernoulli distribution with probability  $p = 0.20$ ). Next, independently, age was simulated, based on the shape parameters controlling the age distribution. Afterwards, age of diagnosis, conditional on ever developing the phenotype, was simulated, based on the parameters controlling the mean and s.d. age of development. From these underlying values for each simulated individual of the current age, whether they will ever develop schizophrenia or sub-threshold psychosis and the age at which they develop the phenotype, the present-day phenotype of these simulated individuals could be determined.

Using constrained optimization, we obtained the parameters that maximized the likelihood of our real data under the above-described model. To obtain a CI for each parameter, we determined the maximum values of that parameter where twice the difference in log likelihood between the maximum likelihood estimation and that point was less than the chi-squared statistic with the appropriate number of degrees of freedom. Before applying the model to real data, we first simulated under the model to verify that we could recover parameter estimates on similar-sized data sets, which confirmed the accuracy of the model (results not shown). We next generated parameter estimates on the real data (Supplementary

Table 5). We used these parameter estimates and other literature-derived estimates in the power analyses that were performed.

### **Regression analysis.**

We assessed relationships between PS\_SZ and PS\_IQ and binary phenotypes using logistic regression (SSD, sub-threshold psychosis and VIQ decline) and linear regression for quantitative phenotypes (baseline FSIQ), adjusting for age, sex and the first five principal components from the imputed genotypes, with the principal components calculated using PLINK. All statistical tests reported in this manuscript are two sided unless otherwise noted.  $r^2$  reported from linear regression is standard unadjusted  $r^2$ , whereas, from logistic regression, it is Nagelkerke  $r^2$ .

### **Effect of future SSD cases as a source of confounding between sub-threshold psychosis and PS\_SZ.**

We modeled a scenario whereby the PS\_SZ signal would be driven by the presence of individuals with future, as of yet undiagnosed, SSD in the sub-threshold psychosis group. In essence, we estimated, in this scenario, what proportion of such future SSD cases would be required to explain the observed PS\_SZ in the sub-threshold psychosis group.

### **Genetically correlated traits as a source of confounding between sub-threshold psychosis and PS\_SZ.**

We examined whether the observed PS\_SZ results in the sub-threshold psychosis group could originate from increased rates of other psychiatric phenotypes that are genetically correlated with schizophrenia. Available IBBC data allowed us to analyze this possibility for comorbid mood disorders. Underlying assumptions for our mediation analysis were based on extrapolations of the IBBC data and include increased rates of (future) SSD (~40%) and mood disorder (49%) in the sub-threshold psychosis group, compared to ~17% rates for both phenotypes in controls.

### **Quantitative measure of sub-threshold psychosis as additional evidence for relationship between sub-threshold psychosis and PS\_SZ.**

In a subset of 347 of 962 individuals with a well-defined phenotype and imputed genotype data, we were able to obtain an integer-coded measure of sub-threshold psychosis from SIPS<sup>35</sup>. We first generated a transformation from the integer-coded, non-normally distributed quantitative SIPS score by fitting an exponential distribution using the least square estimate, yielding a transformation, in R, of 'qnorm(pexp( $q = x + 0.5$ , rate = 0.2238))', where  $x$  is the original integer-coded SIPS score (Supplementary Fig. 7). This yields a more approximately normally distributed value.

We assessed power to detect an association between the quantitative SIPS-based phenotype and PS\_SZ using simulations. Using the same assumptions listed before regarding heritabilities and predictive accuracies of polygenic scores, we first simulated an underlying total liability (genetic and environmental) for the quantitative sub-threshold psychosis. As before, this total liability becomes binary under a liability threshold model, giving us the binary definition of sub-threshold psychosis. In addition, using the continuous total liability,

we generated an integer-coded value (representing a simulated SIPS score) as `'round(qexp(pnorm(Y_sub), rate = 0.2238))'`. We then re-transformed this to a continuous value using its inverse `'qnorm(pexp(q = x + 0.5, rate = 0.2238))'`, and from this we could calculate power for detecting an association between the quantitative sub-threshold psychosis and PS\_SZ, with or without conditioning on the binary phenotype (Supplementary Fig. 8).

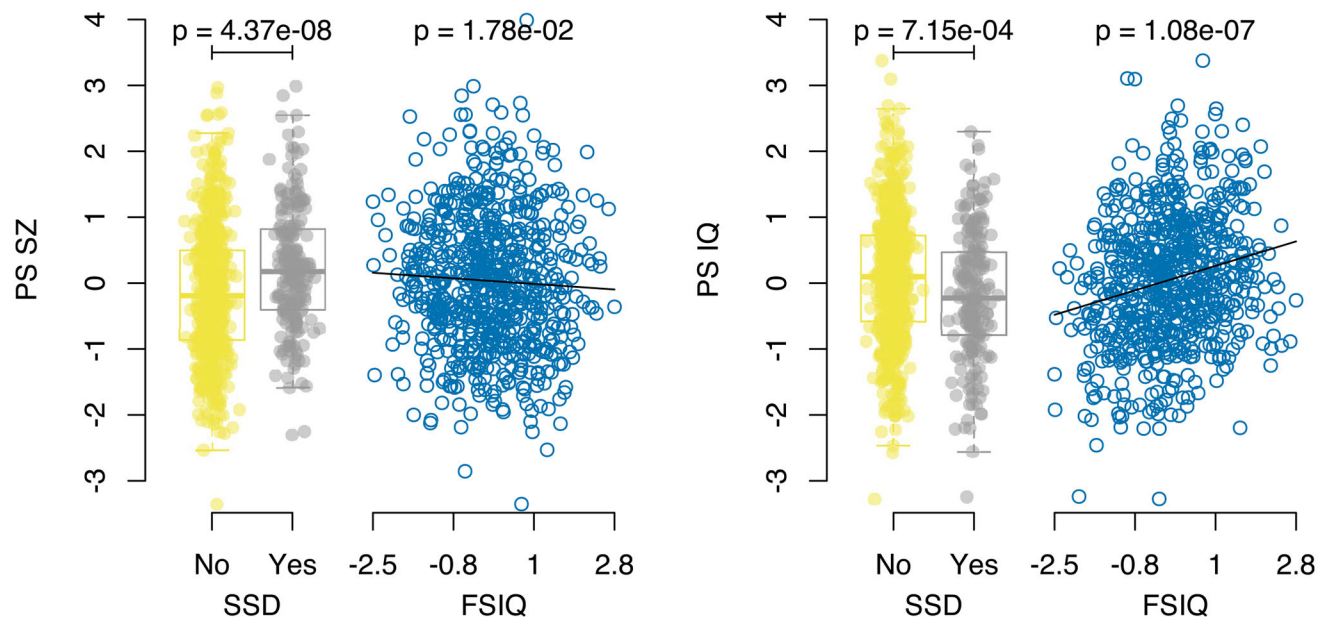
### Calculation of PPVs.

We calculated PPV in the traditional way, given binary phenotypes schizophrenia and ID, and observed PS\_SZ and PS\_IQ among 22q11DS samples. We also estimated PPVs for the general population using known estimates of general population prevalence of schizophrenia and ID as well as sensitivity and specificity values derived from our analysis in this 22q11DS sample.

### Reporting Summary.

Further information on research design is available in the Nature Research Reporting Summary linked to this article.

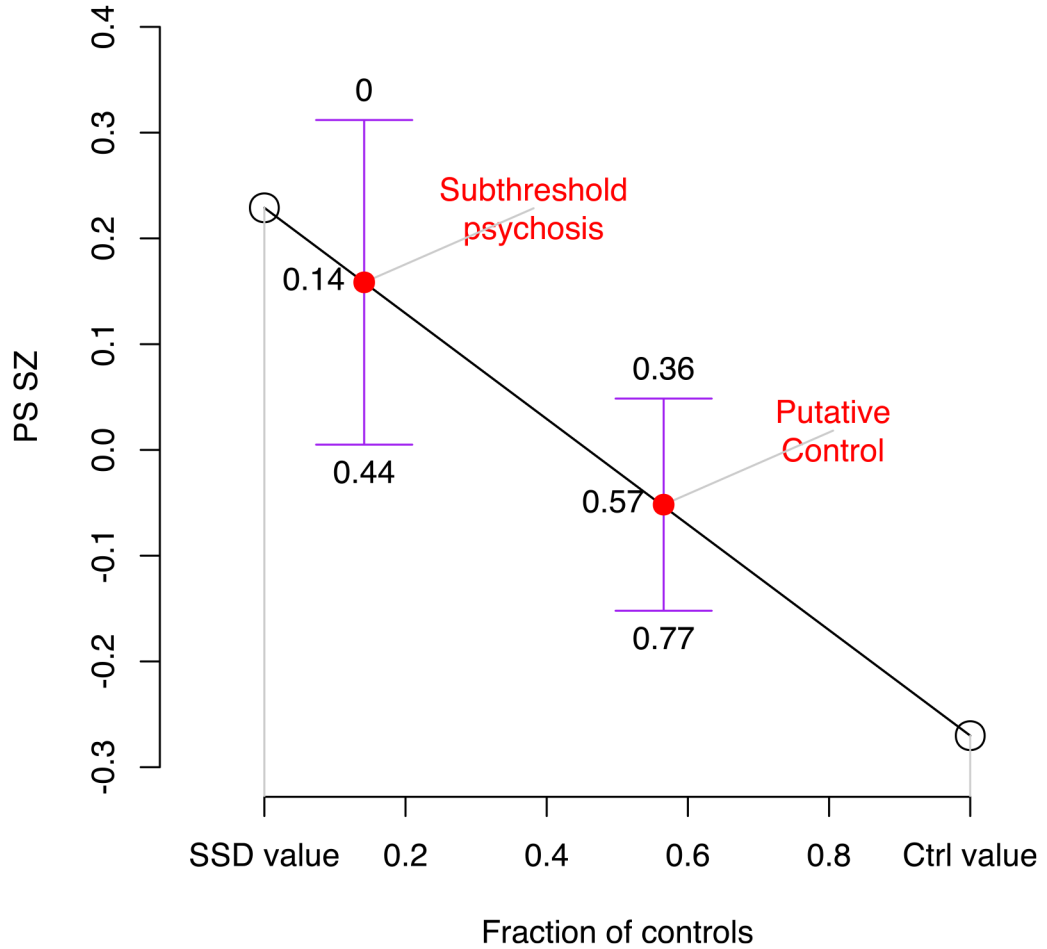
### Extended Data



#### Extended Data Fig. 1 | Relationship between polygenic scores and previously studied phenotypes.

Results for the binary SSD phenotype show per-individual values as well as summaries per group, where minimum and maximum values are directly observable from the plot, the boxplot centre is the median, the boxplot edges represent the 25<sup>th</sup> and 75<sup>th</sup> percentiles, and the whiskers represent the lesser of the distance to the minimum or maximum value, or 1.5 times the inter-quartile range. Results are shown for logistic regression of SSD on controls (N = 802) and linear regression for FSIQ (N = 720), for both PS\_SZ (left panel) and PS\_IQ

(right panel). P-values are reported from regression analyses and are two sided and are not corrected for multiple testing.



**Extended Data Fig. 2 |. Inferred contribution of controls and future SSD cases given PS SZ.** Shown on the y-axis are group means of PS\_SZ, on the x-axis the fraction of controls. For SSD and controls the fractions of controls were taken as 0 and 1, respectively (open circles). For subthreshold psychosis and putative controls they were inferred through the observed PS-SZ values for each group, using linear interpolation based on fitting a straight line between SSD and control values (red circles). Confidence intervals are shown for the group mean values for subthreshold psychosis and putative controls, as the mean plus or minus 1.96 times the standard error, and above and below these confidence intervals are the inferred fraction of controls this would represent. The observed PS\_SZ in the subthreshold group is consistent with a scenario in which 86% (95% CI 56 – 100%) of individuals who had subthreshold psychotic symptoms at the time of the assessment for this study would subsequently transition to SSD, a proportion inconsistent with known rates of SSD in 22q11DS.



## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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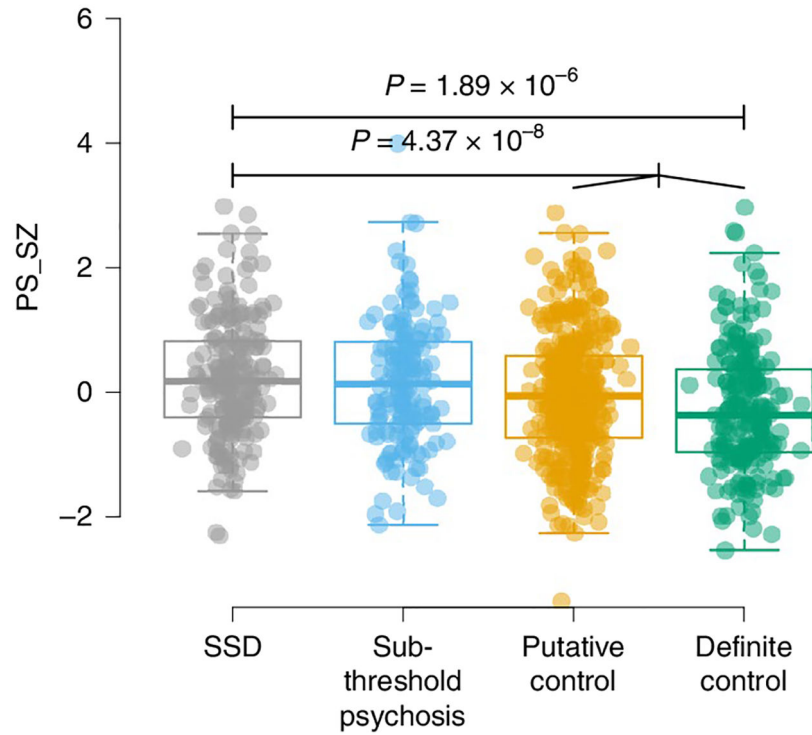
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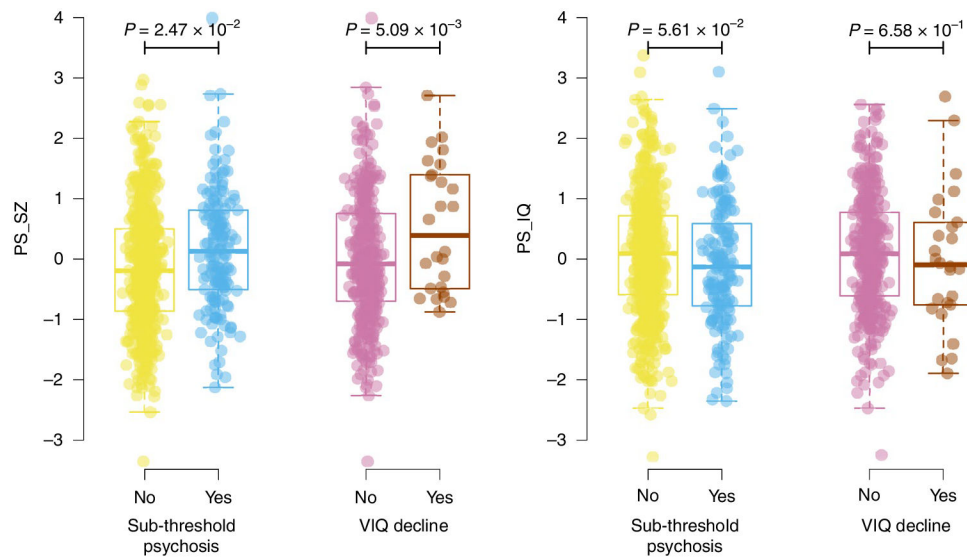
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**Fig. 1 | PS\_SZs among phenotypic subgroups.**

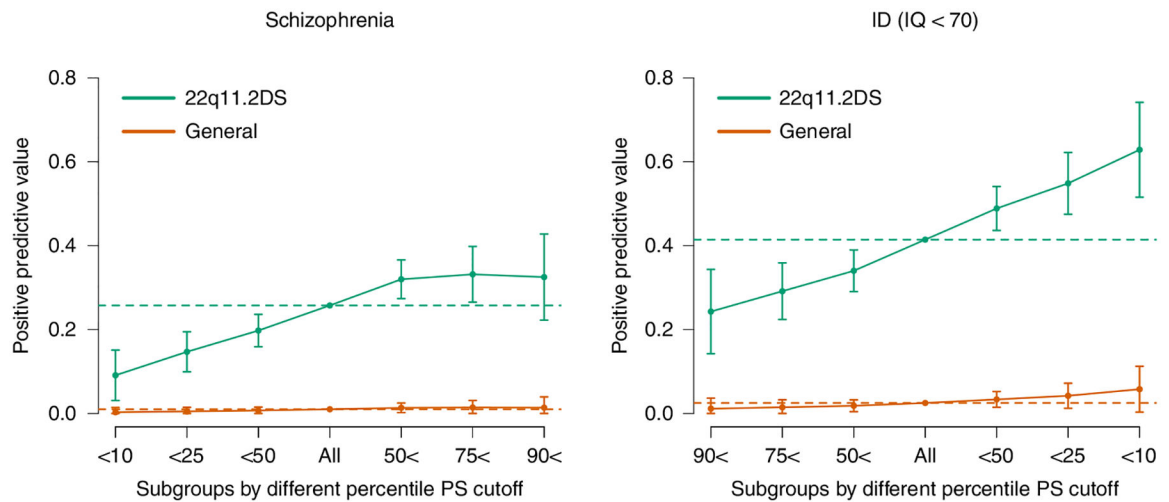
Results show per-individual values as well as summaries per group, where minimum and maximum values are directly observable from the plot; the box plot center is the median; the box plot edges represent the 25th and 75th percentiles; and the whiskers represent the lesser of the distance to the minimum or maximum value or 1.5 times the interquartile range. Associations of PS\_SZ in 22q11DS with SSD, sub-threshold psychosis, putative controls and definite controls are shown. Results for PS\_SZ and SSD were reported previously<sup>32</sup> and are included in this figure for completeness. Total sample sizes for the highlighted associations are  $n = 423$  (SSD versus putative controls) and  $n = 802$  (SSD versus merged controls).  $P$  values are reported for select comparisons using two-sided logistic regression analyses uncorrected for multiple testing using covariates as specified in the Methods.



**Fig. 2 |. Relationship between polygenic scores and novel phenotypes.**

Results show per-individual values as well as summaries per group, where minimum and maximum values are directly observable from the plot; the box plot center is the median; the box plot edges represent the 25th and 75th percentiles; and the whiskers represent the lesser of the distance to the minimum or maximum value or 1.5 times the interquartile range. Results are shown for regressions of sub-threshold psychosis versus merged controls ( $n = 755$ ) and VIQ decline ( $n = 396$ ) for both PS\_SZ (left) and PS\_IQ (right).  $P$  values are reported for two-sided logistic regression analyses uncorrected for multiple testing using covariates as specified in the Methods.





**Fig. 3 |. Individual risk prediction.**

PPVs ( $y$  axis) for SSD (left) and ID (right) based on various cutoffs of PS\_SZ (left) or PS\_IQ (right). Colors differentiate values from the 22q11DS cohort (turquoise) versus values estimated from the general population (orange) given observed prevalences in the population (SSD = 0.01 and ID = 0.025; dotted lines) and observed ORs. Whiskers represent confidence intervals ( $\pm 1.96 \times$  standard error) around the central PPV estimate.

Table 1 |

## Clinical characteristics of sample cohort

	SSD	Sub-threshold psychosis	Putative control	Definite control	All	$p^a$
$n$ max	207	158	382	215	962	N/A
Sex, % male	49	49	54	39	49	0.008
Age at last assessment (years) <sup>b</sup>	31.6 (12.7) [205], {7.64}	17.9 (5) [158] {8.36}	15.2 (4.6) [382] {5.24}	36.8 (9.9) [215], {25.67}	24 (12.4) [960], {5.67}	$2.0 \times 10^{-167}$
Baseline FSIQ <sup>b,c</sup>	-0.34 (0.87) [145], {-2.2}	0.13 (0.96) [127] {-2.3}	0.07 (1.03) [308] {-3.2}	0.24 (0.85) [120], {-2.2}	0.03 (0.97) [700], {-3.3}	$1.8 \times 10^{-6}$
Binary VIQ decline <sup>d,e</sup>	11.9% [59]	5.7% [87]	4.5% [198]	4.7% [43]	5.9% [387]	0.21
Comorbid mood disorders <sup>d</sup>	41% [144]	29.2% [154]	16% [363]	38.6% [153]	27.1% [814]	$1.7 \times 10^{-10}$

<sup>a</sup>  $P$  values are from an analysis of variance of phenotype by group and are two sided and not corrected for multiple comparisons.

<sup>b</sup> Quantitative phenotypes are given as mean (s.d.) [ $n$ ] {range}.

<sup>c</sup> Baseline FSIQ is given as a  $z$  score using a previously defined normalization procedure<sup>22</sup>.

<sup>d</sup> Binary phenotypes are given as percent true [ $n$ ].

<sup>e</sup> Binary VIQ decline is operationalized as VIQ decline 1 s.d. (that is, 1  $z$  score), as defined by the reliable change index<sup>53</sup>.

Table 2 |

## Key regression results

Dependent variable	IV	n	$\beta$	$r^2$	P
SSD	PS_SZ	802	0.56	0.053	$4.37 \times 10^{-8}$ *
Sub-threshold psychosis		755	0.24	0.01	0.0247
Baseline FSIQ		720	-0.096	0.0077	0.018
VIQ decline		396	0.66	0.051	0.00509*
SSD	PS_IQ	802	-0.30	0.020	$7.15 \times 10^{-4}$ *
Sub-threshold psychosis		755	-0.18	0.0072	0.056
Baseline FSIQ		720	0.20	0.038	$1.08 \times 10^{-7}$ *
VIQ decline		396	-0.096	0.0013	0.658

Results are adjusted for standard covariates as described in Methods.  $\beta$  is the standard regression effect size estimate.  $r^2$  denotes the difference between model fit with or without independent variable (IV), using either standard  $r^2$  from linear regression or Nagelkerke pseudo  $r^2$ . Nominal P values are reported. Asterisk (\*) indicates a significant result after Bonferroni correction for eight independent main analyses in this study (two polygenic scores and four phenotypes).