

UC Davis

UC Davis Previously Published Works

Title

Effectiveness of Collaborative, Trauma-Informed Care on Depression Outcomes in Primary Care: A Cluster Randomized Control Trial in Chile.

Permalink

<https://escholarship.org/uc/item/2p740508>

Journal

Annals of Family Medicine, 22(6)

Authors

Vitriol, Verónica
Cancino, Alfredo
de la Luz Aylwin, María
[et al.](#)

Publication Date

2024

DOI

10.1370/afm.3184

Peer reviewed

Effectiveness of Collaborative, Trauma-Informed Care on Depression Outcomes in Primary Care: A Cluster Randomized Control Trial in Chile

Verónica G. Vitriol, MD, Mg¹

Alfredo Cancino, MD¹

María de la Luz Aylwin, PhD^{1,2,3}

Soledad Ballesteros, BA¹

Andrés F. Sciolla, MD⁴

¹Medical School, University of Talca, Talca, Chile

²Associative Research Program in Cognitive Sciences, Research Center in Cognitive Sciences, Faculty of Psychology, University of Talca, Talca, Chile

³Neurophysiology Laboratory, Medical School, University of Talca, Talca, Chile

⁴Department of Psychiatry and Behavioral Sciences, University of California School of Medicine, Los Angeles, California

ABSTRACT

PURPOSE The purpose of this study was to evaluate the effectiveness of collaborative trauma-informed care (CTIC) for treating depression in primary care in Chile.

METHODS From August 2021 through June 2023, 16 primary care teams in the Maule Region of Chile, were randomly assigned to either the CTIC or usual treatment (UT) group. At baseline, 3 months, and 6 months, 115 patients in the CTIC group, and 99 in the UT group, were blindly evaluated. The primary outcome was reduction in depressive symptoms. Secondary outcomes included improvement in anxiety symptoms, interpersonal and social functioning, emotional regulation, and adherence. Intention-to-treat data analysis, using analysis of covariance was conducted.

RESULTS There were 214 patients recruited; 85% were women, and 61% had 4 or more adverse childhood experiences. At 6 months, depressive symptoms declined significantly in the CTIC arm relative to UT (adjusted mean difference [AMD] = -3.09 , 95% CI, -4.94 to -1.23 ; $d = -0.46$, 95% CI, -0.73 to -0.18 ; $P = .001$). Anxiety symptoms exhibited a trend toward improvement in the CTIC vs UT group (AMD = -1.50 , 95% CI, -3.03 to 0.31 ; $P = .055$). No significant differences were observed in other secondary outcomes, except for adherence, which was significantly higher in the CTIC vs UT groups (AMD = 2.59 , 95% CI, 1.80 - 4.99 ; $P = .035$).

CONCLUSIONS The CTIC approach demonstrated superior outcomes in treating depression and improving adherence compared with UT. Moreover, the observed trends in anxiety improvement warrant further exploration in future research with a larger sample size. It is necessary to assess the effectiveness of this approach in treating more complex, difficult-to-treat forms of depression.

Ann Fam Med 2024;22:467-475. <https://doi.org/10.1370/afm.3184>

BACKGROUND

In Chile, and globally, depression is a substantially disabling public health concern, disproportionately affecting women at twice the rate of men.^{1,2} Before the COVID-19 pandemic, studies indicated that 18.2% of Chilean adults experienced depressive symptoms, with 6.2% meeting the criteria for major depression.³⁻⁴ During the pandemic, depressive symptoms surged by 40.2%.⁵

Since 2006, Chile's national mental health program has focused on depression, with primary care managing 90% of cases and referring individuals with actual suicidal conduct, suspected bipolar disorder, or signs of psychosis to specialists.^{6,7} Remission rates at primary care level are about 55%.⁸ Research indicates that one-half of the primary care patients exhibit a complex form of depression, characterized by comorbidities, suicide attempts, interpersonal difficulties, impaired social functioning, and adverse childhood experiences (ACEs), leading to worse outcomes.^{9,10} These patients receive minimal interventions, averaging just 2 medical and psychological sessions per year.¹⁰ This evidence highlights a critical gap in research and practice in Chilean primary care regarding the management of depression and its more complex presentations.^{11,12}

The complex form of depression in Chilean primary care⁹⁻¹² has characteristics similar to treatment-resistant depression (TRD), defined as an inadequate response to at least 2 antidepressants despite adequacy of the treatment trial and adherence to treatment.¹³ Treatment recommendations for TRD primarily involve



ATC [Annals Journal Club selection](#)

Conflicts of interest: authors report none.

CORRESPONDING AUTHOR

Verónica G. Vitriol
Medical School
Universidad de Talca
Av. San Miguel
Talca, Chile
vvitriol@utalca.cl

pharmacotherapy.^{13,14} Experts recommend redefining TRD as difficult-to-treat depression (DTD) that requires a chronic care approach focused on symptom management and functional recovery.^{15,16} Trauma-informed care principles are also proposed because of the impact of trauma and socioeconomic factors on depression and suicidal tendencies.¹⁷⁻¹⁹

Both DTD and trauma-informed care approaches, support a collaborative care model for chronic diseases, which involves case managers, structured patient-centered approaches with scheduled follow-ups, and interprofessional communication.^{20,21} Implementing a training program for multidisciplinary teams, integrating a bio-psychosocial approach with trauma-informed care and collaborative care, is proposed to improve depression outcomes in primary care in Chile.

Our aim was to compare the effectiveness of collaborative trauma-informed care (CTIC) for depression vs usual treatment (UT) on clinical and functional outcomes in a cluster randomized clinical trial (RCT) deployed in primary care clinics in the Maule Region of Chile.

METHODS

Study Design

A single blind, 2-arm RCT was conducted from August 2021 through June 2023 in 16 primary care centers in the Maule Region of Chile. The trial protocol was approved by the Institutional Review Board of the University of Talca and registered in the clinical trial registration NCT05016388 (<https://clinicaltrials.gov>). The study (SAI200031) was funded by the Chilean National Research and Development Agency Health Research and Development Fund (ANID-FONIS).

The 16 primary care centers were matched by socioeconomic status and patient income and blindly randomized by 1 of the investigators (M.L.A.) using a pseudorandom algorithm in MATLAB (MathWorks, Inc). The centers were blindly assigned to the CTIC or UT group ([Figure 1, Supplemental Appendix](#)).

Study Groups

The CTIC teams comprised of a physician, psychologist, and social worker received 27 hours of training on the CTIC approach (intervention), emphasizing trauma-informed care and DTD,^{19,22,23,24} while respecting current clinical guidelines. ([Table 1, Supplemental Appendix](#)). The

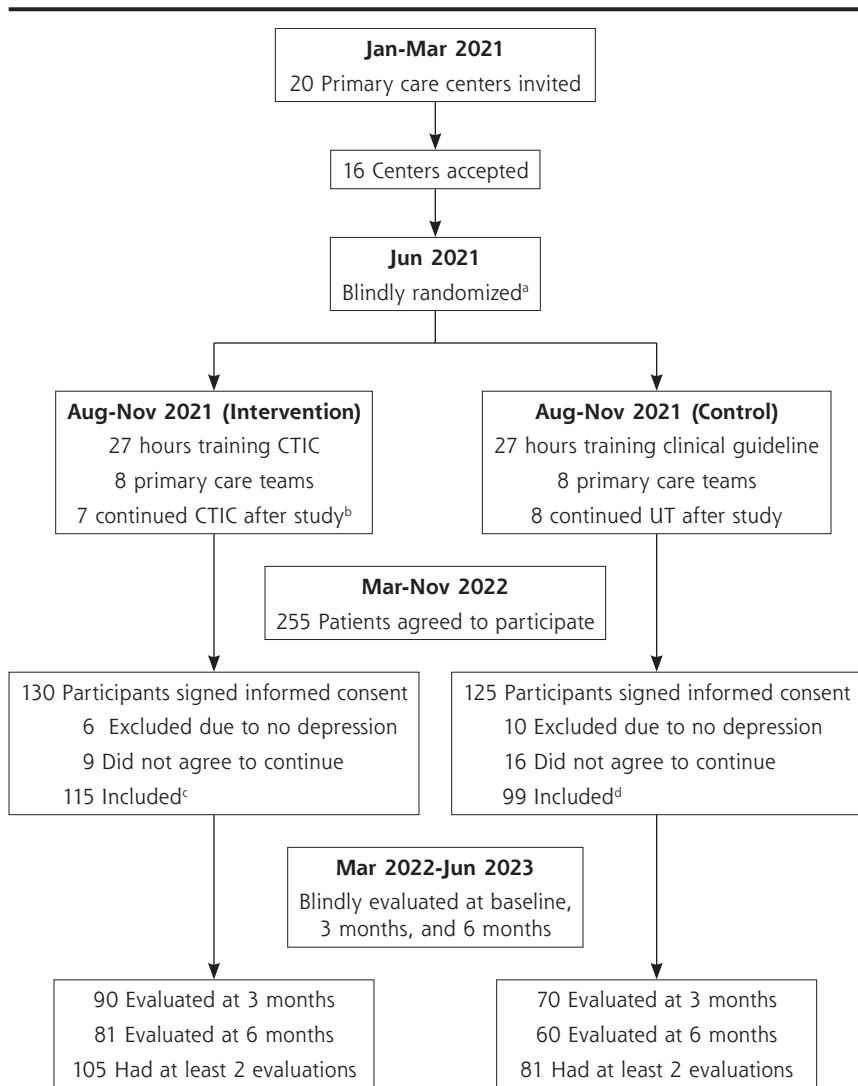
training was conducted by V.G.V., A.C., and A.F.S. After training, teams applied the model with a case manager, personalized patient interviews, validated self-report instruments, and monthly psychiatrist supervision for 3 months ([Figure 2, Supplemental Appendix](#)).

The UT group primary care professionals received 27 hours of training on UT (control), conducted by Jorge Calvo, MD and Antonio Arellano, MD on the current Depression Treatment Guidelines⁶ ([Supplemental Appendix](#)).

Participants

Considering previous studies, a difference of 20% between arms, an α level of 5%, a power of 80%, a confidence level

Figure 1. Flowchart of recruitment process.



CTIC = collaborative trauma-informed care; UT = usual treatment.

^a The 16 centers were coded with letters A-P, then randomly assigned to the intervention (A, C, G, H, I, K, M, O) and control groups (B, D, E, F, J, L, N, P).

^b The center coded C did not continue to use CTIC procedures after the study.

^c Number of intervention participants by center code was A 13, C 0, G 23, H 18, I 15, K 13, M 10, O 23.

^d Number of control participants by center code was B 14, D 26, E 19, F 9, J 14, L 8, N 9, P 3.

of 95%, and a maximum variance of 50%, an initial sample of 394 patients from 8 clinics was calculated^{10,25,26} ([Supplemental Appendix](#)). Due to the COVID-19 pandemic, however, the number of centers was adjusted to 16.

Adults aged 18 to 70 years admitted for treatment of depression in primary care clinics of the Maule Region with a confirmed diagnosis of depression, according to the Mini-International Neuropsychiatric Interview (MINI),²⁷ were included in the study. Those with sensory disabilities, no access to a telephone, who had been referred to a specialist level, or were unable or unwilling to sign the informed consent, were excluded from the study.

Procedures

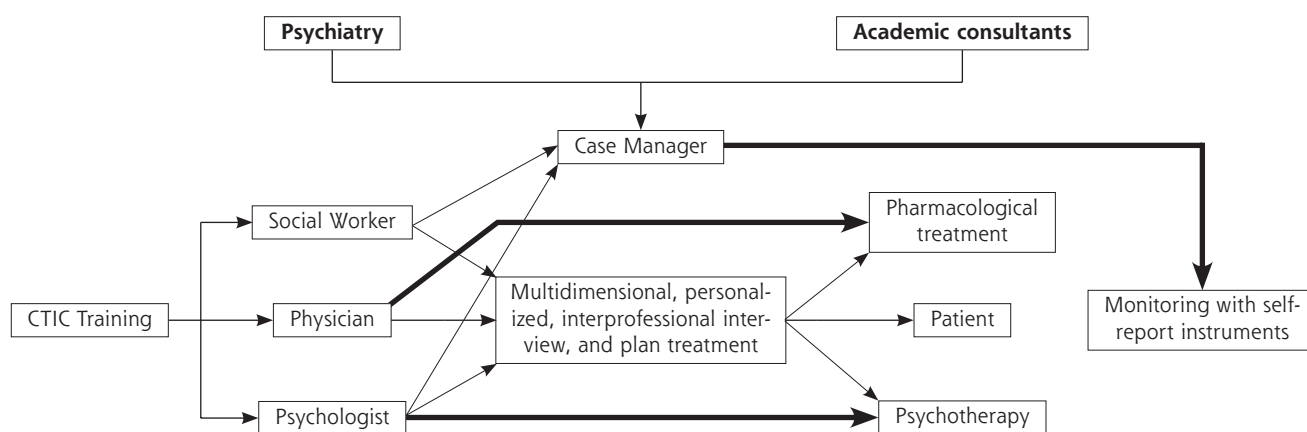
At each clinic, a member of the primary care team recruited study participants who provided written informed consent. These participants were then referred to 2 psychologists (Marcela Ormazábal, BS, S.B.) who were kept unaware of the patients' assigned group and allocated them to an external evaluation team made up of 10 psychiatry residents. A protocol for managing emergency cases was established ([Supplemental Appendix](#)).

Table 1. CTIC Training for the Treatment of Depression in Primary Care

Learning Units	Main Learning Objectives
Framework for CTIC in treating depression	Recognize and differentiate the characteristics and treatment challenges associated with complex depression, TRD, and DTD.
Trauma-informed care	Understand and apply the fundamental principles of trauma-informed care, including its epidemiological foundations, neurobiological mechanisms, and clinical implications to improve diagnosis, treatment, and patient management.
Attachment and mentalization in the helping relationship	Integrate the concepts of bonding, mentalization, and attachment styles to understand their impact on depression and develop tailored therapeutic strategies.
Resilience	Understand and apply the concepts of resilience to understand their impact on depression, and develop tailored therapeutic strategies for enhancing patient resilience in treatment plans.
Multi-professional comprehensive diagnostic workshop	Conduct a multidimensional and interprofessional evaluation of depression cases based on complexity. This includes categorizing the type of depression, evaluating its severity, establishing differential medical and psychiatric diagnoses, and sensitively inquiring into adverse biographical history.
Multi-professional comprehensive treatment workshop	Develop a comprehensive and multi-professional plan of treatment for depression in primary care based on clinical complexity.
Multi-professional comprehensive monitoring workshop	Develop a comprehensive and multi-professional monitoring plan for depression in primary care tailored to the clinical complexity.
Collaborative model workshop	Integrate elements that can be implemented in primary care to support CTIC for depression including use of a case manager, follow-ups with standardized scales, and consultation with psychiatric experts.

CTIC - collaborative trauma-informed care; DTD = difficult-to-treat depression; TRD = treatment resistant depression.

Figure 2. Collaborative trauma-informed care for treating depression in primary care.



Psychiatry is in contact with the case manager and helps the primary care physician with consultations.

The primary care teams received 27 hours of training in CTIC. They then conduct a personalized interprofessional interview and plan treatment. Each team member continues supporting the patient based on their expertise. During the treatment they may participate in consultancies with psychiatry.

A case manager, who may be a social worker, nurse, or psychologist with appropriate training, plays a key role. This individual is responsible for monitoring the patient and liaise the team with the psychiatry and academic consultants as needed.

CTIC = collaborative trauma-informed care.

The residents received a 4-hour training session on data standardization and were supervised by Marcela Ormazábal, BS and S.B. The participants were evaluated at the time of inclusion using a semi-structured clinical interview, the ACEs inventory,²⁸ the MINI,²⁷ and a set of instruments to assess outcomes at baseline, 3 months, and 6 months.

Primary Outcome

Depressive symptoms were assessed using the 9-item Patient Health Questionnaire (PHQ-9), validated in Chile, with scores ranging from 0 to 27. A score of 7 or higher indicates the presence of depressive symptoms.^{9,29,30}

Secondary Outcomes

Anxiety symptoms were evaluated using the Spanish version of the 7-item Generalized Anxiety Disorder scale (GAD-7). Scores range from 0 to 21. Scores of 10 points or more indicate anxiety symptoms.³¹

Emotional regulation was evaluated through the Spanish validated version of the Difficulties in Emotion Regulation Scale (DERS), with scores ranging from 0 to 140 points.

Scores of 73 points or more indicate emotional deregulation symptoms.³²

Interpersonal and social functioning was evaluated with the interpersonal and social role subscales included in the validated Outcome Questionnaire 45 (OQ45). The interpersonal subscale ranges from 0 to 48, with scores of 16 points or more indicating interpersonal dysfunction. The social subscale ranges from 0 to 36 points, with scores of 14 points or more indicating social role dysfunction.³³

Therapeutic adherence was measured at 3 and 6 months using a brief version of the General Health Adherence Scale (GHAS), consisting of 12 items with scores ranging from 0 to 36. This self-report scale assesses general attitudes and behaviors toward treatment as a whole. Scores below 24 indicate low adherence, scores between 25 and 30 indicate moderate adherence, and scores above 31 indicate high adherence. This scale was previously validated in Chile.³⁴

The data collected from the instruments at baseline, 3 months, and 6 months was entered into a secure virtual worksheet hosted on the website <https://www.surveymonkey.com/> (Momentive Global).

Table 2. Baseline Clinical and Sociodemographic Characteristics of Participants (N = 214)

Characteristics	CTIC Group (n = 115)	UT Group (n = 99)	P Value
Female, No. (%)	98 (85.2)	84 (84.8)	.49
Age, mean (SD), y	40.2 (13.5)	40.1 (15.8)	.94
Educational attainment, No. (%) ^a			.10
Primary	31 (27.4)	20 (20.2)	...
Secondary	56 (49.5)	30 (30.3)	...
Higher education	28 (24.3)	9 (9.1)	...
Current paid employment, No. (%)	46 (40.0)	35 (35.3)	.56
Living with family, No. (%)	65 (56.5)	66 (66.7)	.60
History of mental illness, No. (%)	74 (65.4)	66 (66.7)	.72
History of psychiatric treatment, No. (%)	72 (62.6)	65 (65.6)	.64
> 3 anxiety comorbidities, No. (%)	27 (23.4)	23 (23.2)	.90
History of suicide attempt, No. (%)	37 (32.1)	41 (41.5)	.16
≥4 adverse childhood experiences, No. (%)	68 (59.1)	63 (63.9)	.49
PHQ-9, mean (SD) ^b	17.1 (5.7)	17.3 (6.1)	.49
GAD-7, mean (SD) ^c	13.1 (5.1)	13.1 (4.9)	.97
DERS, mean (SD) ^d	78.5 (22.9)	82.5 (22.9)	.21
OQ45.2 IR, mean (SD) ^e	23.2 (8.7)	22.0 (7.3)	.30
OQ45.2 SR, mean (SD) ^f	13.8 (5.7)	14.0 (5.7)	.81

CTIC = collaborative trauma-informed care; DERS = difficulties in emotional regulation scale; GAD = generalized anxiety disorder; IR = interpersonal relations; OQ = outcome questionnaire; PHQ = patient health questionnaire; RCT = randomized clinical trial; SR = social role; UT = usual treatment.

NOTE: This RCT was conducted in 16 primary care clinics in the Maule Region of Chile. The CTIC group was the intervention, and the UT group, the control.

^a Primary is grades 1-8, secondary is grades 9-12, and higher education includes universities, professional institutions, and technical programs.

^b PHQ-9 score range = 0-27; 7 or more indicates depressive symptoms.

^c GAD-7 score range = 0-23; 10 or more indicates anxiety symptoms.

^d DERS score range = 0-140; 73 or more indicates emotion dysregulation.

^e OQ45.2 PI subscale score range = 0-48; 16 or more indicates interpersonal dysfunctions.

^f OQ45.2 SR subscale score range = 0-36; 14 or more indicates social dysfunctions.

Statistical Analysis

We performed intention-to-treat analyses for all clinical outcomes using the Consolidated Standards of Reporting Trials (CONSORT) guidelines for RCTs.³⁵

We analyzed the primary and secondary outcomes after the 6 month follow-up using an analysis of covariance (ANCOVA) controlling for the individual baseline values for each outcome. Two assumptions of the ANCOVA were tested; the absence of differences between groups in the baseline data, and the homogeneity of regression for baseline and 6 months follow-up data. Before running the ANCOVA, we evaluated the intraclass correlation coefficient (ICC) for each outcome, at baseline and 6 months, except for adherence, which was evaluated at 3 and 6 months follow-up. The ICC was estimated as the between subject variance divided by the total (between plus within subject) variance from the analysis of variance (ANOVA). The effect size we calculated using the Cohen's d measure and its 95% CI.

The entire statistical analysis was conducted using SPSS version 21 (IBM Corp) and jamovi version 2.5 (The jamovi project 2024) following a predefined analysis plan. For sensitivity analysis, missing data were addressed through imputation based on the Last Observation Carried Forward method. There were no significant baseline differences in missing data between the 2 groups or between those retained.

RESULTS

Participant Flow and Retention

We invited 20 primary health care centers, of which 16 participated; 8 were trained in CTIC and 8 in UT. Seven centers continued their operation according to CTIC protocol (Figure 2). The CTIC groups reported a mean of 16.4 participants and a variance of 23.6, compared with UT centers with a mean of 14.1 participants and a variance of 42.9.

We recruited 214 patients; 115 in the CTIC group, and 99 in the UT group. At the 3-month follow-up, data were collected from 81% of the CTIC group and 70% of the UT group ($Z = 1.26, P = .87$). At the 6-month follow-up, data were collected from 70% of the CTIC group and 61% of the UT group ($Z = 1.17, P = .20$; Figure 1). At least 87% of the sample had more than 1 evaluation (91% in the CTIC group and 82% in the UT group, $Z = 8.83, P < .01$).

Five emergency situations were detected and satisfactorily resolved.

Baseline Characteristics of Participants

The majority of the participants were women (182 of 214; 85%), with a mean age of 40.1 years (SD 13.5) for the CTIC group and 40.2 years (SD 15.8) for UT group. Table 2 shows sociodemographic and clinical characteristics. Both groups were similar in all aspects, demonstrating homogeneity between the groups at baseline.

Primary Outcome

We observed a significant decrease in PHQ-9 scores over time; in both the CTIC and UT groups, ($\eta^2 = 0.3, P < .001$). The CTIC group's scores decreased from a mean (SD) of 17.1 (5.7) at baseline to 8.9 (6.6) at 6 months, while the UT group's scores dropped from 17.3 (6.1) to 12.2 (8.1) (Table 3). A significant difference in depressive symptoms between the groups over time was found ($\eta^2 = 0.049, P < .01$; Table 3). The CTIC group showed an improvement at 6 months (adjusted mean difference [AMD] = -3.09 , 95% CI, -4.94 to -1.23 ; $d = -0.46$, 95% CI, -0.73 to -0.18 ; $P = .001$), with an ICC at baseline of 0.06 that increased to 0.10 at 6 months follow-up, in agreement with a decrease in the depressive symptoms (Table 4).

At 6 months, 62 of 115 patients (54%) in the CTIC group showed remission, compared with 34 of 99 patients (34%) in the UT group (OR = 2.24; 95% CI, 1.58-3.20).

Secondary Outcome

Both groups showed improvement in all measured variables: anxiety symptoms ($\eta^2 = 0.14, P < .001$), interpersonal functioning ($\eta^2 = 0.07, P < .001$), social role ($\eta^2 = 0.05, P < .001$), and emotional regulation ($\eta^2 = 0.17, P < .001$). No significant differences between time and group were observed in these outcomes (Table 3), and the intraclass analysis indicated no differences between centers (Table 4). The statistical analysis showed that the effects of the intervention did not extend to the secondary outcomes (Table 4).

Interestingly, the adherence scale to treatment in participants from the CTIC group was 2.5 points higher (95% CI, 1.8 to 4.99; $P = .035$) at 6 months. In this scale, the ICC = 0.10 at 3 months and increased to 0.11 at 6 months, suggesting differences between the different centers included in the groups.

DISCUSSION

This clinical trial is an innovative effort that demonstrates the effectiveness of an educational program for improving depression outcomes, by integrating a multidimensional approach with a trauma-informed care perspective. Despite enrolling only 214 participants (54% of the planned sample size of 394) due to difficulty recruiting patients during the COVID-19 pandemic, the effect size ($d = -0.46$) for depressive symptom improvement of was consistent with comparable studies.³⁶⁻³⁹

The study population, mostly women with moderate to severe depressive symptoms, high anxiety comorbidity, and elevated ACEs rates, aligns with pre-pandemic Chilean studies.^{10, 40-45} In a study, conducted in the same disadvantaged region,⁴⁵ remission rates were 43% at 6 months and 52% at 1 year.¹⁰ With the onset of the pandemic, primary care shifted its focus toward emerging health issues, overshadowing adult mental health,⁴⁶ which contributed to challenges in recruitment of adult patients with depression, increased variability of inpatient admissions (particularly in the UT group), and contributed to only a 34% remission rate in the UT group. In contrast, CTIC teams achieved a 54% remission rate at 6 months, matching the 12-month rate observed in an earlier study.¹⁰ This 20% difference highlights the potential efficacy of the CTIC approach in the post-emergent COVID-19 pandemic period. Likely, primary care teams in the UT group lacked the comprehensive assessment tools and psychosocial recommendations emphasized by the intervention team, enabling those within the CTIC framework to more effectively manage adult depression under the circumstances.^{47,48}

The improvement in the general adherence scale within the CTIC group compared with the UT group at 6 months is significant, albeit with a small size effect. This finding warrants further investigation into the specific factors within each primary care team that influenced their success or shortcomings. Additionally, both groups demonstrated high follow-up rates, exceeding 80%, underscoring the feasibility of our study and the reliability of the data collected. Notably, the CTIC group exhibited greater adherence to blind follow-ups, likely attributed to the more effective patient management and engagement strategies of the CTIC approach.

Our intervention, based on trauma-informed care,^{19,22-24} highlights the relevance of including a sensitive inquiry about any adverse biographical history in the patient's multidimensional evaluation, and in the structure of the treatment and follow-up sessions that establish a safe patient-care team relationship.^{19,22-24} This approach aligns with what is proposed

by authors who emphasize the critical role of ACEs in diagnosis, treatment, and education.⁴⁹⁻⁵¹ Moreover, it underscores the importance of integrating psychotherapy with pharmacotherapy to treat DTD, consistent with several studies.⁵²⁻⁵⁴ These crucial aspects have not been fully integrated into current practices or treatment recommendations, as evidenced in a Latin American consensus guideline that predominantly emphasizes biomedical approaches, and excludes psychotherapy from their treatment protocol.¹⁴

Regarding the secondary outcomes, we noticed a trend toward improvement in anxiety; however, statistical significance was not reached. Existing evidence suggests that the resolution of anxiety in depression in primary care typically occurs later in the course of treatment.^{55,56} Furthermore, the gradual decrease in both depressive and anxiety symptoms, especially within the CTIC group over time, may be linked to a greater integration of the model by the teams, potentially yielding improved results with a longer follow-up time.

Moreover, there were no significant differences between groups in interpersonal difficulties and emotional regulation. The CTIC treatment takes a generalized training approach,

focusing on non-specific competencies within primary care teams for managing mental health disorders. To effectively address interpersonal and emotional deregulation in patients with complex depression, primary care professionals may need to acquire specific skills, as emphasized in a recent training program for psychologists.⁵⁷

This study had several limitations, including a gender imbalance with a majority of female participants, and the potential negative impact of the ongoing COVID-19 pandemic on depression treatment in the UT arm. In addition,

Table 3. Longitudinal Assessment Intervention vs Control Groups at Baseline, 3 Months, and 6 Months

Outcomes		CTIC Group				
		Mean (SD)	3 Months No.	Mean (SD)	6 Months No.	Mean (SD)
Primary						
PHQ-9 ^a	115	17.1 (5.7)	90	11.4 (7.1)	81	8.9 (6.6)
Secondary						
GAD-7 ^b	115	13.1 (5.2)	90	10.5 (5.3)	81	9.3 (5.7)
DERS ^c	113	78.5 (22.9)	86	71.5 (25.2)	77	64.6 (24.9)
OQ45.2 IR ^d	114	23.2 (8.7)	86	20.8 (9.7)	77	19.1 (9.4)
OQ45.2 RS ^e	114	13.8 (5.7)	86	12.2 (5.9)	77	11.4 (6.2)

CTIC = collaborative trauma-informed care; DERS = difficulties in emotional regulation scale; Eta² = η^2 correlation coefficient; GAD = generalized anxiety disorder; IR = interpersonal relations; OQ = outcome questionnaire; PHQ = patient health questionnaire; SR = social role; UT = usual treatment.

NOTE: The CTIC group was the intervention, and the UT group, the control.

^a PHQ-9 score range = 0-27; 7 or more indicates depressive symptoms.

^b GAD-7 score range = 0-23; 10 or more indicates anxiety symptoms.

^c DERS score range = 0-140; 73 or more indicates emotion dysregulation.

^d OQ45.2 PI subscale score range = 0-48; 16 or more indicates interpersonal dysfunctions.

^e OQ45.2 SR subscale score range = 0-36; 14 or more indicates social dysfunctions.

Table 4. Comparative ITT Analysis of Intervention vs Control Groups: Impact on Outcomes and Adherence at 6 Months

Outcomes	CTIC Group		UT Group		Comparison	Effect Size (95% CI)	Intraclass Coefficient	
	No.	Mean (SD)	No.	Mean (SD)			Baseline	6 months
Primary								
PHQ-9 ^a	115	8.9 (6.6)	99	12.2 (8.1)	-3.09 (-4.94 to -1.23) .001	-0.46 (-0.73 to -0.18)	0.06	0.10
Secondary								
GAD-7 ^b	115	9.3 (5.7)	99	10.8 (6.3)	-1.50 (-3.03 to 0.31) .055	-0.25 (-0.52 to 0.02)	0.05	0.05
DERS ^c	113	64.6 (25.0)	96	69.3 (25.6)	-2.40 (-8.35 to 3.54) .427	-0.19 (-0.46 to 0.09)	0.06	0.08
OQ-45.2 IR ^d	114	19.1 (9.4)	98	19.7 (8.1)	-1.12 (-3.27 to 1.03) .307	-0.06 (-0.34 to 0.20)	0.12	0.08
OQ-45.2 SR ^e	114	11.4 (6.2)	98	12.8 (6.5)	-1.26 (-2.81 to 0.29) .112	-0.21 (-0.48 to 0.06)	0.07	0.06
GHAS ^f	104	31.7 (9.9)	79	29.0 (9.8)	2.59 (1.82 to 4.99) .035	-0.00 (-0.43 to 0.30)	0.10	0.11

AMD = adjusted mean difference; CTIC = collaborative trauma-informed care; DERS = difficulties in emotional regulation scale; GAD = generalized anxiety disorder; GHAS = general health adherence scale; IP = interpersonal; ITT = intention to treat; OQ = outcome questionnaire; PHQ = patient health questionnaire; SR = social role; UT = usual treatment.

NOTE: The CTIC group was the intervention, and the UT group, the control.

^a PHQ-9 score range = 0-27; 7 or more indicates depressive symptoms.

^b GAD-7 score range = 0-23; 10 or more indicates anxiety symptoms.

^c DERS score range = 0-140; 73 or more indicates emotion dysregulation.

^d OQ45.2 PI subscale score range = 0-48; 16 or more indicates interpersonal dysfunctions.

^e OQ45.2 SR subscale score range = 0-36; 14 or more indicates social dysfunctions.

^f GHAS score range = 0-36; 24 or less is low, 25-30 is moderate, and 31 or more is high adherence.

Baseline No.	UT Group				Time		Time/Group		
	Mean (SD)	3 Months No.	Mean (SD)	6 Months No.	Mean (SD)	Eta ²	P Value	Eta ²	P Value
99	17.3 (6.1)	70	13.8 (8.1)	60	12.2 (8.1)	0.34	.050	0.01	.014
99	13.1 (4.9)	70	11.4 (5.6)	60	10.8 (6.3)	0.14	.020	0.01	.055
96	82.5 (22.9)	68	74.3 (28.2)	56	69.3 (25.6)	0.16	.030	0.01	.500
98	22.0 (7.3)	69	20.7 (8.6)	57	19.7 (8.1)	0.07	.005	0.01	.300
98	14.0 (5.7)	69	13.7 (6.7)	57	12.8 (6.5)	0.04	.012	0.01	.112

specific interventions in both study groups, such as number of physicians in each team, type and frequency of psychological interventions, and use of psychotropic medication, were not accounted for. A second analysis is necessary to evaluate the specificity of this intervention in patients exhibiting the characteristics of complex DTD. Thus, additional studies should address the feasibility and acceptability of CTIC implementation in different contexts.

In summary, this pioneering study represents a groundbreaking initiative for managing depression in primary care in Chile. The CTIC treatment addresses often neglected aspects with a flexible design, allowing various professionals to acquire essential skills while emphasizing interprofessional collaboration. Moving forward, it is essential to continue generating evidence to inform decision-making processes and the incorporation of skills aimed at recognizing and managing prevalent variables in depression, which are typically excluded from clinical practice. The comprehensive approach of CTIC should contribute to ongoing improvements in mental health services within primary care settings.

 [Read or post commentaries in response to this article.](#)

Key words: adverse childhood experiences; collaborative care; depression; primary health care; randomized control trial

Submitted February 2, 2024; submitted, revised, August 5, 2024; accepted August 14, 2024.

Funding support: The study was funded by the agency ANID - FONIS (Project SA1200031)

Acknowledgments: We would like to express our gratitude to Dr Jorge Calvo, Antonio Arellano, Sergio Guíñez-Molinos, and Johanna Kreither for their invaluable collaboration in the capacitation process. We also extend our appreciation to Marcela Ormazábal for her assistance in the recruitment process of patients. Our sincere thanks go out to the Health Secretaries of the Municipalities of Curicó,

Constitución, Linares, Pelarco, Romeral, Sagrada Familia, and Talca, as well as all the mental health teams and directors of the primary care clinics who played a crucial role in this project. Special thanks also to Carmen Gloria Blanco for her exceptional project management assistance.

Author contributions: V.G.V., A.C., A.F.S. conceived the study; V.G.V., A.C., S.B., M.L.A. participated in project management; M.L.A. analyzed the data; all authors contributed to the writing of the manuscript and approved the final version.

 [Supplemental materials](#)

References

- World Health Organization. Depressive disorder (depression). <https://www.who.int/news-room/fact-sheets/detail/depression>
- Salvo GL. Magnitud, impacto y estrategias de enfrentamiento de la depresión, con referencia a Chile. *Rev Med Chil.* 2014;142(9):1157-1164. [10.4067/S0034-98872014000900010](https://doi.org/10.4067/S0034-98872014000900010)
- COES (Center for Conflict and Social Cohesion Studies). First wave results. Published 2019. www.elsoc.cl/publicaciones
- Ministerio de Salud. Encuesta Nacional de Salud [ENS] 2016-2017. https://redsalud.ssmso.cl/wp-content/uploads/2018/02/2-Resultados-ENS-MINSAL_31_01_2018-ilovepdf-compressed.pdf
- Celis-Morales C, Nazar G. Cambios en la prevalencia de depresión en Chile y el mundo debido a la pandemia por COVID-19. *Rev Med Chil.* 2022;150(5):691-692. [10.4067/s0034-98872022000500691](https://doi.org/10.4067/s0034-98872022000500691)
- Ministerio de Salud. Depresión en personas de 15 años y más, Serie Guías Clínicas, Minsal 2013. www.repositoriodigital.minsal.cl/handle/2015/515
- Araya R, Alvarado R, Sepúlveda R, Rojas G. Lessons from scaling up a depression treatment program in primary care in Chile. *Rev Panam Salud Publica.* 2012;32(3):234-240. [10.1590/s1020-49892012000900009](https://doi.org/10.1590/s1020-49892012000900009)
- Vitriol V, Cancino A, Serrano C, Ballesteros S, Potthoff S. Remission in depression and associated factors at different assessment times in primary care in Chile. *Clin Pract Epidemiol Ment Health.* 2018;14:78-88. [10.2174/1745017901814010078](https://doi.org/10.2174/1745017901814010078)
- Vitriol V, Cancino A, Serrano C, et al. Latent class analysis in depression, including clinical and functional variables: evidence of a complex depressive subtype in primary care in Chile. *Depress Res Treat.* 2021;2021:6629403. [10.1155/2021/6629403](https://doi.org/10.1155/2021/6629403)

10. Vitriol V, Cancino A, Bustamante C, Aylwin ML. Evolution of depressive symptoms among depression subtypes of clinical and functional variables in primary care in Chile. *J Prim Care Community Health*. 2024;15:21501319241241476. [10.1177/21501319241241476](https://doi.org/10.1177/21501319241241476)
11. de la Parra G, Zúñiga AK, Dagnino P, Gómez-Barris E. Complex depression in high-pressure care settings: strategies and therapeutic competences. In: de la Parra G, Dagnino P, Behn A, eds. *Depression and Personality Dysfunction: An Integrative Functional Domains Perspective*. Springer International Publishing; 2021:213-244.
12. Martínez P, Gloger S, Diez de Medina D, González A, Carrasco MI, Vöhringer PA. [Systematic review of treatment alternatives for depressed adults with early adverse stress]. *Rev Med Chil*. 2021;149(10):1473-1484. [10.4067/s0034-98872021001001473](https://doi.org/10.4067/s0034-98872021001001473)
13. McIntyre RS, Alsuwaidan M, Baune BT, et al. Treatment-resistant depression: definition, prevalence, detection, management, and investigational interventions. *World Psychiatry*. 2023;22(3):394-412. [10.1002/wps.21120](https://doi.org/10.1002/wps.21120)
14. Corral R, Bojórquez E, Cetskovich-Bakmas M, et al. Latin American consensus recommendations for the management and treatment of patients with treatment-resistant depression (TRD). *Spanish Journal of Psychiatry and Mental Health*. Published Sep 22, 2023. [10.1016/j.sjpmh.2023.06.001](https://doi.org/10.1016/j.sjpmh.2023.06.001)
15. McAllister-Williams RH, Arango C, Blier P, et al. The identification, assessment and management of difficult-to-treat depression: an international consensus statement. *J Affect Disord*. 2020;267:264-282. [10.1016/j.jad.2020.02.023](https://doi.org/10.1016/j.jad.2020.02.023)
16. Kupfer DJ, Charney DS. "Difficult-to-treat depression". *Biological Psychiatry*. 2003/04/15/ 2003;53(8):633-634. [10.1016/S0006-3223\(03\)00188-4](https://doi.org/10.1016/S0006-3223(03)00188-4)
17. Mladen SN, Williams AB, Griffin SC, Perrin PB, Rybarczyk BD. Models of trauma exposure, depression, and suicidality in safety-net primary care. *J Trauma Stress*. 2021;34(6):1139-1148. [10.1002/jts.22658](https://doi.org/10.1002/jts.22658)
18. Lathan EC, Selwyn CN, Langhinrichsen-Rohling J. The "3 Es" of trauma-informed care in a federally qualified health center: traumatic event- and experience-related predictors of physical and mental health effects among female patients. *J Community Psychol*. 2021;49(2):703-724. [10.1002/jcop.22488](https://doi.org/10.1002/jcop.22488)
19. Vitriol V, Sciolla A, Alfredo C, Contreras F. Cuidado informado en trauma: un modelo emergente para el abordaje del subtipo depresivo con historia de adversidad infantil. *Rev Chil Neuro-psiquiatr*. 2020;58(4):348-362. [10.4067/S0717-92272020000400348](https://doi.org/10.4067/S0717-92272020000400348)
20. Wagner EH, Austin BT, Von Korff M. Organizing care for patients with chronic illness. *Milbank Q*. 1996;74(4):511-544.
21. Archer J, Bower P, Gilbody S, et al. Collaborative care for depression and anxiety problems. *Cochrane Database Syst Rev*. 2012;10:CD006525. [10.1002/14651858.CD006525.pub2](https://doi.org/10.1002/14651858.CD006525.pub2)
22. Sciolla AF. An Overview of Trauma-Informed Care. In: Eckstrand KL, Potter J, eds. *Trauma, Resilience, and Health Promotion in LGBT Patients: What Every Health-care Provider Should Know*. Springer International Publishing; 2017:165-181.
23. Raja S, Hasnain M, Hoersch M, Gove-Yin S, Rajagopalan C. Trauma informed care in medicine: current knowledge and future research directions. *Fam Community Health*. 2015;38(3):216-226. [10.1097/fch.0000000000000071](https://doi.org/10.1097/fch.0000000000000071)
24. Peckham H. Introducing the Neuroplastic Narrative: a non-pathologizing biological foundation for trauma-informed and adverse childhood experience aware approaches. *Front Psychiatry*. 2023;14:1103718. [10.3389/fpsy.2023.1103718](https://doi.org/10.3389/fpsy.2023.1103718)
25. Fritsch R, Araya R, Solís J, Montt E, Pilowsky D, Rojas G. Un ensayo clínico aleatorizado de farmacoterapia con monitorización telefónica para mejorar el tratamiento de la depresión en la atención primaria en Santiago, Chile. *Rev Med Chil*. 2007;135(5):587-595. [10.4067/S0034-98872007000500006](https://doi.org/10.4067/S0034-98872007000500006)
26. Rojas G, Martínez P, Vöhringer PA, Martínez V, Castro-Lara A, Fritsch R. Comprehensive technology-assisted training and supervision program to enhance depression management in primary care in Santiago, Chile: study protocol for a cluster randomized controlled trial. *Trials*. 2015;16:311. [10.1186/s13063-015-0845-4](https://doi.org/10.1186/s13063-015-0845-4)
27. Lecrubier Y, Sheehan DV, Weiller E, et al. The Mini International Neuropsychiatric Interview (MINI): A short diagnostic structured interview: reliability and validity according to the CIDI. *Eur Psychiatry*. 1997;12(5):224-231. [10.1016/S0924-9338\(97\)83296-8](https://doi.org/10.1016/S0924-9338(97)83296-8)
28. Felitti VJ, Anda RF, Nordenberg D, et al. Relationship of childhood abuse and household dysfunction to many of the leading causes of death in adults: the Adverse Childhood Experiences (ACE) Study. *Am J Prev Med*. 1998;14(4):245-258. [10.1016/s0749-3797\(98\)00017-8](https://doi.org/10.1016/s0749-3797(98)00017-8)
29. Saldívia S, Aslan J, Cova F, Vicente B, Inostroza C, Rincón P. Propiedades psicométricas del PHQ-9 (Patient Health Questionnaire) en centros de atención primaria de Chile. *Rev Med Chil*. 2019;147(1):53-60. [10.4067/S0034-98872019000100053](https://doi.org/10.4067/S0034-98872019000100053)
30. Baader MT, Molina FJL, Venezian BS, et al. Validación y utilidad de la encuesta PHQ-9 (Patient Health Questionnaire) en el diagnóstico de depresión en pacientes usuarios de atención primaria en Chile. *Rev Chil Neuro-psiquiatr*. 2012;50(1):10-22. [10.4067/S0717-92272012000100002](https://doi.org/10.4067/S0717-92272012000100002)
31. García-Campayo J, Zamorano E, Ruiz MA, et al. Cultural adaptation into Spanish of the generalized anxiety disorder-7 (GAD-7) scale as a screening tool. *Health Qual Life Outcomes*. 2010;8:8. [10.1186/1477-7525-8-8](https://doi.org/10.1186/1477-7525-8-8)
32. Guzmán-González M, Mendoza-Llanos R, Garrido-Rojas L, Barrientos J, Urzúa A. [Cut-off points of the difficulties in Emotion Regulation Scale for the Chilean population]. *Rev Med Chil*. May 2020;148(5):644-652. Propuesta de valores de referencia para la Escala de Dificultades de Regulación Emocional (DERS-E) en población adulta chilena. [10.4067/s0034-98872020000500644](https://doi.org/10.4067/s0034-98872020000500644)
33. von Bergen A, de la Parra G. OQ-45.2. Cuestionario para evaluación de resultados y evolución en psicoterapia: Adaptación, validación e indicaciones para su aplicación e interpretación. [OQ-45.2. An outcome questionnaire for monitoring change in psychotherapy: adaptation, validation and indications for its application and interpretation.]. *Ter Psicol*. 2002;20(2):161-176.
34. Corder Medel R. Universidad del Talca, Chile. Construcción y validación de los escalas de adherencia a tratamientos de salud. http://dspace.utalca.cl/bitstream/1950/10596/2/cordero_medel.pdf
35. Schulz KF, Altman DG, Moher D; CONSORT Group. CONSORT 2010 Statement: updated guidelines for reporting parallel group randomised trials. *BMC Med*. 2010;8:18. [10.1186/1741-7015-8-18](https://doi.org/10.1186/1741-7015-8-18)
36. van Ginneken N, Chin WY, Lim YC, et al. Primary-level worker interventions for the care of people living with mental disorders and distress in low- and middle-income countries. *Cochrane Database Syst Rev*. 2021;8(8):CD009149. [10.1002/14651858.CD009149.pub3](https://doi.org/10.1002/14651858.CD009149.pub3)
37. Zhang A, Borhneimer LA, Weaver A, et al. Cognitive behavioral therapy for primary care depression and anxiety: a secondary meta-analytic review using robust variance estimation in meta-regression. *J Behav Med*. 2019;42(6):1117-1141. [10.1007/s10865-019-00046-z](https://doi.org/10.1007/s10865-019-00046-z)
38. Arroll B, Chin WY, Martis W, et al. Antidepressants for treatment of depression in primary care: a systematic review and meta-analysis. *J Prim Health Care*. 2016;8(4):325-334. [10.1071/hc16008](https://doi.org/10.1071/hc16008)
39. Ijaz S, Davies P, Williams CJ, Kessler D, Lewis G, Wiles N. Psychological therapies for treatment-resistant depression in adults. *Cochrane Database Syst Rev*. 2018;5(5):CD010558. [10.1002/14651858.CD010558.pub2](https://doi.org/10.1002/14651858.CD010558.pub2)
40. Vitriol V, Cancino A, Weil K, Salgado C, Asenjo MA, Potthoff S. Depression and psychological trauma: an overview integrating current research and specific evidence of studies in the treatment of depression in public mental health services in Chile. *Depress Res Treat*. 2014;2014:608671. [10.1155/2014/608671](https://doi.org/10.1155/2014/608671)
41. Vitriol V, Cancino A, Leiva-Bianchi M, et al. [Association between adverse childhood experiences with depression in adults consulting in primary care]. *Rev Med Chil*. 2017;145(9):1145-1153. [10.4067/s0034-98872017000901145](https://doi.org/10.4067/s0034-98872017000901145)
42. Cancino A, Leiva-Bianchi M, Serrano C, Ballesteros-Teuber S, Caceres C, Vitriol V. Factors associated with psychiatric comorbidity in depression patients in primary health care in Chile. *Depression Research and Treatment*. 2018;2018:9.1701978. [10.1155/2018/1701978](https://doi.org/10.1155/2018/1701978)
43. Vitriol V, Cancino A, Leiva-Bianchi M, et al. Childhood trauma and psychiatric comorbidities in patients with depressive disorder in primary care in Chile. *J Trauma Dissociation*. 2017;18(2):189-205. [10.1080/15299732.2016.1212449](https://doi.org/10.1080/15299732.2016.1212449)
44. Gloger S, Vöhringer PA, Martínez P, et al. The contribution of early adverse stress to complex and severe depression in depressed outpatients. *Depress Anxiety*. 2021;38(4):431-438. [10.1002/da.23144](https://doi.org/10.1002/da.23144)
45. Gloger S, Martínez P, Behn A, et al. Population-attributable risk of adverse childhood experiences for high suicide risk, psychiatric admissions, and recurrent depression, in depressed outpatients. *Eur J Psychotraumatol*. 2021;12(1):1874600. [10.1080/20008198.2021.1874600](https://doi.org/10.1080/20008198.2021.1874600)

46. Toro-Devia O, Solis-Araya C, Soto-Brandt G, et al. Adverse sequelae of the COVID-19 pandemic on mental health services in Chile. *Rev Panam Salud Publica*. 2023;47:e87. [10.26633/rpsp.2023.87](https://doi.org/10.26633/rpsp.2023.87)
47. Xia J, Zhu L, Huang H, et al. Relationships between childhood trauma and mental health during the COVID-19 pandemic: a network analysis. *Front Psychiatry*. 2023;14:1251473. [10.3389/fpsy.2023.1251473](https://doi.org/10.3389/fpsy.2023.1251473)
48. Maestre Maroto MA. [Resilience as a predictor of the negative impact (depression, anxiety and stress) of COVID-19 in primary care physicians]. *Semergen*. Oct 2022;48(7):101813. La resiliencia como predictora del impacto negativo (depresión, ansiedad y estrés) del COVID-19 en médicos de atención primaria. [10.1016/j.semerg.2022.101813](https://doi.org/10.1016/j.semerg.2022.101813)
49. Lippard ETC, Nemeroff CB. The devastating clinical consequences of child abuse and neglect: increased disease vulnerability and poor treatment response in mood disorders. *Am J Psychiatry*. 2020;177(1):20-36. [10.1176/appi.ajp.2019.19010020](https://doi.org/10.1176/appi.ajp.2019.19010020)
50. van der Kolk B. Commentary: The devastating effects of ignoring child maltreatment in psychiatry—a commentary on Teicher and Samson 2016. *J Child Psychol Psychiatry*. 2016;57(3):267-270. [10.1111/jcpp.12540](https://doi.org/10.1111/jcpp.12540)
51. Teicher MH, Gordon JB, Nemeroff CB. Recognizing the importance of childhood maltreatment as a critical factor in psychiatric diagnoses, treatment, research, prevention, and education. *Mol Psychiatry*. 2022;27(3):1331-1338. [10.1038/s41380-021-01367-9](https://doi.org/10.1038/s41380-021-01367-9)
52. Childhood Trauma Meta-Analysis Study Group. Treatment efficacy and effectiveness in adults with major depressive disorder and childhood trauma history: a systematic review and meta-analysis. *Lancet Psychiatry*. 2022;9(11):860-873. [10.1016/s2215-0366\(22\)00227-9](https://doi.org/10.1016/s2215-0366(22)00227-9)
53. Talbot A, Lee C, Ryan S, Roberts N, Mahtani KR, Albury C. Experiences of treatment-resistant mental health conditions in primary care: a systematic review and thematic synthesis. *BMC Prim Care*. 2022;23(1):207. [10.1186/s12875-022-01819-3](https://doi.org/10.1186/s12875-022-01819-3)
54. Minelli A, Zampieri E, Sacco C, et al. Clinical efficacy of trauma-focused psychotherapies in treatment-resistant depression (TRD) in-patients: a randomized, controlled pilot-study. *Psychiatry Res*. 2019;273:567-574. [10.1016/j.psychres.2019.01.070](https://doi.org/10.1016/j.psychres.2019.01.070)
55. Tiller JW. Depression and anxiety. *Med J Aust*. 2013;199(S6):S28-S31. [10.5694/mja12.10628](https://doi.org/10.5694/mja12.10628)
56. Hirschfeld RM. The Comorbidity of major depression and anxiety disorders: recognition and management in primary care. *Prim Care Companion J Clin Psychiatry*. 2001;3(6):244-254. [10.4088/pcc.v03n0609](https://doi.org/10.4088/pcc.v03n0609)
57. De la Parra G, Zuñiga AK, Crempien C, et al. Delphi-validation of a Psychotherapeutic Competencies Training Protocol (PCTP) for the treatment of depression in primary care: evidence-based practice and practice-based evidence (Validación Delphi de un Protocolo de Entrenamiento en Competencias Psicoterapéuticas (PECP) para el tratamiento de la depresión en atención primaria: práctica basada en la evidencia y evidencia basada en la práctica). *Stud in Psychology*. 2022;43(3):546-582. [10.1080/02109395.2022.2127239](https://doi.org/10.1080/02109395.2022.2127239)