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1

Abstract

One challenge in field-based marine microbial ecology is to achieve sufficient spatial 2 3 resolution to obtain representative information about microbial distributions and 4 biogeochemical processes. The challenges are exacerbated when conducting rate measurements of biological processes due to potential perturbations during sampling and 5 incubation. Here we present the first application of a robotic micro-laboratory, the 4 L-6 Submersible Incubation Device (4L-SID), for conducting *in situ* measurements of the rates of 7 8 biological nitrogen (N₂) fixation (BNF). The free-drifting autonomous instrument obtains samples from the water column that are incubated *in situ* after the addition of ${}^{15}N_2$ tracer. 9 10 After each of up to four consecutive incubation experiments, the 4 L sample is filtered and chemically preserved. Measured BNF rates from two deployments of the SID in the 11 oligotrophic North Pacific ranged from 0.8 nmol N $L^{-1} d^{-1}$ to 2.8 nmol N $L^{-1} d^{-1}$, values 12 comparable with simultaneous rate measurements obtained using traditional CTD-rosette 13 sampling followed by on-deck or *in situ* incubation. Future deployments of the SID will help 14 to better resolve spatial variability of oceanic BNF, particularly in areas where recovery of 15 seawater samples by CTD compromises their integrity, e.g. anoxic habitats. 16

1

Introduction

Biological nitrogen (N₂) fixation (BNF), the conversion of N₂ gas to ammonia (NH₃), 2 is performed by a select group of microorganisms, termed diazotrophs. BNF is a key 3 4 component of the oceanic nitrogen cycle, with estimates of up to 200 Tg nitrogen (N) being fixed per year on a global scale (Capone et al., 2005; Gruber & Galloway, 2008; Karl et al., 5 2002). However, such estimates have large uncertainties, partly due to an incomplete 6 understanding of the full diversity and ecology of marine diazotrophs (Farnelid *et al.*, 2011; 7 Goebel et al., 2010; Moisander et al., 2010). In the ocean, the major groups of diazotrophs 8 9 include: (i) the filamentous, non-heterocystous cyanobacterium Trichodesmium (Capone et 10 al., 2005; Capone et al., 1997; Laroche & Breitbarth, 2005; Mague et al., 1977), (ii) unicellular, free-living cyanobacteria such as Crocosphaera watsonii ("UCYN-B"), (Bench et 11 al., 2011; Foster et al., 2013; Hewson et al., 2009; Webb et al., 2009), and (iii) cyanobacteria 12 that form symbioses with eukaryotic algae, e.g. the heterocystous genera Richelia and 13 Calothrix that are associated with diatoms (Foster & Zehr, 2006; Janson et al., 1995; 14 15 Villareal, 1990) and unicellular Candidatus Atelocyanobacterium thalassa ("UCYN-A") associated with prymnesiophytes (Bombar et al., 2014; Moisander et al., 2010; Thompson et 16 al., 2012; Zehr et al., 2001). 17

Although the major abiotic, nutrient and internal controls of BNF activity and its 18 distribution appear to have been identified (Sohm et al., 2011; Voss et al., 2013), the relative 19 strengths with which these different factors govern BNF under different environmental 20 settings remain elusive. These variable controls are likely responsible for the unexplained 21 large spatiotemporal variability in the abundances of diazotrophs in the surface ocean, 22 23 recently highlighted by high-resolution sampling using a drifting robotic gene sensor (Robidart et al., 2014). It is currently unknown how these abundance fluctuations affect the 24 variability in BNF. Thus, conducting corresponding rate measurements at similarly high 25

spatiotemporal resolution is critical for better understanding the role of diazotrophs in oceanic
 N cycling.

BNF field measurements are typically conducted using versions of the ¹⁵N₂ tracer gas 3 4 technique (Montoya et al., 1996). This method requires the collection of seawater using a CTD-Niskin rosette sampling system, and subsequent on-deck or in situ incubations of tracer-5 amended seawater, lasting anywhere from a few hours to a few days. Seawater samples in 6 7 traditional BNF studies experience changing pressures, light levels and temperatures upon recovery and seawater transfer, while characterization from cultured representatives and 8 9 sorted cells suggest that many of these processes affect diazotroph populations (e.g. Thompson *et al.*, 2012). Further, methodological improvements on the ${}^{15}N_2$ tracer technique 10 have demonstrated that BNF rates have been underestimated in most studies, with the 11 addition of ¹⁵N₂ tracer in a dissolved form more representative of the actual rates (Grosskopf 12 *et al.*, 2012). 13

Automated sampling devices capable of conducting sampling and incubation in situ 14 15 are a promising approach for resolving variability associated with biogeochemical cycling in the marine environment. Such devices have been developed and successfully employed to 16 increase the spatial and temporal resolution of planktonic primary production rates 17 (Dandonneau & Bouteiller, 1992; Taylor & Howes, 1994), as well as for comparing 18 manipulations performed in situ and under 'simulated' in situ conditions (Gundersen, 1973; 19 Lohrenz et al., 1992). The Submersible Incubation Device (SID) was originally designed for 20 primary productivity measurements (Taylor & Doherty, 1990) and has since been adapted for 21 a range of oceanographic measurements (Albert et al., 1995; Edgcomb et al., 2011; Edgcomb 22 et al. in press; Lohrenz et al., 1992; Pachiadaki et al. in press; Taylor & Howes, 1994). 23 Integrating the BNF method protocol with a large capacity submersible in situ device (4L-24 Submersible Incubation Device, 4L-SID) became more feasible with recent developments of 25 the ${}^{15}N_2$ assimilation technique, which requires dissolving the ${}^{15}N_2$ gaseous tracer in sterile 26

1	seawater prior to its addition to the samples (Grosskopf et al., 2012; Mohr et al., 2010). In the
2	present study, a modified version of the 4L-SID was deployed, which is capable of
3	autonomously executing the entire sampling, tracer amendment (pre-dissolved $^{15}N_2$, ^{13}C -
4	bicarbonate), incubation, and filtration processes associated with BNF and primary
5	production measurements in situ. By conducting the entire sampling and incubation procedure
6	directly in the water column, delays in the onset of the incubations and perturbations of the
7	microbial community assemblages during sampling are minimized. Further, such devices
8	have the potential to help overcome the major hurdle of achieving higher sampling resolution,
9	which could reveal currently unknown heterogeneity in BNF rates and the key environmental
10	factors that control them.
11	
12	
13	Materials and methods
14	Cruise overview
15	The BioLINCS cruise (Biosensing Lagrangian Instrumentation and Nitrogen Cycling
16	Systems) was conducted in the North Pacific Subtropical Gyre (NPSG) (24.39-25.13°N,
17	158.20-157.29°W) in September 2011, aboard the R/V Kilo Moana (Fig. 1). The overall goal
18	of the scientific cruise was to examine microbial biogeochemical cycling associated with the
19	nitrogen cycle and was an ideal context for implementing the 4L-SID test. To characterize
20	the hydrographic and biogeochemical conditions of the upper water column, vertical profiles
21	were conducted daily using a conductivity-temperature-depth (CTD) system coupled to a
22	rosette consisting of 24 x 12 L Niskin bottles. Oxygen (O_2) and fluorescence sensors were
23	
	calibrated against discrete measurements of dissolved O2 (Carritt & Carpenter, 1966) and
24	calibrated against discrete measurements of dissolved O ₂ (Carritt & Carpenter, 1966) and chlorophyll extracted and analyzed by fluorometry (Strickland & Parsons, 1972). Seawater
24 25	calibrated against discrete measurements of dissolved O_2 (Carritt & Carpenter, 1966) and chlorophyll extracted and analyzed by fluorometry (Strickland & Parsons, 1972). Seawater for determination of nutrient concentrations was sampled and analyzed as documented in the

(http://hahana.soest.hawaii.edu/hot/protocols/protocols.html). Regional ocean color and sea
 level anomaly (SLA) for the NPSG were analyzed using satellite-derived images from the
 Moderate Resolution Imaging Spectroradiometer (MODIS).

4

5 *Operation of the Submersible Incubation Device (SID)*

Since the original description of the SID in the 1990's (Taylor & Doherty, 1990), there 6 7 have been several subsequent versions of the SID concept which have adapted the instrumentation (Albert et al., 1995; Lohrenz et al., 1992; Taylor & Howes, 1994; Edgcomb 8 et al., in press; Pachiadaki et al., in press). This study is the first time that the 4L-SID has 9 been used for conducting ¹⁵N₂ rate measurements and therefore the entire instrument 10 configuration relevant to quantifying N_2 fixation is outlined here. 11 The SID, as configured for this study, consisted of a hydraulically-driven, syringe-like 4 12 13 L incubation chamber, an 18 port Fluidic Distribution Valve (FDV) for directing fluid flows, an array of 8 "version 1" Fixation Filter units (FF1s, Taylor, C. D., K. W. Doherty and S. 14 Honjo. 2013. Fixation Filter Assembly. US Patent #8,426,218) for collection and 15 preservation of incubated particulate samples, and a controlling electronics/battery pack (Fig. 16 2A). The incubation chamber consists of a precision bore borosilicate glass chamber (interior 17 silane treated with SurfaSil[™] siliconizing fluid [Thermo Scientific] for biological inertness). 18 Each end of the incubation chamber is capped with silicone O-ring-sealed polycarbonate end 19 caps and it contains a silicone O-ring-sealed polycarbonate floating piston. The rotor/stator 20 components of the FDV in contact with sample are made of PVC and Teflon® and interfacing 21 tubing between the incubation chamber, FDV and FF1s are made of Teflon®. All interiors 22 were acid-washed and rinsed with deionized water prior to deployment. Communication with 23 the instrument prior to and after each deployment for programming and data retrieval was via 24 a serial RS-232 link with a laptop PC. The 4L-SID was mounted to a free-drifting spar float 25 system (Fig. 2B) for deployments at a fixed depth of 25 m. During the instrument operation in 26

situ, the location of the spar float system was constantly monitored via two Iridium GPS 1 transponders. The FF1s are unique in-line filter units that each contain an appropriate 2 chemical preservative that is delivered (with no moving parts) through the filter by density-3 4 driven laminar convection after completion of filtration (Supplemental Figure 1). The SID was configured for deployment with the hydraulically-driven floating piston 5 flushed against the check valve-containing end cap (Fig. 3A). The space behind the floating 6 7 piston was filled with deionized water. After deployments in the afternoon, the incubation sequence was programmed to automatically commence the next morning at 0530. To 8 9 condition the interior of the incubation chamber with environmental sample, ~500 mL of 10 seawater from the depth of deployment was drawn in into the chamber via the *Inlet Check* Valve (ICV, red inset, Fig. 3B) and expelled back into the environment via the FDV Waste 11 outlet (Fig. 3A). A total of 2 flushes were executed. The flushing operation was immediately 12 followed by complete filling of the incubation chamber with sample via the ICV, 13 advancement of the FDV rotor to the first FF1 filter unit and immediate filtration of the entire 14 4L to obtain a natural abundance time zero (T_0) particulate sample. The ICV has a large 15 enough internal spacing that will not select against larger organisms (21 mm diameter annulus 16 with a 1.63 mm spacing, through six 2.38 mm diameter holes, ultimately into the chamber via 17 a 4.76 mm diameter orifice; see Fig. 3B inset). During filling, the ICV exerts low shear stress 18 of 1.2 pascals (Pa); max. 1.7 Pa, at a flow rate of 200 mL/min (Taylor et al., 2015). The FDV 19 advanced to the next valve port connected to the first bag of tracer and the chamber then re-20 filled as described above. The slight negative pressure that developed within the chamber 21 during filling also quantitatively draws the entire ${}^{15}N_2$ contents from the flexible tracer bag, 22 which also quantitatively sweeps the ¹³C-bicarbonate contained within the in line injector coil 23 into the chamber as shown in Fig. 3B (tracer details described below). The gentle turbulence 24 generated from the main bulk of the sample entering the chamber via the ICV completely 25 mixes the tracer with the sample as it enters the chamber (confirmed by dye studies). The 4L 26

sample was then incubated a pre-programmed 23.5 h, followed by direction of sample to the 1 next FF1 to obtain the T_{incub} sample (Fig. 3C). Upon completion of the incubation the 2 chamber was flushed 4x as described above to remove tracer. The taper of floating piston and 3 4 front end cap were machined to the same angle, minimizing the dead volume remaining when the piston meets the front endcap. Assuming an interior dead volume of 4 ml when the 5 chamber is empty, the 4x flushing cycle dilutes the tracer contents by 5.6 orders of 6 7 magnitude, which is well below background concentrations. A given incubation cycle consumes 3 ports of the FDV and 2 FF1s (Fig. 3A). The 4L SID, as configured, was thus able 8 to conduct 4 in situ incubations. 9

10 All filtrations were collected onto 47 mm diameter pre-combusted glass fiber filters (GF/F) and chemically preserved in a pH 2 acid buffer inside the FF1s (Taylor and Doherty, 11 1990; Taylor and Howes, 1994; Supplemental Figure 1), which terminates biological activity 12 and preserves the sample for at least 1.5 month in warm water (confirmed by a Bermuda Test 13 Bed mooring SID deployment where data agreed well with Bermuda Atlantic Time Series 14 (BATS) measurements made at the same depth [unpublished data]). Once the SID was 15 recovered aboard ship, the filters were immediately recovered and dried for 48 h at 60°C in a 16 drying oven and then stored at room temperature until analyzed. In the laboratory, the filters 17 were pelleted and sent for isotopic analysis at the stable isotope facility at University of 18 California, Davis. BNF rate calculations followed the protocol of Montoya et al. (1996). To 19 test for leakage of low molecular weight (LMW) metabolites into the acidic preservative, the 20 remaining preservative contents of the FF1s were also recovered, evaporated onto GFF filters 21 (soaking the GFFs with the preservative and putting them in a drying oven), and these filters 22 23 were treated as described above for the particulate filters. We found only very low or even undetectable amounts of carbon and nitrogen on these filters, and more importantly, the δ^{13} C 24 and δ^{15} N values were equal or even lower than those of the respective non-tracered T₀ 25

1 samples. Thus, the SID derived rates were not underestimated due to loss of tracer to the

2 LMW fraction.

The two tracers added to the SID incubations were ¹⁵N₂ gas to obtain estimates of BNF 3 and ¹³C-bicarbonate for measurements of primary productivity. ¹⁵N₂ gas (98 atom%; Sigma-4 Aldrich) was added to seawater samples as ¹⁵N₂ enriched seawater' which was prepared on 5 land prior to the cruise using sterile-filtered surface seawater from Station ALOHA (10 mL 6 $^{15}N_2$ per liter of seawater; Wilson *et al.*, 2012). The $^{15}N_2$ gas used in this study was from a 7 8 batch manufactured from 2008-2009 by Sigma-Aldrich and we identified it as not causing severe contamination with other bioavailable inorganic N species (Dabundo et al. 2014). 9 10 After enrichment, the tracer water was stored in 200 mL gas-tight tri-layer aluminized polyethylene bags (http://www.pmcbag.com/). The bags were individually connected to the 11 18-port FDV via Luer locks and 1.6 mm I.D. Teflon tubing and coiled in-line tracer loops 12 made of Teflon® tubing, as illustrated in Fig. 3A. A complete 200 mL bag was added to each 13 4 L incubation, providing a final atom enrichment of 5%. For the ¹³C additions, 400 µL of a 14 0.1 M solution of $H^{13}CO_3^{-1}$ were stored within a coiled section of the Teflon tubing (see inset 15 in Fig. 3A). To facilitate loading of the ¹³C-tracer into the coil using a syringe, small bubbles 16 (volume $\sim 50 \,\mu$ L) were introduced at the beginning and end of the injection. The leading 17 18 bubble isolates the tracer from the water contained within the tubing leading to the FDV, allowing it to be introduced as a "plug flow" instead of the spreading of tracer by the 19 parabolic laminar flows that would otherwise occur. The trailing bubble provides isolation 20 from the ${}^{15}N_2$ enriched seawater in the bag. The surface tension of the small bubbles quite 21 effectively confines the tracer within the loop and resists modest vibration. 22

23

24 Complementary ${}^{15}N_2$ measurements conducted during the cruise

Measurements of BNF were also conducted during the cruise by sampling the water
 column using the CTD-rosette and incubating the seawater samples either using an *in situ*

array or on-deck incubators which simulated *in situ* conditions. The *in situ* incubations were 1 used to obtain vertical profiles of BNF. Seawater was collected from depths of 5, 25, 45, 75, 2 100, and 125 m into replicate 4.3 L polycarbonate bottles, amended with ¹⁵N₂ enriched water 3 4 and attached to a free-floating *in situ* array at the appropriate depth for a 24 h period (Church et al., 2009). The on-deck incubations were performed for 24 h using blue shaded incubators 5 cooled with running surface seawater and additional neutral mesh shading to mimic the 6 7 corresponding light irradiances for each depth. Both sets of BNF measurements were also amended with 400 μ L of a 0.1 M solution of H¹³CO₃⁻ injected through the septum cap with a 8 syringe. Upon termination of the incubations, the seawater samples were gently filtered 9 through pre-combusted Whatman GF/F filters (0.7 µm nominal pore size) and processed as 10 described for the SID filters. 11

12

13 Quantitative PCR

Different diazotrophs present in the water column were quantified using quantitative PCR 14 (qPCR) enumeration of specific *nifH* gene copies. Water column samples were collected from 15 between 5 m and 175 m depth. Once the CTD was recovered, the seawater was immediately 16 drained from the Niskin bottles into acid-washed 4 L polycarbonate bottles. Using peristaltic 17 pumps, 2 L from each depth was filtered in-line through 10 µm (Polyester, Sterlitech, Kent, 18 WA, USA) and 0.2 µm (Supor; Pall Life Sciences, Ann Arbor, MI, USA) pore-size filters, 19 held in 25 mm diameter Swinnex filter holders (Millipore, Billerca, MA, USA). The filters 20 were placed into sterile 1.5 mL cryotubes containing 0.1 g autoclaved glass beads, frozen in 21 liquid nitrogen, and stored at -80°C until processing in the laboratory. DNA extractions were 22 carried out as described previously (Bombar et al., 2013). We used previously designed Taq-23 Man® primer-probe sets, including cyanobacterial phylotypes UCYN-A and UCYN-B 24 (Moisander et al., 2010), Trichodesmium (Church et al., 2005), and two Diatom-Diazotroph 25 Associations (DDAs) (Foster et al., 2007) termed het-1 (Rhizosolenia-Richelia) and het-2 26

1 (*Hemiaulus-Richelia*). Additionally, we quantified presumed heterotroph diazotroph

2 phylotypes HM210397 (γ-Proteobacteria) and KC013231 (cluster 3), described in Bombar et

al. (2013). QPCR optimizations and quantifications have been described in detail in

4 Moisander et al. (2010), (Halm et al., 2012) (specifications for phylotype HM210397), and

5 Bombar *et al.* (2013) (specifications for phylotype KC013231).

6

7 **Results and Discussion**

The application of *in situ* devices for observing physical, chemical, and biological 8 parameters in the ocean is an important approach for better understanding the complex 9 relationships between the physical and chemical environment and microbial distributions and 10 activities (Johnson et al., 2010; Ottesen et al., 2011; Robidart et al., 2014; Taylor & Howes, 11 1994). This study reports the first successful deployment of an autonomous device capable of 12 conducting sampling, incubation, and filtration processes for BNF measurements in situ. We 13 14 evaluate the operation of the SID as an *in situ* instrument for BNF measurement, compare the SID-derived BNF rates with commonly applied sampling and incubation methods, and 15 discuss the SID rates in the context of physical, chemical, and microbial data obtained during 16 the BIOLINCS cruise. 17

18

19 SID operation and measurements of BNF rates

20 The SID was deployed twice during the 2011 BioLINCS cruise (Fig. 1). During the first

deployment (4L-SID deployment 1) from 9–14 September 2011, the SID followed a 47 km

22 drift path in a north-easterly direction, and during the second deployment (4L-SID

deployment 2) from 16-20 September 2011, it drifted 28 km westwards (Fig.1). During both

24 deployments, the 4L-SID performed four autonomous tracer incubations over a 4 day period

at a depth of 25 m in the water column. With respect to the proximity of each incubation

event, the 4L-SID sampled approximately every 16 km during deployment 1 and every 10 km
 during deployment 2.

The 4L-SID-derived BNF measurements ranged from 0.8–1.9 nmol N L⁻¹ d⁻¹ (average 1.4 3 \pm 0.5 nmol N L⁻¹ d⁻¹) during deployment 1 and from 1.4–2.8 nmol N L⁻¹ d⁻¹ (average 2.0 \pm 4 0.6 nmol N L⁻¹ d⁻¹) during deployment 2 (Table 1). Thus, during each 4-day deployment, a 2-5 fold variation of BNF was recorded, and BNF rates were overall higher during deployment 2. 6 The simultaneous rate measurements of ¹³C primary production by the 4L-SID also revealed 7 higher values for deployment 2 (344 \pm 40 nmol C L⁻¹ d⁻¹) compared to deployment 1 (207 \pm 8 48 nmol C L⁻¹ d⁻¹). The higher rates of both ¹³C primary production and BNF suggest that the 9 10 difference in BNF rates between the two deployments was not due to methodological errors that were only specific to the BNF measurements (Table 1, Fig. 4). 11 Compared to 4L-SID derived rates of ¹⁵N₂ assimilation, BNF rates obtained from 12 incubations in the on-deck incubator (with water from 25 m) were higher during deployment 13 1 (3.3 \pm 0.2 nmol N L⁻¹ d⁻¹) and more variable (ranging from 1.4 \pm 0.1 to 6.6 \pm 1.9 nmol N L⁻¹ 14 d^{-1}) during deployment 2 (Table 1). There are several factors which might contribute to the 15 difference in 4L-SID and on-deck measurements of ¹⁵N₂ assimilation. The first is the 16 potential for sampling different populations of diazotrophs by the SID and the shipboard 17 CTD-rosette. The distance between the ship and the SID when the comparative samples were 18 taken was 5.4 km and 3.9 km for 4L-SID deployment and deployment 2, respectively (Fig. 1). 19 Another potential source of variability is the method of incubation itself. The on-deck 20 incubators are a best-effort to mimic temperature and light levels equivalent to a depth of 25 21 m in the water column, but have a few limitations. For example, near-surface seawater intake 22 23 is used for cooling which has a slightly higher temperature than 25 m water, and the existence of variability in light intensity due to shading among incubation bottles or from ship 24 25 structures.

1	Potential perturbations of the natural abiotic conditions during sampling and incubations
2	are a well-known problem (Feike et al., 2012), and highlight the necessity for using in situ
3	devices especially when longer incubations are required. This theory seems to be supported
4	by the BNF measurements obtained using an <i>in situ</i> array which performed incubations at 25
5	m, the results of which are better aligned with the 4L-SID deployment 1 values (Table 1, 0.9-
6	2.9 nmol N L ⁻¹ d ⁻¹). Unfortunately, corresponding <i>in situ</i> array incubations to match
7	deployment 2 were not obtained due to the loss of the array at sea. In contrast, the available
8	rates of ¹³ C primary production (Table 1) do not mirror the high similarity of BNF observed
9	between the SID and the <i>in situ</i> array measurements, with higher rates obtained by the <i>in situ</i>
10	array compared to the SID. However, overall both the 4L-SID-derived BNF and primary
11	production rates compare favorably to values obtained using traditional methods during the
12	same oceanographic expedition and during time-series measurements conducted at nearby
13	Station ALOHA. BNF and ¹⁴ C primary production (<i>in situ</i> array incubations) rate
14	measurements are conducted on a nearly monthly basis at station ALOHA, situated
15	approximately 100 km to the south of the BioLINCS expedition region (Hawaii Ocean Time-
16	series (HOT) and other funding programs (Grabowski et al., 2008; Church et al., 2009)). BNF
17	rates at 25 m depth ranged from 1 to 5 nmol N $L^{-1} d^{-1}$ during the summer months (July-
18	September) between 2004 and 2007 (Church <i>et al.</i> , 2009, ${}^{15}N_2$ bubble addition protocol).
19	During a more recent cruise in June 2014, BNF rates were measured daily for 7 days and
20	produced values of 5.9 \pm 1.1 nmol N L ⁻¹ d ⁻¹ (range 3.7–7.2 nmol N L ⁻¹ d ⁻¹) (Wilson,
21	unpublished data, ¹⁵ N ₂ added as pre-dissolved in sterile-filtered seawater). The average rate of
22	14 C primary production for the month of September during the years 1989-2011 is 463 ± 126
23	nmol C L ⁻¹ d ⁻¹ , which is quite similar to values obtained using different incubation techniques
24	during our cruise (Table 1). We can only speculate on the reasons for the comparably high
25	primary production from <i>in situ</i> array 2 (11. September 2011, 594 \pm 68 nmol C L ⁻¹ d ⁻¹) or the
26	relatively low values from SID deployment 1 (207 \pm 48 nmol C L ⁻¹ d ⁻¹). Interestingly, CTD

1	chlorophyll fluorescence measurements were relatively high at 25 m during sampling for in
2	situ array 2 (0.20 \pm 0.00 µg L ⁻¹) compared to any measurements at 25 m at four stations in
3	proximity to 4 L SID samplings during deployment 1 (0.13 \pm 0.04 µg L ⁻¹). Given the high
4	spatiotemporal variability in prokaryotic distributions and abiotic conditions for our particular
5	expedition (Figure 5, also see Robidart et al., 2014), the observed range in primary production
6	appears realistic. Without more specific comparison experiments, at this point it is difficult to
7	claim that one or the other method delivers more trustworthy rate measurements. Most
8	importantly, the overall similar range of BNF and primary production values obtained using
9	the different methods supports the efficacy of the SID for <i>in situ</i> rate measurements.
10	
11	
12	
13	Hydrographic and biogeochemical background
14	4L-SID deployment 1 was on the northern edge of an anticyclonic eddy (Fig.1). During

the second deployment, the SID drifted west between the primary eddy and a smaller adjoined 15 anticyclonic eddy (Fig. 1). The drift paths for both 4L-SID deployments followed the 16 clockwise circulation patterns of the two anticyclonic eddies revealed by sea surface altimetry 17 18 (Fig. 1) and shipboard ADCP measurements (Robidart et al., 2014). These mesoscale eddies introduced small-scale physical and chemical heterogeneity in the area, which clearly affected 19 microbial distributions, especially of diazotroph cyanobacteria (Robidart et al., 2014). 20 Several hydrographic and biogeochemical conditions may have influenced the 4L-SID 21 measurements. Low average wind speeds of 4.8 m sec^{-1} for deployment 1 and 6.9 m sec⁻¹ for 22 deployment 2 contributed to a shallow mixed layer depth (MLD) during both deployments, 23 but with an average of 17 m (range 10–27 m) during deployment 1 and 30 m (range 14-57 m) 24

- for deployment 2 (based on 0.03 density offset from 10 m criterion) (De Boyer Montégut *et*
- *al.*, 2004). Accordingly, along the 25 m depth horizon seawater temperatures averaged 25.9

°C (range 25.7-26.1°C) during deployment 1 and 26.2°C (range 26.1-26.2°C) during
deployment 2 (Fig.5). Therefore, possibly the majority of sampling conducted by the 4L-SID
during deployment 1 was beneath the mixed layer, and thus below the main accumulation of
diazotrophs, which could partly explain why lower rates were found compared to deployment
2. However, with the available qPCR data (samples from 5 m, 25 m, 45 m etc.) we cannot
resolve whether the MLD had an influence on the vertical distribution of diazotrophs that
would explain the variations in BNF.

8 Nutrient concentrations in the upper 100 m were mostly low, which is common in oligotrophic oceanic gyres (Fig. 5). However, some of the $NO_2^- + NO_3^-$ (Low Level Nitrogen, 9 LLN) and phosphate concentrations near the surface equaled concentrations much deeper in 10 the water column at around 125 m (Fig. 5D, near the "apex"), which is atypical for NPSG 11 waters (Robidart et al., 2014). Along the 25 m depth horizon where the 4L-SID was situated. 12 LLN concentrations ranged from 2–6 nmol L⁻¹, and phosphorus concentrations ranged from 13 20-137 nmol L⁻¹ for the complete 12 day oceanographic expedition (Fig. 5). During 14 deployment 1, the 4L-SID encountered remarkably steep gradients in salinity and phosphate 15 concentrations (Fig. 5B, D). In turn, waters sampled during deployment 2 were relatively rich 16 in chlorophyll (Fig. 1). Overall, these data suggest that different water types were sampled 17 18 during 4L-SID deployments 1 and 2.

The highest BNF and primary production rates were measured nearest to the "apex" of 19 the cruise transit (Fig. 5), where nutrient concentrations were elevated. Possibly, diazotrophs 20 in this region were stimulated by the nutrients and were able to respond with higher BNF, 21 although it is not clear whether nutrient concentrations were elevated due to influx from 22 23 depth, atmospheric deposition (Kim et al., 2014), or whether there was low demand in the microbial community which led to its accumulation within the surface layer. The 4L-SID 24 drifts in a Lagrangian manner and can provide unbiased samples describing the variability in 25 BNF within a complex setting of small spatiotemporal fluctuations in abiotic parameters. In 26

order to pinpoint specific abiotic/biotic parameters responsible for observed variations in BNF
 rates, future versions of the SID need to include additional oceanographic sensors, like a CTD
 package including oxygen, nitrate, and optical sensors (see next section).

4 The qPCR quantifications of *nifH* gene copies suggest that unicellular cyanobacterial diazotrophs (Candidatus Atelocyanobacterium thalassa, "UCYN-A", and Crocosphaera 5 watsonii," UCYN-B") were the most abundant diazotrophs present in the water column 6 7 throughout the sampled area (Fig. 6A). In the region near the apex, *nifH* genes of these organisms attained concentrations of approximately 2.0 x 10⁸ copies m⁻², which was 96% of 8 all quantified *nifH* gene copies. *Trichodesmium* sp. was the next most abundant diazotroph 9 (up to 3.0×10^7 nifH gene copies m⁻²); heterocystous symbionts of diatoms (het-1 and het-2) 10 as well as heterotrophic bacteria were present at much lower abundances (Fig. 6B). While 11 *nifH* gene copy inventories appeared to co-vary for unicellular cyanobacterial diazotrophs 12 (UCYN-A and UCYN-B, Fig. 6A), a different pattern was observed for the remaining five 13 phylotypes, i.e. Trichodesmium, heterocystous cyanobacterial symbionts het-1 and het-2, and 14 15 the two heterotroph diazotrophs (Fig. 6B). The *nifH* gene abundances in this latter group also co-varied, but appeared to be relatively more abundant at stations parallel to 4L-SID 16 deployment 1 (Fig. 6B). This group includes the diazotrophs typically assigned to the $>10 \,\mu m$ 17 size fraction (Trichodesmium and heterocystous cyanobacteria), and was up to 42% of nifH 18 inventories in the "transit 1" area (Fig. 6C). These data suggest that the eddy-induced 19 advection and mixing in the area had clear effects on the distribution of different diazotrophs. 20 While such data cannot be used to infer which diazotrophs were responsible for the measured 21 BNF rates, it is noteworthy that the overall higher rates obtained during 4L-SID deployment 2 22 coincided with a generally lower abundance of diazotrophs in the $>10 \,\mu m$ size fraction. 23

24

25 Recommendations for future SID deployments

In its current configuration, the SID was successfully deployed and recovered on two 1 occasions, providing daily BNF and primary production measurements in the surface waters 2 of the oligotrophic open ocean. The SID concept can contribute more environmentally-3 4 relevant rates of BNF to inform global flux calculations, and this technology has been 5 validated in this study and others (Taylor and Howes, 1994; Pachiadaki et al., in press; 6 Edgcomb et al., in press) for expeditions in various marine provinces. The SID could be 7 especially helpful for studies in 'delicate' habitats (e.g. anoxic habitats), where the seawater samples are severely compromised when they are brought onboard the research vessel for on-8 9 deck incubations (Edgcomb et al., in press; Feike et al., 2012). 10 The current SID technology would be improved by conducting simultaneous replicate measurements. Furthermore, an increased number of FF1s would permit more samples to be 11 processed and longer deployment periods. The ability to also collect replicate samples for 12 metagenomic and metatranscriptomic analysis will enable investigators to link biological 13 function with the identity and activity of the prokaryotic key players present in the water 14 15 column at the exact time of sampling (Robidart et al., 2014). To this end a new SIDimplemented fixation filter (FF3, Taylor et al., 2015) capable of chemically preserving 16 particulate microbial samples in a manner compatible with subsequent metagenomic and 17 18 metatranscriptomic study (Edgcomb et al., in press) has just been developed. Finally, the addition of further oceanographic sensors, like an ISUS for NO₃⁻ measurements (Johnson & 19 Coletti, 2002), and the ability for adaptive sampling in response to thresholds in 20 environmental parameters, would allow the SID to sample along environmental gradients. A 21 relatively new version Microbial Sampling-SID (MS-SID, Edgcomb et al., in press, 22 23 Pachiadaki *et al.*, in press; possessing a host of sensors [CTD, turbidity sensors, oxygen optode]), that was not available during this study, is now in hand and possesses the ability of 24 collecting / in situ chemically preserving up to 48 incubated samples and/or larger volume 25 microbial samples as well as 24 samples in gas tight bags. At present, a dual incubation 26

chamber SID is in advanced development (Vent-SID, laboratories of C. Taylor and S. Sievert,
 WHOI).

3

4 Conclusions

The development of a device for conducting the entire sampling, incubation, and 5 filtration processes of ¹⁵N₂ rate measurements *in situ* could help in the future to obtain higher 6 resolution coverage of direct estimates of oceanic BNF. The two 4 day deployments 7 conducted during the September 2011 BioLINCS cruise were successful with respect to 8 instrument operation and obtaining BNF rates comparable to those achieved by traditional 9 10 CTD-rosette sampling and incubation. Overall, the SID offers increased sampling resolution of BNF measurements and a platform for conducting *in situ* sampling of oceanic water 11 columns where on-deck incubations are not feasible. This device will help in identifying 12 driving factors of BNF in situ, and could be used to test important hypotheses about the 13 regulation of BNF within the oceanic nitrogen cycle. 14

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Tables

Table 1: Summary of values of BNF ($^{15}N_2$ fixation) and primary production ($H^{13}CO_3^-$ fixation) obtained from 24 h SID incubations and comparison incubations on deck or using the *in situ* array. Parallel to the first incubation of each deployment, a comparison incubation in the shipboard incubator was carried out, using water sampled from 25 m at stations close to the SID location. These comparisons, even though they are not perfect control measurements taken in closest proximity to the SID, suggest that the SID derived rates are of realistic magnitude.

SID			On deck incubations			in situ array		
Date (month/day)	N_2 fixation (nmol N L ⁻¹ d ⁻¹)	Primary production (nmol C $L^{-1} d^{-1}$	Date (month/day)	$\begin{array}{c} N_2 \text{ fixation} \\ (nmol \ N \ L^{-1} \\ d^{-1}) \\ n=2 \end{array}$	Primary production (nmol C L ⁻¹ d ⁻¹) n=2	Date (month/day)	$N_2 \text{ fixation} \\ (nmol N L^{-1} \\ d^{-1}) \\ n=2$	Primary production (nmol C L ⁻¹ d ⁻ ¹) n=2
9/10 - 9/11	1.9	229	9/10 - 9/11	3.3 ± 0.2	322 ± 47	9/8 - 9/9	0.9 ± 1.1	381 ± 0
9/11 - 9/12	1.6	237		-	-	9/10 - 9/11	2.9 ± 0.0	594 ± 68
9/12 - 9/13	1.4	226		-	-		-	-
9/13 - 9/14	0.8	135		-	-		-	-
9/16 - 9/17	2.8	330	9/16 - 9/17	1.4 ± 0.1	434 ± 10		-	-
9/17 - 9/18	1.4	307		-	-		-	-
9/18 - 9/19	1.8	400	9/18 - 9/19	6.6 ± 1.9	-		-	-
9/19 - 9/20	2.1	338		-	-		-	-



Figure 1: Sampling stations north of Station ALOHA visited during the BioLINCS cruise (gray dots), locations of the SID on the mornings of all incubation starts (black crosses) and stations at which the *in situ* array was deployed (black circles). The gray contour lines (mesoscale altimetry) indicate the presence of two anticyclonic eddies that influenced the drift paths of the SID (described in the text). Color-coded near-surface chlorophyll concentrations are averages of satellite data from AVISO (Archiving, Validation and Interpretation of Satellite Oceanographic data) and MODIS (Moderate Resolution Imaging Spectroradiometer) Aqua, for 6–20 September 2011.



Figure 2. 4L-SID and Lagrangian drifting buoy. A) Chart showing all components of the 4L-SID including the Fixation Filters "FF1s", stand-alone devices for stopping biological activity and chemically preserving incubated sample. Not shown are the four gas-tight 200 ml polyethylene bags (http://www.pmcbag.com/) that stored ¹⁵N₂-enriched seawater and were individually connected to the 18-port sample distribution valve via Luer locks and 1.6 mm I.D. Teflon tubing. Within each individual piece of connection tubing, 400 μ L of a 0.1 M solution of H¹³CO₃⁻ were stored, separated from seawater by small bubbles of air (see Fig. 3). B) Lagrangian drifter setup used in this study.



Figure 3. Diagrammatic illustration of 4L-SID functions. A) Deployed configuration. FDV: 18 port Fluidic Distribution Valve. The inset shows an enlarged view of the ¹³Cbicarbonate stored in the tracer coil. B) Procurement of sample and introduction of tracer. The inset illustrates the water flow through the inlet check valve (ICV). The poppet is normally closed by a light duty, Teflon® coated spring, except for when sample is drawn into the chamber. When the piston reaches the full extent of its travel in either direction, it is "lugged down" and the reduction in pump RPM sensed by the electronics turns off the pump. C) Delivery of incubated sample through an FF1 filter holder. The inset illustrates the seating of

the Poppet O-ring against an annular "Knife Edge" (KE) to prevent loss of sample through the check valve during emptying of the chamber.



Figure 4. A) BNF- and B) primary production rates obtained from both SID

deployments in the context of vertical rate profiles obtained from *in situ* array deployments (n = 2). Incubations at station 11 are shown as well, but were done in the shipboard incubator due to the loss of the *in situ* array. No primary production was measured on station 11.



Figure 5: Contour plots showing A) temperature, B) salinity, concentrations of C) Low level Nitrogen (LLN = $[NO_3^- + NO_2^-]$) above 100 m depth, and D) phosphate measured on shipboard stations during the BioLINCS cruise. The data are plotted vs. depth and total section distance, i.e. distance covered by shipboard stations along the northeast- and following westward transit. The triangles at the top axis indicate where the SID took samples along the section distance during its two consecutive deployments (four samplings per deployment).



Figure 6: Depth-integrated inventories of nifH gene copies of diazotroph microorganisms (quantified by QPCR) on ship stations in close proximity to the SID drift paths. Section distance on the x-axis is the total distance covered by shipboard stations along the northeast-and following westward transit. A) nifH inventories of UCYN-A (Candidatus Atelocyanobacterium thalassa) and UCYN-B (Crocosphaera watsonii). B) nifH inventories of Trichodesmium sp., het-1 and het-2 (Diatom-Diazotroph associations between the diazotroph cyanobacteria Richelia intracellularis and two different diatom species, Rhizosolenia-Richelia (RR) and Hemiaulus-Richelia (HR)) and heterotroph phylotypes HM210397 (Halm et al., 2012) and KC013231 (Bombar et al., 2013). C) Percentage of the total nifH gene copies m-2 of diazotrophs that are usually found in the > 10 μ m size fraction (Trichodesmium, het-1, het-2). The triangles at the top axis indicate where the SID took samples along the section distance during its two consecutive deployments (four samplings per deployment).



Supplemental Figure 1. Diagrammatic illustration of the construction of FF1 filter holders. A) All components of the FF1 in exploded view, and a cross section showing how all parts fit in the assembled FF1. B) Deployed configuration with fixative (in pink) filling out all voids within the FF1. C) The flow of water (blue) during filtration, initially displacing a part of the fixative not stored in the reservoir. D) Flow of fixative by density-driven laminar convection after filtration, preserving the sample on the GFF filter.