# Lawrence Berkeley National Laboratory

**LBL Publications** 

## Title

Scanning x-ray microdiffraction with submicron white beam for strain and orientation mapping in thin films

## Permalink

https://escholarship.org/uc/item/2jf3k051

## Authors

Tamura, N. MacDowell, A.A. Spolenak, R. <u>et al.</u>

**Publication Date** 

2003-01-14

Submitted to Journal of Synchrotron radiation

as a Full Article

Using IUCr word template version 1.3

# SCANNING X-RAY MICRODIFFRACTION WITH SUBMICRON WHITE BEAM FOR STRAIN/STRESS AND ORIENTATION MAPPING IN THIN FILMS

Correspondence author: Nobumichi Tamura Lawrence Berkeley National Lab., MS 2-400, 1 Cyclotron Road, Berkeley CA 94720, USA Email:ntamura@lbl.gov Phone:(510) 486 6189 Fax:(510) 486 7696

# SCANNING X-RAY MICRODIFFRACTION WITH SUBMICRON WHITE BEAM FOR STRAIN AND ORIENTATION MAPPING IN THIN FILMS

N. Tamura <sup>a\*</sup>, A. A. MacDowell <sup>a</sup>, R. Spolenak <sup>b</sup>, B. C. Valek <sup>c</sup>, J. C. Bravman <sup>c</sup>, W. L. Brown <sup>b</sup>, R. S. Celestre <sup>a</sup>, H. A. Padmore <sup>a</sup>, B. W. Batterman <sup>ad</sup>, J. R. Patel <sup>ad</sup> <sup>a</sup>ALS/LBL, 1 Cyclotron Road, Berkeley, CA 94720, USA <sup>b</sup>Agere Systems, formerly of Bell Labs, Lucent Technologies, Murray Hill, NJ 07974, USA <sup>c</sup>Dept. of Mat. Sci. & Eng., Stanford University, Stanford, CA 94305, USA <sup>d</sup>SSRL/SLAC, Stanford University, Stanford, CA 94309, USA Email: ntamura@lbl.gov

## **Synopsis**

The technique of white beam Scanning X-ray Microdiffraction when applied to samples consisting of multiple micro-grains is described in detail. The application to two samples of thin-film metals is given.

### Abstract

Scanning X-ray Microdiffraction ( $\mu$ -SXRD) combines the use of high brilliance synchrotron sources with the latest achromatic X-ray focusing optics and fast large area 2D-detector technology. Using white beams or a combination of white and monochromatic beams, it allows for orientation and strain/stress mapping of polycrystalline thin films with submicron spatial resolution. The technique is described in detail as applied to the study of thin aluminium and copper blanket films and lines following electromigration testing and/or thermal cycling experiments. It is shown that there are significant orientation and strain/stress variations between grains and inside individual grains. A polycrystalline film when investigated at the granular (micron) level shows a highly mechanically inhomogeneous medium that allows insight into its mesoscopic properties. If the  $\mu$ -SXRD data are averaged over a macroscopic range, results show good agreement with direct macroscopic texture and stress measurements.

Keywords: X-ray microdiffraction, Thin Films, Strain/Stress

## 1. Introduction

X-ray diffraction is a powerful, almost a century old technique routinely used in laboratory and synchrotron sources to study the structural properties of materials. Compared to electron probes, X-rays offer the advantages of deeper penetration depth (so that bulk and buried samples can be investigated), virtually no sample preparation and measurement under a variety of different conditions (in air, liquid, gas, vacuum, at different temperatures and pressures).

By combining high brilliance synchrotron sources, recent progress in X-ray focusing optics, large 2D area fast detector technology and on-line 2D diffraction pattern analysis codes, it is now possible to scan samples under a submicron polychromatic or monochromatic beam and obtain spatial maps of grain orientation, strain/stress and/or microtopographical information. To achieve this, a diffraction pattern is taken and analysed at each point of the spatial map. EBSD (Electron Back Scatter Diffraction) is the closest equivalent in Scanning Electron Microscopy (SEM) to the technique of Scanning X-ray Microdiffraction (µSXRD), presented in this paper. However µSXRD is superior to EBSD in strain/stress sensitivity, grain orientation measurement accuracy and depth probing capability.

 $\mu$ SXRD using monochromatic beam and CCD detector has been systematically used since several years at the ESRF (Riekel, 2000). The combination of monochromatic  $\mu$ SXRD with scanning X-ray microfluorescence ( $\mu$ SXRF) has been applied to the microanalysis of fly-ash particles (Rindby et al., 2000). Monochromatic  $\mu$ SXRD using X-ray waveguide capable of a spatial resolution of 100 nm (Müller et al., 2000) has been used for strain mapping of micron and submicron-size oxidized lines on silicon (DiFonzo et al., 2000). The application of monochromatic  $\mu$ SXRD to study deformation in bulk polycrystalline materials has been recently demonstrated with a 3D X-ray microscope (Margulies et al., 2001). At the Advanced Light Source, Monochromatic  $\mu$ SXRD was used to map the distribution of a given crystalline species in highly inhomegeneous multi-compound samples, such as soils. The technique consists of collecting and indexing powder ring patterns at each point. By performing the intensity integration over selected arcs of each of these diffraction patterns, a distribution map of a given mineral species is obtained. This has been applied to earth and environmental science problems and it was recently shown that the synergistic use of three synchrotron microprobe techniques (Scanning X-ray micro-Fluorescence (µSXRF), micro Extended X-ray Absorption Spectroscopy (µEXAFS) and µSXRD (Manceau et al., 2002) is the right tool to decipher metal sequestration in soil nodules, with the cleaning of contaminated sites as the practical application.

The systematic use of white beam  $\mu$ SXRD or its combination with monochromatic  $\mu$ SXRD is comparatively far less advanced and its development at the Advanced Light Source is the focus of the present paper. The concept of using white beam diffraction pattern for texture and strain/stress measurements in polycrystalline thin films has been previously demonstrated at the Advanced Photon Source (Chung et al., 1999, Tamura et al., 1999) and the Advanced Light Source (Chang et al., 1998).

A number of focusing optics have been developed for X-rays during the last decade, including Fresnel zone plates (Lai et al., 1992), refractive compounds lenses (Snigirev et al., 1996), capillaries (Engstroem et al., 1991; Thiel at al., 1992; Bilderbach et al., 1994), waveguides (Spiller et al., 1974; Jark et al., 1996; Di Fonzo et al., 2000) and Bragg Fresnel optics (Aristov et al., 1986; Kuznetsov et al., 1994). However, ultra smooth mirrors in a Kirkpatrick-Baez (KB) orthogonal configuration (Kirkpatrick & Baez, 1948) are the only ones to combine achromaticity, high efficiency and good focus. Since the use of white beam is an important part of the µSXRD technique described below, achromatic KB mirrors have been chosen as our focusing optics. To obtain a submicron focused beam size with KB mirrors requires the mirrors to have an elliptical shape with submicroradian slope error tolerance. There are currently two techniques that can do this: the bending of a flat mirror (Iida et al., 1996; MacDowell et al., 2001, Hignette et al., 2001a), and the differential coating of a spherical shape mirror (Ice et al., 2000a). Mirror figure errors can be further corrected by ion-beam figuring as recently demonstrated by Hignette et al., 2001b. All techniques have achieved a spot size under 0.5 µm. We use the flat mirror bending technique to achieve a sub-micron focus (MacDowell et al., 2001).

Modern Charge Coupled Device (CCD) detectors provide a large active area (enabling the collection of a large solid angle of the reciprocal space), fast collection and readout time, adequate dynamic range and good flood and spatial distortion corrections. CCD detectors are therefore well suited for fast collection and recording of diffraction patterns, which can be directly fed into an on-line pattern analysis program.

Sample rotation must in general be avoided in  $\mu$ SXRD techniques, not only for data collection time efficiency reason, but also because any sample tilt would change the scattering volume even if the sphere of confusion of the goniometer is negligible (Ice et al., 2000b). The use of white light instead of monochromatic light is an efficient way to collect in a single shot a large number of reflections necessary to derive information such as grain orientation and strain. White beam diffraction data is not sufficient to derive the complete strain information in the sample and the use of monochromatic beam can be needed. This means that the beamline instrumentation is required to be able to easily switch between white and monochromatic beams while illuminating the same area on the sample, independent of the energy. A specific type of monochromator was therefore designed to achieve this goal. An alternative monochromator design for this kind of application has been developed at the Advanced Photon Source (Ice et al., 2000b).

The present paper is divided into four sections. The first section describes the hardware at the microdiffraction end station on beamline 7.3.3. at the Advanced Light Source. The second section explains the algorithm used to analyse the data. The third section shows how the technique can be applied to tackle real material science problems. Other considerations of the  $\mu$ SXRD technique are addressed in the fourth section.

## 2. End station layout and hardware description

The schematic layout of the x-ray microdiffraction beamline and end station is shown in Fig.1. The X-ray beam from a bending magnet source (1.9GeV, 400mA, 250  $\mu$ m FWHM x 40  $\mu$ m FWHM, up to 3 x 0.2 mrad divergence in horizontal and vertical respectively) is 1:1 refocused at the entrance of the hutch by a 700 mm long platinum coated silicon toroidal mirror operating at a grazing angle of 5.4 mrad. Water-cooled tungsten slits at the entrance of the hutch act as an adjustable size source for the KB demagnifying optics inside the hutch. This optical arrangement allows a trade off between flux and spot size.

The focusing optics consist of an orthogonal pair of 100 mm long platinum coated fused silica KB mirrors bent to an elliptical shape by applying asymmetric couples through weak leaf

springs. The principle of these KB mirrors have been described in details elsewhere (MacDowell et al., 2001). The current KB mirrors achieve a spot size of  $0.8\times0.8 \ \mu\text{m}$  FWHM and the setting has proven to be very stable over time when the temperature variations are constrained to be less than  $0.2 \ ^{\circ}$ C. The distance from the centre of the last KB mirror to the sample is 120 mm – this gives a sample clearance of 50mm. The maximum convergence angle onto the sample is 3.7 mrad and 1.6 mrad respectively for the vertical and the horizontal focusing mirrors. The KB optics have a limited acceptable aperture of only 130  $\mu$ rads in horizontal and vertical - flux rates are in the  $10^{6} - 10^{7}$  photon/sec/ $\mu$ m<sup>2</sup> at ~10 KeV.

The Si(111) monochromator consists of two identical channel cuts in a +--+ configuration (Beaumont and Hart, 1974). This arrangement has the property of directing the monochromatic x-rays along the same axis as the incoming white light. The two channel cut crystals are mounted such that the rotation axis passes through the surface of diffracting surfaces 2 and 3. The energy range available with this monochromator and beamline is between 5.5 and 14 keV as limited by air absorption and the high energy cut-off of the toroidal mirror (~50% reflectivity at 14KeV). The off axis rotation of the crystals allows for them to be rotated out of the way and allow white radiation to continue to the KB focusing mirrors. The 4-crystal monochromator consists of two rotational stages onto which the two channel cut crystals mount. For Bragg angle changes the two stages rotate in opposite directions by means of a tape drive, which is driven by a linear slide. We find the instrument is able to scan in energy and remain on the rocking curve over the available photon energy range without the additional requirement of feedback. The monochromator and KB mirrors are placed inside a compact Plexiglas box filled with a helium atmosphere to improve thermal stability and reduce x-ray air scattering and absorption.

The sample sits on a fine XY piezoelectric stage (range of +/-50  $\mu$ m), which is mounted on a coarse XYZ Huber stage (range of +/- 5 mm in XY and +/-10 mm in Z). The sample can also be mounted on a heating stage for experiments requiring high temperature up to 600 °C. The diffraction patterns are collected with a SMART 6000 Bruker CCD (active area of 90x90 mm). The sample is usually mounted in a 45° reflective geometry (see Fig.1) with the CCD on a vertical slide at a distance of approximately 35 mm from the sample area illuminated by the beam. This allows the collection of a large solid angle of the reciprocal space without having to move the detector. When illuminated with white beam (energy range of 5.5-14 keV), a (111) oriented Al grain will give a total of ~15 reflections. Samples with larger lattice constants and lower symmetries give even more reflections (more than 100 for YBCO and sapphire for instance).

### 3. Software

Laue (White beam) patterns are extensively used to determine single crystal orientation with laboratory sources. At synchrotron sources, Laue diffraction has been used to analyse rapid reactions in complex molecules, such as enzymes and proteins (so-called time-resolved Laue diffraction) (Moffat, 1997, Moffat, 2000), but its use in the materials science community has so far been limited. Laue patterns obtained at synchrotron sources using submicron focused X-ray beams yield quantitative high accuracy information on both orientation and strain within the illuminated volume. The potential to obtain accurate strain information from Laue patterns obtained was first addressed by a group at the Oak Ridge National Laboratory (Chung and Ice, 1999, Chung et al., 1999, Tamura et al., 1999). However, in order to obtain orientation and strain maps, the µSXRD technique requires a fast on-line and totally automated code, which directly and iteratively downloads a series of collected CCD images, finds the reflection positions, indexes them and calculates the orientation matrix and strain/stress tensor. We have developed such a software package called X-MAS (X-ray Microdiffraction Analysis Software) and it is under continuous development at the ALS. The detailed operation of this complex code will be described below. It is based on a previous algorithm described by Chung and Ice (1999).

The CCD diffraction image first receives image intensity and spatial distortion corrections (dark current removal, flood field correction, spatial correction). Peak positions are then automatically found using a peak searching routine. 2D local maxima peak search routines have proved to be more efficient than convolution-based routines especially in the case of complex shape peaks from plastically deformed samples. These peaks are classified according to their integrated intensity and are then fit to a 2D Gaussian, Lorentzian or Pearson VII function, which allows the instrument to achieve sub-pixel resolution on the position of the peaks on the CCD. This is possible because the divergence of the beam and the tails of its Lorentzian profile allow several pixels to be illuminated for each reflection. The best fit is usually obtained with a 2D Lorentzian function. The accuracy to which the peak positions are fit is one of the limiting factors for strain accuracy.

Once the peak positions are found, the pattern can be indexed with hkl Miller indices. For the indexing of the Laue pattern, a subset N of the most intense reflections is first considered in the reflection list. Using the instrument geometry calibration procedure described below, the

direction of the incident beam  $\mathbf{k}_{in}$  and the position of the illuminated area in the sample are known relative to the CCD camera position. The peak positions on the CCD allows the calculation of the outgoing reflected beam directions  $\mathbf{k}_{out}$ . Assuming elastic scattering (i.e.,  $|\mathbf{k}_{in}| = |\mathbf{k}_{out}|$ ), the directions of the experimental scattering vector is:

#### $\mathbf{q}_{exp} = \mathbf{k}_{out} - \mathbf{k}_{in}. \tag{1}$

The angles between these  $q_{exp}$  vectors are tabulated into an "experimental" list (N(N-1)/2) items) and then compared to a "reference" list of angles between theoretical scattering vectors  $\mathbf{q}_{ref}$  computed from the crystalline structure of interest and the energy bandpass of the polychromatic beam. The code looks for angular matches between triplets of reflections within an adjustable angular tolerance. Each triplet and their corresponding (hkl) indices are then used to calculate a trial orientation matrix and a complete list of reflections which should be visible on the CCD for the considered energy bandpass, CCD dimensions and geometry. The best match is the triplet that is able to index the largest number of experimental reflections. Many considerations such as crystal symmetry, structure factor, and number N of selected experimental intense reflections are used to limit the number of possibilities. For instance, for a Laue pattern taken on a single crystal region, a small value of N is used (we know that all the most intense reflections are coming from the same grain), whereas in the case of a pattern taken in a polycrystalline region, this N value should be increased to allow the algorithm to index a set of overlapping diffraction patterns coming from different illuminated grains. The main advantage of this algorithm is indeed the possibility to automatically separate the contribution of different grains in a single pattern and index overlapping diffraction patterns from randomly oriented grains. The use of triplets instead of pairs of reflections like in Chung and Ice (1999) renders the algorithm more robust. The algorithm is able to differentiate and index more than 10 overlapping grains.

Knowing the positions of the reflections on the CCD, it is easy to calculate their Bragg angle  $\theta$  provided that the exact position of the CCD with respect to the incoming beam and the point of "impact" on the sample are known (geometrical parameters). The number of independent parameters is five: the X and Y coordinates of the "centre channel" on the detector, the distance of this centre channel to the "point of impact" on the sample, and two angular parameters describing the tilts of the detector with respect to the incoming beam direction. Two methods can be used to refine the geometrical parameters. The first is a triangulation technique whereby several images are collected at different CCD distances from the sample and ray-traced back to the origin of the reflective beams. Moving the CCD, however, introduces a 6<sup>th</sup> parameter (translation angular direction of the CCD), which adds additional

complications and errors. A second method uses a non-linear least square refinement of a Laue pattern from a "calibration" sample. With this method all 5 parameters are obtained and no CCD movement is required. An unstrained single crystal with no defects (short extinction length) and with sufficiently large lattice constants to give enough reflections in the Laue pattern, makes a good calibration. A (001) oriented perfect silicon crystal would give 40-60 sharp reflections on the CCD. The ideal case is when the calibration crystal is directly part of the sample. For instance, in the case of thin films deposited on a silicon substrate, the reflections from the relatively unstrained wafer underneath can be used for measuring the geometrical parameters. Refinement of these parameters are obtained by minimising the function:

$$\alpha_0 = \sum_i w_i (\alpha_i^{\text{th}} - \alpha_i^{\text{exp}})^2 / \sum_i w_i$$
(2)

where the  $\alpha_i^{th}$  and  $\alpha_i^{exp}$  are the theoretical and experimental values of the differences in angle between two scattering vectors  $\mathbf{q}_{exp}$ , and the  $w_i$  are weighting factors. This of course presupposes that the Laue pattern of the reference sample is properly indexed. The sum is over all pairs of reflections visible on the CCD.

The minimization procedure used for calibration can also be used for refinement of the deviatoric strain tensor (unit cell distortion). Here we now have the geometrical parameters fixed while the lattice parameters a, b, c,  $\alpha$ ,  $\beta$ ,  $\gamma$  are floating. This allows the distorted unit cell parameters to be obtained. White beam patterns only allow measurement of unit cell distortions, not changes in the unit cell volume (the dilatational or hydrostatic strain) so that only relative values of a, b and c can be refined. The transformation matrix  $\mathbf{t}_{ij}$ , which allows going from the unstrained crystal vectors to the distorted unit cell vectors, can then be derived. The  $\mathbf{t}_{ij}$  tensor contains both distortional components and rigid body rotation terms. The rotational component is antisymmetric, and can be eliminated by:

$$\epsilon'_{ij} = (t_{ij} + t_{ji})/2 - I_{ij}$$
 (3)

where I is the identity matrix.

 $\epsilon_{ij}$  is by definition the deviatoric strain tensor within the X-ray illuminated volume. The complete strain tensor is the sum of the deviatoric tensor  $\epsilon_{ij}$  and of the dilatational tensor  $\Delta$ :

$$\varepsilon_{ij} = \varepsilon'_{ij} + \Delta \qquad (4)$$

With  $\Delta = \delta I_{ij}$ , where  $\delta = (\varepsilon_{11} + \varepsilon_{22} + \varepsilon_{33})/3$  is called dilatational (or hydrostatic) strain.

Since

$$\varepsilon_{.11}' + \varepsilon_{.22}' + \varepsilon_{.33}' = 0$$
 (5)

the deviatoric tensor consist of only 5 independent terms. The dilatational component consist of a remaining single unknown  $\delta$ . This means that the full 6 term strain components can in principle be determined from a single white beam diffraction image plus the knowledge of the absolute lattice value of a single reflection. The stress tensor is derived from the strain tensor by using literature values of the anisotropic stiffness constants C<sub>ijkl</sub> as:

$$\boldsymbol{\sigma}_{ij} = C_{ijkl} \boldsymbol{\varepsilon}_{kl} \quad (6)$$

In many cases, the measurement of the dilatational component is not necessary since the deviatoric part contains all the shear information relevant for the study of deformation. In the case of thin films, the dilatational component can be estimated by defining a limiting condition on the out-of-plane stress. It can be assumed that  $\sigma_{zz} = 0$  for a blanket thin film as it is unconstrained in the out-of-plane z direction. In cases where the knowledge of the dilatational component is necessary (for instance in triaxially constrained materials), it can be obtained by measuring the energy of one reflection. This is done by scanning the monochromator and recording CCD diffraction images for each energy point. The monochromator energy when the diffraction spot is the most intense defines the wavelength necessary to determine the lattice spacing (Tamura et al., 1999).

## 4. Applications

μSXRD can be used to map orientation and strain/stress in thin single crystal or polycrystalline films with grain size larger than one micron. The spatial resolution is nominally given by the step size used for the scans, which is generally slightly smaller than the actual beam size measured at FWHM. The resolution of the orientation maps can be increased by grain profile interpolation. Since each grain is illuminated more than once in the scan, a discrete intensity profile of the shape of the grain can be obtained from a selected set of reflections. The boundaries of the intensity profile are not sharp because of the Lorentzian nature of the X-ray beam profile and a grain is still visible (with a very low intensity) a few microns after crossing its boundary. By using linear interpolation, a grain profile shape (convoluted with the X-ray beam profile) is obtained. Grain boundaries are then obtained by intersecting the normalized grain profiles of all grains.

The two examples described below are measurements on Al or Cu thin films which were designed to simulate integrated circuit interconnects and to study failure mechanisms due to transport of metal atoms along the wire length when a very high current density is applied. This phenomenon is known as electromigration and generates high stress gradients in the wires (Blech & Herring, 1976). The width of modern interconnects are typically in the micron and submicron range and grain sizes are in the order of a few microns. Their dimensions are well matched to white beam µSXRD experiments on individual lines. The capabilities of the technique were first used to do strain and orientation mapping both at room temperature and during a temperature cycle (see section 4.1 below). A blanket film experiment is particularly relevant to evaluate the technique, because data can be averaged over several grains and statistically compared to results obtained with strain/stress macro-measurement techniques, which do not have submicron spatial resolution. The technique was then applied to study electromigration related failure, which is a more complex problem, dependant on the line microstructure (section 4.2).

#### 4.1 Thermal stress measurements in Al(Cu) interconnects

The samples consist of patterned Al (with 0.5% wt Cu) lines (length: 30  $\mu$ m, thickness: 0.7  $\mu$ m, width: 4.1 and 0.7  $\mu$ m) sputter deposited on a Si wafer and buried under a glass (SiO<sub>2</sub>) passivation layer (0.7  $\mu$ m thick). As a comparison, data has been also taken on unpassivated Al (0.5 wt %Cu) bond pads, which simulate blanket films.

Fig. 2 shows orientation and deviatoric stress maps on a 5  $\mu$ m x 5  $\mu$ m region in the unpassivated pad (Fig. 2(a)) and on the 4.1  $\mu$ m (Fig. 2(b)) and 0.7  $\mu$ m (Fig. 2(c)) wide passivated lines. On the microscopic scale, the stress in the pad is far from homogeneous. It is triaxial ( $\sigma'_{xx} \neq \sigma'_{yy} \neq \sigma'_{zz}$ ) with local differences reaching 60 MPa. On the macro scale the stress in the pad appears to be on average biaxial and in tension (The average in-plane deviatoric stresses are  $\langle \sigma'_{xx} \rangle \approx \langle \sigma'_{yy} \rangle \approx 22.7$  MPa), which is consistent with macroscopic stress measurements using wafer curvature and conventional X-ray diffraction techniques. The absolute average biaxial stress can be calculated as (Tamura et al. 2002):

$$<\sigma_b> = <(\sigma'_{xx}+\sigma'_{yy})/2.-\sigma'_{zz}> = -3 <\sigma'_{zz}>/2. \approx 68 \text{ MPa.}$$
 (7)

The lines displayed local variations of 60-80 MPa in stress for the 4.1  $\mu$ m line and up to 140 MPa for the 0.7  $\mu$ m line. As the line gets narrower, the level of stress gets higher and, on average, shifts from biaxial to triaxial. The orientation maps in Fig. 2 shows the change in the microstructure from polycrystalline in the pad and in the 4.1  $\mu$ m line to "bamboo"-type for the 0.7  $\mu$ m line.

Fig. 3 shows a temperature cycle curve obtained between 25 °C and 355 °C by scanning a 9 μm x 9 μm area of the unpassivated pad at each temperature step. The pad was annealed to 390°C prior to the experiment to eliminate relaxation effects. The curve was obtained by averaging the stress data over the scanned area and calculating the average biaxial stress using equation (7). The results show good agreement to the average stress-temperature curves obtained with conventional macro-techniques (Venkatraman et al., 1990), however the μ-SXRD technique shows a high degree of spatial complexity on the local scale that macroscopic techniques are unable to resolve. The insets in Fig. 3 show the spatially resolved local variations of the stress as revealed by μSXRD at different temperatures. Large intergranular and intragranular stress variations have been measured indicating that local parameters such as grain orientation, grain initial stress, grain size and type of grain boundaries play a crucial role in understanding the inhomogeneous yielding mechanisms of polycrystalline thin films during thermal cycling.

Temperature cycling experiments between 25°C and 345°C were carried out on similar samples (Valek et al., 2001). One striking feature observed for the unpassivated pad is that some grains already started to yield while still in the tensile region of the average stress-temperature curves, a behavior explained by the large distribution of stresses and yield stresses in the sample before the experiment (Tamura et al., 2002).

This particular example shows the ability of  $\mu$ SXRD to provide quantitative data such as grain orientation, structure, and stress at the local level in polycrystalline metallic thin films, which greatly improves the understanding and modeling of material mechanical properties.

#### 4.2 Electromigration in Damascene Cu interconnects

The samples consist of pure Cu interconnect lines (length: 100 µm, thickness: 1 µm, width: 1.1 µm) passivated under a boron nitride layer. The interconnects were produced by the damascene technique where the copper is plated out into open channels and then mechanically polished to the desired thickness. Fig. 4 shows a region of a copper interconnect that has undergone electromigration testing (Meier Chang, 2002). Fig. 4a shows a High Voltage Scanning Electron Microscope (HVSEM) image taken just after the electromigration test, near the anode end. There is a metal build-up region indicated in the figure by a black circle, which appears as a slightly darker region in the image. The corresponding orientation and resolved shear stress maps of the same part of the line obtained by µSXRD are displayed in Fig. 4(b) and 4(c) respectively. The resolved shear stresses are calculated from the measured deviatoric stresses with consideration of the 12 independent {111}/<110> glide systems of Cu. The grain structure in that particular region of the line has a random out-of-plane orientation and a near-bamboo structure. The indices, next to the map (Fig. 4(b)), indicate the approximate out-of-plane orientation of some of the grains. At the location of the local buildup region, the resolved shear stress dramatically increases to reach a maximum value of about 600 MPa. The orientation map shows that metal has accumulated at the interface of a (111) bamboo grain just before the location of a (115) twin and after a series of small randomly oriented grains. Surface diffusion at the interface between Cu and the passivation layer is the dominant diffusion mechanism in Cu interconnects (Hu et al., 1999). Surface diffusivity in Cu is highly anisotropic (Cousty et al., 1981) generating a highly

inhomogeneous diffusion path for the atomic flow in Cu lines. In our particular example the small grain regions and the (111) grains are a fast diffusion path due to their particular out-ofplane as well as in-plane orientations compared to the (115) twin where the surface diffusion channels happen to be almost perpendicular to the direction of the atomic flow. The region with the (115) twin therefore constitutes a bottleneck for atomic diffusion. A detailed µSXRD correlative study of metal accumulation and depletion areas with anisotropy of surface diffusivity in Cu lines was recently addressed (Meir Chang, 2002). The width of the Bragg reflections also contains information on the dislocation density and provides an indication on the level of stress and plastic deformation inside a particular grain (Barabash et al., 2001). The peak width of the (113) reflection is plotted (Fig.4d) as a function of the position along the 2 µm long (111) grain, which contains the (115) blocking twin. The peak is clearly broader in the buildup region next to the twin boundary and indicates local plastic deformation. The above study shows that electromigration-induced failures in interconnect metal lines are highly dependent on the microstructure and initial stress state of the samples. The capability demonstrated by this technique to non-destructively probe local grain structure and stress becomes particularly relevant to the understanding of microstructure-related failure mechanisms and to predict where the line is likely to fail during service. This technologically important problem is shown to be much more complex when the line dimensions shrink to a size where microstructural local effects can no longer be neglected.

# 5. Other considerations of the µSXRD Techniques

μSXRD using white microbeam can be used on a variety of different samples, especially thin films. However the applicability of the white beam method is limited by the size of the polycrystal grains. In order to obtain a diffraction pattern with well-defined reflection spots, the grain size must be larger or of the order of magnitude of the actual beam size. For samples with very small grains (<100 nm), the white beam technique is not applicable and monochromatic beam must be used. The diffraction pattern is then a powder ring pattern, which can also be indexed. Using the  $\sin^2\psi$  technique, the biaxial stress of the thin film can be derived from the deviation of the powder ring shape from the unstrained lattice parameters. The analysis of the powder ring pattern can be fully automated in a similar way to the analysis of the white beam Laue patterns and strain/stress maps of thin films would be a natural output of monochromatic  $\mu$ SXRD. This technique is currently under development at the ALS (Goudeau et al., 2002).

Concerning the resolution, two factors have to be taken into account: beam size and step size. Smaller white beam spot size would increase the spatial resolution and it was recently demonstrated that KBs can achieve 0.2  $\mu$ m focus FWHM (Hignette, 2001b). However, resolution is up to a certain point a function of the step size used for the scans. This is because the beam profile out of the KBs has typically a Lorenzian shape so that the core of the illuminated area is approximately an order of magnitude smaller than the actual beam size measured at FWHM. Using a 0.25  $\mu$ m step size for the scans, we were actually able to see differences of orientation and strain with a greater resolution than would be expected from the actual FWHM beam size of 0.8  $\mu$ m for our beam

Other practical challenges concern the huge amount of generated data. Each CCD image consumes 2 MB of memory in 1024x1024 binning mode, which would lead us to use either large data storage capacity or fast data reduction. The other current bottleneck for the white beam  $\mu$ SXRD is data collection time, currently limited on our station by the readout time of our CCD (several seconds), whereas the exposure time for a 1  $\mu$ m thick Cu film only requires 0.1-1 second. A typical scan contains about 1000 data points, which represent about 3 hours. The replacement of the current system with a sub-second readout time CCD, smaller pixel size and large active area (at least 100 mm) would shrink this scan times to about 20 minutes,

while increasing the strain sensitivity. More powerful computers and more efficient analysis algorithms are among other practical considerations that can decrease the data reduction time to match the collection time. The use of clusters for parallel computing of several diffraction patterns will allow users to have real-time outputs from their scans.

# Conclusions

We have described the technique of Scanning X-ray Microdiffraction (µSXRD) and its application to two thin film material science problems. The technique has come about due to the availability of high brightness synchrotron sources, fast large area x-ray CCD detectors and high speed on-line computing capabilities for data reduction. White beam µSXRD can give unique information on the orientation and stress of polycrystalline materials at the scale of their grain size, the so-called mesoscale (typically 0.1-10 µm). The technique is effectively able to *in-situ* probe inter as well as intragranular strain variations in materials experiencing external thermal or mechanical stress. The length scale reached by this technique is small enough to probe local intergranular strain and orientation variations while remaining large enough for statistical representation over a large number of grains, allowing to link macroscopic properties with microscopic ones. This link is particularly important for high performance modern materials, whose properties, such as strength, and their failure mechanisms are highly dependent of their microstructure. The technique will develop over the coming years and is likely to yield important insights into the mesoscale properties of materials. This will have far reaching importance in the understanding of matter in a similar way that the macro x-ray diffraction technique has had over the last century. In addition to the X-ray microdiffraction end-station at the Advanced Light Source, two beamlines with similar white/monochromatic beam capabilities exist at the Advanced Photon Source on undulator sources (Ice et al., 2000a and 2000b) while an X-ray microdiffraction end station using

virtually the same optics than the ALS has been implemented at the Pohang Light Source (beamline 1B2).

### **Figure Captions**

Figure 1. Schematic layout of the beamline and experimental region showing the diffracted x-rays collected by the CCD camera in the 45° reflective geometry. Source to 1:1 toroidal mirror distance = 16m. Intermediate focus slits to KB mirror distance = 3.4m. The focussed x-ray spot size on the sample is  $0.8 \times 0.8 \mu m$ .

Figure 2. Orientation and deviatoric stress maps for a 0.75  $\mu$ m thick A1 (0.5%Cu) thin film. Three structures are shown – a 5 $\mu$ m x5 $\mu$ m area within an unpassivated pad (a) and passivated lines of width 4.1 (b) and 0.7  $\mu$ m (c). The grey level differences between grains in the orientation map are correlated with their in-plane orientation.

Figure 3.Thermal cycling curve of a 9  $\mu$ m x9  $\mu$ m area of an Al(0.5%Cu) unpassivated pad. The plot shows the evolution of the average biaxial stress during the process. The inserts show the spatially resolved local stresses on the scanned area at 8 different temperature upon heating and cooling. Because of sample drift, the area of interest had to be repositioned at each temperature and is not exactly identical in each scan. The contour of one particular grain is outlined in each insert.

Figure 4. (a) Post-electromigration HVSEM image of a portion of a 1.1  $\mu$ m wide Cu line – the dark area in the circle indicates a metal accumulation area. (b) Corresponding grain map obtained by  $\mu$ SXRD. The indices indicate the approximate out-of-plane orientation of some of the grains. (c) Corresponding resolved shear stress map. A high stress region of 600 MPa is visible in a (111) grain at a boundary of a (115) twin. (d) Width of the (113) reflection of the (111) orientated grain containing the (115) twin versus position along its 2 $\mu$ m length.

#### Acknowledgements

The Advanced Light Source is supported by the Director, Office of Science, Office of Basic Energy Sciences, Materials Sciences Division, of the U.S. Department of Energy under Contract No. DE-AC03-76SF00098 at Lawrence Berkeley National Laboratory. We thank Intel for the partial funding of the end station and the anonymous reviewers for valuable comments on the paper.

### References

- Aristov, V. V.; Basov, Y. A.; Redkin, S. V.; Snigirev, A. A.; Yunkin, V. A., (1986) Nucl. Instr. & Meth. A261, p.72-75.
- Barabash, R.; Ice G. E.; Larson, B. C.; Pharr, G. M.; Chung, K.-S.; Yang, W., (2001) Appl. Phys. Lett., 79, p. 749-751.
- Beaumont, J.H. and Hart, M. (1974) Journal of Physics E, 7, p 823-829.
- Bilderback, D.H.; Hoffman, S.A. and Thiel, D.J. (1994) Science, 263, p. 201-203.
- Blech I. A. and Herring, C., (1967) Appl. Phys. Lett., 29, p.131-133.
- Chang, C.-H.; MacDowell, A. A.; Thompson, A. C.; Padmore, H. A.; Patel, J.R .(1998), American Institute of Physics Conference Proceedings, 449, p.424-6.
- Chung, J.-S.; Ice, G.E. (1999) Journal of Applied Physics, 86, p.5249-55.
- Chung, J.S.; Tamura, N.; Ice, G.E.; Larson, B.C.; Budai, J.D.; Lowe, (1999) Materials Reliability in Microelectronics IX. Symposium (San Francisco, CA, USA, 6-8 April 1999.)Warrendale, PA, USA: Mater. Res. Soc Proc., 563, p.169-74.
- Cousty, J.; Peix, R.; and Perraillon, B., (1981) Surface Science, 107, p. 586-604.
- Di Fonzo, S.; Jark, W.; Lagomarsino, S.; Giannini, C.; De Caro, L.; Cedola, A.; Muller, M. (2000), Nature, 403, p.638-40.
- Engström, P.; Larsson, S.; Rindby, A.; Buttkewitz, A.; Garbe, S.; Gaul, G.; Knöchel, A.; Lechtenberg, F., (1991) Nucl. Instr. & Meth. A302, p. 547-552.
- Goudeau, P.; Villain, P.; Tamura, N.; Celestre, R.S.; Padmore, H.A., (2002), J. Phys. IV France, 12, p.409-415.
- Hignette, O.; Rostaing, G.; Cloetens, P.; Rommeveaux, A.; Ludwig, W.; and Freund, A., (2001a), in 'X-ray Micro- and Nano-Focusing: Applications and Techniques II', SPIE Conference Proceedings 4499 (San Diego, 2001) to be published.

- Hignette, O.; Peffen, J.-C.; Alvaro, V.; Chinchio, E. and Freund, A.K. (2001b), in 'X-ray mirrors, crystals and multilayers II', SPIE Conference Proceedings 4501 (San Diego, 2001) to be published.
- Hu, C.-K.; Rosenberg, R. and Lee, K.Y., (1999) Appl. Phys. Lett., 74, p. 2945-7.
- Ice, G.E.; Chung, J.-S; Tischler, J.Z.; Lunt, A.; Assoufid, L. (2000a) Review of Scientific Instruments, 71, p.2635-9.
- Ice, G.E.; Chung, J.-S.; Lowe, W.; Williams, E.; Edelman, J.; (2000b) Review of Scientific Instruments, 71, p. 2001-6.
- Iida, A.; Hirano, K., (1996) Nucl. Instr. & Meth. B114, p. 149-153.
- Jark, W.; DiFonzo, S.; Lagomarsino, S.; Cedola, A.; Fabrizio, E. D.; Bram, A.; Riekel, C., (1996) J. of Appl. Phys., 80, p. 4831-4836.
- Kirkpatrick, P. and Baez, A.V., (1948) J. Opt. Soc. Am., 38, p. 766-774.
- Kuznetsov, S.M.; Snigireva, I.I.; Snigirev, A.A.; Engstrom, P.; Riekel, C. (1994), Appl. Phys. Lett., 65, p.827-9
- Lai, B.; Yun, W.B.; Legnini, D.; Xiao, Y.; Chrzas, J.; Viccaro, P.J.; White, V.; Bajikar, S.; Denton, D.; Cerrina, F.; Di Fabrizio, E.; Gentili, M.; Grella, L.; Baciocchi, M. (1992) Appl. Phys. Lett., 61, p.1877-9.
- MacDowell, A.A.; Celestre, R.S.; Tamura, N.; Spolenak, R.; Valek, B.; Brown, W.L.; Bravman, J.C.; Padmore, H.A.; Batterman, B.W. and Patel, J.R. (2001) Nucl. Instr. & Meth. In Phys. Res. A 467-468, p. 936-43.
- Manceau, A.; Tamura, N.; Marcus, M. A., MacDowell, A.A.; Celestre R.S., Sublett, R.E.; Sposito, G. and Padmore, H.A. (2002) American Mineralogist (in press)
- Margulies, L.; Winther, G.; Poulsen, H. F., (2001) Science, 291, 2392-2394.
- Meier Chang, N. (2002) PhD Thesis, Stanford University.
- Moffat, K. (1997) Laue Diffraction. Meth. Enzymol. 277B, p. 433-447.
- Moffat, K. (2000) Laue Crystallography: Time Resolved Studies. In "International Tables for X-Ray Crystallography, Volume F: Macromolecular Crystallography" (Ed. E Arnold and MG Rossman)
- Müller, M.; Burghammer, M.; Flot, D.; Riekel, C.; Morawe, C.; Murphy, B.; Cedola, A.; (2000), J. Appl. Cryst., 33, 1231-1240.

- Riekel, C., (2000) Rep. Prog. Phys., 63, 233-262.
- Rindby, A.; Engström, P.; Janssens, K. (1997), J. Synchr. Rad., 4, 228-235.
- Snigirev, A.; Kohn, V.; Snigireva, I.; Lengeler, B., (1996) Nature, 384, p.49-51.
- Spiller, E.; Segmüller, A. (1974) Appl. Phys. Lett., 24, p. 60-61.
- Tamura, N.; Chung, J.-S.; Ice, G.E.; Larson, B.C.; Budai, J.D.; Tischler, J.Z.; Yoon, M.;
  Williams, E.L.; Lowe, W.P. (1999) Materials Reliability in Microelectronics IX.
  Symposium, (San Francisco, CA, USA, 6-8 April 1999.) Warrendale, PA, USA: Mater.
  Res. Soc. Proc., 563, p.175-80
- Tamura, N.; MacDowell, A.A.; Celestre, R.S.; Padmore, H.A.; Valek, B.; Bravman, J.C.; Spolenak, R.; Brown, W.L.; Marieb, T.; Fujimoto, H.; Batterman, B.W., and Patel, J.R., (2002) Appl. Phys. Lett., 80, p. 3724-3727.
- Thiel, D. J.; Bilderback, D. H.; Lewis, A.; Stern, E. A., (1992) Nucl. Instr. & Meth. A317, p. 597-600.
- Valek, B.C.; Tamura, N.; Spolenak, R.; MacDowell, A.A.; Celestre, R.S.; Padmore, H.A.; Bravman, J.C.; Brown, W.L., Batterman, B.W., and Patel, J.R., (2001) Dislocations and Deformation Mechanisms in Thin Films and Small Structures, (San Francisco, CA, USA, 16-20 April 2001) Warrendale, PA, USA: Mat. Res. Soc. Proc., 673, p. P7.7.1-P.7.7.6
- Venkatraman, R.; Bravman, J.C.; Nix, W.D.; Davies, P.W.; Flinn, P.A. and Fraser, D.B. (1990) J. of Electronic Materials, 19, p. 1231-7.