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ESTIMATION OF WORKER FRUIT-PICKING RATES WITH AN INSTRUMENTED PICKING BAG

Z. Fei, J. Shepard, S. G. Vougioukas

HIGHLIGHTS

- We designed a low-cost instrumented picking bag that can monitor the worker's fruit picking process.
- The bag can be used to estimate the worker's picking rate for better workforce management.
- The bag can also be used to estimate the accumulated fruit weight and generate a yield map for orchard management.
- The best root mean squared error over the entire measurement range was 0.36 kg (1.8% of bag capacity).

ABSTRACT.

Estimating and recording a worker's picking rate during tree fruit harvesting can provide useful information for better workforce management, orchard platform crew management, and generation of yield maps (in combination with position). A commercial picking bag was instrumented to estimate harvested fruit weight, in real-time. All electronics were placed inside an enclosure that was placed between the bag and its shoulder straps, without hindering picking motions. Electronics included: two load cells to measure the forces exerted on the straps by the bag and fruits; an Arduino microcontroller; signal conditioning circuits; data storage; wireless communication components, and inertial sensors. Software was developed for data acquisition, filtering, transmission, and storage. Two calibration models were developed to estimate fruit weight. One model (#2) utilized inertial sensor data to compensate for the picking bag's angle with respect to gravity direction, whereas the other model (#1) did not. Dynamic calibration experiments were performed over the entire weight range of the bag (0 to 20 kg), with reference objects of known weight (baseballs and fresh apples). The weight range was divided into three operating regions: light load (< 8kg), medium load (8-13kg), and heavy load (>13 kg). Results showed that model #1 performed slightly better in the light-load region, but model #2 was superior in the medium and heavy load regions, presumably due to bag angle compensation. The best root mean squared error over the entire range was achieved by model #2 and was 0.36 kg (1.8% of bag capacity). As an application case study, two bags were used by workers harvesting from a platform in a commercial apple orchard; from the data, pickers' harvesting speeds were estimated, and fruit yield distribution was calculated for one side of a tree row.

29 **Keywords.**

30 *Fruit harvesting, Yield monitor, Electronics, Calibration, Labor.*

31 **INTRODUCTION**

32 Practically all fresh-market fruits are harvested manually (Zhang, 2017); human pickers use tall
33 ladders to reach fruit located at higher parts of the canopies and carry picking bags to store the harvested
34 fruits (Figure 1a). Once their bag is full, a picker will walk to the closest bin (Figure 1b), empty the
35 bag into the bin, and resume picking. In orchards where tree canopies are narrow and form a "fruit-
36 wall," machine-aided or mechanized harvesting can be done; pickers harvest while standing on a mobile
37 orchard platform, at different levels, and ladders are not needed (Figure 1c). On many platforms,
38 pickers still use picking bags to store the harvested fruit temporarily, and unload their bag in the bin
39 that is carried by the platform; hence, walking to a bin is also eliminated. Picking platforms with fruit
40 conveyance mechanisms exist (eliminating the need for picking bags), such as the vacuum apple
41 harvester from Phil Brown, Welding, Conklin, MI. However, the cost of such platforms is higher than
42 the cost of platforms that don't have a fruit conveyance system, and their adoption has been limited.



45 Figure 1 a) A picker on a ladder picks pears and uses a picking bag to store them; b) Pickers unload their picking bags
46 into bins that are pre-positioned in the orchard rows; c) Pickers harvest apples from a mobile orchard platform; picking
bags are used to store fruit during picking.

45 In both manual and machine-aided commercial harvesting, picker productivity and yield are
46 measured by the number of bins filled per acre. Typically, the weight of a bin is only known roughly

47 (from prior experience); i.e., it is not measured. Hence, productivity and yield are tracked at a very low
48 spatial resolution (per acre), and in terms of bins rather than weight. Also, this information is typically
49 available only after entire orchard blocks have been harvested, i.e., data are not available in real-time,
50 while workers are filling their bags. Getting such information at higher spatial resolution and temporal
51 resolutions during manual picking could drive more informed orchard and workforce management.

52 A relatively small number of prototype systems have been developed to better track the harvested
53 yield of horticultural crops (Zude-Sasse et al. (2016)). Schueller et al. (1999) developed a coarse-
54 resolution yield mapping system for hand-harvested citrus by georeferencing all filled containers/bins;
55 each container carried approximately 400 kg of citrus (containers were not weighed). Ampatzidis et al.
56 (2009a; 2009b) developed a yield mapping system for hand-harvested fruits, which utilized a scale on
57 a tractor. Fruit boxes were weighed manually, and the location was recorded using RFID tags on boxes
58 and an RFID reader with a GPS. Aggelopoulou et al. (2010) measured an apple orchard's yield by asking
59 pickers to hand-harvest apples from groups of five adjacent trees, and by recording the GPS location of
60 the central tree. The apples were placed in plastic bins along the tree rows and weighted afterward.
61 Ampatzidis et al. (2013) developed a portable picker efficiency monitoring system for manually-
62 harvested sweet cherries. A digital weighing platform was built and deployed to measure the weight of
63 a commercial fruit bin while pickers emptied their picking bags in it. Data was logged and transmitted
64 wirelessly to a host computer, and individual worker picking rates (kg/min) were estimated from the
65 data. A variation of this system was built by Ampatzidis et al. (2016) to monitor picker productivity in
66 real-time. Pickers had to manually weigh their buckets before unloading them in a bin. Colaço et al.
67 (2015) described a yield mapping method for manually harvested crops and relied on weighing each
68 georeferenced bin (bag) manually by the harvest team leader. Vatsanidou et al. (2014) mapped the yield
69 of a pear orchard by having workers hand-pick pears and place them in plastic bins (one bin per five
70 trees in a row). The bins were weighted and georeferenced using GPS.

71 All the above systems require that pickers perform extra operations, such as weighing their bags,

72 which interfere with - and are expected to delay - the picking process. Also, the above systems are not
73 applicable to machine-aided harvesting using platforms, an operation that is becoming increasingly
74 important as labor shortages increase. Finally, the spatial and time resolutions of these systems are
75 limited, and data are available only after entire bags or bins have been filled, but not while workers are
76 picking fruit and placing them in their bags. The importance of real-time data streams with workers'
77 picking rates is expected to become increasingly important, as automated - and even robotic - harvest-
78 aid machines are developed for specialty crop production (e.g., Khosro Anjom, Vougioukas, 2019).

79 The objective of this study was to develop a low-cost, easy-to-use system that can measure in real-
80 time the amount of fruit a worker has picked, without intervening with the picking process or requiring
81 any involvement of the picker. The envisioned application scenario is pickers harvesting from a mobile
82 platform. Since the picking bag – or picking bucket - is the standard tool used to harvest fruits in
83 commercial harvesting operations, a commercially available picking bag was instrumented with
84 sensors, electronics, a microcontroller, and software to provide real-time measurement of harvested fruit
85 weight during fruit picking. This approach – of instrumenting an existing industry tool – has been used
86 successfully in strawberry harvesting (Khosro Anjom, Vougioukas, & Slaughter, 2018), albeit that tool
87 was not a wearable subject to contact forces – it was a wheelbarrow cart - and hence, estimating
88 harvested yield from load cells was simpler. The main contributions of this paper are the development
89 of a force-balance model for the picking bag, the formulation of two calibration models to estimate fruit
90 weight from sensor data, and the performance of experiments that resulted in very small calibration
91 errors. The rest of the paper is structured as follows. Section 2 provides a detailed description of the
92 developed system. Section 3 presents a detailed analysis of forces acting on the picking bag during
93 harvesting, and Section 4 presents two calibration models to estimate fruit load from sensor
94 measurements. Section 5 presents calibration and validation procedures for the models, and Section 6
95 presents experimental results. Finally, Section 7 presents an application case-study, where the bags were
96 used by pickers in a commercial apple orchard in Lodi, CA, and the data were used to monitor picking

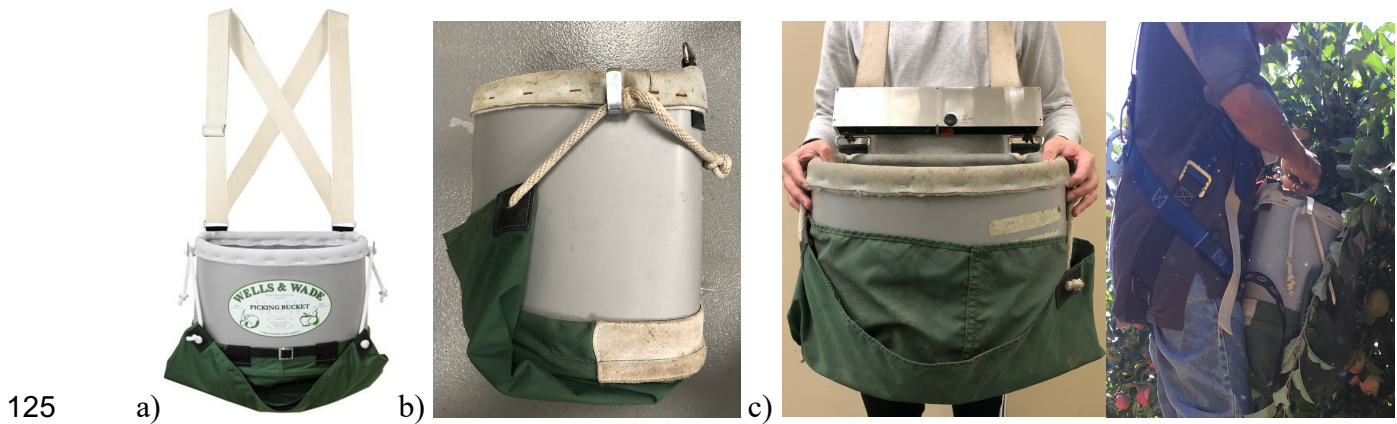
97 speeds and estimate fruit distribution along a side of an orchard row. Finally, Section 8 summarizes our
98 work and discusses the main conclusions and future work.

99 **MATERIALS AND METHODS**

100 **SYSTEM OVERVIEW**

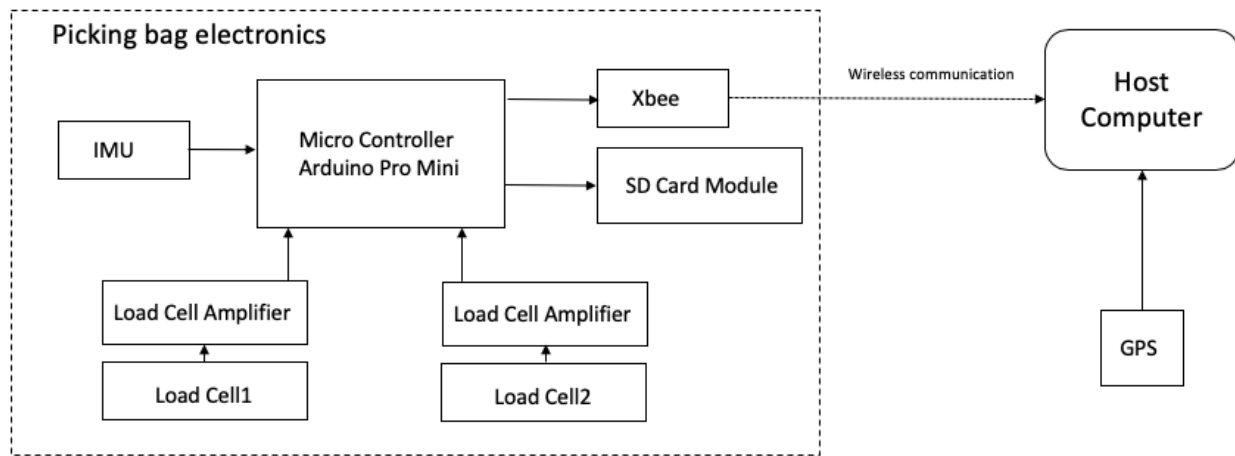
101 The system was developed based on a commercially available fruit picking bag (Figure 2a) (Wells
102 & Wade Harvest Bucket Deluxe, Wenatchee, WA, USA) that is representative of picking bags used in
103 commercial harvesting operations. During harvest, each picker carries a bag and places the picked fruit
104 in it. When the bag is full, the picker opens up the bottom of the bag by lifting the side ropes, so that
105 the knots that hold them in place are lifted from the side-hooks that keep them in place (Figure 2b), and
106 lets the fruits roll gently into a bin. Then, the picker secures the side ropes to close the bottom of the
107 bag and resumes picking. Our goal was to add load cells and instrumentation without making any
108 changes to the bag. An aluminum enclosure/box was built with two fixed metal snaps at the top (Figure
109 2d) and two metal bars with holes at the bottom. The metal bars were connected to the load cells inside
110 the box. The top of the metal box was connected to the shoulder straps, and the bottom was connected
111 to the metal snaps of the bag (Figure 2c); no changes were made to the bag. All the add-on electronics
112 were placed inside the metal box (Figure 2d). Electronics included an Arduino microcontroller (Arduino
113 Pro Mini 328 - 5V/16MHz, SparkFun Electronics, Niwot, Colorado), two load cells (TAL220 10kg
114 Straight Bar, HT Sensor Technology CO., LTD, XI'AN, China), two HX711 load cell signal
115 conditioning amplifiers and 24-bit analog-to-digital converters (SparkFun Electronics, Niwot,
116 Colorado), an Inertial Measurement Unit (IMU) (SparkFun 9DoF Sensor Stick LSM9DS1, SparkFun
117 Electronics, Niwot, Colorado) for measuring rotation angles, velocities and linear acceleration, an Xbee
118 module (XBee 1mW Trace Antenna - Series 1 (802.15.4), Digi International, Hopkins, MN) for wireless
119 data transmission, and a data logging module (OpenLog, SparkFun Electronics, Niwot, Colorado) for
120 logging data. Two lithium-ion batteries (18650 Cell, 2600mAh, 3.7V) were used for powering the entire
121 system. Lab tests with the system in full operation (measuring, storing and transmitting data) showed

122 that the system can operate continuously for 26.5 hours, which is enough for three 8-hour work shifts.
 123 If longer battery life is needed, one could replace the 5V/16MHz Arduino Pro Mini with the 3.3V/8MHz
 124 version and set the proper power-saving modes for the microcontroller and Xbee module to save energy.



126
 127 Figure 2 a) The original fruit picking bag; b) Side-view of the picking bag showing the knot holding the side rope in place;
 128 c) Instrumented picking bag with aluminum enclosure/box that contains all electronics; d) Add-on electronics inside
 129 aluminum box.
 130

131 The microcontroller polls all the sensors, reads their outputs, and transmits them wirelessly in real-
 132 time – with corresponding timestamps - to a host computer, at a frequency of 10 Hz. It also logs all
 133 sensor data on the SD memory card, for off-line processing, at the same frequency. Software running
 134 on the host computer decodes the serial data received wirelessly, filters the data, first with a median
 135 filter and then with a low-pass filter, to remove outliers from impulsive noise and high-frequency noise
 136 respectively, and predicts fruit weight inside the bag based on the filtered sensor data and a prediction
 137 model. The overall hardware system diagram is shown in Figure 3 below.



138

139

140

Figure 3 Hardware system diagram of the instrumented picking bag. If the bag is used by pickers on a platform, a host computer with a GPS receiver collects bag data and position data.

141

MICROCONTROLLER

142

The microcontroller in the system is an Arduino Pro Mini, which is a coin size microcontroller board with an ATmega328 processor. The advantages of this microcontroller include its tiny size, light-weight, and low power consumption, which fit for a wearable device as this picking bag; also, its processing power and I/O port are enough to support our system. The Arduino Pro Mini was programmed using the C++ language.

147

LOAD CELLS AND AMPLIFIER

148

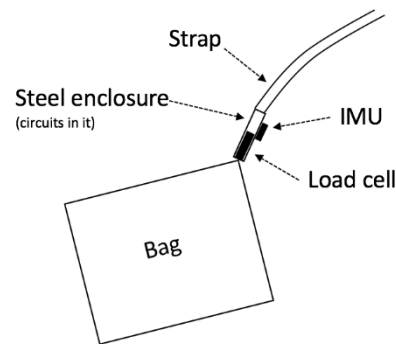
Two straight bar load cells (TAL220) are placed between the bag and its shoulder straps. The load cells measure the forces exerted on the straps by the bag and fruits, as shown in Figure 2. The bag has a capacity of 20 kg, and typically, pickers do not exceed it (otherwise, fruits above the fill-level start falling off the bag). By construction (Figure 2), the weight of the bag is split relatively equally between the load cells. When the bag is heavy, the accelerations caused by the pickers' activities – and resulting forces on the load cells - are expected to be small. Therefore, the full measurement scale (FS) of each load cell was selected to be 10 kg (with 120% FS safe overload, and 150% FS ultimate overload); the rated error is $\pm 0.05\%$ FS. The selected range was deemed adequate for the specific bag. If higher loads are expected or measured (not the case in our experiments), one can increase the safety margin by using load cells with larger FS.

157

158 An HX711 amplifier and analog-to-digital converter reads the output of each load cell, amplifies it
159 (gains is 64, corresponding to a full-scale differential voltage of ± 40 mV), and communicates with the
160 microcontroller through the I2C protocol.

161 INERTIAL MEASUREMENT UNIT

162 The LSM9DS1 IMU is placed on the back of the aluminum enclosure, as showed in Figure 4. The
163 LSM9DS1 is a small integrated circuit chip that contains a 3-axis accelerometer, a 3-axis gyroscope,
164 and a 3-axis magnetometer. The zero-g level offset of this chip is ± 90 mg. Its programmable acceleration
165 measurement range was set at $\pm 4g$, with acceleration sensitivity equal to 0.122 mg/LSB. When attached
166 to a rigid body, the IMU provides the body's three acceleration components, rotational velocities, and
167 components of the local magnetic field vector (total of nine measurements). Under static conditions,
168 the accelerometer provides the three Euler angles of the body's weight vector with respect to an internal
169 reference frame, i.e., body 3D pose. The IMU communicates with the microcontroller through the I2C
170 protocol.



171
172 Figure 4 A sketch of the picking bag and the locations of the sensors.

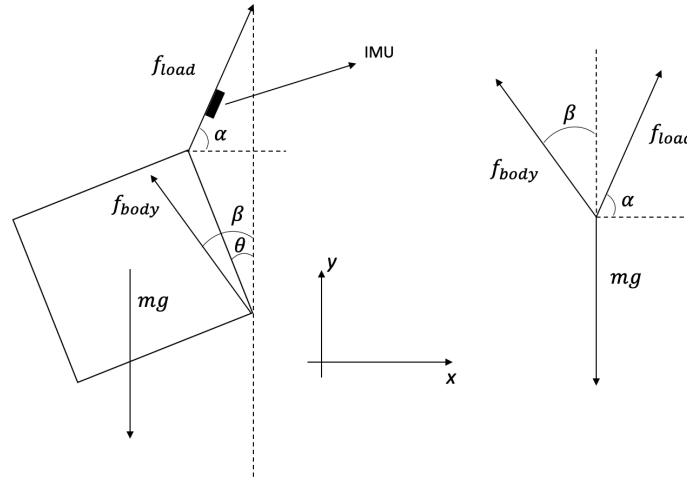
173 DATA STORAGE AND WIRELESS TRANSMISSION MODULE

174 The data is transmitted wirelessly through an Xbee module to a host computer. The module is the
175 XBee 1mW Trace Antenna - Series 1, which is low energy-consuming. It can publish serial
176 communication to a maximum range of 100 meters. An SD card module is used to backup data and
177 store it off-line.

178 MODELING THE PICKING BAG

179 FORCE ANALYSIS

180 The force balance on the picking bag was modeled, as shown in Figure 5, to establish the relationship
181 between the sensors' measurements and the load weight in the bag.



182
183 Figure 5 Force analysis of the picking bag.
184

185 Where f_{load} is the force measured by the load cells in the direction of the straps and is equal to the
186 sum of the individual load cell forces, f_{load1} , f_{load2} , respectively. f_{body} is the total reaction force due to
187 bag-picker contact. m is the mass of the bag and load. α is the angle of f_{load} with x -axis as shown above.
188 β is the angle of f_{body} with the y -axis as shown above, and θ is the angle of the bag with the y -axis, as
189 shown above.

190 Let a_x , a_y be the bag's accelerations in the x and y directions, in the inertial frame. (In the following,
191 the absence of frame superscript means the inertia frame; the absence of subscript means the object is
192 the bag.)

193 Here, it is assumed that f_{load} and f_{body} are the only external forces applied to the bag. Also, by using
194 the current suite of sensors, there is no method to measure directly the direction and magnitude of f_{body} .

195 SYSTEM ANALYSIS

196 The force balance in the x and y directions is: given in Eq. 1 and Eq. 2, respectively:

197
$$f_{load}\cos\alpha - f_{body}\sin\beta = ma_x \quad (1)$$

198
$$f_{load}\sin\alpha + f_{body}\cos\beta = m(a_y + g) \quad (2)$$

199 Solving for the reaction force from Eq. 1, and assuming $\beta \neq 0$, we get:

200
$$f_{body} = \frac{f_{load}\cos\alpha - ma_x}{\sin\beta} \quad (3)$$

201 By combining Eqs. 2 and 3, we get:

202
$$m(a_y + g) = f_{load}\sin\alpha + \frac{f_{load}\cos\alpha - ma_x}{\sin\beta} \cos\beta \quad (4)$$

203 The mass can be expressed as:

204
$$m = \frac{f_{load}(\sin\alpha + \cos\alpha \cot\beta)}{a_y + g + a_x \cot\beta} \quad (5)$$

205 or

206
$$m = \frac{(f_{load1} + f_{load2})(\sin\alpha + \cos\alpha \cot\beta)}{a_y + g + a_x \cot\beta} \quad (6)$$

207 **ANGLE ESTIMATION**

208 The poses of the bag and the electronics box are important information to estimate total mass.

209 However, the roll, pitch, and yaw angles of the bag and box in the inertial frame cannot be directly

210 measured by our sensor. The accelerometer signals of the IMU can be modeled as following (Beard &

211 McLain, 2012)

212
$$y_{accel,x} = \dot{u} + qw - rv + g\sin\theta_{imu} + \eta_{accel,x} \quad (7)$$

213
$$y_{accel,y} = \dot{v} + ru - pw - g\cos\theta_{imu}\sin\phi_{imu} + \eta_{accel,y} \quad (8)$$

214
$$y_{accel,z} = \dot{w} + pv - qu - g\cos\theta_{imu}\cos\phi_{imu} + \eta_{accel,z} \quad (9)$$

215 where y_{accel} is the reading of the IMU's accelerometer; θ_{imu} , ϕ_{imu} are the pitch and roll angles of

216 the IMU, and u , v , w are velocities along the x , y , z axes in the IMU' s body frame. \dot{u} , \dot{v} , \dot{w} are

217 accelerations along x , y , z axes in IMU' s body frame; p , q , r are roll, pitch, yaw rates measured along

218 the x, y, z axes in the IMU's body frame, and η is Gaussian noise.

219 The IMU is firmly attached to the electronics box, so the states of the IMU are also the states of the
220 object. High-frequency Gaussian noise can be reduced significantly by applying a low pass filter to the
221 signal. Under the assumption that the object is quasi-static ($\dot{u} = \dot{v} = \dot{w} \cong 0, u = v = w \cong 0$) and
222 Gaussian noise has been removed, one gets the following simplified equations:

$$223 \quad y_{accel,x} = g \sin \theta_{imu} \quad (10)$$

$$224 \quad y_{accel,y} = -g \cos \theta_{imu} \sin \phi_{imu} \quad (11)$$

$$225 \quad y_{accel,z} = -g \cos \theta_{imu} \cos \phi_{imu} \quad (12)$$

226 The quasi-static assumption corresponds to a simplified approach to calculate roll and pitch angles
227 in the inertial frame and then calculate α .

$$228 \quad \theta_{imu} = \pi - \alpha \quad (13)$$

$$229 \quad y_{accel_{imu}x} = g \sin(\pi - \alpha) = g \sin \alpha \quad (14)$$

$$230 \quad y_{accel_{imu}y} = -g \cos(\pi - \alpha) \sin \phi_{imu} = g \cos \alpha \sin \phi_{imu} \quad (15)$$

$$231 \quad y_{accel_{imu}z} = -g \cos(\pi - \alpha) \cos \phi_{imu} = g \cos \alpha \cos \phi_{imu} \quad (16)$$

$$232 \quad \alpha = \tan^{-1} \frac{y_{accel_{imu}x}}{\sqrt{y_{accel_{imu}y}^2 + y_{accel_{imu}z}^2}} \quad (17)$$

233 The zero acceleration assumption will not hold during real-world harvesting when the picker is
234 moving, and the picking bag is in direct contact with her/him; this is expected to be more pronounced
235 when the bag contains little fruit and contact forces will cause accelerations. However, the picking bag
236 becomes heavy as more fruit is harvested, and in practice, its acceleration and speed due to picker
237 motion are expected to be small.

238 CALIBRATION MODEL

239 STATIC MODEL WITHOUT IMU (MODEL #1)

240 We have derived an equation linking states to mass (Eq. 6); however, not all states in Eq. 6 are
241 available. We have to make some assumptions before we use Eq. 6. Starting from the easiest solution,
242 we can use only load cells without any IMU in our system. This solution has the lowest cost and most
243 stable since it has minimum complexity. Also, this solution limits the information we can use and make
244 the system less observable. The performance may be reduced if we only use load cells as sensors.
245 Nevertheless, it is still a good model to start with.

246 The sensors used in this model are only the load cells. Since we have no method to measure α , β
247 angle or estimate α_x , α_y , so we assume that α and β are constant and $\alpha_x = \alpha_y \approx 0$. By applying these
248 assumptions to Eq. 6, we get the following Eq. 18:

$$249 \quad m = \frac{(f_{load1} + f_{load2}) * (\sin\alpha + \cos\alpha \cot\beta)}{g} \quad (18)$$

250 The term $(\sin\alpha + \cos\alpha \cot\beta)$ is constant, since α and β are constant.

251 We can see the mass is now a linear function of measured load cell forces f_{load1} , f_{load2} .

252 By expanding Eq. 18, we get Eq. 19:

$$253 \quad m = \frac{f_{load1} * (\sin\alpha + \cos\alpha \cot\beta)}{g} + \frac{f_{load2} * (\sin\alpha + \cos\alpha \cot\beta)}{g} \quad (19)$$

254 We constructed a linear model based on Eq. 19 to fit parameters that can give the least-squares error.
255 Considered that two load cells may have different calibration equations, we use different c_1 and c_2 as
256 correction coefficients and added a bias compensate factor b_0 . We formalized the linear regression Eq.
257 20, as shown below.

$$258 \quad \hat{m} = b_0 + b_1 x_1 + b_2 x_2 \quad (20)$$

259 The dependent variable \hat{m} is the predicted mass of the bag and load. Independent variables x_1 , x_2
260 represent f_{load1} , f_{load2} .

261 The parameter b_0 is the bias compensation factor, b_1 is the estimation of the term $c_1 * \frac{(\sin\alpha + \cos\alpha \cot\beta)}{g}$,
 262 and b_2 is the estimation of the term $c_2 * \frac{(\sin\alpha + \cos\alpha \cot\beta)}{g}$.

263 STATIC MODEL WITH IMU ON THE ELECTRONICS BOX (MODEL #2)

264 In addition to the data from the two load cells, additional data are available from the IMU sensor on
 265 the electronics box. These data can be used to estimate the α angle; however, the β angle and the bag
 266 accelerations cannot be determined from the IMU data. To improve the accuracy of the model without
 267 increasing complexity, we assume that β is constant and $\alpha_x = \alpha_y \approx 0$. By applying these assumptions
 268 to Eq. 6, we get Eq. 21:

$$269 \quad m = \frac{f_{load1} * (\sin\alpha + \cos\alpha \cot\beta)}{g} + \frac{f_{load2} * (\sin\alpha + \cos\alpha \cot\beta)}{g} \quad (21)$$

270 Where $\cot\beta$ is a constant and α can be estimated using Eq. 17

271 After expanding Eq. 21, we get Eq. 22:

$$272 \quad m = \frac{f_{load1} \sin\alpha}{g} + \frac{f_{load1} \cos\alpha \cot\beta}{g} + \frac{f_{load2} \sin\alpha}{g} + \frac{f_{load2} \cos\alpha \cot\beta}{g} \quad (22)$$

273 The mass is a linear function of four independent variables. A linear regression equation can be
 274 expressed as follows:

$$275 \quad \hat{m} = b_0 + b_1 x_1 + b_2 x_2 + b_3 x_3 + b_4 x_4 \quad (23)$$

276 The dependent variable \hat{m} is the predicted mass of the bag and fruit yield. Independent variable x_1
 277 corresponds to the term $f_{load1} \sin\alpha$, independent variable x_2 corresponds to the term $f_{load2} \sin\alpha$,
 278 independent variable x_3 corresponds to the term $f_{load1} \cos\alpha$, and independent variable x_4 corresponds
 279 to $f_{load2} \cos\alpha$. The parameter b_0 is the bias compensation factor, b_1 is the estimation of the term $\frac{c_1}{g}$,
 280 b_2 is the estimation of the term $\frac{c_2}{g}$, b_3 is the estimation of $\frac{c_1 \cot\beta}{g}$, and b_4 is the estimation of $\frac{c_2 \cot\beta}{g}$,
 281 where c_1 and c_2 are the correction coefficients for the load cells.

282 **EXPERIMENTAL DESIGN**

283 **DATA COLLECTION**

284 ***Calibration dataset: Reference object (baseball) batch-drop dataset***

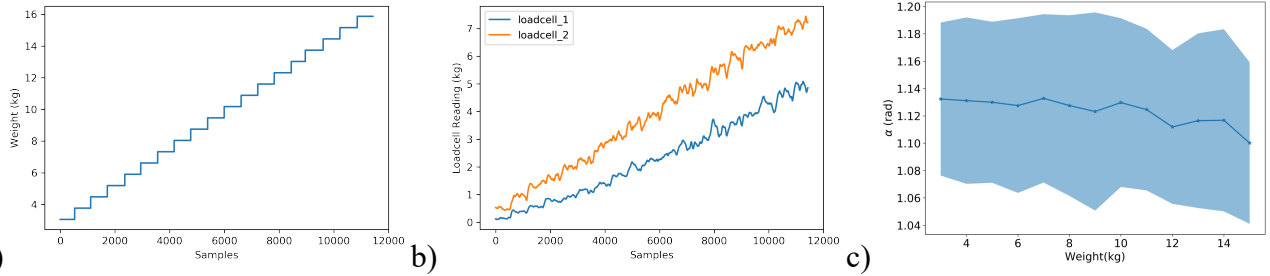
285 Dynamic calibration was performed in the lab over the entire weight range. Baseballs were used as
286 reference objects to perform calibration because their weight is standardized; each ball has mass equal
287 to 0.14239 ± 0.0008 kg.

288 The following procedure was followed for calibration.

- 289 1. A person put on the bag and all baseballs were placed on the surface of a table, at chest height.
- 290 2. Five baseballs were placed together - as a batch – in the bag to produce a stair-case weight signal
291 that was used as ground truth. Each weight level differed from the previous one by 0.71195 ± 0.004
292 kg.
- 293 3. The edge of the bag was manually pushed to generate an easily-detectable impulse signal for
294 separating batches.
- 295 4. Picking-like movements (move upper body and arms to reach 'fruits') were performed continuously
296 to mimic apple picking on a harvesting platform.
- 297 5. Steps 1-4 were repeated until the bag was full.

298 Eight bags were filled using the above procedure by eight people with different heights and body
299 weights. Figure 6 a) and b) show the example trace of the ground truth signal and the load cell signals.
300 Figure 6 c) Shows the mean of the estimated α angle and its standard deviation with respect to the load
301 weight, from all experiments. The figure suggests that the α angle was slightly decreasing as the weight
302 of the bag increased and the deviation (fluctuation) did not change significantly. A possible explanation
303 is that, since the bottom part of the bag leans on the picker legs (Figure 2 c), the α angle is largely
304 determined by the body shape and posture; the lab experiments were done in the lab by subjects
305 deliberately move their body in a “consistent” magnitude, as they pick, and therefore the weight of the
306 bag does not affect significantly the α angle deviation.

307



308

309

310

311

312

Figure 6 a) Example of staircase weight signal (ground truth) when baseball batches of five were dropped in the bag. b) Corresponding load cell signals. c) Mean of the estimated α angle, and its standard deviation with respect to the load weight.

313

Validation datasets: Reference objects (baseballs) and fresh apple fruits single-drop datasets

314

315

316

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320

321

The first validation dataset was collected using the same five-step procedure described above - for the calibration dataset – with one difference: one reference object (baseball) was added at a time (single-drop), instead of five objects. Adding one baseball at a time is closer to actual fruit picking, and was used to test the validity of the model. The second validation dataset was collected using fresh apples ('Red Delicious'); the average apple weight was measured to be 0.21 kg. A single-drop procedure was used to collect the real fruit dataset (one fruit at a time). Each fruit's weight was measured using a precision digital scale (L-EQ 10/20, Tor Rey Electronics Inc, Houston, TX, USA) and recorded before the fruit was dropped into the bag. One bag was filled for each of the validation datasets.

322

PERFORMANCE METRICS

323

324

The main performance metric used in this paper is the root mean square error (RMSE) between the predicted mass and the measured ground truth mass.

325

$$\text{RMSE} = \frac{\sum_{i=1}^n (\hat{m}_i - m_i)}{n} \quad (24)$$

326

327

328

where \hat{m}_i is the predicted mass and m_i is the ground truth mass at timestep sample i . The mean error, the standard deviation of error, and the 90th percentile of the absolute error are also used as supplementary error descriptive metrics.

329

REGRESSION AND VALIDATION

330

For the baseball batch-drop datasets, a cross-validation procedure was followed, i.e., the entire

331 dataset was split into a training set and a validation set. The training set consisted of seven people's
332 data, and the validation set was the remaining person's data. The cross-validation procedure was
333 repeated eight times. Every person's data was used as a validation set. Regression (training) was
334 performed on the training set, and validation was done on the validation set to get the performance
335 indices. The errors for eight cross-validations were aggregated together to calculate the total estimation
336 of performance for a specific regression model. The regression model from the batch-drop dataset was
337 applied to the single-drop baseball and apple validation datasets. All load cell data were pre-filtered
338 using a median filter of size 11 (which is about one second's data) to reject outliers from impulse
339 noise/spikes.

340 **APPLICATION CASE STUDY: APPLE-HARVESTING FROM AN ORCHARD PLATFORM**

341 The purpose of this experiment is to demonstrate the functionality of the instrumented picking bags in
342 real-world, commercial harvesting conditions. Two instrumented picking bags were calibrated in the
343 lab and then used by two pickers to harvest Fuji apples, in a commercial apple orchard at Lodi, CA, on
344 September 10, 2019. Trees were trained in a V-trellis architecture. The pickers were picking from a
345 modified orchard platform (Bandit Xpress, Automated Ag Systems, Moses Lake, WA, USA), as shown
346 in Figure 7.



347 a) Two pickers harvesting on an orchard platform, using the instrumented bags. b) Close-up of a picker carrying
348 his picking bag.
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350

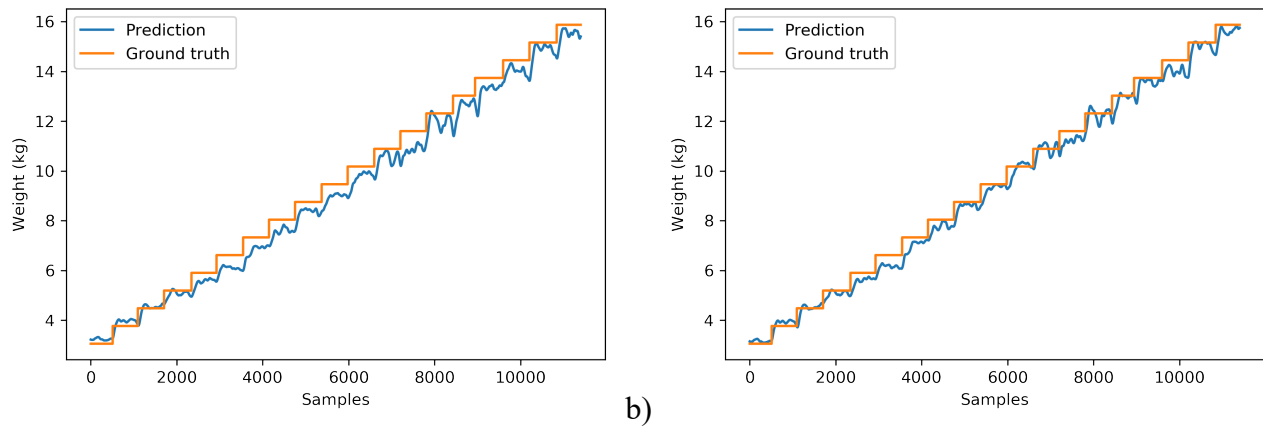
351 A Real-Time Kinematic GNSS receiver (Piksi Multi, Swift Navigation, San Francisco, CA, USA)

352 with real-time corrections over a cellular network was mounted on the top of the platform to record
353 position at cm-level accuracy. We harvested one side of a 50 m long tree row in the orchard. All the
354 sensor data from the picking bags were transmitted to a computer on the platform in real-time. The
355 sensor data was used for a) estimating each picker's bag weight at real-time; b) estimating the total
356 weight of the fruit harvested so far by each picker by accumulating fruit weight - bag by bag; c)
357 estimating individual worker's picking speed by calculating the average slope (increase rate) of the time
358 series of the accumulated picked weight using a five-minute time window; d) estimating the yield
359 distribution at a spatial resolution of three meters along one side of a tree row, by splitting the row's
360 length into three-meter segments and calculating the total weight of the fruit picked by both pickers in
361 each segment.

362 **RESULTS AND DISCUSSION**

363 **CALIBRATION RESULTS FROM THE BASEBALL BATCH-DROP DATASET**

364 Both models were calibrated with data from the baseball batch-drop experiments and then used to
365 estimate/predict the weight of the baseballs in the calibration dataset. Figure 7 shows the ground truth
366 and predicted weight signals from one randomly selected batch-drop dataset for models #1 and #2. The
367 waveforms of the predictions of the two models in these graphs look similar (but are not identical),
368 because they utilize the same load cell ground truth, and they are both reasonably accurate (they don't
369 deviate much from ground truth). The error metrics in Table 1 show the overall cross-validation error
370 statistics. The results indicate that model # 2's prediction fits the ground truth better than model #1 (it
371 has 11.3% smaller 90th percentile error). The calibrated model trained by all the available calibration
372 data is saved on the device, and the model should remain valid, as long as the load cells are not changed,
373 and the sensor installation positions don't change. Calibration needs to be done for each individual
374 picking bag if the load cells are not pre-calibrated. If the load cells are pre-calibrated to the standard
375 unit (kg), and the sensor installation positions are the same, the picking bag model can be shared across
376 devices.



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Figure 8 Two examples of predicted vs. ground truth weight, during the baseball batch-drop experiments, using a) model #1, and b) model #2.

	Model #1	Model #2
RMSE (kg)	0.5463	0.5038
Mean Error (kg)	0.4206	0.3898
SD Error (kg)	0.3486	0.3192
Error 90% Percentile (kg)	0.9315	0.8258
RMSE /Bag Capacity(20kg)	2.732%	2.519%

383

Table 1 The overall cross validation error statistics of the baseball batch-drop dataset, for Models #1 and #2

384

RESULTS ON THE BASEBALL SINGLE-DROP VALIDATION DATASET

385

Both models were used to estimate/predict the weight of the baseballs in the single-drop validation

386

dataset. Figure 9 a), b) show the ground truth and predicted weight signals of both models. The error

387

metrics in Table 2 indicate that model #2 performed significantly better than model #1 (e.g., 55.93%

388

less 90th percentile error). From Figure 9 c), we can see that the error tended to increase when the load

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increased, for both models; however, Model # 1's error increased more than the error of Model #2.

390

Figure 9 d) shows that the relative error (error as a percentage of the load) increased for Model #1 but

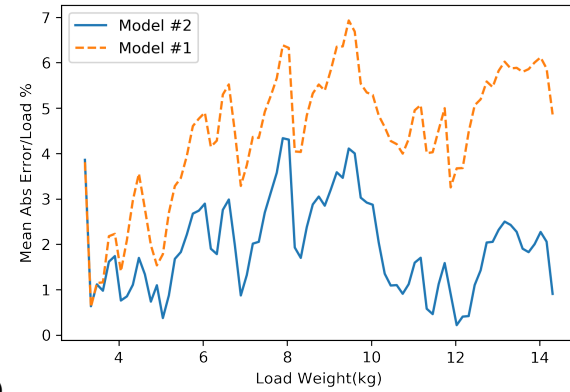
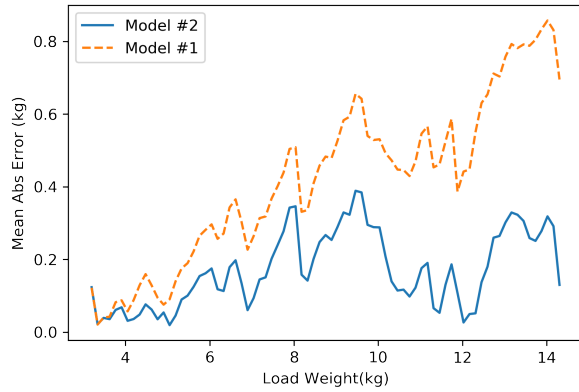
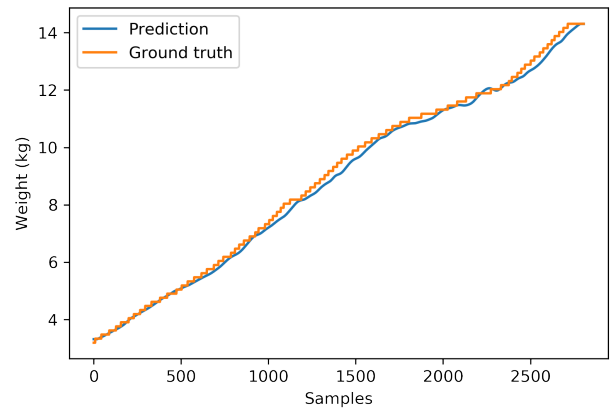
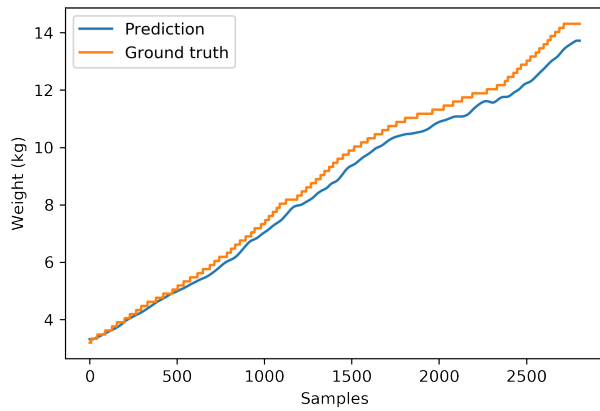
391

didn't increase much for Model #2. This can be attributed – to some extent – to the estimation and

392

incorporation of angle α into model #2 (see section 7.3).

393



394
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a)

b)

396
397

c)

d)

398 Figure 9 a) Predicted weight vs. ground truth weight for the baseball single-drop dataset, using Model #1. b) Predicted vs.
399 ground truth weight for the baseball single-drop dataset, using Model #2. c) Mean absolute error vs. current load for the
400 baseball single-drop dataset for both models. d) Corresponding mean absolute relative error (percentage of current load)
401 for both models.
402

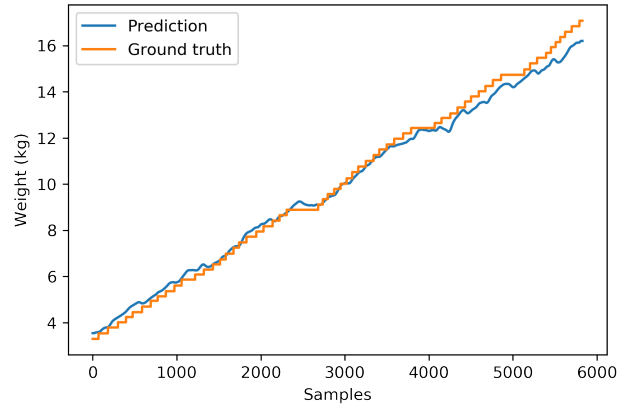
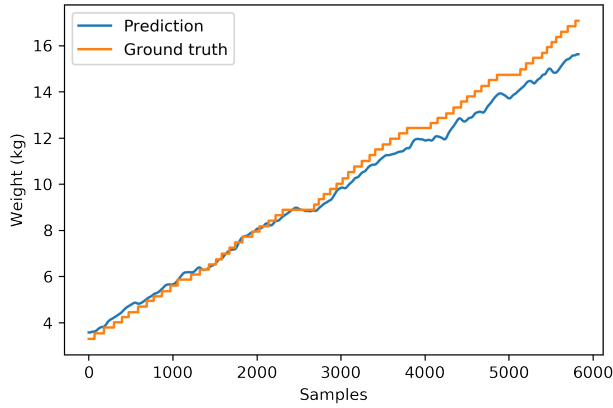
	Model #1	Model #2
RMSE (kg)	0.4679	0.1899
Mean Error (kg)	0.4118	0.1560
SD Error (kg)	0.2220	0.1084
Error 90% Percentile (kg)	0.7130	0.3142
RMSE /Bag Capacity(20kg)	2.340%	0.950%

403 Table 2 The overall cross-validation error statistics of the baseball single-drop dataset, for Models #1 and #2.

404 RESULTS FROM THE APPLE SINGLE-DROP VALIDATION DATASET

405 Both models were used to estimate/predict the weight of fresh apples in a single-drop validation
406 dataset. Figure 10 a), b) shows the ground truth and predicted weight signals of both models. Figure 10
407 c) shows that the error increased with increasing load for both models; however, Model # 1's error
408 increased more than Model #2 because Model #1 does not include α angle compensation. Figure 10 d)
409 shows that the mean absolute relative error (% of the load) for Model #1 is always higher than that of
410 Model #2. In the light-load range, we can see that both models have high relative errors; this is due to

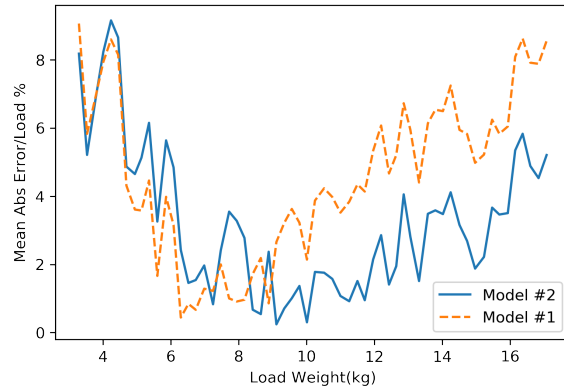
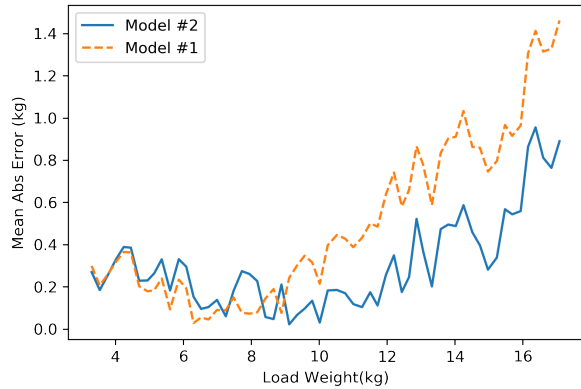
411 the fact that the total load is small, so the relative error is very sensitive to changes in the absolute error.



412
413

a)

b)



414
415

c)

d)

416 Figure 10 a) Predicted vs. ground truth weight for the apples single-drop dataset, using Model #1. b) Predicted vs. ground
417 truth weight for the apple single-drop dataset, using Model #2. c) Mean absolute error vs. current load on the apples
418 single-drop dataset, for both models. d) Corresponding mean absolute relative error (percent of current load) for both
419 models.

420

	Model #1	Model #2
RMSE (kg)	0.5997	0.3594
Mean Error (kg)	0.4697	0.2942
SD Error (kg)	0.3729	0.2064
Error 90% Percentile (kg)	0.9609	0.5566
RMSE /Bag Capacity(20kg)	2.999%	1.797%

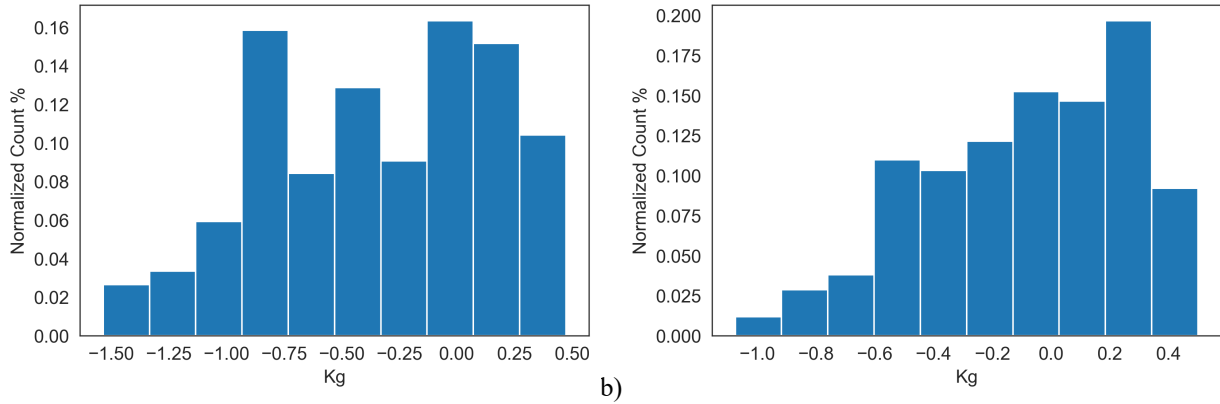
421 Table 3 The overall cross-validation error statistics of the apple single-drop dataset, for Models #1 and #2

422

423 From the above results, one can see that both models gave prediction RMSE less than 0.26 kg (which
424 is less than the weight of one average-sized apple) in the light-weight range (< 8kg), when applied to
425 the baseball and apple validation datasets. Figure 9 indicates that model #2 performed better than model
426 #1 when the bag was heavier than 8 kg; model # 1's prediction started underestimating weight, whereas
427 model # 2's prediction still predicted the ground truth closely. A possible explanation is that model #1

428 assumes that angle α doesn't change, whereas, in reality, it did (Figure 6 shows that angle α decreased
 429 – on average - as the load weight increased; model #2 incorporates the angle in the weight estimate.
 430 Most of the errors made by model #2 were within 0.5 kg, and the 90% percentile of the error was 0.56
 431 kg, which was 42.08% less than the corresponding error of model #1.

432 ***Error distributions of both models for apple dataset***



433 a) Figure 11 a) Frequency histogram of fresh-apple weight error using model #1. b): Frequency histogram of fresh-apple
 434 weight error using model #2.
 435
 436

437 Figure 11 shows the frequency histograms of the errors of the two models; both distributions are
 438 biased toward negative errors (the mean error was -0.347 kg for model #1 and -0.088 kg for model #2),
 439 which means that both models tended to underestimate the true weight of the fruits in the bag. The
 440 underestimation effect was more significant in model #1, as it is also evident from Figure 10.

441 ***Errors in different ranges for apple dataset***

442 To study the errors quantitatively under different load conditions, and gain insight into the
 443 performance of each model under varying load conditions, the total weight range was divided into three
 444 operating regions: light load (< 8kg), medium load (8-13kg), and heavy load (>13 kg).

	Model #1			Model #2		
	Light	Medium	Heavy	Light	Medium	Heavy
RMSE (kg)	0.2189	0.4573	0.9876	0.2595	0.2250	0.5597
Mean Error (kg)	0.1825	0.3862	0.9586	0.2429	0.1827	0.5181
SD Error (kg)	0.1208	0.2448	0.2374	0.1167	0.1313	0.2118
Error 90% Percentile (kg)	0.3412	0.7496	1.330	0.4061	0.3553	0.8630

445 Table 4 Error statistics in different load weight ranges (light load (< 8kg), medium load (8-13kg), and heavy load (>13 kg)
 446 for the apples single-drop dataset
 447

448 The results indicate that the errors of both models, in the light and medium-load regions, were smaller

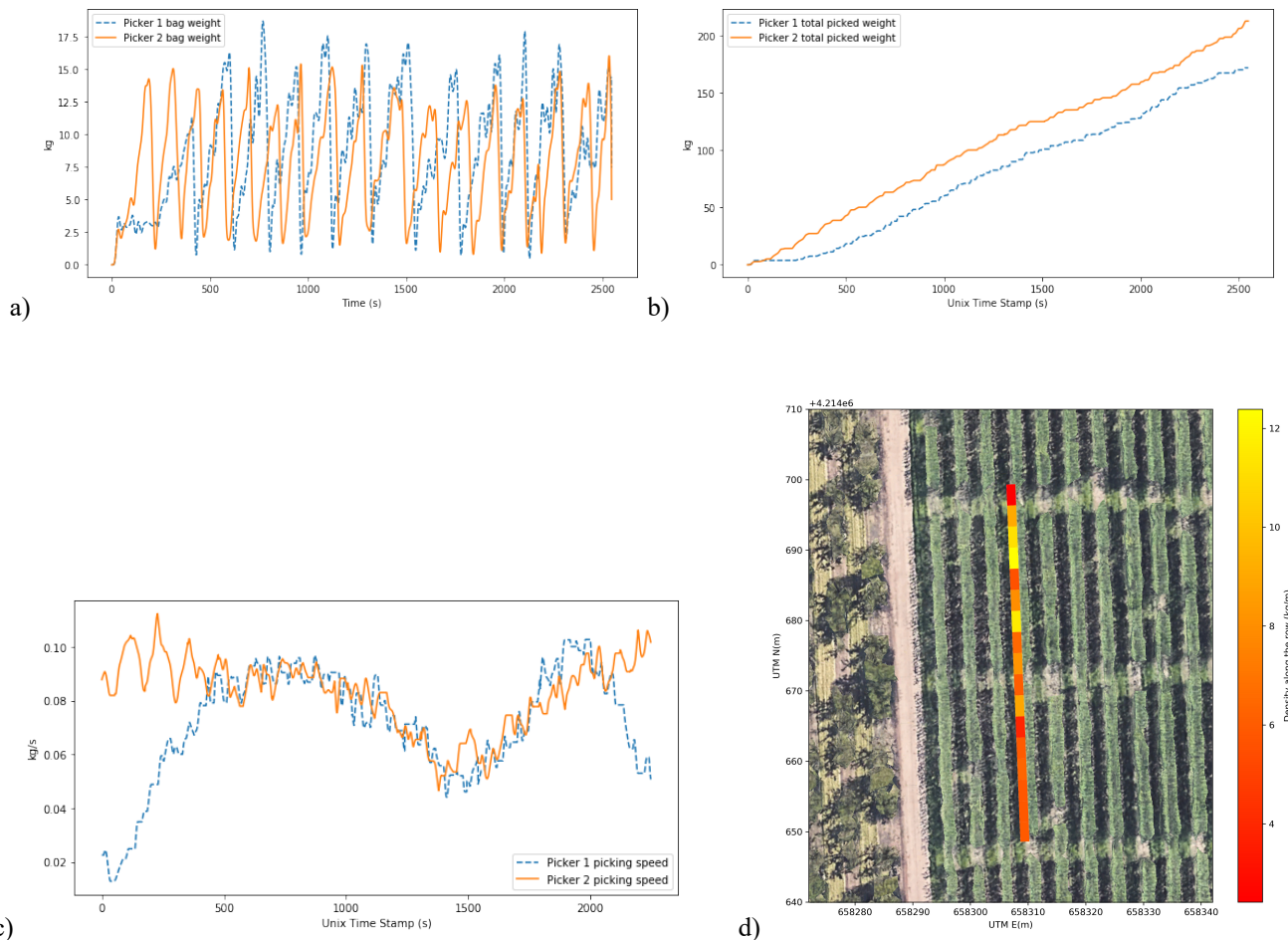
449 than the overall error, and the errors in the heavy-load region were higher than the overall error. Model
450 #1 performed slightly better than model #2 in the light-load region, whereas model #2 outperformed
451 model #1 significantly, in the medium and heavy-load regions. One possible explanation why Model
452 #2 had better performance in the medium and heavy-load regions, is that the angles α and β changed
453 as loads became heavier. Model #1 did not incorporate any of these angles, and could not capture the
454 effect of their change; hence, errors increased when the load increased. Model #2 captured the effect of
455 changing α , so it performed better than model #1; still, it underestimated the fruit weight as the load
456 increased, because it did not capture the effect of changing β . The possible reason that Model #1
457 outperformed Model #2 in the lightweight range is that although Model #2 utilized the α angle, the α
458 angle estimation is based on a quasi-static-bag assumption (Eq.10 – Eq.12), which is not strictly true;
459 hence, it seems that the error introduced by the violation of the quasi-static assumption was higher than
460 the error introduced by not incorporating the angle at all, as in Model #1. The reason why the quasi-
461 static assumption is violated in the light-weight region is that contact forces cause the bag to accelerated
462 or decelerate more, and move at higher speeds, thus rendering the angle estimation less accurate. In the
463 medium and heavy-weight ranges, the benefit of using the angle compensation is larger than the extra
464 error introduced by α angle estimation error; thus, Model #2 performs better. Nonetheless, Model # 2's
465 RMSE is 0.26 kg in the light-weight range, which is below the error over the full range.

466 The average weight of the 'Red Delicious' apple was measured to be 0.21 kg. Based on the mean
467 absolute error of Model #2. This model can estimate the weight in the bag at 1-2 apple accuracy at the
468 light and medium load and 2-3 apple accuracy at the heavy load range. The error at maximum load in
469 the real apple experiment (16 kg, cannot put more apple in the bag) is 5.2%, which can be considered
470 as the worst-case error in yield/picking rate estimation.

471 **RESULTS OF THE COMMERCIAL APPLE-HARVESTING CASE STUDY**

472 The data collected by the instrumented picking bags during commercial apple harvesting from a
473 platform were used to calculate the picker productivities and yield information. The results are shown

474 in Figure 12. Each picking bag's weight was estimated in real-time, as in Figure 12 a). The cumulative
 475 weight of the fruits harvested by each picker is shown in Figure 12 b). Individual worker picking speeds
 476 are shown in Figure 12 c). The apple yield distribution on the trees on the right side along the row is
 477 shown in Figure 12 d); the distribution is georeferenced and superimposed on a satellite image of the
 478 orchard. The productivity information shows the temporal variability in picker's picking rate, and the
 479 yield information shows the spatial variability in fruit distribution. They could be used for better labor
 480 and orchard management.



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485 Figure 12 a) An example of two time-series of the pickers' estimated bag weights; b) The cumulative fruit weight
 486 harvested by each picker, as a function of time; c) The corresponding estimated fruit-picking speed of each picker; d) The
 487 corresponding estimated fruit density along the row, on one side of the trees (kg/m)

488 SUMMARY AND CONCLUSIONS

489 This paper reported the design, implementation, calibration, validation and real-world utilization of
 490 an instrumented fruit picking bag that can measure in real-time the weight of fruit harvested by a picker

491 who carries and uses the bag to harvest. Two models were developed to predict true weight from load
492 cell measurements. Model #1 used linear regression on the load cell values, whereas Model #2 used an
493 IMU and incorporated the measurement of the bag angle that affects the projection of the true weight
494 force onto the load cell measured forces. Overall, Model #2 was found to be more precise. The RMSE
495 and 90th percentile errors of the weight predicted by Model #2 - in dynamic conditions - were less than
496 0.36 kg and 0.56 kg, respectively; these errors correspond to 1.8% and 2.8% of the bag capacity (20kg).
497 Both models had higher errors when the fruit load was in the medium-to-full ranges. However, Model
498 #2 had better performance in the heavy-load range. Two instrumented bags were used by two pickers
499 to pick apples on a harvesting platform, during commercial harvesting. It was demonstrated that data
500 from the bags could be used to estimate picker productivities and – in conjunction with a GPS – high-
501 resolution yield maps. These results suggest data from the instrumented picking bags could be used for
502 labor and orchard management.

503 A limitation of our commercial-harvesting case study is that ground truth data could not be collected,
504 since the weights of the picked apples were unknown, and interrupting pickers regularly to weigh their
505 bags with an accurate scale would alter the picking motions and signals; also, it was not acceptable by
506 the grower and crew. Therefore, this case study was not used to validate the calibration models under
507 real harvesting conditions.

508 Future work could apply each model in the load range it performs best to improve the overall
509 performance. Also, a dynamic model that incorporates bag accelerations could be developed to better
510 estimate bag weight under heavy loads. Finally, incorporation of a GPS receiver inside the electronics
511 box – with a small external antenna - could enable the generation of high-resolution yield maps for all-
512 manual harvesting (on ladders); however, it is expected that GPS signal availability would present a
513 significant challenge for such applications

514 **ACKNOWLEDGMENTS**

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