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# Dissociating Syntactic Operations via Composition Count

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## Abstract

Computational psycholinguistics has traditionally employed a complexity metric called Node Count, which counts the number of *syntactic nodes* representing syntactic structures and predicts processing costs in human sentence processing. However, Node Count does not dissociate distinct syntactic operations deriving those syntactic structures, so that how much processing cost each syntactic operation induces remains to be investigated. In this paper, we introduce a novel complexity metric dubbed **Composition Count**, which counts the number of *syntactic operations* deriving syntactic structures, allowing us to understand the computational system of human sentence processing from the derivational, not representational, perspective. Specifically, employing Combinatory Categorical Grammar (CCG) which is equipped with multiple syntactic operations and thus suitable for the purpose here, we investigate (i) how much distinct syntactic operations of CCG contribute to predicting human reading times, and (ii) whether the same holds across languages. The results demonstrate that distinct syntactic operations of CCG have independent and cross-linguistic contributions to predicting human reading times, while Node Count turns out not to be robust cross-linguistically. In conclusion, these results strongly suggest the importance of Composition Count to dissociate distinct syntactic operations, not whole syntactic representations, and understand the computational system of human sentence processing.

**Keywords:** human sentence processing; reading time; Node Count; Composition Count; Combinatory Categorical Grammar

## Introduction

Natural language has syntactic structures (Chomsky, 1957), which are essential for computing meanings (Heim & Kratzer, 1998). The previous literature has demonstrated that syntactic structures are built in human sentence processing, as evidenced by both behavioral and neural data (e.g., Roark, Bachrach, Cardenas, & Pallier, 2009; Fossum & Levy, 2012; J. R. Brennan, Stabler, Wagenen, Luh, & Hale, 2016; Nelson et al., 2017; Hale, Dyer, Kuncoro, & Brennan, 2018). Theoretically, it is assumed that the computational processes of constructing hierarchical structures are directly based on competence grammar (competence hypothesis, Chomsky, 1965; Bresnan & Kaplan, 1982; Berwick & Weinberg, 1983; Steedman, 2000; Marantz, 2005; Sag & Wasow, 2011; S. Lewis & Phillips, 2015). In light of this hypothesis, theories like *derivational theory of complexity* (DTC, Miller & Chomsky, 1963), which quantifies processing costs depending on the number of syntactic operations within competence grammar, have been proposed. Building upon this theoretical foundation, computational psy-

cholinguistics has traditionally employed a complexity metric called Node Count, which counts the number of *syntactic nodes* representing syntactic structures and predicts processing costs in human sentence processing (J. R. Brennan et al., 2012, 2016; J. R. Brennan & Pytkäinen, 2017; Nelson et al., 2017; Bhattasali et al., 2018; Li & Hale, 2019; Stanojević et al., 2021; Li et al., 2022; Stanojević, Brennan, Dunagan, Steedman, & Hale, 2023).

However, Node Count does not dissociate distinct syntactic operations deriving those syntactic structures, so how much processing cost each syntactic operation induces remains to be investigated. In this respect, while the previous literature has almost exclusively employed Context-Free Grammar (CFG) in combination with Node Count, Combinatory Categorical Grammar (CCG, Steedman, 2000) is equipped with multiple syntactic operations with distinct syntactic and semantic properties and thus suitable for the purpose here. In fact, recent results have demonstrated that Node Count and the Reveal operation of CCG successfully predict processing costs in human sentence processing (Stanojević et al., 2021; Stanojević et al., 2023).

In this paper, we introduce a novel complexity metric dubbed **Composition Count**, which counts the number of *syntactic operations* deriving syntactic structures, allowing us to understand the computational system of human sentence processing from the derivational, not representational, perspective. Specifically, employing CCG which is equipped with multiple syntactic operations such as Function Application (FA), Function Composition (FC), and Type Raising (TR), we investigate (i) how much distinct syntactic operations of CCG contribute to predicting human reading times, and (ii) whether the same holds across languages, especially in both head-initial (English) and head-final (Japanese) languages. The results demonstrate that distinct syntactic operations of CCG have independent and cross-linguistic contributions to predicting human reading times: FA/TR and FC exhibit positive and negative effects, respectively, with the relative magnitude of the effects being FA > TR > FC. In contrast, Node Count turns out not to be robust cross-linguistically. In conclusion, these results strongly suggest the importance of Composition Count to dissociate distinct syntactic operations, not whole syntactic representations, and understand the computational system of human sentence processing.

## Background

### Node Count

Node Count assumes that, for a given syntactic structure of a sentence, under a specific parsing strategy, the total number of nodes traversed between each word corresponds to the processing costs of that word. For instance, let us examine the process of traversing a CCG syntactic structure of the sentence *Mary ate apples* as depicted in Figure 1a with a bottom-up parsing strategy. In this process, the total number of nodes traversed for each word is 1, 1, and 2, respectively. These numbers are just the processing costs postulated by Node Count. A more intuitive way to put it is that Node Count is a complexity metric that calculates the processing costs based on a *syntactic nodes* representing syntactic structures.

Historically, Node Count is a complexity metric that originates from the derivational theory of complexity (DTC), which was once denied by Fodor, Bever, and Garrett (1974). However, as Marantz (2005) correctly pointed out, it is premature to conclude the failure of DTC given the underdeveloped state of syntactic theories at the time. In addition, DTC is “just a name for standard methodology in cognitive science and cognitive neuroscience” (Marantz, 2005, p.439). In fact, DTC-inspired metrics like Node Count have been widely used in research on human sentence processing (e.g., Miller & Chomsky, 1963; Frazier, 1985; Hawkins, 1994; J. R. Brennan et al., 2012, 2016; J. R. Brennan & Pytkänen, 2017; Nelson et al., 2017; Bhattasali et al., 2018; Hale et al., 2018; Li & Hale, 2019; Stanojević et al., 2021; Li et al., 2022; Stanojević et al., 2023).

Node Count, which directly calculates a processing cost

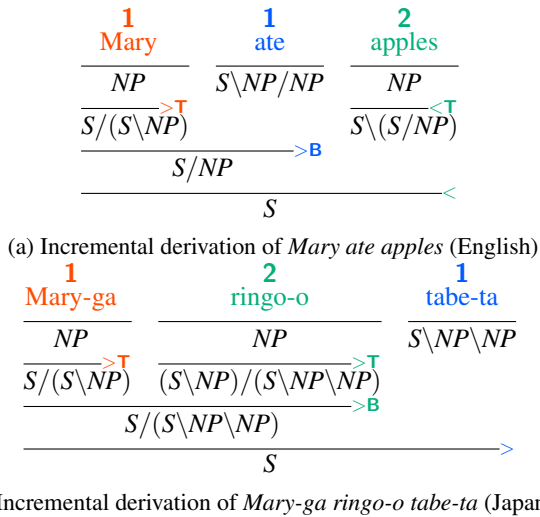


Figure 1: Incremental CCG derivations of English and Japanese sentences. The operation applied to each word is shown in the same color as the word. The number above each word indicates the number of nodes that are constructed at each word (Node Count).

from a syntactic structure, is different from the other two major types of complexity metrics used in psycholinguistics and computational psycholinguistics, memory-based metrics (e.g., Gibson, 2000; R. L. Lewis & Vasishth, 2005) and expectation-based metrics like surprisal (Hale, 2001; Levy, 2008). Indeed, Node Count partially overlaps with a memory-based metric, but they are conceptually different and it has been shown that Node Count can explain variances in neural data that the other metrics cannot (Bhattasali et al., 2018; Li & Hale, 2019; Stanojević et al., 2023).

However, Node Count does not dissociate distinct syntactic operations, assuming them as uniform processing loads. Consequently, it remains unclear whether distinct syntactic operations have effects on behavioral data differently and how much processing cost each operation incurs.

### Combinatory Categorical Grammar

CCG is a formal linguistic theory that enables incremental construction of structures at a level that can be implemented on computers and its adequacy for modeling hemodynamic activity has already been shown (Stanojević et al., 2021; Stanojević et al., 2023). In addition, CCG is equipped with multiple syntactic operations with distinct syntactic and semantic properties. In CCG, all the syntactic and semantic representations are constructed in parallel, projected from each lexical item recursively via a small number of type-dependent syntactic operations. These syntactic representations are referred to as syntactic categories consisting of *atomic categories* like *N*, *NP*, or *S*, and *complex categories* like *NP/NP* or *S \ NP \ NP*. Complex categories are recursively built from basic categories with two types of slashes (“/”, “\”) representing the directions of arguments. *X/Y* is a function that takes *Y* as an argument to its right to yield *X*, while *X \ Y* is also a function that takes *Y* as an argument to its left to yield *X*.

The syntactic operations mainly used in this study are shown below:<sup>1</sup>

<b>Function Application (FA)</b>	<b>Type Raising (TR)</b>
$  \begin{cases}  X/Y \ Y \Rightarrow_{>} X \\  Y \ X \backslash Y \Rightarrow_{<} X  \end{cases}  $	$  \begin{cases}  X \Rightarrow_{>T} T / (T \backslash X) \\  X \Rightarrow_{<T} T \backslash (T / X)  \end{cases}  $
<b>Function Composition (FC)</b>	
$  \begin{cases}  X/Y \ Y/Z \Rightarrow_{>B} X/Z \\  Y \backslash Z \ X \backslash Y \Rightarrow_{<B} X \backslash Z  \end{cases}  $	

Note that *T* is a meta-variable over categories. With FC and TR, CCG can construct flexible constituents, which contributes to deriving non-constituent coordination and long-distance dependencies. For example, an object relative clause has a constituent that lacks an object in *wh*-clause on its surface structure shown in Figure 2.

Furthermore, owing to FC and TR, CCG can derive a left-branching structure in both head-initial (English) and head-final (Japanese) languages, which makes it possible to eagerly build syntactic structures with a bottom-up parsing strategy

<sup>1</sup>We used the syntactic operations employed in the CCG parsers developed by Stanojević and Steedman (2019) for English and Yoshikawa, Noji, and Matsumoto (2017) for Japanese.



word	Mary	ate	apples	Mary-ga	ringo-o	tabeta
FA	0	0	1	0	0	1
FC	0	1	0	0	1	0
TR	1	0	1	1	1	0
NC	1	1	2	1	2	1

Table 1: Composition Counts and Node Count of each word of CCG derivations in Figure 1. *FA*, *FC*, and *TR* represent the Composition Counts of FA, FC, and TR, respectively. *NC* represents the Node Count.

parsers were trained to output right-branching CCG derivations. Subsequently, to rotate the right-branching derivations to left-branching, we performed TR on each *NP* node that was taken by verbs as an argument.<sup>5</sup> Finally, we applied the “tree-rotation” operation (Stanojević & Steedman, 2019) to the resulting type-raised right-branching trees. All of the type-raised right-branching trees were recursively rotated from bottom to top to achieve left-branching trees.

### Complexity metrics

We used the total number of each syntactic operation per phrase as complexity metrics, assuming a bottom-up parsing strategy. Specifically, we focused on three representative syntactic operations: FA, FC, and TR, which have robust theoretical support in CCG and are implemented in a CCG parser. We refer to the count of these operations as *Composition Count*. Table 1 presents the Composition Counts for the English and Japanese derivation trees shown in Figure 1. Additionally, we also calculated the Node Count per phrase for comparison with the Composition Counts. The Node Count represents the sum of the Composition Count for the three syntactic operations and the count of other operations proper to the CCG parsers.

### Statistical analyses

For the regression model to analyze reading time data, we used a linear mixed-effects model (Baayen et al., 2008). This was implemented with the `lmer` function in the `lme4` package (Bates, Mächler, Bolker, & Walker, 2015) in R (R Core Team, 2023). The *p*-values were approximated with the `lmerTest` package (Kuznetsova, Brockhoff, & Christensen, 2017). The following regression model was used as a baseline regression model:

$$\begin{aligned} \log(\text{RT}) \sim & \text{dependent} + \text{wlen} + \text{num\_of\_word} \\ & + \text{freq} + \text{prev\_freq} + \text{surp} \\ & + \text{phraseN} + \text{lineN} + \text{screenN} \\ & + \text{prev\_is\_fixed} \\ & + (1|\text{article}) + (1|\text{subject}) \end{aligned}$$

<sup>5</sup>The term *verb* refers to a complex category, where the resulted category is *S* and it includes some *NPs* as an argument like *S\NP* or *S\NP/NP* in English. In Japanese, it refers to a complex category, where the resulted category is *S*, it includes some *NPs* as an argument, and all slashes are backward such as *S\NP* or *S\NP\NP*.

In the baseline regression model, we used the predictors that have been suggested to have the effective power to model the human reading time data. `dependent` is the number of dependency relations to the phrasal unit from the previous phrases. This is intended to capture *anti-locality effect* (Konieczny, 2000). The dependency structure of each corpus was annotated manually by Barrett et al. (2015) and Asahara and Matsumoto (2016). `wlen` and `num_of_word` are the number of characters and the number of words composed of each phrase, respectively. The predictor `num_of_word` was not considered in previous studies, but it was included to take into consideration a discrepancy between the unit (word) handled by the CCG parsers and the unit (phrase) to which the reading time is assigned. `freq` is the frequencies of each phrasal unit that were estimated using Wikipedia word frequency generator<sup>6</sup> and National Institute for Japanese Language and Linguistics Web Japanese Corups (Asahara, Maekawa, Imada, Kato, & Konishi, 2014). `surp` is surprisal that was computed using GPT-2 models (Radford et al., 2019).<sup>7</sup> To determine the surprisal of each phrasal unit, following Wilcox, Gauthier, Hu, Qian, and Levy (2020), the cumulative sum of surprisal assigned to each sub-word was calculated. `phraseN`, `lineN`, and `screenN` are indexes of the positions of each phrase presented to the subjects. `prev_is_fixed` is whether the gaze to the previous phrasal unit is fixed or not. All numeric predictors were *z*-transformed.

First, the baseline model was fitted, and data points beyond three standard deviations were removed based on the distribution of residuals, which left 182,304 data points in English and 8,903 data points in Japanese for final statistical analysis.

We constructed four separate models by adding each of the three Composition Count-based and the one Node Count-based predictors to the baseline model individually to investigate how much each predictor contributes to predicting human reading times.

<sup>6</sup><https://github.com/IlyaSemenov/wikipedia-word-frequency>

<sup>7</sup><https://huggingface.co/openai-community/gpt2> for English and <https://huggingface.co/rinna/japanese-gpt2-medium> for Japanese.

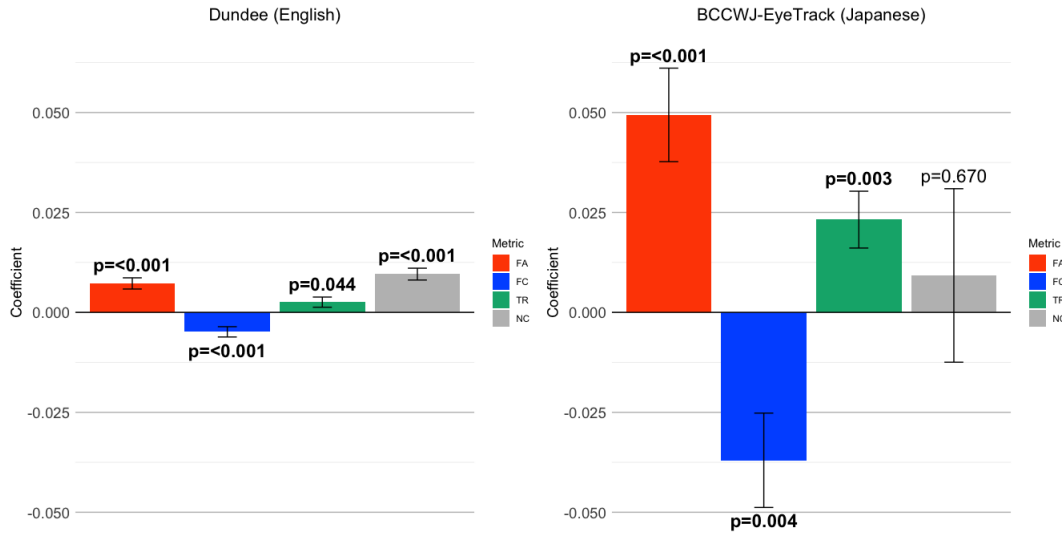


Figure 4: Results of Composition Counts and Node Count across the Dundee corpus (English) and BCCWJ-EyeTrack (Japanese). Bar graphs displaying the coefficients for the predictors based on Composition Counts or Node Counts within the Dundee corpus and BCCWJ-EyeTrack. The  $x$ -axis and  $y$ -axis represent complexity metrics and estimated coefficients, respectively. Colors correspond to complexity metrics: red = Function Application (FA), blue = Function Composition (FC), green = Type Raising (TR), and grey = Node Count (NC). Error bars indicate standard errors, on which  $p$ -values are presented. The log-likelihoods of each model were as follows: in the Dundee corpus,  $-123048$  for FA,  $-123059$  for FC,  $-123064$  for TR, and  $-123045$  for NC; in BCCWJ-EyeTrack,  $-8861$  for FA,  $-8872$  for FC,  $-8859$  for TR, and  $-8876$  for NC.

## Results

The results of Composition Counts and Node Count across the Dundee corpus (English) and BCCWJ-EyeTrack (Japanese) are summarized in Figure 4. The  $x$ -axis and  $y$ -axis represent complexity metrics and estimated coefficients, respectively.<sup>8</sup> Colors correspond to each complexity metric. Error bars indicate standard errors, on which  $p$ -values are presented. In order to address the issue of multiple comparisons, the  $\alpha$ -value was adjusted using the Holm-Bonferroni method.

There are three main results. First, all predictors based on Composition Count were statistically significant, demonstrating that distinct syntactic operations of CCG have independent and cross-linguistic contributions to predicting human reading times. Second, in contrast with Composition Count, the predictor based on Node Count reached statistical significance in English, but not in Japanese, indicating that Node Count turned out not to be robust cross-linguistically, which is rather surprising given that the effect of Node Count has been robustly observed for English in the previous literature. Finally, both FA and TR exhibited positive effects, while FC showed negative effects, with the relative magnitude of the effects being  $FA > TR > FC$ , despite the absolute magnitude being different across both languages.

<sup>8</sup>The magnitudes of the coefficients appear small, but this will be largely due to the log-transformation applied to the dependent variable, reading times. Compared to the coefficients of other explanatory variables, these values are not inherently small. Specifically, the scale of *surp* is  $10^{-2}$ , and for *dependent*, it ranges from  $10^{-3}$  to  $10^{-2}$ .

## Discussion

All Composition Counts we proposed in this study to more precisely quantify the computational costs of individual syntactic operations significantly predict human reading times in both English and Japanese, suggesting that the operations theoretically licensed in linguistics are directly applicable to human sentence processing. In addition, we found that, while Node Count in English contributed to the prediction of reading times, thus supporting prior studies that have utilized this metric in modeling neural data, its predictive utility does not extend to Japanese reading time data. This discrepancy, not previously reported in the literature, suggests Node Count is not a robust predictor for capturing human sentence processing costs. As to the effects of the three distinct Composition Counts we examined, the relative magnitudes were found to be consistent across these languages.<sup>9</sup> Furthermore, owing to the Composition Counts, we have been able to detect the processing costs of each syntactic operation. In the following, we will delve into theoretical discussions concerning the implications of our findings for these operations.

<sup>9</sup>Here, we have yet to ascertain why the absolute magnitudes of the coefficients are different between English and Japanese. One possible explanation may lie in the theoretical analyses that the CCG parsers of the two languages assume. Specifically, case assignment and inflection are analyzed syntactically in the Japanese CCG parser, but not in the English CCG parser. Another explanation would be related to the characteristics of the datasets; for example, Shain, van Schijndel, Futrell, Gibson, and Schuler (2016) suggested that the limited number of participants or complex structures in the Dundee corpus may obscure the detection of processing costs associated with human sentence processing.

Function Application (FA) is the most fundamental operation of syntactic operations in CCG, commonly used for integrating arguments. In both English and Japanese, the processing costs of FA are notably large and positive. FA exhibits similarities to the F-L+ operation of the left-corner parser utilized by van Schijndel and Schuler (2013) both in terms of theoretical properties and the timing of its application. The F-L+ operation, as van Schijndel and Schuler noted, bears resemblance to the *integration* process in Dependent Locality Theory (DLT, Gibson, 2000), wherein a processing cost is incurred during the integration of a word into an incomplete parse. While there may be variations in the predicted magnitude of these costs, the anticipated timing for the occurrence of processing load is similar. In DLT, the integration cost is known to incur a positive cost in reading tasks (Gibson, 2000; Grodner & Gibson, 2005; Gibson & Wu, 2013; Levy & Keller, 2013, but see Konieczny, 2000; Vasishth & Lewis, 2006). In corpus-based studies, while Demberg and Keller (2008) observed a negative effect of DLT in the Dundee corpus, Shain et al. (2016) found the effect to be positive in the syntactically complex Natural Stories Corpus (Futrell et al., 2018) (but see Shain & Schuler, 2018). These align with our finding on the positive processing cost of FA. Regarding the F-L+ operation, van Schijndel and Schuler found a significant negative cost in the Dundee corpus. However, they suggested that the operation might capture an anti-locality effect (Konieczny, 2000). Our model, incorporating dependency relations as one baseline predictor, attributes anti-locality effects to these relations, thereby interpreting FA costs as positive.

Function Composition (FC) is an operation that merges complex categories, typically applied to combine a subject noun phrase (*NP*) with a verb in English and a subject *NP* with an object *NP* in Japanese to an incomplete parse during incremental processing. Semantically, FC demands multiple beta-reduction steps, making it theoretically more complex than FA, which involves just a single such step. However, contrary to the intuition, the effect of FC was minimal and even negative in both English and Japanese. This finding challenges the assumption that theoretical computational complexity necessarily translates into higher cognitive processing costs. Nonetheless, the critical insight is that the frequency and timing of structural-building operations, including FC, can sufficiently predict reading times, which suggests that, as Berwick and Weinberg (1983) pointed out, the distinctions of grammatical rules may be preserved as distinctions of parsing operations.

Type Raising (TR) is a unary operation that is applied to *NPs* directly combined with verbs. The effect was found to be positive. This aligns with prior research showing the positive processing cost of Type Shifting, which is theoretically similar to TR, through neural responses to *complement* and *aspectual coercion* (e.g., Pylkkänen & McElree, 2007; J. Brennan & Pylkkänen, 2008). In addition, given that Type Shifting is limited to the semantic domain while TR, within the syntax-

semantics transparent framework of CCG, also entails syntactic considerations and thereby encompasses Type Shifting, our findings suggest the general existence of TR applied to noun phrases as an operation that takes positive processing cost.

Furthermore, our results intriguingly suggest that FA, a theoretically fundamental operation, incurs the highest processing load, challenging the view of Pylkkänen and McElree (2006). They, adopting Heim and Kratzer's (1998) semantics, argue that FA should be both preferred and the least costly in processing compared to other operations like Predicate Modification (PM), citing psycholinguistic research that argument phrases are processed more easily than adjunct phrases (e.g., Clifton, Speer, & Abney, 1991; Schütze & Gibson, 1999; Kennison, 2002). In Heim and Kratzer's framework, FA is used for argument phrases, whereas PM is for adjunct phrases, thereby implying a processing preference for FA over PM. Pylkkänen and McElree also hypothesize that the processing costs might influence their structure-building preferences, predicting FA to be the least costly. However, contrary to their prediction, our findings suggest that FA is, in fact, the most costly operation. One possible reason is that Heim and Kratzer's semantics do not directly predict processing mechanisms during real-time sentence comprehension. Therefore, the observed processing efficiency for arguments over adjuncts might not translate to a preference for FA over PM. In CCG, FA is used in processing both types of phrases. If we consider a left-branching structure incrementally constructed, adjuncts attach at a structurally lower position than arguments, requiring more FA at that time, which may explain the dispreference of adjuncts. Hence, our study suggests that fundamental operations may not always be less costly, and the processing costs of building structures could underlie their preferential use.

## Conclusion

In this paper, we introduced a novel complexity metric dubbed **Composition Count** to investigate (i) how much distinct syntactic operations of CCG contribute to predicting human reading times, and (ii) whether the same holds across languages. The results demonstrated that distinct syntactic operations of CCG have independent and cross-linguistic contributions to predicting human reading times: FA/TR and FC exhibited positive and negative effects, respectively, with the relative magnitude of the effects being  $FA > TR > FC$ . In contrast, Node Count turned out not to be robust cross-linguistically. These results strongly suggest the importance of Composition Count to dissociate distinct syntactic operations, not whole syntactic representations, and understand the computational system of human sentence processing.<sup>10</sup>

<sup>10</sup>Code for reproducing our experiments is available at <https://github.com/osekilab/CompositionCount>.

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