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# A Virtual Sky Imager Testbed for Solar Energy Forecasting

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## Abstract

Whole sky imagers are commonly used for forecasting irradiance available for solar energy production, but validation of the forecast models used is difficult due to sparse reference data. We document the use of Large Eddy Simulations (LES) and a 3D Radiative Transfer Model to produce virtual clouds, sky images, and radiation measurements, which permit comprehensive validation of the sky imager forecast. We then use this virtual testbed to investigate the primary sources of sky imager forecast error on a cumulus cloud scene. The largest source of nowcast (0-minute-ahead forecast) errors is the converging-ray geometry implied by use of a camera, while longer-term forecasts suffer from overly-simplistic assumptions about cloud evolution. We expect to use these findings to focus future algorithm development, and the virtual testbed to evaluate our progress.

*Keywords:* whole sky imager, forecast, Large Eddy Simulations

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## 1. Introduction

2 In recent years, whole-sky imagers have become popular for forecasting solar  
3 energy availability on short time horizons [1, 2, 3, 4, 5]. However, validation  
4 of these forecasts can be tricky; reference data is often limited to at most a  
5 few irradiance sensors, and even in the case where many sensors are present

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6 over a large area, detailed validation data on the cloud field itself is uniformly  
7 unavailable. Under these circumstances, validation can determine the forecast  
8 accuracy, but apportionment of the forecast error to different components of  
9 the algorithm is difficult due to the lack of data about the actual state of the  
10 atmosphere and the resulting radiation field. Therefore prioritization of forecast  
11 development work is usually not well-informed and is unable to follow cost-  
12 benefit principles.

13 We propose to address some of these limitations by producing a virtual sky  
14 imager testbed, in which the configuration of the clouds and resulting irradiance  
15 is known. The purpose of this paper is to describe the setup of the virtual testbed  
16 and briefly illustrate its potential through a case study. The virtual testbed is  
17 used to design and test improvements to whole-sky imager forecast methodology  
18 developed at UC San Diego, but it is straightforward to adapt it to any other  
19 algorithm.

20 Simulating clouds is one of the grand challenges of atmospheric physics as  
21 it includes scales from micrometers (cloud condensation nuclei) to kilometers  
22 (cloud size), multiple phases (vapor, liquid, ice), and even chemistry (hydropho-  
23 bicity of aerosol species). In terms of short-term (order of 10 minutes) cloud  
24 dynamics that are most relevant to sky imager solar forecasting, the multi-scale  
25 and multi-phase fluid dynamics need to be represented. In particular atmo-  
26 spheric turbulence plays a critical role in cloud formation (e.g. thermals) and  
27 cloud dynamics. Not only do clouds “live” in the turbulent atmospheric bound-  
28 ary layer flow field, but they also generate their own turbulence due to longwave  
29 radiative cooling at the cloud top and latent heat release. Large Eddy Simula-  
30 tion (LES) is a uniquely suited tool to simulate these boundary layer and cloud  
31 dynamics. In LES the large turbulent eddies that are responsible for most of the  
32 momentum, heat, and moisture transport are explicitly resolved and simulated  
33 faithfully based on the Navier Stokes equations. The small scales (less than  
34 about 10 meters) cannot be resolved due to computational cost and are param-  
35 eterized through subfilter scale models [6]. LES also simulates all modes of heat  
36 transfer, water vapor transport and phase change, as well as cloud microphysics.

37 LES is a mature field in engineering and atmospheric science and the resolution,  
38 subfilter scale models, and microphysics models have been continually improved  
39 over the past decades [7, 8].

40 Virtual cloud fields will be produced using LES. Surface-level irradiance  
41 fields and simulated whole-sky images will be derived from a 3-dimensional  
42 radiative transfer model (3D RTM). These tools (LES and 3D RTM) are signif-  
43 icantly more physically grounded and accurate than current sky imager forecast  
44 algorithms, so there is considerable scope for improving sky imager forecasts  
45 based on the virtual testbed. It is worth noting that the virtual testbed need  
46 not reproduce a given observed cloud field for this to be useful, so long as the  
47 virtual clouds behave similarly to real clouds. Why not just use the LES and  
48 3D RTM for forecasting in the first place? First, while recent GPU-accelerated  
49 LES codes [9] approach the speeds necessary to produce operational forecasts,  
50 the computational requirements for LES and 3D RTM tools are currently too  
51 large to be feasible for short-time-horizon forecasting. Furthermore, even in  
52 those cases where LES has been run operationally on a wide variety of mea-  
53 sured data [10, 11], the cloud fields are statistically accurate on timescales from  
54 tens of minutes to hours. To produce meaningful forecasts of individual clouds,  
55 LES would require input of a detailed state of the atmosphere including detailed  
56 humidity and velocity fields which, as noted, are generally unavailable. Even  
57 here, the virtual testbed is useful, as it allows improved testing of 3D cloud  
58 detection algorithms for whole-sky imagers, which could eventually be used as  
59 input to an LES-based forecast.

60 In section 2, we present the virtual testbed and whole-sky imager forecast.  
61 Section 3 compares the results of the sky imager forecast to those of the virtual  
62 testbed, paying special attention to the newfound ability to determine errors  
63 of difficult-to-measure quantities such as wind speed aloft and 3D cloud struc-  
64 ture. Differing geometrical perspectives and cloud field dynamics constitute the  
65 largest sources of error in the current forecast, with geometry playing a larger  
66 role at short forecast horizons, and cloud evolution dominating the error for  
67 further-ahead forecasts. Discussion and conclusions are provided in Section 4.

## 68 **2. Virtual Testbed Components**

### 69 *2.1. Large Eddy Simulation*

70 LES are carried out using the UCLA LES [12, 13, 14], which has been thor-  
71 oughly validated and tested for a number of cases including continental cu-  
72 mulus [15], raining cumulus [8], and stratocumulus clouds [13]. The UCLA  
73 LES uses the Smagorinsky sub-gridscale model, and parameterizes cloud micro-  
74 physics following Stevens and Seifert [8]. Interactive radiation is implemented  
75 via a Monte Carlo version [16] of the delta-four-stream model [17]. Cloud droplet  
76 radius for both radiation and microphysics is modeled by assuming a fixed cloud  
77 droplet mixing ratio.

78 A single 14.5 hour simulation was carried out using example input data  
79 modeled for continental cumulus clouds, following the base case in [18], which  
80 is itself based on a detailed LES study of measurements taken at the Southern  
81 Great Plains (SGP) site of the Atmospheric Radiation Measurement (ARM)  
82 program [15]. Following prior simulations [18], precipitation was disabled in  
83 the microphysics model, leaving cloud liquid water diagnosed as the total water  
84 mixing ratio in excess of the saturation mixing ratio, and with the fixed cloud  
85 droplet mixing ratio of  $70 \times 10^6/\text{kg}$ . Initial profiles of atmospheric tempera-  
86 ture and humidity, as well as input surface fluxes are shown in Figure 1. Small  
87 volumetric forcings are applied as in [15] in order to represent observed large-  
88 scale advection in the periodic simulation domain. This day represents typical  
89 formation of a convective boundary layer due to surface heating, with cumulus  
90 clouds forming at the top of the (initially clear) boundary layer. As the day  
91 progresses, the cloud base rises from 1000 m to around 1500 m, with maximum  
92 cloud thickness of around 1250 m. Both the boundary layer and the clouds  
93 continue to deepen until late afternoon when solar radiation has decreased sig-  
94 nificantly. Typical horizontal cloud size is 400 m. Hemispherical cloud cover  
95 peaks just above 65% around solar noon; Figure 6 later shows hemispherical  
96 cloud cover over the course of the day.

97 LES grid cells are 50 meters across in both horizontal dimensions and 40

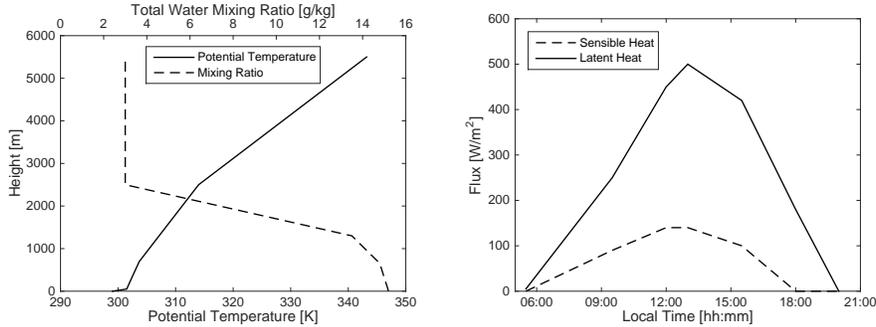


Figure 1: Profiles of temperature and humidity at simulation start, along with surface convective heat fluxes during the simulation.

98 meters high, spanning a 6.4 km domain that is 5.1 km deep. Periodic boundary  
 99 conditions are used in the horizontal dimensions. A 10-cell thick sponge layer is  
 100 used at the top of the domain to prevent wave reflection, while the lower surface  
 101 uses a no-slip boundary with roughness length of 0.035 m, representative of long  
 102 grass.

103 LES requires on the order of an hour of simulation time to properly “spin-up”  
 104 the turbulent flow and cloud field. After spin-up, the 3D state of the atmosphere  
 105 (velocity, temperature, pressure, humidity, and liquid water content) is saved  
 106 every 60 seconds of simulation time for input into the 3D RTM and reference  
 107 against the sky imager forecast results.

## 108 2.2. 3D Radiative Transfer Model

109 The Spherical Harmonic Discrete Ordinate Method (SHDOM) [19] is used to  
 110 solve the 3D Radiative Transfer Equation. SHDOM is the most computationally  
 111 intensive portion of the virtual testbed, requiring over half of the approximately  
 112 5000 CPU-core-hours used for the run presented here. SHDOM inputs are  
 113 derived from the liquid water content output by UCLA LES, combined with  
 114 the aerosol loading shown in Figure 2, which is based on the `nauru19990707`  
 115 data file included with SHDOM adjusted to match the observed annual-average  
 116 aerosol concentration, and effective radius at the ARM SGP AERONET site  
 117 in 2013. This rapid decrease in aerosol concentration with height matches the

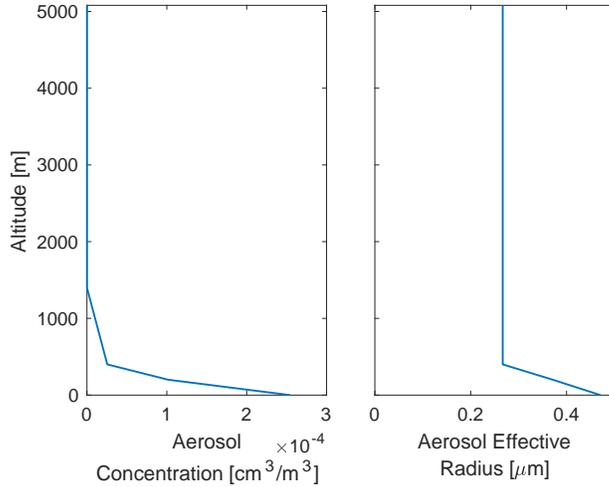


Figure 2: Aerosol loading and effective radius used to produce blue sky in SHDOM.

118 exponential decay proposed in [20]. SHDOM also uses atmospheric temperature  
 119 when computing scattering properties; input vertical temperature profiles were  
 120 derived from LES outputs. In order to simplify interpretation of the results,  
 121 SHDOM is run with a constant sun position (solar zenith angle of  $45^\circ$ ) for  
 122 the entire simulation time period; this avoids changing clear sky irradiance and  
 123 geometric perspectives.

124 At each time step, SHDOM produces a map of surface global horizontal  
 125 irradiance (GHI) across the simulation domain. In addition, it produces one  
 126 or more simulated sky images (essentially a map of radiance versus direction  
 127 at a single location) that can be fed into the sky imager forecast routines.  
 128 SHDOM results at three different wavelengths (450 nm, 550 nm, and 670 nm)  
 129 are combined to produce full-color images, and are averaged to approximate  
 130 broadband GHI. As in the LES, periodic boundary conditions are used.

131 Figure 3 shows an example of clouds from the LES and the corresponding  
 132 virtual sky image from SHDOM.

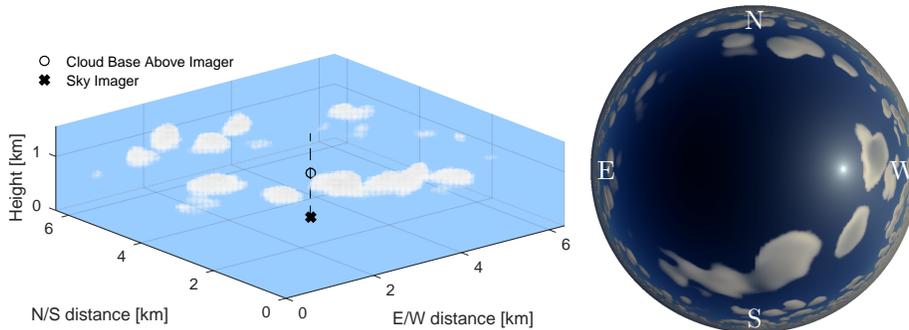


Figure 3: Example LES clouds and virtual sky image at 10:43 local time.

Cloud Map		Cloud Motion		Radiation	
Sky Image	(si)	Pixel Motion	(pix)	$k_t$ Histogram	(kthist)
LES Converging Ray	(conv)	LES Layer Mean	(llm)	Per-class Mean	(ktmean)
LES Zenith Parallel Ray	(zen)			No Quantization	(noquant)
LES Sun Parallel Ray	(sun)			$k_t$ Advection	(ktadv)

Table 1: Naming shorthands for modified versions of the forecast algorithm. The standard forecast is si-pix-kthist.

133 *2.3. Sky Imager Forecast*

134 The sky imager forecast [1] investigated here models clouds as occurring  
 135 in a single plane at the height of the cloud base. Current cloud positions are  
 136 detected based on the color of the input image, and future positions are forecast  
 137 using the “frozen cloud advection” assumption, which assumes that the entire  
 138 cloud field moves in a uniform direction without changing shape. Inputs to the  
 139 sky imager forecast are a sky image, cloud base height usually derived from  
 140 lidar (Light Detection and Ranging) data, and recent measured GHI—used to  
 141 estimate average cloud optical thickness, which is difficult to determine from the  
 142 image. Figure 4 illustrates data flow through the sky imager forecast algorithm,  
 143 along with inputs from the virtual testbed. In addition, several variations of  
 144 the algorithm are discussed as part of the virtual testbed; naming conventions  
 145 for these variations are given in Table 1.

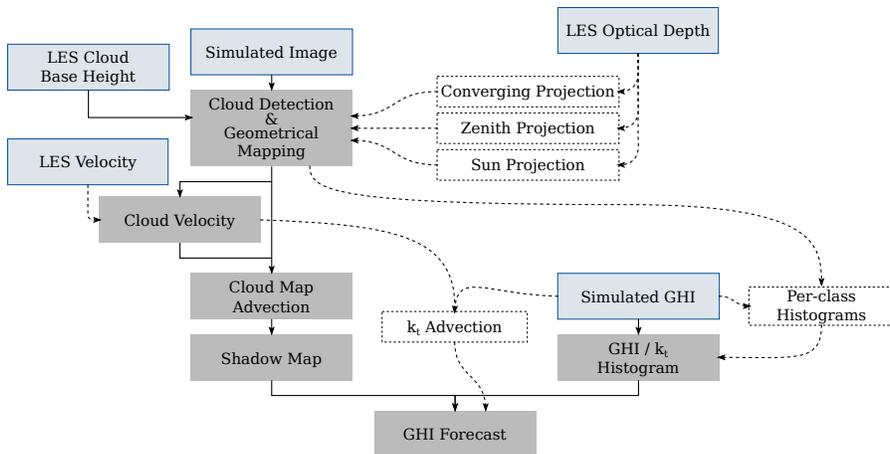


Figure 4: Data flow through sky imager forecast algorithms with inputs from virtual testbed. Solid arrows indicate the standard flow of data through the algorithm, while dashed lines show where “correct” data from the virtual testbed can be used in place of a step in the forecast algorithm. Outputs of LES or SHDOM are shown with a thin solid outline, while derived results have a dashed outline; steps in the basic sky imager forecast have no outline.

146 *2.3.1. Cloud Detection and Geometrical Mapping*

147 In the virtual sky imager testbed, cloud base height is determined based  
 148 on the first grid cell to have significant liquid water content. As lidar point  
 149 measurements of cloud base height are generally accurate, the “correct” LES-  
 150 derived cloud height is used directly for forecasting. In practice, errors would be  
 151 introduced in the process of interpolating point measurements of cloud height  
 152 into an accurate height for an entire layer, particularly in the presence of to-  
 153 pography or heterogeneous land surface and over larger areas. In the interest  
 154 of brevity, we do not address these errors here.

155 Cloud detection operates on the virtual sky images in the same manner as  
 156 real sky images, and classifies each pixel of the input image as clear sky, thin  
 157 cloud, or thick cloud, by applying thresholds to the difference between the red-  
 158 blue ratio (RBR) of the image being analyzed and RBR of a clear sky. Pixels  
 159 with  $RBR - RBR_{\text{clear}} \geq 0.4591$  are considered thick cloud, while those with  
 160  $0.4591 > RBR - RBR_{\text{clear}}$  and  $RBR - RBR_{\text{clear}} \cdot HCF \geq 0.3044$  are considered

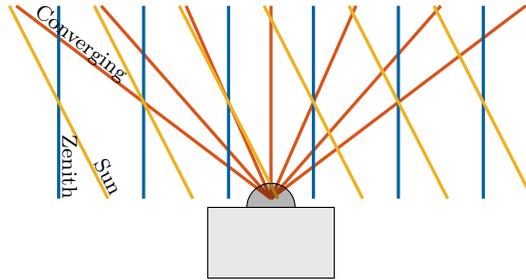


Figure 5: Ray geometry for cloud projections. All three methods are used for computing reference cloud maps from LES. Converging-ray projection is also implicitly used when producing cloud maps from sky images, and “sun projection” is used to map from the cloud plane to shadows on the ground.

161 thin cloud. These thresholds generally vary with camera and location, and these  
 162 values were manually selected specifically for use with the virtual testbed based  
 163 on five images. HCF is the haze correction factor, and helps distinguish thin  
 164 clouds from background haze. It is iteratively determined for each frame so that  
 165 the average RBR of portions of the image detected as clear matches the RBR  
 166 of the haze-corrected clear sky.

167 Reference cloud maps are derived from LES optical depth, with optical  
 168 depths greater than 1.5 considered thick clouds and any smaller non-zero opti-  
 169 cal depth considered thin cloud. Optical depth is the integral of extinction  
 170 coefficient  $\mu$  along the rays of the projection, normalized by ray orientation.

$$\text{Optical Depth} = \int \mu \frac{dz}{ds} ds \quad (1)$$

171

$$\mu = \frac{3}{2} \frac{\text{LWC}}{\rho_l r_e}, \quad (2)$$

172 where LWC is the liquid water concentration in  $\text{kg/m}^3$ ,  $\rho_l$  is the density of  
 173 water, and  $r_e$  is the effective droplet radius, here fixed at  $8 \mu\text{m}$ .

174 As there is no obviously “correct” way to compress a 3D cloud into a plane,  
 175 reference optical depth maps are computed using three different geometries (il-  
 176 lustrated in Figure 5): zenith projection, sun projection, and converging-rays  
 177 projection. Zenith and sun projected cloud maps compute the cloud optical

178 depth along parallel rays, while the converging-ray projection computes cloud  
 179 optical depth along rays emanating from the location of the camera. Because it  
 180 uses the same projection function as the camera, the converging-ray projection  
 181 is representative of the best results we can expect to achieve with a pixel-by-  
 182 pixel cloud detection on a sky image, while the sun projection is most relevant to  
 183 the actual irradiance received at ground level. The zenith projection is similar  
 184 to the view from a satellite positioned directly overhead.

### 185 *2.3.2. Cloud Velocity and Cloud Map Advection*

186 The sky imager forecast computes cloud speeds based on pixel motion be-  
 187 tween adjacent frames. Motion vectors are determined for small regions of the  
 188 image, and then clustered and averaged to produce a single wind vector that  
 189 will be used to advect the entire cloud field. Assuming that clouds travel on the  
 190 background flow, reference wind vectors can be obtained directly from the LES  
 191 as the vector average wind at the cloud base height.

### 192 *2.3.3. Shadow Mapping and GHI Forecast*

193 The final step of the forecast is to place cloud shadows and estimate  $\text{GHI}(x, y, t)$ .  
 194 The correct way to estimate surface GHI is to run a 3D RTM on a 3D field of  
 195 extinction coefficients, which accounts for attenuation of the direct beam and  
 196 3D photon transport for diffuse radiation. Sky imager forecasts require simpli-  
 197 fications both because 3D fields are not available and due to the computational  
 198 complexity of 3DRTM. At present (kthist in Table 1), effects on direct and dif-  
 199 fuse irradiance are lumped by assigning a clear-sky index  $k_t$  (fraction of clear-sky  
 200 GHI that will be received) to each cloud class:

$$\text{GHI}(x, y, t) = \text{GHI}_{\text{csk}}(t) \times k_t(\text{cloud class}(x, y, t)) \quad (3)$$

201 with cloud classes projected from the cloud plane to the ground using “sun  
 202 projection” geometry from Figure 5. The  $k_t$  for each cloud class is selected by  
 203 finding three peaks (modes) in the histogram of measured GHI data from the  
 204 past 2 hours. If fewer than three peaks are found, defaults of 0.42, 0.70, or 1.06

205 (for thick, thin, and clear respectively) are used. “Correct”  $k_t$  for each class is  
206 determined by averaging the SHDOM GHI of pixels located in the shadows of  
207 each class.

208 In addition to reference GHI computed in SHDOM, we also compare several  
209 other radiation schemes, designed to illuminate the errors that arise in the  
210 existing forecast model. 1. Following the current sky imager forecast method,  
211 but using the “correct”  $k_t$  for each class (ktmean). 2. Converting directly from  
212 optical depth (Eq. 1, any projection) to  $k_t$  at each point via an exponential  
213 model fit at each time step, without quantizing into cloud classes (noquant).  
214 3.  $k_t$  advection, i.e.  $k_t(x, y, t) = k_t(x - ut, y - vt, 0)$ , for clouds moving with  
215 velocity  $(u, v)$ , without reference to detected clouds (ktadv). 4. Persistence, i.e.  
216  $k_t(x, y, t) = k_t(x, y, 0)$ . Method (1) removes errors in the  $k_t$  assignment, while  
217 (2) removes errors due to quantization. Methods (3) and (4) are initially perfect,  
218 and are included primarily to illustrate model performance as the cloud field  
219 changes. We note that methods (2) and (3) require more detailed information  
220 about the cloud field than is generally available outside the virtual testbed.

#### 221 2.3.4. Error Calculations

222 Comparison of each of these intermediate forecast quantities to the reference  
223 values can obviously be done directly, but it is also beneficial to compare the rel-  
224 ative effects of errors at each step. For example, it is not clear how a cloud-speed  
225 error of 1 m/s relates to an error in cloud-cover of 10%. For this purpose, we  
226 compare the final forecast errors that result from substituting various reference  
227 values into subsequent forecast steps. For example, we might calculate forecast  
228 cloud positions and shadows using the “correct” sun projection reference cloud  
229 map rather than the cloud map derived from the sky image (corresponding to  
230 sun-pix-kthist in Table 1). This and other varying paths through the forecast  
231 algorithm are drawn in Figure 4. Naming conventions for variations are sum-  
232 marized in Table 1.

233 Note that domain-average GHI is nearly constant over short periods of time,  
234 so errors are computed for all points, rather than for the domain average. Er-

235 rors thus obtained are representative of validating sky imager forecasts against  
236 point measurements at weather stations. Forecasts for power plants exhibit re-  
237 duced random error magnitudes due to spatial averaging. Forecasting and error  
238 reporting commence 15 minutes before the formation of the first clouds and  
239 extend through the end of the simulation.

240 When comparing the error  $E$  of different methods to a baseline case, it is  
241 also useful to define forecast skill,

$$\text{forecast skill} = 1 - \frac{E}{E_{\text{ref}}}, \quad (4)$$

242 which is small positive number (up to 1 for a perfect forecast) if a method  
243 performs better than the baseline, and a negative number if the method under  
244 consideration is worse.

### 245 **3. Results and Discussion**

#### 246 *3.1. Errors in Intermediate Quantities*

247 Time series of cloud cover, cloud velocity, and  $k_t$  results are illustrated in Fig-  
248 ure 6 and demonstrate the forecast’s ability to match overall atmospheric con-  
249 ditions. During the simulation run, the sky imager forecast had errors (RMS) of  
250 2.0 m/s and 1.7 degrees for the detected cloud velocities compared to LES wind  
251 at the cloud base height. Considering multiple cloud classes, 83% of pixels were  
252 correctly classified, with 7% that were classified as a cloud of the wrong class,  
253 and the remaining 10% classified as clear when they should have been cloud or  
254 vice versa. Detected  $k_t$  values from the existing histogram-based method were  
255 also relatively reliable, with errors (RMS) of 0.033, 0.078, and 0.079 for clear,  
256 thin, and thick categories.

257 Based purely on these error numbers, only the cloud speed error appears  
258 large enough to be of concern; the following sections consider the relative im-  
259 portance of these different errors to the GHI forecasts. Errors at short time  
260 horizons will mainly be influenced by the cloud mapping and radiation models,  
261 while longer forecasts rely significantly on the ability to predict the evolution of  
262 the cloud field.

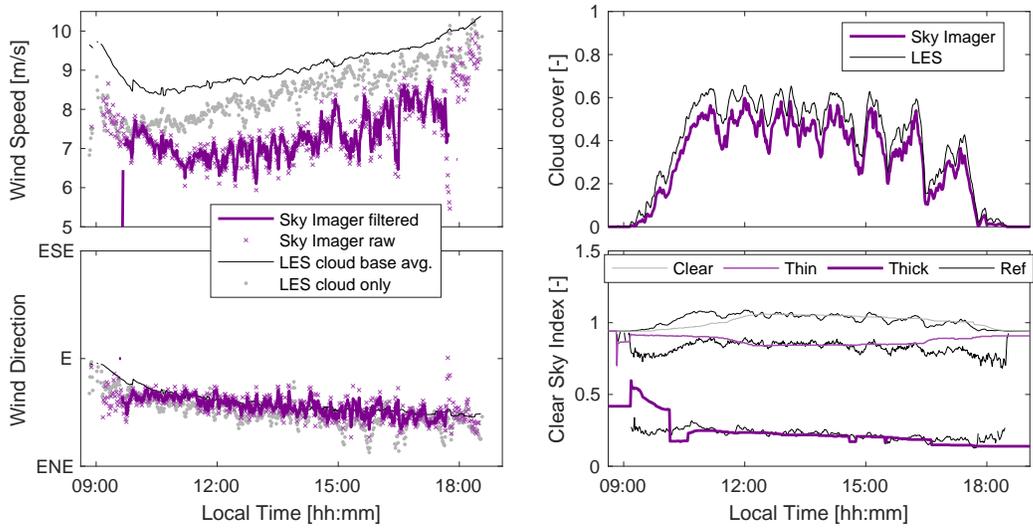


Figure 6: Time series comparison of cloud motion, hemispherical cloud cover, and per-class  $k_t$  values against the references derived from LES. Cloud motion filtering smooths data and removes points where cloud cover  $< 0.05$ . Clear-sky  $k_t$  exceeds 1 during much of the simulation due to cloud enhancement.

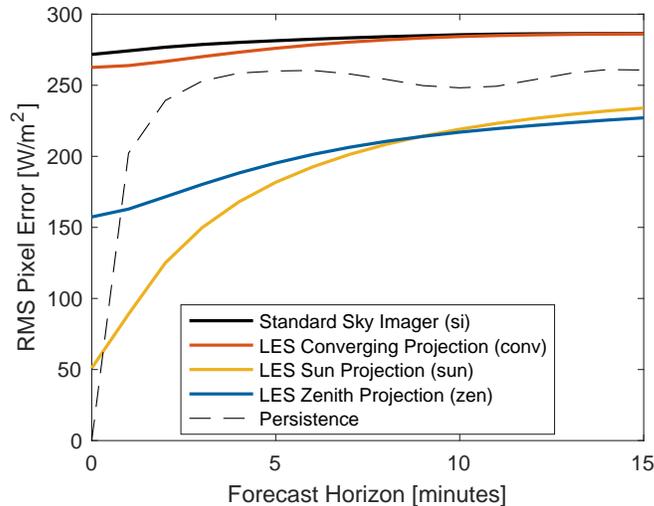


Figure 7: GHI forecast errors for several methods ( $X$ -pix-kthist) of mapping 3D clouds onto a horizontal plane at the cloud base height. All methods increase in error as the forecast horizon grows, however the methods with converging rays (Sky Imager and LES Converging) are unable to beat a persistence forecast. For reference, the typical range of GHI at any given time is around  $670 \text{ W/m}^2$ .

### 263 3.2. Projection

264 Figure 7 illustrates the difference between the different cloud projection  
 265 schemes. The standard sky imager forecast errors and persistence forecast errors  
 266 follow the trend observed in previous work involving real-world data [1]. The  
 267 converging-ray reference cloud map produces slightly better short term fore-  
 268 casts, but does no better at longer time horizons. Most notable, however, is  
 269 the significant improvement that comes from using one of the parallel-ray pro-  
 270 jections, particularly at short time horizons. The sun projection method works  
 271 best for short forecasts because it best matches the actual path light takes  
 272 through the atmosphere, while zenith projection seems to work better at longer  
 273 time horizons. We suspect this is because cumulus clouds form convectively,  
 274 and as a result are more dynamic in the vertical dimension (which is hidden in  
 275 the zenith projection) than the horizontal dimensions. Converging-ray projec-  
 276 tion was generally known (e.g. [21]) to cause some degree of perspective error,

277 but the authors had not previously realized just how much of the error (over  
278 2/3 at the shortest time horizons) was a result of this. The remaining error at  
279 zero time horizon (“nowcast”) is due to cloud detection (thresholding of optical  
280 depth) and the complex 3D diffuse irradiance field that is not captured by the  
281  $k_t$  assignment; this error is further investigated in the following section. The in-  
282 adequacy of the frozen cloud advection hypothesis and to a lesser extent, cloud  
283 speed errors (Figure 6), result in all the methods having larger errors at long  
284 time horizons.

### 285 3.3. Radiation

286 To investigate the remaining nowcast errors, we consider the radiation com-  
287 ponent of the forecast algorithm. The current algorithm makes two significant  
288 approximations. First, it treats GHI as depending only on the value in the 2D  
289 cloud map at a single point. This is accurate for the direct beam, but not at all  
290 representative of how diffuse irradiance propagates. Secondly, as a result of this  
291 single-point approximation and our quantized cloud map, the cloud shadows are  
292 also quantized. To assess the performance implications of these assumptions, the  
293 results of relaxing each of these assumptions are demonstrated in Figure 8. The  
294 sun projection is used for this comparison as it is most physically representative,  
295 and performs best (Figure 7) at short time horizons.

296 Nowcast errors are independent of cloud motion and therefore reveal the  
297 radiation model errors. Choosing the optimal (mean observed at zero horizon)  
298  $k_t$  for each class (red line) results in modest (around 12%) improvements in the  
299 radiation model. However, even eliminating the quantization (blue line) leaves  
300 over 40% of the nowcast error. The remainder requires properly dealing with  
301 diffuse irradiance and 3D cloud structure.

302 At longer time horizons, the difference between the various methods decays  
303 as the advected static cloud map becomes less representative of the real cloud  
304 field. The  $k_t$  advection scheme uses the initial measured  $k_t(x, y)$ , and is thus  
305 perfect initially, but by 5 minutes is hardly any better than the standard algo-  
306 rithm. Interestingly, the mean  $k_t$  method actually performs better at long time

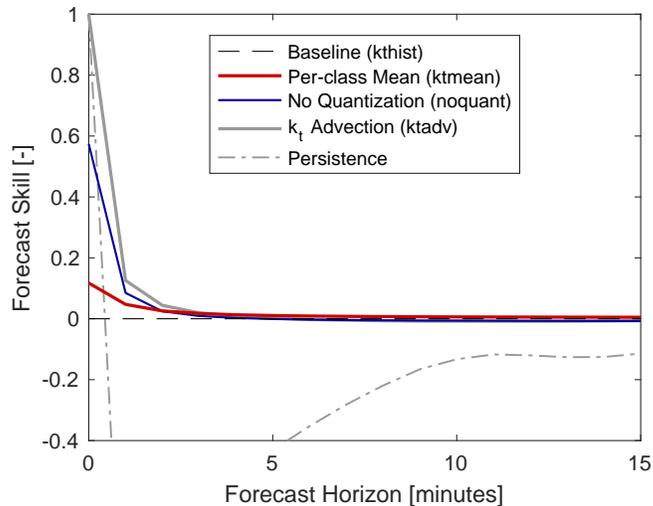


Figure 8: Forecast skill for various methods of modeling radiation. The baseline (reference) method is sun-pix-kthist, and other illustrated methods are sun-pix- $X$ . The mean  $k_t$  observed for each class at the time of forecast gives best results for a quantized, single-point radiation model. Results are also shown for a single-point model without quantization and the full (3D with diffuse) radiation model run at the time of forecast. For comparison, a persistence forecast (constant  $k_t$  at each point) is also shown.

307 horizons, presumably because localized fluctuations about the mean values tend  
 308 to change more quickly with time and smoothing forecast fields therefore tends  
 309 to reduce errors.

310 It should also be noted that this cloud scene contains only medium-thickness  
 311 fair-weather cumulus clouds which probably tends to improve the performance  
 312 of the baseline radiation model compared to conditions with a mix of thin and  
 313 thick clouds. In particular, the algorithm would likely have more difficulty  
 314 selecting the correct peaks from a more complicated  $k_t$  histogram.

### 315 3.4. Cloud Evolution

316 To address errors at longer forecast horizons, additional comparisons were  
 317 run using the nominal average wind vector from LES. As illustrated in Figure 9,  
 318 using the nominal wind vector from LES results in less than 4% improvement in

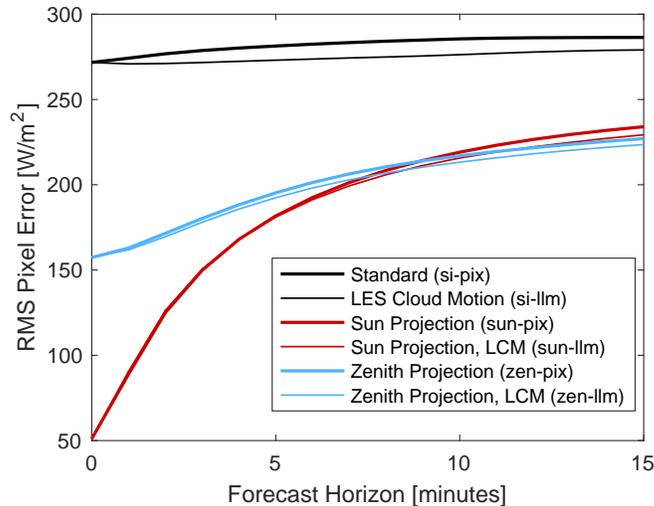


Figure 9: Forecast errors for frozen cloud advection compared with reference motion vectors from LES. Algorithm variations shown are  $X$ - $Y$ - $k$ thist. Similar behavior is observed for other forecast variants not shown.

319 forecast accuracy. For the sun and zenith projections, these improvements are  
 320 relatively small (median 1.1% and 1.6% respectively across forecast horizons) in  
 321 comparison to the overall increase in error with forecast horizon, suggesting that  
 322 the current sky imager forecast’s motion vector algorithm works well (at least,  
 323 for this simple, one-layer cloud case), and that we have essentially saturated the  
 324 capabilities of the frozen cloud advection model; further improvements would  
 325 require a more dynamic model for cloud development. After a forecast horizon  
 326 of 5 minutes, a forecast that assumes constant  $k_t$  throughout the domain (not  
 327 shown) outperforms all other forecast variants. Thus, 5 minutes can be consid-  
 328 ered to be the decorrelation time scale of this cloud field and an upper bound  
 329 for the validity of the frozen cloud assumption; the decorrelation time scale is  
 330 expected to vary with atmospheric conditions.

331 Some additional attention is required to the motion estimation algorithm as  
 332 applied to the sky image or converging cloud map. In Figure 6 previously, a  
 333 significant deviation was observed between the detected cloud speed and the LES

334 reference speed—the pixel motion estimation consistently under-predicts speed.  
 335 While the contribution to overall error is still always less than 4% (median  
 336 2.9%) in this case, approximately half of the forecast-horizon-dependent error  
 337 is attributable to this velocity under-prediction. This under-prediction appears  
 to be related to the vertical geometry of the cloud, as Figure 10 shows that the

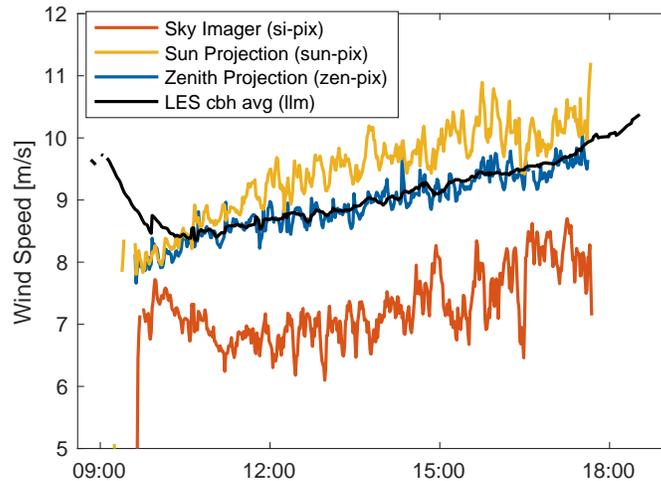


Figure 10: Cloud speed estimates based on pixel motion for the different projections in comparison to the LES reference speed. The black (llm) and red (si-pix) lines are also shown in Fig. 6.

338

339 detected speeds in the sun and zenith projections match the LES results much  
 340 more closely. Furthermore, experiments with non-physical clouds occupying  
 341 only a single grid layer showed no issues with motion estimation, suggesting  
 342 that cloud depth or wind shear is involved. At present, a complete explanation  
 343 for this under-prediction of velocity is lacking; it will be investigated in more  
 344 detail in future work. As noted above, the more accurate projections also yield  
 345 more accurate motion estimates without additional work, so this investigation  
 346 is primarily of interest until it becomes possible to generate 3D cloud maps from  
 347 sky imagery.

#### 348 4. Discussion and Conclusions

349 The virtual sky imager testbed is a valuable and versatile tool, allowing us  
350 to validate the quality of outputs from many steps of the sky imager forecast al-  
351 gorithm, and to assess the source of remaining errors. Here, the testbed demon-  
352 strated that for a simple cloud scene with scattered cumulus clouds, nowcast  
353 errors already negated most of the utility of sky imager forecasting. Nowcast  
354 errors primarily originated in the converging-rays projection of 3D clouds into  
355 a 2D plane, while cloud detection contributed relatively minor errors.

356 Sky imager forecast errors further increase from the nowcast errors, never  
357 managing to outperform a persistence forecast. The virtual sky imager testbed  
358 allowed cloud motion estimation errors to be examined separately and these er-  
359 rors were found to be small except for converging-ray projections, and of minor  
360 consequence there. Further, the virtual sky imager testbed demonstrated that  
361 even with projection errors in the nowcast corrected, the frozen-cloud-advection  
362 assumption for forecasting future cloud positions increasingly deteriorates fore-  
363 cast accuracy at longer time horizons.

364 However, the virtual testbed suffers from a number of limitations as well.  
365 LES is mostly limited to boundary layer clouds over flat and homogeneous or at  
366 least idealized (periodic) ground surfaces. The current LES setup is therefore  
367 limited in its ability to produce high clouds, including cumulonimbus and cirrus,  
368 as well as multiple cloud layers and topographic clouds. In principle, use of a  
369 larger domain, non-idealized measured inputs, and advances in numerical codes  
370 can enable simulations of these other cloud types (e.g. as in [22, 10]), but with  
371 considerable computational and human resource investments. Varying types  
372 of clouds and topography would likely influence the measured errors quantita-  
373 tively, but qualitative conclusions would likely be similar to those for cumulus  
374 clouds. For example, clouds with smaller vertical extent such as stratocumuli  
375 would likely reduce projection errors, but sun or zenith projection would still  
376 be expected to outperform converging-ray projection. Therefore, while not nec-  
377 essarily sufficient to validate forecasts under the variety of conditions seen in

378 the real world, for development of generic forecast algorithms it is preferable to  
379 utilize simpler-to-implement, well-studied cases. Multiple cloud layers, on the  
380 other hand, considerably complicate cloud detection (shadows of upper layers on  
381 lower layers), cloud mapping (single-cloud-plane model is no longer accurate),  
382 and motion estimation (distinguish multiple layers moving independently), and  
383 are therefore more likely to reveal qualitatively different results. In a future  
384 iteration of the virtual testbed, multiple cloud layers might be approximated by  
385 running multiple separate LES simulations and stacking the results, though this  
386 is obviously not physically realistic. Finally, the process of producing virtual  
387 sky images currently omits both stray light and sensor noise. Noise, and in par-  
388 ticular stray light tend to cause issues with cloud detection, so cloud detection  
389 in the virtual testbed is likely more accurate than for real images. Models for  
390 noise and stray light could be added in a future version of the virtual sky imager  
391 testbed.

392 Despite these limitations, the virtual testbed is expected to be a valuable  
393 tool for validating and improving sky imager forecast algorithms. The authors  
394 would be happy to share the virtual sky images and ancillary data with other  
395 researchers.

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