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A novel approach to determine the critical survival threshold of cellular oxygen within spheroids via integrating live/dead cell imaging with oxygen modeling

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## 1 METHODS AND RESOURCES

- 2 RUNNING HEAD: Determining critical survival pO<sub>2</sub> for islet spheroids
- <sup>3</sup> A novel approach to determine the critical survival
- 4 threshold of cellular oxygen within spheroids via
- <sup>5</sup> integrating live/dead cell imaging with oxygen modeling

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## 13 ABSTRACT

14 Hypoxia plays a crucial role in cell physiology. Defining the oxygen level that induces cell death within 3D 15 tissues is vital for understanding tissue hypoxia; however, obtaining accurate measurements has been 16 technically challenging. In this study, we introduce a non-invasive, high-throughput methodology to 17 quantify critical survival partial oxygen pressure  $(pO_2)$  with high spatial resolution within spheroids by 18 employing a combination of controlled hypoxic conditions, semi-automated live/dead cell imaging, and 19 computational oxygen modeling. The oxygen-permeable, micro-pyramid patterned culture plates 20 created a precisely controlled oxygen condition around the individual spheroid. Live/dead cell imaging 21 provided the geometric information of the live/dead boundary within spheroids. Finally, computational 22 oxygen modeling calculated the  $pO_2$  at the live/dead boundary within spheroids. As proof of concept, 23 we determined the critical survival  $pO_2$  in two types of spheroids: isolated primary pancreatic islets and 24 tumor-derived pseudo-islets  $(2.43 \pm 0.08 \text{ vs.} 0.84 \pm 0.04 \text{ mmHg})$ , indicating higher hypoxia tolerance in 25 pseudo-islets due to their tumorigenic origin. We also applied this method for evaluating graft survival in 26 cell transplantations for diabetes therapy, where hypoxia is a critical barrier to successful 27 transplantation outcomes; thus, designing oxygenation strategies is required. Based on the elucidated 28 critical survival pO<sub>2</sub>, 100% viability could be maintained in a typically sized primary islet under the tissue 29  $pO_2$  above 14.5 mmHg. This work presents a valuable tool that is potentially instrumental for 30 fundamental hypoxia research. It offers insights into physiological responses to hypoxia among different 31 cell types and may refine translational research in cell therapies.

#### 32 NEW & NOTEWORTHY

Our study introduces an innovative combinatory approach for noninvasively determining the critical survival oxygen level of cells within small cell spheroids, which replicates a 3D tissue environment, by seamlessly integrating three pivotal techniques: cell death induction under controlled oxygen conditions, semi-automated imaging that precisely identifies live/dead cells, and computational

- 37 modeling of oxygen distribution. Notably, our method ensures high-throughput analysis applicable to 38 various cell types, offering a versatile solution for researchers in diverse fields.
- 39 **Keywords:** Cell survival; Computational simulation; Hypoxia; Pancreatic islets; Viability assay
- 40

## 41 **INTRODUCTION**

42 Hypoxia, characterized by insufficient oxygen availability at the cellular, tissue, or systemic level, plays a 43 pivotal role in both physiological adaptation and pathological processes within the human body. At the 44 molecular level, the response to hypoxia is intricately regulated by the hypoxia-inducible factor 1 alpha 45 (HIF-1 $\alpha$ ), a key transcription factor that orchestrates downstream molecular functions (1, 2). Hypoxia 46 represents multifaceted adaptive responses that are crucial for survival, with both beneficial and 47 detrimental consequences dictated by the HIF-1a downstream molecular functions. HIF-1a activation 48 triggers essential responses for oxygen delivery including increased erythropoietin to produce red blood 49 cells (3, 4), secretion of vascular endothelial growth factor to facilitate angiogenesis (5), as well as for 50 CD18-mediated inflammation (6). In addition, the duality of hypoxia is evident in its role in normal 51 tissues and cancer cells. Cancer cells exploit hypoxia-inducible factors to thrive in the hostile 52 microenvironment by promoting angiogenesis, metabolic reprogramming, and resistance to cell death 53 (7, 8) which contributes to disease progression.

54 Although hypoxia is widely acknowledged as a crucial phenomenon in biology and physiology, 55 establishing a universal threshold between normoxia and hypoxia proves challenging. The diversity 56 among cells and tissue types, exemplified by variations between normal and cancer cells, complicates 57 the standardization of cut-off values. Consequently, defining specific critical survival  $pO_2$  values for 58 distinct cell types and tissues is essential, offering insights into their hypoxia resistance in physiological 59 assessments. While theoretically feasible to determine critical survival  $pO_2$  for inducing single-cell death 60 in vitro under precisely controlled hypoxia culture conditions, the ideal scenario involves identifying 61 such thresholds within in vivo-mimicking 3D tissues where physiological cell-cell contact is maintained.

62 Defining critical survival pO<sub>2</sub> is essential not only for understanding cellular and tissue biology but also 63 for developing cell therapies, particularly evident in pancreatic islet transplantations for patients with 64 type 1 diabetes (9–12). Isolated islet spheroid, a micro-organ consisting of thousands of insulin-secreting 65 cells from the donor pancreas, faces challenges due to the loss of native microvessels during isolation. 66 Relying on interstitial oxygen, cells within the spheroid compete for oxygen, and cells in the spheroid 67 center with increased diffusion distances (average size of 150 µm in diameter) are susceptible to hypoxic 68 stress (13, 14). Thus, islet spheroids are at risk of hypoxia-induced central necrosis, reducing total islet 69 cell mass in culture and transplantation engraftment in islet cell therapy. Several oxygenation 70 approaches have been introduced to prevent hypoxia-induced islet graft loss. Concentrated oxygen was 71 injected into a compartment encasing the transplanted islets (15–17), and co-transplantation techniques incorporating oxygen-containing or oxygen-generating materials improved the viability of transplanted 72 73 islets (18–20). Although these approaches were experimentally demonstrated to be effective, 74 understanding the critical survival pO<sub>2</sub> of islet cells is crucial for developing improved oxygenation 75 strategies, particularly in estimating exogenous oxygen requirements that ensure the viability of grafts.

76 While understanding the critical survival  $pO_2$  for cells and tissues is crucial, accurately measuring this

- pO<sub>2</sub> value within 3D tissues and spheroids presents significant challenges. Direct measurements, such as
- 78 needle-like Clark electrode (21, 22) and optical fiber methods (23), necessitate the insertion of a sensor 79 tip into the tissues to access the necrotic core; this process intrinsically alters the original oxygen

80 gradient and, thus, compromises the accuracy of the measurement. Silicone microbeads incorporated 81 into a 3D cell culture and electron paramagnetic resonance imaging (EPR) are potential non-invasive 82 approaches. However, the large size of the beads and the low resolution of EPR are critical barriers to 83 measuring  $pO_2$  in small 3D tissues at the µm level (24–26).

In this study, we present a novel and comprehensive methodology for determining the critical survival pO<sub>2</sub> for 3D cell spheroids; this method integrates three key techniques: 1) inducing cell death within spheroids under precisely regulated oxygen concentrations and geometric parameters (27); 2) employing semi-automated imaging to distinguish live and dead cells within spheroids (28); and 3) utilizing computational modeling to assess oxygen distribution within spheroids (29). Our approach effectively addresses current challenges in defining the critical survival pO<sub>2</sub> within tiny 3D spheroids, offering a non-invasive technique with high spatial resolution data.

## 91 MATERIALS AND METHODS

#### 92 Rat Islet Isolation Procedures

93 Rat islets were isolated from rat pancreata using our standard procedure (30). Male Lewis rats (Charles 94 River, Wilmington, MA) aged between 16 and 20 weeks and weighing between 400 and 500 grams, were 95 used as islet donors. Under general anesthesia, 9 mL of collagenase solution (2.5 mg/mL, [Sigma-Aldrich, 96 MO], HEPES at 100 mM [Irvine Scientific, Santa Ana, CA] in ice-cold Hanks' balanced salt solution [HBSS; 97 Sigma-Aldrich]) was injected into the pancreatic duct through the common bile duct. The distended 98 pancreas was dissected, followed by enzymatic digestion at 37°C for 10 minutes. The digested pancreas 99 was centrifuged at 300×g for 3 minutes. Pellets were washed and subjected to density gradient 100 centrifugation in HBSS solution and Histopaque-1077 (density: 1.077 g/mL, Sigma-Aldrich) for 25 minutes at  $300 \times q$  and  $24^{\circ}$ C. Islets were hand-picked for purity. The use of animals and animal 101 102 procedures in this project was approved by City of Hope/Beckman Research Institute Institutional 103 Animal Care and Use Committee. Following isolation, all islets from a single donor were cultured in a 10 104 cm petri dish (Corning Life Sciences) containing 8 mL of CMRL 1066 culture medium (Corning Life 105 Sciences, Tewksbury, MA) and incubated overnight at  $27^{\circ}$ C in a CO<sub>2</sub> incubator for recovery. Due to the 106 heterogeneous size of the isolated islets, the standardized unit of Islet Equivalent (IEQ) was used to count the volume-based, normalized islet number, in which the islet with 150  $\mu$ m in diameter is defined 107 as 1 IEQ (31). Islet yield per donor ranged from 750 to 1200 IEQ, assessed after overnight recovery. Islet 108 109 purity was assessed before initiating hypoxia experiments and confirmed to be > 90% using our standard 110 procedure with Dithizone staining (iDTZ, Gemini Bio-products, CA) (32).

#### 111 Production of Pseudo-islets (PsIs)

112 A rat beta cell line (INS-1 832/13 Rat Insulinoma Cells, Sigma-Aldrich) was used to produce 3D Pseudoislets (PsIs). After the expansion of the cells in the 2D conventional tissue culture-treated dishes with 113 114 RPMI1640 medium (Life Technologies, Carlsbad, CA) supplemented with 10% heat-inactivated fetal 115 bovine serum (FBS, Atlanta Biologicals, Lawrenceville, GA), 50 mM of 2-Mercaptoethanol (Sigma-116 Aldrich), 10 mM of HEPES (Sigma-Aldrich), 1 mM of sodium pyruvate (Sigma-Aldrich), 2 mM of L-117 glutamine (Sigma-Aldrich), cells were trypsinized into single cells. Dissociated single cells were seeded on a 35 mm-microwell plate (EZSPHERE 900SP; 500 µm-microwell diameters; AGC Techno Glass, 118 Yoshida, Japan) at the seeding density of  $1.25 \times 10^6$  cells / dish. After the two-day culture of the cells to 119 form the PsIs in a CO<sub>2</sub> incubator at 37°C, PsIs were retrieved for the subsequent experiments. The 120 121 standardized unit of IEQ was used to count the volume-based, normalized PsIs number (31).

#### 122 Culture Conditions of Islet Spheroids

123 One hundred IEQ per well of either isolated rat primary islets or PsIs were seeded onto the 124 micropyramid-patterned, oxygen-permeable bottomed dish (24-well platform) (27), using 1 mL of their 125 specific culture medium described above. The culture dish bottom had the inverse topography of 126 Aggrewell 400 microwell array (Aggrewell 400, STEMCELL Technologies, Vancouver, Canada) made of 127 polydimethylsiloxane (PDMS), which allows for the separation of seeded islets in a uniform oxygen 128 environment throughout the well bottom. The plate was placed within the air-tight modular incubator 129 chambers (Billups-Rothenberg, San Diego, CA), and the designated mixed gas (1% O<sub>2</sub>, 5% CO<sub>2</sub>, and 94% N<sub>2</sub>) was filled using the gas mixer (GB3000, MCQ Instruments, Rome, Italy). The distilled water added to 130 131 the chamber to provide a humidified culture condition (6.2% H<sub>2</sub>O<sub>(g)</sub>). Once the oxygen was reached to 132 the designated partial pressure, the chamber was tightly sealed and placed into the incubator at 37°C. 133 To monitor the partial oxygen pressure within the chamber during the subsequent culture period, the RedEve patch was attached to the inner surface of the modular incubator chamber to non-invasively 134 135 measure the  $pO_2$  in the chamber from the outside using the optical oxygen sensor (NeoFox, Ocean 136 Optics, Dunedin, FL). The spheroids were cultured for 2 days with no culture medium changes. During 137 the culture period, the  $pO_2$  within the chamber was maintained at the designated value  $\pm$  10% deviation 138 (i.e., 0.9 – 1.1% O<sub>2</sub>), measured with RedEye patch. At the end of the culture period, the chamber was 139 opened, and the actual  $pO_2$  in the culture medium at the bottom level of the dish, where islets or PsIs 140 were placed, was directly measured by inserting the flexible needle-type optical oxygen sensor (NeoFox, 141 Ocean Optics) that reconfirmed the  $pO_2$  within the 10% deviation to the designated values.

#### 142 Viability Assessment of Islet Spheroids Using Image Analysis

143 Viability of islet spheroids (both primary islets and PsIs) was analyzed using live/dead staining by a semi-144 automated method previously reported (33, 34). Cultured islet spheroids (100 IEQ per group) were 145 incubated in 0.48  $\mu$ M of fluorescein diacetate (FDA; Sigma-Aldrich) and 15  $\mu$ M of propidium iodide (PI; 146 Sigma-Aldrich) solution in phosphate-buffered saline for 5 min in the dark at room temperature. 147 Subsequently, they were washed with phosphate-buffered saline and transferred to a 96-well plate to 148 capture the fluorescent images (IX50, Olympus, Tokyo, Japan). By setting thresholds for green (FDA; live cells) and red (PI; dead cells), FDA-positive or PI-positive areas were automatically calculated by the 149 150 imaging software (cellSens, Olympus). FDA-positive area and PI-positive area were mutually exclusive 151 within the islet spheroids for the analysis (sky blue for FDA-positive areas and magenta for PI-positive 152 areas), and the islet area was defined as the sum of FDA-positive and PI-positive areas. The volumetric 153 viability of an islet sample was calculated as follows: viability (%) =  $100 - [(PI-positive area/islet area)^3 \times$ 154 100]. Shape factor, which numerically describes the shape of a particle under two-dimensional images in 155 a microscope (35) was calculated for all spheroids by the software, and spheroids with shape factor < 0.7 156 (regarded as non-spherical) were excluded from the analyses. A total of 262 primary islets and 107 PsIs 157 were analyzed.

#### 158 Oxygen Consumption Rate Measurement

159 The oxygen consumption rate (OCR) assay was performed for the metabolism assessments of islet 160 spheroids as previously described (36). Approximately 100 IEQ of primary islets or PsIs were plated on a 161 Seahorse XFe islet capture plates (Seahorse Bioscience, North Billerica, MA) and pre-incubated at 37°C in 162 a non-CO<sub>2</sub>-incubator for 3 hours. Measurement of the OCR was performed using a Seahorse XFe analyzer (Seahorse Bioscience North Billerica, MA) every 7.5 minutes at 3 mM glucose for 7 163 164 measurements. OCR data was normalized by the IEQ applied. OCRs of primary islets from 5 rats and 7 165 preparations of PsIs were individually measured. The OCR measurement was conducted in an 166 environment with oxygen levels (pO<sub>2</sub>) exceeding 120 mmHg to minimize the oxygen gradient between the plastic cell plate and the microchamber containing spheroids. This approach reduced the potential 167 168 for oxygen diffusion through the plastic cell plate, which could otherwise result in inaccurate OCR readings. Given that the measurements took place in a well-oxygenated setting, the observed OCR wasutilized to estimate the maximal OCR values for the following simulations.

#### 171 Computational Model of Oxygen Diffusion and Reaction

- 172 We employed the finite element method (COMSOL Multiphysics 5.3, MA) to derive the complete  $pO_2$
- 173 profile within each islet spheroid and its surrounding microenvironment. The governing equation for
- 174 oxygen transport, based on Fick's diffusion and reaction, is expressed as:

$$\frac{\partial c}{\partial t} = D\nabla^2 c - R$$

175 In this equation, *c* represents the oxygen concentration, *D* is the oxygen diffusion constant, and *R* is the 176 oxygen consumption term. The latter follows Michaelis-Menten type metabolic kinetics:

$$R = OCR_{max} \frac{c}{c + K_m}$$

177 Here,  $OCR_{max}$  indicates the maximal oxygen consumption rate, and  $K_m$  is the Michaelis constant,

178 corresponding to the oxygen concentration at half the maximum consumption rate. To ensure the

179 continuity of  $pO_2$  across different boundaries, we applied Henry's law to relate the oxygen concentration

180 to  $pO_2$ , where S indicated the oxygen solubility:

$$c = S \cdot pO_2$$

#### **181** Statistical Analysis

182 Statistical analyses were conducted utilizing the SciPy library (37). Sample sizes were calculated based 183 on the estimated population variance obtained from a preliminary study. This calculation incorporated a 184 z-score of 2.58 to achieve 99% confidence intervals. Data were presented as the mean ± the standard error of the mean (SEM) with relevant percentiles. For the statistical analysis, outliers were excluded if 185 the data points were beyond the 75<sup>th</sup> percentile plus 1.5 times the interquartile range or below the 25<sup>th</sup> 186 187 percentile minus 1.5 times the interquartile range. We employed Pearson's correlation coefficient (r) to 188 quantify the linear relationship between variables. We employed Welch's t-test to address unequal 189 sample sizes and variances. The results reported the P-value and an alpha level of 0.01 to interpret the 190 statistical significance.

#### 191 **RESULTS**

192 The method to determine the survival threshold of cellular oxygen within a spheroid was

193 developed by integrating live/dead cell imaging with oxygen modeling.

We employed three techniques: 1) inducing cell death within spheroids under the precisely controlled
 oxygen and geometric parameters; 2) semi-automated live/dead cell imaging of spheroids; and 3)
 oxygen computational modeling of spheroids to determine the critical survival pO<sub>2</sub> within spheroids.

197 We used the air-tight chamber to apply the 1% oxygen at 37°C under atmospheric conditions to induce

198 the initial step—hypoxic cell death within a controlled oxygen microenvironment (Fig. 1A).

- Subsequently, we seeded spheroids ranging 70–300  $\mu$ m in diameter at approximately 0.5 spheroids /
- 200 mm<sup>2</sup> on the micropyramid arrays (which equates to 100 spheroids per well of a 24-well plate) on the 201 oxygen-permeable, micro-pyramid patterned culture plates (27), with 1200 micropyramids per well. This
- 201 oxygen-permeable, micro-pyramid patterned culture plates (27), with 1200 micropyramids per well. This 202 configuration ensured the separation of each islet and prevented the interference of reduced oxygen by
- 203 the oxygen-consuming neighboring spheroids. Moreover, oxygen-permeable PDMS micropyramids

allowed for 1% oxygen air in the chamber to effectively diffuse from the bottom of the plate to the culture medium around the spheroids. We prepared two representative spheroids, primary rat pancreatic islets and pseudo pancreatic islets (PsIs) derived from a rat beta cell tumor. We cultured them for 2 days, inducing hypoxic cell death in the core of the spheroids. Our culture setup enables investigators to precisely control the  $pO_2$  levels surrounding spheroids and minimize uncertainty and variation in the subsequent computational modeling of the  $pO_2$  profile.

210 The second step was to acquire the two-color-live/dead fluorescent images of spheroids post 2-day 211 hypoxic culture to extract the parameters required for the subsequent oxygen simulations. Fig. 1B 212 demonstrates the process to extract the radius of the spheroid (r<sub>spheroid</sub>) and dead core (r<sub>dead</sub>); the 213 pancreatic islet, approximately 150 µm in diameter, consisting of thousands of endocrine cells, is 214 presented. Typically, dead cells are concentrically present in the spheroid's core, which is characteristic 215 of hypoxia-induced central necrosis due to the oxygen gradient within the spheroid. Subsequently, we 216 used a software for semi-automated two-color recognition for live and dead areas to calculate the areas 217 of the spheroid and the dead core. We introduced the concentric model that converts the actual shape 218 traced into a completely circular shape for calculating the estimated radius of the spheroid (r<sub>spheroid</sub>) and the dead core (r<sub>dead</sub>). 219

220 We established a steady state  $pO_2$  profile in the microenvironment within the spheroid by integrating 221 the live/dead imaging parameters in the third step. Fig. 1C illustrates the 3D geometry, boundary conditions, and a cross-sectional pO<sub>2</sub> profile, using a representative spheroid with r<sub>spheroid</sub> at 73 µm and 222  $r_{dead}$  at 39  $\mu$ m. We designed the oxygen simulation geometry for the spheroids with the following 223 224 parameters: each spheroid comprises a concentric inner dead core and an outer live shell; the central 225 necrotic area does not consume oxygen (i.e., R = 0); oxygen consumption rate in the outer live shell 226 follows Michaelis-Menten metabolic kinetics; and spheroids were surrounded by culture medium, 227 forming a tall cuboid geometry. We also constructed the oxygen simulation geometry for a 228 micropyramid-shaped, oxygen-permeable PDMS. The height of the medium was 5.3 mm based on a 229 medium volume of 1 mL in a 24-well plate. The cuboid's dimensions, both width and length, were 1.4 230 mm, which was triple the base side length of the micropyramid. The boundary conditions are established with a 1% oxygen concentration (equivalent to 7.6 mmHg) at both the top and bottom 231 232 surfaces of the medium. We set the side faces as symmetrical planes under the assumption of negligible 233 oxygen interference between spheroids. A comprehensive list of simulation parameters is presented in 234 Table 1. The OCR data of primary islets and PsIs is available in Supplemental Fig. S1.

The final step was to define the critical survival  $pO_2$  within the spheroid (Fig. 1D). We calculated the critical survival  $pO_2$  by averaging the  $pO_2$  profiles at the boundary between the live shell and the dead core within the spheroid. Collectively, we developed a new method to define the survival threshold of cellular oxygen within a spheroid by integrating the three key techniques.

The method defined the critical survival threshold of cellular oxygen within pancreaticendocrine spheroids.

We applied our newly developed approach to determine the critical survival  $pO_2$  in two types of spheroids for the proof of concept of this approach. We tested 1) primary pancreatic islets isolated from the native pancreas and 2) pseudo-islets derived from the insulin-secreting endocrine cell line. These spheroids secrete insulin; thus, when transplanted as beta cell replacement therapy, they can treat diabetes (38, 39). However, spheroids are vulnerable to hypoxia, which has been one of the roadblocks to their wide-use beta cell replacement therapy; thousands of oxygen-consuming cells within the spheroids create a steep oxygen gradient and subsequent hypoxia-induced central necrosis. Our new method will determine the physiological oxygen sensitivity of these spheroids by defining the critical survival pO<sub>2</sub> of the cells within the spheroids.

250 We cultured these spheroids in hypoxia culture at 1% oxygen for 2 days. Fig. 2A demonstrates the 251 representative live/dead stain images of primary islets at pre- and post-culture timepoints in the typical 252 size at r =  $\sim$ 75 µm. We converted the post-culture image into the concentric model image to measure 253 the r<sub>spheroid</sub>, r<sub>dead</sub>, and viability. Integrating cell imaging data with oxygen modeling identified the critical 254 survival pO<sub>2</sub> of the primary islets at 2.39 mmHg (Fig. 2B). Similarly, Fig. 2C demonstrates the 255 representative live/dead stain images of PsIs with the measured r<sub>spheroid</sub>, r<sub>dead</sub>, and viability data. The 256 critical survival pO<sub>2</sub> of this specific PsIs was 0.89 mmHg (Fig. 2D). Subsequently, we collected the data of 257  $r_{spheroid}$ ,  $r_{dead}$ , viability, and critical survival pO<sub>2</sub> from individual spheroids of 262 primary islets and 107 258 PsIs. Live/dead images in various sizes of spheroids (r = 50, 75, and 100  $\mu$ m) at pre- and post-hypoxic 259 culture are available in Supplemental Fig. S2A (primary islets) and S2B (PsIs). Distribution of the spheroid 260 size in primary islets and PsIs are presented in Supplemental Fig. S2C and S2D. Fig. 2E displays all data 261 plots of r<sub>spheroid</sub> and r<sub>dead</sub> in primary islets and PsIs, demonstrating the positive linear correlations 262 between  $r_{spheroid}$  and  $r_{dead}$ . Overall viability of primary islets and PsIs on day 2 were 75.8 ± 1.1 % and 78.2 263  $\pm$  1.1 %, respectively (Fig. 2F, P = 0.116). The viability of all individual spheroids is available in 264 Supplemental Fig. S2E (primary islets) and S2F (PsIs).

265 Lastly, we determined the critical survival  $pO_2$  of individual spheroids and plotted all data according to the spheroid size (r<sub>spheroid</sub>, Fig. 2G). The average critical survival pO<sub>2</sub> values of primary islets and PsIs were 266 267  $2.43 \pm 0.08$  mmHg and  $0.84 \pm 0.04$  mmHg, respectively (Fig. 2H); the median and interquartile range 268 (IQR) value of critical survival  $pO_2$  values of primary islets and PsIs were 2.24 (IQR 1.52 – 3.24) mmHg 269 and 0.84 (IQR 0.56 – 1.12) mmHg, respectively. The critical survival  $pO_2$  was lower in PsIs than in primary 270 islets, indicating greater hypoxia resistance in PsIs (P < 0.001). PsIs are derived from beta cell malignant 271 tumor cell line, and malignant cells typically exhibit more hypoxia resistance than the primary non-272 malignant cells (7, 8). Interestingly, the Fig. 2G showed the negative correlation between the critical 273 survival pO<sub>2</sub> and the radius of spheroids for both primary islets and PsIs (r = -0.15 (P = 0.010) for primary 274 islets; r = -0.33 (P = 0.005) for PsIs). A similar correlation between the critical survival pO<sub>2</sub> and the 275 volume of spheroids (v<sub>spheriod</sub>) for both primary islets and PsIs is also demonstrated in Supplemental Fig. 3 276 (r = -0.08 (P = 0.174) for primary islets; r = -0.33 (P = 0.005) for PsIs). This may suggest that larger 277 spheroids could provide a more favorable microenvironment at the individual cell level due to a more 278 interconnected organoid structure, despite becoming more vulnerable to hypoxia at the whole spheroid 279 level. Collectively, our novel method not only calculated critical survival  $pO_2$  values of different spheroid 280 types but also elucidated physiological characteristics of the cells and spheroids including the 281 differences in physiological hypoxia resistance of primary vs. malignant cell spheroids.

The critical survival  $pO_2$  contributes to the prediction of the islet graft viability in various oxygen environment.

As demonstrated, elucidating the hypoxia resistance with the critical survival  $pO_2$  values has significance in characterizing the distinct cells. Another potential application using this approach is predicting spheroid survival in various oxygen conditions; this insight is particularly valuable in cell transplantations, including pancreatic islets. Since the hypoxia of the graft site is one of the leading

- 288 causes of reducing graft survival in islet transplantations, several oxygenation strategies to improve the
- transplanted islet graft have been developed (40–44). The critical survival  $pO_2$  values enabled us to
- accurately simulate graft viability under various oxygen conditions. With the peri-spheroidal pO<sub>2</sub> defined
- as the oxygen on the surface of the spheroid (Fig. 3A), we employed simulations of the spheroid viability
- 292 (Fig. 3B). The simulation data estimated the viability for primary islets and PsIs, according to the peri-
- spheroidal  $pO_2$  and spheroid size ( $r_{spheroid}$ ). This approach provides critical information for designing the oxygenation strategy. For instance, transplant site environment at 5 mmHg (peri-spheroidal  $pO_2$ ) for a
- typical-sized rat primary islet with a  $r_{spheroid}$  of 75 µm calculates the estimated viability at 70% with the
- 296 critical survival  $pO_2$  value at 2.43 mmHg. Conversely, to achieve 100% viability for the rat islet, a peri-
- 297 spheroidal environment of  $pO_2 > 15$  mmHg is required.

## 298 DISCUSSION

299 In this study, we introduced an innovative method for determining critical survival pO<sub>2</sub> within islet 300 spheroids. Integrating imaging techniques with computational simulations of 3D spheroids, we identified 301 the  $pO_2$  at live/dead cell boundary with high spatial resolution to define the critical survival  $pO_2$ . Cells 302 remain viable above this threshold while they succumb to death below it. Utilizing this model, we 303 uncovered the oxygen sensitivity of pancreatic islet spheroids during acute phases. Importantly, the 304 values identified a difference in physiological characteristics of critical survival  $pO_2$  between primary 305 islets and tumor-derived islet spheroids, confirming higher hypoxia resistance in tumor cells compared 306 to primary cells. Non-malignant primary cells predominantly rely on oxidative phosphorylation for 307 energy production in the presence of oxygen; in contrast, tumor cells generally display aerobic glycolysis 308 for energy production, known as the Warburg effect, contributing to their hypoxia resistance (45). The 309 critical survival pO<sub>2</sub> accurately reflects such physiological processes, underlining the significance of our 310 method in the physiological characterization of cells within spheroids.

311 Our study demonstrated another potential application of the critical survival pO<sub>2</sub> value for improving cell 312 transplantation outcomes. The hypoxic environment limits the success of pancreatic islet 313 transplantations due to their oxygen-diffusion-limiting spheroidal structure. Correlations among three critical factors in islet transplantations—namely, islet spheroid size (r<sub>spheroid</sub>), surrounding oxygen 314 315 microenvironment (peri-spheroidal  $pO_2$ ), and viability of the spheroids—can be determined when the 316 critical survival  $pO_2$  of the spheroids is defined. Calculating the essential peri-transplantation oxygen 317 levels to achieve a desired graft survival rate is a key aspect in developing cell transplantation strategies. 318 In addition to the simple examples in the Results section, it is particularly important when encapsulation 319 techniques are employed to protect islet graft from host immunity (46-49). Macro- and micro-320 encapsulation, coating islet spheroids with hydrogels or microporous membranes, have shown promise 321 in allogeneic or xenogeneic islet transplantations. Although effective with respect to immunoisolation, 322 oxygen supply for their survival should be carefully considered because the additional layer of hydrogel 323 could restrict oxygen diffusion to the grafts. Understanding the critical survival  $pO_2$  value of the graft 324 cells could provide the estimated graft viability depending on the dimensions and properties of 325 encapsulation materials and devices. In scenarios of severe oxygen deprivation, such as when a large 326 number of islets are encapsulated within a confined space (16), designing the oxygenation strategies is 327 especially important in which the critical survival pO<sub>2</sub> value will serve as a key element to estimate the 328 viability of transplanted cells under varying oxygen conditions.

329 While previous studies demonstrated methods to determine the critical survival  $pO_2$  value of the cells, 330 critical survival pO2 values of various cell types and tissues have not been well established. The 331 spearheading work demonstrated the critical survival pO<sub>2</sub> value of the rat-isolated hepatocyte cells at 332 0.1 mmHg (50). A second study introduced technical advancement by utilizing a fine-tuned, feedback-333 controlled oxystat system, maintaining steady-state pO<sub>2</sub> between 0.01 mmHg and 150 mmHg in the 334 culture setting (51) but relied on conventional trypan blue staining for single cell viability. The critical 335 survival  $pO_2$  value obtained from these studies was applied to islet cells for the oxygen simulation 336 models (29); however, the approximation deviated from the actual threshold of islet cells, as the values 337 could be cell-type-specific, as demonstrated by others (52), as well as our current study. Advantages of 338 the previous approach include the straightforward methods applicable to any cell type. However, the 339 critical survival  $pO_2$  value of the cell could be only measured in single cells, which could be a crucial 340 limitation for several reasons: the critical survival  $pO_2$  value is likely different in the single cell state vs. actual tissue environment with cell-cell interactions, and the manipulation of the tissue dissociation into 341 342 single cells itself would damage cells to reduce viability (50). Our method enables the calculation of the 343  $pO_2$  within the cell spheroids, which mimics the 3D tissue environment. Furthermore, our approach has 344 the following advantageous features: broad applicability across various cell types using non-cell-type-345 specific viability assessment by live/dead staining, high throughput analytic capability for large 346 quantities of cells, and indirect measurement or the maintenance of a low oxygen tension environment, 347 which eliminates the technical challenges of direct measurements that are prone to drift and susceptible 348 to inaccuracies.

349 Some limitations in our approach are as follows: First, it does not provide cell type-specific critical 350 survival  $pO_2$  values, particularly when the spheroids are composed of multiple cell types. For example, 351 the primary islets consist of predominantly insulin-secreting beta cells but contain multiple endocrine 352 cells and other cell types. Second, the model operates under the assumption that hypoxia is the primary 353 factor influencing cell survival in the short term within hours – days (13, 50). Multiple molecules, 354 including nutrients, create concentration gradients and contribute to cell death in the longer observation period. Therefore, the method may not be accurate in defining critical survival  $pO_2$  in 355 356 chronic hypoxic conditions. Third, our method does not define the oxygen threshold of cell function. The 357 cell function may be reduced in the oxygen condition above the critical survival  $pO_2$ ; therefore, our 358 approach requires other methods, especially for functional analyses. Fourth, the biological variation and 359 fluctuations in the OCR of cells must be carefully considered. For instance, our study utilized primary 360 islets isolated from young male rats. It is well-documented that OCR and insulin-secreting functions vary 361 by sex and age, reflecting mitochondrial functionality (36). Additionally, the OCR is influenced by the 362 microenvironment, such as glucose conditions; high glucose conditions have been shown to increase cell 363 metabolism including OCR (36, 53). Given that OCR is a critical factor in oxygen simulations, employing 364 accurate OCR values and accounting for these variations will contribute to more precise results of the 365 critical survival  $pO_2$ . Lastly, we identified a negative correlation between the critical survival  $pO_2$  and 366 spheroid size, which may require thorough interpretation. Our results suggest novel physiological 367 environmental differences between large and small spheroids-the interconnected 3D organoid 368 structure in large spheroids likely creates a favorable microenvironment, despite the occurrence of 369 hypoxia. However, potential technical biases that could influence this size-dependency of the critical 370 survival  $pO_2$  should be carefully considered, although we did not detect such flaws in our methodology.

- 371 In summary, we have developed a new method to determine the critical survival pO<sub>2</sub> within 3D cell
- 372 spheroids, offering a high throughput non-invasive technique with high spatial resolution data.

#### 373 SUPPLEMENTAL MATERIAL

374 Supplemental Figs. S1-S3: https://doi.org/10.6084/m9.figshare.24986859

## 375 DATA AVAILABILITY

376 Data are available upon request.

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#### 384 **DISCLOSURES**

385 No conflicts of interest, financial or otherwise, are declared by the authors.

## 386 AUTHOR CONTRIBUTIONS

- 387 Conceived and designed research: KMS, HK (Kato), HK (Komatsu);
- 388 Performed experiments: KMS, HK (Kato), NG, HK (Komatsu);
- 389 Analyzed data: KMS, HK (Kato), HK (Komatsu);
- 390 Interpreted results of experiments: KMS, HK (Kato), HK (Komatsu);
- 391 Prepared figures: KMS, HK (Kato), HK (Komatsu);
- 392 Drafted manuscript: KMS, HK (Komatsu);
- 393 Edited and revised manuscript: KMS, HK (Kato), YCT, HK (Komatsu);
- 394 Approved final version of manuscript: KMS, HK (Kato), NG, FK, YCT, HK (Komatsu).

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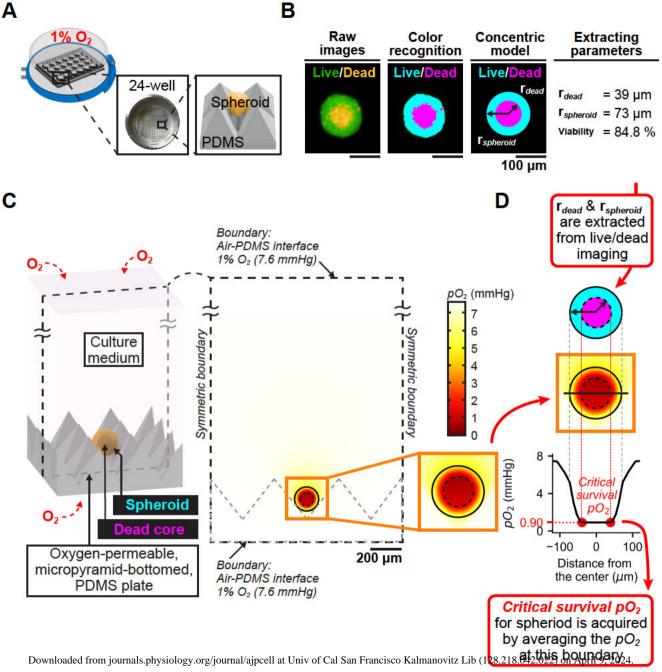
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- 570

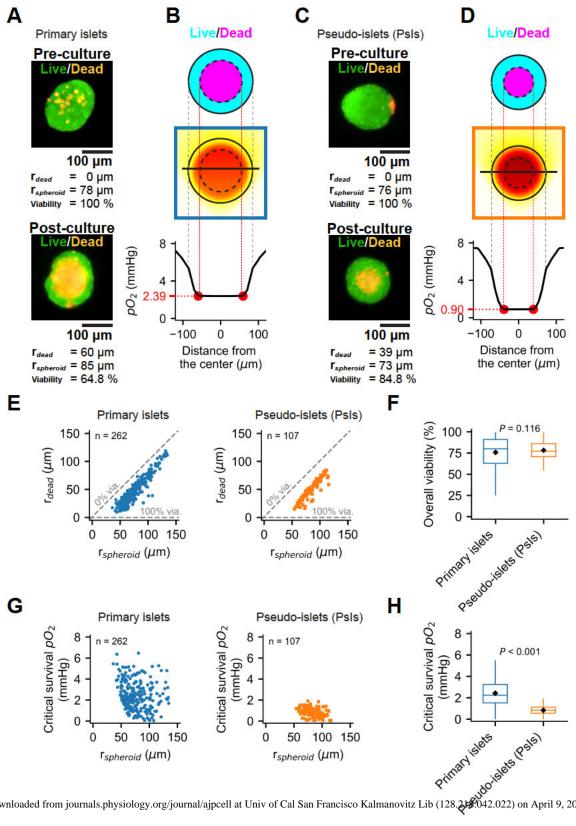
#### 571 FIGURE LEGENDS

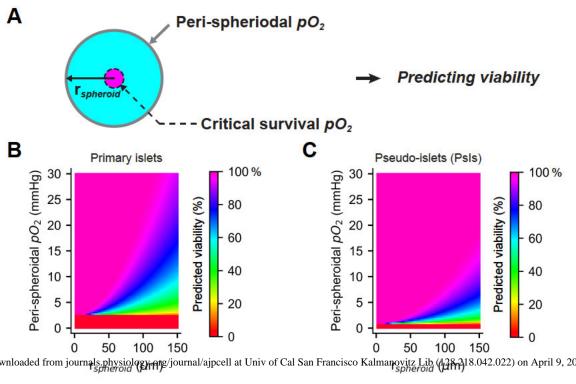
572 Figure 1. Workflow for determining the critical survival pO<sub>2</sub> in spheroids. A: Spheroids are cultured in a 573 controlled hypoxic environment with 1% oxygen (O2). The structure and material of the culture dish, 574 micropyramid shape and oxygen-permeable PDMS bottom plate, ensure individual islet separation and a 575 uniform oxygen environment for each islet cultured. B: An example of the parameter extraction process, 576 which includes post-culture live/dead staining and imaging, semi-automated software-based color 577 recognition of spheroids and dead cores, and conversion into a concentric geometry model to calculate 578 the spheroid radius (r<sub>spheroid</sub>) and dead core radius (r<sub>dead</sub>). Sky-blue and magenta areas indicate the viable 579 and dead cells, respectively. Scale bar: 100 µm. C: A steady-state oxygen diffusion and reaction model 580 for an individual spheroid requires parameters of the spheroid, the micropyramid-bottomed PDMS dish, 581 and the culture medium. Schemas of the three-dimensional geometry (left panel), and the cross-582 sectional pO<sub>2</sub> profile (right panel) are shown. Scale bar: 200  $\mu$ m. D: Integration of live/dead images (a 583 concentric geometry model, top panel) with oxygen simulations (middle panel) enables calculation of 584 the critical survival  $pO_2$ , defined as the  $pO_2$  at the live/dead boundary (bottom panel; a graph 585 demonstrating the  $pO_2$  in the mid-line cross-section of the spheroid). Simulation details and coefficients 586 can be found in Table 1.

587 Figure 2. The critical survival  $pO_2$  within spheroids. The approach was applied to two types of 588 pancreatic endocrine spheroids: primary pancreatic islets and pseudo-islets (PsIs) derived from the 589 insulin-secreting cell line. A: Representative live/dead images of primary islets. A primary islet in the pre-590 culture (top) and post-culture (bottom), with the extracted parameters demonstrated. Scale bar: 100  $\mu$ m. B: The calculation of the critical survival pO<sub>2</sub>, using live/dead images (concentric geometry model, 591 592 top panel), oxygen simulations (middle panel), and the  $pO_2$  calculation (bottom graph). The data was 593 retrieved from the specific spheroid shown in Fig. 2A (post-culture image). Sky-blue and magenta areas 594 indicate the viable and dead cells, respectively. C: Representative live/dead images of PsIs. A PsIs in the 595 pre-culture (top) and post-culture (bottom), with the extracted parameters demonstrated. Scale bar: 596 100  $\mu$ m. D: The calculation of the critical survival pO<sub>2</sub>, using live/dead images (concentric geometry 597 model, top panel), oxygen simulations (middle panel), and the  $pO_2$  calculation (bottom graph). The data 598 was retrieved from the specific spheroid shown in Fig. 2C (post-culture image). E: Scatter plots showing the correlation between r<sub>spheroid</sub> and r<sub>dead</sub> in primary islets (left panel, n = 262 spheroids) and in PsIs (right 599 600 panel, n = 107 spheroids). F: Analysis of the overall viability of spheroids. Box plots demonstrate the 601 interquartile range, median, and the data range. Black diamond plots indicate the average. P = 0.116(Welch's t test). G: Scatter plots of individual spheroids with the information of r<sub>spheroid</sub> and critical 602 603 survival  $pO_2$  in primary islets (left panel) and in PsIs (right panel). H: Analysis of the critical survival  $pO_2$  of 604 spheroids. Box plots demonstrate the interquartile range, median, and the data range. Black diamond 605 plots indicate the average. *P* < 0.001 (Welch's t test).

Figure 3. Prediction of the spheroid viability based on the critical survival  $pO_2$  values. *A*: A schema demonstrating the concept. By providing the three values, peri-spheroidal  $pO_2$ , radius of spheroid and critical survival  $pO_2$  defined, the viability of the spheroid can be estimated. Sky-blue and magenta areas indicate the viable and dead cells, respectively. *B*: The predicted viability of primary islets. *C*: The predicted viability of pseudo-islets (PsIs).





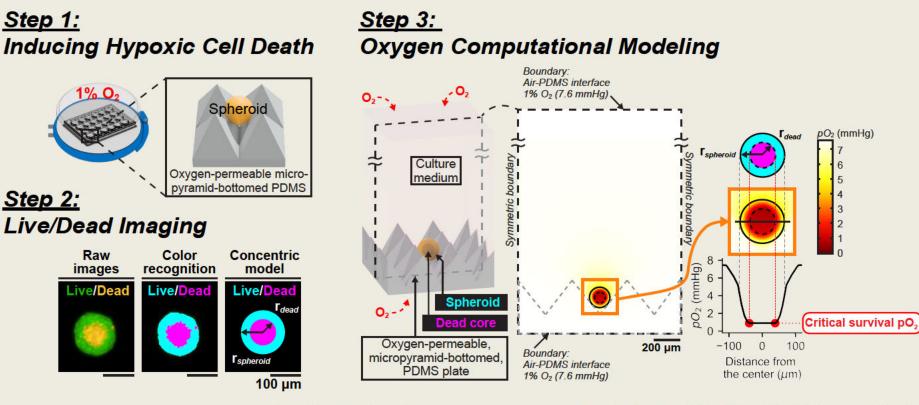


Materials	$\mathbf{P} (10^{-14} \text{ s} \cdot \text{mol} \cdot \text{kg}^{-1})$	<b>D</b> $(10^{-9} \text{ m}^2 \cdot \text{s}^{-1})$	$\mathbf{S} (10^{-5} \mathrm{s}^2 \cdot \mathrm{mol} \cdot \mathrm{kg}^{-1} \cdot \mathrm{m}^{-2})$	$\frac{OCR_{max}}{(mol\cdotm^{-3}\cdots^{-1})}$	K <sub>m</sub> (mol ⋅ m <sup>-3</sup> )	References
Primary Islets	0.99	1.3	0.76	0.0174*	0.001	(29, 54)
Pseudo-islets (PsIs)	0.99	1.3	0.76	0.0200*	0.001	(29, 54)
Culture Medium	3.05	2.8	1.09	-	-	(10, 55)
PDMS	1.04	7.9	13.2	-	-	(10, 56)

**Table 1.** Simulation coefficients of oxygen of primary islet, pseudo-islets (PsIs), culture medium, and PDMS.

**P**, Oxygen permeability; **D**, Oxygen diffusivity; **S**, Oxygen solubility coefficient; **OCR**<sub>max</sub>, Maximal oxygen consumption rate; **K**<sub>m</sub>, Michaelis oxygen constant; Permeability equals diffusivity times solubility (**P** = **D** × **S**). PDMS denotes polydimethylsiloxane. \*Measured in our current study. See also Supplemental Fig. S1.

# A Novel Approach to Determine Critical Survival pO<sub>2</sub> for Islet Spheroids



Critical survival pO<sub>2</sub>: Isolated primary pancreatic islets (2.43 ± 0.08 mmHg) Tumor-derived pseudo-islets (0.84 ± 0.04 mmHg)

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