

UC Office of the President

Recent Work

Title

Pod-mod vs. conventional e-cigarettes: nicotine chemistry, pH, and health effects.

Permalink

<https://escholarship.org/uc/item/2859z5v0>

Journal

Journal of applied physiology (Bethesda, Md. : 1985), 128(4)

ISSN

1522-1601

Authors

Shao, Xuesi M
Friedman, Theodore C

Publication Date

2020-04-01

Peer reviewed

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18

**Pod-Mod vs. Conventional E-cigarettes: Nicotine Chemistry, pH
and Health Effects**

Running head: JUUL vs. Conventional E-cigarettes: Health Effects

Xuesi M. Shao, M.D.^{1,2} and Theodore C. Friedman, M.D. PhD.^{1,2}

¹Department of Internal Medicine, Charles R. Drew University of Medicine and Science, Los Angeles, CA, USA; ²David Geffen School of Medicine at University of California, Los Angeles, Los Angeles, CA, USA;

Correspondence to: Xuesi M. Shao, MD. Department of Neurobiology, David Geffen School of Medicine at University of California at Los Angeles, Los Angeles, CA 90095, USA. Tel: 310-825-1586., Fax: 310-825-2224, E-mail: mshao@g.ucla.edu

19

20 **Pod-Mod vs. Conventional E-cigarettes: Nicotine Chemistry, pH and**
21 **Health Effects**

22 Xuesi M. Shao, M.D.^{1,2} and Theodore C. Friedman, M.D. PhD.^{1,2}

23

24 **Abstract**

25 The rapid increase in popularity of Pod-Mods such as JUUL e-cigarettes, in particular in youth, has
26 sparked many discussions on the possible harmful effects of JUUL. We spotlight key differences
27 between JUUL, which contains 5% nicotine in benzoic salt form, and a conventional e-cigarette, Blu,
28 which is claimed to contain 2.4% nicotine free base. We compared the measured pHs of JUUL and
29 Blu E-liquids with pH values calculated based on chemical principles. The concentrations of
30 protonated and unprotonated nicotine in these two kinds of e-cigarettes were also calculated.
31 Theoretically, there is a clear distinction between the pH effects of the direct contacts of e-cigarette
32 aerosol on the tissue in the inner surface of the respiratory tract and on other body systems via
33 circulation after absorption. The concentration of protonated nicotine (the ligand of nicotinic
34 acetylcholine receptors (nAChRs)) in JUUL (pH 6.0) is 6.9 times higher than Blu that, hypothetically,
35 excessively stimulates nAChRs that impact the epithelium inflammatory responses in the lungs and
36 contribute to onset, progression and proliferation of lung cancer. The concentration of unprotonated
37 nicotine that readily diffuses across membranes (high absorption rate) in Blu (pH 8.26) is 26 times
38 higher than that in JUUL. Based on pH and protonated vs. unprotonated nicotine considerations,
39 JUUL e-cigarettes potentially would lead to more detrimental effects on the lung, while conventional
40 E-cigarettes such as Blu would lead to more systemic effects, such as on cardiovascular and nervous
41 systems. Regulatory policies on the pH of E-liquid are implicated.

42 **Introduction**

43 A new type of electronic cigarettes, the “Pod Mod” e-cigarette, has raised public health concerns in
44 the press, the e-cigarette research community and among regulatory agencies. A recent US FDA
45 statement regarding safety issues of e-cigarette use, particularly in youth and young adults states
46 “we’re looking at the potential for direct effects of harm from e-cigarettes on the lungs as well as
47 other health factors that these products could negatively impact. In particular, we have concerns
48 about the direct effects of e-cigarettes on the airways. This includes the potential for the use of such
49 products to cause changes to airways that could be a precursor to cancer”(11). In addition, a series of
50 CDC and FDA announcements reported over 2,000 cases of respiratory illnesses associated with e-
51 cigarette/vaping product use(7). Patients develop shortness of breath, fatigue, chest pain, cough,
52 anorexia, nausea, diarrhea, and weight loss, with symptoms worsening over days or weeks with some
53 dying from this condition. More research is urgently needed to understand the causes and
54 pathophysiology of the respiratory toxicity.

55 Traditional e-cigarette products use E-liquid with free-base nicotine while JUUL and other pod-mods
56 use protonated nicotine formulations derived from the nicotine salts in loose-leaf tobacco. JUUL
57 contains 0.7 ml E-liquid per pod with concentration of 50 mg/ mL (5%) which is 2 to 10 times of
58 those found in most free-base nicotine e-cigarette products — equivalent to approximately 20
59 combustible cigarettes(2). Goniewicz et al.(10) confirmed the concentration of nicotine in a JUUL
60 pod to be 56.2 mg/mL. The JUUL website further states that the salt-based nicotine E-liquid formula
61 is intended to help satisfy smokers when transitioning from cigarettes. Here we focus on discussions
62 on the potential health effects of E-liquid pH, nicotine salt vs. free-base nicotine and protonated vs.
63 unprotonated nicotine as well as an important distinction of pH effects on the lungs and other organ
64 systems.

65

66 **pH, protonated vs. unprotonated nicotine in E-liquids**

67 Nicotine in aqueous solution can exist in three forms: diprotonated, monoprotonated, and
68 unprotonated. The diprotonated form is of low abundance and negligible importance in this context.
69 We consider only the monoprotonated and unprotonated nicotine in the following discussion.

70 According to the manufactures, JUUL E-liquid contains 5% nicotine (308 mM) as a salt of benzoic
71 acid. For comparison, a conventional tank e-cigarette (Blu e-cigarettes) contains 2.4% nicotine (148
72 mM). The logarithmic acid dissociation constant (pKa) of nicotine is 7.89 at 25°C(5), pKb = 14 - 7.89
73 = 6.11. The pH can be calculated(1) using:

74

$$75 \quad K_b = \frac{[\text{NicH}^+][\text{OH}^-]}{[\text{Nic}]} \quad (1)$$

76

77 Where Nic denotes unprotonated nicotine and NicH⁺ denotes protonated nicotine. We assume 148
78 mM of free-base nicotine is present in Blu E-liquid. We assume that equal molar concentrations (308
79 mM) of nicotine and benzoate are present in JUUL E-liquid. With the pKa of benzoic acid being 4.2,
80 the calculated pHs of Blu and JUUL are listed in Table 1.

81 We then measured the pH of commercial JUUL and Blu E-liquids (purchased from JUUL.com and
82 Blu.com; both classic tobacco flavor). E-liquid samples were diluted 1:1 with deionized H₂O and
83 measured with a well-calibrated pH meter (AB15, Accumet®). The samples were analyzed in
84 triplicate and the results are listed in Table 1.

85 The Blu E-liquid is basic and ~2 pH units lower than what was expected from the calculation
86 assuming 148 mM free-base nicotine is in the E-liquid. In contrast, JUUL is acidic, close to our
87 calculated value. Our measured pH of JUUL is consistent with that of Talih et al.(17) and pH of Blu
88 is consistent with Stepanov and Fujioka(15), although this is not a direct comparison, as the nicotine
89 concentration of the Blu E-liquid we used is higher (24 mg/mL). We suggest that the pH of
90 conventional e-cigarette such as Blu may have been buffered with acids and other acidic components
91 during the manufacturing process.

92 Based on Henderson-Hasselbalch equation, the ratio of the protonated vs. unprotonated nicotine is a
93 function of pH.

94

$$95 \quad \text{pH} = \text{pKa} + \log\left(\frac{[\text{Nic}]}{[\text{NicH}^+]}\right) \quad (2)$$

96

97 Therefore, in Blu E-liquid where the pH = 8.26 and initial [Nic] = 148 mM; the protonated and
98 unprotonated nicotine would be: $[\text{NicH}^+] = 44.3 \text{ mM}$, $[\text{Nic}] = 103.7 \text{ mM}$.

99 In JUUL E-liquid, where the pH = 6.0 and initial [Nic] = 308 mM;

100 $[\text{NicH}^+] = 304 \text{ mM}$, While $[\text{Nic}] = 4 \text{ mM}$ (Table 1 and Figure 1).

101 JUUL E-liquid has a protonated nicotine concentration that is 6.9 times higher than that in Blu. The
102 unprotonated nicotine concentration is 4% of that in Blu E-liquid. While in JUUL E-liquid, the
103 unprotonated nicotine is 1.3% of its protonated form.

104

105 **Biological consequences and clinical relevance of pH and salts in inhaled nicotine**

106 Nicotine aerosol with appropriate particle size distribution, such as tobacco smoke, e-cigarette
107 aerosol and aerosolized nicotine solution deposits in the alveolar regions of the lungs where it is
108 quickly absorbed. In the 1990s-2000s, there were many discussions on whether the tobacco industry
109 manipulated the pH of tobacco cigarettes to increase the addiction potential(19). Now, JUUL is using
110 low pH salt E-liquid to produce a “smoother taste” such that users can take higher dose of nicotine.
111 The article in the Los Angeles Times uncovered that JUUL took the idea of adding acid to nicotine to
112 develop nicotine salt liquid to make the product more palpable and appealing to youths(3). Those
113 discussions on tobacco cigarettes have been controversial since it is hard to define pH in tobacco
114 smoke which fails to match the conventional definition of pH. Since we can define pH in nicotine
115 aerosol generated from nicotine solution(13) or E-liquids, and the ratio of protonated vs unprotonated
116 nicotine is a function of pH (Fig. 1), we have a methodological framework for further studies to
117 understand how pH and the protonated vs. unprotonated nicotine contribute to nicotine pulmonary
118 toxicity, absorption/rate of transfer in the lungs and the bioavailability. The biological consequences
119 of the differences in pHs and concentrations of protonated vs. unprotonated nicotine between JUUL
120 and Blu are as follows:

121 1) Nicotine binds to nicotinic acetylcholine receptors (nAChRs) that mediate its actions. It has been
122 identified that it is the protonated, not unprotonated, nicotine that is the ligand of nAChRs(20).
123 Bronchial epithelial cells in the lungs express functional nAChRs(12). Nicotine modulates multiple
124 inflammatory responses in the lung through the nAChR subtype $\alpha 7$ (9). nAChRs are also expressed on

125 lung cancer cells(14). These nAChRs readily interact with inhaled nicotine aerosol. With JUUL, the
126 concentrations of ligand (protonated nicotine) binding to nAChRs are 6.9 times higher than Blu; we
127 propose that high concentrations of protonated nicotine excessively stimulate nAChRs that impact the
128 epithelium responses in the lungs to the bacterial inflammogen(9) as well as contribute to onset,
129 progression and proliferation of lung cancer(8, 16). Thus, JUUL e-cigarettes could potentially
130 produce more pronounced toxic effects in the lungs, including lung cancer promotion, than
131 conventional e-cigarettes such as Blu.

132 2) The unprotonated free-base form of nicotine is lipophilic and thus readily diffuses across
133 membranes(18) of the respiratory tract into the blood, while the protonated form of nicotine is
134 hydrophilic and does not as readily diffuse across the membranes. Higher pH (increasing the ratio of
135 unprotonated nicotine) in aerosolized nicotine produces a higher peak plasma nicotine concentration
136 in humans(4). As drug delivery rate contributes to addiction potential, increased nicotine free-base
137 levels leads to an increase in the delivery rate, enhancing the addiction potential. In contrast, the
138 lower pH in JUUL E-liquid and aerosol decreases the concentrations of unprotonated nicotine (4 mM
139 in JUUL vs. 103.7 mM in Blu-cig calculated above) that reduces the amount absorbed in the lungs, as
140 a consequence, reducing bioavailability of nicotine and potentially reducing its systemic detrimental
141 effects(13) in organ systems including its addiction potential.

142 3) Human blood is a huge buffering system so that after absorption into the blood, the pH of the
143 inhaled nicotine aerosol would not affect the pH of the arterial blood. The concentration of nicotine in
144 the blood depends on the absorption in the lungs while the pH is constant in the blood. Therefore, the
145 ratio of protonated vs. unprotonated nicotine would be constant and not be a factor in the binding of
146 nAChRs in organ systems such as the central nervous system (CNS), cardiovascular system and fetal
147 development in pregnancy.

148 4) There have been reports that nicotine salts in pod-mods such as JUUL reduces harshness and
149 results in a satisfying experience even at high nicotine concentrations(2). Slightly acidic JUUL may
150 be less likely to have the harsh taste. High (basic) pH in Blu may make nicotine appear harsh and the
151 pHs of some other brands of e-cigarettes are even higher(6, 15). A satisfying experience as promoted
152 on the JUUL website, is a complex phenomenon where pH, the rate of nicotine absorption,
153 pharmacokinetics, flavor and the conjugated base of the relevant acid e.g., benzoic acid in JUUL,

154 may play a role. How lower pH and less unprotonated nicotine contribute to satisfying experience
155 needs more research.

156

157 **Conclusion**

158 Theoretically there is a clear distinction between the pH effects of the direct contacts of e-cigarette
159 aerosol on the inner surface of the respiratory tract and those on other body systems via circulation.
160 The effects of pH of inhaled e-cigarette aerosol, which determines the ratio of protonated vs.
161 unprotonated nicotine, are 2-fold. (i) Lower pH in JUUL e-cigarettes increases the concentrations of
162 the protonated nicotine activating nAChRs on the epithelial and lung cancer cells in the inner surface
163 of the respiratory tract prior to entering the circulation. These high concentrations of nicotine
164 potentially have a substantial impact on the immune responses and on lung cancers. (ii) The higher
165 acidity of JUUL reduces the concentrations of unprotonated nicotine that reduce the bioavailability
166 and toxicity to all body systems including the CNS (and addiction potential) to which nicotine
167 distributes via circulation after absorption in the lungs. More investigation on nicotine
168 pharmacokinetics and inhalation toxicity on the lungs of vapers or animal models are necessary for
169 public health and for regulatory policies on the pH of E-liquids.

170

171 **Acknowledgments**

172 This study was supported by California Tobacco-Related Disease Research Program (TRDRP) Grant
173 251P003 (to T.C.F.); National Heart, Lung and Blood Institute/NIH grant 1R01HL135623-01 and
174 National Institute on Drug Abuse/NIH grant 2R42DA044788-02 (to XMS).

175

176 **References**

- 177 1. **Atkins P, Jones L, Laverman L.** The pH of Aqueous Solutions. In: *Chemical Principles: The*
178 *Quest for Insight*. New York: W. H. Freeman, 2016, p. 472-482.
- 179 2. **Barrington-Trimis JL, Leventhal AM.** Adolescents' Use of "Pod Mod" E-Cigarettes - Urgent
180 Concerns. *N Engl J Med* 379: 1099-1102, 2018.
- 181 3. **Baumgaertner E.** Juul wanted to revolutionize vaping. It took a page from Big Tobacco's
182 chemical formulas. In: *Los Angeles Times*. Los Angeles: Nov. 19, 2019.
- 183 4. **Burch SG, Gann LP, Olsen KM, Anderson PJ, Hiller FC, Erbland ML.** Effect of Ph on
184 Nicotine Absorption and Side-Effects Produced by Aerosolized Nicotine. *J Aerosol Med* 6: 45-52,
185 1993.
- 186 5. **Clayton PM, Vas CA, Bui TT, Drake AF, McAdam K.** Spectroscopic investigations into the
187 acid–base properties of nicotine at different temperatures. *Analytical Methods* 5: 81-88, 2013.
- 188 6. **Etter JF, Bugey A.** E-cigarette liquids: Constancy of content across batches and accuracy of
189 labeling. *Addict Behav* 73: 137-143, 2017.
- 190 7. **FDA.** FDA Webpage on Respiratory Illnesses Associated with Use of Vaping Products.
191 [http://s2027422842.t.en25.com/e/es?s=2027422842&e=252795&elqTrackId=376c7bc788024cd5](http://s2027422842.t.en25.com/e/es?s=2027422842&e=252795&elqTrackId=376c7bc788024cd5a73d955f2e3dcbdc&elq=a91e7e9c90984a93876d1169bfbbbf8f&elqaid=9445&elqat=1)
192 [a73d955f2e3dcbdc&elq=a91e7e9c90984a93876d1169bfbbbf8f&elqaid=9445&elqat=1](http://s2027422842.t.en25.com/e/es?s=2027422842&e=252795&elqTrackId=376c7bc788024cd5a73d955f2e3dcbdc&elq=a91e7e9c90984a93876d1169bfbbbf8f&elqaid=9445&elqat=1).
- 193 8. **Friedman JR, Richbart SD, Merritt JC, Brown KC, Nolan NA, Akers AT, Lau JK,**
194 **Robateau ZR, Miles SL, Dasgupta P.** Acetylcholine signaling system in progression of lung
195 cancers. *Pharmacol Ther* 194: 222-254, 2019.
- 196 9. **Gahring LC, Myers EJ, Dunn DM, Weiss RB, Rogers SW.** Nicotinic alpha 7 receptor
197 expression and modulation of the lung epithelial response to lipopolysaccharide. *PLoS One* 12:
198 e0175367, 2017.
- 199 10. **Goniewicz ML, Boykan R, Messina CR, Eliscu A, Tolentino J.** High exposure to nicotine
200 among adolescents who use Juul and other vape pod systems ('pods'). *Tob Control* 28: 676-677,
201 2019.

- 202 11. **Gottlieb S, Abernethy A.** Statement from FDA Commissioner Scott Gottlieb, M.D., and
203 Principal Deputy Commissioner Amy Abernethy, M.D., Ph.D., on FDA’s ongoing scientific
204 investigation of potential safety issue related to seizures reported following e-cigarette use,
205 particularly in youth and young adults.
206 <https://www.fda.gov/NewsEvents/Newsroom/PressAnnouncements/ucm635157.htm>. [16 June,
207 2019].
- 208 12. **Maus AD, Pereira EF, Karachunski PI, Horton RM, Navaneetham D, Macklin K, Cortes**
209 **WS, Albuquerque EX, Conti-Fine BM.** Human and rodent bronchial epithelial cells express
210 functional nicotinic acetylcholine receptors. *Mol Pharmacol* 54: 779-788, 1998.
- 211 13. **Shao XM, Xu B, Liang J, Xie XS, Zhu Y, Feldman JL.** Nicotine delivery to rats via lung
212 alveolar region-targeted aerosol technology produces blood pharmacokinetics resembling human
213 smoking. *Nicotine Tob Res* 15: 1248-1258, 2013.
- 214 14. **Song P, Spindel ER.** Basic and clinical aspects of non-neuronal acetylcholine: expression of non-
215 neuronal acetylcholine in lung cancer provides a new target for cancer therapy. *J Pharmacol Sci*
216 106: 180-185, 2008.
- 217 15. **Stepanov I, Fujioka N.** Bringing attention to e-cigarette pH as an important element for research
218 and regulation. *Tob Control* 24: 413-414, 2015.
- 219 16. **Sun HJ, Jia YF, Ma XL.** Alpha5 Nicotinic Acetylcholine Receptor Contributes to Nicotine-
220 Induced Lung Cancer Development and Progression. *Front Pharmacol* 8: 573, 2017.
- 221 17. **Talih S, Salman R, El-Hage R, Karam E, Karaoghlanian N, El-Hellani A, Saliba N,**
222 **Shihadeh A.** Characteristics and toxicant emissions of JUUL electronic cigarettes. *Tob Control*
223 28: 678-680, 2019.
- 224 18. **The Surgeon General.** Chapter 3 Chemistry and Toxicology of Cigarette Smoke and Biomarkers
225 of Exposure and Harm. In: *How Tobacco Smoke Causes Disease: The Biology and Behavioral*
226 *Basis for Smoking-Attributable Disease A Report of the Surgeon General.* Rockville, MD: U.S.
227 Department of Health and Human Services, Public Health Service, Office of the Surgeon General,
228 2010, p. 27-102.

- 229 19. **Wayne GF, Connolly GN, Henningfield JE.** Brand differences of free-base nicotine delivery in
230 cigarette smoke: the view of the tobacco industry documents. *Tob Control* 15: 189-198, 2006.
- 231 20. **Xiu X, Puskar NL, Shanata JA, Lester HA, Dougherty DA.** Nicotine binding to brain
232 receptors requires a strong cation-pi interaction. *Nature* 458: 534-537, 2009.
- 233

234

235 **Figure legends**

236 Figure 1. Protonated nicotine (NicH^+) concentration as a function of pH in E-liquids of Blu and
237 JUUL based on Henderson-Hasselbalch equation. Unprotonated nicotine (Nic) concentration is the
238 difference between total nicotine and NicH^+ concentrations at each pH. Note that at pH = pKa of
239 nicotine = 7.89, $[\text{NicH}^+] = [\text{Nic}]$.

240

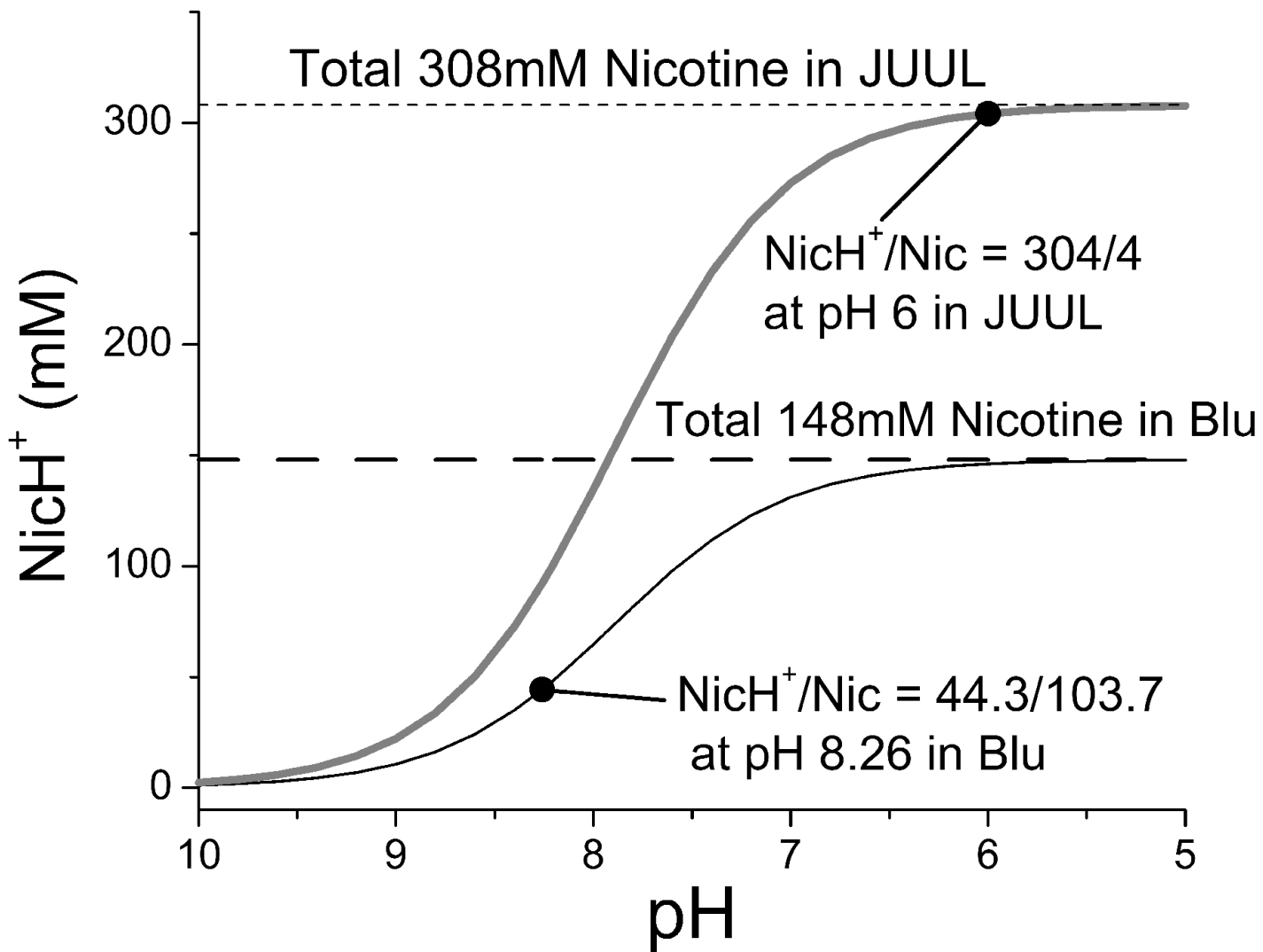


Table 1. pH, protonated and unprotonated nicotine in Blu and JUUL e-cigarettes

	Nicotine concentrations (mM)	Calculated pH	Measured pH	Protonated nicotine (mM)	Unprotonated nicotine (mM)
Blu	148 (free base)	10.53	8.26 (SD 0.01)	44.3	103.7
JUUL	308 (benzoic salt)	6.05	6.0 (SD 0.03)	304	4