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## REVIEW

# COVID-19: a pandemic converged with global tobacco epidemic and widespread vaping—state of the evidence

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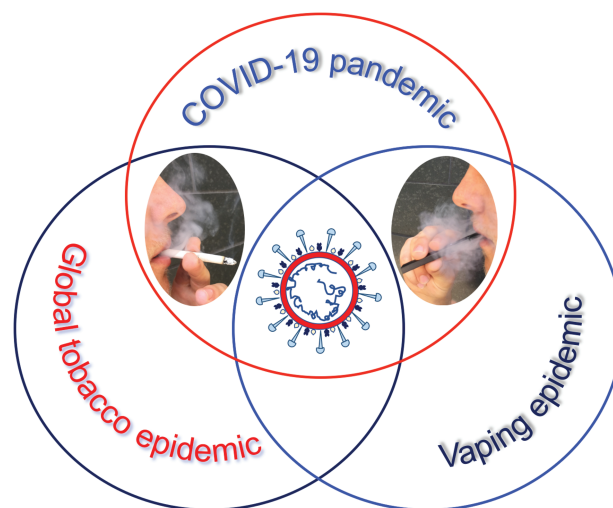
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## Abstract

This review highlights the convergence of three global health challenges at a crossroad where the pandemic of coronavirus disease 2019 (COVID-19) meets the tobacco epidemic and vaping. It begins with an overview of the current knowledge on the biology, pathophysiology and epidemiology of COVID-19. It then presents the state of smoking and vaping during the pandemic by summarizing the published data on prevalence, use patterns, product availability/accessibility, sales records and motivation to quit before and after the start of the pandemic. It highlights the state of evidence on the association of tobacco product use with COVID-19 infection and transmission rates, symptom severity and clinical outcomes. Also discussed are proposed biological mechanisms and behavioral factors that may modulate COVID-19 risk in tobacco product users. Furthermore, competing hypotheses on the protective effect of nicotine against COVID-19 as well as the claimed ‘smokers’ paradox’ are discussed. Considerations and challenges of COVID-19 vaccination in tobacco product users are underscored. Collectively, the present data show an ‘incomplete’ but rapidly shaping picture on the association of tobacco product use and COVID-19 infection, disease course and clinical outcomes. Evidence is also growing on the mechanisms by which tobacco product use may contribute to COVID-19 pathophysiology. Although we await definitive conclusions on the relative risk of COVID-19 infection in tobacco product users, compelling data confirm that many comorbidities associated with/caused by smoking predispose to COVID-19 infection, severe disease and poor prognosis. Additionally, it is becoming increasingly clear that should smokers get the disease, they are more likely to have serious health consequences.

## Graphical Abstract



## Abbreviations

ACE2	angiotensin-converting enzyme 2
ARDS	acute respiratory distress syndrome
AT II	alveolar epithelial type II
CDC	Centers for Disease Control and Prevention
COPD	chronic obstructive pulmonary disease
COVID-19	coronavirus disease 2019
CVD	cardiovascular disease
e-cig	electronic cigarette
EMT	epithelial to mesenchymal transition
EndMT	endothelial to mesenchymal transition
EVALI	e-cig, or vaping, product use-associated lung injury
FDA	Food and Drug Administration
nAChR	nicotinic acetylcholine receptor
NRT	nicotine replacement therapy
SARS-CoV-2	severe acute respiratory syndrome coronavirus 2
THC	tetrahydrocannabinol
VEA	vitamin E acetate
WHO	World Health Organization

## Introduction

With an unabating global tobacco epidemic, killing nearly 8 million people annually (1), the US Surgeon General declared, in December 2018, youth vaping an epidemic in the USA (2). Earlier in September 2018, the US Food and Drug Administration (FDA) had called JUUL, the preeminent electronic cigarette (e-cig) on the market (3), a particular cause for concern (4). On 11 March 2020, the World Health Organization (WHO) declared coronavirus disease 2019 (COVID-19), caused by severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), a global pandemic (5). Midway through the year 2021, the devastating global pandemic of COVID-19 continues to rage on (6). Since the outbreak of the disease in Wuhan, China, in December 2019, COVID-19 has spread to 192 countries and ravaged nations, causing infections and deaths at an unprecedented pace (6). As of 18 June 2021, COVID-19 has infected over 177.7 million and killed more than

3.8 million people, worldwide (6). The USA holds the unenviable record of having roughly one-fifth of the global cases and one-sixth of all deaths related to this disease (6). The pandemic has wreaked havoc on almost all aspects of daily life, including lifestyle habits, such as substance use, e.g. smoking and vaping.

This review highlights the convergence of three global health challenges at a crossroad where the pandemic of COVID-19 meets the global tobacco epidemic and vaping. First, it provides an overview of the current knowledge on the biology, pathophysiology and epidemiology of COVID-19. It then summarizes the state of smoking and vaping during the pandemic by featuring the published data on prevalence, use patterns, product availability and accessibility, sales records and motivation to quit before and after the start of the pandemic. Moreover, it discusses the existing evidence on the association of tobacco product use with COVID-19 infection and transmission rates, symptom severity and clinical outcomes. The unique and overlapping clinical, laboratory and radiologic features of COVID-19 and 'e-cig, or vaping, product use-associated lung injury' (EVALI) (7) are also described. Furthermore, competing hypotheses on the potential utility of nicotine for prevention and treatment of COVID-19 as well as the claimed 'smokers' paradox' are described. Also highlighted are considerations and challenges of COVID-19 vaccination in tobacco product users. As the global pandemic of COVID-19 continues to evolve, unparalleled public health challenges and unique research opportunities have emerged that will be discussed in detail.

## Search strategy and selection criteria

PubMed search was conducted to identify references using the following terms: 'COVID-19', 'SARS-CoV-2', 'coronavirus', 'smoking', 'vaping', 'tobacco', 'cigarette', 'electronic cigarette' and 'e-cigs'. The search terms were used both individually and in combination with each other. All English-written references, published on or before 18 June 2021, were considered. Where appropriate, publicly available databases and scientific reports from regulatory agencies and/or academia as well as news publications were considered; in all cases, cited sources were identified with a link to the published materials. To limit the number of citations, updated reviews were used rather than individual research articles, unless otherwise indicated.

## COVID-19: disease course and outcomes

Symptoms of COVID-19 are variable but often include fever or chills, cough, shortness of breath or difficulty breathing, fatigue, muscle or body aches, headache, loss of taste or smell, sore throat, congestion or runny nose, nausea or vomiting and diarrhea (8). COVID-19 most commonly spreads through close contact, from person to person, particularly when people are physically near each other in enclosed spaces (9). According to the Centers for Disease Control and Prevention (CDC) guidelines, the principal mode by which COVID-19 infection occurs is through exposure to respiratory fluids, containing infectious virus (10). There are three primary ways of exposure, including (i) 'Inhalation' of air carrying very small fine droplets and aerosol particles that contain infectious virus. Risk of transmission is greatest within 3–6 ft of an infectious source, where the concentration of these very fine droplets and particles is highest; (ii) 'Deposition' of virus carried in exhaled droplets and particles onto exposed mucous membranes, such as the mouth, nose or eye (e.g. being coughed on by 'splashes and sprays'). Risk of transmission is likewise greatest close to an infectious source, where the concentration of these exhaled droplets and particles is highest; and (iii) 'Touching' mucous membranes with hands soiled by exhaled respiratory fluids containing virus or from touching inanimate surfaces contaminated with virus (10). Re-infection with COVID-19 has been reported but is relatively rare (10).

Symptoms of COVID-19 infection may appear a few to several days after exposure to the virus (i.e. incubation period) (8). The median incubation period for COVID-19 is 4–5 days (8). Most symptomatic people experience symptoms within 2–7 days after exposure, and almost all symptomatic people will develop one or more symptoms before day 12 (8). Although most infected people have mild symptoms, some develop acute respiratory distress syndrome (ARDS) (11,12). COVID-19 can spread as early as 2 days before infected persons show symptoms, as well as from individuals who never experience any noticeable symptoms (i.e. asymptomatic carriers) (8). The asymptomatic carriers tend not to get tested, and thus more likely to spread the disease (8). Infected individuals remain contagious for up to 10 days in moderate cases and 2 weeks in severe cases (8).

As COVID-19 progresses in its course, complications may follow and death may occur (13). Common complications of the disease include respiratory complications, such as pneumonia and ARDS, cardiovascular complications, such as heart failure, arrhythmia, heart inflammation and blood clots, multi-organ failure, kidney or liver injury and septic shock (12,13). Children infected with COVID-19 may develop pediatric multisystem inflammatory syndrome, which has symptoms similar to Kawasaki disease, that can be fatal (14). Treatment options for COVID-19 have been summarized in elegant articles, including references (15,16).

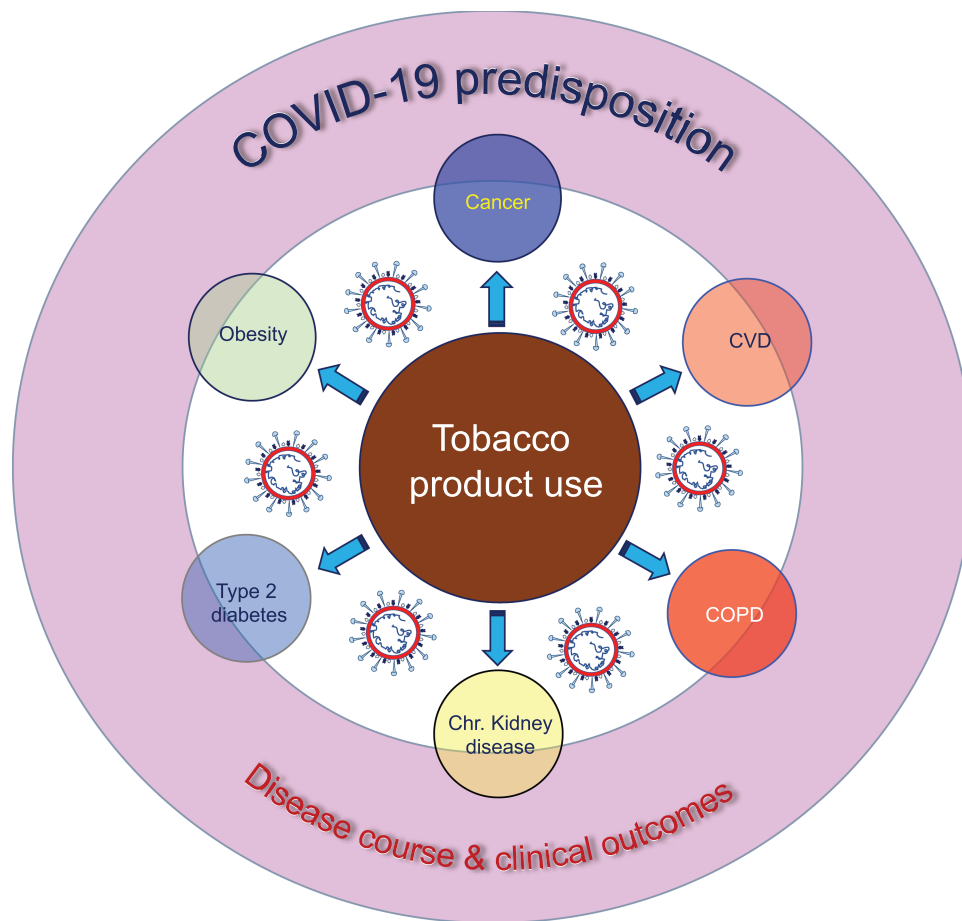
## COVID-19 and tobacco product use: a protective role for smoking/vaping?

Some reports during the early stages of the pandemic have suggested that current smokers are less likely than never smokers to become infected with COVID-19 (17,18). There have also been claims, mostly circulating on social media, that vaping can protect against COVID-19 (19). Of relevance, promotion of e-cig products through social media and advocacy for vaping in virtual communities have greatly contributed to the popularity of e-cigs and the ongoing epidemic of youth vaping (20). The claimed protective or therapeutic effects of smoking/vaping in relation to COVID-19 have drawn media coverage; several mainstream

media outlets and social media platforms have reported on those claims (19). In response, on 4 May 2020, the WHO released a warning on tobacco use during the pandemic (21). One week later, WHO issued a statement, which read, in part: 'WHO urges researchers, scientists and the media to be cautious about amplifying unproven claims that tobacco or nicotine could reduce the risk of COVID-19. There is currently insufficient information to confirm any link between tobacco or nicotine in the prevention or treatment of COVID-19' (22). Regardless of whether or not smokers are at higher risk of COVID-19, it is irrefutable that smoking is a primary cause or a major risk factor for many comorbidities that make people susceptible to COVID-19 infection, severe clinical outcomes and death (10). Therefore, more than 1 year after its release, the recommendation of WHO still remains valid.

Some have hypothesized that nicotine use is protective against COVID-19 infection (23,24), possibly explaining the lower percentage of smokers diagnosed with this disease, as reported in some studies (25,26). The adverse health consequences of smoking, however, are likely to exacerbate the course of COVID-19, once the infection has occurred (10). The above may explain, in part, why former smokers appear to be worse off than current smokers when infected with SARS-CoV-2, as reported in some studies (27). A hypothesis has been put forward stating that former smokers, who have incurred irreversible health damages due to prior smoking, lack the protective effect of nicotine as they no longer smoke (23). Detailed discussions on the claimed protective effect of nicotine against COVID-19 and the hypothesized 'smokers' paradox in COVID-19' are provided in Association of COVID-19 infection, disease course and outcomes with tobacco product use and COVID-19 pathogenesis and transmission: importance of the biological and behavioral aspects of tobacco product use, respectively.

In a 'Feature' article, Horel et al. (28) have drawn attention to the issue of conflict of interest impacting the published research on COVID-19 and tobacco products use. The authors have argued that the tobacco/vaping industry, its affiliated researchers and harm reduction advocates have capitalized on the pandemic to promote the use of nicotine and alternative tobacco products. By way of example, the authors have highlighted the financial links between tobacco/vaping industry and the contributing authors of a provocative pre-print (17) and an 'Editorial' published in a scientific journal (29); these publications together with a related pre-print (18) have given rise to various claims on the protective effect of nicotine against COVID-19 and the low prevalence of smokers among people diagnosed with this disease, reported in some studies (see Association of COVID-19 infection, disease course and outcomes with tobacco product use and COVID-19 pathogenesis and transmission: importance of the biological and behavioral aspects of tobacco product use). Of significance is a high-profile paper, which reported no association of current smoking with adverse outcomes in patients admitted to hospital with COVID-19 and a significantly lower risk of smokers to contract the disease (30). In March 2021, this paper was retracted due to the undisclosed conflicts of interest of two of its contributing authors, with financial links to the tobacco industry (30). As we continue to investigate the relationship between smoking/vaping and COVID-19, it is incumbent upon the publishing community to require full disclosure of competing interests (financial or otherwise) from all authors before publishing their research and disseminating their results. The same requirement 'must' apply to all individuals involved in the peer review of research materials, specifically manuscripts submitted to scientific journals.



**Figure 1.** The interplay of tobacco product use and COVID-19. Arrows indicate that comorbidities shown within circles are associated with tobacco product use (smoking). These comorbidities include COPD, cancer, CVD, chronic kidney disease, type 2 diabetes mellitus and obesity. The overlapping of ‘comorbidities’ with ‘COVID-19 risk and disease course and clinical outcomes’ represents ‘association’, not ‘causality’.

### Association of COVID-19 infection, disease course and outcomes with tobacco product use

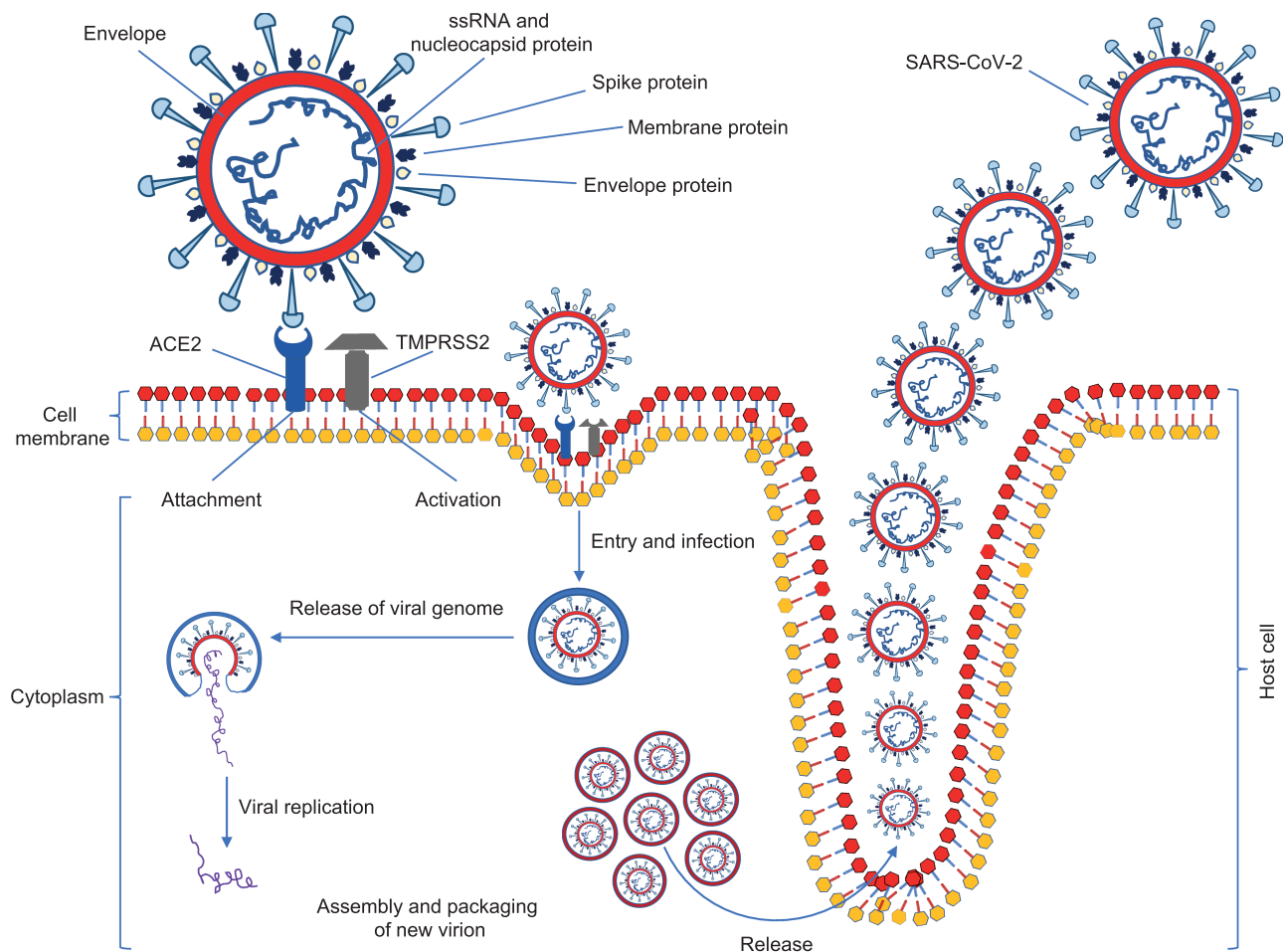
Initial studies investigating the associations between smoking and COVID-19 outcomes have yielded contradictory results, often due to various limitations that precluded firm conclusions (31,32). A systematic review, based on literature search on 17 March 2020, concluded that cigarette smoking is most likely associated with progression and adverse outcomes of COVID-19, while acknowledging the limited data available and not accounting for potential confounding factors (31). In contrast, preliminary results from a meta-analysis of data from Chinese patients, based on five studies published until 9 March 2020, suggested that active smoking is not a significant predictor of COVID-19 severity (32).

A French study proposed that nicotine may protect against COVID-19 infection (33), raising competing hypotheses that (i) nicotine exerts anti-inflammatory effects against COVID-19 by activating the nicotinic acetylcholine receptor (nAChR) and (ii) nicotine downregulates angiotensin-converting enzyme 2 (ACE2) expression through modulation of the renin-angiotensin-aldosterone system (see COVID-19 pathogenesis and transmission: importance of the biological and behavioral aspects of tobacco product use) (23,34). The authors proposed examination of the potential utility of nicotine for prevention and control

of COVID-19 (33). The report garnered interest from the French Health Minister, prompting investigations into the utility of nicotine patches for COVID-19 prevention and/or treatment; clinical trials and observational studies in health care workers and patients are still underway (33). It is important to note that there is a clear distinction between ‘potential’ therapeutic or preventive utility of nicotine, when administered transdermally through patches [i.e. nicotine replacement therapy (NRT)], as opposed to the known dangers of nicotine, when inhaled via smoking or vaping (35,36). It should be emphasized that smoking is a primary cause/risk factor for many comorbidities that make people susceptible to COVID-19 infection and poor outcomes (10). These comorbidities include chronic obstructive pulmonary disease (COPD), cancer, cardiovascular disease (CVD) (e.g. cardiomyopathy, coronary artery disease and heart failure), chronic kidney disease, type 2 diabetes mellitus and obesity (see Figure 1) (10).

Subsequent systematic reviews and meta-analyses of data from considerably large populations have shown varying associations between smoking status and hospitalization rates, severity of symptoms and mortality from COVID-19 (37,38). The strength of associations has varied in different studies, dependent on the characteristics of the study population (e.g. age, gender, race and socioeconomic status) and their underlying health conditions and comorbidities (37,38). For example, existing comorbidities (as specified above), advanced age, male gender, being of minority races/ethnicities, working in service





**Figure 2.** Schematic diagram of SARS-CoV-2 lifecycle and host cell infection. The spike protein of SARS-CoV-2 binds to ACE2 receptors in host cells (i.e. attachment). The proteolytic function of transmembrane protease, serine 2 (TMPRSS2) cleaves the spike protein (i.e. activation), thus allowing entry and viral replication in the host cell, and subsequent release of the infectious virus into the intercellular milieu. This is a simplified diagram of the highly complex life cycle of SARS-CoV-2, which causes COVID-19; interested readers are referred to elegant references (12,40) for detailed information.

jobs and having low income are shown to disproportionately affect the severity of illness, hospitalization and death resulting from COVID-19 (37,39).

A living rapid review of observational and experimental studies from around the world has been created to estimate the association of smoking status with rates of infection, hospitalization, disease severity and mortality from COVID-19 (27). The living review is updated regularly as new data from published studies become available. Version 7 of this review, based on search of MEDLINE and medRxiv for published articles and pre-prints up to 25 August 2020, identified a total of 347 new records, with 233 studies included in a narrative synthesis and 32 studies included in meta-analyses. The review found uncertainties in the majority of 233 studies, arisen from the recording of smoking status in the study subjects. More specifically, the vast majority of the analyzed studies either under-reported or did not report smoking status of their study population. As a result, recorded current smoking rates in most published studies from different countries were 'lower' than the corresponding national adults smoking prevalence estimates. So, under-reporting or not reporting smoking status proved to be a common problem in the overwhelming majority of the analyzed studies. In a subset of better-quality studies ( $n = 17$ ), current smokers had a slightly reduced risk of testing positive for SARS-CoV-2 but appeared more likely to present for testing and/or receive a test as compared with never smokers. Data for current smokers on the risk

of hospitalization, disease severity and mortality were inconclusive but favored a small but important increase in risk for severe disease. The data in current smokers, however, showed no trend of association between smoking status and hospitalization or mortality from the disease. Importantly, former smokers showed increased risk of hospitalization, disease severity and mortality from COVID-19 as compared with never smokers (27).

The findings of this living review underscore the need for better-designed studies with rigorous methodologies and adequate statistical power, which can produce reliable data and ensure reproducible results. Of high priority is collection of validated data on tobacco product use and frequency, e.g. by biochemical assays rather than self-reporting only. Equally important is the use of diagnostic tests with high sensitivity and specificity for COVID-19 diagnosis. As research groups around the world learn to navigate work in the midst of a global pandemic, we should aim to objectively investigate the relationship between tobacco product use and COVID-19 infection, transmission and clinical outcomes.

### COVID-19 pathogenesis and transmission: importance of the biological and behavioral aspects of tobacco product use

SARS-CoV-2 can target both the upper respiratory tract [nose, nasal passages, pharynx and larynx (throat)] and the lower respiratory tract [trachea and bronchi, bronchioles and alveoli

(making up the lungs) (12). The lungs are the most affected target organ for SARS-CoV-2 because the virus accesses host cells via ACE2, which is most abundant in type II alveolar cells of the lungs (40). The virus uses a distinct surface glycoprotein, named 'spike' protein (peplomer), to attach to ACE2 and enter the host cell; the ACE2 is interchangeably called 'ACE2 receptor' (Figure 2) (40). Using the spike protein on its surface, SARS-CoV-2 binds to ACE2 receptors—like a key being inserted into a lock—prior to entry and infection of the host cells (40). In addition to targeting the respiratory tract, SARS-CoV-2 can also affect gastrointestinal tract as ACE2 is abundantly present in the glandular cells of gastric, duodenal and rectal epithelium as well as endothelial cells and enterocytes of the small intestine (40). ACE2 is also highly expressed in the heart and involved in heart function (40).

It is biologically plausible that smokers and vapers may be at heightened risk of COVID-19 because chronic use of tobacco cigarettes and e-cigs can weaken respiratory system and compromise immune response (35,36). Inhalation of the toxicants and carcinogens present in both cigarette smoke and e-cig vapor (36) elicits inflammatory response *in vivo* (41) that may exacerbate the inflammation caused by SARS-CoV-2 infection. This may, in turn, trigger/promote 'cytokine storms' and hyperinflammatory immune response that are hallmarks of severe cases of COVID-19 (11). Also, as mentioned above, the spike protein of SARS-CoV-2 binds specifically to ACE2 receptors in type II alveolar cells in the respiratory tract (40). Of significance, ACE2 is over-expressed in smokers as compared with nonsmokers (42,43). Furthermore, chronic smoking is known to compromise mechanical barriers and other defense mechanisms in the respiratory system; these include filtration in the upper airway, mucociliary clearance of inhaled pathogens (e.g. disease-causing bacteria and viruses, such as SARS-CoV-2) and phagocytosis by macrophages (35). In addition, behaviors, such as sharing e-cig devices, which is common among vapers (20) (although likely reduced due to 'stay-at-home' mandates and restrictions on social gatherings), may help spread the disease, especially by facilitating transmission from asymptomatic cases.

Based on the proposed biological mechanisms underlying the claimed protective effect of nicotine against COVID (24,33,34), some have put forward the hypothesis of 'smoker's paradox in COVID-19' (44–46). This hypothesis refers to a paradox, in which smokers are protected from infection and severe complications of COVID-19 (33,44–46). However, others (27,47,48) have argued that several plausible explanations and possible biases may be responsible for the under-representation of smokers among patients with COVID-19, and the lower severity of the disease among smokers, as reported in some studies (25,26). The reported low prevalence of active smokers among patients with COVID-19, often significantly lower than the prevalence of current smokers in the general population, can be ascribed to (i) inadequate collection of smoking history data from patients who were either intubated or in respiratory failure; (ii) coalescing former smokers and never smokers into 'nonsmokers' or former smokers and current smokers into 'smokers'; (iii) inclusion of patients with missing smoking data; and (iv) lack of biochemical validation of the (self)-reported smoking status (27,47,48). This is further compounded by the fact that evaluating the effects of smoking has not been the primary goal of most conducted studies, considering the life-threatening nature of COVID-19, which made other research objectives less of a priority, especially during the early stages of the pandemic (27,47). Moreover, in the race to publish, particularly during the initial months of the pandemic (to some extent, still ongoing), limited scrutiny during editorial assessment and peer review has likely led to

publication of many subpar research studies with less-than-reproducible results (47).

## Proposed biological mechanisms for susceptibility of smokers and vapers to COVID-19

The expression levels of ACE2 have been shown to be elevated in type II pneumocytes, alveolar macrophages and small airway epithelium of smokers and subjects with COPD in comparison with healthy control cells (49,50). The upregulation of ACE2 is thought to involve nicotinic receptors, mediated through  $\alpha$ -7 subtype nicotinic acetylcholine receptor ( $\alpha$ -7 nAChR) (51). Wang *et al.* (52) have demonstrated that subchronic exposure of mice to e-cig aerosol (containing nicotine) induced lung inflammation and dysregulated repair/extracellular matrix remodeling, mediated via  $\alpha$ -7 nAChR in a sex-dependent manner. McAlinden *et al.* (53) have shown that *in vitro* treatment of immortalized human bronchial epithelial cells and primary human small airway epithelial cells with nicotine-containing e-cig aerosol-condensate and cigarette-smoke extract resulted in increased ACE2 protein expression as compared with untreated control cells.

Eapen *et al.* (49) have reported concurrent rises in ACE2 protein expression and endosomal protein markers, specific for endocytic vacuoles, such as early/late endosomes and lysosomes that allow SARS-CoV-2 entry and deposition of viral RNA into host cells (12), in the small airways of smokers and COPD patients as compared with nonsmoking healthy controls. The authors proposed prominent expression of the ACE2 and elevation of the endosomal markers in type II pneumocytes and alveolar macrophages as an underlying mechanism that could make smokers and COPD patients susceptible to COVID-19 infection (49).

Lee *et al.* (43) mined three independent RNA expression datasets from smokers and vapers and concluded that tobacco smoking and use of nicotine and flavor-containing e-cigs are associated with upregulation of pro-inflammatory cytokines and inflammasome-related genes, while smoking is also associated with ACE2 upregulation. The authors suggested that smoking and possibly vaping may exacerbate COVID-19-related inflammation and/or increase susceptibility to COVID-19 infection through an ACE2-dependent mechanism (43).

## Pathophysiological changes in COVID-19 patients: the impact of tobacco product use

The abundant expression of ACE2 in type II alveolar cells facilitates rapid viral expansion and local alveolar wall destruction, resulting in fast and progressive severe diffuse alveolar damage and hyperinflammation, otherwise known as cytokine storm (11). Furthermore, SARS-CoV-2 infection can cause oxidative stress in the lung as a result of activation of resident cells or inflammatory cells recruited to the site of tissue injury (54). Single-cell RNA sequencing of the human lungs has shown that specific components of the antioxidant defense system in the alveolar type II cells, such as superoxide dismutase 3 and activating transcription factor 4, are downregulated in healthy elderly donors as compared with young donors (55). This may explain, in part, the observed severity of COVID-19 and its poor prognosis in older patients (37,38). Of significance, smoking and, to a lesser extent, vaping are known to induce oxidative stress and inflammation and modulate antioxidant defense mechanisms *in vivo* (41,56,57).

Examination of autopsy lung tissues from patients who died from COVID-19, those who died from ARDS secondary to influenza

A (H1N1) infection and age-matched, uninfected control lungs showed greater numbers of ACE2-positive endothelial cells in the lungs from patients with COVID-19 and from patients with influenza than those from uninfected controls. Endothelial cells in the specimens from patients with COVID-19 showed disruption of intercellular junctions, cell swelling and a loss of contact with the basal membrane, all indicative of vascular structural modification that promotes endothelial to mesenchymal transition (EndMT) (58). EndMT is a cellular plasticity state whereby endothelial cells respond to various endogenous stimuli or exogenous pathogens (59). EndMT occurs when endothelial cells undergo a series of molecular alterations and morphologic changes that transform them into a more aggressive mesenchymal state (e.g. myofibroblasts or smooth muscle cells), causing irreversible vascular damage or fibrosis (60). EndMT is widely considered a key contributor to several other pathological conditions, including CVDs, such as atherosclerosis, pulmonary arterial hypertension, valvular disease, fibroelastosis, arterial fibrosis and cardiac fibrosis, as well as malignancy (59). Accumulating evidence shows that SARS-CoV-2 may lead to endothelial cell dysfunction, possibly progressing to EndMT or pulmonary fibrosis (61).

Another cell type that may contribute to fibrosis post-infection in COVID-19 patients is type II pneumocytes [alveolar epithelial type II (AT II)]. These cells have been shown to be hyperplastic and hyperproliferative in idiopathic pulmonary fibrosis (62). Like EndMT, epithelial to mesenchymal transition (EMT) occurs when epithelial cells transform into a mesenchymal phenotype, accompanied by basement membrane degradation, loss of epithelial features and gain of mesenchymal proteins (63). EMT is known to be a key contributor to organ fibrosis and epithelial cell malignancy (63). Both epithelial and endothelial cells in the respiratory tract become compromised and susceptible to fibrotic conditions in a variety of smoking-associated diseases (63). It has been suggested that progressive EMT and endothelial cell dysfunction in the vasculature of smokers due to preferential targeting of alveolar type II cells and endothelial cells injury by SARS-CoV-2 may make them more vulnerable to post-COVID-19 pulmonary fibrosis and poor prognosis (61). Furthermore, endothelial dysfunction is a main determinant of comorbidities associated with severe clinical outcomes and worse prognosis of COVID-19; these include obesity, diabetes and systemic hypertension (10). SARS-CoV-2-related endothelial dysfunction has been suggested to contribute to venous thromboembolic disease, systemic vasculitis, endothelial cell apoptosis and inflammation in various organs in COVID-19 patients (64).

In a subset of COVID-19 patients, long-term lung impairment, including interstitial lung diseases, may follow after recovery from the disease (65). Interstitial lung diseases comprise a broad range of diffuse parenchymal lung disorders that are characterized by widespread and heterogeneous parenchymal lung abnormalities, which can lead to irreversible fibrosis (66). Pulmonary fibrosis is caused by progressive and irreversible destruction of lung architecture due to scar formation, which may lead to disruption of gas exchange, organ malfunction and ultimately death from respiratory failure (66). To date, therapeutic options for pulmonary fibrosis are limited, treatment response is relatively low, and as such, the disease has high mortality rates (66). The prevalence of pulmonary fibrosis as a post-infection complication of COVID-19 will be determined in time, but the existing data indicate that many COVID-19 survivors develop fibrotic lesions and pulmonary structural abnormalities and functional impairment (65). It is important to investigate the impact of COVID-19 on the progression of pre-existing interstitial lung diseases in patient populations (e.g. in COPD patients who are mostly

current or former users of tobacco products (63)), as well as in non-clinically diagnosed individuals who are chronic smokers/vapers. These investigations will help elucidate the contribution of smoking/vaping to the development of pulmonary fibrosis in the aftermath of COVID-19 infection.

### COVID-19 and EVALI: shared clinical, laboratory and imaging features

In August 2019, the CDC, FDA, state and local health departments and other clinical and public health partners reported a nationwide outbreak of vaping-related severe lung illnesses and deaths, also known as EVALI (7). National and state data showed a sharp increase in the number of EVALI cases, which reached a peak in September 2019 but gradually and persistently declined, afterward. Nationwide, a total of 2807 hospitalized cases of EVALI and 68 deaths were confirmed by 18 February 2020. Analysis of data from patient reports and product sample testing revealed that e-cigs or vaping products with tetrahydrocannabinol (THC) emulsified with vitamin E acetate (VEA), especially those obtained from informal sources like friends and family, or in-person or online dealers, were linked to most cases of EVALI. Of note, VEA is a viscous substance commonly used for diluting THC oil in vape cartridges or as a thickening agent in e-liquid (7). THC is the principal psychoactive component of cannabis, which produces the infamous 'high' in marijuana/weed users (3). Testing of product samples and analysis of lung fluid from EVALI patients, but not from healthy controls, showed detectable levels of VEA. However, evidence was not sufficient to rule out the contribution of other suspected chemicals, including constituents of THC- or non-THC-containing vaping products, to some of the reported EVALI cases (7). The continued drop in the number of EVALI cases has been attributed to (i) increased public awareness of the risk associated with THC-containing e-cigs or vaping products; (ii) removal of VEA from some vaping products; and (iii) law enforcement actions taken against the sale and distribution of black market e-cigs and vaping products (3).

To test whether aerosolized VEA causes lung injury in mice and damages human alveolar epithelial cells, Matsumoto *et al.* (67) exposed adult mice and primary human AT II cells to an aerosol of VEA generated by an atomizer designed for vaping oils. Whereas the *in vivo* exposure of mice to VEA consisted of 1 h twice daily for 6 or 15 days, *in vitro* exposure of the surfactant-producing human AT II cells was performed for 20–120 min daily for 3 days in air-liquid interface conditions. Mice exposed to VEA developed diffuse lung injury, with dose-dependent increases in lung water and BAL protein in association with mixed neutrophilic and monocytic alveolar and interstitial inflammation in a bronchiolocentric pattern, including the presence of numerous lipid-laden and large multinucleated macrophages. Consistently, AT II cells readily absorbed VEA aerosol and showed direct signs of injury, manifest as dose-dependent cytotoxicity and release of monocyte and neutrophil chemokines in the context of major inflammatory changes in gene expression. The VEA-induced effects in both mouse and cell culture models recapitulate several key pathological features of EVALI in patient populations. As such, the authors suggested an important causative role for VEA in the etiology of EVALI (67).

Kleinman *et al.* (68) reported an EVALI-like condition in rats acutely exposed to tobacco-flavored e-cig liquid without THC, VEA or nicotine (i.e. propylene glycol | vegetable glycerin, 50% each). The exposure protocol consisted of a single, 2-h, nose-only exposure to e-liquid, vaporized by a nichrome heating element, operated at 'high' power setting. Lung lesions in the exposed



rats consisted of thickening of the alveolar wall with foci of inflammation, red blood cell congestion, obliteration of alveolar spaces and pneumonitis in some cases; bronchi showed accumulation of fibrin, inflammatory cells and mucus plugs. The authors concluded that thermal decomposition of e-liquid at high temperature, even in the absence of THC, VEA or nicotine, may lead to an EVALI-like syndrome *in vivo* (68).

Since the early stages of the pandemic, concerns have been raised about the overlapping clinical, laboratory and radiologic features of COVID-19 and EVALI, which can make accurate diagnosis a challenge, especially among e-cig users (69). Also, the convergence of the two diseases and their possible synergistic effects have become a matter of concern (69). The shared clinical characteristics of EVALI and COVID-19, like many other respiratory illnesses, include fever (>100.4°F), cough, shortness of breath and gastrointestinal symptoms, such as nausea, vomiting and diarrhea (70). Both EVALI and COVID-19 can present as interstitial pneumonia leading to ARDS (70). Chest computed tomography imaging findings may considerably overlap, with ground glass opacities present in both EVALI and COVID-19, and organizing pneumonia as the imaging feature that is most common between the two diseases (71). Laboratory findings in EVALI include nonspecific leukocytosis, elevated inflammatory markers, such as erythrocyte sedimentation rate and procalcitonin, and increased levels of liver enzymes (72). Elevation of liver enzymes and inflammatory markers can also be seen in COVID-19; however, patients with COVID-19 typically exhibit lymphopenia as opposed to lymphocytosis in EVALI (72).

Because of the remarkably similar presentation of acute lung injury secondary to COVID-19 and EVALI, and considering the shared laboratory and imaging findings of the two diseases, clinicians are advised to pay particular attention during patients' history taking (69). Specifically, physicians and nurses are encouraged to inquire about patients' vaping history, particularly use of e-cig products or marijuana vaping, as vaping is often a social activity during which wearing of masks and maintaining social distancing become less frequent, if not, unlikely (70). Moreover, as the pandemic-related restrictions on social gatherings are being lifted, and schools, colleges and universities are re-opening, e-cigs users, specifically those who use VAE/THC-containing e-cig products, should be monitored for pneumonia-like symptoms, not only for COVID-19 diagnosis but also for EVALI evaluation (72).

## The impact of COVID-19 pandemic on tobacco products sales

For the past several decades, there has been an accelerating fall in USA cigarette unit sales, consistent with the drop in smoking prevalence; adult smoking rates have dropped from 42% in 1965 to 14% in 2019 (73). The decline in smoking rates among adults has been attributed to public health campaigns, raising awareness of the health consequences of smoking, implementation of comprehensive smoke-free laws, higher cigarette prices and increased tobacco taxes, advertising bans, availability and accessibility of smoking-cessation programs and switching of smokers to alternative tobacco products, such as e-cigs, IQOS and other heat-not-burn tobacco products, among other factors (35,36,74).

Reports released in January–March 2021 indicate that the decades-long decline in USA cigarette sales has halted during the pandemic of COVID-19 (75,76). Data from US Treasury Department show that cigarette sales increased 1% in 2020 after dropping 4–5% each year since 2015 (76). Altria, the largest USA tobacco company, reported 4.9% increase in sales to \$6.3 billion in the quarter ended 31 December 2020, compared with \$6.0

billion in 2019. Altria's revenue from cigarette and cigar sales was \$5.6 billion in 2020 (75). The rise in cigarette sales during the pandemic might be due to a variety of factors, including pandemic-related stress, anxiety, depression and isolation, among others. A North American Quitline Consortium reported a sharp decline in calls (27%), with ~190 000 fewer Americans who called toll-free smoking-cessation help lines in 2020 compared with the year before (77). The greatest drop of 39% in calls was during the period of April through June 2020 when states enacted widespread lockdowns and strict restrictions to curb the spread of COVID-19 (77). The 1-800-QUIT-NOW line is a national portal that directs callers to state smoking-cessation help lines.

There may have been other barriers for smokers interested in quitting during the pandemic. Non-emergency counseling appointments were canceled during the shutdowns, and loss of jobs for many smokers resulted in termination of employer-provided health insurance plans that often cover (wholly or in part) counseling fees and costs of smoking-cessation medications (76). Furthermore, state and local health departments, which were overwhelmed during the early stages of the pandemic, might have redirected their resources, at least, temporarily and partially, from tobacco control intervention programs to other initiatives and campaigns, exclusively centered on COVID-19 (75,76).

Of significance, the rise in USA tobacco cigarette sales during the pandemic has coincided with a drop in vaping product sales (75,76). Juul Labs, the leader of vaping industry and manufacturer of the most popular e-cigs in the market (20), reported \$1.1 billion in sales in the first 9 months of 2020 as compared with \$1.9 billion during the same period in 2019 (75). The estimated revenue for Juul Labs in the last quarter of 2020 was \$340 million (75). Although the underlying reasons for the observed changes in JUUL's sales remain to be investigated, concerns about vaping safety, bans on flavored vaping products (78), together with stay-at-home mandates and pandemic-related restrictions, possibly affecting accessibility, purchase and use environment for e-cigs, may deserve special attention.

Altogether, the highly complex relationship between vaping and smoking, which existed pre-COVID-19 era, has further evolved during the pandemic, with multiple factors driving the prevalence of smoking and vaping, in similar or different directions, in diverse populations. The projection for tobacco cigarette and e-cig sales in the USA and around the world for the coming years will depend on a wide range of determinants of which COVID-19 vaccine acceptance and rollout as well as behavioral changes of smokers/vapers post-vaccination are critically important (see COVID-19 vaccination: considerations for tobacco product users).

## Tobacco product use frequency and patterns, accessibility and motivation to quit during the pandemic

The pandemic of COVID-19 has had an unprecedented impact on virtually all aspects of daily life, including lifestyle habits, such as substance use, e.g. smoking, vaping and alcohol consumption. A growing body of research shows that prevalence, frequency and patterns of substance use, specifically smoking and vaping, have changed, to different degrees, in various populations following the pandemic of COVID-19 (see Table 1). Concerns about smoking and vaping predisposing to COVID-19 have influenced, to varying extents, tobacco cigarette and e-cig use frequency and patterns as well as motivation to quit. Also, stay-at-home mandates and pandemic-related restrictions, especially on social gatherings, where tobacco product use and

sharing of e-cig devices are most common, have varying impacted the accessibility, point of purchase and use environment for e-cigs and cigarettes. A critically important target group has been the adolescents. Having to stay at home, mostly with parents during the lockdowns, teens have likely lost some, if not most, of their privacy and freedom for using or sharing tobacco products. Understandably, housebound teens have not been able to practice 'stealth' vaping or smoking with peers in classrooms or other school premises as before (20). Also, implementation of the pandemic rules and orders has led to retail store closures, disrupted supply chains and restrictions on movement outside one's home to purchase tobacco products. This, in turn, has affected, to varying degrees, the availability and accessibility of tobacco products, as well as users' preference for source and location of purchase (e.g. online suppliers versus vape shops or gas stations). Table 1 summarizes the results of selected studies on vaping and smoking prevalence, use frequency and patterns, product accessibility and motivation to quit before and after the start of COVID-19 pandemic. Detailed description of the studies and discussion of their findings are provided in Supplementary Materials.

### COVID-19 diagnosis, prevalence and prognosis among tobacco product users

As discussed in Association of COVID-19 infection, disease course and outcomes with tobacco product use, numerous studies have investigated the incidence, symptom severity and clinical outcomes of COVID-19 among tobacco product users. Table 2 summarizes the results of selected studies on COVID-19 testing, diagnosis and disease outcomes among tobacco product users. Detailed description of the studies and discussion of their findings are provided in Supplementary Materials. Although we await definitive conclusions on the relative risk of COVID-19 infection in tobacco product users, the evidence is roundly growing on the severity of the disease in smokers as compared with nonsmokers (37,38). Evaluation of the overall published studies indicates that there is a need for high-quality investigations with improved study designs, rigorous methodologies and high statistical power, which can generate reproducible and conclusive results. Ideally, these investigations should use accurate diagnostic tests, clinical examination, histopathology and imaging analysis to confirm cases of the disease, courses of its progress and outcomes, while also validating smoking/vaping status by biochemical assays. Completion of these studies should help definitively demonstrate the influence of tobacco cigarette and e-cig use in COVID-19 infection, transmission and clinical outcomes, as well as uncover the mechanistic interactions between these lifestyle factors and the course, severity and prognosis of this illness.

### COVID-19 vaccination: considerations for tobacco product users

A pre-print study (79) has reported that smokers and vapers are more likely than nonsmokers to believe that smoking/vaping has 'no impact' or 'decreases risk' for severe COVID-19 symptoms. It is plausible that if tobacco product users become aware of a 'claimed' protective effect of smoking/vaping against COVID-19, and believe it to be true, they may feel a sense of enhanced immunity, which could make them less likely to take up a vaccine when offered. This might elevate their risk for contracting COVID-19, especially those who have a pre-existing comorbidity/comorbidities. At the same time, it could make it more difficult to achieve the vaccination coverage required for

population immunity. Complicating matters further, smokers (by definition) are less likely to accept public health advice, and more prone to poor health choices, such as hesitancy for vaccination (e.g. against influenza), when compared with nonsmokers (80). As discussed in COVID-19 and tobacco product use: a protective role for smoking/vaping?, transparency and disclosure of competing interests for researchers claiming protection by smoking against COVID-19 (28) should not only facilitate non-biased evaluation of such claims but also boost public trust and willingness for considering COVID-19 vaccination.

In a population survey of UK adults ( $n = 29\ 148$ ), current smokers reported the greatest levels (and never smokers the lowest levels) of mistrust in the benefit of vaccines, worries about unforeseen future effects, concerns about commercial profiteering and preference for natural immunity (81). When asked whether they would take up the offer of a COVID-19 vaccine when one becomes available, current smokers were the most likely to report being uncertain or unwilling, with just 51% reporting an intention to vaccinate, compared with 66% of former smokers and never smokers. These differences were independent of age, gender, ethnicity, income, key worker status or chronic physical health conditions. Of note, the data for this survey were collected during the period of 7 September to 5 October 2020, which precedes the reports of positive results for COVID-19 vaccine trials and the announcement of first vaccine being approved for use in the UK (81). With a disproportionately large number of smokers having comorbidities predisposing to COVID-19 (10), and also belonging to disadvantaged socioeconomic groups that have been hit hardest by the pandemic (82), vaccination hesitancy could further exacerbate the existing health disparities among tobacco product users.

Importantly, smokers/vapers are inclined to cluster in social networks whose members' attitudes toward health choices could be influenced by other members (83). It is prudent to adequately address issues related to vaccine hesitancy in the general population, although tobacco product users may require 'targeted' interventions and educational campaigns. These efforts should raise awareness of the greater benefits of vaccination against COVID-19 than any claimed protective effect by smoking against this illness. The accumulating data on the safety and excellent efficacy of COVID-19 vaccines against severe disease, hospitalization and death (84) should persuade smokers, particularly those with pre-existing health conditions who are more vulnerable to those outcomes (10), to take up a vaccine when it becomes available.

### Concluding remarks

At this point in the pandemic, the evidence on the association of tobacco product use and COVID-19 infection, disease course and clinical outcomes is 'incomplete' but rapidly growing. So is the knowledge on the mechanistic involvement of smoking and vaping in the pathophysiology of COVID-19. Nearly 15 months into the pandemic, we have learned that comorbidities caused by or associated with tobacco product use are risk factors for COVID-19 infection, severe symptoms and poor disease outcomes (10). At the same time, we have begun to understand the associations between smoking and vaping and COVID-19 disease course and clinical outcomes. But we still have much to learn about the mechanistic involvement of tobacco product use in the pathophysiology of this disease. Although we await fully characterizing the smokers' risk for COVID-19, it is becoming increasingly clear that should smokers get the disease, they are more likely to have serious consequences (37,38). For now, the 'take home message' of this review is to mitigate risk

**Table 1.** Summary results of selected studies on the associations of vaping and smoking prevalence, use frequency and patterns, product accessibility and motivation to quit before and after the start of the pandemic

Ref.	Study design	Population	Location	Timeframe	Aim(s)	Key findings
Gaiha et al. (2020) <i>JAMA Netw. Open</i> , 3, e2027572	National cross-sectional online survey	Adolescent and young adult e-cig ever-users, aged 13–24 years (n = 2167)	USA	From 6 May 2020 to 14 May 2020	To evaluate changes in youth e-cig use, point of purchase and ability to purchase e-cigs without age verification during the pandemic as compared with the pre-pandemic era	<ul style="list-style-type: none"> <li>- Over half of the respondents reported change in their e-cig use since the beginning of COVID-19 pandemic</li> <li>- Of those who reported on the type of change, nearly one-third quit vaping, another one-third cut down on vaping and the remainder either increased e-cig- or cannabis use or switched to other nicotine or cannabis products</li> <li>- Approximately one-fifth of the respondents reported changes in point of purchase of e-cigs, from retail stores to online sources, another one-fifth reported switching to alternative retail stores and the remainder overwhelmingly reported no change in their point of purchase of e-cigs</li> <li>- Over a quarter of the underage respondents (13–20 years) reported access to e-cigs without age verification</li> <li>- Those who reported higher nicotine dependence and more frequent use of e-cigs were less likely to quit vaping or reduce e-cig use</li> <li>- Compliance with the stay-at-home mandates was significantly associated with quitting vaping or reduced e-cig use</li> <li>- Users of pod-based devices (e.g. JUUL) were less likely to quit vaping or reduce e-cig use</li> </ul>

Table 1. Continued

Ref.	Study design	Population	Location	Timeframe	Aim(s)	Key findings
Klemperer et al. (2020) <i>Nicotine Tob. Res.</i> , 22, 1662–1663	Cross-sectional web-based survey	Adult dual tobacco cigarette and e-cig users, aged 21 or older (n = 366)	USA	10 April 2020	To assess changes in cigarette and e-cig use and motivation to quit due to concerns about COVID-19	<ul style="list-style-type: none"> <li>- Participants reported similar and positively correlated concerns that smoking and vaping increased their risk of harm from COVID-19</li> <li>- Participants reported similar and positively correlated changes in motivation to quit smoking and vaping due to COVID-19 concerns</li> <li>- Participants reported similar and positively correlated changes in use of cigarettes and e-cigs</li> <li>- Nearly a quarter of participants reported decreased access to both cigarettes and e-cigs following the pandemic, half reported no change in access to either product and another quarter reported increased access to both products</li> </ul>
Sharma et al. (2020) <i>SAGE Open Med.</i> , 8, 2050312120965321	Cross-sectional online survey	Young adults, aged 18–25 years (n = 1018)	USA	April 2020	To assess changes in substance use and pattern (vaping, smoking, alcohol and/ or marijuana use) during the stay-at-home period of COVID-19 (i.e. March–April 2020)	<ul style="list-style-type: none"> <li>- Over half of all respondents reported a form(s) of substance use, of whom one-third confirmed a change in use pattern during the stay-at-home period</li> <li>- Of those reporting changes in use pattern, 44 and 47% confirmed decreased vaping and smoking, respectively and 69% acknowledged increased alcohol drinking. More than one-third of the respondents reported increased marijuana use, whereas another one-third reported decreased use</li> <li>- The extent of changes in substance use patterns was significantly and directly related to the self-reported levels of anxiety, depression and loneliness.</li> </ul>

Detailed description of the listed studies and discussion of their findings are provided in Supplementary Materials.



Table 2. Summary results of selected studies on COVID-19 testing, diagnosis and disease outcomes among tobacco product users

Ref.	Study design	Population	Location	Timeframe	Aim(s)	Key findings
Li et al. (2020) <i>Prev. Med. Rep.</i> , 20, 101254	Integrated national surveys and online COVID-19 re-pository data	Residents of 34 US states	USA	From 21 January 2020 to 25 April 2020	To assess associations between vaping prevalence and number of COVID-19 cases and deaths at the state level	<ul style="list-style-type: none"> <li>- The proportion of vapers was positively and significantly associated with daily number of COVID-19 cases and deaths in each state, after adjustment for proportion of smokers and other relevant confounding factors</li> <li>- With every 1% increase in weighted proportion of vapers in each state, the number of COVID-19 cases increased by 0.3139 and the number of COVID-19 deaths increased by 0.3730 in log scale in each US state</li> <li>- Exclusive vapers were 2.6 times more likely to be tested for COVID-19, and 5 times more likely to be diagnosed with COVID-19, after adjustment for relevant confounding factors</li> <li>- Dual users (vapers and smokers) were nine times more likely to be tested for COVID-19, and seven times more likely to be diagnosed with COVID-19, after adjustment for relevant confounding factors</li> <li>- Current smokers and long-term ex-smokers (<math>\geq 1</math> year), but not vapers or NRT users, were more likely to report COVID-19 diagnosis as compared with never smokers, after controlling for relevant confounding factors</li> <li>- Approximately, 1 in 12 smokers and 1 in 11 vapers reported attempt to quit smoking and vaping, respectively, in the past 3 months due to concerns about COVID-19</li> </ul>
Gaiha et al. (2020) <i>J. Adolesc. Health</i> , 67, 519–523	National cross-sectional online survey	Adolescents and young adults, aged 13–24 years (n = 4351)	USA	From 6 May 2020 to 14 May 2020	To assess associations between youth vaping and smoking and self-reported COVID-19 testing and diagnosis	<ul style="list-style-type: none"> <li>- Dual users (vapers and smokers) were nine times more likely to be tested for COVID-19, and seven times more likely to be diagnosed with COVID-19, after adjustment for relevant confounding factors</li> <li>- Current smokers and long-term ex-smokers (<math>\geq 1</math> year), but not vapers or NRT users, were more likely to report COVID-19 diagnosis as compared with never smokers, after controlling for relevant confounding factors</li> <li>- Approximately, 1 in 12 smokers and 1 in 11 vapers reported attempt to quit smoking and vaping, respectively, in the past 3 months due to concerns about COVID-19</li> </ul>
Tattan-Birch et al. (2021) <i>Addiction</i> , 116, 1186–1195	National cross-sectional household survey (phone interviews with one household member)	Residents of UK, aged 18 years or older (n = 3179)	UK	From April 2020 to May 2020	To assess associations between smoking status, e-cig use and NRT use, and self-reported COVID-19 diagnosis	<ul style="list-style-type: none"> <li>- Dual users (vapers and smokers) were nine times more likely to be tested for COVID-19, and seven times more likely to be diagnosed with COVID-19, after adjustment for relevant confounding factors</li> <li>- Current smokers and long-term ex-smokers (<math>\geq 1</math> year), but not vapers or NRT users, were more likely to report COVID-19 diagnosis as compared with never smokers, after controlling for relevant confounding factors</li> <li>- Approximately, 1 in 12 smokers and 1 in 11 vapers reported attempt to quit smoking and vaping, respectively, in the past 3 months due to concerns about COVID-19</li> </ul>

Detailed description of the listed studies and discussion of their findings are provided in Supplementary Materials.

of developing health conditions known to predispose to COVID-19 and to minimize chances of having severe symptoms and poor prognosis if/when infected with COVID-19, smokers and possibly vapers should seriously consider quitting or, at least, reduce their tobacco product use. Lastly, we should be aware of the conflicts of interest and the role of the tobacco industry in claims surrounding COVID-19 and smoking (28), which create the illusion that there is more controversy on some points than there actually is in the non-conflicted literature.

## Supplementary material

Supplementary data are available at *Carcinogenesis* online.

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## Author's contributions

A.B.: conceived the study, performed literature search and wrote the manuscript.

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