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1 **Title: Diverse co-active neurons encode stimulus-driven**

2 **and stimulus-independent variables.**

3

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14

15 **Abstract**

16 Both experimenter-controlled stimuli and stimulus-independent variables impact cortical

17 neural activity. A major hurdle to understanding neural representation is distinguishing

18 between qualitatively different causes of the fluctuating population activity. We applied an

19 unsupervised low-rank tensor decomposition analysis to the recorded population activity

20 in the visual cortex of awake mice in response to repeated presentations of naturalistic

21 visual stimuli. We found that neurons co-varied largely independently of individual neuron

22 stimulus response reliability and thus encoded both stimulus-driven and stimulus-

23 independent variables. Importantly, a neuron's response reliability and the neuronal

24   coactivation patterns substantially reorganized for different external visual inputs. Analysis

25   of recurrent balanced neural network models revealed that both the stimulus specificity

26   and the mixed encoding of qualitatively different variables can arise from clustered external

27   inputs. These results establish that co-active neurons with diverse response reliability

28   mediate a mixed representation of stimulus-driven and stimulus-independent variables in

29   the visual cortex.

30

31   **Introduction**

32   Neural variability is a key feature of neocortical neuronal responses. During repeated

33   sensory stimulation, most neurons exhibit high trial-to-trial variability, while only a small

34   number of neurons display reliable responses across trials (Softky and Koch 1993;

35   Stringer et al. 2019a). The abundance of unreliable neurons in the cerebral cortex raises

36   the question to what extent these neurons contribute to the representation of stimulus-

37   driven and stimulus-independent variables (Olshausen and Field 2006). Possible answers

38   to this question arise from multiple sources. First, neural variability is correlated across

39   neurons (Cohen and Kohn 2011) such that untuned/unreliable neurons enhance sensory

40   information coding (Leavitt et al. 2017; Safaai et al. 2013). Second, sensory cortex not

41   only encodes stimuli, but also encodes behavioral variables (Dipoppa et al. 2018;

42   McGinley et al. 2015; Niell and Stryker 2010; Stringer et al. 2019b; Vinck et al. 2015) or

43   internal state variables (Allen et al. 2019; Vinck et al. 2015). Thus, neural response

44   variability to sensory stimuli can be partially explained by experimentally observed

45   stimulus-independent variables (Stringer et al. 2019b). These observations suggest that

46   unreliable neurons may play a role in encoding both stimulus-driven and stimulus-

47   independent unobserved variables.

48   There is a growing consensus in neuroscience that co-active ensembles of

49   neurons, as opposed to single neurons, are the underpinning of cognition and behavior

50   (Buzsáki 2010; Saxena and Cunningham 2019; Yuste 2015). How then do neurons in

51   sensory cortex co-vary and encode stimulus-driven or stimulus-independent variables?

52   We assume that single-trial neuronal responses consist of additive modulations of distinct

53   latent factors. Furthermore, each latent factor is modulated by the gain specific to the

54   neuron and the trial (Fig. 1A). The question of encoding stimulus-driven and stimulus-

55   independent variables is usefully illustrated by considering the extreme ends of a spectrum

56   of possibilities (Fig. 1B). At one extreme, reliable neurons co-vary and encode stimulus-

57   driven variables, while unreliable neurons co-vary and encode stimulus-independent

58   variables. At the other extreme,neurons covary and encode both stimulus-driven and -

59   independent variables regardless of their reliability. Identifying where along this spectrum

60   cortical encoding operates is fundamentally challenging because the stimulus-driven and

61   the stimulus-independent variables are unobserved (Keemink & Machens, 2019). These

62   unobserved variables must be inferred from observed neuronal population activity, which,

63   however, is highly variable across trials of repeated stimulus presentation. Supervised

64   methods, such as demixed principal component analysis (Kobak et al. 2016) and targeted

65   dimensionality reduction (Mante et al. 2013) can only partially solve this problem by

66   inferring unobserved variables that are correlated to observed behavioral or task-related

67   variables. A promising direction is to solve the problem using unsupervised methods, as

68   shown by recent works in visual cortex (Stringer et al. 2019b) and frontal cortex (Hirokawa

69   et al. 2019). Here we employed an unsupervised method, tensor component analysis

70   (TCA) (Williams et al. 2018), which allowed us to identify stimulus-driven and stimulus-

71   independent unobserved variables in an unbiased fashion from observed neuronal

72   population activity in response to repeated stimulus presentations.

73   We performed two-photon calcium imaging of excitatory neurons in the primary

74   visual cortex of awake, head-fixed mice during visual stimulation with repeated identical

75   naturalistic movie clips (Nat Mov) or periodic drifting gratings (PDG). We identified

76   unobserved variables, or "latent factors", representing either stimulus-driven variables or

77   stimulus-independent variables. Our results show that neurons with a range of reliability

78   co-vary and encode both stimulus-driven and stimulus-independent variables. Moreover,

79   we found that the neuronal coactivation pattern is randomly redistributed across different

80   stimuli. This suggests that feedforward inputs to neurons in visual cortex have a significant

81   influence on neuronal coactivation patterns. Finally, simulation of a neural network model

82   revealed possible input structures underlying the observed encoding paradigm in visual

83   cortex.

84

85   **Materials and Methods**

86   **LEAD CONTACT AND MATERIALS AVAILABILITY**

87   Further information and requests for resources should be directed to and will be fulfilled

88   by the Lead Contact, Ji Xia (xiaji@wustl.edu). We used tools for fitting TCA in

89   https://github.com/ahwillia/tensortools.

90

91   **EXPERIMENTAL MODEL AND SUBJECT DETAILS**

92   **Animals**

93   For imaging visual cortical responses, a Emx1-Cre (Jax Stock #005628) x ROSA-LNL-tTA

94   (Jax Stock #011008) x TITL-GCaMP6s (Jax Stock #024104) triple transgenic mouse line

95   (*n* = 7) was bred to express GCaMP6s in cortical excitatory neurons (Madisen et al. 2015).

96   Mice ranging in age from 6 - 20 weeks of both sexes (3 males and 4 females) were

97   implanted with a head plate and cranial window and imaged starting >2 weeks after

98   recovery from surgical procedures and up to 10 months after window implantation. The

99   animals were housed on a 12 hr light/dark cycle in cages of up to 5 animals before the

100   implants, and individually after the implants. All animal procedures were approved by the

101   Institutional Animal Care and Use Committee at University of California, Santa Barbara.

102

103   **Surgical procedures**

104   All surgeries were conducted under isoflurane anesthesia (3.5% induction, 1.5 - 2.5%

105   maintenance). Prior to incision, the scalp was infiltrated with lidocaine (5 mg/kg,

106   subcutaneous) for analgesia and meloxicam (1 mg/kg, subcutaneous) was administered

107   preoperatively to reduce inflammation. Once anesthetized, the scalp overlying the dorsal

108   skull was sanitized and removed. The periosteum was removed with a scalpel and the

109   skull was abraded with a drill burr to improve adhesion of dental acrylic. A 4 mm craniotomy

110   was made over the visual cortex (centered at 4.0 mm posterior, 2.5 mm lateral to Bregma),

111   leaving the dura intact. A cranial window was implanted over the craniotomy and sealed

112   first with silicon elastomer (Kwik-Sil, World Precision Instruments) then with dental acrylic

113   (C&B-Metabond, Parkell) mixed with black ink to reduce light transmission. The cranial

114   windows were made of two rounded pieces of coverglass (Warner Instruments) bonded

115   with a UV-cured optical adhesive (Norland, NOA61). The bottom coverglass (4 mm) fit

116   tightly inside the craniotomy while the top coverglass (5 mm) was bonded to the skull using

117   dental acrylic. A custom-designed stainless steel head plate (eMachineShop.com) was

118   then affixed using dental acrylic. After surgery, mice were administered carprofen (5-10

119   mg/kg, oral) every 24 hr for 3 days to reduce inflammation. The full specifications and

120   designs for head fixation hardware can be found on the Goard lab website

121   (https://goard.mcdb.ucsb.edu/resources).

122

123   **Two-photon imaging**

124   After >2 weeks' recovery from surgery, GCaMP6s fluorescence was imaged using a

125   Prairie Investigator 2-photon microscopy system with a resonant galvo scanning module

126   (Bruker). For fluorescence excitation, we used a Ti:Sapphire laser (Mai-Tai eHP, Newport)

127   with dispersion compensation (Deep See, Newport) tuned to λ = 920 nm. For collection,

128   we used GaAsP photomultiplier tubes (Hamamatsu). We used a 16x/0.8 NA microscope

129   objective (Nikon) at 1x or 2x magnification, obtaining a square field of view with width

130   ranging from 414 to 828 µm. Laser power ranged from 40–75 mW at the sample depending

131   on GCaMP6s expression levels. Photobleaching was minimal (<1%/min) for all laser

132   powers   used.   A   custom   stainless-steel   light   blocker

133   (https://goard.mcdb.ucsb.edu/resources) was mounted to the head plate and interlocked

134   with a tube around the objective to prevent light from the visual stimulus monitor from

135   reaching the PMTs. During imaging experiments, the polypropylene tube supporting the

136   mouse was suspended from the behavior platform with high tension springs to reduce

137   movement artifacts.

138

139 **2-Photon Post-processing**

140 Images were acquired using PrairieView acquisition software and converted into TIF files.

141 All subsequent analyses were performed in MATLAB (Mathworks) using custom code

142 (https://goard.mcdb.ucsb.edu/resources). First, images were corrected for X-Y movement

143 by registration to a reference image (the pixel-wise mean of all frames) using 2-

144 dimensional cross correlation.

145 To identify responsive neural somata, a pixel-wise activity map was calculated

146 using a modified kurtosis measure. Neuron cell bodies were identified using local adaptive

147 threshold and iterative segmentation. Automatically defined ROIs were then manually

148 checked for proper segmentation in a graphical user interface (allowing comparison to raw

149 fluorescence and activity map images). To ensure that the response of individual neurons

150 was not due to local neuropil contamination of somatic signals, a corrected fluorescence

151 measure was estimated according to:

152

153 $$F_{corrected}(n) = F_{soma}(n) - \alpha * F_{neuropil}(n)$$

154

155 where $F_{neuropil}$ was defined as the fluorescence in the region <30 µm from the ROI border

156 (excluding other ROIs) for frame n and α was chosen from [0 1] to minimize the Pearson's

157 correlation coefficient between $F_{corrected}$ and $F_{neuropil}$. The $\Delta F/F$ for each neuron was then

158 calculated as:

159

160 $$\Delta F/F = (F_n - F_0) / F_0$$

161

7

162 Where F_n is the corrected fluorescence ($F_{corrected}$) for frame n and F_0 defined as the mode

163 of the corrected fluorescence density distribution across the entire time series.

164

165 **Visual stimuli**

166 All visual stimuli were generated with a Windows PC using MATLAB and the

167 Psychophysics toolbox (Brainard 1997). Stimuli used for two-photon imaging were

168 presented on an LCD monitor (17.5 x 13 cm, 800 x 600 pixels, 60 Hz refresh rate)

169 positioned 5 cm from the eye at a horizontal tilt of 30 deg to the right of the midline and

170 vertical tilt of 18 deg downward, spanning 120 deg (azimuth) by 100 deg (elevation) of

171 visual space in the right eye.

172 For drifting grating visual stimulation, 12 full-contrast sine wave gratings (spatial

173 frequency: 0.05 cycles/deg; temporal frequency: 2 Hz) were presented full-field, ranging

174 from 0 to 330 deg in 30 deg increments. We presented 8 repeats of the drifting grating

175 stimulus; a single repeat of stimulus consisted of all 12 grating directions presented in

176 order for 2 sec with a 4 sec inter-stimulus interval (gray screen).

177 For natural movie visual stimulation, we displayed a grayscale 30 sec clip from *Touch of*

178 *Evil* (Orson Wells, Universal Pictures, 1958) containing a continuous visual scene with no

179 cuts (https://observatory.brain-map.org/visualcoding/stimulus/natural_movies). The clip

180 was contrast-normalized and presented at 30 frames per second. We presented 30

181 repeats of the natural movie stimulus; each repeat started with 5 sec of gray screen,

182 followed by the 30 sec of movie.

183 When we compared neural responses across stimuli, we did analyses on part of

184 the responses so that their trial structure matches. For Nat Mov, we took the first 240 time

185 points after movie onset and the first 8 trials of the responses. For PDG, we took

186 concatenated neural responses during PDG without the gray screen periods to get 240

187 time points (20 time points $\times$ 12 orientations). Thus, two types of neural responses would

188 have the same trial structure (240 time points $\times$ 8 trials).

189

190 **Nonnegative Tensor Decomposition with missing data**

191 We organized our data into a 3-way tensor χ ($N \times T \times K$) and let x_{ntk} represent the activity

192 of neuron n at time t and trial k. Nonnegative tensor component analysis (TCA)

193 decomposes χ into a sum of R rank-one tensors, where each rank-one tensor can be

194 written as an outer product of 3 nonnegative vectors:

195
$$x_{ntk} \approx \sum_{r=1}^{R} w_b^r b_t^r a_k^t = \hat{x}_{ntk}$$

196

197 Nonnegative TCA with missing values were fit to minimize the squared reconstruction

198 error:

199
$$|| M \star (\chi - \hat{\chi}) ||_F^2 \text{ while } W \geq 0, B \geq 0, A \geq 0$$

200

201 Here, $\hat{\chi}$ denotes the reconstructed data. $|| \cdot ||_F^2$ denotes the squared Frobenius norm of a

202 tensor:

203
$$|| \chi ||_F^2 = \sum_{n=1}^{N} \sum_{t=1}^{T} \sum_{k=1}^{K} x_{ntk}^2$$

204

205 M denotes a masking tensor with the same shape as χ , and $\star$ denotes entrywise

206 multiplication of two tensors. For fitting nonnegative TCA on $\Delta F/F$ data, we set $m_{ntk} = 0$ if

207 $x_{ntk} < 0$, otherwise we set $m_{ntk} = 1$. Normalized reconstruction error is the squared

208 reconstruction error normalized by $|| M \star \chi ||_F^2$.

209   Different from matrix decompositions, tensor decompositions are often unique

210 (Kruskal 1977). However, when R is large or W, B, A have low rank, it could be difficult to

211 optimize. To monitor this possibility, we calculated similarity between different TCA fitting

212 results on the same dataset as described in (Williams et al. 2018). We found that the

213 similarity between fitting results is close to 1 for all the nonnegative TCA models reported

214 in this work.

215

216 **Preprocessing of $\Delta F/F$ data**

217 $\Delta F/F$ data were normalized such that the averaged squared sum of $\Delta F/F$ traces over time

218 equals to 1 for every neuron:

219
$$\sqrt{(\sum_{tk} x_{ntk}^2)/TK} = 1$$

220

221 This normalization step is crucial for ensuring TCA fitting is not biased by high firing rate

222 neurons, since TCA is optimized to minimize the squared reconstruction error.

223

224 **Choice of the number of components in TCA**

225 We picked the number of TCA components such that they captured a significant amount

226 of neural responses without over-fitting, checked with cross-validation as previously

227 reported (Williams et al. 2018). To perform cross-validation, we randomly masked out 50%

228   of tensor entries in χ. The remaining data was training set and the masked-out data was

229   test set. We trained nonnegative TCA with missing values to fit the training set. And then

230   we used the trained TCA model to fit the test set. As we increase the number of

231   components in TCA, if the normalized reconstruction error of the test set went up, the TCA

232   model would overfit the training set. As previously reported (Williams et al. 2018), TCA is

233   unlikely to overfit, even with up to 60 components. For this paper, we chose 20

234   components for TCA, given that 20 component TCA captured a significant amount of

235   neural responses without over-fitting. Note that all the results in this paper were robust to

236   changes in the number of TCA components (data not shown; we tested TCA with 10 to 40

237   components).

238

239   **Balance network model**

240   Neurons were modeled as binary units. We simulated 1600 excitatory neurons and 400

241   inhibitory neurons. The spiking s_i^x of neuron i in population x ∈ {E,I} was given by

242     $$s_i^x(t) = \Theta \left(\sum_{j=1}^{2000} J_{ij}s_j + \mu^x + \sum_{m=1}^{20} g_m \times K_{im} \times \eta_m + \sum_{n=1}^{20} L_{in} \times \xi_n - \theta^x \right)$$

243   Θ is the Heaviside step function. J_{ij} is the connectivity weight from neuron j to neuron i.

244   Each neuron received on average 200 excitatory and 200 inhibitory recurrent inputs, thus

245   most matrix elements J_{ij} were zero. For the non-zero matrix elements J_{ij}, the synaptic

246   weights were $J^{EE} = J^{IE} = 0.07$; $J^{EI} = -0.14$; $J^{II} = -0.13$. Bias current was given by

247     $\mu^E = 1.13$; $\mu^I = 0.91$. Spiking threshold was given by $\theta^E = 1$; $\theta^I = 0.7$. Choices of

248   parameters are motivated by previous work in the balanced network (Litwin-Kumar and

249   Doiron 2012; van Vreeswijk and Sompolinsky 1998b).

250   Frozen input pulse trains η consisted of 20 pulse trains repeated over trials, thus

251   imitating the stimulus-driven variables (Supplemental Fig. S4D

252   (https://figshare.com/s/576fe4fa7850f8cecde5)). On each trial, each frozen pulse train

253   contained one burst of 3 pulses during a random located time window of 200 ms.

254   (Supplemental Fig. S4D (https://figshare.com/s/576fe4fa7850f8cecde5)). Another set of

255   20 different input pulse trains ξ varied across trials, thus imitating stimulus-independent

256   variables (Supplemental Fig. S4E (https://figshare.com/s/576fe4fa7850f8cecde5)). Since

257   stimulus-independent variables are not locked to the trial structure, we generated trial-

258   varied input pulse trains as Poisson pulse trains with a rate of 0.005 Hz during 500 sec,

259   i.e., the duration of the simulation.

260   K is a 2000x20 matrix, describing synaptic weights between frozen input pulse

261   trains and individual neurons. Each neuron only received one frozen pulse train, and each

262   frozen pulse train innervated 100 neurons. The nonzero entries of K followed a lognormal

263   distribution with mean = 2 (Supplemental Fig. S4B

264   (https://figshare.com/s/576fe4fa7850f8cecde5)). g is a constant gain factor varying from

265   trial to trial, randomly selected from a uniform distribution $U(0.3, 0.8)$. L is a 2000x20

266   matrix, describing synaptic weights between trial-varied input pulse trains and individual

267   neurons. Each neuron only received one trial-varied pulse train and each trial-varied pulse

268   train innervated 100 neurons. Similar to K, the nonzero entries of L followed a lognormal

269   distribution (Supplemental Fig. S4B (https://figshare.com/s/576fe4fa7850f8cecde5)).

270   Both, (i) the burst-like temporal structure of the input pulse trains and (ii) the clusters of

271   neurons with identical input pulse trains were chosen to impose a level of coordinated

272   spiking within the otherwise unstructured recurrent model neural network.

273   To simulate neural responses to two different stimuli, we generated two sets of

274   frozen input pulse trains and trial-varied input pulse trains as well as the corresponding

275   input synaptic weights independently with the same statistics as described above.

276   Simulations were performed with a discrete time step of 10 ms and neurons are

277   updated asynchronously with a fixed order. At the beginning of each trial, 20% of neurons

278   were randomly selected to be active, with the rest of neurons being silent. We simulated

279   20 trials for each stimulus. Each trial was simulated for 25 sec. We convolved the simulated

280   spike train with a kernel $e^{-t/\tau_2} - e^{-t/\tau_1}$ similar to GCaMP6s kernel to generate

281   simulated $\Delta F/F$ traces (rise time τ_1 = 100 ms, decay time τ_2 = 2 s). TCA was fitted on

282   subsampled simulated $\Delta F/F$ traces with a time resolution of 100 ms.

283

284   **QUANTIFICATION AND STATISTICAL ANALYSIS**

285   **Correlation between reliability of co-active neuron pairs**

286   To investigate dependency on reliability for neuronal coactivation, we calculated the

287   Pearson correlation between reliability of significantly positively correlated neuron pairs in

288   all recorded imaging fields. To select those neuron pairs, we calculated the Pearson

289   correlation between pairs of neuronal responses and picked neuron pairs with positive and

290   significant ($p < 0.001$) correlations.

291

292   **Ordering of TCA components**

293   For analysis on responses during Nat Mov, TCA components were ordered by their

294   consistency over trials. The consistency of TCA components was quantified as coefficient

295   of variation (CV) of their trial factors.

296   For analysis on concatenated responses, TCA components were first separated

297   into two groups based on whether the sum of trial factors during first 8 trials (during PDG

298   stimulation) was higher than sum of trial factors during second 8 trials (during Nat Mov

299   stimulation). Then, within each group, TCA components were ordered by their consistency.

300

301   **Sorting neurons by dominant components**

302   Neurons were reordered by their dominant components. There were two steps for this

303   sorting method. First, we grouped neurons by their dominant component. Dominant

304   component was defined as the component with the highest neuron factor value for a given

305   neuron. Second, within each group of neurons with the same dominant component, we

306   sorted neurons by their neuron factor values of the dominant component in descending

307   order.

308

309   **Fitting performance**

310   We used the coefficient of determination (R^2) to quantify the fitting performance of

311   reconstructed responses by TCA components. Before we calculated R^2 between

312   normalized $\Delta F/F$ traces and reconstructed $\Delta F/F$ traces, we set the negative part of

313   normalized $\Delta F/F$ and corresponding part of reconstructed $\Delta F/F$ traces to zero.

314

315   **Response reliability**

316   Response reliability was defined as the correlation coefficient of neural responses between

317   pairs of trials averaged over all trial pairs for a given neuron:

318

319
$$Reliability_i = \frac{2}{K(K-1)} \sum_{k_1=1}^{K} \sum_{k_2=k_1+1}^{K} \frac{Cov\left(r_{k_1}, r_{k_2}\right)}{\sqrt{Var(r_{k_1})\,Var(r_{k_2})}}$$

320

321

322   **Results**

323   **Response reliability has a skewed distribution.**

324   We recorded from layer 2/3 pyramidal neurons in V1 of awake, head-fixed mice using two-

325   photon calcium imaging of transgenic mice expressing the calcium indicator GCaMP6s in

326   excitatory neurons (see Methods) (Fig. 1C, D). Mice watched a repeated clip of a 30 sec

327   naturalistic movie for 30 trials while being constrained within a tube (see Methods). We

328   recorded from 10 imaging fields in 7 mice and extracted calcium responses ($\Delta F/F$) from

329   a total of 4077 well-isolated somatic regions of interest (ROIs). Neuronal responses varied

330   across trials. Using previously described methods (Goard and Dan 2009; Rikhye and Sur

331   2015), we quantified this response variation in terms of the "response reliability", defined

332   as the correlation coefficient of neural responses between pairs of trials averaged over all

333   trial pairs for a given neuron (Fig. 1E; see Methods). Response reliability distributions were

334   skewed, with most neurons exhibiting low response reliability (Fig. 1F; Supplemental Fig.

335   S1A (https://figshare.com/s/59b11baca3948f34db87)). Note that the skewed distribution

336   was not a result from the slow dynamics of calcium transients (Supplemental Fig. S1B

337   (https://figshare.com/s/59b11baca3948f34db87)). Because of the unimodal distribution, a

338   distinction between "reliable" and "unreliable" neurons is not useful.

339

340   **Neurons co-vary significantly with each other during stimulus presentation.**

341   To quantify the level of coordination among the neurons in the population activity, we

342   applied nonnegative TCA (see Methods) to the normalized $\Delta F/F$ data from recordings

343   organized into a three-dimensional tensor (Fig. 1G-J), as previously described ((Williams

344   et al. 2018)). We found that with 20 components, the nonnegative TCA decomposition

345   captured a significant amount of neural responses (545 neurons x 350 time points x 30

346   trials) for neurons with diverse reliability without overfitting (Fig. 1H; Fig. 2A). We quantified

347   the fitting performance of individual neurons by the coefficient of determination (R^2), and

348   found that in general, fitting performances on neurons with high reliability were higher than

349   that of neurons with low reliability (Fig. 2B). Given that TCA is built to capture responses

350   that are shared across dimensions (across neurons, time or trials), it is not surprising to

351   see that neurons with high reliability, whose responses are shared across trials, were

352   better fit. However, for some neurons with low reliability, fitting performances were also

353   surprisingly high (Fig. 2B), which suggests that their responses are shared across

354   neurons. To quantify the extent to which neuronal responses are shared across neurons,

355   we fitted TCA on neural responses with randomly shuffled trials for each neuron

356   independently. Note that the reliability of each neuron after shuffling is still the same as

357   that in the original data. Fitting performances on the original data were significantly better

358   than fitting performances on data with shuffled trials (Fig. 2C), especially for neurons with

359   low reliability. Furthermore, neurons were co-active largely independent of their reliability,

360   supported by weak correlation between reliability of co-active neuron pairs (Supplemental

361   Fig. S2D (https://figshare.com/s/e9f4a464bbde7f717bbe), see Methods). In conclusion,

362   this comparison indicates that neuronal coactivation pattern significantly contributes to

363   population activity during stimulus presentation from single (Fig. 2C) and combined

364 experiments (Supplemental Fig. S2B, C (https://figshare.com/s/e9f4a464bbde7f717bbe)).

365

366 **Neurons with a range of reliability are co-active and encode stimulus-driven and -**

367 **independent variables.**

368 To reveal the encoding paradigm of the neurons, we visualized all 20 TCA components in

369 matrix form, sorted by their consistency across trials (Fig. 3A). Here neuron factors directly

370 reflect the coactivation pattern of the neurons (Supplemental Fig. S2E, F

371 (https://figshare.com/s/e9f4a464bbde7f717bbe)), and trial factors indicate whether the

372 latent variables or TCA components are driven by the stimulus. We quantified the

373 consistency of components by the coefficient of variation (*CV*) of their trial factors.

374 Consistent components with low CV represent stimulus-driven variables, while

375 inconsistent components with high CV represent stimulus-independent variables. In

376 addition, we sorted the neurons based on their response reliability when visualizing neuron

377 factors. The sorting by consistency and reliability revealed two key observations. First,

378 there is a continuous distribution of consistency of components. Second, neurons with

379 diverse reliability co-vary and encode different components, as indicated by 10 neurons

380 with the highest neuron factor values for each component spanning a range of reliability

381 (Fig. 3B; Supplemental Fig. S3 (https://figshare.com/s/2789918249d3fd0b7af1); Fig. 4). In

382 other words, a single neuron's response reliability imposes only a weak constraint on its

383 encoding capabilities. This spread of coactivation pattern across reliability leads to a

384 seemingly paradoxical conclusion that neurons with low reliability can encode stimulus-

385 driven variables and neurons with high reliability can encode stimulus-independent

386 variables (Supplemental Fig. S2G, H (https://figshare.com/s/e9f4a464bbde7f717bbe)).

387 This apparent paradox is illustrated by responses from two example neurons (Fig. 3C, D).

388 The neuron with low reliability in Fig. 3C displayed highly variable responses from trial to

389 trial, however, whenever it fired, it fired at the same time point in the trial. Thus, the neuron

390 with low reliability had a high neuron factor value (higher than one s.d. above mean) for

391 the consistent component shown in Fig. 3C. By contrast, the neuron with high reliability in

392 Fig. 3D had a high neuron factor value for the corresponding inconsistent component. This

393 resulted from the fact that the neuron with high reliability not only encoded stimulus-driven

394 variables, but also encoded stimulus-independent variables. The findings indicate that one

395 neuron can co-vary with different groups of neurons and encode distinct variables. The

396 two key observations largely hold for neural responses to drifting gratings, however there

397 were fewer neurons with high reliability encoding stimulus-independent variables

398 (Supplemental Fig. S3 (https://figshare.com/s/2789918249d3fd0b7af1)).

399

400 **Neuronal coactivation pattern randomly redistributes across different stimuli.**

401 Cortical neurons are deeply embedded in a recurrent neural circuit (Douglas et al. 1995).

402 The recurrent nature of cortical circuits raises the question of how the observed single-

403 neuron reliability and the population coactivation patterns are modulated by feedforward

404 visual input. To investigate the impact of feedforward and recurrent input, we analyzed

405 neural responses to a naturalistic movie clip (Nat Mov) and periodic drifting gratings (PDG)

406 stimuli from neurons in the same imaging field. In order to make a direct comparison across

407 stimuli, we matched their trial structure for all analyses (see Methods).

408   First, we compared how single neuron activity changes across stimuli. The activity

409 level (averaged $\Delta F/F$ over time) of cortical neurons followed a skewed distribution during

410 both Nat Mov and PDG stimulation (Fig. 5A). In addition, neurons' activity level

411 substantially redistributed across stimuli (Fig. 5B). The response reliability to both stimuli

412 also followed skewed distributions (Fig. 5C) and extensively redistributed across stimuli

413 (Fig. 5D).

414 Second, we compared how neuronal ensembles change across stimuli. Are

415 neurons co-active in the same way during Nat Mov stimulation and PDG stimulation? To

416 answer this question, we fitted TCA with 20 components on concatenated neural

417 responses (Fig. 5E). Note that TCA is ignorant to which stimulus is on during each trial.

418 Despite this lack of information about the trial structure, TCA successfully identified two

419 groups of components corresponding to the two stimuli (Fig. 5E). As expected, the

420 consistent components during PDG stimulation reflect the tuning curves of orientation

421 selective neurons, with two peaks for their temporal factors corresponding to responses to

422 orientations separated by 180 degrees. To quantify similarities between neural ensembles,

423 we calculated the correlation coefficient (CC) between neuron factors of different

424 components (Fig. 5F). Note that TCA factors are not necessarily orthogonal to each other,

425 in contrast to principal component analysis (Kruskal 1977; Williams et al. 2018). Thus, the

426 CC between neuron factors is not expected to be zero or negative. We found that inter-

427 component CCs *within* stimuli were predominantly negative while inter-component CCs

428 *across* stimuli centered around zero (Fig. 5G). A negative CC between two components

429 indicates that if one neuron is recruited by one component, it is unlikely to be recruited by

430 the other component. Consequently, different TCA components within stimuli, i.e., Nat Mov

431 or PDG, tend to be encoded by largely non-overlapped ensembles of neurons, while

432 different TCA components across stimuli, i.e., Nat Mov vs PDG, tend to be encoded by

433 random ensembles of neurons. Importantly, the fact that neuronal ensembles are

434 randomly reorganized for different external visual inputs, raises the question whether

435 neural ensembles are formed mainly due to feed-forward external inputs instead of cortical

436 recurrent connections.

437

438 **A balanced model network with random connectivity and correlated external inputs**

439 **reproduces key features of the observed cortical activity.**

440 To identify a potential mechanism behind the observed cortical dynamics, we simulated a

441 balanced model network (van Vreeswijk and Sompolinsky 1996, 1998a) with random

442 connectivity and clustered external inputs (clustered as defined by grouping of neuron

443 inputs; note that the model has no spatial organization, see Methods and Fig. 6A). In brief,

444 the recurrent model network consisted of 1600 excitatory and 400 inhibitory binary point

445 neurons with uniform random connectivity for each neuron type (see Supplemental Fig

446 S4A (https://figshare.com/s/576fe4fa7850f8cecde5) and Methods). To mimic stimulus-

447 driven and -independent variables in the model, we constructed two qualitatively different

448 sets of external input pulse trains (Supplemental Fig. S4D, E

449 (https://figshare.com/s/576fe4fa7850f8cecde5)). One set of 20 different input pulse trains

450 was identical ("frozen") across trials, thus imitating stimulus-driven variables. Another set

451 of 20 different input pulse trains varied in a trial-independent manner, thus imitating

452 stimulus-independent variables. To mimic coactivation patterns among neurons, we

453 randomly partitioned the 2000 model neurons into 20 clusters of 100 model neurons each.

454 All neurons within a cluster received the same "frozen" input pulse train. For another

455 random partitioning of the model neurons into 20 clusters of 100 neurons, all neurons

456   within a cluster received the same input pulse train, that, however, varied in a trial-

457   independent manner. To match with the temporal structure of experimental data, we

458   mimicked $\Delta F/F$ responses by convolving simulated spike trains with alpha functions (see

459   Methods). All of the following analyses were performed on the simulated $\Delta F/F$ responses.

460   With a choice of appropriate set of parameters, key features of the observed cortical

461   activity were reproduced by the model network (Fig. 6). Even though model neurons

462   received highly correlated external inputs, they operated in an asynchronous state (Fig.

463   6B) due to balanced excitatory and inhibitory recurrent inputs (Renart et al. 2010). In

464   addition, with lognormal distributed synaptic weights of external inputs (Supplemental Fig.

465   S4B (https://figshare.com/s/576fe4fa7850f8cecde5)), the model exhibited a skewed

466   distribution of response reliability (Fig. 6C). Furthermore, consistent with experimental

467   results, simulated activities of model neurons were well fitted by TCA (Fig. 6D) and they

468   co-varied more than expected by chance (Fig. 6E). Moreover, both consistent and

469   inconsistent components recruited neurons with a range of reliability (Fig. 6F, G).

470   Importantly, when the model network was presented with two different stimuli (see

471   Methods), inter-component CCs *within* stimuli were predominantly negative while inter-

472   component CCs *across* stimuli centered around zero (Fig. 6H, I).

473   By reproducing the observed cortical dynamics, the model revealed several

474   essential insights. First, the clustered structure in external inputs, instead of the clustered

475   structure   in   recurrent   connections   (Supplemental   Fig.   S5A-C

476   (https://figshare.com/s/c95cdcf1477941ee7875)), is more likely to support the observed

477   coactivation pattern in neuronal responses. Clustered recurrent connections would lead to

478   spontaneous slow dynamics during which neurons within the cluster transiently increased

479 or decreased their firing rate (Litwin-Kumar and Doiron 2012). This spontaneous slow

480 dynamics results in multiple inconsistent components with different temporal factors but

481 the same neuron factors (Supplemental Fig. S5C

482 (https://figshare.com/s/c95cdcf1477941ee7875)), which is contradictory to the

483 experimental results. In contrast, TCA components of the model with clustered external

484 inputs and random connectivity qualitatively resembled TCA components from

485 experimental data (Fig. 6). Second, to impose coactivation patterns on neurons with a

486 range of reliability, each neuron needs to receive two kinds of inputs: (i) frozen input pulse

487 train imitating a stimulus-driven variable, and (ii) trial-varied input pulse train imitating a

488 stimulus-independent variable. If each neuron received either the frozen or the trial-varied

489 input pulse train (Supplemental Fig. S5D

490 (https://figshare.com/s/c95cdcf1477941ee7875)), then neurons' coactivation pattern

491 would be determined by neuron's reliability (Supplemental Fig. S5E, F

492 (https://figshare.com/s/c95cdcf1477941ee7875), Fig. 1B, the constrained case).

493   In conclusion, this analysis of recurrent balanced neural network models revealed

494 that both the stimulus specificity and the mixed encoding of qualitatively different variables

495 can arise from clustered external inputs.

496

497 **Discussion**

498 Neural variability is widely studied as a single-neuron feature (Faisal et al. 2008; Mainen

499 and Sejnowski 1995) and a population-wide feature (Cohen and Kohn 2011; Doiron et al.

500 2016). Here, we related single-neuron variability to population-wide variability by asking

501 how neurons with different levels of reliability encode unobserved variables. Our work

502   demonstrated that neurons spanning a range of reliability are co-active and encode a

503   mixture of stimulus-driven and stimulus-independent unobserved variables. We found that

504   a neuron's response reliability and the neuronal coactivation patterns substantially

505   reorganized for different external visual inputs. Furthermore, our model suggested

506   clustered external inputs underpin the observed coactivation pattern of neurons. More

507   broadly, this study has made the following contributions to our understanding of

508   connectivity-mediated variability in visual cortex.

509   First, we found that neural variability is well captured by additive and multiplicative

510   modulation shared across neuron ensembles, as shown by the applicability of the linear

511   TCA analysis (Fig. 2A, B). Neural variability can be modeled as an additive modulation

512   (Scholvinck et al. 2015) by summing the trial-averaged evoked response and some

513   stochastic activity such as spontaneous activity (Arieli et al. 1996). Alternatively, neural

514   variability can be modeled as a multiplicative modulation (Ecker et al. 2014; Goris et al.

515   2014) by multiplying the trial-averaged evoked response with a gain factor. Both additive

516   and multiplicative modulations are necessary to reproduce neural variability observed in

517   experimental data (Arandia-Romero et al. 2016; Lin et al. 2015). Here, we modeled trial-

518   to-trial variability as a sum of gain-changed temporal factors, where the gain is governed

519   by the corresponding neuron factor and trial factor. Note that the temporal factors

520   represent the shared neural activity across neurons and trials, which might serve as a

521   better neural basis than the trial-averaged evoked responses (Williams et al. 2018).

522   Second, we found that individual neuron's response reliability imposes only a weak

523   constraint on its encoding capabilities. One explanation given for the presence of neurons

524   exhibiting weak responses to sensory stimuli is that even poorly-driven neurons may

525 contribute to sensory coding (Leavitt et al. 2017; Safaai et al. 2013). Indeed, we show that

526 neurons with low reliability often make strong contributions to consistent stimulus-driven

527 factors, despite the fact that the responses of individual neurons can be highly variable

528 across trials (Fig. 3). In contrast, researchers have proposed that variable activity across

529 trials is due to coding of non-sensory information, such as motor or behavioral variables

530 (Niell and Stryker 2010; Vinck et al. 2015). A recent paper using shared variance

531 component analysis identified stimulus-independent latent factors that were linked to facial

532 movements and drove visual cortical neurons independently of sensory input (Stringer et

533 al., 2019b). Our results are also in agreement with this finding, as we show that neurons

534 from a range of reliability contribute to stimulus-independent latent factors (Fig. 3). Taken

535 together, these results show that the encoding of distinct variables are not mutually

536 exclusive, and that both phenomena are evident in visual cortical networks.

537   Third, our experiment and model results support the possibility that clustered

538 external inputs underpin the neuronal coactivation pattern. Alternatively, co-active

539 neuronal ensembles could result from structured recurrent connectivity, based on the fact

540 that the connectivity probability between co-active neurons is higher than neurons with

541 decorrelated evoked responses (Ko et al. 2011). Additional evidence in support of this

542 alternative mechanism is the similarity between co-active neuronal ensembles during

543 spontaneous and stimulus-modulated activity (MacLean et al. 2005; Miller et al. 2014).

544 However, the evidence might not be sufficient: a neural network with random connectivity

545 can also generate similar neuronal coactivation patterns during spontaneous and evoked

546 activity (Okun et al. 2012). Moreover, consistent with previous work (Hofer et al. 2011), we

547 found that neuronal coactivation pattern is highly dependent on stimulus (Fig. 5), which

548 demonstrated that external inputs, instead of recurrent connection, may be the dominant

549 factor in the formation of neuronal ensembles. The mechanism underlying these

550 coactivation patterns is still unclear. Searching for further evidence for our proposed

551 mechanism might require analyses on simultaneous recordings from external inputs and

552 cortical neurons (Sun et al. 2016).

553 Fourth, the coactivation pattern of neurons with diverse reliability provides insights

554 on the connectivity of external inputs to visual cortex. Neuroanatomy data showed that V1

555 in mice is highly interconnected with other regions of neocortex (Froudarakis et al. 2019).

556 For instance, V1 receives inputs carrying sensorimotor information (Petreanu et al. 2012).

557 However, the structure of inputs at the neuronal population level remains elusive. In Figure

558 1, we described a spectrum of how neurons encode stimulus-driven and -independent

559 variables. Based on model investigations (Supplemental Fig. S5D-F

560 (https://figshare.com/s/c95cdcf1477941ee7875) & Fig. 6), the two extremes of the

561 spectrum correspond to different external input structures. Our experimental and model

562 results suggested that a neuron's reliability imposes only a weak constraint on its encoding

563 capability, indicating that neurons receive both frozen and trial-varied inputs. This input

564 paradigm has a potential functional advantage such that fewer neurons are required to

565 encode the same number of variables, compared to distinct external inputs projecting to

566 separate groups of neurons. Furthermore, different variables are encoded by largely non-

567 overlapped groups of neurons within a stimulus set (Fig. 5). This non-overlapping encoding

568 strategy indicates that each input tends to innervate different groups of neurons. Such a

569 mutually exclusive representation may enable simple linear readout for downstream

570 neurons. This tradeoff between efficient coding and high readout efficiency informed the

571   choice of the input structure in our model. However, the chosen input structure in our model

572   may not be the only possible solution to reproduce the key features of neuronal

573   coactivation patterns. Another limitation of our model is that we assumed random

574   connectivity between model neurons, which is not true for cortical neurons. Models with

575   spatial dependence in connectivity resembling cortical networks (Huang et al. 2019) are

576   good candidates to be investigated in the future.

577   An important next step is to identify what stimulus-driven and -independent

578   variables are encoded by neural responses. Earlier work suggests two possible ways to

579   identify the stimulus-independent variables. First, we can look for behavioral or internal

580   variables which have the highest correlation with the trial factors of inconsistent

581   components (Hirokawa et al. 2019; Stringer et al. 2019b). Second, we can use

582   photostimulation to activate the neuronal ensemble corresponding to the stimulus-

583   independent component and observe the changes of behavioral variables (Carrillo-Reid

584   et al. 2019). However, it is much less straightforward to identify the stimulus-driven

585   variables or visual features in this case. One promising idea is using a generative closed-

586   loop system to evolve synthetic images to maximize the corresponding neuronal

587   ensemble's coactivation (Bashivan et al. 2019; Ponce et al. 2019). Such evolved images

588   might provide insight on the visual features encoded by the particular neuron ensemble.

589

594

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728

729 **Figure 1. Response reliability has a skewed distribution.**

730 A. We decompose single trial neural responses into stimulus-driven latent factors (green) that are

731 consistent across trials and stimulus-independent latent factors (black) that are inconsistent across

732 trials.

733 B. Schematic shows two extremes of a spectrum of possibilities for the encoding of stimulus-driven

734 and stimulus-independent variables: left, reliable neurons co-vary and encode stimulus-driven

735 variables, while unreliable neurons co-vary and encode stimulus-independent variables; right,

736 neuron's reliability does not constrain its encoding capability, thus, neurons covary and encode

737 both kinds of variables regardless of their reliability.

738 C. Experimental setup. We performed two-photon calcium imaging of excitatory neurons in the

739 primary visual cortex of awake, head-fixed mice during visual stimulation with periodic drifting

740 gratings and repeated identical naturalistic movie clips.

741 D. Visual cortex (contralateral to visual stimulus delivery) is retinotopically mapped in Emx1-

742 Cre::TITL-GCaMP6s mice. V1 fields are chosen from the region selective for the center of the

743 presentation screen. Widefield scale bar = 1 mm; 2-photon scale bar = 100 μm.

744 E. $\Delta F/F$ responses of one example neuron with high reliability (top) and one example neuron with

745 low reliability (bottom) during the same naturalistic movie clip for 30 trials (movie starts at 5 sec

746 and lasts for 30 sec duration).

747 F. Distribution of response reliability for 545 recorded neurons in one example imaging field.

748 G. Schematic of Tensor Component Analysis (TCA). Neural data is organized into a third-order

749 tensor with dimensions N x T x K. TCA approximates the data as a sum of outer products of three

750 vectors from R components: neuron factors describe the weights of each neuron, temporal factors

751 describe the temporal dynamics of each latent factor, and trial factors describe the modulation

752 across trials.

753 H. Cross validation of TCA (Williams et al., 2018) on one example dataset (545 neurons x 350

754 frames x 30 trials). Normalized reconstruction error (see Methods) plotted against the number of

755 components of TCA for training set (blue) and test set (orange). Dashed line denotes the TCA

756 model with 20 components.

757 I. One example component is displayed in the form of three vectors: neuron factor, temporal factor

758 and trial factor.

759 J. All the components are displayed in the form of three heatmaps. Each row corresponds to one

760 component (in this example R = 5).

761

762 **Figure 2. Neurons co-vary significantly with each other during stimulus presentation.**

763 A. $\Delta F/F$ traces (top) and reconstructed $\Delta F/F$ traces (bottom) based on 20 TCA components for

764 one example neuron with low reliability (left) and one example neuron with high reliability (right)

765 across trials in one example imaging field.

766 B. Fitting performance R^2 plotted against response reliability. Each dot represents one neuron in

767 the example imaging field.

768 C. Fitting performance R^2 for original data plotted against R^2 for data with shuffled trials. Each dot

769 represents one neuron. Color indicates response reliability. Fitting performance for the original

770 data is significantly better than for data with shuffled trials (Mann-Whitney rank test, p < 0.001) in

771 the same imaging field as in A and B.

772

773 **Figure 3. Neurons with a range of reliability are co-active and encode stimulus-driven**

774 **and -independent variables.**

775 A. Neuron, temporal and trial factors of nonnegative TCA with 20 components. For all three factors,

776 components are ordered by coefficient of variance (CV) of trial factors. In addition, within the

777 neuron factors, neurons are ordered by their response reliability. Two example components are

778 highlighted by horizontal rectangles: (yellow) A "consistent" component with a low CV value of trial

779 factors. (red) An "inconsistent" component with a high CV value of trial factors.

780   B. Reliability (abscissa) and R^2 values (color and dot diameter) for the top 10 neurons with the

781   largest neuron factor values within a component, shown for all 20 components (ordinate).

782   Components are in the same order as in A.

783   C. One example component (same as yellow rectangle in D) that is consistent across trials (trial

784   factor has low CV value). For clarity, here we used the display format of factors as described in

785   Fig. 1I. For one neuron (red dot), the normalized responses and the reconstructed responses are

786   shown below. As seen from the reconstructed response using this component alone (bottom), this

787   neuron with low reliability has a large contribution from the consistent component.

788   D. One example component (same as red rectangle in D) that is inconsistent across trials (trial

789   factor has high CV value). For one neuron (red dot), the normalized responses and the

790   reconstructed responses are shown below. As seen from the reconstructed response using this

791   component alone (bottom), this neuron with high reliability has a large contribution from the

792   inconsistent component.

793

794   **Figure 4. A single neuron's response reliability imposes only a weak constraint on**

795   **its encoding capabilities.**

796   A. Schematic shows reliability distribution of neurons encoding stimulus-driven and -independent

797   variables for extremes of a spectrum of possibilities illustrated in Fig. 1B. Reliability of neurons with

798   large neuron factor values are shown for each TCA component. Consistent components are

799   assumed to represent stimulus-driven variables, while inconsistent components are assumed to

800   represent stimulus-independent variables. Left: neuron's encoding capability is constrained by its

801   reliability; right: neuron's encoding capability is not constrained by its reliability.

802   B. Reliability (abscissa) averaged over top 10 neurons with the largest neuron factor values within

803   a component, shown for all 20 components (ordinate). Components are ordered by consistency,

804   similar to Fig 3B. Shaded area denotes standard deviation of reliability over the top 10 neurons.

805 Here different colors denote different imaging fields. Reliability is positively correlated with the

806 consistency of components. (10 imaging fields; Spearman correlation $r = 0.37 \pm 0.093$, p < 0.05)

807 Dashed line denotes the expected relation between reliability and the consistency of components

808 under constrained extreme, while solid line denotes the expected relation under unconstrained

809 extreme.

810

811 **Figure 5. Neuronal coactivation pattern randomly redistributes across different stimuli.**

812 A. Distribution of averaged $\Delta F/F(\%)$ over time and trials during Nat Mov and PDG for 1 imaging

813 field (opaque color) and the other 9 imaging fields (transparent color).

814 B. Averaged $\Delta F/F(\%)$ during Nat Mov plotted against averaged $\Delta F/F(\%)$ during PDG for neurons

815 in 1 imaging field (black dots) and neurons in the other 9 imaging fields (gray dots). Averaged

816 $\Delta F/F(\%)$ during Nat Mov is weakly correlated with averaged $\Delta F/F(\%)$ during PDG (4077 neurons,

817 Pearson correlation r = 0.07, p < 0.001).

818 C. D. Same as A,B, but for response reliability. Reliability during Nat Mov is weakly correlated with

819 reliability during PDG (4077 neurons, Pearson correlation r = 0.09, p < 0.001).

820 E. Twenty TCA components for concatenated neural responses to visual stimulation with PDG and

821 Nat Mov. Ordering of components is determined by their trial factors (see Methods). Neuron factors

822 are plotted with neurons ordered by their dominant components (see Methods).

823 F. The correlation coefficient (CC) between neuron factors are displayed with the same component

824 order as in E (diagonal entries are set to zero).

825 G. Distribution of CC between neuron factors. Orange is for CC between neuron factors during Nat

826 Mov; blue is for CC between neuron factors during PDG; green is for CC between neuron factors

827 across stimuli; black is for CC between random vectors with the same dimension as neuron factors,

828 representing the chance level. Opaque color is for 1 imaging field; transparent color is for the other

829 9 imaging fields. Both CC during Nat Mov and during PDG is significantly negative (one-sample t-

830   test, for all 10 imaging fields, p < 0.001). CC across stimuli is centered around zeros (one-sample

831   t-test, for all 10 imaging fields, p > 0.1).

832

833   **Figure 6. A balanced network model with random connectivity and clustered external inputs**

834   **reproduces key features of observed cortical activity.**

835   A. Illustration for the input structure to the model network. We simulated a balanced network with

836   uniform random connectivity. There are two types of external inputs: frozen input pulse trains and

837   trial-varied input pulse trains. Both inputs have a clustered input structure but with different neuron

838   partitions. Model network consists of 1600 excitatory neurons and 400 inhibitory neurons. 25 sec

839   x 20 trials are simulated.

840   B. Raster plot of 500 randomly subsampled neurons during 1 trial. Blue dashed line separates

841   inhibitory neurons from excitatory neurons.

842   C. Response reliability histogram for subsampled excitatory neurons. Response reliability is

843   calculated based on simulated $\Delta F/F$ traces.

844   D. Fitting performance R^2 (20 TCA components) plotted against response reliability for

845   subsampled excitatory neurons.

846   E. Fitting performance R^2 for original data plotted against R^2 for data with shuffled trials for

847   subsampled excitatory neurons (Mann-Whitney rank test, p < 0.005). Color indicates response

848   reliability.

849   F. Twenty TCA components. Components are ordered by CV of trial factors. In neuron factor,

850   neurons are ordered by their response reliability.

851   G. Reliability of 10 neurons with the largest neuron factor values for different components.

852   Components are in the same order as in F.

853   H. Twenty TCA components for concatenated neural responses to two stimuli. Neuron factors are

854   plotted with neurons ordered by their dominant components (see Methods).

855 I. Distribution of CC between neuron factors. Color code is the same as Fig. 5G: orange is for CC

856 between neuron factors during stimulus one; blue is for CC between neuron factors during stimulus

857 two; green is for CC between neuron factors across stimuli; black is for CC between random

858 vectors with the same dimension as neuron factors, representing the chance level. Both CC during

859 stimulus one and CC during stimulus two is significantly negative (one-sample t-test, $p < 0.001$),

860 CC across stimuli is centered around zero (one-sample t-test, $p = 0.23$).

861

862

863 **Supplemental figure legends**

864 https://figshare.com/s/7058a75fb2cd75dd22f4

Figure 1

A Stim

Trial 1

Trial 2

Trial 3

$= \begin{bmatrix} 2 \\ 1 \\ 2 \end{bmatrix} \times$ Stim-driven factor $+ \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} \times$ Stim-indep factor

B Constrained   Not constrained

Stim-indep variable   Stim-driven variable   reliability

C Two-photon Calcium imaging

D Widefield   2-photon

A
L—M
P

E Example neuron #1, Reliability = 0.477

trial
10
20

0   Time (sec)   35

Example neuron #2, Reliability = 0.074

trial
10
20

0   35

ΔF/F (%)
200
100
0

F

# of neurons
80
60
40
20

0.0   0.2   0.4   0.6   0.8
Response reliability

G Reconstructed response

Neuron

1

N
0   Time   T
K
1
Trial

≈

K
k
1
1   0   t   T
n
N
Component 1

+

K
k
1
1   0   t   T
n
N
Component 2

+ ⋯ +

K
k
1
1   0   t   T
n
N
Component R

H

Randomly hold out 50% data
as test set

reconstruction error
0.70
0.65
0.60
0.55

Train
Test

20   40   60
# of components

I

Neuron factor   Temporal factor   Trial factor

1   Neuron   N   0   Time   T   1   Trial   K

J

Neuron factor   Temporal factor   Trial factor

Component
1

R
1   Neuron   N   0   Time   T   1   Trial   K

Figure 2

A Normalized responses

Reliability = 0.092   Reliability = 0.801

Reconstructed responses

$R^2 = 0.61$ $R^2 = 0.78$

Time (sec)   Time (sec)

Normalized fluorescence

B Fitting performance on single neurons

R^2

Response reliability

C R^2

R^2 for data with shuffled trials

Reliability

Figure 3

A

TCA Components sorted by CV of trial factor

consistency

Neuron factor

Component

1

20

Neuron

1   545

reliability

Temporal factor

0.0 — 1.4

Time (sec)

0   35

Trial factor

0.0 — 5.1

Trial

1   30

B

Top 10 neurons

Component

1

20

Response reliability

0.2   0.4   0.6   0.8

R^2

0.2 — 0.6

C

Example consistent component

Neuron factor

Temporal factor

Trial factor
CV = 0.26

Normalized responses, reliability = 0.198

Reconstructed responses, r2 = 0.45

Contribution from the component

Normalized fluorescence

D

Example inconsistent component

Neuron factor

Temporal factor

Trial factor
CV = 1.43

Normalized responses, reliability = 0.564

Reconstructed responses, r2 = 0.54

Contribution from the component

Normalized fluorescence

Figure 4

Figure 5

Figure 6