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Title

Big Data, Little Data, or noData? Sustaining Access to Scholarship

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Author

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Big Data, Little Data, or No Data? Sustaining Access to Scholarship

Christine L. Borgman

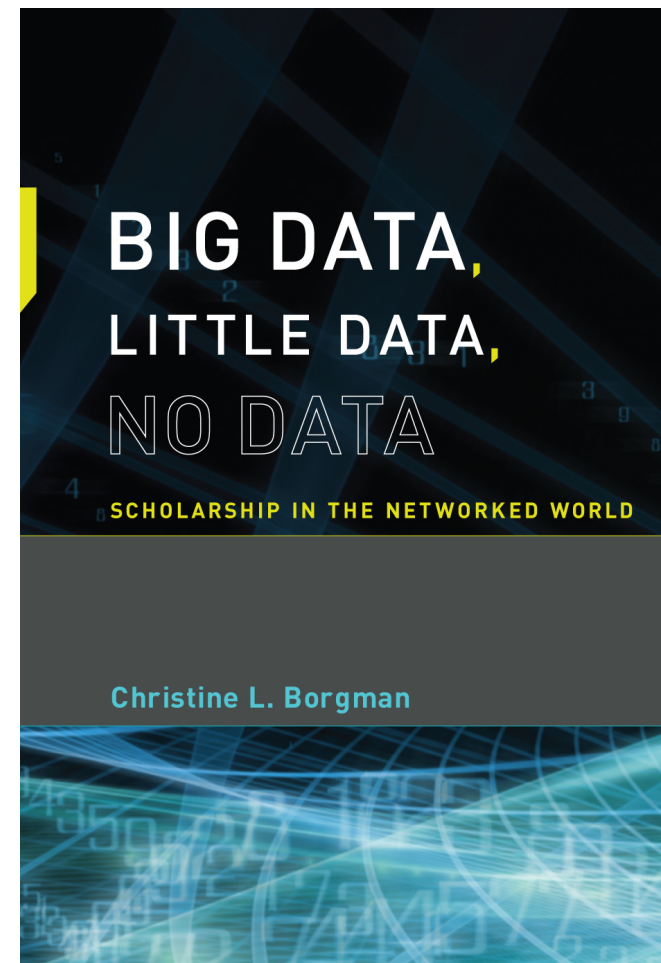
Distinguished Professor and Presidential Chair in
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University of California, Los Angeles

Distinguished Lecture

Academia Sinica, Taipei

6 December 2016



PHILOSOPHICAL
TRANSACTIONS:
GIVING SOME
ACCOMPT
OF THE PRESENT
Undertakings, Studies, and Labours
OF THE
INGENIOUS
IN MANY
CONSIDERABLE PARTS
OF THE
WORLD

Vol I.
For *Anno 1665*, and *1666*.

In the *SAVOY*,
Printed by *T. N.* for *John Martyn* at the Bell, a little with-
out *Temple-Bar*, and *James Allestry* in *Duck-Lane*,
Printers to the *Royal Society*.



Theme issue 'Celebrating 350 years of
Philosophical Transactions: life sciences
papers' compiled and edited by Linda Partridge
19 April 2015; volume 370, issue 1666



Open access policies

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- European Union
- Australian Research Council
- U.S. Federal research policy
- Taiwan, China, India...
- Individual countries, funding agencies



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eScholarship by the Numbers

Views Since 2002:	23,216,720
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Journals:	70





Data



Research Data Sharing
without barriers

Precondition:

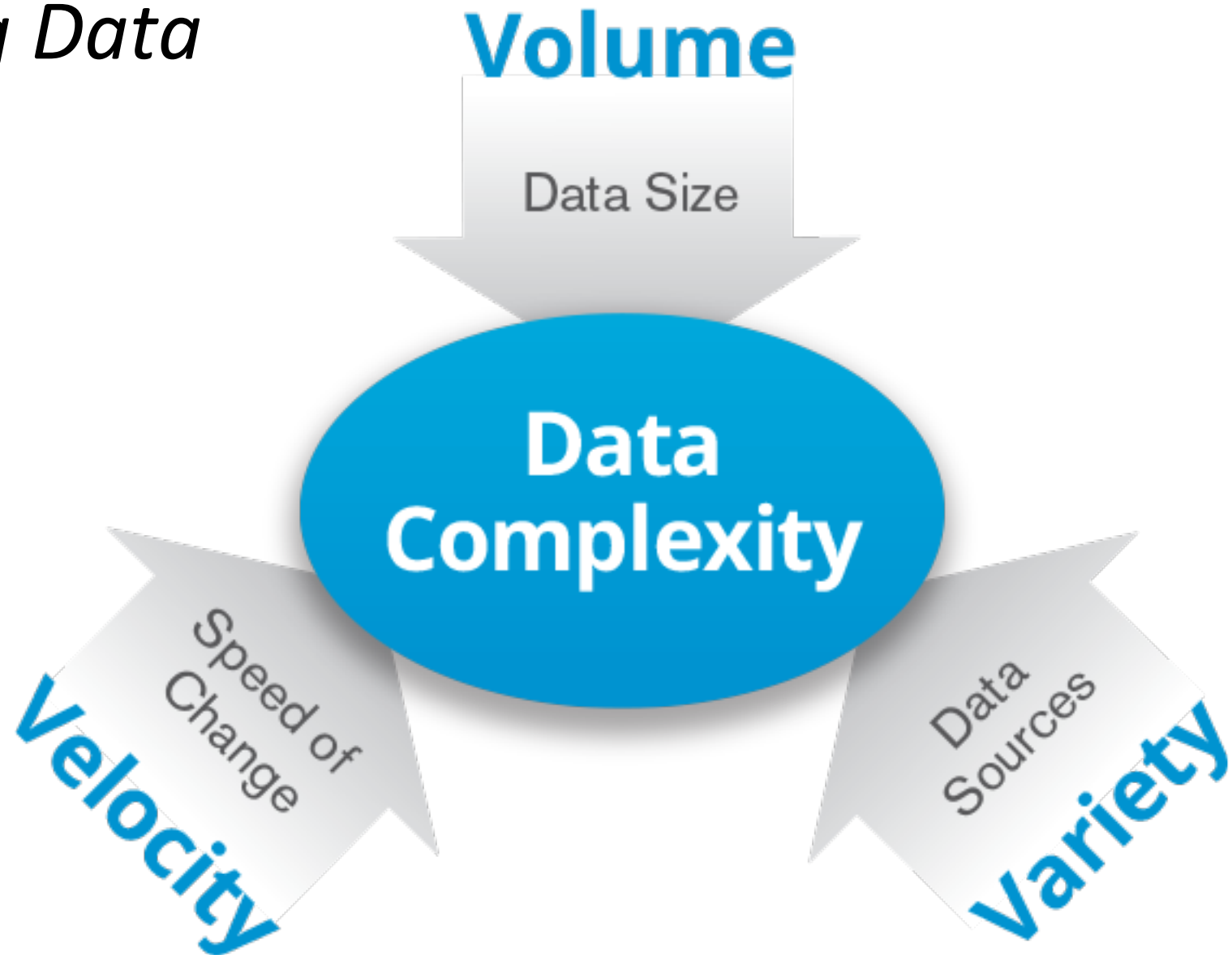
Researchers share data

Lack of incentives to share data



- Rewards for publication
- Effort to document data
- Competition, priority
- Control, ownership

Big Data



WIRED MAGAZINE: 16.07

SCIENCE : DISCOVERIES 

The End of Theory: The Data Deluge Makes the Scientific Method Obsolete

By Chris Anderson  06.23.08



Illustration: Marian Bantjes

How Data Failed Us in Calling an Election

By STEVE LOHR and NATASHA SINGER NOV. 10, 2016



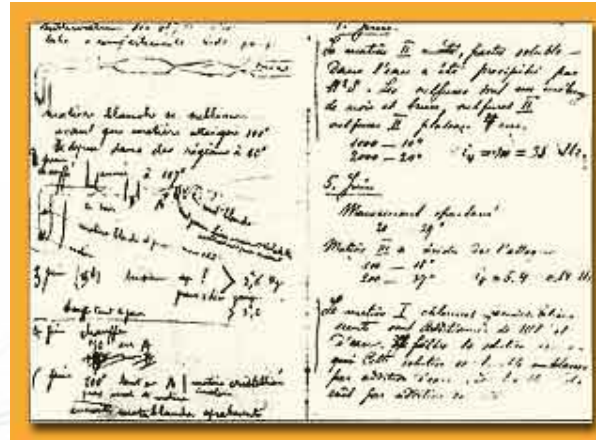
Michigan Democratic Party members in Flint studying precinct results on Tuesday. Virtually all major vote forecasters put Hillary Clinton's chances of winning in the range of 70 to 99 percent.

Brittany Greeson for The New York Times

What are data?



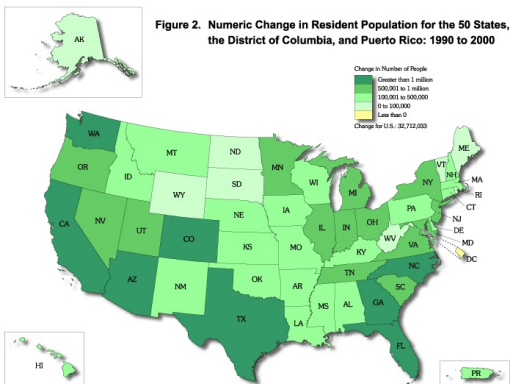
hudsonalpha.org



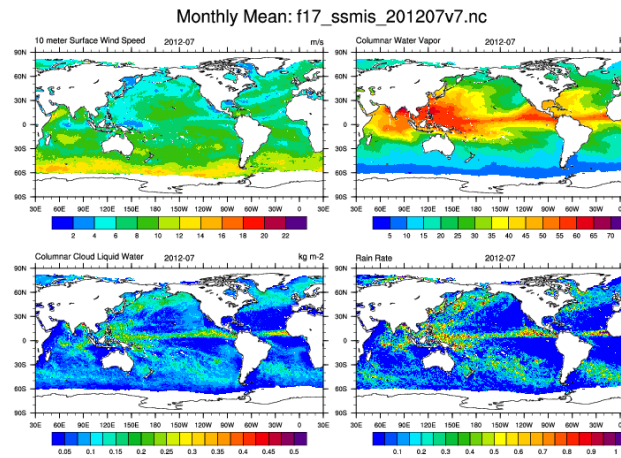
Marie Curie's notebook aip.org



Pisa Griffin



<http://www.census.gov/population/cen2000/map02.gif>



ncl.ucar.edu

Date: 1/2.07.75 Place: Sakaltutan
 Zafor
 He will grow old in his present house; new house is for sons - 5 sons. Not sure they want to live in village. He will only build another if they want him to. eS came from Germany and did the plastering. He arranged the carpentry in Kayseri. Çok para gitti. {much money went} Has a tractor.

Date: July 1980 Place: Sakaltutan
 Zafor:
 Household now Zafor and wife; Nazif Unal and wife and youngest son, still a boy. They run two dolmuş; one with a driver from Süleymanlı. Goes in and out once a day. He gets 8,000 a month. Zafor then said, keskin deOil. {not sharp - i.e.? not profitable} I said he did very well on 8,000 TL with only two journeys a day. Nazif Unal has "bought" a Durak {dolmuş stop} from Belediye and works all day in Kayseri.

http://onlineqda.hud.ac.uk/Intro_QDA/Examples_of_Qualitative_Data.php

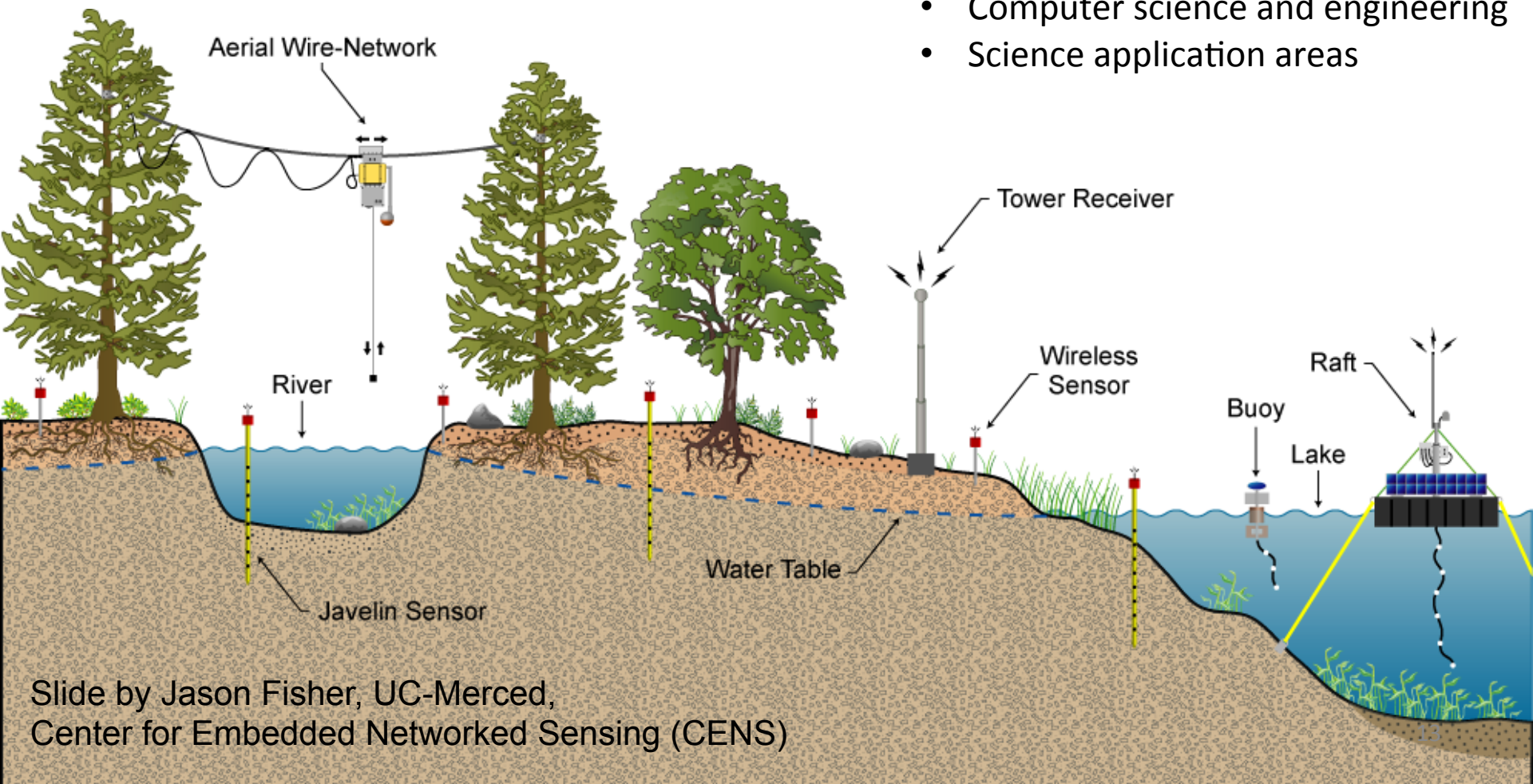


Data are representations of observations, objects, or other entities used as evidence of phenomena for the purposes of research or scholarship.

C.L. Borgman (2015). *Big Data, Little Data, No Data: Scholarship in the Networked World*. MIT Press

Center for Embedded Networked Sensing

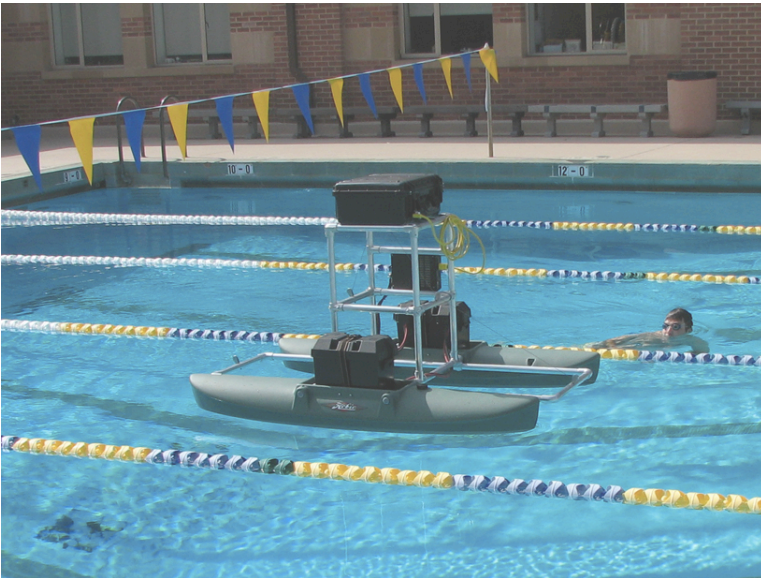
- NSF Science & Tech Ctr, 2002-2012
- 5 universities, plus partners
- 300 members
- Computer science and engineering
- Science application areas



Slide by Jason Fisher, UC-Merced,
Center for Embedded Networked Sensing (CENS)

Science \leftrightarrow Data

Engineering researcher:
“Temperature is temperature.”



CENS Robotics team

Biologist: ***“There are hundreds of ways to measure temperature.*** ‘The temperature is 98’ is low-value compared to, ‘the temperature of the surface, measured by the infrared thermopile, model number XYZ, is 98.’ That means it is measuring a proxy for a temperature, rather than being in contact with a probe, and it is measuring from a distance. The accuracy is plus or minus .05 of a degree. I [also] want to know that it was taken outside versus inside a controlled environment, how long it had been in place, and the last time it was calibrated, which might tell me whether it has drifted..”

Center for Dark Energy Biosphere Investigations



Repository for seafloor cores. Photo: Peter Darch



International Ocean Discovery Program

lodp.tamu.org

- NSF Science & Tech Ctr, 2010-2020
- 20 universities, plus partners (35 institutions)
- 90 scientists
- Biological sciences
- Physical sciences



The Pisa Griffin Project

The aim of this project is to perform a comparative study of three artworks (bronze casts of Islamic provenance), to discover evidence of similarities and to get new insight on their origin.

Probably produced within the Islamic Mediterranean in the eleventh century, the Griffin has incised on its body a long inscription in Arabic expressing good wishes. Captured by the Pisans, it underwent an extraordinary transformation: for centuries it was a terrifying, sound-producing guardian figure on top of the roof of Pisa Cathedral. The present project is focused on the Griffin but also includes alongside it other bronze animal sculptures such as a Lion and a Falcon. It is hoped that the interdisciplinary study of the Griffin will shed light on the significance of such objects in a global Mediterranean culture.

Videos

The Pisa Griffin: an introduction

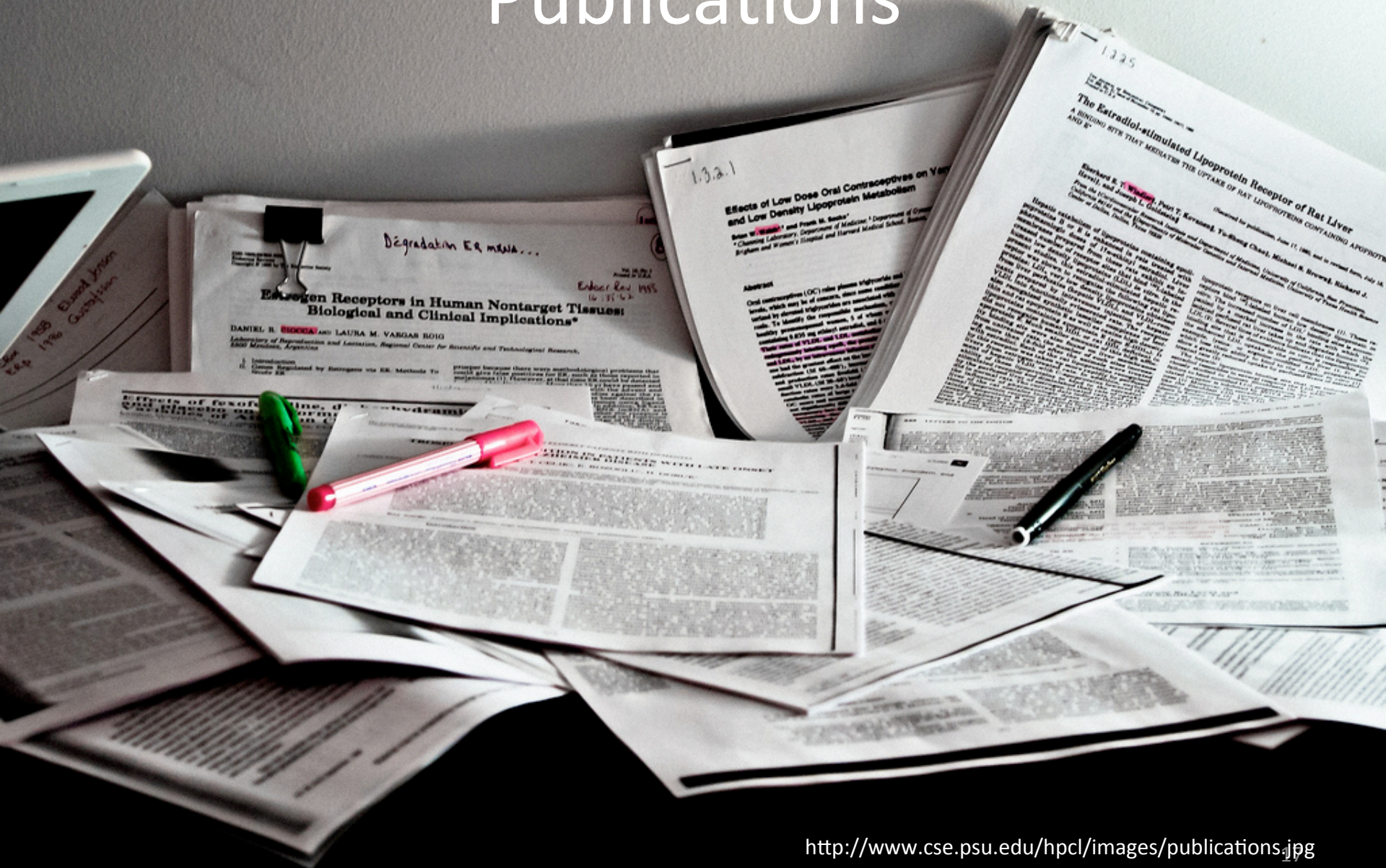


<http://vcg.isti.cnr.it/griffin/>

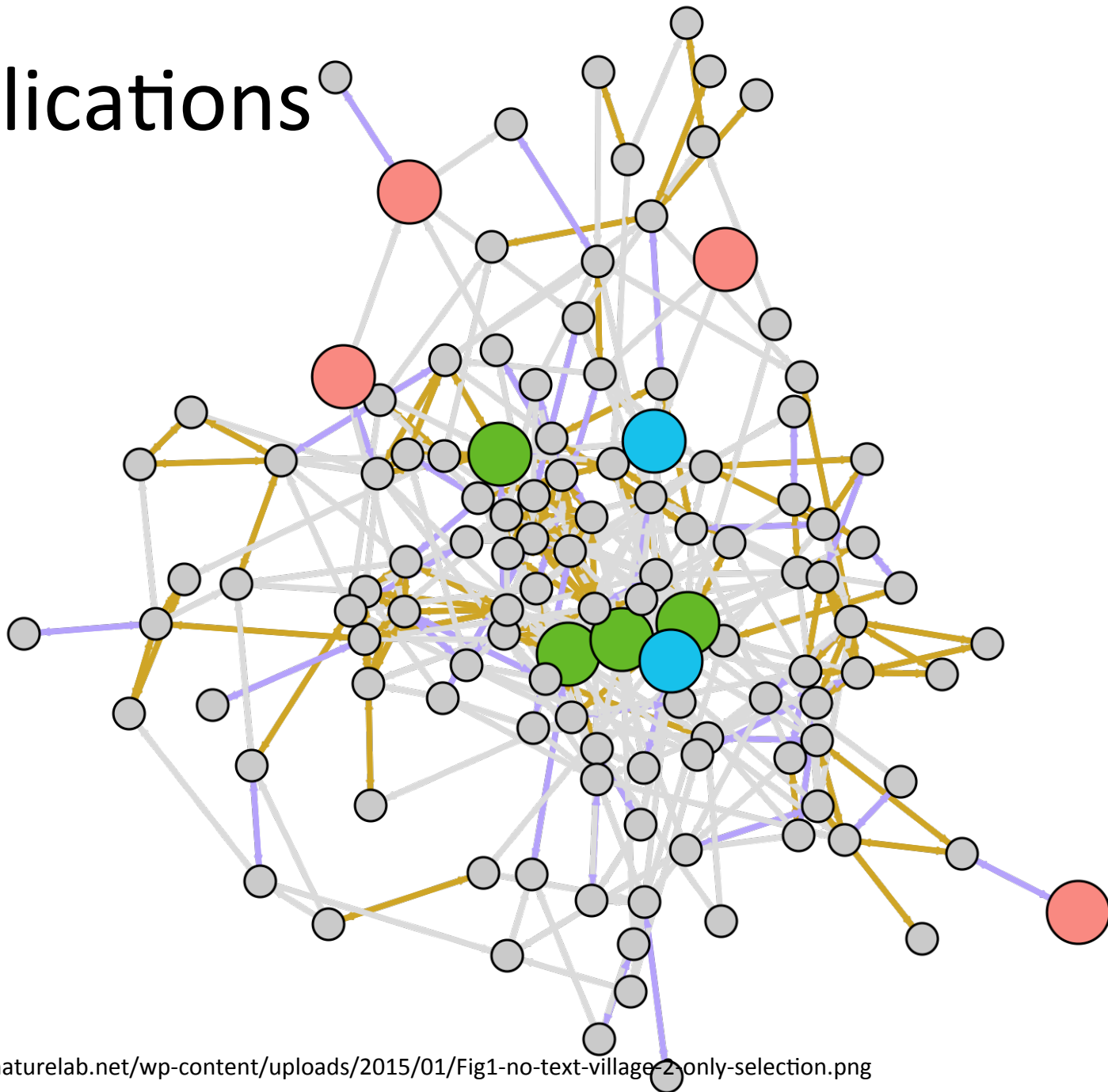
Arte islamica, ippogrifo, XI sec 03, own work



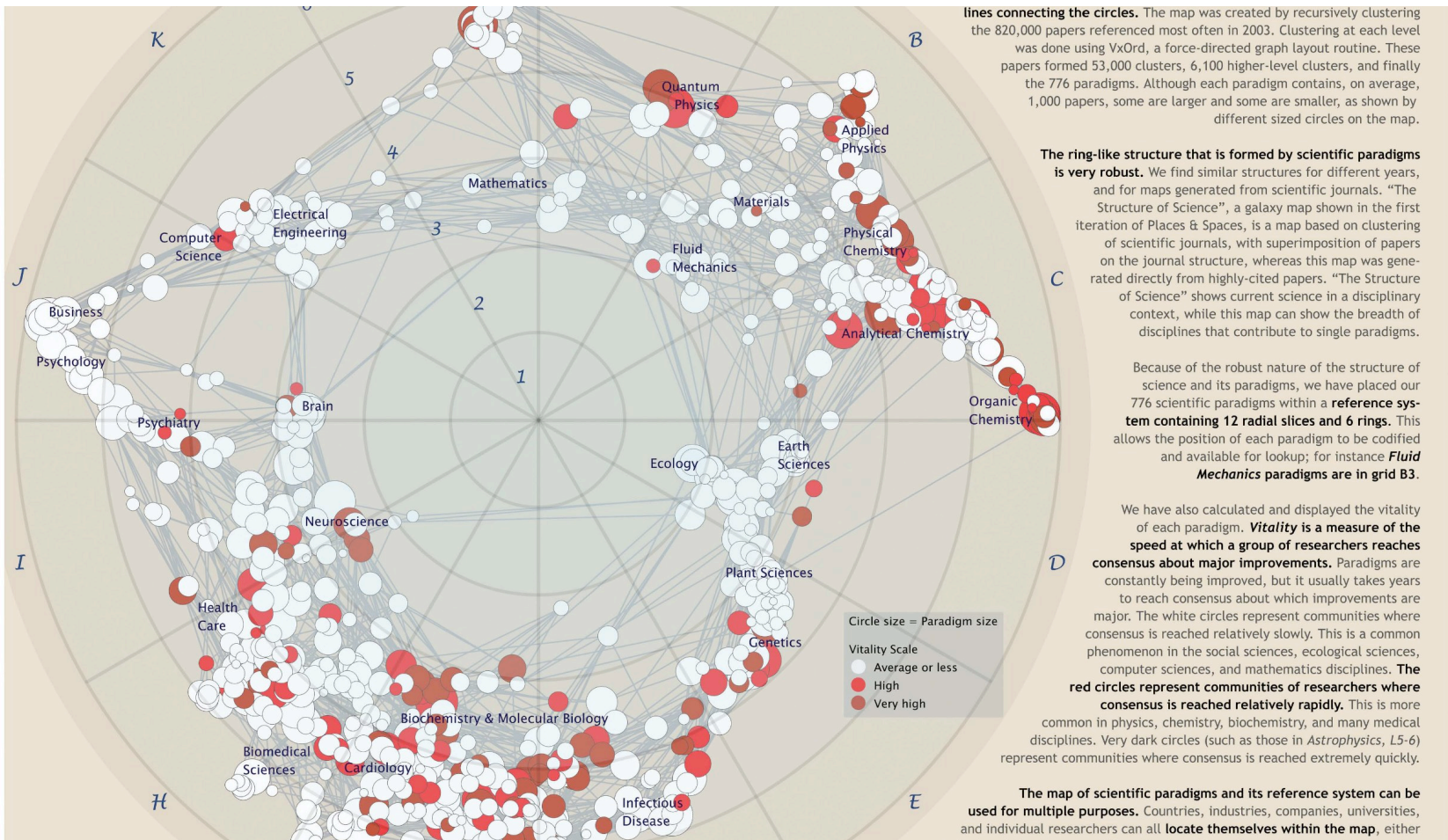
Publications

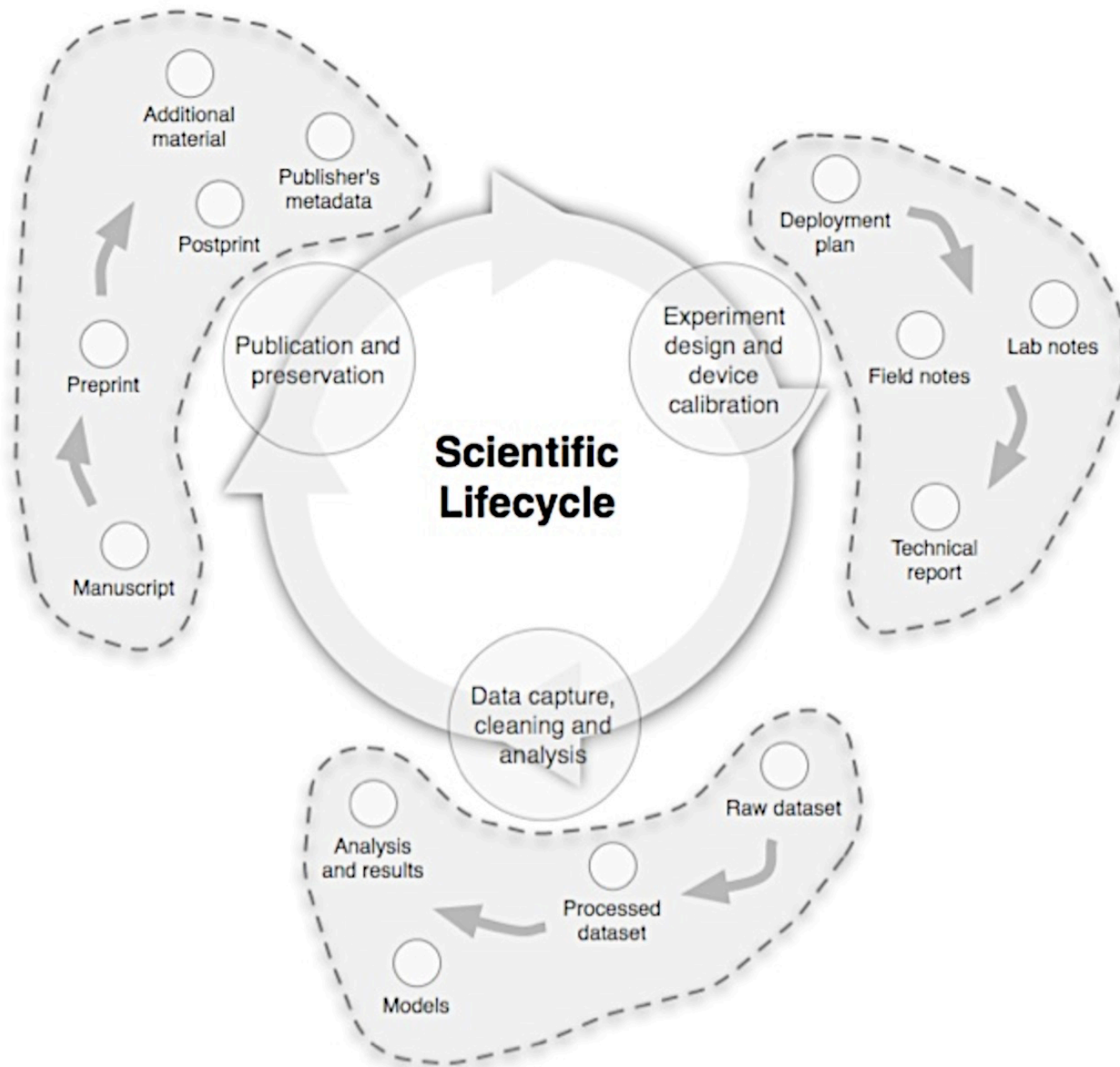


Publications



Mapping Scholarship





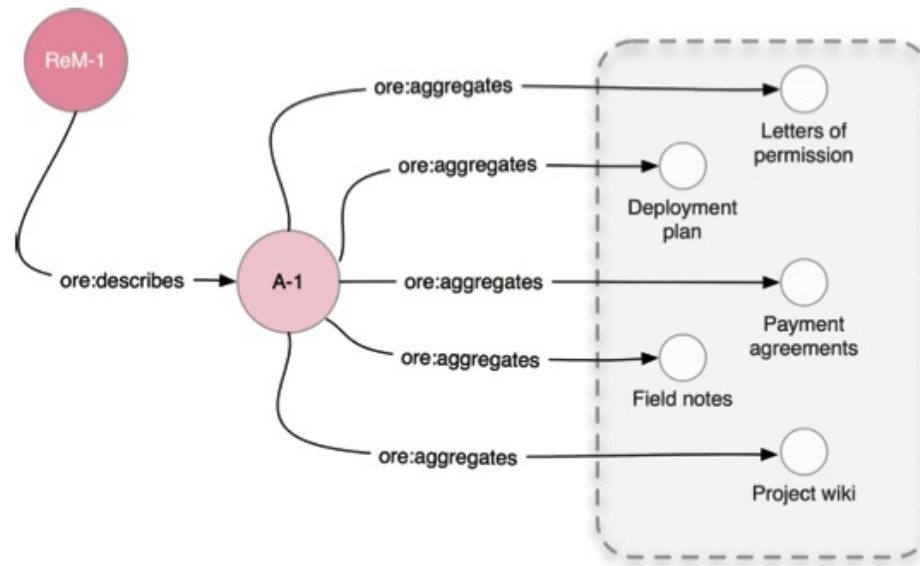


FIG. 4. ORE Aggregation representing the first stage of the scientific life cycle of a sensor network application in seismology (experiment and deployment planning).

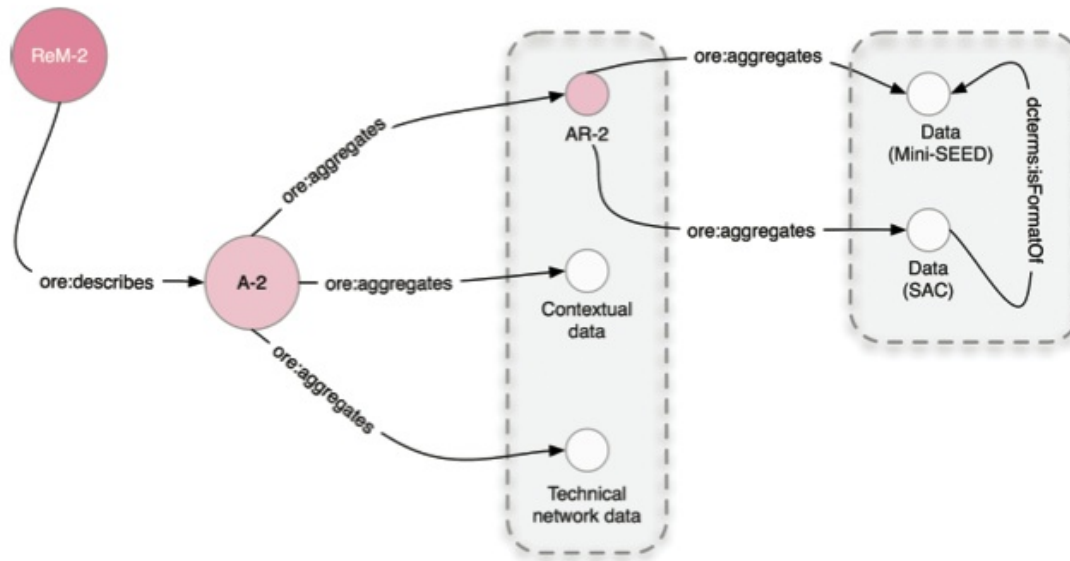


FIG. 5. ORE Aggregation representing the second stage of the scientific life cycle of a sensor network application in seismology (data collection).

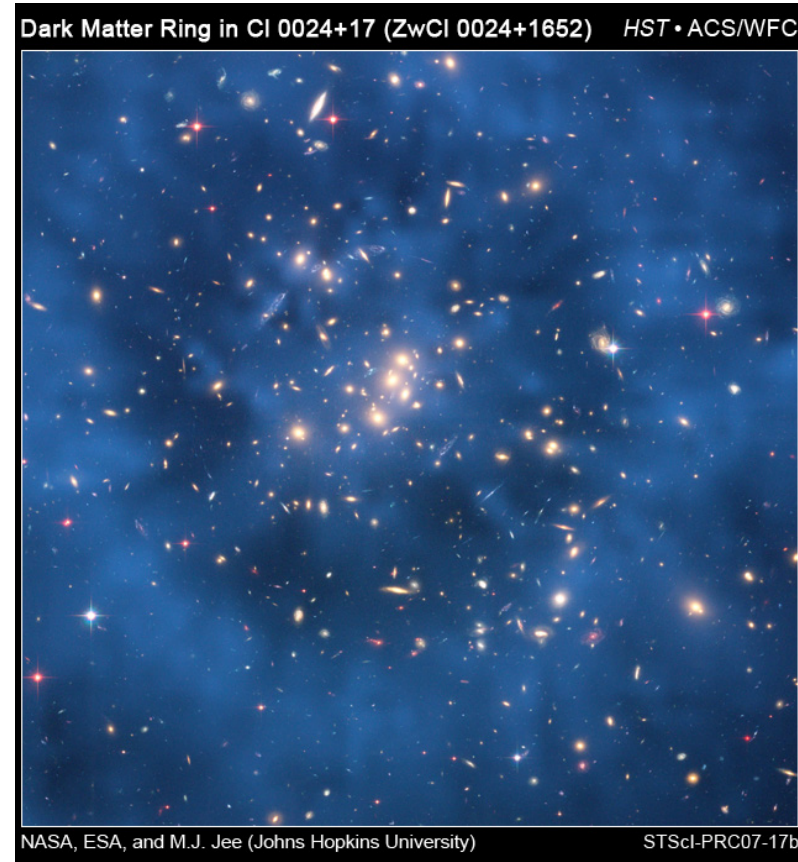
Publications \leftrightarrow Data: Role

Publications are arguments made by authors, and data are the evidence used to support the arguments.



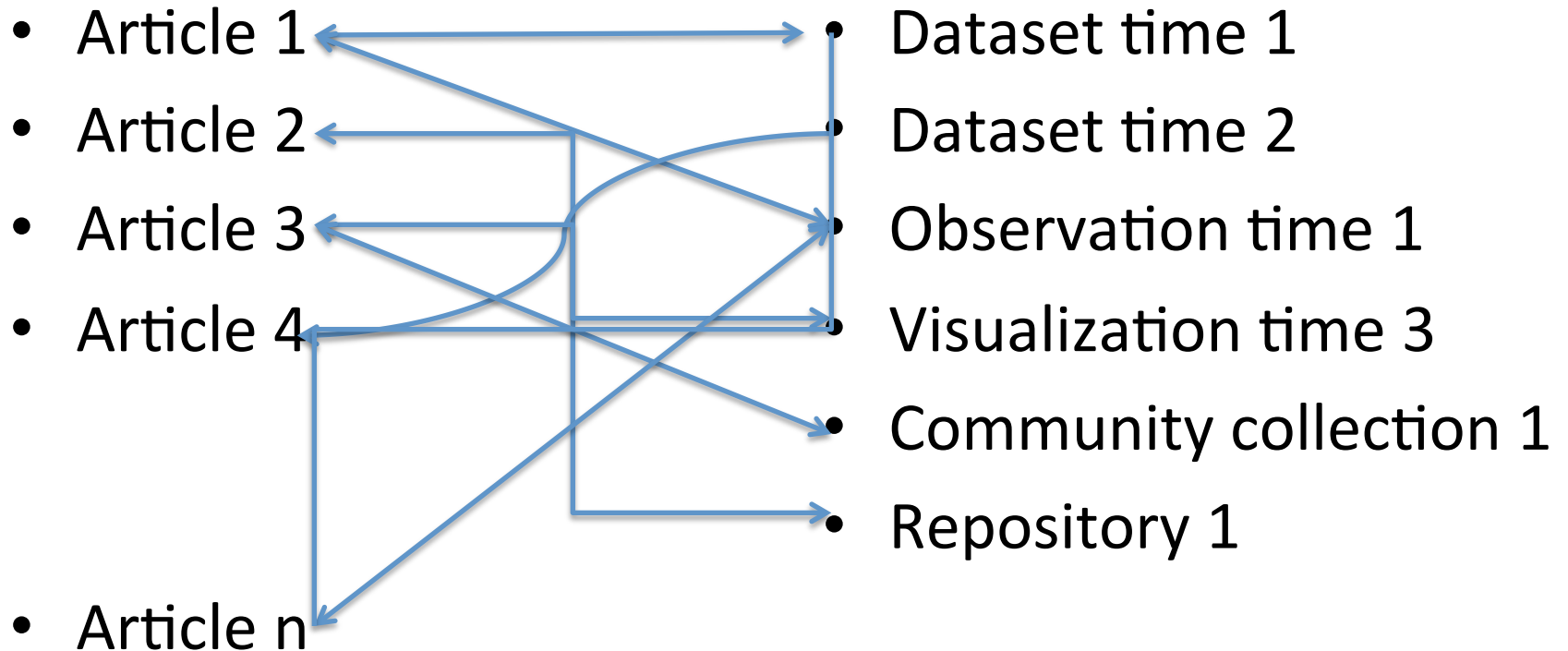
Publications \leftrightarrow Data: Citations

“If publications are the stars and planets of the scientific universe, data are the ‘dark matter’ – influential but largely unobserved in our mapping process”*



*Micah Altman, CODATA-ICSTI Task Group on Data Citation Standards and Practices, 2013, p. 54

Publications \leftrightarrow Data: Mapping



Data citation and analytics

- Credit
- Attribution
- Discovery



Bibliometrics, Scientometrics, Informetrics, Webometrics...

data—associating stored genes with nonidentifying numbers—to protect privacy.¹⁹ Other guidelines recommend anonymization in contexts such as electronic commerce,²⁰ internet service provision,²¹ data mining,²² and national security data sharing.²³ Academic researchers rely heavily on anonymization to protect human research subjects, and their research guidelines recommend anonymization generally,²⁴ and specifically in education,²⁵ computer network monitoring,²⁶ and health studies.²⁷ Professional statisticians are duty-bound to anonymize data as a matter of professional ethics.²⁸

Market pressures sometimes compel businesses to anonymize data. For example, companies like mint.com and wesabe.com provide web-based personal finance tracking and planning.²⁹ One way these companies add value is by aggregating and republishing data to help their customers compare their spending with that of similarly situated people.³⁰ To make customers comfortable with this type of data sharing, both mint.com and wesabe.com promise to anonymize data before sharing it.³¹

Architecture, defined in Lessig's sense as technological constraints,³² often forces anonymization, or at least makes anonymization the default choice. As one example, whenever you visit a website, the distant computer with which you communicate—also known as the web server—records some information

19. Roberto Andorno, *Population Genetic Databases: A New Challenge to Human Rights, in ETHICS AND LAW OF INTELLECTUAL PROPERTY* 39 (Christian Lenk, Nils Hoppe & Roberto Andorno eds., 2007).

20. ALEX BERSON & LARRY DUBOV, MASTER DATA MANAGEMENT AND CUSTOMER DATA INTEGRATION FOR A GLOBAL ENTERPRISE 338–39 (2007).

21. See *infra* Part II.A.3.b.

22. G.K. GUPTA, INTRODUCTION TO DATA MINING WITH CASE STUDIES 432 (2000).

23. MARKLE FOUND. TASK FORCE, CREATING A TRUSTED NETWORK FOR HOMELAND SECURITY 144 (2003), available at http://www.markle.org/downloadable_assets/tstf_report2_full_report.pdf.

24. See THE SAGE ENCYCLOPEDIA OF QUALITATIVE RESEARCH METHODS 196 (Lisa M. Given ed., 2008) (entry for “Data Security”).

25. LOUIS COHEN ET AL., RESEARCH METHODS IN EDUCATION 189 (2003).

26. See Ruoming Pang et al., *The Devil and Packet Trace Anonymization*, 36 COMP. COMM. REV. 29 (2006).

27. INST. OF MED., PROTECTING DATA PRIVACY IN HEALTH SERVICES RESEARCH 178 (2000).

28. European Union Article 29 Data Protection Working Party, *Opinion 4/2007 on the Concept of Personal Data*, 01248/07/EN WP 136, at 21 (June 20, 2007) [hereinafter 2007 Working Party Opinion], available at http://ec.europa.eu/justice_home/fsi/privacy/docs/wpdocs/2007/wp136_en.pdf.

29. See Eric Benderoff, *Spend and Save the Social Way—Personal Technology*, SEATTLE TIMES, Nov. 8, 2008, at A9.

30. See Carolyn Y. Johnson, *Online Social Networking Meets Personal Finance*, N.Y. TIMES, Aug. 7, 2007, available at <http://www.nytimes.com/2007/08/07/technology/07iht-debt.1.7013213.html>.

31. See, e.g., Wesabe, *Security and Privacy*, <http://www.wesabe.com/page/security> (last visited June 12, 2010); Mint.com, *How Mint Personal Finance Management Protects Your Financial Safety*, <http://www.mint.com/privacy> (last visited June 12, 2010).

32. LESSIG, *supra* note 18, at 4.

Aad, G., T. Abajyan, B. Abbott, J. Abdallah, S. Abdel Khalek, A. A. Abdelalim, O. Abidinov, et al. 2012. “Observation of a New Particle in the Search for the Standard Model Higgs Boson with the ATLAS Detector at the LHC.” *Physics Letters [Part B]* 716 (1):1–29. doi:10.1016/j.physletb.2012.08.020.

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Acropolis Museum. 2013. “The Frieze.” <http://www.theacropolismuseum.gr/en/content/frieze-0>.

Agosti, Maristella, and Nicola Ferro. 2007. “A Formal Model of Annotations of Digital Content.” *ACM Transactions on Information Systems* 26 (1). doi:10.1145/1292591.1292594.

Agre, Philip E. 1994. “From High Tech to Human Tech: Empowerment, Measurement, and Social Studies of Computing.” *Computer Supported Cooperative Work* 3 (2):167–195. doi:10.1007/BF00773446.

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Borgman, C. L. (2015). *Big Data, Little Data, No Data: Scholarship in the Networked World*. Cambridge MA: MIT Press.

Bibliometrics by Source

Searches for author: Christine Borgman, Christine L. Borgman, CL Borgman (excluding other C Borgman authors) on July 28, 2014 and November 26, 2016 for Google Scholar, Web of Science, Scopus
UCLA cancelled Scopus subscription by 2016

Source	Publications		Citations received		H-index	
	2014	2016	2014	2016	2014	2016
Google Scholar (Google)	380	443	7766	10714	39	45
Web of Science (Thomson-Reuters)	145	149*	1629	2124*	20	24
<i>Scopus – July 2014 (Elsevier)</i>	<i>77</i>		<i>1314</i>		<i>14 (after 1995)</i>	

*643 variants of cited publications were cited 3104 times



altmetrics: a manifesto

NO ONE CAN READ EVERYTHING. We rely on filters to make sense of the scholarly literature, but the narrow, traditional filters are being swamped. However, the growth of new, online scholarly tools allows us to make new filters; these altmetrics reflect the broad, rapid impact of scholarship in this burgeoning ecosystem. We call for more tools and research based on altmetrics.

As the volume of academic literature explodes, scholars rely on filters to select the most relevant and significant sources from the rest. Unfortunately, scholarship's three main filters for importance are failing:

OPEN ACCESS PEER-REVIEWED

RESEARCH ARTICLE

If We Share Data, Will Anyone Use Them? Data Sharing and Reuse in the Long Tail of Science and Technology

Jillian C. Wallis , Elizabeth Rolando, Christine L. Borgman

Published: July 23, 2013 • <http://dx.doi.org/10.1371/journal.pone.0067332>

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Abstract

Introduction

Literature Review and Background

Methods

Results

Discussion

Conclusions

Abstract

Research on practices to share and reuse data will inform the design of infrastructure to support data collection, management, and discovery in the long tail of science and technology. These are research domains in which data tend to be local in character, minimally structured, and minimally documented. We report on a ten-year study of the Center for Embedded Network Sensing (CENS), a National Science Foundation Science and Technology Center. We found that CENS researchers are willing to share their data, but few are asked to do so, and in only a few domain areas do their funders or journals require them to deposit data. Few repositories

Subject Areas

Data management

Scientists

Seismology

Bibliometrics: The Leiden Manifesto for research metrics

[Diana Hicks](#), [Paul Wouters](#), [Ludo Waltman](#), [Sarah de Rijcke](#) & [Ismael Rafols](#)

22 April 2015

Use these ten principles to guide research evaluation, urge Diana Hicks, Paul Wouters and colleagues.

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Subject terms: [Careers](#) · [Research management](#) · [Publishing](#)



[Dora](#)[Sign The Declaration](#)[Inspiration and Good Practices](#)[A Letter to Thompson Reuters](#)

The San Francisco Declaration on Research Assessment (DORA), initiated by the American Society for Cell Biology (ASCB) together with a group of editors and publishers of scholarly journals, recognizes the need to improve the ways in which the outputs of scientific research are evaluated. The group met in December 2012 during the ASCB Annual Meeting in San Francisco and subsequently circulated a draft declaration among various stakeholders. DORA as it now stands has benefited from input by many of the original signers listed below. It is a worldwide initiative covering all scholarly disciplines. We encourage individuals and organizations who are concerned about the appropriate assessment of scientific research to sign DORA.

Publications \leftrightarrow Data: Attribution

- Publications
 - Independent units
 - Authorship is negotiated
- Data
 - Compound objects
 - Ownership is rarely clear
 - Attribution
 - Long term responsibility: Investigators
 - Expertise for interpretation: Data collectors and analysts
- Software



Data Citation and Attribution

For Attribution—

Developing Data Attribution and
Citation Practices and Standards

Summary of an International Workshop

Uhlir, P. F. (Ed.). (2012). *For Attribution -- Developing Data Attribution and Citation Practices and Standards: Summary of an International Workshop*. Washington, D.C.: The National Academies Press. Retrieved from http://www.nap.edu/catalog.php?record_id=13564

NATIONAL RESEARCH COUNCIL
OF THE NATIONAL ACADEMIES

2012

OUT OF CITE, OUT OF MIND:

**THE CURRENT STATE OF PRACTICE, POLICY, AND
TECHNOLOGY FOR THE CITATION OF DATA**

CODATA-ICSTI Task Group on Data Citation Standards and Practices

Edited by Yvonne M. Socha

Data Science Journal, Volume 12,
13 September 2013

CODATA-ICSTI Task Group on Data
Citation and Attribution. Co-Chairs: Jan
Brase, Sarah Callaghan, Christine
Borgman

JOINT DECLARATION OF DATA CITATION PRINCIPLES - FINAL

When citing please use: Data Citation Synthesis Group: Joint Declaration of Data Citation Principles. Martone M. (ed.) San Diego CA: FORCE11; 2014 [[/datacitation](#)].

ENDORSEMENT LIST

PREAMBLE

Sound, reproducible scholarship rests upon a foundation of robust, accessible data. For this to be so in practice as well as theory, data must be accorded due importance in the practice of scholarship and in the enduring scholarly record. In other words, data should be considered legitimate, citable products of research. Data citation, like the citation of other evidence and sources, is good research practice and is part of the scholarly ecosystem supporting data reuse.



In support of this assertion, and to encourage good practice, we offer a set of guiding principles for data within scholarly literature, another dataset, or any other research object.

These principles are the synthesis of work by a [number of groups](#). As we move into the next phase, we welcome your participation and endorsement of these principles.

PRINCIPLES

The Data Citation Principles cover purpose, function and attributes of citations. These principles recognize the dual necessity of creating citation practices that are both human understandable and machine-actionable.

These citation principles are not comprehensive recommendations for data stewardship. And, as practices vary across communities and technologies will evolve over time, we do not include recommendations for specific implementations, but encourage communities to develop practices and tools that embody these principles.

The principles are grouped so as to facilitate understanding, rather than according to any perceived criteria of importance.

The principles are grouped so as to facilitate understanding, rather than according to any perceived criteria of importance.

1. Importance

Data should be considered legitimate, citable products of research. Data citations should be accorded the same importance in the scholarly record as citations of other research objects, such as publications[1].

2. Credit and Attribution

Data citations should facilitate giving scholarly credit and normative and legal attribution to all contributors to the data, recognizing that a single style or mechanism of attribution may not be applicable to all data[2].

3. In scholarly literature, whenever and wherever a claim relies upon data, the corresponding data should be cited[3].

4. Unique Identification

A data citation should include a persistent method for identification that is machine actionable, globally unique, and widely used by a community[4].

5. Access

Data citations should facilitate access to the data themselves and to such associated metadata, documentation, code, and other materials, as are necessary for both humans and machines to make informed use of the referenced data[5].

6. Persistence

Unique identifiers, and metadata describing the data, and its disposition, should persist -- even beyond the lifespan of the data they describe[6].

7. Specificity and Verifiability

Data citations should facilitate identification of, access to, and verification of the specific data that support a claim. Citations or citation metadata should include information about provenance and fixity sufficient to facilitate verifying that the specific timeslice, version and/or granular portion of data retrieved subsequently is the same as was originally cited[7].

8. Interoperability and Flexibility

Data citation methods should be sufficiently flexible to accommodate the variant practices among communities, but should not differ so much that they compromise interoperability of data citation practices across communities[8].

Attribution of data

- Legal responsibility
 - Licensed data
 - Specific attribution required
- Scholarly credit: contributorship
 - “Author” of data
 - Contributor of data to this publication
 - Colleague who shared data
 - Software developer
 - Data collector
 - Instrument builder
 - Data curator
 - Data manager
 - Data scientist
 - Field site staff
 - Data calibration
 - Data analysis, visualization
 - Funding source
 - Data repository
 - Lab director
 - Principal investigator
 - University research office
 - Research subjects
 - Research workers, e.g., citizen science...



"Creative Commons is a non-profit that offers an alternative to full copyright."

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Briefly...

Attribution means:

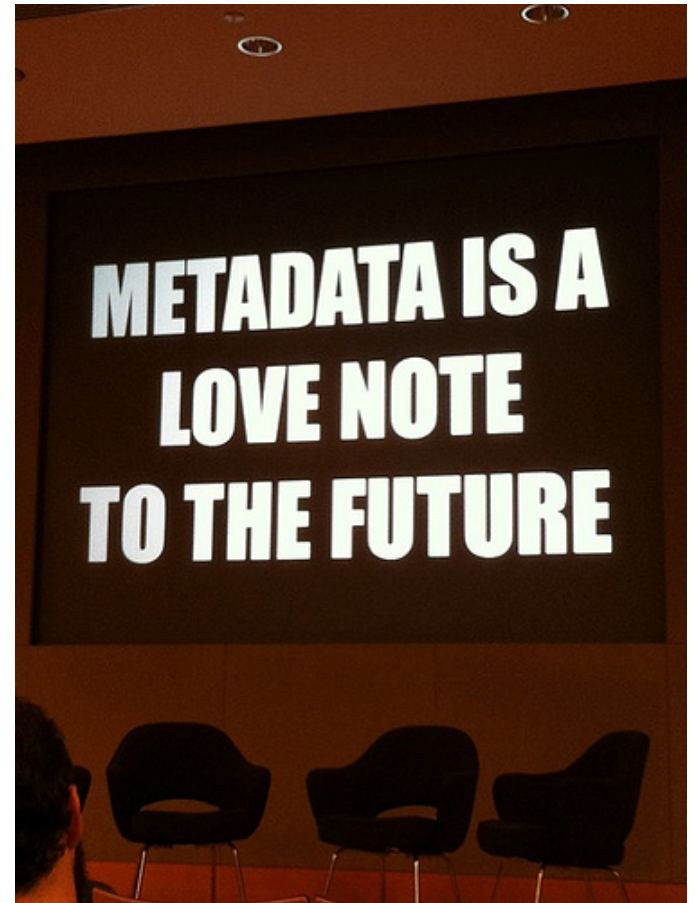
You let others copy, distribute, display, and perform your copyrighted work - and derivative works based upon it - but only if they give you credit.



For Attribution -- Developing Data Attribution and Citation Practices and Standards: Summary of an International Workshop. Washington, D.C.: The National Academies Press. 2012

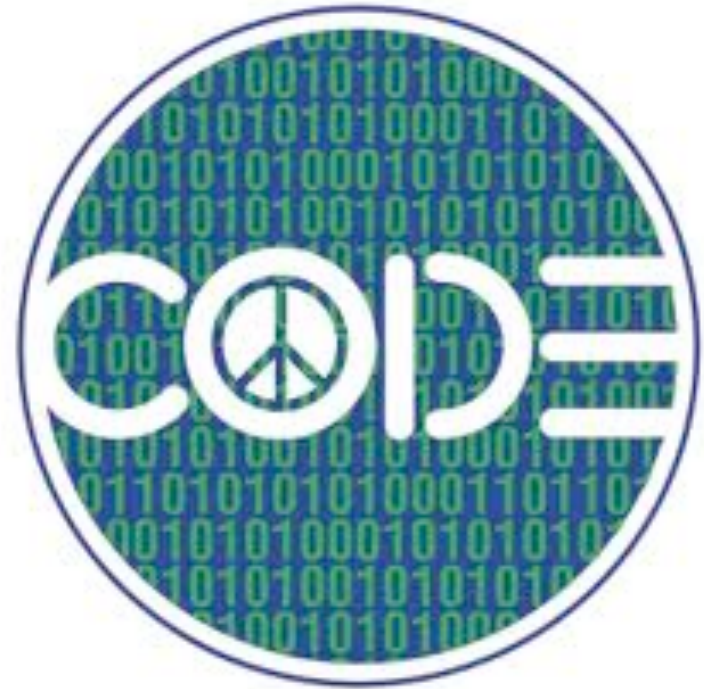
Discovery and Interpretation

- Identify the form and content
- Open
- Interpret
- Evaluate
- Compute upon
- Reuse
- Combine
- Describe
- Annotate...



Interpretation and replication

- Datasets
- Methods
 - Collection
 - Cleaning
 - Analysis
 - Codebook
- Publications
- Software and code
- Instrumentation



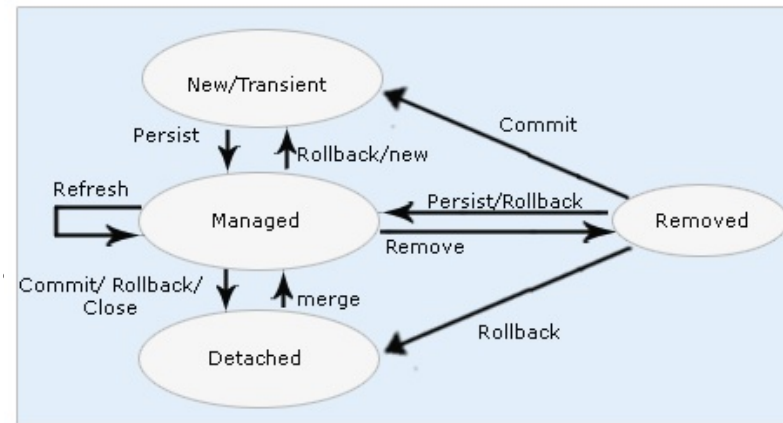
Intellectual property

- What can I do with this object?
- What rights are associated?
 - Reuse
 - Reproduce
 - Attribute
- Who owns the rights?
- How open are data?
 - Open data
 - Open bibliography



Persistence and Identity

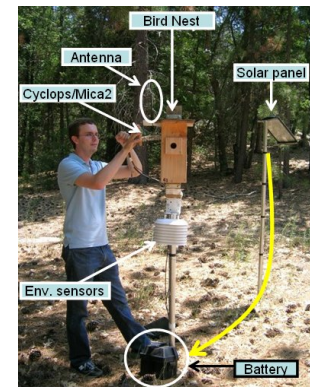
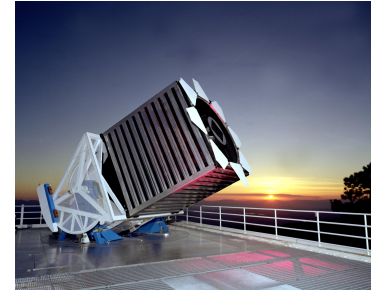
- Persistence
 - Perishable
 - Long-lived
 - Permanent
- Identity
 - Identifiers
 - DOI, Handles
 - URI, PURL...
 - Naming and namespaces
 - Authors/creators: ORCID, VIAF...
 - Generic/specific: registry number...
 - Description
 - Self-describing
 - Metadata augmentation



Persistence Content

Some ways to release data

- Centralized data production
 - Top down investments in data
 - Common data archive
- Decentralized data production
 - Bottom up investments in data
 - Pool domain resources later
- Domain-independent aggregators
 - University repositories
 - Dataverse, Figshare, Slideshare, ...
- Post on lab / personal websites
- Share privately upon request



MODERN DATA SCIENTIST

Data Scientist, the sexiest job of the 21st century, requires a mixture of multidisciplinary skills ranging from an intersection of mathematics, statistics, computer science, communication and business. Finding a data scientist is hard. Finding people who understand who a data scientist is, is equally hard. So here is a little cheat sheet on who the modern data scientist really is.

MATH & STATISTICS

- ☆ Machine learning
- ☆ Statistical modeling
- ☆ Experiment design
- ☆ Bayesian inference
- ☆ Supervised learning: decision trees, random forests, logistic regression
- ☆ Unsupervised learning: clustering, dimensionality reduction
- ☆ Optimization: gradient descent and variants

DOMAIN KNOWLEDGE & SOFT SKILLS

- ☆ Passionate about the business
- ☆ Curious about data
- ☆ Influence without authority
- ☆ Hacker mindset
- ☆ Problem solver
- ☆ Strategic, proactive, creative, innovative and collaborative

PROGRAMMING & DATABASE

- ☆ Computer science fundamentals
- ☆ Scripting language e.g. Python
- ☆ Statistical computing packages, e.g., R
- ☆ Databases: SQL and NoSQL
- ☆ Relational algebra
- ☆ Parallel databases and parallel query processing
- ☆ MapReduce concepts
- ☆ Hadoop and Hive/Pig
- ☆ Custom reducers
- ☆ Experience with xaaS like AWS

COMMUNICATION & VISUALIZATION

- ☆ Able to engage with senior management
- ☆ Story telling skills
- ☆ Translate data-driven insights into decisions and actions
- ☆ Visual art design
- ☆ R packages like ggplot or lattice
- ☆ Knowledge of any of visualization tools e.g. Flare, D3.js, Tableau



<https://github.com/okulbilisim/awesome-datascience>

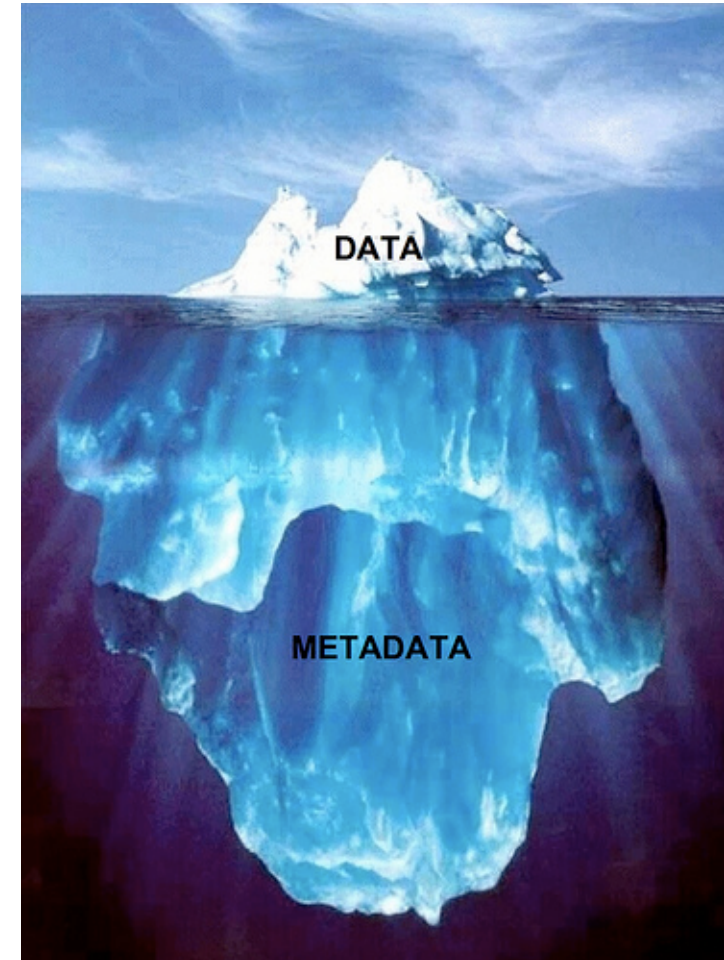
Data Curation and Stewardship

- Services and tools
- Data management planning
- Selection and appraisal
- Metadata, provenance
- Migration
- Economics
- Infrastructure



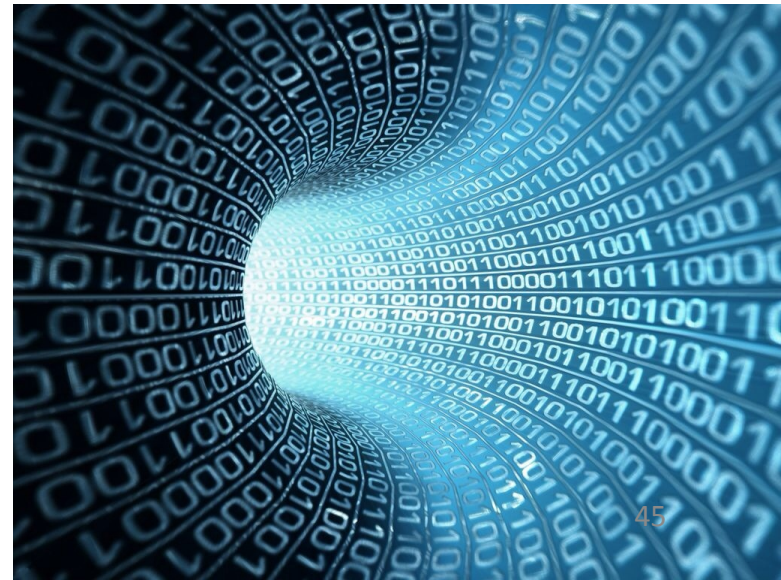
Reuse across place and time

- Reuse by investigator
- Reuse by collaborators
- Reuse by colleagues
- Reuse by unaffiliated others
- Reuse at later times
 - Months
 - Years
 - Decades
 - Centuries



Scholars' concerns about data

- Research data
 - Funding agency requirements for open data
 - University policies for data ownership
 - Resources and expertise in data-intensive methods
 - Resources for curation and stewardship
 - Open records laws
- Publication data
 - Library management
 - Academic personnel records
 - Evaluation and credit
 - Public-private partnerships



Home / Featured / Kent Wada and Christine Borgman Lead Data Governance Task Force

KENT WADA AND CHRISTINE BORGMAN LEAD DATA GOVERNANCE TASK FORCE

February 10, 2015 by Stefanie Pietkiewicz



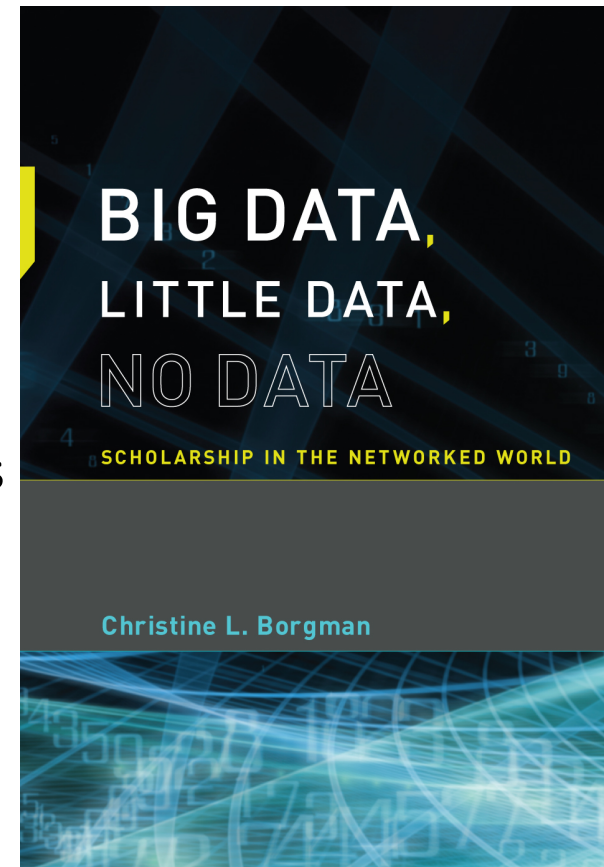
Kent Wada and Christine Borgman

- How should UCLA collect, organize, and use research analytics about our community?
- Who should have access to these data?
 - Within UCLA?
 - In partnership with public and private entities?
- What are the governance principles?
- What are the governance processes?

<http://evc.ucla.edu/reports/DGTF-report.pdf>

Big Data, Little Data, No Data: Scholarship in the Networked World

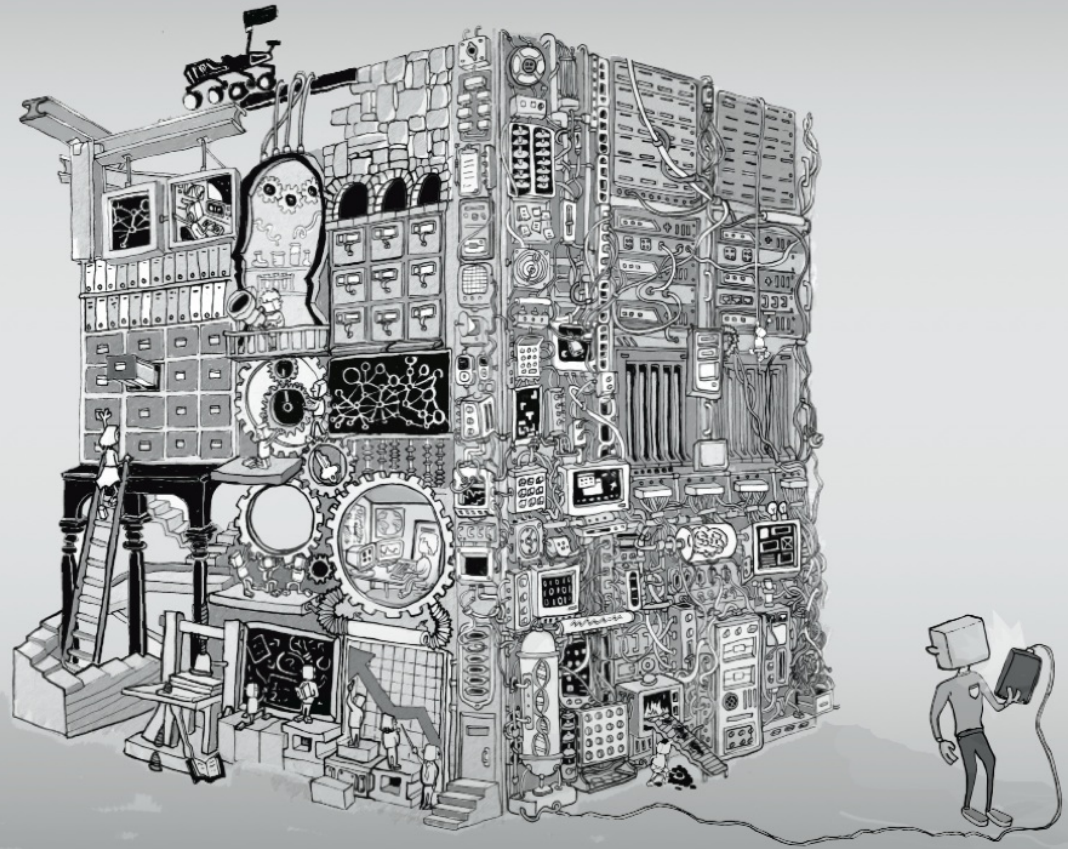
- Part I: Data and Scholarship
 - Ch 1: Provocations
 - Ch 2: What Are Data?
 - Ch 3: Data Scholarship
 - Ch 4: Data Diversity
- Part II: Case Studies in Data Scholarship
 - Ch 5: Data Scholarship in the Sciences
 - Ch 6: Data Scholarship in the Social Sciences
 - Ch 7: Data Scholarship in the Humanities
- Part III: Data Policy and Practice
 - Ch 8: Releasing, Sharing, and Reusing Data
 - Ch 9: Credit, Attribution, and Discovery
 - Ch 10: What to Keep and Why



Sustaining Access to Scholarship

depends upon
building better

Knowledge Infrastructures



Knowledge Infrastructures:
Intellectual Frameworks and Research Challenges

Report of a workshop sponsored by the National Science Foundation and the Sloan Foundation

University of Michigan School of Information, 25-28 May 2012

Acknowledgements



Christine Borgman



Peter Darch



Ashley Sands



Irene Pasquetto



Bernie Randles



Milena Golshan

