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1Characterizing Emission Rates of Regulated Pollutants from Model 2Year 2012+ Heavy-Duty Diesel Vehicles Equipped with DPF and 3SCR Systems

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#### 14ABSTRACT

15The regulated emissions of five 2012 and newer, low-mileage, heavy-duty 16Class 8 diesel trucks equipped with diesel particulate filters (DPFs) and 17selective catalytic reduction (SCR) systems were evaluated over test cycles 18representing urban, highway, and stop-and-go driving on a chassis 19dynamometer. NOx emissions over the Urban Dynamometer Driving 20Schedule (UDDS) ranged from 0.495 to 1.363 g/mi (0.136 to 0.387 g/bhp-hr) 21for four of the normal emitting trucks. For those trucks, NOx emissions were 22lowest over the cruise (0.068 to 0.471 g/mi) and high-speed cruise (0.067 to 230.249 g/mi) cycles, and highest for the creep cycle (2.131 to 9.468 g/mi). A 24fifth truck showed an anomaly in that it had never regenerated throughout 25its relatively short operating lifetime due to its unusual, unladed service 26history. This truck exhibited NOx emissions of 3.519 g/mi initially over the 27UDDS, with UDDS NOx emissions decreasing to 0.39 g/mi after a series of 28parked regenerations. PM, THC, and CO emissions were found to be very low 29for most of the testing conditions, due to the presence of the DPF/ SCR 30aftertreatment system, and were comparable to background levels in some 31cases.

#### 32KEYWORDS

 $33NO_X$  emissions; Emission inventories; Heavy-Duty Diesel Vehicles; Selective 34catalytic reduction

## 35**1. Introduction**

Heavy-duty diesel trucks (HDDTs) are a significant source of oxides of 37nitrogen (NOx) and particulate matter (PM) emissions in urban areas. In 38order to reduce emissions of NOx and PM from HDDTs, a series of regulations 39for heavy heavy-duty diesel engines (HDDE) were implemented starting in 401974, and were last made more stringent in 2007 and 2010. Those rules 41have required that emissions of NOx and PM be reduced from an estimated 42unregulated emission level of 16 g/bhp-hr to 0.20 g/bhp-hr, and from 1.0 43g/bhp-hr to 0.01 g/bhp-hr, respectively. Current-technology diesel engines 44are now equipped with diesel particulate filters (DPFs) to meet the PM 45standards for 2007 and newer engines, and selective catalytic reduction 46(SCR) systems to meet the NOx standards for 2010 and newer engines.

While there are extensive data on the effectiveness of DPF and SCR 48systems over certification test cycles run on an engine-dynamometer, data 49on in-use emissions from modern diesel engines are scarce and show some 50variation depending on the type of truck tested and the testing conditions 51(Miller et al., 2013; Carder et al., 2014; Misra et al., 2015; California Air 52Resources Board 2015a, b; Quiros et al., 2017). The need for in-use 53emissions data is particularly important because HDD engines are certified to 54meet emission standards before the engines are integrated into a vehicle 55chassis for commercial use, which can span a broad range of applications. 56The Coordinating Research Council's (CRC) E-55/59 program was the first 57chassis dynamometer study to acquire in-use emissions data from a vast

58number of HDDTs and evaluate the impacts of different cycles on in-use 59emissions (Clark et al., 2004, 2006, 2007). A study conducted under funding 60by the South Coast Air Quality Management District (SCAQMD) collected 61chassis dynamometer emissions test data from twenty-four 2007-2012 62model year (MY) heavy-duty HDDTs (Miller et al., 2013; Carder et al., 2014). 63The California Air Resources Board (ARB) also has initiated a pilot truck and 64bus surveillance program that includes chassis dynamometer testing from 65randomly selected trucks representing a range of manufacturers and 66mileages (Quiros et al., 2017). Some on-road studies using portable 67emissions measurement systems (PEMS) also have been conducted on 2007 68and newer trucks equipped with DPF and/or SCR systems (Carder et al., 692014; Lee, et al., 2017; Tu et al., 2016; Misra et al., 2013, 2016).

The ARB has been utilizing in-use emissions testing results in the 71development of emission factors for its EMFAC model for a number of years 72(California Air Resources Board, 2015a, 2015b). Those emission factors are 73developed from "zero-mile" emission rates (ZMRs) that can be adjusted to 74account for engine deterioration with age and for variations in vehicle speed. 75For the EMFAC2007 and EMFAC2011 model, in-use emissions data were 76primarily obtained from the CRC E-55/59 study (Clark et al., 2006, 2007), 77which was limited to 2003 and older vehicles, coupled with estimates for 782007 and newer model year vehicles.

79 For the EMFAC2014 model, a greater emphasis was placed on 80developing emission factors for vehicles equipped with newer PM and NOx 81aftertreatment control devices, and incorporating in-use emissions data from 822007 and newer engines/vehicles. Those data were derived from studies 83conducted by the ARB (2015a, 2015b) and testing associated with the 84SCAQMD study (Miller et al., 2013; Carder et al., 2014). Those studies 85included some chassis dynamometer testing and some over-the-road testing 86with a PEMS. While this represented an important step in better quantifying 87emissions from 2007-2009 and 2010 and later model year vehicles, the data 88were still relatively scarce to serve as the basis for making important 89emissions inventory projections out to 2020 and beyond. In particular, for the 902010 and later model year technology engines, only 5 vehicle/engines were 91included in the ARB/SCAQMD studies, with all the engines being in the 2010-922011 model year range, which only covers the earliest implementation years 93for advanced NOx control strategies. More importantly, of those 5 engines, 94only 2 were certified to the 0.20 g/bhp-hr NOx standard, and both of those 95engines were from the same manufacturer. Additionally, 2 of the 5 engines 96utilized only exhaust gas recirculation (EGR) for NOx control, an approach 97that had a very limited production run.

The goal of this study is to provide additional information regarding 99emission rates of modern heavy-duty diesel vehicles equipped with the 100newest emission control strategies for reducing NOx. Testing was conducted 101on 5 HDDTs with model year 2012 to 2015 engines equipped with DPF and

102SCR systems. The vehicle matrix included 5 engines from heavy-duty engine 103manufacturers representing the majority of trucks operating in California, 104with two engines being from the same manufacturer. The engines/vehicles 105were certified to a 0.20 g/bhr-hp NOx emission limit, with the exception of 106one credit-using engine that was certified to a 0.35 g/bhr-hp NOx standard. 107Each vehicle was tested on the University of California at Riverside's (UCR's) 108heavy-duty chassis dynamometer over the four phases of ARB's Heavy 109Heavy-Duty Diesel Truck (HHDDT) cycle (i.e., idle, creep, transient, and 110cruise), the HHDDT-short or HHDDT-S cycle (which is a high-speed cruise 111cycle), and the Urban Dynamometer Driving Schedule (UDDS) (which is a 112cycle considered to be the chassis dynamometer equivalent of the engine 113dynamometer transient test). The results obtained from this study can 114augment the data being used in the development of future emissions 115inventory model that are relied on throughout the regulatory process by the 116ARB and other governmental agencies.

#### 1172. Materials and Methods

#### 1182.1 Test Vehicles and Fuels

Five heavy-duty Class 8 diesel vehicles were tested in this program 120and selected from four heavy-duty engine manufacturers representing the 121majority of trucks operating in California. All of the vehicles had model year 1222012 and newer engines with the mileages less than 30,000 miles. They 123were equipped with the latest generation of emissions control technology,

124including a DPF and a SCR system. The engines were certified to a 0.20 125g/bhr-hp NOx emission limit, with the exception of one engine that was 126certified to a 0.35 g/bhr-hp NOx standard. The test fuel was the California No. 1272 diesel. A description of the vehicles/engines is provided in Table 1.

128**Table 1** Engine/Vehicle specifications

Manufacturer	A1	A2	В	С	D
Model Year	2014	2015	2014	2014	2012
Displacement	14.9 L	14.9 L	12.8 L	12.4 L	12.8 L
Horsepower	400 HP	550 HP	450 HP	450 HP	415 HP
Vehicle Mileage	28611	2924	15914	7686	12640
Aftertreatment		D	OC/DPF/SC	R	
Standard/FEL		NOx:0.2		NOx:0.2	
	NOx:0.35		NOx:0.20		NOx:0.20
Level		0		0	
(g/bhp-hr)	PM:0.01	PM:0.01	PM:0.01	PM:0.01	PM:0.01
Certification		NOx:0.1		NOx:0.1	
	NOx:0.22		NOx:0.17		NOx:0.12
Level		8		2	
				PM:0.00	
(g/bhp-hr)	PM:0.001	PM:0.000	PM:0.004		PM:0.003
				3	

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## 1302.2 Test Cycles

There were six different driving cycles in this program, including four 132phases of ARB's HHDDT cycle (i.e., idle, creep, transient, and cruise) 133(Gautam et al., 2002), the HHDDT-S cycle (Clark et al., 2004), and the UDDS 134(U.S. Environmental Protection Agency, 2005). The characteristics of each 135test cycle are provided in Table 2. The preconditioning for the cycles was 136designed to be consistent with the procedures utilized in the earlier testing

137program the ARB (2015b) conducted to update its emission factors for 138EMFAC2014. Different numbers of replicates of each driving cycle were 139utilized in order to ensure that a sufficient mass of PM was collected for 140weighing. Duplicate tests were conducted for each driving cycle on each 141vehicle.

142**Table 2** Description of test cycles

		Avg		Number	
Schedule	Time (s)	Speed	Distanc e (mi)	of	Description
		(mph)		Iterations	
UDDS	1060	18.86	5.55	3	FTP surrogate
HHDDT Idle	900	0	0	3	Idle of vehicle
HHDDT Creep	256	1.7	0.124	10	Stop and go modes
HHDDT Transient	688	14.9	2.9	4	Local street driving
HHDDT Cruise	2083	39.9	23.1	1	Freeway driving
HHDDT-Short	760	49.9	10.5	2	High speed driving

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#### 1442.3 Emission Measurements

The vehicles were tested on the chassis dynamometer with the inertial 146weight of 65,000 lbs. The emissions measurements were made using UCR's 147Mobile Emissions Laboratory (MEL). A detail description of MEL were provided 148by Cocker et al. (2004a, 2004b). For all tests, standard emissions 149measurements included total hydrocarbons (THC), non-methane

150hydrocarbons (NMHC), methane ( $CH_4$ ), carbon monoxide ( $CO_2$ ),  $NO_x$ , carbon 151dioxide ( $CO_2$ ), and PM. Fuel consumption was derived from the  $CO_2$ ,  $CO_3$ , and 152THC emissions by the carbon balance method, using typical densities and 153carbon weight fractions for California ULSD.

The mass concentrations of PM were obtained by analysis of 155particulates collected through an impactor with a 50% cutoff particle 156diameter of 2.5 µm on 47 mm diameter 2 µm pore Teflon filters (Whatman 157brand). The filters were measured for net gains using a UMX2 ultra precision 158microbalance with buoyancy correction in accordance with the weighing 159procedure guidelines set forth in the Code of Federal Regulations (CFR). 160Sampling for PM was done cumulatively over the entire duration of the cycles 161due to the very low mass levels expected for PM.

Engine brake power was calculated using engine control module (ECM) 163broadcast J1939 standardized information, including the engine speed in 164revolutions per minute (rpm), ECM broadcast actual torque in (%) estimated 165using engine speed and instantaneous fuel flow, ECM broadcast friction 166torque in (%), and ECM broadcast reference torque in (ft-lb). Those signals 167are the same signals used for in-use compliance testing according to the test 168procedures in 40 CFR Part 1065.

#### 1693. Results and Discussion

The emission test results are presented in this section. Table 3 shows 171the emission rates of regulated pollutants on a g/mi basis for each vehicle

172/cycle combination based on the average of tests conducted on that 173particular test combination. Emissions on a g/bhp-hr basis are discussed at 174various points in the text of this section, and are shown in graphs in the 175supplementary material.

#### 1763.1 NOx Emissions

#### 1773.1.1 NOx emission rates

178 NOx emissions for the test trucks are shown on a mass emitted per 179distance-traveled (grams/mile or g/mi) units in Table 3. NOx emissions varied 180depending on the test cycle and the test truck. The manufacturer D truck 181was an outlier with noticeably higher NOx emissions relative to the other 182 vehicles. Therefore, this truck is discussed separately from other trucks. For 183the manufacturer A1, manufacturer A2, manufacturer B and manufacturer C 184engine-powered trucks, NOx emissions ranged from 0.495 to 1.363 g/mi 185[0.308 to 0.847 g/km] over the UDDS (the cycle most relevant to ZMRs), 186from 2.131 to 9.468 g/mi [1.323 to 5.883 g/km] over the Creep cycle, from 1870.803 to 3.252 g/mi [0.499 to 2.020 g/km] over the Transient cycle, from 1880.068 to 0.471 g/mi [0.042 to 0.293 g/km] over the Cruise cycle, and from 1890.067 to 0.249 g/mi [0.042 to 0.155 g/km] over the HHDDT-S. The lowest 190NOx emissions were recorded over the Cruise and HHDDT-S cycles, which 191produced the highest speeds, loads, and exhaust temperatures. Under those 192conditions, SCR catalysts are expected to operate at temperatures (>250 °C) 193where NOx conversion efficiencies are robust, leading to relatively low

194tailpipe NOx emission (Misra et al., 2013), even though engine-out NOx 195levels are likely highest. Higher emissions were observed over the other 196cycles, which include more transient and lower average speed operation, 197with different vehicles showing higher or lower emissions depending on the 198vehicle and cycle. The Creep cycle showed the highest NOx emissions since 199it is comprised of short, low-speed accelerations between periods of idle that 200yield lower loads and exhaust temperatures (134~179 °C), and that cover a 201very short distance. It also should be noted that while the NOx emissions on 202a per mile or per unit of work basis are considerable higher for the Creep, the 203differences between the Creep and other cycles is less significant in terms of 204absolute NOx emissions.

The manufacturer D vehicle had poor NOx conversion efficiencies 206relative to the other vehicles. Upon further investigation, it was found that 207this specific vehicle had served its entire life as a dealer demonstrator, and 208as such rarely or ever operated with a loaded trailer, and spent a 209considerable amount of time operating in an idle mode. This type of low-210temperature, high proportion idle operation is known to cause significant 211exposure of the aftertreatment system to unburned hydrocarbons in the 212exhaust stream. An examination of the logged electronic history revealed no 213OBD faults or other indications of failure or system malfunction. A clear 214anomaly, however, was that due to its unusual, unladed service history and 215duty cycle, the engine had never undergone a regeneration event, despite 216having been in service for approximately 2.5 years (albeit with only 12,000)

217miles on the odometer). A series of conventional parked regenerations were 218performed. After further operation, there was a significant recovery of the 219aftertreatment NOx-conversion efficiency, as revealed through PEMS 220measurements. The regeneration intervention was believed to have been 221fully effective in driving off the accumulated unburned hydrocarbons that 222were hindering catalytic reaction. Additional chassis dynamometer testing of 223the manufacturer D vehicle was conducted at the West Virginia University 224(WVU) Center for Alternative Fuels Engines and Emissions (CAFEE) 225Laboratory, replicating the testing that had been performed at UCR, with the 226exception of a 70,000 lbs. [as opposed to UCR's 65,000 lbs. test weight]. The 227results of that testing indicated a NOx emission rate of 0.39 g/mi over the 228UDDS cycle, near the lower end of the NOx emission rates found in the 229current study. This example suggests that longtime non-regeneration could 230lead to poor SCR catalyst performance and high NOx emission rates.

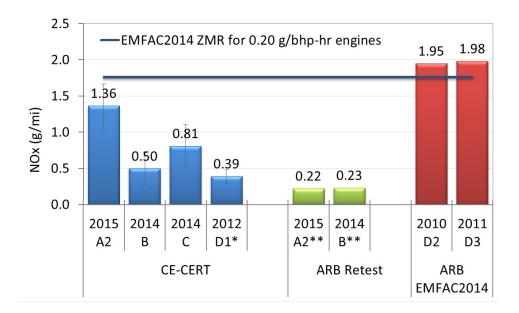
**Table 3** Emission rates of regulated pollutants on a distance-specific unit and fuel economy

Engin	Trace	NOx	CO2	THC	СО	PM	Fuel Economy	Conversion Factor
е	Hace			g/mi			mi/gallon (mpg)	g/mi→g/bh p-hr
	UDDS	$0.99 \pm \frac{0.3}{8}$	186 5 ± 51	$\begin{array}{ccc} 0.01 & \pm & 0.02 \\ 7 & \pm & 2 \end{array}$	$\begin{array}{c} 0.16 \\ 2 \end{array} \pm \begin{array}{c} 0.06 \\ 9 \end{array}$	$\begin{array}{c} 0.00 \\ 6 \end{array} \pm \begin{array}{c} 0.00 \\ 4 \end{array}$	5.41 ± 0.14	
	Creep	$5.28 \pm \frac{4.1}{6}$	414 8 ± 330	0.39 ± 0.21	0.46 7 ± 7	$\begin{array}{cccc} 0.00 & \pm & 0.00 \\ 4 & \pm & 1 \end{array}$	2.44 ± 0.19	
A1	Trans	$1.82 \pm \frac{0.4}{2}$	226 0 ± 38	$\begin{array}{cccc} 0.01 & 0.03 \\ 0 & \pm & 8 \end{array}$	0.08 8 ± 0.06	$0.00 \pm 0.00$ $5 \pm 3$	4.46 ± 0.08	3.40
	Cruise	$0.07 \pm \frac{0.0}{4}$	$\frac{116}{0} \pm 10$	0.00 6 ± 6	0.02 ± 0.03 4 ± 3	$\begin{array}{cccc} 0.01 & \pm & 0.00 \\ 2 & \pm & 1 \end{array}$	8.69 ± 0.07	
	HHDDT-S	$0.07 \pm \frac{0.0}{4}$	145 0 ± 12	$0.00 \pm 0.00$	$\begin{array}{ccc} 0.12 & 0.05 \\ 0 & \pm & 6 \end{array}$	$0.01 \pm 0.00$	6.95 ± 0.06	
	UDDS	$1.36 \pm {0.3 \atop 0}$	206 3 ± 176	$0.00 \pm 0.02$	$\begin{array}{ccc} 0.00 & \pm & 0.00 \\ 2 & \pm & 0 \end{array}$	$\begin{array}{ccc} 0.00 & \pm & 0.00 \\ 2 & \pm & 1 \end{array}$	4.91 ± 0.37	
	Creep	$6.02 \pm \frac{4.2}{9}$	335 ± 149 1 ± 2	$\begin{array}{ccc} 0.26 & & 0.15 \\ 3 & \pm & 5 \end{array}$	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	2.11 ± 0.07	
A2	Trans	$3.25 \pm 6$	258 0 ± 101	$0.00_{2}^{-} \pm 0.03_{0}^{-}$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	3.91 ± 0.15	3.52
	Cruise	$0.12 \pm 0.0$	132 7 ± 51	$0.00 \pm 0.01$	$\begin{array}{cccc} 0.00 & \pm & 0.00 \\ 2 & \pm & 1 \end{array}$	$\begin{array}{cccc} 0.00 & \pm & 0.00 \\ 2 & \pm & 0 \end{array}$	7.60 ± 0.29	
	HHDDT-S	$0.08 \pm \frac{0.0}{5}$	170 7 ± 22	$0.00_{5}^{-} \pm 0.00_{7}^{-}$	$\begin{array}{cccc} 0.00 & \pm & 0.00 \\ 2 & \pm & 0 \end{array}$	$\begin{array}{cccc} 0.00 & \pm & 0.00 \\ 7 & \pm & 5 \end{array}$	5.91 ± 0.08	
В	UDDS	$0.50 \pm \frac{0.2}{2}$	200 6 ± 58	$\begin{array}{ccc} 0.02 & \pm & 0.01 \\ 6 & \pm & 1 \end{array}$	$\begin{array}{ccc} 0.17 & \pm & 0.02 \\ 4 & \pm & 8 \end{array}$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	5.03 ± 0.14	3.63
	Creep	9.47 ± 6.4	370 7 ± 288	$\begin{array}{c} 0.23 \\ 9 \end{array} \pm \begin{array}{c} 0.07 \\ 1 \end{array}$	0.86 4 ± 9	$\begin{array}{c} 0.00 \\ 6 \end{array} \pm \begin{array}{c} 0.00 \\ 3 \end{array}$	2.73 ± 0.19	
	Trans	0.80 ± 0.2 1	243 ± 118 6	$ \begin{array}{cccc} 0.01 & \pm & 0.00 \\ 9 & & 7 \end{array} $	0.10 ± 0.05 5 1	$\begin{array}{cccc} 0.00 & \pm & 0.00 \\ 2 & & 0 \end{array}$	4.15 ± 0.19	

	Cruise	$0.17 \pm {0.0 \atop 5}$	126 4 ± 6	$\begin{array}{ccc} 0.00 & \pm & 0.00 \\ 7 & \pm & 4 \end{array}$	$\begin{array}{ccc} 0.10 & \pm & 0.00 \\ 1 & \pm & 2 \end{array}$	$\begin{array}{ccc} 0.00 & \pm & 0.00 \\ 2 & \pm & 0 \end{array}$	7.97 ± 0.04	
	HHDDT-S	$0.25 \pm \frac{0.1}{5}$	158 7 ± 14	$\begin{array}{ccc} 0.00 & \pm & 0.00 \\ 3 & \pm & 1 \end{array}$	$\begin{array}{ccc} 0.09 & \pm & 0.02 \\ 0 & \pm & 5 \end{array}$	$\begin{array}{ccc} 0.00 & \pm & 0.00 \\ 2 & \pm & 0 \end{array}$	6.35 ± 0.06	
	UDDS	$0.81 \pm 0.3$	212 8 ± 42	$\begin{array}{ccc} 0.03 & \pm & 0.00 \\ 4 & \pm & 4 \end{array}$	$\begin{array}{ccc} 0.07 & \pm & 0.05 \\ 9 & \pm & 0 \end{array}$	$\begin{array}{ccc} 0.00 & \pm & 0.00 \\ 4 & \pm & 2 \end{array}$	4.74 ± 0.09	
	Creep	$2.13 \pm \frac{0.8}{8}$	<sup>509</sup> ± 346	$\begin{array}{ccc} 0.37 & \pm & 0.10 \\ & 5 & & 5 \end{array}$	$\begin{array}{ccc} 2.44 & \pm & 2.53 \\ & 7 & \pm & 6 \end{array}$	$\begin{array}{ccc} 0.00 & \pm & 0.00 \\ & 5 & \pm & 2 \end{array}$	1.99 ± 0.14	
С	Trans	$1.31 \pm \frac{0.4}{4}$	260 7 ± 151	$\begin{array}{ccc} 0.02 & \pm & 0.00 \\ 4 & \pm & 8 \end{array}$	$\begin{array}{ccc} 0.14 & \pm & 0.05 \\ 3 & \pm & 6 \end{array}$	$\begin{array}{ccc} 0.01 & \pm & 0.00 \\ 2 & \pm & 4 \end{array}$	3.88 ± 0.21	3.14
	Cruise	$0.47 \pm \frac{0.0}{3}$	123 2 ± 2	$0.00 \pm 0.00$	$\begin{array}{c} 0.05 \\ 8 \end{array} \pm \begin{array}{c} 0.03 \\ 3 \end{array}$	${0.01 \atop 0} \pm {0.00 \atop 2}$	8.18 ± 0.01	
	HHDDT-S	$0.22 \pm {0.1 \atop 5}$	164 6 ± 29	$0.00_{3}^{-} \pm 0.00_{7}^{-}$	9 2	$\begin{array}{c} 0.03 \\ 3 \end{array} \pm \begin{array}{c} 0.00 \\ 6 \end{array}$	6.13 ± 0.11	
D	UDDS	$3.52 \pm {0.7 \atop 6}$	<sup>221</sup> <sub>9</sub> ± 144	$\begin{array}{ccc} 0.02 & \pm & 0.01 \\ 6 & \pm & 4 \end{array}$	$\begin{array}{c} 0.17 \\ 8 \end{array} \pm \begin{array}{c} 0.08 \\ 3 \end{array}$	1 0	4.56 ± 0.27	
	Creep	$\begin{array}{ccc} 22.5 & \pm & 9.5 \\ 0 & \pm & 3 \end{array}$	${}^{485}_{0}$ ± 185	$\begin{array}{ccc} 0.45 & \pm & 0.21 \\ 7 & \pm & 0 \end{array}$	$\begin{array}{ccc} 5.04 & \pm & 4.03 \\ & 2 & & 9 \end{array}$	$\begin{array}{ccc} 0.00 & \pm & 0.00 \\ 3 & \pm & 2 \end{array}$	2.08 ± 0.08	
	Trans	$6.27 \pm \frac{2.0}{2}$	$\frac{262}{5}$ ± 47	$\begin{array}{ccc} 0.01 & \pm & 0.02 \\ 4 & \pm & 3 \end{array}$	$\begin{array}{ccc} 0.24 & \pm & 0.22 \\ & 6 & \pm & 8 \end{array}$	$\begin{array}{ccc} 0.00 & \pm & 0.00 \\ & 2 & 1 \end{array}$	3.84 ± 0.07	3.64
	Cruise	$0.66 \pm \frac{0.2}{2}$	144 3 ± 1	$\begin{array}{ccc} 0.00 & \pm & 0.00 \\ 9 & \pm & 6 \end{array}$	$\begin{array}{ccc} 0.05 & \pm & 0.00 \\ 7 & \pm & 5 \end{array}$	$\begin{array}{ccc} 0.00 & \pm & 0.00 \\ 3 & \pm & 1 \end{array}$		
	HHDDT-S	$0.75 \pm {0.1 \atop 4}$	174 4 ± 9	$\begin{array}{ccc} 0.00 & \pm & 0.00 \\ 6 & \pm & 3 \end{array}$	$\begin{array}{c} 0.05 \\ 9 \end{array} \pm \begin{array}{c} 0.00 \\ 3 \end{array}$	$\begin{array}{c} 0.00 \\ 3 \end{array} \pm \begin{array}{c} 0.00 \\ 0 \end{array}$	5.78 ± 0.03	

233 The results of this study can also be compared to the emission factors 234being used in the EMFAC2014 model. For engines certified to the 0.20 g/bhp-235hr NOx level, EMFAC2014 utilizes a ZMR of 1.89 g/mi. This ZMR is adjusted 236by a fuel correction factor of 0.93 to account for the clean CARB diesel fuel 237used in California, such that a ZMR of 1.76 g/mi was used for the 238comparisons in this study for the 0.20 g/bhp-hr NOx engines. The two 239vehicles used to develop those estimates are shown by the two bars on the 240right hand side of Fig. 1. The results of this study, utilizing the post-DPF 241regeneration data for the manufacturer D1 (the four bars on the left side of 242Fig. 1), can be readily compared with the data for the 0.20 g/bhp-hr engines 243that were used in developing the EMFAC2014 ZMR. The results of additional 244tests that were conducted on a subset of vehicles in the present study by the 245ARB at their heavy-duty chassis dynamometer facility in Los Angeles are also 246included in Fig. 1 (the two middle bars). Significantly, average UDDS value 247for the current study for the 0.20 g/bhp-hr NOx trucks are 0.77 g/mi utilizing 248the post-regeneration results for the manufacturer D1 truck.

249



**Fig. 1**. Comparisons of NOx emission rates over the UDDS from this study, 252ARB retesting of some of the vehicles from this study, and the ARB study 253that was used to develop EMFAC2014 emission factors for SCR-equipped 2542010+ vehicles; D1\* represents the UDDS emission level found after 255retesting the manufacturer D1 truck after a regeneration; A2\*\* and B\*\* 256represents results from ARB retest.

The results of this study also can be compared to results from previous 258and on-going studies. Other studies have shown vehicles with emission rates 259similar to those seen in the current study. UCR measured UDDS NOx 260emission rates for trucks equipped a manufacturer A 8.3 liter engine, a 261manufacturer A 11.9 liter engine, and a manufacturer D 12.8 liter engine, 262which were found to be 1.07, 0.25, and 1.27 g/mi, respectively (Miller et al., 2632013). In a related study, WVU found slightly higher UDDS NOx emissions of 2641.98 g/mi for the same manufacturer D vehicle (D4) (Carder et al., 2015),

265which were more comparable with the manufacturer D vehicle results from 266ARB EMFAC2014 study (California Air Resources Board, 2015a, 2015b). More 267recent information from a Truck and Bus Surveillance study being conducted 268by the ARB also found some of the vehicles with emission rates comparable 269to the 0.2 g/bhp-hr standard over the UDDS (ARB, 2017; Quiros et al., 2017), 270while others were not, as discussed below.

Other information has indicated that some heavy-duty vehicles have 272higher emission rates. Those studies have included higher mileage vehicles 273or vehicles with emission levels high enough to suggest either major issues 274with their SCR systems or largely dysfunctional SCR systems, as the NOx 275emissions are near what might be expected for engine out levels." In the 276ARB Truck and Bus Surveillance study, a range of heavy-duty vehicles from 8 277different engine families with model years ranging from 2010 to 2014 and 278mileages from 59,000 to 594,000 miles were tested (Quiros et al., 2017). 279Although some of the vehicles from the Quiros et al. study had emission 280rates comparable to the 0.2 g/bhp-hr standard, as discussed above, a 281number of vehicles had emission rates ranging from 1 to over 2 g/bhp-hr, 282considerably higher than those found in the present study. Thiruvengadam 283et al. (2015) also found emission rates of 6.11 and 9.39 g/mi over the UDDS 284for two 2010 SCR-equipped trucks.

Clearly, there is a significant range between the emission values of 286lower mileage or otherwise properly functioning heavy-duty vehicles, as

287tested in our study, and the higher emission rates from certain other studies 288that indicate significant SCR issues. The vehicles from this study, by design, 289represent low mileage vehicles that are well maintained and checked for any 290evidence of tampering, which may best represent the true emission rates for 291 vehicles with mileages near zero. The ZMRs for heavy-duty vehicles for 292EMFAC incorporate a much wider range of vehicles with higher mileages, 293potentially different levels of deterioration, and SCR systems with 294functionality issues, and hence tend to be higher than the values found in 295the current study. The most recent ARB estimates that have incorporated 296data from additional heavy-duty vehicles, including those from this study and 297other studies discussed above have suggested a ZMR of 2.40 g/mi for a 298baseline pre-CARB diesel fuel and 2.23 g/mi for a CARB diesel fuel for the 2990.20 g/bhp-hr NOx engines (ARB, 2017). Overall, understanding the relative 300populations of heavy-duty vehicles in different states of operating condition 301 will be important in continuing to improve emission inventories going 302forward.

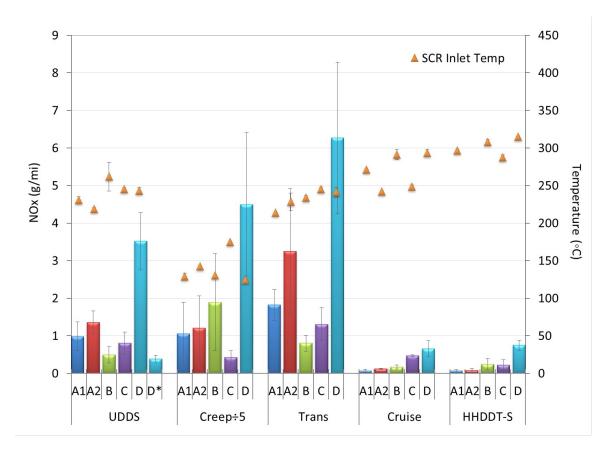
## 3033.1.2 SCR temperature

For SCR-equipped vehicles, NOx emissions are typically strongly 305correlated to the SCR temperature. Specifically, a minimum exhaust 306temperature is needed to promote hydrolysis of urea into ammonia (NH $_3$ ), 307which then reduces NOx into nitrogen (N $_2$ ) and water (H $_2$ O) (Majewski, 2006), 308with the SCR being most effective at temperatures above 250°C (ARB, 3092015b). The average SCR inlet temperature for all vehicles in this study is

310provided in Fig. 2. Note that the emissions for the Creep cycle are divided by 3115 to allow the emissions over all 5 cycles to be more clearly presented on the 312same graph. The results show that the average SCR inlet temperature is at 313or above 250°C for the Cruise and HHDDT-S cycles for all of the vehicles. The 314SCR inlet temperature sensor for the manufacturer A2 vehicle was not 315working when the Cruise cycle was run. Note that although the SCR inlet 316temperature was not available for the manufacturer A2 engine, the SCR 317outlet temperature for that engine over the HHDDT-S cycle was above 318250°C, indicating that the average SCR inlet would be above 250°C, as the 319inlet temperature was higher than the outlet temperature for all test 320combinations. NOx emissions were lowest in most cases for the Cruise and 321HHDDT-S cycles, consistent with the effective conversion rate of NOx when 322the SCR has reached its effective operational temperature, with the 323increased NOx reduction efficiency more than making up for the increased 324NOx engine out emissions at high speed, high load operation. For the UDDS 325and Transient cycles, the average SCR inlet temperature was in the range of 326213 to 261°C. This suggests that the SCR is at or above its operational 327temperature for only part of those cycles, which is consistent with the higher 328average NOx emissions observed over the UDDS and Transient cycles 329compared to the two Cruise mode cycles. The lowest temperature was found 330over the Creep cycle, where the average SCR inlet temperature ranged from 331approximately 124 to 174°C. At those lower temperatures, the SCR would 332not be reducing NOx emissions as effectivity and the denominators in term

333of g/mi would be very low, so that is the cycle where the highest g/mi NOx 334emissions were observed.

The average measured NOx emissions are also shown in Fig. 2 to 335 336provide an additional comparison between NOx emissions and SCR inlet 337temperature. Overall, the results do not show significant trends in SCR inlet 338temperature vs. NOx emissions beyond the general trends observed 339between the cycles discussed above. There are some slight differences in 340NOx emissions that could be attributed to differences in SCR inlet 341temperature. For the UDDS, the manufacturer B truck had the lowest NOx 342emissions and the highest average SCR inlet temperature, while the 343manufacturer A2 vehicle had the lowest average SCR inlet temperature and 344highest NOx emissions of the trucks, other than the outlier manufacturer D 345truck. The manufacturer C truck had the highest SCR inlet temperature and 346corresponding lower NOx emissions over the Creep cycle. On the other hand, 347the manufacturer D truck engine did not have appreciably lower SCR inlet 348temperatures, suggesting that inlet temperature was not the primary factor 349in its higher NOx emissions (which were determined to be related to an 350absence of any regeneration event). Overall, although SCR temperature 351helps explain the difference in NOx emissions between cycles, the results 352suggest that other factors beyond just SCR temperature are likely 353responsible for the differences in the trends in NOx emissions for the 354different vehicles over the same test type. Additional comparisons between 355the real-time NOx emissions and the SCR temperatures are provided in the 356supplementary material for each vehicle over the UDDS.



358**Fig. 2** Average SCR inlet temperature

## 3593.2 Other Regulated Pollutants

The emission rates of THC, CO and PM are shown on a distance-specific 361basis in Table 3. Overall, the values of those regulated pollutants were very 362low for most of the test cycles, due to the presence of the DOC/DPF/SCR 363aftertreatment system, and are comparable to background levels in some 364cases. Separate discussions of those pollutants are provided below.

#### 365*3.2.1* PM mass

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PM mass emissions were very low for most of the test cycles. PM 367emissions were below 0.015 g/mi [0.009 g/km] for all vehicles over all cycles, 368except for the manufacturer C truck over the HHDDT-S cycle and the 369manufacturer A2 truck over the Creep cycle. The PM levels are significantly 370below the 0.01 g/bhp-hr [0.013 g/kW-hr] PM standard under all test 371conditions, except the manufacturer C truck over the HHDDT-S cycle. The 372HHDDT-S PM data for manufacturer C were examined and it does not appear 373that any regenerations occurred during these outlier tests. It should also be 374noted that even these PM levels were comparable to the 0.01 g/bhp level, 375and were below the NTE limits, which are 1.5 x standard.

#### 3763.2.2 THC emissions

As expected, THC emissions were very low for most of the test cycles, 378due to the presence of the DOC/DPF/SCR aftertreatment system, and are 379comparable to background levels in some cases, as indicated by the 380negative values for some tests. THC emissions were below 0.034 g/mi [0.021 381g/km] for all test vehicles over the UDDS, Transient, Cruise, and HHDDT-S 382cycles, and were below 0.458 [0.285 g/km] g/mi for all vehicles over all test 383cycles. The Creep cycle did show considerably higher THC emissions on a 384per-mile basis, ranging from 0.241 to 0.458 g/mi [0.150 to 0.285 g/km] due 385its short, low-speed accelerations and longer idle periods.

#### 3863.2.3 CO emissions

CO emissions were very low for most of the test cycles. CO emissions 388were below 0.2 g/mi [0.12 g/km] for all vehicles over all cycles, except over

389the Creep cycle and the manufacturer D truck over the Transient cycle. 390Emissions over the Creep cycle ranged from 0.004 to 5.042 g/mi [0.02 to 3913.133 g/km] and from 0.001 to 1.011 g/bhp-hr [0.001 to 1.356 g/kW-hr]. 392Overall, the CO emission rates were considerably below the 15.5 g/bhp-hr 393[20.8 g/kW-hr] and 14.0 g/bhp-hr [18.8 g/kW-hr] standards established by 394EPA and ARB, respectively, for all vehicles and cycles.

### 3953.3 CO<sub>2</sub> Emissions and Fuel Economy

#### 3963.3.1 *CO*<sub>2</sub> *emissions*

CO<sub>2</sub> emissions for the five test trucks are shown in units of g/mi in 398Table 3. CO<sub>2</sub> emissions over the UDDS cycle ranged from 1864 to 2219 g/mi 399[1159 to 1379 g/km]. CO<sub>2</sub> emissions over the Transient cycle were similar to 400those over the UDDS, ranging from 2260 to 2624 g/mi [1404 to 1631 g/km]. 401CO<sub>2</sub> emissions over the Cruise and HHDDT-S cycles were slightly lower on a 402g/mi basis. CO<sub>2</sub> emissions ranged from 1160 to 1443 g/mi [721 to 897 g/km] 403and 1450 to 1743 g/mi [901 to 1084 g/km] for the Cruise cycle and the 404HHDDT-S cycle, respectively. CO<sub>2</sub> emissions were highest over the Creep 405cycle, where loads were lowest, ranging from 3351 to 5095 g/mi [2082 to 4063166 g/km].

The ranges of CO<sub>2</sub> emissions observed in the current study are 408comparable to ranges found in other studies in the literature. In comparison, 409CO<sub>2</sub> emissions as measured in the earlier ARB study ranged from 1831 to 4102964 g/mi over the UDDS, from 2034 to 2432 g/mi over the Transient cycle,

411from 1014 to 1558 g/mi over the Cruise cycle, from 1310 to 1898 g/mi for 412the High Speed Cruise cycle, and from 3805 to 5006 g/mi over the Creep 413cycle (ARB, 2015a, 2015b). For the previous UCR-SCAQMD study (Miller et 414al., 2013), CO<sub>2</sub> emissions for the Class 8 diesel trucks ranged from 2379 to 4153117 g/mi over the hot UDDS cycle. For the previous WVU-SCAQMD study 416(Carder et al., 2014), CO<sub>2</sub> emissions for 2009 model year and newer Class 8 417goods-movement diesel trucks ranged from 2115 to 2757 g/mi over the 418UDDS cycle. Note that some of the differences between those various studies 419could be due to differences in test weight loading, as the ARB study used a 420weight of 56,000 lbs., the SCAQMD study used a test weight of 69,500 lbs, 421and the present study used 65,000 lbs. It should also be noted that the range 422in CO<sub>2</sub> emissions for trucks tested over the same cycle in those two earlier 423studies is similar to that found in the current study.

## 4243.3.2 Fuel Economy

Fuel economy for the five test trucks is shown in Table 3. Fuel 426economy was similar over the UDDS and Transient cycles. Fuel economy 427over the UDDS ranged from 4.56 to 5.41 mi/gal [1.94 to 2.30 km/l], while fuel 428economy over the Transient ranged from 3.84 to 4.46 mi/gal [1.63 to 1.90 429km/l]. Fuel economy over the Cruise and HHDDT-S cycles was slightly better, 430ranging from 6.98 to 8.69 mi/gal [2.97 to 3.69 km/l] for the Cruise cycle, and 431from 5.78 to 6.95 mi/gal [2.46 to 2.96 km/l] for the HHDDT-S cycle. The 432lowest fuel economy was found over the Creep cycle, and ranged from 1.98 433to 2.73 mi/gal [0.84 to 1.16 km/l], due to the slow speeds and stop-and-go

434nature of the cycle. Again, it should be noted that some of the differences in 435fuel economy between different vehicles for the same cycle at the same test 436weight could be more a function of the differences in the dynamometer 437loading between trucks due to different frontal areas, as opposed to 438differences in engine technologies/manufacturers. A more detailed 439discussion of the CO<sub>2</sub> emissions, as a surrogate for fuel economy, is provided 440in the supplementary material.

### 441**4.** Conclusions

This study tested five heavy-duty Class 8 diesel trucks equipped with 443DPFs for PM emissions control and SCR systems for NOx emissions control. 444The vehicles tested ranged in model year from 2012 to 2015, and were 445certified to a 0.20 g/bhp-hr [0.27 g/kW-hr] NOx emissions standard, with the 446exception of one engine that was certified to a 0.35 g/bhp-hr [0.47 g/kW-hr] 447standard. Each vehicle was tested on UCR's heavy-duty chassis 448dynamometer over the four phases of ARB's HHDDT cycles, the HHDDT-S 449cycle, and the UDDS. The conclusions of this study are summarized below.

NOx emissions varied depending on the test cycle and the test truck. 451For the manufacturer A1, manufacturer A2, manufacturer B and 452manufacturer C trucks, NOx emissions over the UDDS cycle ranged from 4530.495 to 1.363 g/mi (0.136 to 0.387 g/bhp-hr) [0.308 to 0.847 g/km (0.182 to 4541.341 g/kW-hr)]. On a bhp-hr basis, those emission levels are comparable to 455or below the 0.20/0.35 NOx [0.268/0.469 g/kW-hr] level for three of the four 456vehicles, while one vehicle was higher than the certification standard at

4570.387 g/bhp [0.519 g/kW-hr]. NOx emissions over the ARB chassis 458dynamometer transient cycle were slightly higher than for the UDDS (0.803 459to 3.252 g/mi [0.499 to 2.020 g/km]). The lowest emissions were found over 460the two cruise cycles, with NOx emissions ranging from 0.067 to 0.249 g/mi 461[0.042 to 0.155 g/km g/km] for the HHDDT-S and from 0.068 to 0.471 g/mi 462[0.042 to 0.293 g/km] for the Cruise cycle. The highest NOx emissions were 463seen for the Creep cycle, which showed NOx emission ranging from 2.131 to 4649.468 g/mi [1.323 to 5.883 g/km g/km].

The manufacturer D truck was an outlier with noticeably higher NOx 466emissions relative to the other vehicles. In this study, on a g/mi basis, its 467NOx emissions were 3.519 [2.187 g/km] over the UDDS. Subsequent to the 468initial testing of this vehicle, it was found that the engine had never 469undergone a regeneration event, due to its unusual, unladed service history 470and duty cycle. After a series of conventional parked regenerations were 471performed, additional chassis dynamometer testing showed a NOx emission 472rate of 0.39 g/mi [0.24 g/km] over the UDDS cycle, near the lower end of the 473NOx emission rates found in the current study.

The NOx results of this study and other recent studies suggest that 475there is a wide range of NOx emission levels in the in-use fleet. The results of 476this study, by design, best represent low mileage, well maintained heavy-477duty vehicles, while other studies have shown higher NOx emission rates for 478higher mileage vehicles or vehicles that appear to have SCR system issues. 479The ZMRs for heavy-duty vehicles for EMFAC incorporate a wide range of

480vehicles with higher mileages, potentially different levels of deterioration, 481and SCR systems with functionality issues, and hence tend to be higher than 482the values found in the current study. Understanding the relative populations 483of heavy-duty vehicles in different states of condition will be important in 484continuing to improve emission inventories going forward.

PM, THC, and CO emissions were found to be very low under most of 486the testing conditions. PM emissions were below 0.015 g/mi [0.009 g/km] for 487nearly all vehicle/cycle combinations. THC emissions were below 0.05 g/mi 488[0.03 g/km] for all test cycles except the Creep cycle, which showed THC 489emissions ranging from 0.241 to 0.458 g/mi [0.150 to 0.285 g/km]. CO 490emissions were below 0.2 g/mi [0.12 g/km] for almost all vehicles and cycles, 491except over the Creep cycle. Fuel economy ranged from 3.84 to 8.69 mi/gal 492[1.63 to 3.69 km/l] for the non-Creep cycles, with higher fuel economies 493found for the cycles representing drivingat highway cruising speeds.

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