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### Title

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# Size dependence of the pressure-induced $\gamma$ to $\alpha$ structural transition in iron oxide nanocrystals

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The size trend for the pressure-induced  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> (maghemite) to  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> (hematite) structural phase transition in nanocrystals has been observed. The transition pressure was found to increase with decreasing nanocrystal size: 7 nm nanocrystals transformed at 27GPa, 5 nm at 34GPa and 3 nm at 37GPa. Comparison with literature data on larger  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> nanocrystals suggests that the effect saturates at a particle size of roughly 7 nm, indicating a structural or electronic transition at that size. Annealing of a bulk sample of  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> was found to greatly reduce the transition pressure from 35 to 24GPa. The bulk modulus was determined to be  $\sim$  260GPa for 7 nm nanocrystals of  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub>, which is significantly higher than for the value of 190GPa we measured for bulk samples. For  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>, the bulk moduli for 7 nm nanocrystals and bulk were almost the same within error. The bulk modulus for the  $\gamma$  phase was found to decrease with decreasing particle size between 10 and 3.2 nm particle size. Values for the ambient pressure molar volume were found within 1% to be: 33.0 cc/mol for bulk  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub>, 32.8 cc/mol for 7 nm diameter  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> nanocrystals, 30.7 cc/mol for bulk  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> and 30.6 cc/mol for  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> nanocrystals. As the size of  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> nanocrystals is decreased, the onset of the softening of the lattice precedes the onset of the change in transition pressure. The change in transition pressure is argued to be a result of the lattice softening.

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## I. INTRODUCTION

The properties of nanoscale materials can be quite different from bulk<sup>1</sup>. Some properties have been found to vary continuously with particle size, the most famous example being the reduction in melting temperature in smaller sizes<sup>2</sup>. The reduction is driven by the fact that the liquid generally has a lower surface energy than the solid, and is observed almost universally (although

theoretically it is possible for the melting of an embedded nanocrystal to increase in smaller sizes, if the surface energy of the solid-solid interface is lower than the solid-liquid surface energy). For some time now we have been interested in determining if there is a comparable trend with size in pressure induced solid-solid transformations to denser structures. The earliest, and still most comprehensive, study of a pressure-induced phase transition used well characterized nanocrystals of CdSe<sup>3,4</sup> and demonstrated an increase of transition pressure with decreasing nanocrystal size. Enhancement of transition pressure in different nanoscale materials were reported in a large number of following studies. These include the anatase form of TiO<sub>2</sub><sup>5-7</sup>, the rutile form of TiO<sub>2</sub><sup>8</sup>, ZrO<sub>2</sub><sup>9</sup>, PbS<sup>10,11</sup>, ZnS<sup>12,13</sup>, Si<sup>14</sup>, ZnO<sup>15</sup>, GaAs<sup>16</sup>, WO<sub>3</sub><sup>17</sup> and CoFe<sub>2</sub>O<sub>4</sub><sup>18</sup>. The increase in transition pressure may arise because the nanocrystals change shape upon structural transformation, creating high energy surfaces in the denser phase. In this picture, the shape change occurs when there is only one nucleation event per nanocrystal, and a pathway that leads from one structure to another.

It is clearly possible for the transition to be lower in smaller nanocrystals, for both thermodynamic and kinetic reasons. There is one case, the pressure-induced transition from  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> to  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub><sup>19-21</sup>, where early evidence has suggested that a pressure induced solid-solid transition is indeed reduced in nanocrystals, compared to the bulk. The study of Jiang et al.<sup>19</sup> found a transition pressure of 27GPa for 9 nm nanocrystals, the Zhao et al. study<sup>20</sup> found a value of 25-30GPa for 10 nm nanocrystals and the Wang and Saxena study<sup>21</sup> found a transition pressure of 26.6GPa for 25 nm nanocrystals. This compares with reported values of 35GPa for bulk material<sup>19,21</sup>. The study of Wang and Saxena<sup>21</sup> went on to study the  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> to perovskite structure transition at higher pressure and found no difference in transition pressure to that of bulk material. One significant difficulty arises from the fact that transitions that involve a large volume change usually exhibit significant hysteresis, so that it can be difficult to distinguish between kinetically controlled upstroke observations and the thermodynamic transition points. Examples where this has been an issue includes: SnO<sub>2</sub><sup>22</sup>,  $\alpha$ -Fe<sup>23</sup> and CeO<sub>2</sub><sup>24</sup>. A further study on the iron oxide system, using an extended range of nanocrystal sizes below 9nm, may prove helpful in determining whether there is a decrease in transition pressure with decreasing nanocrystal size. Here, we present the results of a study of the  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> to  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> upstroke transition pressures in well-defined, isolated nanocrystals, dispersed in a soft matrix (not a polycrystalline powder) for a range of nanocrystal sizes and for bulk material. Combining our data with the literature data allows us to more definitively establish a trend with size, not only for the transition pressure, but also for the bulk modulus.

## II. EXPERIMENTAL

Samples of  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> nanocrystals were synthesized by a variation of a published method<sup>25</sup>. Briefly, cupferrone, an oxygen terminated bidentate chelating agent, was complexed with Fe<sup>3+</sup> in aqueous solution. The product was purified, dried and dissolved in hexadecylamine under argon. When the solution was heated to approximately 200°C, iron cupferronate was decomposed, producing  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> nanocrystals. Samples were maintained at 270°C for 5 to 40 minutes, during which time the particles grew in size. By quenching the reaction at different times, nanocrystals of 3.2 nm, 5.7 nm and 7.2 nm average diameter were obtained. The final washed particles retained a monolayer coating of hexadecylamine, making them soluble in non-polar solvents. Samples were evaluated by transmission electron microscopy and x-ray

diffraction, both of which yield approximately the same size for each sample, indicating that each nanocrystal is composed of a single crystalline domain. Size distributions for each sample were approximately  $\pm 15\%$ . Bulk  $\gamma\text{-Fe}_2\text{O}_3$  powder (99.9%) was purchased from Aldrich Inc. This was divided into three aliquots. The first was left as delivered, the second was annealed for five days, and the third was annealed for two months. Annealing was conducted at  $140^\circ\text{C}$  under vacuum, well below the  $\sim 300^\circ\text{C}$  necessary to nucleate  $\alpha\text{-Fe}_2\text{O}_3$ . Phase purity was confirmed by ambient pressure x-ray diffraction. High-pressure measurements were made on all three samples.

High pressures were generated using a diamond anvil cell (DAC), type WCME, supplied by Diacell Products Ltd. Diamonds with  $300\ \mu\text{m}$  culet diameter were used with spring steel gaskets with  $150\ \mu\text{m}$  holes. Nanocrystals were redissolved in ethylcyclohexane and bulk samples were dispersed in the same solvent. The pressure was measured using the ruby fluorescence method<sup>26</sup>. Each sample was pressurized up to 40GPa in 2-5GPa pressure increments. X-ray powder diffraction patterns were collected at each pressure. These data were collected on beamline 7.3.3 at the Advanced Light Source, Lawrence Berkeley National Laboratory, Berkeley, CA. This beamline is optimised for DAC studies using angle-dispersive diffraction<sup>27,28</sup>. The synchrotron beam was focused to a size of  $50 \times 120\ \mu\text{m}$  at the sample. The optimal wavelength for these measurements on this beamline was found to be  $1.117\ \text{\AA}$ , which was used throughout. Two dimensional diffraction patterns were collected using a Mar345 imaging plate detector system (Mar Research, Evanston, IL). Diffraction patterns were taken, with exposure times of 25 minutes, at regular intervals of approximately one every 50 minutes in order to minimize kinetic effects. The sample-to-detector distance was calibrated using  $\text{LaB}_6$  and  $\text{Al}_2\text{O}_3$  as diffraction standards. The system accuracy was found to be approximately 0.01 degrees in  $2\theta$ , or  $0.001\ \text{\AA}$  in d-spacing. Two-dimensional diffraction patterns were radially integrated using the program Fit2D<sup>29</sup>, to obtain one-dimensional patterns. Voigt peak shape functions were fitted to these patterns in order to determine diffraction peak positions and widths. All measurements were made at room temperature.

### III. RESULTS

Fig. 1 shows selected diffraction patterns at a number of pressures for the briefly annealed bulk  $\gamma\text{-Fe}_2\text{O}_3$  sample (a) and for  $7.2\ \text{nm}$   $\gamma\text{-Fe}_2\text{O}_3$  nanocrystals (b). The peaks of the nanocrystal samples are Debye-Scherrer broadened relative to the bulk as expected<sup>30</sup>. For both nanocrystal and bulk samples, only the  $\gamma$  phase was present at low pressures. As pressure was increased, peaks associated with the  $\alpha$  phase began to appear. Finally, at even higher pressures, pure  $\alpha$  phase was obtained. When quenched to atmospheric pressure, both bulk and nanocrystal samples retained the  $\alpha$  structure. The peak widths of the narrowest peaks of the bulk samples increased from  $0.3^\circ$  to  $0.5^\circ$  across the transition. The peak widths of both  $\alpha\text{-Fe}_2\text{O}_3$  nanocrystals and  $\gamma\text{-Fe}_2\text{O}_3$  nanocrystals were both found to be about  $1.0^\circ$  throughout the measurements.

Because some peaks were less intense and broader in the  $\alpha$  phase, it was difficult to accurately determine the phase percentages at a given pressure. Following the method of Jiang et al.<sup>19</sup>, we quantified the transition progress by plotting the ratio of the integral intensities of the  $\gamma\text{-Fe}_2\text{O}_3$  (311) and  $\gamma\text{-Fe}_2\text{O}_3$  (220) diffraction peaks as a function of pressure. The  $\gamma\text{-Fe}_2\text{O}_3$  (311) coincides

closely with the  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> (110), while the  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> (220) has no coincident peak in the  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> pattern. As the transition proceeds, the  $I^{220}/I^{311}$  ratio increases from its pure  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> value of approximately 3.0. The result of this analysis is presented in Fig. 2(a) for nanocrystal samples with diameters of 3.2 nm, 4.9 nm, and 7.2 nm. We observe that for decreasing nanocrystal diameters, the rise in the  $I^{220}/I^{311}$  ratio is shifted to higher pressures. This allows us to estimate the transition pressures as: 27GPa for 7.2 nm diameter nanocrystals, 34GPa for 4.9 nm and 37GPa for 3.2 nm. For bulk samples (Fig. 2b) the observed transition pressure decreases with increasing annealing time.

In addition to transition pressures, we determined from our data bulk moduli and ambient pressure volumes for bulk  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub>, bulk  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> formed by phase transition from bulk  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub>, 7 nm nanocrystals of  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> and  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> nanocrystals formed by phase transition from 7 nm nanocrystals of  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> (Table 1). The unit cell volume as a function of pressure for nanocrystals (open symbols) and bulk (filled symbols) is shown for  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> (triangles) and  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> (squares) in Fig. 3. The values in Table 1 were obtained by fitting the Birch equation<sup>31</sup> to our data with the pressure derivative of the bulk modulus set to a value of 4 after Jiang et al.<sup>19</sup>. The Birch equation fits are also shown graphically in Fig. 3. For fitting purposes, only data from diffraction patterns clearly showing a single phase was used in order to avoid errors due to overlapping  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> and  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> peaks. Volumes for bulk  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> formed from the variously annealed bulk  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> samples were found to be indistinguishable. Therefore, these volumes were combined before determining the values in Table 1. The percentage volume change at the mid point of the transition was found to be about 10%.

**Table 1.** Bulk moduli and molar volume at ambient pressure obtained by fitting the Birch equation to our data.

Sample	Bulk Modulus (GPa)	Molar Vol. at Ambient Pressure (cc/mol)
Bulk $\gamma$ -Fe <sub>2</sub> O <sub>3</sub>	190 ± 6	33.0 ± 0.2
7 nm $\gamma$ -Fe <sub>2</sub> O <sub>3</sub>	262 ± 6	32.8 ± 0.2
Bulk $\alpha$ -Fe <sub>2</sub> O <sub>3</sub>	300 ± 30	30.7 ± 0.3
7 nm $\alpha$ -Fe <sub>2</sub> O <sub>3</sub>	336 ± 5	30.6 ± 0.1

#### IV. DISCUSSION

Our data show that the upstroke transition pressure for the  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> to  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> phase transition for nanocrystal samples increases as the nanocrystal size decreases for nanocrystals in the range 3.2 to 7.2 nm. The transition pressure of 27GPa that we have determined for the 7.2 nm diameter nanocrystals is, within error, the same as the values of 27GPa determined by Jiang et al.<sup>19</sup> for 9 nm diameter nanocrystals, 26.6GPa determined by Wang and Saxena<sup>21</sup> for 25 nm nanocrystals and 24 ± 2GPa determined for our annealed bulk sample (Fig. 4). It seems that the effect of particle size on the transition pressure saturates at about 7 nm particle size with all larger sizes giving the same value as a fully annealed bulk sample. One might argue that pressure annealing

of aggregated particles has led to grain growth and production of bulk from nanocrystals and hence bulk measurements from what were initially nanocrystals. This seems unlikely since no sharpening of the diffraction peak widths was observed in our measurements or reported in the literature measurements. The increase in the transition pressure at small particle sizes might be attributed to an increased contribution from an unfavorable surface energy component to the total energy or the crossing of a critical size for a structural transition. We would expect the former of these mechanisms to lead to a more gradual increase in the transition pressure than actually observed. The sudden increase in transition pressure as particle size drops below 7 nm seems more in keeping with a sharp change in nanocrystal properties around that size.

The variation of the transition pressure for the bulk sample with annealing time is not surprising. Maghemite has a cation deficient spinel structure which may be represented as:  $\text{Fe}_8[\text{Fe}_{40/3}[]_{8/3}]\text{O}_{32}$  where [] denotes vacancy. Eight  $\text{Fe}^{3+}$  atoms occupy tetrahedral sites while the remainder occupies octahedral sites. The vacancies may be ordered or disordered or partially occupied by impurity atoms. This allows a certain amount of variability of the crystal structure and microstructure depending on sample preparation and history. This is manifested in, for example, the thermal transition from  $\gamma\text{-Fe}_2\text{O}_3$  to  $\alpha\text{-Fe}_2\text{O}_3$  that has been found to depend strongly on sample preparation<sup>32</sup>. The important point is that annealing the bulk  $\gamma$  sample reduced the transition pressure to a limiting value similar to that found for nanocrystals larger than 7nm.

The value we determined for the bulk modulus of bulk  $\gamma\text{-Fe}_2\text{O}_3$ ,  $190 \pm 6\text{GPa}$ , agrees within error with the value of  $203 \pm 10\text{GPa}$  found by Jiang et al.<sup>19</sup> The value of the bulk modulus we found for  $\gamma\text{-Fe}_2\text{O}_3$  nanocrystals,  $262 \pm 6\text{GPa}$ , is significantly lower than the value of  $305 \pm 15\text{GPa}$  found by Jiang et al.<sup>19</sup> and  $375 \pm 9\text{GPa}$  found by Zhao et al.<sup>20</sup> Due to the wide peak widths and low intensity of the diffraction profiles from very small nanocrystals we were unable to determine a reliable bulk modulus from our 3.2 or 4.9 nm diameter samples. When we combine all of our nanoparticle data together we obtain a bulk modulus of  $211 \pm 15\text{GPa}$  which we can use as an indication of the relative change in bulk modulus with particle size. All of these values are shown in Fig. 5. The bulk modulus clearly increases with increasing nanoparticle size. An increase in bulk modulus with nanoparticle size was also observed for  $\gamma\text{-Al}_2\text{O}_3$ <sup>33</sup>. These values are also plotted in Fig. 5. The  $\gamma\text{-Al}_2\text{O}_3$  bulk modulus is seen to smoothly increase to a value close to that of the bulk value of  $254\text{GPa}$ <sup>34</sup>. In contrast the bulk modulus of  $\gamma\text{-Fe}_2\text{O}_3$  increases to values in excess of the bulk value of about  $200\text{GPa}$ . Presumably, there exists a peak value of the bulk modulus at some particle size between 10 nm and that of the bulk. This decrease in the bulk modulus, or softening of the material, is not easily explained in terms of surface energy or kinetic effects. A more plausible explanation of the softening of bulk in comparison with 10 nm nanocrystals can be given by noting that maghemite produced by thermal oxidation of magnetite is known to possess ordering of cation vacancies within a tetragonal supercell for large ( $> 200$  nm) particles and the absence of this type of ordering of vacancies in fine particles ( $< 100$  nm)<sup>36</sup>. Such a loss of order may explain the increase in bulk modulus between bulk and nanocrystals. Enhanced bulk modulus in nanocrystals has been observed before, for example in  $\text{ZnS}$ <sup>36</sup>, and attributed to increase in disorder.

The value we found for the ambient pressure molar volume of  $\gamma\text{-Fe}_2\text{O}_3$ ,  $33.0\text{cc/mol}$ , is the same, within error, as the value of  $32.9\text{cc/mol}$  found both by Jiang et al.<sup>21</sup> and Haul and Schoon<sup>37</sup>.

The values we determined for the bulk moduli of both bulk and nanocrystalline  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> are higher than those previously reported. Our value of  $299 \pm 30$  GPa for bulk  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> is larger than the value of  $205 \pm 5$  GPa determined by Jiang et al.<sup>19</sup>,  $231 \pm 10$  GPa determined by Sato and Akimoto<sup>38</sup>,  $225 \pm 4$  GPa determined by Finger and Hazen<sup>39</sup>,  $258 \pm 6$  GPa determined by Rozenburg et al.<sup>40</sup> and  $206 \pm 5$  GPa by Liu et al.<sup>41</sup>. The bulk modulus of bulk  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> does seem to be very sensitive to the pressure transmitting medium and the degree of hydrostaticity<sup>41</sup>. Our use of ethylcyclohexane as the pressure transmitting fluid may have exacerbated this situation. Alternatively, the large variation of compressibility and transition behavior has also been attributed to impurities and crystallization history<sup>39</sup>. Other properties of  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> have also been found to depend on the method of sample preparation<sup>42</sup>. So it may be that our method of preparing the bulk  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> sample, by pressure-induced transformation from bulk  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> may lead to a sample with a higher bulk modulus. The value we determined for the ambient pressure molar volume of bulk  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>,  $30.7 \pm 0.3$  agrees, within experimental error, with values of 30.3 to 30.4 cc/mol determined previously<sup>19,39,40,42</sup>.

## V. CONCLUSIONS

The upstroke transition pressure for the  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> to  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> phase transition was found to increase with decreasing nanocrystal size below about 7 nm nanocrystal size. Above 7 nm, the nanocrystals were found to transform at a constant pressure, which is the same pressure as determined for an annealed bulk sample. The bulk modulus of nanocrystalline  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> was found to initially increase with crystal size, peaking somewhere between 10 nm and bulk. An explanation for the origin of the elevated transition pressure must await further studies of the kinetics of the transition (rate constants versus temperature, pressure, size); however, the preliminary results presented here do establish the correct size dependent trend.

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## Figure captions

FIG. 1. X-ray diffraction patterns of briefly annealed bulk (a) and 7.2 nm diameter nanocrystalline (b)  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> at various pressures as noted on the figure. The two lowest patterns show each sample entirely in the  $\gamma$  phase. Peaks labeled with diamonds are (in order, left to right), the  $\gamma$  (220), (311), (400), (422) and (511). As pressure is increased,  $\alpha$  peaks appear, until at the highest pressure only  $\alpha$  is present. Upon recovery to ambient pressure (topmost patterns), the samples retain the  $\alpha$  structure. Labeled with asterisks are (left to right),  $\alpha$  (102), (104), (110), (113), (024), and (116).

FIG. 2. The ratio of the integrated intensities of the  $\gamma$ (311)/  $\gamma$ (220) which increases as the transition to  $\alpha$  proceeds. The colloidal nanocrystals (a) studied here demonstrate a strong dependence of transition pressure on size. A wide range of transition pressures can be obtained for the bulk (b) depending on how well the samples has been annealed.

FIG. 3. The volume as a function of pressure of bulk (filled triangles,  $\gamma$ ; filled squares,  $\alpha$ ) and nanocrystals (open triangles,  $\gamma$ ; open squares  $\alpha$ ). A strong departure from the P-V curves was observed only for nanocrystals of the  $\gamma$  phase at pressures above 10GPa.

FIG. 4. Variation of transition pressure as a function of nanocrystal size for Fe<sub>2</sub>O<sub>3</sub>. The diamonds are our data, the square is from Jiang et al.<sup>19</sup>, the triangle is from Zhao et al.<sup>20</sup> and the circle is from Wang and Saxena<sup>21</sup>. Our value for the fully annealed bulk sample has been omitted for the sake of clarity, but would be at the same level as the 7 to 25 nm particle sizes just at around 1000nm particle size.

FIG. 5. Variation of bulk modulus with nanocrystal size for  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> and  $\gamma$ -Al<sub>2</sub>O<sub>3</sub>. Open symbols are for  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> and filled symbols for  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub>. Filled diamonds are our data, the filled square is from Jiang et al.<sup>19</sup>, the filled triangle is from Zhao et al.<sup>20</sup>, the open diamonds are from Chen et al.<sup>33</sup>, the open square from Gallas et al.<sup>43</sup> and the open triangle from Zhao et al.<sup>44</sup>

FIG. 1.

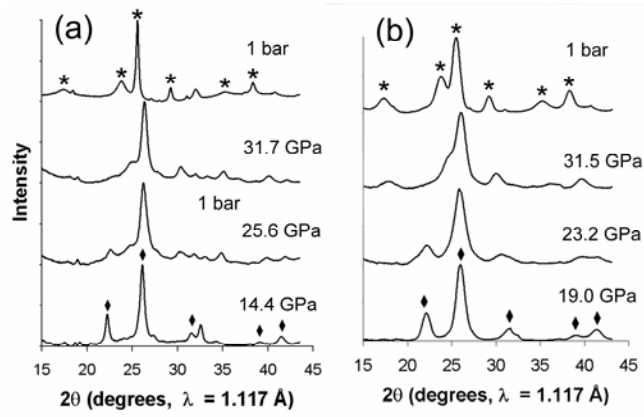


FIG. 2.

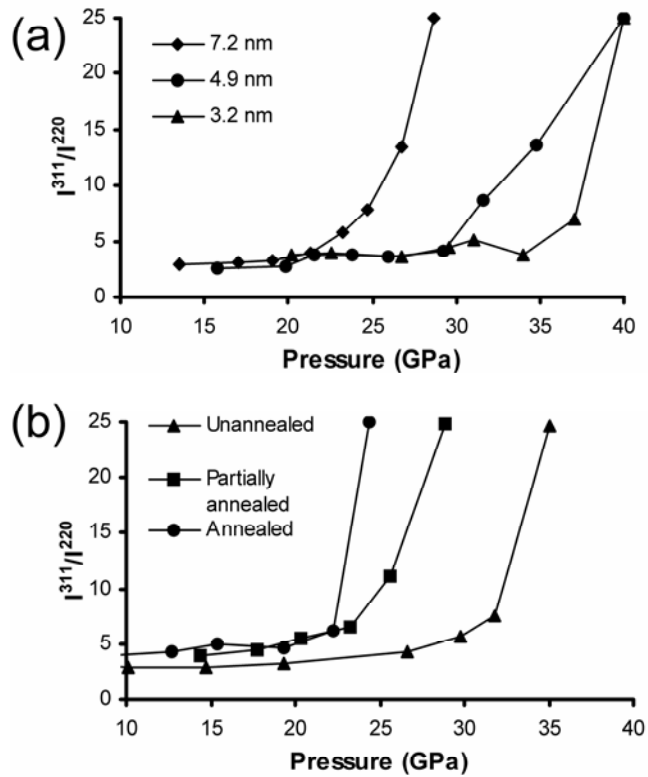


FIG. 3.

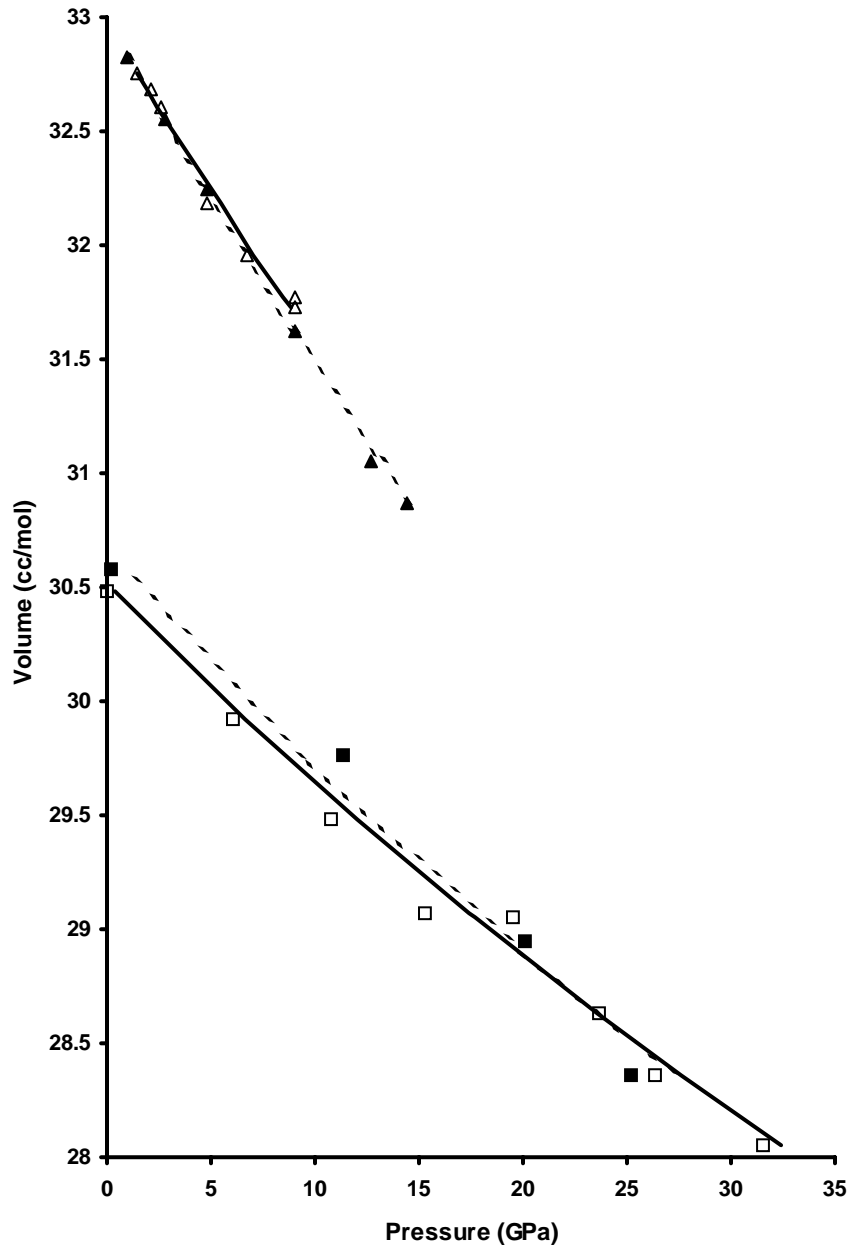


FIG. 4.

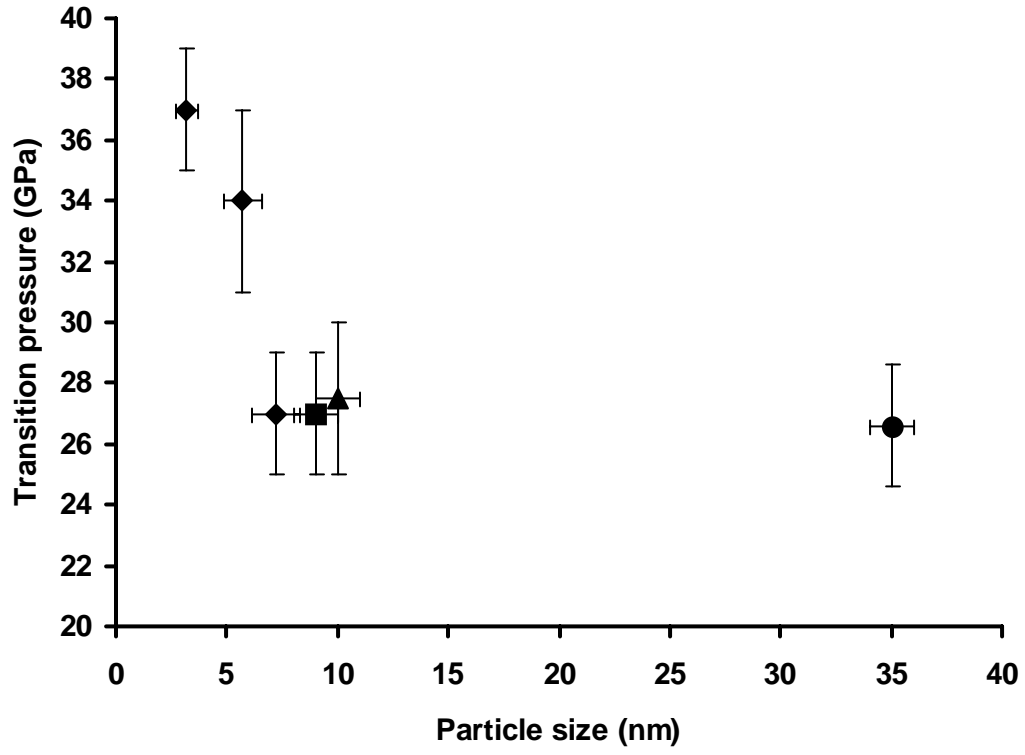


FIG. 5.

