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# **Prevalence and Short-Term Clinical Outcome of Mobile Thrombi Detected on Transvenous Leads in Patients Undergoing Lead Extraction**

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## **Disclosures**

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1 **Structured Abstract**

2

3 **Objectives:** The objective of this study was to prospectively evaluate the  
4 prevalence, risk factors and short term major clinical outcomes of mobile  
5 thrombus detected on transvenous leads in patients undergoing lead  
6 extraction.

7 **Background:** The prevalence and clinical significance of thrombus on  
8 transvenous leads in patients undergoing lead extraction is not well  
9 characterized.

10 **Methods:** Consecutive patients undergoing transvenous lead extraction for  
11 non-infectious indications were enrolled. Pre-operative trans-esophageal  
12 echocardiograms were performed prospectively for all patients to examine  
13 for mobile thrombus. Anticoagulation was not started for thrombus unless  
14 other indications were present. Clinical endpoints of mortality and  
15 cardiovascular morbidity (symptomatic pulmonary embolism, myocardial  
16 infarction or cerebrovascular accident) were assessed at minimum of two  
17 month follow-up.

18 **Results:** 108 patients underwent lead extraction for non-infectious  
19 indications. Lead thrombi were detected in 20 patients (18.5%) and all were  
20 less than 2cm. Clinical and lead characteristics were not associated with  
21 formation of lead thrombi, except for younger patient age. In patients with  
22 detected thrombi, there were no short-term deaths, symptomatic pulmonary  
23 embolism, nor myocardial infarction, except one patient with a stroke 3

24 months after lead extraction (7% vs 5%,  $p=1.00$ ). Median follow-up was 9  
25 months.

26 **Conclusions:** Mobile thrombi on transvenous leads are commonly found in  
27 patients referred for transvenous lead extraction and are rarely associated  
28 with acute major adverse outcomes. Careful extraction of leads with small  
29 incidentally detected thrombi can likely be performed without major acute  
30 clinical sequelae. Larger studies with longer follow-up are needed to further  
31 assess the long term clinical significance of lead thrombi.

32

33

34 **Keywords**

35 Transvenous lead extraction

36 Cardiac implantable devices

37 Trans-esophageal echocardiography

38 Thrombogenesis

39

40 **Condensed Abstract**

41           The prevalence and clinical outcome of mobile thrombi on transvenous  
42 leads are unknown in patients undergoing transvenous lead extraction. In  
43 this prospective study of 108 consecutive patients undergoing lead  
44 extraction for non-infectious indications, there was a high prevalence of  
45 patients with lead thrombi. These patients were rarely associated with short-  
46 term major adverse outcomes despite use of extraction sheaths and a  
47 strategy of no routine anticoagulation for incidentally detected lead thrombi.  
48 Thus, careful extraction of leads with small incidentally detected thrombi can  
49 likely be performed without major acute clinical sequelae.

50

51 **Abbreviations**

52 AF: atrial fibrillation

53 BMI: body mass index

54 CIED: cardiac implantable electronic device

55 CTEPH: chronic thromboembolic pulmonary hypertension

56 CVA: cerebrovascular accident

57 FDA: Food and Drug Administration

58 ICE: intracardiac echocardiography

59 INR: international normalized ratio

60 LVEF: left ventricular ejection fraction

61 MI: myocardial infarction

62 PE: pulmonary embolism

63 TEE: trans-esophageal echocardiogram

64 VT: ventricular tachycardia

65

66

67 **Introduction:**

68         The prevalence of mobile intracardiac thrombi detected on  
69 transvenous leads from cardiac implantable electronic devices (CIED) varies  
70 widely in the literature, from 1.4% to 30% using a variety of imaging  
71 modalities<sup>1-4</sup> in different patient populations. The clinical significance of these  
72 incidentally detected thrombi is unclear, with some studies reporting a low  
73 incidence of pulmonary embolism, but there are cases of patients with  
74 recurrent pulmonary embolism requiring surgical lead and thrombus  
75 extraction. Furthermore, it is unclear whether anticoagulation is indicated in  
76 these patients in whom incidental thrombi without embolic sequelae are  
77 found.

78         In patients undergoing transvenous lead extraction, the prevalence  
79 and clinical outcome of incidental mobile lead thrombi has not been  
80 reported, and it is unknown whether these patients have a higher incidence  
81 of embolic events. We performed a prospective cohort study of consecutive  
82 patients referred for transvenous lead extraction to evaluate the prevalence  
83 and short term major clinical outcomes of thrombus detected on transvenous  
84 leads at time of lead extraction.

85

86 **Methods:**

87 *Patient Population*

88         Consecutive patients with prior cardiac implantable devices with  
89 transvenous leads who were referred for transvenous lead extraction at the

90 University of California, San Diego between March 2015 to December 2016  
91 for non-infectious indications such as lead malfunction were enrolled into this  
92 prospective study. Inclusion criteria included any patient greater than 18  
93 years of age who was referred for transvenous lead extraction for any  
94 indication except infection, bacteremia, or pocket infection. Exclusion criteria  
95 included any patient who could not tolerate a trans-esophageal  
96 echocardiogram.

97

### 98 *Study Design*

99         A routine pre-operative trans-esophageal echocardiogram (TEE) was  
100 performed prospectively for all patients on the day of the lead extraction  
101 procedure, focusing on all transvenous leads from the superior vena cava to  
102 endocardial insertion points to examine for mobile thrombus located in the  
103 intracardiac portion of the leads. A mobile thrombi was defined as any  
104 echodensity seen on the intracardiac portion of a lead that appeared distinct  
105 (sharp, irregular edges) from the lead material, could move freely (not be  
106 affixed to a vessel wall and either move along with or be independent of the  
107 direction of lead movement. Care was taken to exclude artifact or venous  
108 occlusion in the SVC. Figure 1 shows two representative examples of  
109 differing mobile thrombi; Both move freely in the right atrium with the lead.  
110 Figure 1A and Video 1 show a large thrombus with significant paradoxical  
111 movement with the RV lead. Figure 1B and Video 2 show a thrombus affixed



112 to the RA lead that moves with the lead with a small component moving  
113 paradoxically to the lead.

114 Then, lead extraction was performed according to standard clinical  
115 protocol using traction and laser or mechanical sheaths. Relevant baseline  
116 clinical and lead characteristics were recorded such as: patient age, gender,  
117 body mass index (BMI), left ventricular ejection fraction (LVEF), comorbid  
118 conditions, basic labs, anticoagulant use at time of extraction, number of  
119 leads, lead age, lead recall status, presence of defibrillation coil, abandoned  
120 leads, type of malfunction, lead chamber, insulation material, and  
121 manufacturer.

122 Clinical endpoints of mortality and cardiovascular morbidity  
123 (symptomatic pulmonary embolism, myocardial infarction, or  
124 cerebrovascular accident) were assessed at a minimum two month follow-up  
125 clinic visit or phone call. Clinically indicated pulmonary imaging was only  
126 performed if patients expressed symptoms of new dyspnea or chest pain  
127 after the lead extraction. Of note, given unclear optimal anticoagulation  
128 approach in context of limited retrospective studies,<sup>4,5</sup> anticoagulation was  
129 not routinely started for an incidental finding of a small thrombus less than 2  
130 cm, unless other clinical indications such as atrial fibrillation with high  
131 CHA<sub>2</sub>DS<sub>2</sub>VASc score were present.

132

133 *Statistical Analysis*

134

135 Baseline characteristics and outcomes were analyzed using R  
136 Commander. ANOVA, Welch two sample t-test, Pearson's chi squared and  
137 Fisher's exact test were used for statistical analysis depending on the  
138 frequency and variance of the sample. Results are expressed as mean  $\pm$   
139 standard deviation, median (Q1 -Q3), or frequency count (percent of  
140 sample), when applicable. A statistically significant difference had a p-value  
141 of  $<0.05$ .

142

143 **Results:**

144 *Incidence of Incidental Mobile Lead Thrombi*

145 Of the 166 patients referred for transvenous lead extraction, 108  
146 patients with 237 transvenous leads had a non-infectious indication and were  
147 included in the analysis. A thrombus was found on 29 (12.2%) of the leads  
148 amongst 20 (18.5%) patients. The mean thrombus size was  $1.4 \pm 0.4$  cm,  
149 with smallest 0.6 cm and the largest 1.9 cm. They were predominantly  
150 located in the right atrium compared to the right ventricle (86% vs 14%,  
151  $p<0.001$ ). Clinical characteristics associated with thrombus formation are  
152 outlined in Table 1. Each patient had between one to five transvenous leads  
153 implanted at the time of evaluation, with a mean of  $2.2 \pm 0.7$  leads per  
154 patient. To support the absence of infection, patients with thrombi did not  
155 have higher white blood cell counts nor higher maximum temperature  
156 (Tmax) compared to the group without thrombi.

157

158 *Clinical and Procedural Outcomes*

159           The median length of follow up was 9 months (2-14 months). There  
160 were four deaths in the patients without thrombus compared to no deaths in  
161 the patients with detected lead thrombus (4.5% vs 0%,  $p=1.00$ ). Two of the  
162 deaths in patients without thrombus occurred due to post-operative superior  
163 vena cava tear, one death was due to pneumonia, and the cause of the  
164 fourth is unknown as the patient died at home 7 months post-operatively.  
165 Major short-term adverse cardiovascular events (pulmonary embolus,  
166 myocardial infarction, cerebrovascular accident or transient ischemic attack)  
167 occurred in one patient with a lead thrombus (ischemic stroke) compared to  
168 two patients without lead thrombi (transient ischemic attack and myocardial  
169 infarction, 5% vs 2%,  $p=0.50$ ). The patient with lead thrombi who had an  
170 ischemic stroke was on warfarin before and after lead extraction with a  
171 subtherapeutic pre-operative INR of 1.4 at the time of stroke. Similarly, the  
172 composite endpoint (mortality, pulmonary embolus, myocardial infarction,  
173 cerebrovascular accident and transient ischemic attack) was not significantly  
174 different between patients with and without lead thrombi (7% vs 5%,  $p =$   
175 1.00). Short-term adverse major clinical outcomes are summarized in Table  
176 3.

177           Out of the 20 patients with a detected lead thrombus, 18 patients  
178 (90%) underwent extraction of a lead with a thrombus on it. In the leads with  
179 thrombi that were extracted, 85% were extracted with a laser sheath and  
180 15% were extracted with a mechanical sheath; none were extracted using

181 traction only. For all patients, regardless of thrombus status, extraction  
182 sheaths were used in the majority of patients, 81% using laser sheaths, 6%  
183 using mechanical sheaths, and 13% using traction only. Operative outcomes,  
184 such as fluoroscopy time per transvenous lead extracted were not  
185 significantly different among patients with and without lead thrombus ( $11 \pm$   
186  $8$  minutes vs  $14 \pm 9$  minutes,  $p=0.26$ ). There was also no significant  
187 difference in post-operative hospital length of stay.

188

### 189 *Clinical Characteristics Associated with Lead Thrombi Formation*

190 Out of all the baseline clinical characteristics, only patient age was  
191 significant, with younger age as more likely to be associated with a lead  
192 thrombus ( $56 \pm 19$  vs  $64 \pm 14$  years,  $p=0.04$ ). Table 2 displays all the  
193 clinical risk factors analyzed. There was no difference in baseline  
194 anticoagulant use in patients with thrombi compared to patients without lead  
195 thrombi (30% vs 38%, respectively,  $p = 0.47$ ). There was no difference in the  
196 incidence of thrombus formation between the patients treated with warfarin  
197 versus direct oral anticoagulants (14% vs 17%,  $p = 1.00$ ).

198

199

### 200 *Lead Characteristics Associated with Lead Thrombi*

201 No specific lead characteristics were associated with the formation of  
202 incidental mobile thrombi (Table 2). The functioning status of the leads and  
203 the reasons for extraction for each lead are illustrated in Figure 2. There  
204 were 66 (28%) leads that were not extracted. The other 171 (72%) leads

205 were extracted for various indications, which are all displayed in Figure 2.  
206 The most common indications were device upgrade (19%), followed by lead  
207 fracture (16%) and malposition (9%). There was no statistically significant  
208 association of thrombus with functioning vs malfunctioning lead (9% with  
209 thrombus vs 15%,  $p = 0.19$ ) or by extraction indication ( $p = 0.06$ ). No  
210 specific insulating material was associated with presence of thrombus. Lead  
211 body insulation materials were comprised of three main groups—silicone,  
212 polyurethane, and combination. The combination materials included silicone  
213 and polyurethane copolymers ( $n = 44$ ), proximal polyurethane and distal  
214 silicone ( $n = 3$ ), and silicone with polyurethane sleeve ( $n = 6$ ). Leads recalled  
215 for various reasons were issued on 20 (9%) of the leads at the time of this  
216 study, and overall, these recalled leads were not associated with a higher  
217 prevalence of lead thrombus (10% vs 8%,  $p=0.61$ ). However, Riata leads  
218 appeared to be more thrombogenic, with 3 of 6 total Riata leads (50%)  
219 having thrombus. Lead thrombus was also not associated with abandoned  
220 status of a lead (7% vs 3%,  $p = 0.35$ ) nor passive fixation (17% vs 15%,  
221  $p=0.56$ ).

222  
223

## 224 **Discussion:**

225 There are three key findings in this study. First, there was a high  
226 prevalence (18.5%) of incidental mobile lead thrombi detected prospectively  
227 in a consecutive cohort of patients undergoing transvenous lead extraction.  
228 Secondly, we found that patients who undergo lead extraction of leads with

229 small mobile lead thrombi experienced minimal short-term major adverse  
230 outcomes despite not routinely anticoagulating patients with lead thrombi.  
231 Finally, we found that lead characteristics were not associated with the  
232 formation of incidental lead thrombus.

233

#### 234 *Insights into the Prevalence of Incidental Lead Thrombi*

235         This study is the largest prospective series to date of 108 patients and  
236 is the only study to evaluate the prevalence of mobile thrombi and outcomes  
237 in patients undergoing lead extraction. Incidental thrombi were discovered in  
238 20 (18.5%) patients. To our knowledge, only two prior studies have  
239 prospectively evaluated the prevalence of mobile lead thrombi, the first  
240 study in 66 patients 6 months after CIED implantation using TEE and  
241 venography<sup>1</sup> and the second study in 86 patients undergoing AF or VT  
242 ablation using intracardiac echocardiography (ICE).<sup>4</sup> These studies identified  
243 a high incidence of thrombi in 20% and 30% of patients, respectively, similar  
244 to our findings. Conversely, three prior retrospective studies reported a  
245 prevalence of lead thrombi ranging from 1.4% to 12%,<sup>2, 3, 6</sup> which is lower  
246 than that reported in the prospective studies likely due to undersampling  
247 from retrospective study methodologies.

248

#### 249 *Short-term Clinical Outcomes in Patients with Incidental Mobile Lead Thrombi*

250         During the follow up period (median 9 months), the presence of a lead  
251 thrombus was not associated with increased short-term combined adverse

252 clinical outcomes (all-cause mortality, clinical PE, CVA or MI). No deaths or  
253 symptomatic PE occurred in patients with a lead thrombus. Only one patient  
254 with a lead thrombus experienced an ischemic stroke three months after  
255 transvenous lead extraction of the lead with a thrombus. This patient also  
256 had atrial fibrillation and subtherapeutic INRs which were a more likely  
257 etiology of his cerebrovascular accident than embolization of the lead  
258 thrombus. No patients with detected lead thrombus had a history of  
259 pulmonary embolism. Similar to our findings, the largest retrospective study  
260 to date of 1833 patients undergoing ablation found no difference in clinical  
261 outcomes in patients with and without lead thrombi.<sup>3</sup>

262

263 *Short-term Clinical Outcomes in Patients Undergoing Extraction of Leads with*  
264 *a Thrombus*

265       The majority of patients (90%) with any detected lead thrombi  
266 underwent extraction of at least one lead to which a thrombus was attached.  
267 In all leads with thrombi that were extracted, extraction was performed using  
268 either a laser or mechanical sheath. Theoretically, it is possible that mobile  
269 thrombi are sheared off by the extraction sheath during extraction, and may  
270 be embolized, although this aspect was not specifically studied. However, no  
271 patients with detected lead thrombi acutely developed symptomatic PE after  
272 extraction. Our findings may suggest that despite this embolization risk, use  
273 of extraction sheaths is associated with minimal acute adverse outcomes.  
274 However, our study is underpowered to address this specific question due to

275 low event rates, and our findings must be interpreted in a patient-specific  
276 approach.

277         It is important to note that although there were minimal acute major  
278 adverse events in patients with lead thrombi, the long-term effects of  
279 thrombi are unknown, particularly with subacute pulmonary thromboembolic  
280 disease. In a prospective study by Supple and colleagues of 86 patients  
281 undergoing AF or VT ablation,<sup>4</sup> they found a high prevalence of patients  
282 (30%) with mobile lead thrombi, and these patients were found to have a  
283 higher pulmonary artery systolic pressure by echocardiogram. Similar to our  
284 findings, none of their patients had a history of symptomatic clinical  
285 pulmonary embolism, and supports the hypothesis that small embolized  
286 thrombi may not be acutely hemodynamically significant. However, the long-  
287 term effects of thrombi are unknown, and the signal of elevated pulmonary  
288 artery pressures may suggest the development of subacute pulmonary  
289 thromboembolic disease. Larger studies with longer follow-up in patients  
290 with lead thrombi are needed to assess the risk of developing chronic  
291 pulmonary thromboembolic disease.

292

293 *Insights into the Use of Anticoagulation in Patients with Incidentally*

294 *Discovered Lead Thrombus*

295         The effect of anticoagulation on lead-associated thrombus formation  
296 and clinical outcomes remains unclear. Numerous studies indicate that  
297 mobile and fixed thrombi can occur on transvenous leads despite patients



298 being anticoagulated.<sup>2-4</sup> The risk of pulmonary and systematic  
299 thromboemboli associated with implanted cardiac devices has not shown to  
300 be different among patients on anticoagulants.<sup>7-9</sup> Although the majority of  
301 lead-associated thrombi can resolve with intensification or initiation of  
302 anticoagulation,<sup>2, 6</sup> it is unclear whether anticoagulation improves clinical  
303 outcomes in patients with incidentally discovered mobile lead thrombi.

304 In our study, no patient with an incidentally discovered lead thrombus  
305 was started on anticoagulation if they did not have any other indications for  
306 anticoagulation such as atrial fibrillation with high CHA<sub>2</sub>DS<sub>2</sub>VASc score.  
307 Although larger, randomized studies are needed to confirm this, these  
308 findings suggest that patients with lead thrombi may not need to be  
309 anticoagulated. Furthermore, all thrombi detected in our study were 2cm or  
310 less, and thus these findings can only apply to patients with small lead  
311 thrombi.

312

### 313 *Insights into Clinical and Lead Characteristics Associated with Lead Thrombi*

314 There were no significant clinical characteristics associated with  
315 thrombus formation, except patient age; more lead thrombi were found in  
316 younger patients for an unknown reason. Otherwise, clinical comorbidities  
317 such as atrial fibrillation were not associated with lead thrombi, which is  
318 consistent with prior prospective studies<sup>1, 4, 10, 11</sup>, but inconsistent with one  
319 larger retrospective older study that found an association of atrial fibrillation  
320 with thrombus.<sup>2</sup> This finding may be due to the fact that contemporary

321 patients with atrial fibrillation are usually appropriately anticoagulated; in our  
322 study, 78% of patients with atrial fibrillation were on anticoagulation.  
323 Surprisingly, lead thrombi formation was not associated with anticoagulation  
324 status, which is consistent with prior studies.<sup>4</sup>

325         Interestingly, lead characteristics such as number of leads, lead  
326 insulation material, cardiac chamber of lead fixation, abandoned lead status  
327 and lead age were not associated with mobile thrombus formation on  
328 intracardiac transvenous leads. Although there have been small conflicting  
329 studies associating certain risk factors associated with venous occlusion in  
330 the subclavian veins such as number of leads,<sup>10-12</sup> our findings support the  
331 assertion that differences in lead design and configuration may not influence  
332 formation of mobile thrombi in the intracardiac portion of transvenous leads.  
333 Finally, we found that abandoned leads were not associated with intracardiac  
334 lead thrombi formation. These aspects have not been evaluated  
335 comprehensively in prior work.

336         In our unique study population of patients referred for lead extraction,  
337 a high percentage of patients (51%) had functional problems with their  
338 leads. Despite this, the prevalence of lead thrombi was still generally lower  
339 than prior prospective studies, and this supports our finding that lead  
340 malfunction is not associated with formation of thrombi. Although overall  
341 FDA recall status was not associated with thrombus formation, there was a  
342 low number of Riata leads or externalized cables in our study population, in  
343 which one would expect higher risk of thrombus formation.<sup>8</sup> Only 6 of the 20

344 recalled leads were Riata leads or externalized cables, and as expected, a  
345 thrombus was detected on high proportion of these leads (N=3, 50%).

346

347 **Study Limitations:**

348         Several limitations of our study are noted, including the lack of  
349 statistical power to detect differences in clinical outcomes, lack of definitive  
350 pulmonary imaging and lack of lead thrombi histology. First, although this  
351 was the largest prospective study to date, it still lacked statistical power to  
352 confidently report no difference in clinical outcomes between patients with  
353 and without lead thrombus. Given the small event rate, it would take a study  
354 population that is around 15 times our study population to achieve statistical  
355 power, which is not feasible for a prospective study. To improve the  
356 statistical power of our study, we reported a combined clinical adverse event  
357 rate. Out of all retrospective and prospective studies reported in the  
358 literature, our study was the 3<sup>rd</sup> largest, after two retrospective studies<sup>2, 3</sup>  
359 with the largest study including 1833 patients, which also found no  
360 difference in clinical outcomes between patients with and without lead  
361 thrombi, consistent with our findings.

362         Secondly, our study follow-up did not include routine performance of  
363 imaging studies to detect pulmonary embolism for all patients; these studies  
364 were only performed in patients who were symptomatic with clinical  
365 suspicion for PE. Given the uncertain clinical significance of asymptomatic PE  
366 suggested in prior studies,<sup>3, 4</sup> it was felt that these imaging studies were not

367 necessarily indicated and did carry risk, in particular the adverse effects of  
368 potentially unnecessary radiation and risks of unnecessary anticoagulation in  
369 patients who are asymptomatic. Consistent with our methodology, routine  
370 pulmonary imaging was not performed in the other five studies of mobile  
371 thrombi in live patients.<sup>1-4, 6</sup>

372 Thirdly, our study protocol was not designed to retrieve thrombi seen  
373 on TEE to verify the presence of thrombus detected on TEE. Despite  
374 performing extraction of the leads with thrombus, it was difficult to identify  
375 and collect thrombi from the leads during extraction, as they usually were  
376 not present on the lead after removal from the extraction sheath. Thus,  
377 histologic examination was not possible to elucidate the structure of the  
378 thrombus. Although the phenomenon of “ghosts” is well known after a lead  
379 extraction procedure, we did not include findings of mobile echogenic  
380 material noted after lead extraction. However, this study did not exclude  
381 patients that may have undergone prior lead extraction procedures, so  
382 visualization of ghosts remains a possibility.

383

## 384 **Conclusion**

385 This prospective study identified a high incidence of mobile  
386 intracardiac thrombi on transvenous leads in patients. No particular lead  
387 characteristic increased risk of thrombi formation. Extraction of these leads  
388 with small thrombi was rarely associated with short-term major adverse  
389 clinical outcomes despite not starting or intensifying anticoagulation. Larger

390 studies with longer follow-up are needed to assess the long-term clinical  
391 effects of incidental lead thrombi.

392

### 393 **Perspectives**

#### 394 *Clinical Competencies*

395 The findings from this original research report supports lifelong learning skills  
396 and enhances several clinical competencies for professional caregivers.

397 Medical knowledge: This study informs physicians that the incidental finding  
398 of thrombus on a transvenous lead can be expected in about 19% of patients  
399 presenting for lead extraction, and the careful extraction of leads with small  
400 thrombi under 2cm diameter may be performed without acute major  
401 sequelae. Furthermore, clinical characteristics or lead characteristics rarely  
402 predict formation of lead thrombi.

403 Patient Care and Procedural Skills: These findings add to the body of medical  
404 knowledge a series of patients in whom successful extraction of leads with  
405 thrombi has been performed with extraction sheaths without major adverse  
406 events. When a clinician encounters clinical scenarios in which thrombi is  
407 detected prior to a lead extraction procedure, one can expect a low  
408 incidence of acute adverse events. Also depending on the patient, a strategy  
409 of not starting anticoagulation for a finding of incidental lead thrombus is not  
410 associated with acute adverse events.

411

412 *Translational Outlook*

413         This study produced several important clinical findings focusing on a  
414 specific patient population of lead extraction patients, but the results raise  
415 new interesting questions regarding thrombogenicity of transvenous leads.  
416 First, although extraction of small thrombi did not seem to be associated  
417 with major acute adverse events, the long term effects of incidental lead  
418 thrombi are still unknown, such as the development of long-term subacute  
419 disease such as chronic thromboembolic pulmonary hypertension (CTEPH).  
420 Clinical studies are currently underway to address this particular question.  
421 Secondly, this study found that lead thrombi is rather common, consistent  
422 with prior studies. There is a paucity of bioengineering and materials science  
423 literature assessing factors in lead design that lead to thrombogenicity. Thus,  
424 future basic science studies are needed to evaluate improved structural and  
425 material lead design to reduce thrombogenicity of transvenous leads, such  
426 as lead structure to reduce turbulent flow particularly on uneven lead  
427 surfaces and improved biocompatibility of lead insulation material. Thirdly,  
428 advancements in technology may provide tools to predict the personalized  
429 risk of lead thrombus. Computational modeling of blood flow in the heart and  
430 advanced dynamic cardiac imaging could potentially be used to predict  
431 where lead thrombi may form; in a similar fashion, these same tools could  
432 potentially predict where binding sites may potentially form and cause  
433 difficulties for lead extraction.

434 **Author Contributions**

435 **Gordon Ho, MD:** Drafting article, Concept/design, Data Collection, Data  
436 analysis/interpretation, Statistics, Approval of article

437 **Prerana Bhatia, MD:** Drafting article, Data Collection, Data  
438 analysis/interpretation, Statistics, Approval of article

439 **Ishan Mehta, BS:** Data analysis/interpretation, Statistics, Approval of article

440 **Timothy Maus, MD:** Concept/design, TEE protocol design/image acquisition  
441 and analysis, Critical revision/Approval of article

442 **Swapnil Khoche, MD:** TEE protocol design/image acquisition and analysis,  
443 Critical revision/Approval of article

444 **Travis Pollema, DO:** Data Collection, Critical revision/Approval of article

445 **Victor Gert Pretorius, MBChB:** Concept/design, Data Collection, Critical  
446 revision/Approval of article

447 **Ulrika Birgersdotter-Green, MD:** Concept/design, Data Collection, Data  
448 analysis/interpretation, Critical revision/Approval of article

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492 **Figure 1: Examples of Intracardiac Mobile Thrombus Detected by**  
493 **Trans-Esophageal Echocardiography:**

494 Figure 1A shows a modified midesophageal bicaval view demonstrating a  
495 large 1.9cm thrombus in the right atrium (RA) affixed to the right ventricular  
496 (RV) lead with significant paradoxical movement with the lead. Figure 1B  
497 shows a modified midesophageal bicaval view demonstrating a 1.2cm  
498 thrombus affixed to the RA lead that moves freely in the right atrium along  
499 with the lead, but has a small component with paradoxical motion (yellow  
500 arrow). No acute symptomatic pulmonary embolism occurred in these  
501 patients.

502 **Figure 2: Lead Functional Status and Extraction Indication:**

503 The proportion of transvenous leads organized by the indication for lead  
504 extraction stratified by functioning or malfunctioning status of the lead.

**Table 1: Patient Characteristics Analyzed for Association with Thrombus Formation**

Patient Characteristics	Thrombus Present		p-value
	No (n = 88)	Yes (n = 20)	
Patient age <sup>a</sup> , years	64 ± 14	56 ± 19	0.04
Female	33 (38%)	10 (50%)	0.30
Body mass index <sup>a</sup> , Kg/m <sup>2</sup>	30 ± 7	27 ± 5	0.08
Co-morbidities			
Atrial fibrillation	30 (34%)	8 (40%)	0.62
Congestive heart failure	50 (57%)	11 (55%)	0.88
Diabetes mellitus	27 (31%)	6 (30%)	0.95
Hypertension	51 (58%)	12 (60%)	0.87
Left ventricular assist device	2 (2%)	0 (0%)	1.00
Prior cerebrovascular accident	6 (7%)	3 (15%)	0.36
Prior myocardial infarction	16 (18%)	3 (15%)	1.00
Left ventricular ejection fraction <sup>a</sup> , %	44 ± 15	51 ± 20	0.09
More than one lead	79 (90%)	18 (90%)	1.00
Anticoagulation use			
None	54 (61%)	14 (70%)	0.47
Coumadin or NOAC	34 (38%)	6 (30%)	
Antiplatelet agent use			
None	38 (43%)	12 (60%)	0.29
Aspirin only	39 (44%)	5 (25%)	

Ticagrelor or Clopidogrel	11 (13%)	3 (15%)	
Creatinine <sup>a</sup> , mg/dL	1.2 ± 1.2	1.2 ± 1.1	0.82
INR <sup>b</sup>	1.5 ± 0.7	1.2 ± 0.3	0.13
Platelet count <sup>a</sup> , x10 <sup>3</sup>	210 ± 76	233 ± 63	0.19
White blood cell count <sup>a</sup> , x10 <sup>3</sup>	7.1 ± 2.1	7.3 ± 2.4	0.80
Maximum temperature	98.0 ± 0.6	98.3 ± 0.5	0.06

(Tmax)<sup>c</sup>, deg

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<sup>a</sup> Values are expressed as mean ± standard deviation

<sup>b</sup> INR: international normalized ratio

<sup>c</sup> Maximal temperature of the patient in the week preceding the extraction procedure

**Table 2: Lead Characteristics Analyzed for Association with Thrombus Formation**

Lead Characteristics	Thrombus Present		p-value
	No (n = 208)	Yes (n = 29)	
Lead age <sup>a</sup> , years	7.1 ± 5.2	7.9 ± 4.7	0.44
Recalled	17 (8%)	3 (10%)	0.61
Defibrillation coil	55 (26%)	9 (31%)	0.61
Malfunctioning	102 (49%)	18 (62%)	0.19
Completely extracted	149 (72%)	22 (76%)	0.63
Passive fixation (excluding CS leads) <sup>b</sup>	28 (15%)	4 (17%)	0.56
Abandoned lead <sup>c</sup>	6 (3%)	2 (7%)	0.35
Lead chamber			0.39
Right atrium	90 (43%)	9 (31%)	
Right ventricle	100 (48%)	16 (55%)	
Coronary sinus	18 (19%)	4 (14%)	
Insulation material <sup>c</sup>			0.54
Silicone	125 (60%)	13 (48%)	
Polyurethane	37 (18%)	7 (26%)	
Combination	46 (22%)	7 (26%)	
Manufacturer <sup>c</sup>			0.13
Biotronik	31 (15%)	2 (7%)	
Boston Scientific	23 (11%)	2 (7%)	
Guidant	10 (5%)	2 (7%)	
Medtronic	69 (33%)	10 (34%)	
Oscor Medical	3 (1%)	0 (0%)	
Other/Unknown	0 (0%)	2 (7%)	
St Jude Medical	68 (33%)	11 (38%)	

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Sorin	4 (2%)	0 (0%)
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<sup>a</sup> Values are expressed as mean  $\pm$  standard deviation

<sup>b</sup> Coronary sinus leads excluded from method of fixation analysis

<sup>c</sup> Two abandoned leads excluded due to lack of identifying information

**Table 3: Short-term Clinical Outcomes in Patients with Incidental Lead Thrombi**

Clinical Endpoints	Presence of Lead Thrombi		p-value
	No (n = 88)	Yes (n = 20)	
All-cause death	4	0	1
Combined (cardiovascular events)	2	1	0.50
Symptomatic pulmonary embolism	0	0	1
Myocardial infarction	1	0	1
Cerebrovascular event / Transient ischemic attack	1	1	1