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## Cell type specific tracing of the subcortical input to primary visual cortex from the basal forebrain

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### Abstract

The basal forebrain provides cholinergic inputs to primary visual cortex (V1) that play a key modulatory role on visual function. While basal forebrain afferents terminate in the infragranular layers of V1, acetylcholine is delivered to more superficial layers through volume transmission. Nevertheless, direct synaptic contact in deep layers 5 and 6 may provide a more immediate effect on V1 modulation. Using helper viruses with cell type specific promoters to target retrograde infection of pseudotyped and genetically modified rabies virus evidence was found for direct synaptic input onto V1 inhibitory neurons. These inputs were similar in number to geniculocortical inputs and, therefore, considered robust. In contrast, while clear evidence for LGN input to V1 excitatory neurons was found, there was no evidence of direct synaptic input from the basal forebrain. These results suggest a direct and more immediate influence of the basal forebrain on local V1 inhibition.

### Keywords

Acetylcholine; Basal forebrain; Cholinergic; Cortical inhibition; Cortical layers; Diagonal band; GABAergic; inhibitory neurons; subcortical; V1; Visual Cortex V1; RRID:AB\_477652; RRID:AB\_523902

Visual perception occurs through a complex network of cortical processing that relies on driving, modulating, and integrating interconnectivity with subcortical visual structures as studied extensively in rodents (Guillery and Sherman, 2002; Krubitzer et al., 2011; Marshel et al., 2011; Niell, 2015; Negwer et al., 2017; Seabrook et al., 2017), carnivores (Reid and Alonso, 1995; Liu et al., 2011; Hashemi-Nezhad and Lyon, 2012) non-human primates (Felleman and Van Essen, 1991; Casagrande, 1994; Lyon et al., 2002; Casagrande et al., 2005; Kaas, 2012), and close relatives such as the tree shrew (Casagrande and Harting, 1975; Lyon et al., 1998; Casagrande et al., 2002). The lateral geniculate nucleus, the

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superior colliculus and the pulvinar nucleus, are among the most studied subcortical visual regions, having been subject to decades of anatomical and functional investigation by Vivien Casagrande and her colleagues in tree shrew (i.e., Casagrande et al., 1972; Lyon et al., 2003a; Lyon et al., 2003b; Vanni et al., 2015) and primate (i.e., Fitzpatrick et al., 1980; Lachica and Casagrande, 1992; Stepniewska and Kaas, 1997; Xu et al., 2001; Nassi et al., 2006; Imura and Rockland, 2007; Kaas and Lyon, 2007; Lyon et al., 2010; Purushothaman et al., 2012; Cerkevich et al., 2014;), and by many others in rodent (i.e., Lysakowski et al., 1986; Sanderson et al., 1991; Van Hooser and Nelson, 2006; Marshel et al., 2012; Cruz-Martin et al., 2014; Tohmi et al., 2014; Roth et al., 2016; Seabrook et al., 2017; Zhou et al., 2017; Zhou et al., 2018).

Another subcortical region, the basal forebrain, has also long been known to provide input to visual cortex (Figure 1a; Henderson, 1981; Tigges et al., 1982; Carey & Rieck, 1987; Dreher et al., 1990); however, the functional contribution of this input is only starting to become understood (i.e., Goard and Dan, 2009; Newman et al., 2012; Pinto et al., 2013). The basal forebrain output to cortex is predominantly characterized as cholinergic (Henderson, 1981; Sarter et al., 2005; Pinto et al., 2013). Given the high density of cholinergic varicosities and receptors within V1 (Lysakowski et al., 1989; Mechawar et al., 2000; Wong and Kaas, 2008; Wong and Kaas, 2010; Disney and Reynolds, 2014) and the functional contribution of acetylcholine to receptive field tuning, attentional modulation, and plasticity in V1 (Sillito and Kemp, 1983; Bear and Singer, 1986; Roberts et al., 2005; Herrero et al., 2008; Newman et al., 2012; Avery et al., 2014), the basal forebrain is particularly well-suited to influence V1 processing (Chubykin et al., 2013; Pinto et al., 2013).

Acetylcholine from the basal forebrain is delivered to V1 across most cortical layers via diffuse extra-synaptic modulation known as ‘volume transmission’ (Descarries et al., 1997; Sarter et al., 2009). This is reinforced by anatomical evidence showing acetylcholine receptors evenly distributed across layers 2–6 (Disney et al., 2006; 2014). In layers 2/3, 5 and 6, cholinergic receptors are found predominantly on inhibitory neurons leading to GABAergic mediated suppression (Disney et al., 2006; Disney et al., 2007; Disney et al., 2012; 2014). Furthermore, basal forebrain afferents terminate exclusively within infragranular layers 5 and 6 (Figure 1b; Carey and Rieck, 1987; Rieck and Carey, 1984). Therefore, unlike superficial cortical layers, the effect on neurons in layers 5 and 6 can be more immediate.

Based on the preponderance of cholinergic receptors being found on inhibitory neurons (Disney et al., 2006; 2014) one might expect direct synaptic basal forebrain inputs to primarily contact inhibitory neurons. To determine this, we took advantage of our recently developed technique (Liu et al., 2013), where a helper virus containing either a GAD1 or an  $\alpha$ CamKII promoter is used to target a genetically modified rabies virus (Wickersham et al., 2007) for retrograde tracing of the direct inputs to either inhibitory or excitatory V1 neurons, respectively (Figure 2). In this way we are able to determine whether or not there is a difference in direct synaptic inputs of the basal forebrain to inhibitory and excitatory neurons.

## MATERIALS AND METHODS

### Surgical Procedures

Eighteen adult C57BL/6 mice of both sexes were used following procedures approved by the University of California, Irvine Institutional Animal Care and Use Committee and the Institutional Biosafety Committee, and the guidelines of the National Institutes of Health were followed.

Six mice were given 9 injections of the mCherry (mCh) and/or green fluorescent protein (GFP) versions of the glycoprotein-deleted rabies virus (G-RV; Table 1). Twelve different mice were given injections of a helper virus (AAV-GAD1-YTB or LV- $\alpha$ CamKII-YTB; Table 2). Anesthesia was induced and maintained with isoflurane throughout the procedure. Once anesthetized animals were placed in a stereotaxic head-holder and a craniotomy was performed over the caudal half of neocortex under sterile conditions. Glass pipettes with tips broken to approximately 20  $\mu$ m were filled with virus and inserted through dura using a computer-controlled micro-positioner attached to a KOPF stereotaxic arm. Coordinates between 3.0–4.5 mm posterior from Bregma and 1.25–3.25 mm lateral to the midline were used. G-RV injections were made at a depth of ~500  $\mu$ m and a volume of ~0.3  $\mu$ l. For AAV and LV helper viruses, ~0.5  $\mu$ l injections were made in a single V1 location at a cortical depth between 400–600  $\mu$ m. After injection, artificial dura (Tecoflex, Microspec Corp.) was placed over the craniotomy, the skull sealed with dental acrylic, and the animals revived. Mice injected with G-RV were given a 7–10 day survival time and then perfused for histology.

Mice injected with helper virus were given a 3 week survival period followed by an intracranial injection of EnvA-G-RV (see Figure 2 for injection timeline). For EnvA-G-RV injections each animal was anesthetized as before, and under sterile conditions the acrylic skull cap removed and EnvA injections of ~0.5  $\mu$ l made as close as possible to the original helper virus injected location based on the coordinates and landmarks described above. The craniotomy was then covered with fresh Tecoflex, resealed with dental acrylic, and the animals revived. A final survival period ranging from 7–10 days followed.

### Viruses

The G-RV expressing either mCherry or GFP, and the EnvA-G-RV expressing mCherry were produced and concentrated following protocols described previously (Wickersham et al., 2007; Wickersham et al., 2010; Osakada et al., 2011) a titer range if of  $\sim 5 \times 10^9$  infectious units/ml.

For helper viruses, GAD1-YTB (7,382 bp) and  $\alpha$ CamKII-YTB (7,500 bp) were subcloned into adeno-associated virus (AAV) and lentiviral (LV) backbones to make AAV-GAD1-YTB (11.0 kb) and LV- $\alpha$ CamKII-YTB (12.3 kb), as described previously (Liu et al., 2013). From these plasmids, serotype 9 AAV and VSV-G pseudotyped LV particles were prepared and purified by the Gene Transfer Targeting and Therapeutics Core at the Salk Institute of Biological Studies (La Jolla, CA) yielding a titer of  $9 \times 10^9$  genome copies/ml for AAV and  $2 \times 10^{10}$  transducing units/ml for LV.

## Histology and Antibody Reporting

For histology, animals were deeply anesthetized with Euthasol and perfused transcardially, first with saline, then followed by 4% paraformaldehyde in phosphate buffer (PB; pH 7.4). For most animals, 1.5% glutaraldehyde was also included. Brains were removed and cryoprotected in 30% sucrose for ~48 hours prior to sectioning.

Brains were cut coronally at 30  $\mu\text{m}$  up to 1 mm posterior and anterior to the V1 injection site, and at 40  $\mu\text{m}$  elsewhere. A series of every fourth 30  $\mu\text{m}$  section was processed for GABA using the anti-GABA rabbit polyclonal antibody (1:200; Sigma-Aldrich Cat# A2052, RRID:AB\_477652; tested in GABA expressing cells isolated from the pallium in mice; conjugated to BSA). Immunopositive neurons were revealed using the fluorescent secondary Alexa Fluor 350 goat anti-rabbit IgG (1:500; Invitrogen). To enhance visualization of YFP the same sections were also processed for the anti-GFP chicken polyclonal antibody (1:1000; Novus Cat# NB 100–1614, RRID:AB\_523902; tested on transgenic mice expressing recombinant GFP; Immunogen affinity purified) and revealed using Alexa Fluor 488 goat anti-rabbit IgG (1:500; Invitrogen). The mCherry and GFP reporters from rabies virus were not enhanced through immunofluorescence. One to two additional series of every fourth section were processed instead for DAPI. Rabies virus infected neurons could be visualized in all sections without processing. Sections were mounted in PVA-DABCO (Sigma-Aldrich) to preserve fluorescence.

## Data Analysis

Sections were examined using fluorescent microscopy (Zeiss Axioplan) with 10 $\times$  (0.45 NA) and 20 $\times$  (0.8 NA) objectives and cell positions reconstructed using NeuroLucida software (MicroBrightField, Williston, VT) off-line. To limit bleaching of fluorescence, images of whole sections were captured with a high-power black and white digital camera (Cooke SensiCam QE) and stitched together through the Virtual Slide module.

For each case, two or three of every four sections were used to identify the number and laminar location of starter cells in V1 and rabies infected neurons in the LGN and diagonal band of the basal forebrain. Interpolated cell-counts were generated for Tables 1 and 2 by multiplying the number of cells by 2 for cases where 2 out of 4 sections were examined, or multiplying by 1.33 for cases where 3 out of every 4 sections were used.

Confirmation of V1 injection sites and the locations of the LGN and diagonal band were based on the atlas by Paxinos and Franklin (2001).

## Results

Using injections of cell type specific viral tracers in V1, we found that neurons in the basal forebrain project directly to V1 inhibitory neurons, but found no evidence for direct projections to cortical excitatory neurons. We also found the basal cortical projections to be similar in number to LGN inputs to V1.

### G-RV retrograde infection of basal forebrain

Prior to using the cell type specific helper viruses to target EnvA- G-RV, we first made injections of G-RV. The G-RV version of rabies virus acts as a monosynaptic retrograde tracer and does not require a helper virus (Wickersham et al., 2007; Connolly et al., 2012). While this virus cannot distinguish between inputs to inhibitory and excitatory neurons, the goal of these injections was to determine the ability and degree to which rabies virus infects basal forebrain neurons targeting V1 by comparing to the number of infected neurons in the LGN.

Nine distinct injections of G-RV with either the mCherry or GFP reporter were made into V1 of 6 mice (Table 1). All 9 injections resulted in labeled neurons in the diagonal band of the basal forebrain and the LGN, with the average for basal forebrain ( $13.4 \pm 4.9$ ) about two thirds that of the number of neurons found in the LGN ( $21.3 \pm 6.5$ ).

An example of two injections in the same animal is shown in Figure 3a. Based on the density of intrinsic V1 labeled neurons, the injection sites reached layers 4, 5, and 6 which would be necessary to target axon terminals from LGN and basal forebrain neurons. A reconstruction of the pattern of labeled cells from an injection in a second case is shown in Figure 4a. As in the digital image in Figure 3a, the reconstruction of posterior sections 45 and 50 shows that the V1 injection site extended through layers 4, 5, and 6. Expected inter-areal connections with other visual cortical areas were observed, along with a cluster of neurons in the LGN. In more anterior sections (102, 109, and 115) clusters of basal forebrain neurons are shown ventral medially, along with a few labeled neurons in cingulate cortex dorsal medially, and the claustrum laterally. Digital images show that labeled diagonal band neurons had a distinct large soma size and long spiny dendrites (Figure 4c–d). Compared to basal forebrain neurons, LGN neurons were packed together more tightly with smaller somas and shorter dendrites (Figure 4b).

### AAV-GAD1-YTB targeted retrograde tracing with EnvA- G-RV

To determine whether basal forebrain neurons project to V1 inhibitory neurons we made injections of the helper virus, AAV-GAD1-YTB, to target infection of the retrograde EnvA- G-RV to inhibitory neurons. Injections were made into a single V1 hemisphere of 6 mice (Table 2). In five of six cases, retrograde infected neurons were found in the diagonal band of the basal forebrain, averaging  $8 \pm 3.4$  per case. Five cases also yielded labeled neurons in the LGN with an average number of  $13 \pm 5.1$ .

An injection site example is shown from one case in Figure 3d–h. YFP expressing neurons (Figure 3f) were confirmed as inhibitory through co-labeling with the GABA antibody (Figure 3h). Rabies virus infected neurons expressed mCherry (Figure 3g). Starter cells in V1 were defined as neurons co-expressing YFP and mCherry (yellow neurons in Figure 3e); Neurons expressing mCherry only were defined as presynaptically connected neurons. Starter cells were evident throughout layers 4, 5 and 6 (see also Table 2).

A reconstruction of the distribution of inputs to V1 inhibitory starter cells is shown in a second case (Figure 5a). Starter cells were distributed throughout all layers as shown in section 76, with presynaptically connected neurons found in the LGN in section 80 (Figure

5b) and in the diagonal band of the basal forebrain (Figure 5c–e) as shown in the three most anterior sections. Overall, a nearly equal number of starter cells were present in layer 5 and 6, and a nearly equal number of presynaptic neurons were labeled in the diagonal band and LGN (Table 2).

### **LV- $\alpha$ CamKII-YTB targeted retrograde tracing with EnvA- G-RV**

To determine whether basal forebrain neurons project to V1 excitatory neurons we made injections of the lentiviral vector, LV- $\alpha$ CamKII-YTB, to target infection of the retrograde EnvA- G-RV to excitatory neurons. Injections were made into a single V1 hemisphere of 6 mice (Table 2). In five of six cases (Table 2), retrograde infected neurons were found in the LGN ( $6.4 \pm 1.7$  per case). However, no infected neurons were found in the basal forebrain.

An injection site example is shown from one case in Figure 3i–m. YFP expressing neurons (Figure 3k) were confirmed as excitatory for not co-labeling with the GABA antibody (Figure 3m). Rabies virus infected neurons expressed mCherry (Figure 3l). Starter cells in V1 were defined as neurons co-expressing YFP and mCherry (yellow neurons in Figure 3j); Neurons expressing mCherry only were defined as presynaptically connected neurons. Yellow starter cells were evident throughout layers 4, 5 and 6 (Figure 3i; see also Table 2).

A reconstruction of the distribution of inputs to V1 excitatory starter cells is shown in a second case (Figure 6a). In the posterior most section, starter cells were distributed throughout layers 4, 5 and 6, with presynaptically connected neurons found in the LGN in section 70 (Figure 6b). Presynaptically infected neurons were labeled as far posterior as the cingulate cortex (Cg), but no cells were found in the basal forebrain.

## **Discussion**

The goal of this present experiment was to determine the cell type specific nature of projections from the basal forebrain to primary visual cortex. Using a dual viral retrograde tracing method we found evidence for direct synaptic input to inhibitory neurons. These inputs were robust as they were similar in number to geniculocortical inputs to inhibitory neurons. In contrast, we found clear evidence for LGN input to V1 excitatory neurons, but no evidence for direct synaptic input from the basal forebrain. Taken into consideration with other evidence discussed below, our results indicate a strong direct influence of the basal forebrain on local V1 inhibition.

We previously demonstrated the cell type specificity of AAV-GAD1 and LV- $\alpha$ CamKII on cortical inhibitory and excitatory neurons, and showed that the delivery of YTB through these helper viruses was sufficient to label presynaptic inputs throughout the brain, with an emphasis on intrinsic V1 connectivity (Liu et al., 2013). Here we re-confirmed the cell type specificity of each helper virus and found differences in the basal forebrain inputs to V1.

The observed projection of basal forebrain to inhibitory, but not excitatory V1 neurons was not likely due to differences in the two helper viruses used. On the contrary, viral vectors were optimized for their endogenous neurotropism; lentivirus for excitatory neurons and low-titer AAV for inhibitory neurons (Nathanson, 2009). Moreover, both helper viruses

resulted in retrogradely infected neurons in the LGN; the LGN provided input to both inhibitory and excitatory V1 neurons. Demonstrating that the AAV and lentiviral vectors were both effective at initiating cell type specific retrograde tracing of EnvA- G-RV.

Because previous reports found that only deep V1 injections provided retrograde labeling of basal forebrain neurons (Carey and Rieck, 1987; Rieck and Carey, 1984). We also targeted infragranular layers with our viruses. No discernable difference was found between the distribution of inhibitory and excitatory starter cells in layers 5 and 6.

While no basal forebrain neurons were found to project to excitatory V1 cells. This does not necessarily mean that this connection is not present. Our method of complementation of EnvA- G-RV with the B19 strain of the rabies glycoprotein (B from YTB) is most likely to label stronger connections, based on the number of synaptic inputs (Liu et al., 2013; also see Lyon et al., 2010; Lyon and Rabideau, 2012; Liu et al., 2014). Therefore, it is possible for weaker connections to be missed. In support of this, a slight loss in the average number of basal forebrain and LGN cells labeled by helper virus complementation of EnvA- G-RV, was observed compared to G-RV, which does not require complementation (compare Tables 1 and 2). In addition, studies using transgenic mice to provide higher levels of the rabies glycoprotein did show a basal forebrain input to three types of V1 excitatory neurons (Kim et al., 2015).

A stronger or exclusive direct synaptic input to infragranular inhibitory neurons as our results suggest, is consistent with other work indicating a greater effect of the cholinergic system on inhibition in V1. While an excitatory effect of acetylcholine has been observed, this is likely most predominant in layer 4 where there is an abundance of nicotinic receptors found on excitatory neurons (Disney et al., 2007). However, in layers 2/3, 5 and 6, M1 and M2 type muscarinic receptors are found predominantly on inhibitory neurons, despite inhibitory neurons only representing ~20% of the V1 neural population (Disney et al., 2006; Disney et al., 2007; Disney et al., 2012). Consistent with this anatomy, in layers 2/3, 5 and 6, acetylcholine largely leads to suppressed V1 cell activity (Disney et al., 2012). Moreover, in layer 5 acetylcholine release was shown to amplify the inhibitory signal and decreases the excitability and sensory responsiveness of pyramidal neurons (Lucas-Meunier et al., 2009). This inhibitory effect could result from direct synaptic contact onto local deep layer inhibitory neurons, which in turn suppress neighboring excitatory pyramidal cells.

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## List of Abbreviations

<b>αCamKII</b>	Alpha Ca <sup>2+</sup> /calmodulin-dependent kinase II
<b>G</b>	Glycoprotein-deleted



<b>AAV</b>	Adeno-associated virus
<b>aca</b>	Anterior commissure, anterior part
<b>acp</b>	Anterior commissure, posterior part
<b>AV</b>	Anteroventral thalamic nucleus
<b>BF</b>	Basal forebrain
<b>BSTS</b>	Bed nucleus of the stria terminalis, supracapsular part
<b>Cg</b>	Cingulate cortex
<b>Cl</b>	Clastrum
<b>Cpu</b>	Caudate and putamen
<b>DB</b>	Diagonal band of the basal forebrain
<b>EnvA</b>	Envelope A glycoprotein
<b>f</b>	Fornix
<b>GABA</b>	Gamma-aminobutyric acid
<b>GAD1</b>	Glutamate decarboxylase 1
<b>GFP</b>	Green fluorescent protein
<b>ic</b>	Internal capsule
<b>L1</b>	Cortical layer 1
<b>L2/3</b>	Cortical layer 2 and 3
<b>L4</b>	Cortical layer 4
<b>L5</b>	Cortical layer 5
<b>L6</b>	Cortical layer 6
<b>LGN</b>	Dorsal lateral geniculate nucleus
<b>LGp</b>	Lateral globus pallidus
<b>LP</b>	Lateral posterior nucleus (pulvinar)
<b>LV</b>	Lentivirus
<b>mCherry</b>	Red fluorescent protein
<b>PVA-DABCO</b>	Polyvinyl alcohol mounting medium with 1,4-diazabicyclo[2.2.2]octane
<b>RabG</b>	B19 strain of the rabies glycoprotein

<b>RV</b>	Rabies virus
<b>TS</b>	Triangular septal nucleus
<b>V1</b>	Primary visual cortex
<b>V2L</b>	Second visual area, lateral
<b>V2M</b>	Second visual area, medial
<b>VSV-G</b>	Vesicular stomatitis virus glycoprotein
<b>YFP</b>	Yellow fluorescent protein
<b>YTB</b>	YFB, TVA receptor, B19 strain of rabies glycoprotein

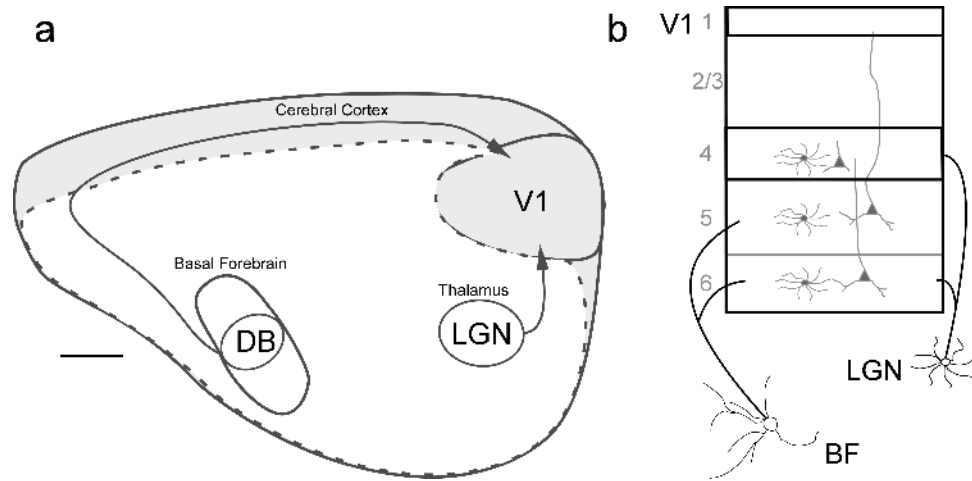
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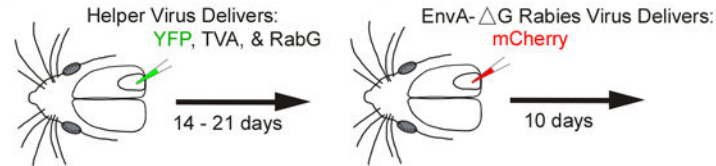
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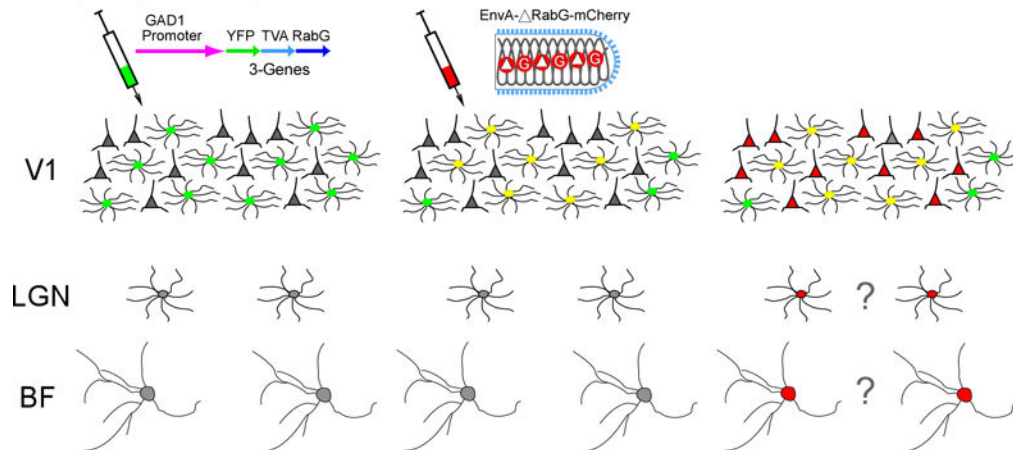


**Figure 1.** Schematic of basal forebrain projection to mouse V1. (a) The basal forebrain (BF) projects to V1 from neurons located in the diagonal band (DB) region. V1 input from the lateral geniculate nucleus (LGN) of the thalamus is also indicated. Scale bar equals 1 mm. (b) Basal forebrain inputs terminate in layers 5 and 6; LGN inputs terminate primarily in layers 4 and 6.

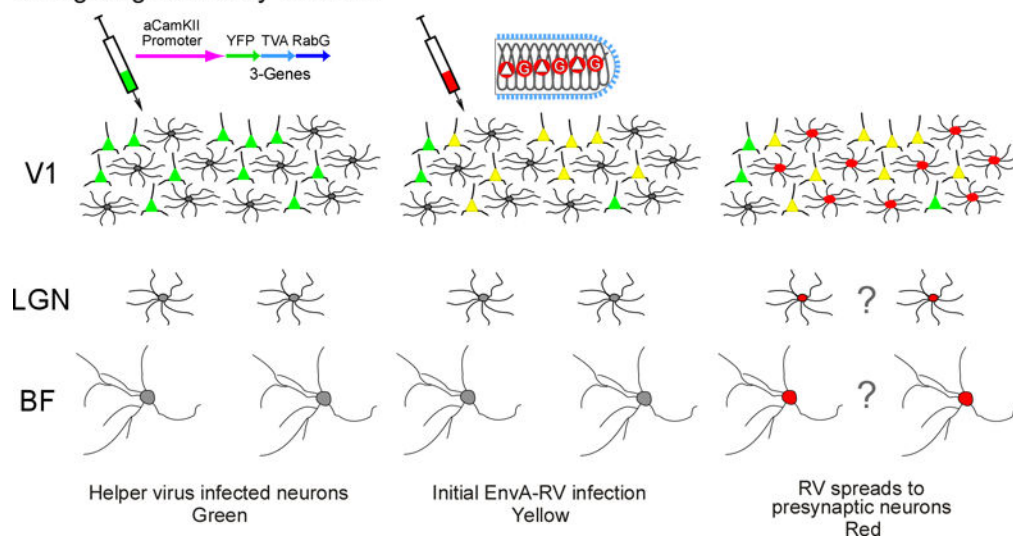
### a Targeting Rabies Virus Infection with Helper Virus



### b Targeting Inhibitory Neurons



### c Targeting Excitatory Neurons



**Figure 2.**

Cell type specific tracing schematic. (a) Helper virus is used to deliver three genes (YFP, TVA, and RabG) to a local population of neurons in mouse V1 (left). Following a 2–3 week survival period, EnvA-  $\Delta$ G-RV which will express mCherry in infected neurons is injected into the same V1 location (right). The RV injection is followed by a 10 day survival period. (b and c) (Left) Helper virus cell type specificity is achieved with the GAD1 (a) or  $\alpha$ CamKII (c) promoter. In this way the YFP reporter (green) will express only in V1 inhibitory (b) or excitatory pyramidal (c) neurons. (Middle) EnvA-  $\Delta$ G-RV can only infect neurons expressing the TVA receptor, the second gene product of the helper virus. Resulting super-infected neurons are identified by co-expression of YFP and mCherry (yellow). (Right) Expression of

the RabG, the third helper virus gene product, is incorporated into the G-RV-mCherry produced within super-infected neurons (starter cells; yellow) enabling RV to infect presynaptically connected neurons (red). Whether or not basal forebrain neurons provide direct synaptic inputs to inhibitory and excitatory neurons is the question (?) being investigated here.

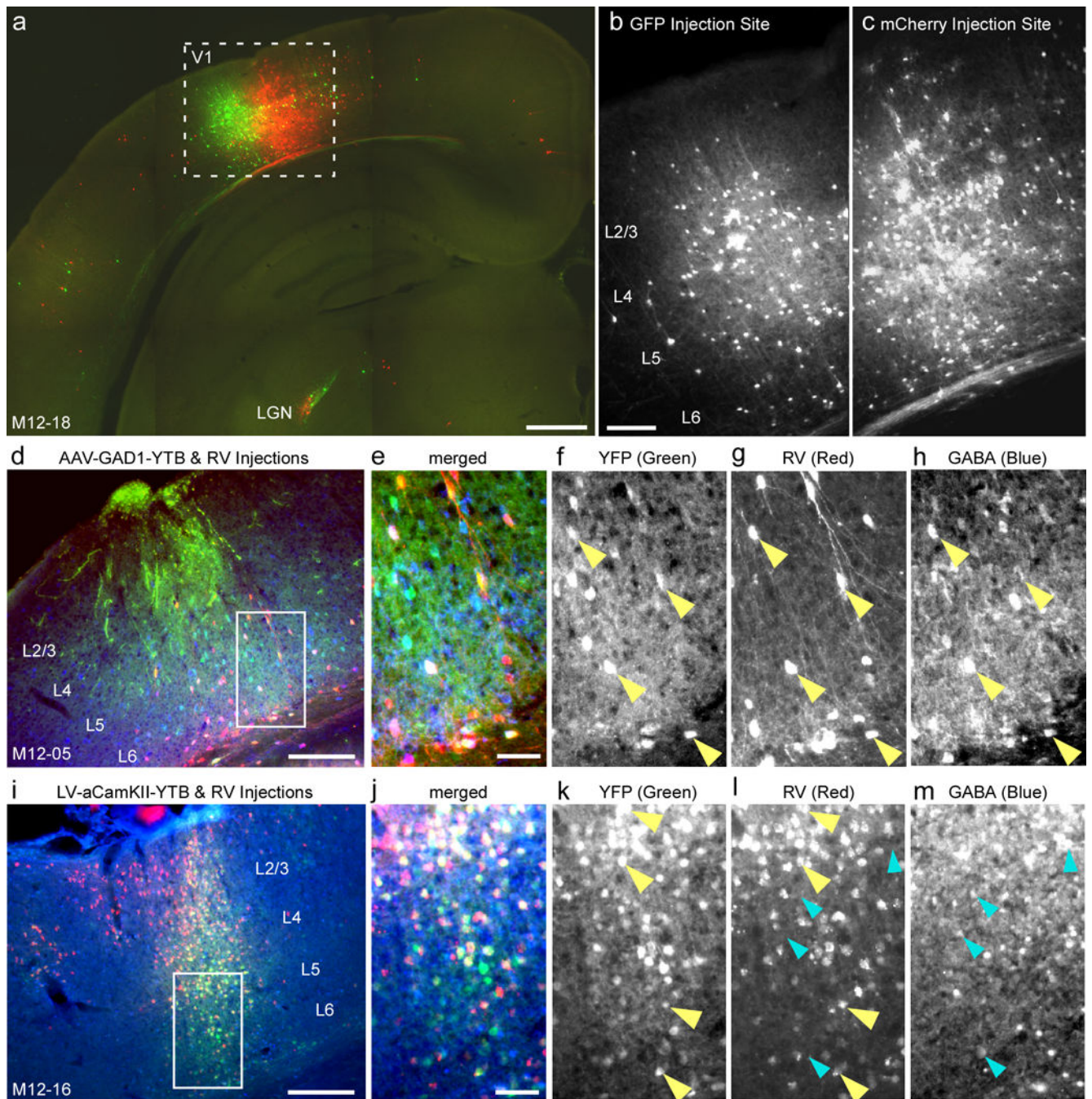
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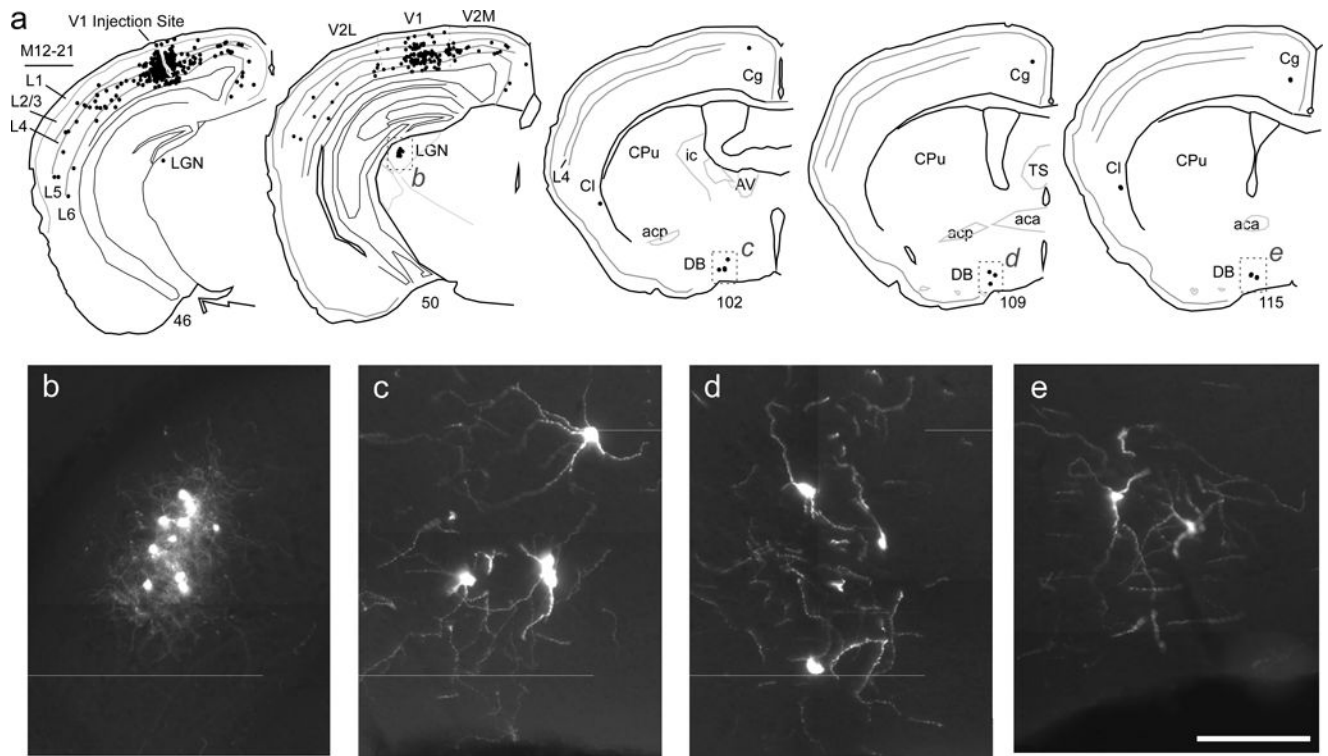




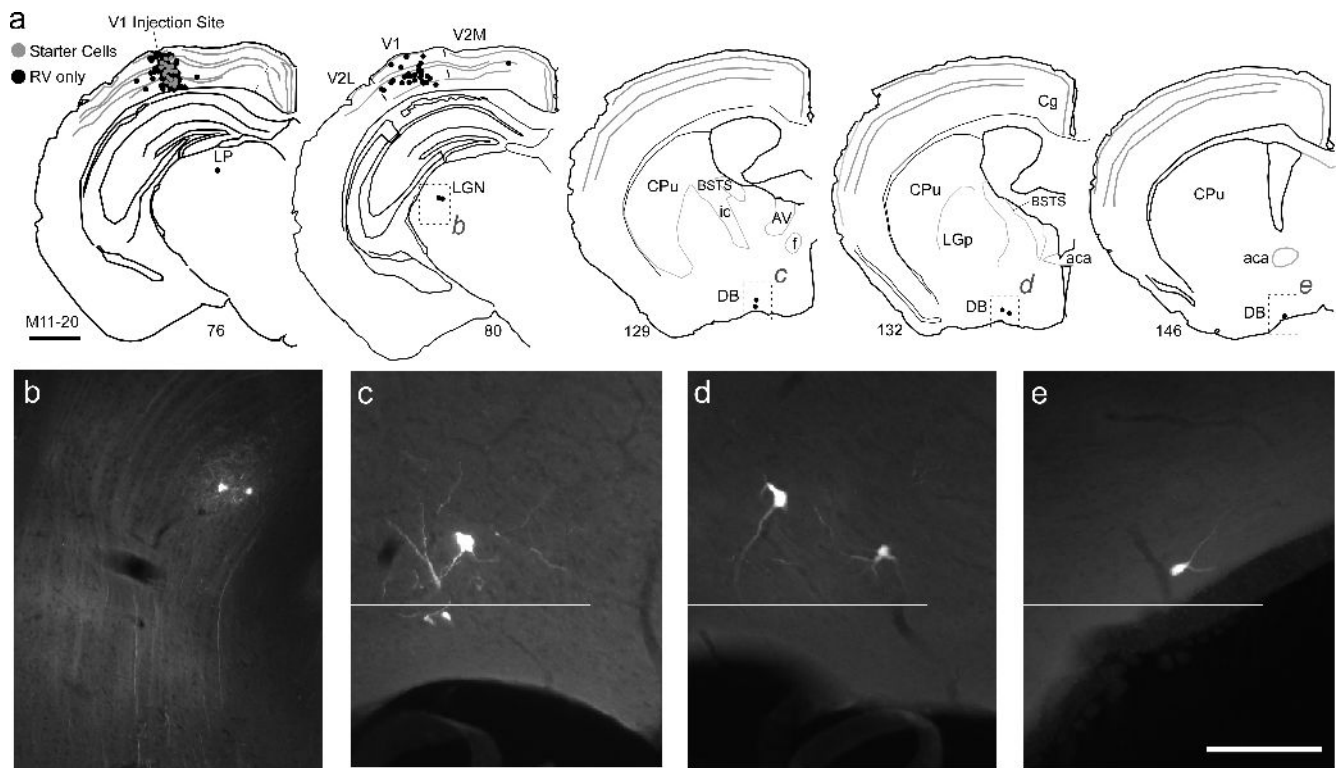
**Figure 3.**

Digital images of virus injection sites in V1. (a) Injections of G-GFP (green) and G-mCherry (red) are shown side-by-side in case M12–28. (b, c) Higher magnification digital images of the same GFP (b) and mCherry (c) G-RV are shown in black and white and indicate that injection sites extended through layers 4–6. (d) Injection sites of AAV-GAD1-YTB expressing YFP (green) and EnvA- G-RV expressing mCherry (red) are shown in a section through V1 processed for the GABA antibody (blue) in case M12–05. (e–h) Higher magnification images of the layer 5/6 region outlined by the white rectangle in (d).

Inhibitory starter cells co-expressing YFP (f) and mCherry (g) are positive for the GABA antibody (h); for reference a portion of starter cells are identified by yellow arrowheads. (i) Injection sites of LV- $\alpha$ CamKII-YTB expressing YFP (green) and EnvA- G-RV expressing mCherry (red) are shown in a section through V1 processed for the GABA antibody (blue) in case M12–16. (j-m) Higher magnification images of the layer 5/6 region outlined by the white rectangle in (I). Excitatory starter cells co-expressing YFP (k) and mCherry (l) are negative for the GABA antibody (h); a sample of starter cells are identified by yellow arrowheads; a sample of GABA-positive neurons are marked by blue arrowheads. Scale bars in (a, d, and i) equal 200  $\mu$ m; Scale bars in (b, e, and j) equal 50  $\mu$ m.

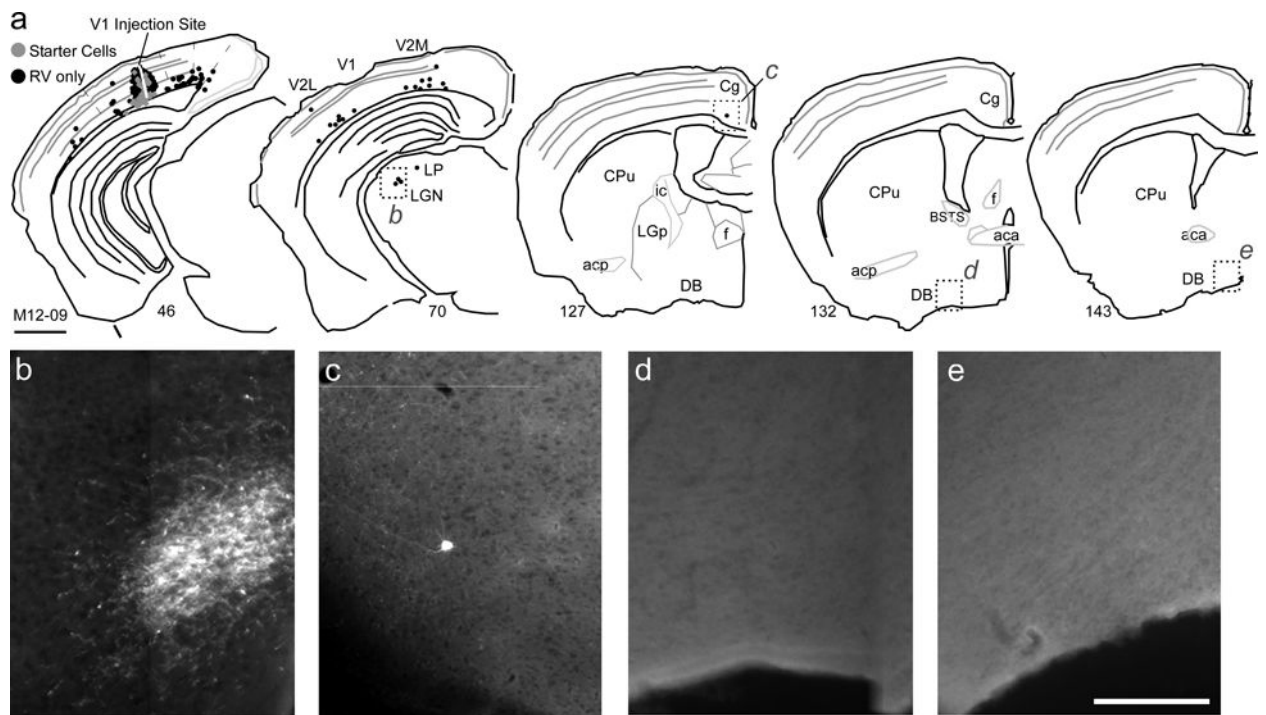


**Figure 4.** Retrogradely infected neurons in the diagonal band of the basal forebrain following V1 injection of G-RV. (a) A reconstruction of the pattern of rabies virus infected neurons (black dots) in 5 coronal sections presented from posterior (section 46) to anterior (section 115) in case M12–21. The V1 injection site is shown in section 46. Scale bar equals 1 mm. (b–e) Digital images of rabies virus infected neurons in the LGN (b) and diagonal band of the basal forebrain (c–e) from regions corresponding to dashed rectangles in (b). Scale bar equals 200  $\mu$ m.



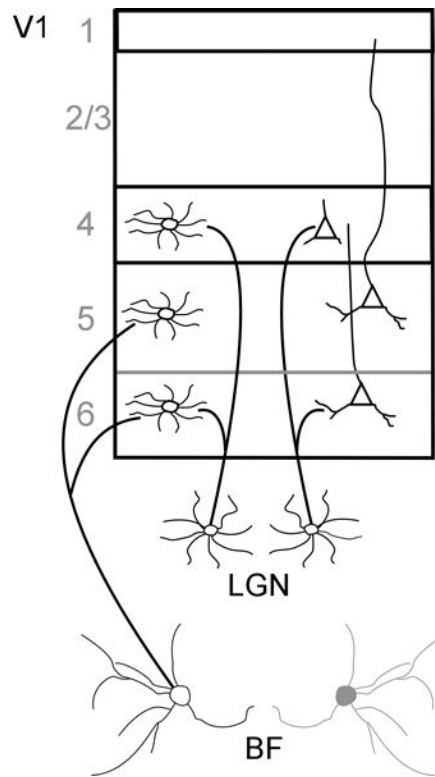
**Figure 5.**

Basal forebrain neurons project directly to V1 inhibitory neurons. (a) V1 inhibitory starter cells (gray dots) shown at the injection site in section 76, resulted from injection of AAV-GAD1-YTB followed three weeks later by injection with EnvA-G-RV (see Figure 2a,b). Presynaptic inputs to inhibitory starter cells are also shown (black dots). (b–e) Digital images of rabies virus infected neurons in the LGN (b) and diagonal band of the basal forebrain (c–e) from regions outlined by dashed rectangles in (a). Other conventions as in Figure 4.



**Figure 6.**

No evidence for direct basal forebrain inputs to V1 excitatory neurons. (a) Excitatory starter cells (gray dots) shown at the injection site in section 45, resulted from injection of LV- $\alpha$ CamKII-YTB followed three weeks later by injection with EnvA- G-RV (see Figure 2a,c). Presynaptic inputs to excitatory starter cells are also shown (black dots). Scale bar equals 1 mm. (b–e) Digital images of the regions outlined in (a). Rabies virus infected neurons were found in the LGN and cingulate cortex, but not in the basal forebrain (d and e). Other conventions are as in Figure 4.



**Figure 7.** Summary of cell type specific basal forebrain input to V1. Based on the results from our dual viral tracing method, V1 inhibitory neurons receive direct inputs from the basal forebrain and LGN; whereas V1 excitatory neurons receive direct input from the LGN but not the basal forebrain.

**Table 1**

Number of retrogradely infected neurons in the diagonal band and LGN following glycoprotein deleted rabies virus injections in mouse V1.

<u>Case No.</u>	<u>Rabies Virus</u>	<u>Diagonal Band</u>	<u>LGN</u>
M12-13	G-GFP	2	3
M12-13	G-mCh	3	3
M12-21	G-mCh	51	52
M12-22	G-mCh	8	16
M12-27	G-mCh	6	6
M12-28	G-GFP	11	42
M12-28	G-mCh	14	45
M12-29	G-GFP	14	10
M12-29	G-mCh	12	15

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**Table 2**

Number of retrogradely infected neurons in the diagonal band and LGN projecting to V1 starter cells in Layers 4, 5, and 6.

Case No.	Helper Virus	Starter Cell Type	Starter No.:	Layer 4	Layer 5	Layer 6	Diagonal Band	LGN
M11-16	AAV-GAD1	Inhibitory	35	3	1	0	0	16
M11-20	AAV-GAD1	Inhibitory	3	14	13	23	23	22
M12-05	AAV-GAD1	Inhibitory	7	22	34	6	6	2
M12-06	AAV-GAD1	Inhibitory	4	17	39	13	13	7
M12-07	AAV-GAD1	Inhibitory	6	42	28	2	2	0
M12-17	AAV-GAD1	Inhibitory	9	44	24	4	4	32
M11-19	LV- $\alpha$ CamKII	Excitatory	6	16	22	0	0	4
M12-09	LV- $\alpha$ CamKII	Excitatory	3	48	38	0	0	8
M12-10	LV- $\alpha$ CamKII	Excitatory	2	12	26	0	0	0
M12-15	LV- $\alpha$ CamKII	Excitatory	32	53	60	0	0	12
M12-16	LV- $\alpha$ CamKII	Excitatory	13	16	13	0	0	4
M12-26	LV- $\alpha$ CamKII	Excitatory	8	11	4	0	0	4