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Airy Functions Over Local Fields

To the memory of Moshe Flato

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Abstract. Airy integrals are very classical but in recent years they have been generalized to higher dimensions and these generalizations have proved to be very useful in studying the topology of the moduli spaces of curves. We study a natural generalization of these integrals when the ground field is a non-archimedean local field such as the field of p -adic numbers. We prove that the p -adic Airy integrals are locally constant functions of moderate growth and present evidence that the Airy integrals associated with compact p -adic Lie groups also have these properties.

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0. Introduction

It is now 10 years since Moshe Flato passed away in a sudden and untimely fashion. He had an extraordinarily broad point of view in theoretical physics and pioneered many ideas which became fashionable decades later — deformation quantization, physics in conformal space, singletons, and so on. This paper is a small contribution dedicated to his memory.

In [4] Kontsevich proved certain conjectures of Witten [12] about intersection theory in the moduli spaces of curves, which arose from two-dimensional gravity. For this purpose, the notion of matrix Airy integrals was introduced in [4]; see [5] where even more general integrals are considered. The Kontsevich integrals were generalized considerably in [2] where the unitary group $U(N)$ and the space of hermitian matrices $\mathcal{H}(N)$ occurring in [4] were replaced by a compact connected real Lie group G and its Lie algebra \mathfrak{g} . It now appears that these general matrix Airy integrals may have an arithmetic side to them. In this paper, we explore this idea and study the Airy integrals over a *local non-archimedean field*. We hope that p -adic Airy integrals may also have connections with moduli spaces. They are a part of non-archimedean physics which has been of interest since the appearance

of the path-breaking papers of Volovich [6,7] introducing the hypothesis that the geometry of space–time in sub-Planckian regimes is non-archimedean. For some consequences of this hypothesis for particle classification see [9,10].

1. Airy Functions

Let X be a t.d. space, i.e., a Hausdorff space with the property that the compact open sets form a base for the topology. We write $\mathcal{SB}(X)$ for the space of Schwartz–Bruhat functions, i.e., locally constant complex functions on X with compact support. Any linear functional $\mathcal{SB}(X) \rightarrow \mathbf{C}$ is called a *distribution* on X (see [3]). Let μ be a Borel measure on X and F a locally μ -integrable Borel function. Then F defines the distribution

$$T_F : f \rightarrow \int_X Ff \, d\mu \quad (f \in \mathcal{SB}(X))$$

and T_F determines F μ -almost everywhere. If T is a distribution, we say that T is a locally integrable function with respect to μ if $T = T_F$ for some F .

Let V be a finite-dimensional vector space over a local non-archimedean field K . We denote by dV (or dx, dy , etc.) any Haar measure on V . We say that a distribution on V is a locally integrable function if it is locally integrable with respect to Haar measure. We also choose a non-trivial additive character ψ on K ; any other character of K is of the form $y \mapsto \psi(cy)$ for some $c \in K^\times$. On \mathbf{Q}_p , we use the p -adic expansion $\sum_{n > -\infty} a_n p^n$ for any element of \mathbf{Q}_p , where $a_n \in \{0, 1, 2, \dots, p - 1\}$ for all n , and write any $x \in \mathbf{Q}_p$ as $x = r + y$ where $y \in \mathbf{Z}_p$, the ring of p -adic integers, and $r \in \mathbf{Z}[p^{-1}]$. Then r is determined up to addition by an integer and so $e^{2\pi ir}$ is uniquely defined. We set $\psi_0(x) = e^{2\pi ir}$ to obtain a non-trivial additive character of \mathbf{Q}_p . If K is a finite extension of \mathbf{Q}_p for some p , we define $\psi(x) = \psi_0(\text{Tr}(x))$, where $\text{Tr} = \text{Tr}_{K/\mathbf{Q}_p}$. In what follows, the choice of ψ is immaterial as long as it is non-trivial. If K has characteristic $p > 0$, we choose the additive character ψ as follows. We know that $K \simeq K_f$, the field of Laurent series s over the finite field $\mathbf{F}_q (q = p^f)$ of q elements,

$$s = \sum_{r > -\infty} s(r)T^r \quad (T \text{ an indeterminate, } s(r) \in \mathbf{F}_q).$$

Let θ be a non-trivial additive character of \mathbf{F}_p and let Tr denote the trace from \mathbf{F}_q to \mathbf{F}_p . Since there are elements $a \in \mathbf{F}_q$ with $\text{Tr}(a) \neq 0$, we see that $\theta_q := \theta \circ \text{Tr}$ is a non-trivial additive character of \mathbf{F}_q . Define the linear map Res from K_f to \mathbf{F}_q by $\text{Res}(s) = s(-1)$, $s \in K_f$. Then $\psi : s \mapsto \theta_q(\text{Res}(s)) = \theta(\text{Tr}(\text{Res}(s)))$ is a non-trivial additive character on K_f .

The field K has a canonical valuation $|\cdot|$ defined by $d(ax) = |a|dx$ where dx is a Haar measure on K . Let R be the compact open ring of integers of K , P its maximal ideal, ϖ a uniformisant, i.e., $P = R\varpi$, and $k = R/P$, the residue field of K . We have $|\varpi| = q^{-1}$ where $q = |k|$. As ψ is trivial on $P^{-r} = R\varpi^{-r} = \{|x| \leq q^r\}$ for

some $r \ll 0$, we can speak of the largest integer r such that ψ is 1 on P^{-r} ; this integer is the order of ψ and is denoted by $\text{ord}(\psi)$. If $c \neq 0$ is an element of K with $|c| = q^s$, ξ is a non-trivial additive character of K , and η is defined by $\eta(x) = \xi(cx)$, then $\text{ord}(\eta) = \text{ord}(\xi) - s$. Finally we write

$$U = \{u \in K \mid |u| = 1\}.$$

For all of this see [11].

Fourier transforms of objects on V are similar objects on the dual V' of V . However, it is more practical for us (although less canonical) to choose a non-singular bilinear form $V \times V \rightarrow K$, denoted by (x, y) , and define, for any $f \in \mathcal{SB}(V)$, its Fourier transform \widehat{f} by

$$\widehat{f}(x) = \int_V f(y)\psi(-(x, y)) \, dy \quad (x \in V).$$

It is well-known that $\widehat{f} \in \mathcal{SB}(V)$ and that for a suitable normalization of dV (self-dual Haar measure) we have, for all $f \in \mathcal{SB}(V)$,

$$f(y) = \int_V \widehat{f}(x)\psi((x, y)) \, dx \quad (y \in V).$$

Thus the *Fourier transform map* $\mathcal{F}: f \mapsto \widehat{f}$ is a linear isomorphism of $\mathcal{SB}(V)$ with itself that takes multiplication into convolution and vice versa, and satisfies $\mathcal{F}^2 f(x) = f(-x)$, $\mathcal{F}^4 f = f$. Once \mathcal{F} is defined, its definition can be extended to distributions by duality:

$$\widehat{T}(f) = T(\widehat{f}) \quad (f \in \mathcal{SB}(V)), \quad \mathcal{F}T = \widehat{T}.$$

In particular, if $T = t \, dx$, then $\widehat{T} = \widehat{t} \, dx$.

Let h be a polynomial function on V with coefficients in K . Then the function $\psi \circ h : y \mapsto \psi(h(y))$ is bounded and locally constant and so defines a distribution on V . Its Fourier transform is called the *Airy distribution* defined by h and is denoted by A_h . We say that h has the *Airy property* if A_h is a locally integrable function with at most polynomial growth, i.e., $A_h(x) = O(|x|^s)$ for some $s \geq 0$ as $|x| \rightarrow \infty$. Here we imitate the definition when the ground field is \mathbf{R} . If h has the Airy property, we write $A_h(x)$ for the corresponding locally constant function and call such functions *Airy functions*.

In order to study the Airy distributions, it is convenient to take an alternative approach and define the Airy function as an *improper Riemann integral* over V . If f is a continuous function on V , we define the *improper Riemann integral* of f over V relative to the sequence (K_n) of compact open subsets of V with $K_n \subset K_{n+1}$, $\cup_n K_n = V$ as

$$R \int_V f(y) \, dy := \lim_{n \rightarrow \infty} \int_{K_n} f(y) \, dy$$

if this limit exists. Let $|\cdot|$ be a non-archimedean norm on V . It follows from [11] (Proposition 3, p. 26) that the values of $|\cdot|$ on $V \setminus \{0\}$ form a discrete subset of $\mathbf{R} \setminus \{0\}$ and so the norm values >1 can be written as a sequence $b_1 < b_2 < \dots, b_r \rightarrow \infty$ as $r \rightarrow \infty$. We define

$$R \int_V f(y) \, dy := \lim_{r \rightarrow \infty} \int_{|y| \leq b_r} f(y) \, dy$$

if the limit exists. It will exist if and only if the series $\sum_{r=1}^{\infty} \int_{|y|=b_r} f(y) \, dy$ is convergent, and then

$$R \int_V f(y) \, dy = \int_{|y| \leq 1} f(y) \, dy + \sum_{1 \leq r < \infty} \int_{|y|=b_r} f(y) \, dy.$$

We will use this concept to define the Airy function directly. This is analogous to defining the Airy integral in the real case as the improper Riemann integral which is the principal value of

$$\int_{-\infty}^{+\infty} \cos(y^3 - xy) \, dy,$$

just as Airy himself did [1,2]. We shall in fact show that under suitable conditions on the polynomial h on V ,

$$A(x) = \int_{|y| \leq 1} \psi(h(y) - (x, y)) \, dy + \sum_{r=1}^{\infty} \int_{|y|=b_r} \psi(h(y) - (x, y)) \, dy$$

is well-defined for all $x \in V$, is locally constant with polynomial growth (at most) at infinity, and that the distribution defined by A is the Airy distribution A_h .

If E is any compact and open subset of V , the integral

$$A_E(x) := \int_E \psi(h(y) - (x, y)) \, dy$$

is the Fourier transform of the Schwartz–Bruhat function $1_E(y)\psi(h(y))$, where 1_E is the characteristic function of E , and so is a Schwartz–Bruhat function. So the first term and the remaining terms occurring in the series on the right side of the definition of $A(x)$ are individually all Schwartz–Bruhat functions. The key now is to prove that for any fixed integer $B > 0$, there exists $r_0 = r_0(B) \geq 0$ such that

$$\int_{|y|=b_r} \psi(h(y) - (x, y)) \, dy = 0 \quad (r \geq r_0, |x| \leq B). \tag{**}$$

Suppose that this has been established. Then A is well-defined and locally constant. Then for any $r_1 \geq r_0$ and any $f \in \mathcal{SB}(V)$ with support of f contained in $\{x \mid |x| \leq B\}$,

$$\begin{aligned} \int_V A(x)f(x) \, dx &= \int_V f(x) \left(\int_{|y| \leq b_{r_1}} \psi(h(y) - (x, y)) \, dy \right) \, dx \\ &= \int_{|y| \leq b_{r_1}} \psi(h(y)) \left(\int_V f(x)\psi(-(x, y)) \, dx \right) \, dy \\ &= \int_{|y| \leq b_{r_1}} \psi(h(y)) \widehat{f}(y) \, dy \\ &= \int_{|y| \leq 1} \psi(h(y)) \widehat{f}(y) \, dy + \sum_{1 < r \leq r_1} \int_{|y|=b_r} \psi(h(y)) \widehat{f}(y) \, dy. \end{aligned}$$

But \widehat{f} itself has compact support and so we can choose $r_1 \geq r_0$ such that $\widehat{f}(y)$ is 0 if $|y| > b_{r_1}$. Hence

$$\int_V A(x)f(x) \, dx = \int_{|y| \leq b_{r_1}} \psi(h(y)) \widehat{f}(y) \, dy = \int_V \psi(h(y)) \widehat{f}(y) \, dy$$

showing that A is in fact the Airy distribution defined by h . So one has to prove (**). Actually, in our applications we shall prove (**) in a much stronger form, which will lead to a bound at infinity for $A(x)$. Indeed we shall prove (**) for $|x| \leq B(r)$ where $B(v)$ is an increasing function going to ∞ . Also write $b_r = b(r)$ where b is an increasing function going to ∞ . For bounding $A(x)$ we may thus assume that $|x| > B(r_0)$. Let $r > r_0$ be the smallest integer such that $|x| \leq B(r)$. Then $|x| > B(r - 1)$. Let β be the function inverse to B . Then $r - 1 < \beta(|x|)$. But $r - 1 \geq r_0$, and so we have

$$|A(x)| \leq \int_{|y| \leq b(r-1)} \, dy \leq Cb(r - 1)^m \leq Cb(\beta(|x|))^m.$$

If for some constants $L, M, c, a > 0$ we have $b(r) = Lc^r$ and $B(r) = Mc^{ar}$, this leads to the estimate $|A(x)| = O(|x|^{m/a})$.

2. The Statement of the Main Theorems

We have the following theorem. For the quadratic case in dimension 1 see [8]. We work on $V = K^m$ with the norm $|v| = \max_i |v_i|$ for $v = (v_1, \dots, v_m)$.

THEOREM 1. *Let h be a polynomial on K^m of degree $n \geq 2$ and h_n its homogeneous part of degree n . Assume that h_n has the following form*

$$h_n(y) = a_1 y_1^n + a_2 y_2^n + \dots + a_m y_m^n \quad (a_i \neq 0 \ \forall i).$$

If K has either characteristic 0 or characteristic $p > 0$, where p does not divide n , then h has the Airy property. The function

$$A(x) = R \int_{K^m} \psi(h(y) - (x, y)) \, dy$$

is well-defined for all $x \in K$, locally constant, and $O(|x|^{m/(n-1)})$ for $|x| \rightarrow \infty$. Moreover, the distribution defined by A is the Airy distribution A_h . In particular, these results are true when $m = 1$ for any polynomial of degree ≥ 2 . If $m = 1$ and $\deg(h) \leq 1$, then the Airy distribution A_h is a delta function.

Suppose now that $K = K_f$ has characteristic $p > 0$ and we assume p divides n . Here we do not have a true analog to Theorem 1 and have to restrict ourselves to the case of one variable, $m = 1$. For any $c \in K$ we write

$$Qc = c^\sharp = \sum_j c^\sharp(j) T^j, \quad c^\sharp(j) = (c(-1 + p(j + 1)))^{p^{-1}} \quad (c \in K_f).$$

The map $Q : c \mapsto c^\sharp$ is additive from K_f to itself. For any polynomial $h(y)$ with coefficients in K_f , we write h^\sharp for the polynomial obtained by the process of replacing each term cy^{mp^r} in h with $c \neq 0, r \geq 1, (m, p) = 1$, by $(Q^r c)y^m$:

$$cy^{mp^r} \mapsto (Q^r c)y^m.$$

It is clear that every term in h^\sharp has degree not divisible by p and that if h is of degree n and $(n, p) = 1$, then h^\sharp also has degree n and has the same leading term as h . The result in characteristic p takes the following form.

THEOREM 2. *Let $\psi = \theta_q \circ \text{Res}$ be as above and h be a polynomial over K_f . Then $\psi(h) = \psi(h^\sharp)$. Moreover, if h^\sharp has degree $n^\sharp \geq 2$, then h has the Airy property and the distribution A_h is the one defined by*

$$A(x) = R \int_K \psi(h^\sharp(y) - xy) \, dy$$

which is well-defined and locally constant on K and $O(|x|^{1/(n^\sharp-1)})$ as $|x| \rightarrow \infty$. In particular these results are true for h itself if the degree of h is prime to p . If $\deg(h^\sharp) \leq 1$ then the Airy distribution defined by h is a delta function.

Idea of the proof in characteristic 0. We look at the case of one variable. Let $h(y) = y^n + c_1 y^{n-1} + \dots + c_{n-1} y$. Making the change of variable $y = \varpi^{-r} z$,

$$\int_{|y|=q^r} \psi(h(y) - xy) dy = q^r \int_{|z|=1} \psi(\varpi^{-nr} (h_r(z))) dz$$

where

$$h_r(z) = z^n + \varpi^r c_1 z^{n-1} + \varpi^{2r} c_2 z^{n-2} + \dots + \varpi^{(n-1)r} (c_{n-1} - x)z.$$

When $r \rightarrow \infty$ all the coefficients of h_r except the leading one become small and so for large r we may regard h_r as a *small perturbation* of the function z^n . The key step is therefore a study of the polynomial

$$F(z : u) = z^n + u_1 z^{n-1} + u_2 z^{n-2} + \dots + u_{n-1} z \quad (u = (u_1, u_2, \dots, u_{n-1}) \in R^{n-1})$$

on $U = \{|z|=1\}$ when the parameters $|u_i|$ are small. This will allow us to simplify the integral in question. We write $U^r = \{t^r \mid t \in U\}$ and $z \mapsto \bar{z}$ for the map $R \rightarrow R/P = k$. When the number of variables is greater than one, we evaluate the integrals one variable at a time and so it is necessary to study the one variable case where the coefficients of h are not fixed but vary.

3. Structure of $F(z : u)$ when $|u|$ is Small

We begin with a proposition.

PROPOSITION 1. *Let K have arbitrary characteristic. Suppose that p does not divide n . Let $|u_i| \leq q^{-1}$ for all i . Then the equation $F(z : u) = t^n$ has, for each $z \in U$, a unique solution $t = t(z) \in U$ with $\bar{t} = \bar{z}$, and the map $z \mapsto t(z)$ is an analytic diffeomorphism of U with itself with $|dt/dz| = 1$.*

Proof. Given $z \in U$, the polynomial $T^n - F(z : u)$ in the indeterminate T goes over to $T^n - \bar{z}^n \pmod{P}$ and has the simple root $T = \bar{z}$. By Hensel's lemma we can lift \bar{z} to a unique root $t \in R$, so that $\bar{t} = \bar{z}$ and $t^n = F(z : u)$, and clearly $|t| = 1$. The map $z \mapsto t(z)$ is thus well-defined. Suppose that $F(z_i : u) = t^n$ for $i = 1, 2$ with $\bar{t} = \bar{z}_1 = \bar{z}_2$, but $z_1 \neq z_2$. Then

$$z_1^n - z_2^n + u_1 (z_1^{n-1} - z_2^{n-1}) + \dots + u_{n-1} (z_1 - z_2) = 0$$

so that, dividing by $(z_1 - z_2)$ we get, as the $u_i \in P$ for all i , $(z_1^{n-1} + z_1^{n-2} z_2 + \dots + z_2^{n-1}) \in P$. So, writing $z_1 = z z_2$ where $\bar{z} = 1$ we get $z^{n-1} + z^{n-2} + \dots + 1 \in P$. Since $z \equiv 1 \pmod{P}$, this implies that $n \equiv 0 \pmod{P}$, a contradiction. To show that the map t is onto, let $t \in U$ be given. By Hensel's lemma applied to the polynomial $F(T : u) - t^n$, we can find a $z \in R$ with $\bar{z} = \bar{t}$ and $F(z : u) = t^n$. It is then immediate that $t(z) = t$.

It only remains to show that t is analytic. For, if we have shown this, we can differentiate t to get, remembering that $|n|=1$,

$$|nt^{n-1}(dt/dz)| = |nz^{n-1} + (n-1)u_1z^{n-2} + \dots + u_{n-1}| = 1,$$

giving $|dt/dz|=1$. So by the inverse function theorem, t will be an analytic diffeomorphism. For the analyticity we just have to find *some* analytic map $f(U \rightarrow U)$ such that $F(z:u) = f(z)^n$ and $f(z) \equiv z \pmod P$ for $z \in U$. Write $f(z) = zg(z)$ so that the equation becomes $g(z)^n = 1 + v$ where $v = u_1z^{-1} + u_2z^{-2} + \dots + u_{n-1}z^{-(n-1)}$. If we can find an analytic map $b: P \rightarrow 1 + P$ such that $b(v)^n = 1 + v$, we can take $g(z) = b(u_1z^{-1} + \dots + u_{n-1}z^{-(n-1)})$. If the characteristic of K is 0 we can take $b(v) = (1 + v)^{1/n}$ given by the binomial series; but this will not work if the characteristic of K is $p > 0$. So we construct the power series for b directly. Write $b(v) = 1 + b_1v + b_2v^2 + \dots$ where the coefficients b_i are to be determined so that $b(v)^n = 1 + v$. If we write $b_0 = 1$, then the b_r are uniquely determined recursively by

$$nb_1 = 1, \quad nb_r = - \sum_{\sum j_\mu = r, j_\mu \leq r-1} b_{j_1} b_{j_2} \dots b_{j_n} \quad (r \geq 2)$$

with $b_0 = 1$. Since p does not divide n , $b_1 = n^{-1}$ is in R , so that $|b_1| \leq 1$. It is immediate by induction on r that $|b_r| \leq 1$ for all r . Hence the power series for b converges on P . This completes the proof.

When p divides n , we assume that the characteristic of K is 0; p is now the characteristic of the residue field $k = R/P$.

LEMMA 2. (ch. $K = 0$). *If p is odd and n arbitrary, then, for any $y \in R$ with $y \neq 1$, $y \equiv 1 \pmod p$, we have*

$$\left| \frac{y^n - 1}{y - 1} \right| = |n|.$$

This result is still true for $p = 2$ and n arbitrary, if $y \in R$ with $y \neq 1$ but $y \equiv 1 \pmod 4$.

Proof. Let p be odd. We first assume that p does not divide n . Clearly we may assume $n \geq 2$. Let $y = 1 + gp$ where $g \in R, g \neq 0$. Then

$$\frac{y^n - 1}{y - 1} = n + \binom{n}{2}gp + \dots + \binom{n}{n-1}(gp)^{n-2} + (gp)^{n-1}$$

and we are done as all terms on the right but the first are in Rp , with n a unit.

Let $n = p^r$ where $r \geq 1$. We use induction on r . Let $r \geq 2$ and assume the result is true for $1, 2, \dots, r-1$. Then, writing $y_r = y^{p^r}$ we have $y_{r-1} \neq 1$ and

$$\left| \frac{y_r - 1}{y - 1} \right| = \left| \frac{y_{r-1}^p - 1}{y_{r-1} - 1} \right| \left| \frac{y_{r-1} - 1}{y - 1} \right| = |p^{r-1}| |p| = |p^r|.$$

So it remains to treat the case $r=1$. Write $y=1+gp$ where $g \in R, g \neq 0$. Then

$$\frac{y^p-1}{y-1} = p + \binom{p}{2}gp + \dots + \binom{p}{p-1}(gp)^{p-2} + (gp)^{p-1}.$$

All the terms except the first are in Rp^2 (since $p \geq 3$), so that the norm of the right side is $|p|$.

For arbitrary $n = p^r m$ with $(m, p) = 1$ we may assume that $r \geq 1, m \geq 2$. Then $y_r \equiv 1(p)$ and $y_r \neq 1$ from the preceding, and

$$\left| \frac{y^n-1}{y-1} \right| = \left| \frac{y_r^m-1}{y_r-1} \right| \left| \frac{y_r-1}{y-1} \right| = |m||p^r| = |n|.$$

We now suppose that $p=2$. The treatment is exactly the same as before. Write $y_r = y^{2^r}$. Then, for $y \neq 1, y \equiv 1 \pmod{4}$, we have $y = 1 + 4g$ where $g \in R, g \neq 0$ and

$$\left| \frac{y^2-1}{y-1} \right| = |y+1| = |2+4g| = |2|.$$

By induction we get the result as before for arbitrary r . The argument for n odd and for $n=m2^r$ with m odd are the same. This completes the proof of the lemma.

Define the compact open subgroups V_p of U by

$$V_p = \begin{cases} 1 + Rp & \text{if } p \text{ is odd} \\ 1 + Rp^2 = 1 + 4R & \text{if } p = 2 \end{cases}$$

and let $V_p^n = \{t^n | t \in V_p\}$.

PROPOSITION 3. (ch. $K=0$). *We have the following.*

- (a) *If $|u_i| < |n|$ for all i , then $F_u : z \mapsto F(z : u)$ is one-one on V_p and $|dF_u/dz| = |n|$ for $z \in V_p$.*
- (b) *There exists an integer $a \geq 1$ such that if $|u_i| \leq q^{-a}$ for all i , then F_u is an analytic diffeomorphism of V_p onto V_p^n with $|dF_u/dz| = |n|$.*

Proof. (a) Let $z_i \in V_p$ be such that $F(z_1 : u) = F(z_2 : u)$ with $z_1 \neq z_2$. Then

$$\frac{z_1^n - z_2^n}{z_1 - z_2} + u_1 \frac{z_1^{n-1} - z_2^{n-1}}{z_1 - z_2} + \dots + u_{n-1} = 0.$$

Since $\bar{z}_1 = \bar{z}_2 = 1$ we can write $z_1 = z\bar{z}_2$ where $z \neq 1, \bar{z} = 1, z \equiv 1(V_p)$ and the above equation becomes

$$z_2^{n-1} \frac{z^{n-1} - 1}{z - 1} + u_1 z_2^{n-2} \frac{z^{n-1} - 1}{z - 1} + \dots + u_{n-1} = 0.$$

By Lemma 2 the first term has norm $|n|$ while the others have norm $< |n|$. Hence the norm of the above expression is $|n|$ which is a contradiction. Moreover,

$$\frac{dF_u}{dz} = nz^{n-1} + g, \quad g = u_1(n-1)z^{n-2} + \dots + u_{n-1}.$$

Since $|g| < |n|$ and $|nz^{n-1}| = |n|$ we have $|dF_u/dz| = |n|$.

(b) It is a question of proving that for a suitable integer $a \geq 1$, F_u maps V_p onto V_p^n provided $|u_i| \leq q^{-a}$ for all i . In view of (a), if we increase a so that $q^a > |n|$, F_u will also be one-one on V_p and will have non-zero differential everywhere on V_p . It will then be an analytic diffeomorphism of V_p with V_p^n .

Let a be arbitrary for the moment and all $|u_i| \leq q^{-a}$. We first want to choose a so that $F_u(V_p) \subset V_p^n$. Given $z \in V_p$ we wish to find a $t \in V_p$ such that $F_u(z) = t^n$. Write $t = z\tau$ so that the required τ should satisfy

$$\tau \equiv 1 \pmod{V_p}, \quad 1 + u_1z^{-1} + \dots + u_{n-1}z^{-(n-1)} = \tau^n.$$

Writing $v = u_1z^{-1} + \dots + u_{n-1}z^{-(n-1)}$ so that $|v| \leq q^{-a}$ if $|u_i| \leq q^{-a}$ for all i , we wish to solve $\tau \equiv 1 \pmod{V_p}$, $\tau^n = 1 + v$ if $|v| \leq q^{-a}$ for a suitable integer $a \geq 1$. We wish to show that the binomial series for $\tau = (1 + v)^{1/n}$ converges for $|v| \leq q^{-a}$, so providing the solution.

So

$$\tau = 1 + \sum_{s \geq 1} \binom{1/n}{s} v^s.$$

Let d be the degree of K over \mathbf{Q}_p . Then, with $n = p^r m$ where p does not divide m ,

$$\left| \binom{1/n}{s} \right| \leq p^{r s d} |s!|^{-1} \leq p^{s d r + s d / (p-1)} \leq p^{s d (r+1)}$$

giving

$$\left| \binom{1/n}{s} v^s \right| \leq p^{-2s d} \quad (|v| \leq p^{-d s (r+3)}).$$

Now $q = p^f$, $d = e f$ so that $p^d = q^e$ and the above condition becomes $|v| \leq q^{-e(r+3)}$. Moreover,

$$|\tau - 1| \leq \sup_{s \geq 1} \left| \binom{1/n}{s} v^s \right| \leq \sup_{s \geq 1} p^{-2s d} \leq p^{-2d}$$

so that $\tau \equiv 1 \pmod{V_p}$. This finishes the argument that $F_u(V_p) \subset V_p^n$.

We now prove that this range is exactly V_p^n . Let $t \in V_p$; we want to find $z \in V_p$ such that $F_u(z) = t^n$; writing $z = t\zeta$ we want to find ζ such that, with $v_i = u_i t^{-i}$,

$$\zeta \equiv 1 \pmod{V_p}, \quad \zeta^n + v_1 \zeta^{n-1} + \dots + v_{n-1} \zeta = 1$$

when $|v_i| \leq q^{-a}$ for all i , a being a suitable integer ≥ 1 . Let

$$h(v, \zeta) = \zeta^n + v_1 \zeta^{n-1} + \dots + v_{n-1} \zeta - 1, \quad v = (v_1, \dots, v_{n-1}).$$

Then

$$dh = \left(n\zeta^{n-1} + (n-1)v_1\zeta^{n-2} + \dots + v_{n-1} \right) d\zeta + \left(\zeta^{n-1} dv_1 + \dots + \zeta dv_{n-1} \right)$$

which is clearly $\neq 0$ when $\zeta \neq 0$. Hence $h=0$ defines a closed analytic submanifold M of dimension $n-1$ of $\Omega = \{(v, \zeta) \mid \zeta \neq 0\}$. The projection $g : (v, \zeta) \mapsto v$ when restricted to M has bijective differential at $(0, 1)$. Indeed, this is clear since $dg_{(0,1)}(\partial/\partial\zeta) = n \neq 0$. Hence g is an analytic diffeomorphism of an open neighborhood of $(0, 1)$ in M with an open neighborhood of 0 in K^{n-1} . So there exists an integer $a \geq 1$ and an analytic function f on $\{v \mid |v_i| \leq q^{-a}\}$ such that

$$f(v) \equiv 1(V_p), \quad h(v, f(v)) = 0.$$

This completes the proof of Proposition 3.

4. Proof of Theorem 1

Recall that $U = \{u \in K \mid |u| = 1\}$.

LEMMA 1. *Let M be a compact open subgroup of U . Then there exists an integer $v = v(M) \geq 1$ with the following property. If ξ is a non-trivial additive character of K , then*

$$\int_M \xi(u) \, du = 0 \quad (\text{ord}(\xi) \leq -v).$$

Proof. We find an integer $\mu \geq 1$ such that $M_\mu := 1 + P^\mu \subset M$. Let (y_i) be a set of representatives for M/M_μ . Then

$$\int_M \xi(x) \, dx = \sum_i \int_{M_\mu} \xi(y_i x) \, dx = \sum_i \xi(y_i) \int_{P^\mu} \xi(y_i t) \, dt.$$

If $\eta_i(t) = \xi(y_i t)$, $\text{ord}(\eta_i) = \text{ord}(\xi)$ for all i , and so

$$\int_{P^\mu} \eta_i(t) \, dt = 0 \quad (\text{ord}(\xi) < -\mu).$$

Hence, with $v = \mu + 1$,

$$\int_M \xi(x) \, dx = 0 \quad (\text{ord}(\xi) \leq -\mu - 1).$$

We shall prove the following. In view of applications to the case of several variables, we prove it under a very general set of conditions.

PROPOSITION 2. *Let K be either of characteristic of 0 or characteristic $p > 0$ but with p not dividing n . Let*

$$h(y) = c_0 y^n + c_1 y^{n-1} + c_2 y^{n-2} + \dots + c_{n-1} y + c_n \quad (c_j, y \in K)$$

where $c_0 \neq 0$ is a fixed constant and the c_j are allowed to vary. Let

$$I_r = \int_{|y|=q^r} \psi(h(y)) \, dy.$$

Then there exist integers $A, r_0 \geq 1$ such that

$$I_r = 0 \quad \left(r \geq r_0, \quad |c_i| \leq q^{(ir-A)} \quad \forall i \right).$$

Proof. Replacing ψ by $t \mapsto \psi(c_0 t)$ and c_i by $c_i c_0^{-1}$ we may assume that $c_0 = 1$. Also as $\psi(c_n)$ is constant we may ignore it and so assume $c_n = 0$. Let $y = \varpi^{-r} z$ so that

$$I_r = q^r \int_U \psi(\varpi^{-nr} (F(z : u))) \, dz \quad F(z : u) = z^n + u_1 z^{n-1} + \dots + u_{n-1} z$$

where $u_i = c_i \varpi^{ir}$. With A to be specified later, let $|c_i| \leq q^{(ir-A)}$ so that $|u_i| \leq q^{-A}$ ($1 \leq i \leq n-1$).

Suppose first that K has arbitrary characteristic and that p does not divide n . We use Proposition 3.1. Then $|u_i| \leq q^{-1}$ for all i if $A = 1$. If $A = 1$ we make the change of variables $z \mapsto s = t(z)$ where $t(z)^n = F(z : u)$. Since $nt^{n-1}(dt/dz) = (nz^{n-1} + (n-1)u_1 z^{n-2} + \dots + u_{n-1})$ we have $|dt/dz| = 1$ so that

$$I_r = q^r \int_U \psi(\varpi^{-nr} s^n) \, ds.$$

Let ℓ be the number of n th roots of unity in K and $d^\times s$ the *multiplicative* Haar measure on K^\times . Then $ds = d^\times s$ on U while $s \mapsto s^n$ is an ℓ -fold cover of U over U^n . Hence

$$I_r = \ell q^r \int_{U^n} \psi(\varpi^{-nr} \sigma) \, d\sigma = \ell q^r \int_{U^n} \xi_r(\sigma) \, d\sigma$$

where ξ_r is the additive character of K defined by $\xi_r(\sigma) = \psi(\varpi^{-nr} \sigma)$. By Lemma 1, there is an integer $\mu \geq 1$ such that $\int_{U^n} \xi \, d\sigma = 0$ for all non-trivial additive characters ξ with $\text{ord}(\xi) \leq -\mu$. Now $\text{ord}(\xi_r) = \text{ord}(\psi) - nr$ and so

$$\int_{U^n} \psi(\varpi^{-nr} \sigma) \, d\sigma = 0 \quad (nr \geq \text{ord}(\psi) + \mu).$$

So, if $r_0 := |\text{ord}(\psi)| + \mu$ we have $I_r = 0$ for $r \geq r_0$.

We must now consider the case when p divides n but K has characteristic 0. The proof is essentially the same but it now relies on Proposition 3.3. If a is as in that proposition then $|u_i| \leq q^{-a}$ for $A = a$. Let $A = a$ and let $W = \{w\}$ be a set of representatives of U/V_p . Then

$$I_r = q^r \sum_{w \in W} \int_{V_p} \psi(\varpi^{-nr} w^n F_w(z : u)) \, dz$$

where

$$F_w(z : u) = z^n + u'_1 \varpi^r z^{n-1} + \dots + u'_{n-1} \varpi^{(n-1)r} z$$

with

$$u'_i = c_i w^{-i} \varpi^{ir}, \quad |u'_i| \leq q^{-a} \quad (1 \leq i \leq n-1).$$

By (b) of that proposition and the choice of a we see that $F_{w,z} : z \mapsto F_w(z : u)$ is an analytic diffeomorphism $V_p \simeq V_p^n$ with $|dF_{w,u}/dz| = |n|$. Hence

$$\int_{V_p} \psi(\varpi^{-nr} w^n F_w(z : u)) \, dz = |n|^{-1} \int_{V_p^n} \psi(\varpi^{-nr} w^n s) \, ds.$$

We now use Lemma 1. Let ν be the integer of that lemma when $M = V_p^n$. Since the additive character $\sigma \mapsto \psi(\varpi^{-nr} w^n \sigma)$ has order equal to $\text{ord}(\psi) - nr$ we may conclude that the above integral is 0 if $nr \geq \text{ord}(\psi) + \nu$. Hence if $r \geq r_0 := |\text{ord}(\psi)| + \nu$, we have

$$\int_{V_p} \psi(\varpi^{-nr} w^n F_w(z : u)) \, dz = 0$$

for all w and hence $I_r = 0$ for $r \geq r_0$. This finishes the proof of the entire proposition.

PROPOSITION 3. *Let K and h be as in Theorem 1. Let*

$$I_r(x) = \int_{|y|=q^r} \psi(h(y) - (x, y)) \, dy.$$

Then there are integers $B, s_0 \geq 1$ such that

$$I_r(x) = 0 \quad \left(r \geq s_0, |x| \leq q^{(n-1)r-B} \right).$$

Proof. We have $(x, y) = \sum_{\mu} b_{\mu}(x) y_{\mu}$ where the b_{μ} are linear functions of x . We write the set $\{y \in K^m \mid |y| = q^r\}$ as the disjoint union of sets

$$E_{\mu} = \{y \in K^m \mid |y_{\mu}| = q^r, |y_i| < q^r (i < \mu) |y_i| \leq q^r (i > \mu)\}.$$

It is sufficient to prove that for each μ there are integers $B_\mu, s_\mu \geq 1$ such that

$$\int_{E_\mu} \psi(h(y) - (x, y)) \, dy = 0 \quad \left(r \geq s_\mu, |x| \leq q^{(n-1)r - B_\mu} \right).$$

The integral over E_μ can be evaluated by first integrating with respect to y_μ and so it is enough to prove that there are B_μ, s_μ such that

$$I_{r,\mu}(x) := \int_{|y_\mu|=q^r} \psi(h(y) - b_\mu(x)y_\mu) \, dy_\mu = 0 \quad (r \geq s_\mu, |x| \leq q^{(n-1)r - B_\mu})$$

for fixed $y_j (j \neq \mu)$ with $|y_j| < q^r (j < \mu), |y_j| \leq q^r (j > \mu)$.

Now

$$h(y) = a_\mu y_\mu^n + c_1 y_\mu^{n-1} + \dots + c_{n-2} y_\mu^2 + (c_{n-1} - b_\mu(x)) y_\mu + c_n$$

where c_i is a polynomial in the $y_j (j \neq \mu)$ of degree $\leq i - 1$. Hence there is an integer $C \geq 1$ such that for $1 \leq i \leq n - 1$,

$$|c_i| \leq q^{(i-1)r+C} \quad (|y| \leq q^r).$$

On the other hand $|b_\mu(x)| \leq q^D |x|$ for some integer $D \geq 1$ for all x so that

$$|c_{n-1} - b_\mu(x)| \leq \max \left(q^{(n-2)r+C}, q^D |x| \right).$$

Let A, r_0 be as in Proposition 2. Then that proposition implies that $I_{r,\mu}(x) = 0$ if

$$r \geq r_0, \quad (i - 1)r + C \leq ir - A \quad (1 \leq i \leq n - 2)$$

and

$$\max \left(q^{(n-2)r+C}, q^D |x| \right) \leq q^{(n-1)r-A}.$$

If we put $B_\mu = D + A$ and $s_\mu = \max(r_0, B_\mu + C)$ we satisfy the above requirements when $r \geq s_\mu$ and $|x| \leq q^{(n-1)r - B_\mu}$, and so we are done.

Completion of the proof of Theorem 1. We have actually proved (**) of Section 1 in the stronger form discussed there and so all statements of Theorem 1 are proved.

For the converse, let $m = 1$ and let h be linear, say $h(y) = by$. Then

$$mA_h(f) = \int_K \psi(h(y)) \widehat{f}(y) \, dy = \int_K \psi(by) \widehat{f}(y) \, dy = f(b)$$

so that A_h is the delta function at b .

Remark. It is interesting that the bound on $A_h(x)$ is the same as in the real case [2].

5. Completion of the Proof of Theorem 2 in Characteristic p

We now consider the case $K = K_f = \mathbf{F}_q[[T]][[T^{-1}]]$ and p divides n . Here we assume that $m = 1$ and use the notation developed in Section 2.

We first note that for $a, b \in \mathbf{F}_q$,

$$\text{Tr}(ab^p) = \text{Tr}(a^{p^{-1}}b).$$

This follows from the fact that $\text{Tr}(c) = \text{Tr}(c^p)$ for all $c \in \mathbf{F}_q$. Indeed, observe that the Galois group of \mathbf{F}_q over \mathbf{F}_p is cyclic of order f generated by the Frobenius map $x \mapsto x^p$, and so, as $\text{Tr}(c)$ is the sum of the elements of the Galois orbit of c and as c^p is in the same orbit, the traces of c and c^p are the same.

Recall that for $c \in K_f$,

$$Qc = c^\sharp = \sum_j c^\sharp(j)T^j, \quad c^\sharp(j) = (c(-1 + p(j+1)))^{p^{-1}} \quad (c \in K_f).$$

LEMMA 1. *Let $c, u \in K_f$, $r \geq 1$, $(m, p) = 1$. Then*

$$\text{Tr}\left(\text{Res}(cu^{mp^r})\right) = \text{Tr}\left(\text{Res}((Q^r c)u^m)\right) \quad \psi(cu^{mp^r}) = \psi((Q^r c)u^m).$$

Proof. We have

$$\begin{aligned} \text{Tr}\left(\text{Res}(cu^p)\right) &= \text{Tr}\left(\sum_{r+\ell p=-1} c_r u_\ell^p\right) = \text{Tr}\left(\sum_{r+\ell p=-1} c_r^{p^{-1}} u_\ell\right) \\ &= \text{Tr}\left(\sum_\ell c_{-1-\ell p}^{p^{-1}} u_\ell\right) = \text{Tr}\left(\sum_\ell c^\sharp(-\ell-1)u_\ell\right) \\ &= \text{Tr}\left(\text{Res}((Qc)u)\right). \end{aligned}$$

Repeated application of this gives the result.

It follows from the lemma that $\psi(h) = \psi(h^\sharp)$. This finishes the proof of Theorem 2 except for the converse statement. If h^\sharp is of degree ≤ 1 , say $h^\sharp = by$, then for f a Schwartz–Bruhat function on K ,

$$A_h(f) = \int_K \psi(h(y))\widehat{f}(y) \, dy = \int_K \psi(by)\widehat{f}(y) \, dy = f(b)$$

so that A_h is the delta function at b .

As an example, let $h(y) = cy^3$ on $K = \mathbf{F}_3[[T]][[T^{-1}]]$ where $c \in K$ and non-zero. Then $h^\sharp(y) = c^\sharp y$ and so A_h is the delta function at c^\sharp . But if we take $h(y) = cy^3 + ay^2$ where $a \neq 0$, then $h^\sharp(y) = ay^2 + c^\sharp y$ and so A_h is a locally constant function which is $O(|x|^{1/2})$ at infinity on K .

6. Airy Integrals Associated with Compact p -Adic Lie Groups

If G is a compact p -adic Lie group with Lie algebra \mathfrak{g} , it is natural to ask if some of the G -invariant polynomials on \mathfrak{g} have the Airy property. The example below suggests that this may be the case.

This example is the analog of $SO(3)$ over the p -adic field. We work over a local field K of characteristic $\neq 2$ and use the notation of Section 1. Let $\alpha \in R$ be such that α is not a square mod P . Then α is not a square in K either by Hensel’s lemma. We consider the algebra \mathcal{H} with generators \mathbf{i}, \mathbf{j} and relations

$$\mathbf{i}^2 = \alpha, \quad \mathbf{j}^2 = \varpi, \quad \mathbf{ij} = -\mathbf{ji} = \mathbf{k} \text{ (say).}$$

It is known that \mathcal{H} is a division algebra with center K and that it is, up to isomorphism, the only four-dimensional division algebra over K with center K . On \mathcal{H} we have the trace Tr and norm N given by

$$\text{Tr}(x) = 2a_0, \quad N(x) = x\bar{x} = a_0^2 - \alpha a_1^2 - \varpi \left(a_2^2 - \alpha a_3^2 \right) \quad (x = a_0 + a_1\mathbf{i} + a_2\mathbf{j} + a_3\mathbf{k})$$

where $x \mapsto \bar{x}$ is the involutive anti-automorphism of \mathcal{H} such that

$$\bar{x} = a_0 - a_1\mathbf{i} - a_2\mathbf{j} - a_3\mathbf{k} \quad \text{for } x = a_0 + a_1\mathbf{i} + a_2\mathbf{j} + a_3\mathbf{k}.$$

We write $|x| = |N(x)|_{\mathbb{Q}_p}^{1/2}$ which is a non-archimedean norm over \mathcal{H} . It is known [11] that N maps $\mathcal{H} \setminus \{0\}$ onto K^\times . Hence the norm values on \mathcal{H} consist of 0 and the numbers q^r ($r \in (1/2)\mathbb{Z}$).

Let $L = K + K\mathbf{i}$. Then L is a subfield of \mathcal{H} and is the quadratic extension $K(\sqrt{\alpha})$. The algebra \mathcal{H} becomes isomorphic over L to the full matrix algebra in dimension 2. To see this write $\mathcal{H} = L \oplus \mathbf{j}L$. We consider the action λ of \mathcal{H} on itself by left multiplication. In the basis $\{1, \mathbf{j}\}$ we have

$$\lambda(u + \mathbf{j}v) = \begin{pmatrix} u & \varpi \bar{v} \\ v & \bar{u} \end{pmatrix} \quad \lambda : 1 \mapsto I, \quad \mathbf{i} \mapsto \begin{pmatrix} \mathbf{i} & 0 \\ 0 & -\mathbf{i} \end{pmatrix} \quad \mathbf{j} \mapsto \begin{pmatrix} 0 & \varpi \\ 1 & 0 \end{pmatrix}$$

Thus λ extends to an isomorphism of $L \otimes_K \mathcal{H}$ with the full matrix algebra over L . We have

$$\text{Tr}(x) = \text{Tr}(\lambda(x)) \quad N(x) = \det(\lambda(x)).$$

The fact that \mathcal{H} is a division algebra means that $x = 0 \Leftrightarrow x\bar{x} = 0 \Leftrightarrow N(x) = 0$. Hence N is a quadratic form on \mathcal{H} which is non-zero everywhere on $\mathcal{H} \setminus \{0\}$, i.e., it is *anisotropic*. The bilinear form corresponding to N is $B(x, y) = \text{Tr}(x\bar{y})$. Let \mathfrak{g} be the subspace of \mathcal{H} of elements of trace zero, i.e.,

$$\mathfrak{g} = \{x \in \mathcal{H} \mid \text{Tr}(x) = 0\}.$$

Then \mathfrak{g} is a Lie algebra and $B(x, y) = -\text{Tr}(xy)$ for $x, y \in \mathfrak{g}$ since $\bar{u} = -u$ for $u \in \mathfrak{g}$. The special orthogonal group $SO(B)$ is algebraic and defined over K . We write G

for its group of points over K . Since N is anisotropic it follows that G is compact. Clearly \mathfrak{g} is its Lie algebra. We define the polynomials p_r on \mathfrak{g} by

$$p_r(x) = \text{Tr}(x^r) \quad (x \in \mathfrak{g}).$$

If $0 \neq x \in \mathfrak{g}$ the eigenvalues of $\lambda(x)$ cannot be 0 as $\det(\lambda(x)) = N(x) \neq 0$. Hence $\lambda(x)$ is semisimple and we may write its eigenvalues as $\pm \varepsilon(x)$. Then $p_r(x) = 0$ for r odd while $p_{2s}(x) = 2\varepsilon(x)^{2s} = 2(-1)^s N(x)^s$. Thus

$$p_r(x) = \begin{cases} 0 & \text{if } r \text{ is odd} \\ 2(-1)^s N(x)^s & \text{if } r = 2s. \end{cases}$$

Thus the p_{2s} are invariant under G . Note that $p_{2s}(u) \neq 0$ for $u \neq 0$.

THEOREM 1. *The polynomials p_{2s} have the Airy property. The associated Airy function $A_{p_{2s}}$ is $O(|x|^{3/(2s-1)})$ at infinity on \mathfrak{g} .*

Remark. The method of proof is similar to that for the case of the real field but there are new features that make it difficult at this time to extend this result to other compact p -adic Lie groups. The growth estimate at infinity on \mathfrak{g} is surprisingly the same as in the real case.

The proof depends on the following two lemmas, the first of which is just the Weyl integration formula. For any $z \neq 0$ in \mathfrak{g} , let $\mathfrak{g}(z)$ be the set of all elements of \mathfrak{g} conjugate under G to a non-zero multiple of z . Now two elements y, y' of \mathfrak{g} are conjugate under G if and only if $N(y) = N(y')$ and so $\mathfrak{g}(z)$ consists of all elements y such that $N(y) \in N(z)K^{\times 2}$. Hence $\mathfrak{g}(z) \subset \mathfrak{g} \setminus \{0\}$ and is open, invariant, and closed in $\mathfrak{g} \setminus \{0\}$. Let G_z be the stabilizer of z in G and $\overline{G} = G/G_z$. We write dg (resp. $d\overline{g}$) for the normalized Haar measure on G (resp. \overline{G}).

LEMMA 1. *There is a constant $\gamma = \gamma(z) > 0$ with the following property. For any $f \in \mathcal{SB}(\mathfrak{g})$,*

$$\int_{\mathfrak{g}(z)} f(y) dy = \gamma \int_{K^\times} |t|^2 \varphi_f(tz) dt \quad \varphi_f(tz) = \int_G f(tg \cdot z) dg.$$

Proof. The proof is similar to the real case. We set up the analytic map φ of $K^\times \times \overline{G} \rightarrow \mathfrak{g}(z)$ given by $\varphi : (t, \overline{g}) \mapsto tg \cdot z$. Clearly φ is surjective. We claim that φ is 2:1 and $d\varphi$ is bijective. If $tg \cdot z = t'g' \cdot z$, then, taking norms, $t^2 = t'^2$, and $t = t'$ gives $\overline{g} = \overline{g}'$. On the other hand, if $h \in \text{SO}(\mathfrak{g})$ is such that $h \cdot z = -z$ (which is possible since $N(z) = N(-z)$), then hG_z is uniquely determined. Moreover, h normalizes G_z and so the map $\overline{g} \mapsto w(\overline{g}) := \overline{g}h$ is well defined and involutive. So, when $t' = -t$ we have $g' = w(\overline{g})$. The fiber of φ is $\{(t, \overline{g}), (-t, w(\overline{g}))\}$.

For proving the bijectivity of $d\varphi$, note that φ commutes with the actions of G on \overline{G} and $\mathfrak{g}(z)$, so that it is enough to check it at the points $(t, \bar{1})$. We shall identify the Lie algebra of G with \mathfrak{g} acting on itself by the adjoint action. Let $\{z_1 = z, z_2, z_3\}$ be an orthogonal basis for \mathfrak{g} ; then the commutation rules are easily checked to be $[z_i, z_j] = e_{ijk}z_k$ where (ijk) is an even permutation of (123) and $e_{ijk} \neq 0$. The tangent space to \overline{G} at $\bar{1}$ is identified with $\mathfrak{g}/K \cdot z \simeq Kz_2 \oplus Kz_3$ and so the tangent space of $\mathbf{Q}_p^\times \times \overline{G}$ at $(t, 1)$ is identified with \mathfrak{g} by sending $d/d\tau$ to z . If $D_t = (d\varphi)_{(t, \bar{1})}$ then

$$D_t : z_1 \mapsto (d/d\tau)_{\tau=0}((t + \tau)z_1) = z_1, \quad z_2 \mapsto t[z_2, z_1] = -e_{123}tz_3, \quad z_3 \mapsto e_{312}tz_2$$

so that $\det(D_t) = ct^2$ where $c \neq 0$. This proves the bijectivity and at the same time shows that the Jacobian of the map is ct^2 . The formula of Lemma 1 is now clear.

We use the form $(x, y) := \text{Tr}(xy)$ to define the Fourier transform on \mathfrak{g} . Note that $|(x, y)| \leq \alpha|x||y|$ for all $x, y \in \mathfrak{g}$ where $\alpha > 0$ is a constant.

LEMMA 2. *We can find integers $r_0 = r_0(z), A_0 = A_0(z) \geq 1$ such that*

$$I_r(z, x) := \int_{\{|y|=q^r\} \cap \mathfrak{g}(z)} \psi(p_{2s}(y) - (x, y)) \, dy = 0$$

for all $r \geq r_0, x \in \mathfrak{g}$ with $|x| \leq q^{(2s-1)r-A_0}$.

Proof. For r any half integer > 1 we apply Lemma 1 to the function

$$f(y) = \chi(|y|=q^r)\psi(p_{2s}(y) - (x, y)).$$

Here χ is the characteristic function of the set indicated. If $|z|=q^{a(z)}$ then $|tg \cdot z| = q^r \Leftrightarrow |t| = q^{r-a(z)}$ (note that this is possible only if $r - a(z)$ is an integer). Hence

$$\int_{\{|y|=q^r\} \cap \mathfrak{g}(z)} \psi(p_{2s}(y) - (x, y)) \, dy$$

is equal to

$$\int_{|t|=q^{r-a(z)}} |t|^2 \left(\int_G \psi(p_{2s}(tz) - (tx, g \cdot z)) \, dg \right) dt.$$

Now $p_{2s}(tz) = \beta t^{2s}$ where $\beta = p_{2s}(z) \neq 0$ while $(tx, g \cdot z) = \gamma(x, g)t$ where $|\gamma(x, g)| \leq \alpha|x||z|$. Hence the above integral, after inverting the order of integration, can be written as

$$q^{2(r-a(z))} \int_G \left(\int_{|t|=q^{r-a(z)}} \psi(\beta t^{2s} - \gamma(x, g)t) \, dt \right) dg.$$

By Proposition 3 of Section 4 (with $m = 1$) with s_0, B as in that proposition, the inner integral above is 0 if $r - a(z) \geq s_0, |\gamma(x, g)| \leq q^{(2s-1)(r-a(z))-B}$. As $|\gamma(x, g)| \leq \alpha|x||z|$, this condition is satisfied if $|x| \leq \alpha^{-1}|z|^{-1}q^{(2s-1)(r-a(z))-B}$ which is independent of $g \in G$. If we now choose r_0 such that $r_0 \geq s_0 + a(z)$ and A_0 such that $q^{-A_0} \leq \alpha^{-1}|z|^{-1}q^{-(2s-1)a(z)-B}$ we see that the inner integral is 0 if $r \geq r_0, |x| \leq q^{(2s-1)r-A_0}$ which is again a condition independent of $g \in G$. The lemma is now clear.

Proof of Theorem 1. We now observe that there is a finite set $F \subset \mathfrak{g}$ such that $\mathfrak{g} \setminus \{0\}$ is the disjoint union of the $\mathfrak{g}(z)$ for $z \in F$. To see this note that $N(tu) = t^2N(u)$ for $t \in K^\times, u \in \mathfrak{g}$ and so the image of the norm function on the non-zero part of \mathfrak{g} is a union of cosets $K^\times/K^{\times 2}$. But $K^\times/K^{\times 2}$ is finite and so there is a finite set $F \subset \mathfrak{g}$ such that for any $y \in \mathfrak{g}$ there are $z \in F, t \in K^\times$ such that $N(tz) = N(y)$, i.e., $y \in \mathfrak{g}(z)$. Hence

$$I_r(x) := \int_{\{|y|=q^r\}} \psi(p_{2s}(y) - (x, y)) \, dy = \sum_{z \in F} I_r(z, x).$$

By Lemma 2 we can then find positive integers r_1, A_1 such that $I_r(x) = 0$ for $r \geq r_1, |x| \leq q^{r-A_1}$. From this point onwards the argument is the same as before and leads to Theorem 1.

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