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## Title

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#### DISCLAIMER:

# Metagenomic Profiling Reveals Lignocellulose Degrading System in a Microbial Community Associated with a Wood-Feeding Beetle

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## 1 Metagenomic Profiling Reveals Lignocellulose Degrading System in a Microbial Community Associated

### 2 with a Wood-Feeding Beetle

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- 22 ABSTRACT:
- 23 The Asian longhorned beetle (Anoplophora glabripennis) is an invasive, wood-boring pest that
- 24 thrives in the heartwood of deciduous tree species. A large impediment faced by *A. glabripennis* as it
- 25 feeds on woody tissue is lignin, a highly recalcitrant biopolymer that reduces access to sugars and other
- 26 nutrients locked in cellulose and hemicellulose. We previously demonstrated that lignin, cellulose, and
- 27 hemicellulose are actively deconstructed in the beetle gut and that the gut harbors an assemblage of
- 28 microbes hypothesized to make significant contributions to these processes. While lignin degrading

29 mechanisms have been well characterized in pure cultures of white rot basidiomycetes, little is known 30 about such processes in microbial communities associated with wood-feeding insects. The goals of this 31 study were to develop a taxonomic and functional profile of a gut community derived from larval A. 32 glabripennis collected from infested host trees from an invasive population and identify genes that 33 could be relevant for the digestion of woody tissue and nutrient acquisition. To accomplish this goal, we 34 taxonomically and functionally characterized the A. glabripennis midgut microbiota through amplicon 35 and shotgun metagenome sequencing and conducted a large-scale comparison with the metagenomes 36 from a variety of other herbivore-associated communities. This analysis distinguished the A. 37 glabripennis larval gut metagenome from the gut communities of other herbivores, including previously 38 sequenced termite hindgut metagenomes. Genes for enzymes were identified in the A. glabripennis gut 39 metagenome that could have key roles in woody tissue digestion including candidate lignin degrading 40 genes (laccases, dye-decolorizing peroxidases, novel peroxidases and  $\beta$ -etherases), 36 families of 41 glycoside hydrolases (such as cellulases and xylanases), and genes that could facilitate nutrient recovery, 42 essential nutrient synthesis, and detoxification. This community could serve as a reservoir of novel 43 enzymes to enhance industrial cellulosic biofuels production or targets for novel control methods for 44 this invasive and highly destructive insect.

#### 45 **INTRODUCTION:**

Cellulose and hemicellulose represent some of the most abundant, renewable carbohydrate resources on the planet, comprising the largest natural source of fermentable sugars, which could be utilized for ethanolic biofuel production [1]. Despite the abundance of these polysaccharides, a major impediment to accessing fermentable sugars from these carbohydrates for large-scale industrial ethanol production is the presence of lignin [2], a stereotypically irregular, aromatic biopolymer comprised of phenylpropanoid aryl alcohol subunits and articulated by over 12 types of chemical bonds [3]. Highly 52 resilient  $\beta$ -aryl ether and carbon-carbon bonds constitute the majority of the linkages in hardwood 53 lignin, which are resistant to hydrolysis and difficult to disrupt. However, wood-feeding insects, in collaboration with their gut microbial communities, have the capacity to produce enzymes that facilitate 54 55 the degradation of lignocellulosic material [4,5]. Accordingly, these microbial communities constitute 56 unique ecosystems that may serve as reservoirs of novel proteins and enzymes that could be exploited 57 to enhance the efficiency of industrial biomass pre-treatment processes, decoupling lignin from wood 58 polysaccharides and facilitating access to fermentable sugars in cellulose and hemicellulose. Of recent 59 interest is the gut community of Anoplophora glabripennis [Order Coleoptera; Family Cerambycidae], an 60 invasive, xylophagous beetle that colonizes and feeds in a broad range of apparently healthy tree 61 species, including several genera commonly planted as short rotation biofeedstocks (e.g., Populus and 62 Salix) [6,7]. A large community of microbes capable of producing cellulolytic and hemicellulolytic 63 enzymes in the A. glabripennis midgut was previously described [8,9]. Analysis of A. glabripennis frass 64 also revealed the presence of lignin degradation products [8], suggesting that its gut microbial 65 community or the insect itself also harbors lignin degrading genes. The most dominant modification to 66 lignin detected in A. glabripennis was propyl side chain oxidation, a reaction associated with white rot 67 fungal lignin degradation that is not known to be catalyzed by bacterial- or animal-derived enzymes [10]. 68 White rot fungal isolates have not been previously detected in association with A. *glabripennis* using 69 either culture-dependent or culture-independent approaches [9,11-13], suggesting that the lignin-70 degrading capacity of this system is unique from well-characterized, pure-culture canonical fungal 71 systems. Therefore, the assemblage of microbes associated with the A. glabripennis midgut represents 72 an excellent candidate for mining novel lignocellulose degrading enzymes for biofuel applications.

Many members of the family Cerambycidae, including *A. glabripennis*, produce their own
endogenous cellulases (endoglucanases and β-glucosidases) and other plant cell wall degrading enzymes
[9,14-16]. However, interaction with microbes has been observed to enhance cellulase activities and is

76 hypothesized to enhance glucose release from cellulose in the guts of several beetle species, including 77 A. glabripennis [17]. For example, disruption of the gut microbiota induced by feeding on a cellulose-78 based artificial diet containing bacteriostatic and fungistatic agents results in a tangible reduction in 79 cellulase complex activity (endoglucanases, exoglucanases, and  $\beta$ -glucosidases) in the A. glabripennis 80 midgut [9]. In addition, insects and other herbivores are generally not capable of producing a full 81 arsenal of O-acetylglucuronxylan-degrading enzymes and they are also generally unable to utilize 82 pentose sugars present in xylan (e.g., D-xylose) without the aid of xylose-degrading microbes [18]. 83 Although animal-derived enzymes have been hypothesized to be involved in lignin degradation [19] and 84 an endogenous termite laccase was demonstrated to chemically modify lignin alkali and degrade lignin 85 phenolics in vitro [20], microbes living in the guts of wood-feeding insects also have the capacity to 86 produce enzymes that contribute or enhance endogenous ligninase activities supplied by host enzymes 87 [21,22]. Therefore, herbivorous animals, and specifically wood-feeding insects, likely benefit from 88 enzymes produced by microbes to facilitate the digestion of woody tissue.

89 Wood-feeding insects exploit a variety of strategies to liberate carbohydrates from recalcitrant 90 plant tissues and most wood-feeding insects maintain obligate associations with microbes. Associations 91 of microbes with wood-feeding insects occur through cultivation of wood-degrading fungi [23], direct 92 ingestion of fungal or bacterial enzymes [17], preferential feeding on compromised (stressed/decaying) 93 trees whose structural polysaccharides have been previously disrupted by environmental wood-94 degrading microbes [24], or endosymbiosis with wood-degrading microbes [25]. These microbial 95 affiliates are thought to make important contributions to lignocellulose digestion in a phylogenetically 96 diverse array of insects, including several beetle species where microbial fermentation products have 97 been detected in the gut [26]. Despite the associations between wood-feeding insects and microbes, 98 the fate of lignin and the lignin degrading abilities of the microbial communities associated with many 99 wood-feeding insects (with the exception of termites) [27] are largely uncharacterized; furthermore, no

lignin degrading genes or proteins outside the white rot basidiomycetes have been annotated in
metagenomes sampled from any wood-feeding insect microbial communities to date.

102 Wood-boring cerambycids harbor large communities of microbes, but little is known about their 103 metabolic potential, other than the role of yeast-like gut symbionts in the digestion of hemicellulose and 104 fermentation of xylose, which has been extensively studied [28]. Community profiling of wood-feeding 105 cerambycid guts has revealed a striking degree of diversity in terms of community richness. In general, 106 stenophagous insects with restricted host ranges tend to have less complex and more static gut 107 communities than polyphagous wood-feeding insects that can colonize a broad range of host tree 108 species and tend to have more diverse and plastic communities. This diversity and plasticity is 109 hypothesized to allow these insects to colonize and thrive in a broader range of host trees [11]. 110 Microbial community profiling of A. *glabripennis* larvae feeding in a variety of host tree species 111 demonstrated that the composition of the community was plastic and varied by host tree species [9]. 112 However, the composition of the A. glabripennis midgut bacterial community was distinct from the 113 wood bacterial community sampled from unforaged sections of the tree [12]. Also, members of the 114 Fusarium solani species complex 6 (group FSSC-6) have been consistently detected in the midguts of A. 115 glabripennis larvae collected from multiple geographic locations and multiple host tree species, as well 116 as larvae feeding on sterilized artificial diet [13]. These findings suggest that not all of the microbes 117 detected in the gut are acquired directly from the host tree.

The primary goals of this study were to provide a functional and taxonomic profile of the larval midgut microbial community of an invasive *A. glabripennis* population feeding on a preferred host (silver maple; *Acer saccharinum*) through next generation sequencing of small ribosomal subunit (SSU) amplicons and total DNA collected from the *A. glabripennis* midgut microbiota. Through this analysis, we compiled a suite of candidate genes found in the *A. glabripennis* microbial community whose 123 annotations are consistent with lignin-, cellulose-, and hemicellulose-degrading capabilities and other 124 genes that may have roles in nutrient synthesis and detoxification. These microbial genes are 125 hypothesized to make key contributions to the ability of this insect to attack and develop in a broad 126 range of healthy host trees [29,30]. We used a large-scale comparative metagenomic approach that 127 included metagenomes derived from herbivore communities, ranging from grass-feeding ruminants to 128 insects that thrive on highly complex woody substrates to demonstrate that the A. glabripennis midgut 129 metagenome was distinct from other host-associated metagenomes and could thus provide valuable 130 insights into the interactions between wood-feeding beetles and their microbial affiliates that contribute 131 to the digestion of woody tissue.

#### 132 METHODS:

#### **Preparation of Insect Cell Free DNA for Community Profiling and Shotgun Sequencing:**

134 Five fourth instar A. glabripennis larvae actively feeding in the heartwood of a preferred host 135 tree (Acer saccharinum; silver maple) were collected from a field site located in Worcester, MA and 136 were transported under permit conditions to a USDA-approved quarantine facility at The Pennsylvania 137 State University for dissection and processing. The sample collection was conducted at a field site that 138 was part of a United States Department of Agriculture's eradication effort. Permission by the United 139 States Department of Agriculture and by local authorities was obtained under the general permit 140 (P526P-12-02646) Insects were sterilized twice in 70% ethanol to remove surface-contaminating 141 microbes and residual ethanol was removed with a single rinse in sterile milliQ water. Insects were 142 dissected and guts were removed under sterile conditions. For this experiment, we chose to focus 143 exclusively on microbes associated with the midgut contents since this is the most prominent region in 144 the guts of cerambycids. To enrich the sample for microbial cells and exclude insect tissue, the insect-145 derived peritrophic matrix (PM) that surrounds and protects the food bolus was separated from the

midgut contents and DNA was extracted from microbes adhering to the food. DNA was extracted using
the Fast DNA Spin Kit for Soil (MP Biomedicals, Santa Ana, CA), which was chosen due to its abilities to
lyse cell walls from a variety of microbes and remove plant polysaccharides and other plant secondary
metabolites that can co-extract with DNA and interfere with downstream processes. DNA was
quantified using a Nano Drop 1000 spectrophotometer (Thermo-Scientific, Walthan, MA) and
approximately 1 µg of DNA was used for 16S/18S amplicon and shotgun (total DNA) 454 library
construction (Roche, Branford, CT).

# 454 Amplicon Pyrosequencing to Taxonomically Identify Microbes Associated with the A. glabripennis Midgut:

155 To identify the bacterial and fungal taxa found in association with the A. *glabripennis* midgut 156 and to confirm that this sample was successfully enriched for microbial DNA prior to shotgun 157 sequencing, a 16S/18S amplicon library encompassing the V6-V8 hypervariable region was constructed 158 using a set of primers designed to co-amplify both 16S bacterial rDNA and 18S fungal, insect, and plant 159 rDNA from positions 926F to 1392R [31]. The amplicon library was constructed following the 160 Department of Energy-Joint Genome Institute's Standard Operating Procedure. In brief, 20 ng of 161 genomic DNA were added to a PCR cocktail containing 6 µL 5X PCR buffer, 2 µL GC melt solution 162 (Clonetech, Mountain View, CA), 0.4 µL Taq Polymerase (Advantage 2 Polymerase, Clonetech, Mountain 163 View, CA), 0.4 μL 10 mM dNTPs (Fermentas, Pittsburgh, PA), 1 μL 25 nM forward primer (926F: 5'-164 <u>CCTATCGGGTGTGTGCCTTGG</u>CAGTCTCAGAAACTYAAAKGAATTGACGG<u>-</u>3') and 1 µL 25 nM reverse primer 165 (1392R: 5'-CCATCTCATCCCTGCGTGTCTCCGACTCAGCTACTACGGGCGGTGTGTGC-3'. GC melt solution 166 (Clonetech, Mountain View, CA) and Advantage 2 Polymerase (Clonetech, Mountain View, CA) were 167 used to improve amplification efficiency of templates with high GC content. Primers were constructed 168 using the standard 454 Titanium adaptor sequence (underlined) and a five base-pair barcode 169 incorporated into the reverse primer (bold). PCR thermal cycling conditions included an initial

170 denaturation for three minutes at 95°C followed by 25 cycles of 95°C for 30s, 50°C for 45s, and 72°C for 171 90s and a final extension at 68 °C for 10 minutes. Product quality was assessed by agarose gel 172 electrophoresis and the final product was purified using SPRI beads and quantified using the Quant-IT 173 dsDNA Assay on a Qubit fluorimeter (Life Technologies, Carlsbad, CA). Approximately 7,000 reads were 174 sequenced using 454 Titanium chemistry (Roche, Branford, CT). High quality reads greater than 250 bp 175 in length were clustered into operational taxonomic units (OTUs) at 97% similarity and rarefaction 176 curves and richness estimates were computed using the program mothur (version 1.2.22) [32]. Putative 177 chimeras were identified using UCHIME [33] and were omitted from the analyses. Sequences for 178 representative OTUs were compared to the non-redundant nucleotide database using BLASTN (BLAST-179 2.2.23) [34] with an e-value threshold of 0.00001 to determine whether the OTU was of bacterial, 180 fungal, insect, or plant origin. Bacterial reads were classified using Ribosomal Database Project (RDP) 181 Classifier [35], with an 80% confidence threshold for taxonomic classifications; sequences classified as 182 mitochondrial or chloroplast in origin were omitted from the analysis. Fungal reads were classified by 183 comparison to the non-redundant nucleotide database using BLASTN (BLAST-2.2.23) with an e-value 184 threshold of 0.00001 followed by MEGAN classification [36] of the top ten blast alignments using the 185 least common ancestor algorithm. Alignments to unidentified or uncultured fungi were removed from 186 BLAST results prior to MEGAN classification. Plant- and insect-derived OTUs were excluded from the 187 analysis. Representative sequences of each bacterial OTU were aligned with ClustalW and were 188 trimmed to 250 bp in length for phylogenetic reconstruction using Garli (version 2.0) [37]. TIM1 + I + G 189 was chosen as the optimal evolutionary model by jModelTest [38] and 500 bootstrap replicates were 190 compiled to generate a consensus tree. High quality 454 amplicon reads are deposited in the NCBI 191 Sequence Read Archive (SRA) under the accession number SRR767751.

Phylogenetic Binning and Functional Analysis of *A. glabripennis* Midgut Microbiota Using Shotgun 454
 Pyrosequencing:

194 454 shotgun libraries were constructed using a modified version of the 454 standard library 195 protocol. In brief, 500 ng of DNA were sheared using a sonicator (Covaris, Woburn, MA) and fragments 196 ranging from 500 to 800 bp were size selected using ampure beads. DNA fragments were end-polished, 197 purified, and ligated to 454 Titanium adapters. A fill-in reaction was performed and the ssDNA template 198 was isolated, purified, and prepared for emulsion PCR (emPCR). Additional cycles were added to the 199 emPCR protocol to linearly amplify 454 adapter-ligated DNA from low yield DNA extractions. A previous 200 study comparing metagenome libraries prepared with additional emPCR cycles to libraries prepared 201 with standard numbers of emPCR cycles revealed no substantial amplification biases in libraries prepared with extra emPCR cycles (unpublished data). Based on this study, we suspect that no major 202 203 biases were introduced using this approach. A total of 1.25 million shotgun reads (382 Mb) were 204 sequenced at the DOE-Joint Genome Institute using 454 Titanium chemistry (Roche, Branford, CT). Raw 205 reads are deposited in the NCBI Sequence Read Archive under the accession number SRR767751.

206 Initially, reads were assembled using Newbler (Roche, Branford, CT), but the midgut community 207 was diverse, containing 166 bacterial OTUs and 7 fungal OTUs and the sequencing depth per OTU was 208 too low to generate a high quality assembly. Consequently, the N50 contig length was low (< 1000 bp), 209 coverage across contigs was not uniform. There was also significant possibility of generating chimeric 210 contigs consisting of reads from more than one bacterial (Table 1) [39]. We felt the slight improvement 211 in contig sequence length versus raw read length was outweighed by these assembly issues; therefore, 212 rather than using assembled contigs, high quality shotgun reads were treated as individual gene tags, 213 which were used for annotations (with the exception of comparisons to other metagenome 214 communities and candidate lignin degrading gene comparisons, in which assembled reads were used to 215 maintain consistency with the other datasets). For annotation and analysis of the unassembled reads, 216 low quality reads with mean quality scores below 20, reads containing repetitive regions, and reads less 217 than 150 bp in length were excluded from the dataset. Tags originating from non-coding RNAs,

including tRNAs and rRNAs, were detected with tRNA-Scan [40] and HMMer using HMM profiles for
prokaryotic, eukaryotic, and archaeal small subunit and large subunit rRNAs [41,42]. While tRNAs were
filtered out of the dataset and were not utilized in downstream functional analyses, small subunit (16S
and 18S) rRNAs detected were taxonomically classified by alignment to the SILVA SSU database [43] to
detect additional bacterial and fungal taxa that may not have been detected with 454 amplicon analysis
due to primer inefficiencies or biases. After filtering and removing non-coding RNAs, 1.06 million reads,
ranging in length from 150 to 1050 bp, remained (mean read length: 350 bp).

225 454 library adapters and low quality ends were trimmed from the remaining reads. Individual 226 reads were annotated by BLASTX comparisons to the non-redundant (NR) protein database [34] using an 227 e-value cutoff of 0.00001 and were taxonomically classified using MEGAN (MEtaGenome ANalyzer) [36] 228 least common ancestor classification based on the top 10 BLAST alignments for each read. Reads 229 predicted to originate from bacterial or fungal taxa were also uploaded to the MG-RAST server [44] for 230 gene prediction and assignment to SEED subsystems. Reads were also functionally categorized via an 231 RPS-BLAST comparison [45] to the Clusters of Orthologous Gene (COG) database [46]. Reads were also 232 assigned to Gene Ontology (GO) terms [47] and classified to KEGG enzyme classes [48] using BLAST2GO 233 [49]; furthermore, reconstruction of metabolic pathways was conducted using MinPath (Minimal set of 234 Pathways) parsimony analysis [50] of KEGG Orthology (KO) assignments. BLAST results were 235 corroborated by 6-frame translation followed by functional domain analysis using a HmmSearch [41] 236 comparison to the Pfam A database [51]. CAZyme (Carbohydrate active enzyme) [52] carbohydrase 237 family classifications are based on Pfam domain assignments.

#### 238 **Comparisons to Other Herbivore-Related Metagenomes:**

Pfam domains from the *A. glabripennis* metagenome assembly (contigs and un-assembled
 singleton reads) were compared to domains from assembled (contigs and unassembled singletons)

241 metagenome data sampled from communities associated with herbivores feeding on a diversity of 242 plants that varied in carbohydrate and lignin composition. Pfam functional domains were chosen for 243 comparative analysis because they are relatively short in length which increases the likelihood that they 244 will be correctly identified in single sequence reads. Therefore, detection and subsequent annotation of 245 these domains are less likely to be influenced by assembly contiguity, which varied between the 246 metagenome libraries. Annotated Pfam domains were obtained from the JGI IGM/M database for 247 microbial communities associated with 1) herbivores that feed on a variety of plant tissues: panda, 248 reindeer, honey bee, attine ant fungal garden, and wallaby; 2) insects that feed only on phloem and/or 249 xylem tissue: Dendroctonous frontalis galleries and guts, Dendroctonous ponderosae galleries and guts, 250 *Xyleborus affinis* galleries and guts (larval and adult); and 3) **insects that feed only in woody tissue**: 251 Amitermes wheeleri hindgut, Nasutitermes sp. hindgut, Sirex noctilio fungal gallery, and a community 252 affiliated with Trichonympha protist symbionts of termites collected from Los Padres National Forest, 253 CA. The Pfam compositions of these communities were compared to the Pfam composition of the 254 Anoplophora glabripennis midgut community. For each community, data were normalized by total 255 number of Pfam domains detected, weighted by contig depth when assembly information was available, 256 and a compositional dissimilarity matrix was constructed based on Euclidean distance. For unassembled 257 singleton reads, a contig depth of 1 was assumed. Samples were subjected to cluster analysis using 258 Ward's method. Further, the standardized data were also analyzed using unconstrained Principal 259 Components Analysis to plot samples in multidimensional space. PCA ordination was selected because 260 the data were determined to be linear by detrended correspondence analysis (DCA) (Beta diversity <4). 261 Partially constrained redundancy analysis (RDA), removing effects of library size, did not significantly 262 change the ordination, indicating that differences in library sizes do not significantly influence the 263 ordination. All multivariate comparisons and ordinations were performed using the R statistical package 264 with 'vegan' and 'cluster' libraries.

#### 265 **RESULTS AND DISCUSSION:**

#### 266 **Taxonomic Classification of OTUs and Shotgun Reads:**

267 Approximately 6.7% of the total shotgun reads were classified to class Hexapoda while 268 approximately 0.2% of the total shotgun reads were classified as plant, indicating that the metagenome 269 library was comprised predominantly of microbial DNA. Amplicon sequencing identified approximately 270 seven distinct fungal OTUs and 166 bacterial OTUs using a 97% similarity threshold in mothur, while only 271 a single insect OTU (2% of the total amplicons) and a single plant OTU (0.53% of the total amplicons) 272 were detected. Overall, fungal reads outnumbered bacterial reads, which could be attributed to a 273 higher relative abundance of fungal taxa in the midgut or to preferential amplification of fungal 274 amplicons with the 926F/1392R primers used in this study, as this dominance is not reflected in the 275 shotgun sequencing data.

276 OTU taxonomic classification with RDP classifier detected the presence of 166 OTUs in seven 277 bacterial phyla in the midgut community including Actinobacteria (30 OTUs), Bacteroidetes (29 OTUs), 278 Chlamydiae (1 OTU), Firmicutes (14 OTUs), Proteobacteria (80 OTUs), candidate phylum TM7 (3 OTUs), 279 and Verrucomicrobia (5 OTUs), while four OTUs could not be conclusively assigned to any previously-280 characterized bacterial phyla. Rarefaction analysis and Chao richness estimates predict the presence of 281 over 350 bacterial OTUs (95% confidence interval range: 266-517 OTUs), demonstrating that deeper 282 sampling of amplicon data may result in the detection of additional less abundant bacterial taxa (Figure 283 1 and Table 2). The most taxonomically-diverse phylum in terms of OTU richness was Proteobacteria, 284 containing 80 distinct OTUs assigned to 22 different families. At the class level, 15 different bacterial 285 classes were identified and the midgut community was dominated by six taxonomic classes (Figure 2 286 and Table 3). Overall, the single most-prevalent OTU, which comprised over 21% of the bacterial 287 amplicons, was a member of the family Leuconostocaceae that could not be classified to genus level by 288 RDP. Comparison of this OTU to 16S sequences curated in the RDP database revealed that it had highest 289 nucleotide similarity to bacteria in the genus *Leuconostoc*. Other predominant OTUs were assigned to 290 the family Enterobacteriaceae (8.4% bacterial amplicons), the family Microbacteriaceae (8.3% bacterial 291 amplicons), and to the higher phylum Actinobacteria (9.3% bacterial amplicons). Many OTUs could not 292 be definitely assigned to low taxonomic levels, suggesting that the A. glabripennis midgut microbiota 293 may serve as a reservoir for novel microbes. With the exception of the higher overall abundance of 294 fungal 18s OTUs relative to bacterial 16s OTUs, the results of OTU abundance and classification were 295 corroborated by phylogenetic binning of shotgun reads, which is less impacted by amplification biases 296 relative to PCR-based approaches (Figure S1).

#### 297 Identification of Cellulose-, Hemicellulose- and Aromatic Compound- Degrading Bacterial Taxa:

298 Several genera of bacteria were detected in the A. glabripennis midgut community that have 299 been previously implicated in the degradation of lignocellulose, hemicellulose, and other aromatic 300 hydrocarbons, including the following lignocellulose degrading bacteria previously isolated from the A. 301 *glabripennis* midgut on carboxymethylcellulose-containing media or detected previously through 16S 302 analyses: Brachybacterium, Bradyrhizobium, Cornyebacterium, Rhizobium, Pseudomonas, 303 Sphingomonas, and Xanthamonas [9,12]. Furthermore, the midgut community sampled for this study 304 strongly resembles the taxonomic compositions of larval gut communities previously sampled from 305 insects feeding in Acer saccharinum in a separate population (Brooklyn, NY) [9] and from beetles 306 collected in China [11], suggesting a consistent relationship between these microbial taxa and A. 307 *qlabripennis*. Of significance is that, unlike the termite and other herbivore-associated gut communities, 308 the microbiota associated with the A. glabripennis midgut is dominated by aerobes and facultative 309 anaerobes with very few obligate anaerobic taxa. To date, all characterized large-scale lignin degrading 310 reactions require oxygen and have only been demonstrated in aerobic environments [53], such as the A. 311 *glabripennis* midgut [11].

#### 312 Identification of Fungal Community:

313 Fungi are frequently encountered in guts of wood feeding insects [54], including A. glabripennis 314 [13]; however, in contrast to the bacterial community, the fungal community is considerably less 315 diverse, containing approximately 7 distinct OTUs. Rarefaction analysis and richness estimates predict 316 18 fungal OTUs (95% confidence interval: 8-31 OTUs) (Figure 3). Compared to the 16S region in 317 bacteria, 18S regions in fungi display considerably less heterogeneity [55], even among distant relatives 318 and an accurate assessment of fungal diversity in the A. glabripennis midgut may be underestimated. 319 All fungal taxa detected belonged to the phylum Ascomycota, confirming a low abundance or complete 320 absence of white-rot basidiomycetes in the midgut microbiota. All of the fungal taxa detected were 321 yeasts assigned to the family Saccharomycetaceae. However, most could not be conclusively classified 322 to genus level with MEGAN, but had highest-scoring BLAST alignments to the genera Issatchenika (3) 323 OTUs; 58% total fungal amplicons) and Saccharomyces (1 OTU; 36% total fungal amplicons). The three 324 other fungal OTUs were present as singletons and had highest-scoring BLAST alignments to the fungal 325 genera Geotrichum, Pichia, and an unclassified member of the family Archaeosporaceae. Many of these 326 genera are phylogenetically close relatives to yeasts isolated from the guts of other wood-feeding 327 cerambycid beetles [56], which are often capable of processing hemicellulose and fermenting xylose 328 into ethanol, but are not known to degrade lignin or cellulose. Many wood- and plant-feeding insects, 329 such as leaf-cutter ants [57], wood wasps [58], bark beetles [59] and some termite species [60] maintain 330 obligate external associations with non-yeast filamentous basidiomycete and ascomycete fungi and 331 directly inoculate fungal isolates into their food sources, where they facilitate pre-digestion of 332 lignocellulose and serve other nutrient-provisioning roles. These strategies substantially reduce the 333 carbohydrate complexity and lignin content of the food substrate prior to ingestion by the insect. In 334 contrast A. glabripennis constitutively harbors a filamentous ascomycete belonging to the Fusarium 335 solani species complex within its midgut [13]. Multilocus phylogenetic analysis of this isolate collected

336 from several geographic populations revealed that the isolates harbored in the beetle gut are distinct 337 from other previously characterized members of the F. solani species complex. Moreover, this fungus 338 could be detected in colony-reared insects feeding on sterile diet [13], suggesting that this fungus is 339 intricately associated with the gut. Though F. solani was not detected in the 18S fungal amplicon data, F. 340 solani has been cultivated previously from A. glabripennis beetle guts collected at this field site [13]. 341 This low abundance of *F. solani* reads in the amplicon libraries is likely due to excluding the peritrophic 342 matrix from the sample as F. solani is likely associated with the gut wall tissue. Members of the 343 Fusarium species complex are metabolically versatile and often harbor lignin peroxidase and other 344 ligninase homologs [61], which suggests contributions to these processes in the A. glabripennis midgut 345 [62].

#### **Functional Profiling of Reads Generated through 454 Shotgun Sequencing:**

347 Approximately 65% of the high quality 454 reads generated had BLASTX matches to proteins 348 from the non-redundant protein database at an e-value of 0.00001 or lower. Of these reads, 349 approximately 79% had best alignment scores to annotated proteins, while the remaining 21% had 350 highest scoring BLAST alignments to hypothetical or uncharacterized proteins. Overall, the most 351 abundant BLAST and Pfam domain assignments associated with the midgut microbial community 352 belonged to ABC transporters, major facilitator transporters, alcohol dehydrogenases, and aldehyde dehydrogenases. Functional categorization of shotgun reads by both COG and SEED assignments 353 354 predicted that the majority of the reads originated from pathways involved in the metabolism of 355 carbohydrates and amino acids (Figure 4). Annotation statistics are summarized in Table 4 and 356 annotations are publically available through MG-RAST at <u>http://metagenomics.anl.gov/</u> under the 357 identification number 4453653.3 and JGI IMG/M at http://img.jgi.doe.gov/m/ under project ID 358 Gm00068.

359 **Comparison of Functional Domains from Other Herbivore Associated Microbial Communities:** 

360 Hierarchical agglomerative cluster analysis based on Pfam abundances from herbivore-361 associated metagenomes did not appear to group the microbial communities based on the taxonomic 362 relatedness of their herbivore hosts (Figure 5). Although many of the beetle gut communities and fungal 363 gallery communities are derived from closely related beetles and cluster together, several notable 364 exceptions suggest that factors other than taxonomic relatedness contribute to the hierarchical 365 clustering pattern observed. For example, although A. glabripennis (Order Coleoptera) and S. noctilio 366 (Order Hymenoptera) belong to two different insect orders, their microbial communities can be found in 367 the same group in the cluster analysis, suggesting that they share similarities in microbial metabolic 368 capabilities. Additionally, the two hymenopterans included in this comparison (honey bee and Sirex) fall 369 into two distant clusters. However, a clear division between gut communities and fungal gallery 370 communities is apparent, with the exceptions of the ant fungal garden, which clustered with the 371 herbivore gut communities and was previously hypothesized to function as an external rumen [63]. The 372 A. glabripennis midgut community is also an exception as it clustered with the fungal gallery 373 communities. Interestingly, many of the fungal gallery communities that cluster with the A. glabripennis 374 metagenome are hypothesized to have lignin degrading capabilities, which is in contrast to the of ant 375 fungal garden community. While cellulose and hemicellulose were preferentially degraded in the fungal 376 gardens, lignin remained relatively unscathed and was ultimately discarded by the insects [63]. The 377 same pattern of cell wall digestion has also been observed in the rumens of many grass-feeding 378 herbivores [64]. Although fungal communities cultivated by bark beetles [65] are primed to synthesize 379 nutrients and detoxify plant secondary metabolites [66], penetration of the lignin barrier enhances 380 access to cellulose and hemicellulose present in both phloem and xylem tissues where bark beetles 381 feed. Although the fate of lignin in the majority of these systems is unclear, lignin degradation and 382 aromatic compound metabolism have been demonstrated in a Fusarium solani fungal gallery strain

383 associated with xylem-feeding ambrosia beetles (e.g., *Xyleborus*) [67]. Thus, the fungal gallery 384 communities associated with these phloem and xylem feeding beetles have the potential to harbor 385 lignin degrading genes capable of degrading woody tissue. The final cluster in our analysis contains 386 microbial communities associated with insects feeding on heartwood and includes the A. glabripennis 387 midgut and Sirex wood wasp fungal gallery communities. Notably, these wood-feeding communities are 388 relatively distant from those associated with the other herbivore guts or the other fungal gallery 389 communities included in this comparison, suggesting that these communities may harbor genes that 390 encode enzymes optimized for breaking down complex and recalcitrant woody tissue. Like A. 391 glabripennis, the Sirex fungal gallery community is also capable of disrupting lignin polymers and the 392 community contains a lignin degrading white rot fungus belonging to the genus Amylostereum, which 393 produces manganese peroxidases and laccases [68].

394 The groupings detected through hierarchical cluster analysis are also supported by Principal 395 Components Ordination (Figure 6). The X-axis separates the majority of the gut communities from the 396 gallery communities with the notable exception of the A. *qlabripennis* midgut, which is clearly distinct 397 from the other gut metagenomes and was placed close to the Sirex fungal gallery microbiome. The Y-398 axis separates fungal gallery communities associated with phloem-feeding herbivores from woodfeeding herbivores that bore deep into the heartwood. Although both Sirex and A .glabripennis insects 399 400 feed in similar regions of their host trees, Sirex has a limited host range relative to A. glabripennis and 401 feeds exclusively on the genus Pinus [69]. In contrast, A. glabripennis has a much broader host range 402 and feeds in the heartwood of over 25 deciduous tree species in the United States 403 (http://www.aphis.usda.gov/plant health/plant pest info/asian lhb/downloads/hostlist.pdf) and 47 404 tree species in its native range [30]. These subtle differences in lifestyle are also reflected in the PCA

405 ordination. Although the A. glabripennis midgut community is most similar to the Sirex fungal gallery

406 community, the distance between these two metagenomes is still quite significant and could be partially
407 driven by differences in host range breadth and environment (e.g. gut vs. gallery).

408 Candidate Genes for Lignin Degrading Enzymes

409 Genes encoding enzymes that have been previously implicated in lignin degradation were 410 identified in the microbiomes affiliated with both the midgut of A. glabripennis and the fungal gallery 411 communities, and may be partially responsible for driving the grouping of these communities in the 412 hierarchical analysis (Table S1). This is in contrast to the results of a recent comparative metagenomic 413 study that concluded host-associated communities lacked the metabolic potential to degrade lignin [86], 414 and may indicate that the A. glabripennis midgut community represents an exception. A number of 415 bacterial and fungal reads with copper oxidase (Cu oxidase) Pfam domains were detected in the A. 416 *glabripennis* midgut, which could have laccase-type activity *in vivo* [70]. While many of these reads had 417 corresponding BLAST assignments to laccases, multicopper oxidases, and polyphenol oxidases, a large 418 number of the annotations were to hypothetical proteins and could represent novel and previously 419 uncharacterized laccase-type enzymes. While laccases do not endogenously have a high enough redox 420 potential to cleave major linkages in polymeric lignin [71], their activity can be enhanced in the presence 421 of natural redox mediators [72] and, they are capable of disrupting  $\beta$ -aryl ether bonds under these 422 conditions. B-aryl ethers represent the most dominant linkage in hardwood lignin and as a 423 consequence, disruption of these linkages represents a critical step in lignin degradation [73].

A number of other extracellular peroxidases that are often highly expressed by lignin degrading microbes during periods of active lignin degradation were also detected. These include iron-dependent peroxidases, thiol peroxidases, and a number of other uncharacterized peroxidases. The potential participation of these peroxidases in large-scale lignin degradation is also supported by the detection of a number of peroxide-generating enzymes containing predicted leader sequences for extracellular 429 targeting. These included aryl alcohol oxidases, FAD oxidoreductases, glyoxal oxidases, GMC
430 oxidoreductases, and pyranose oxidases.

431 Bacterial dye-decolorizing peroxidases, also known as dyp-type peroxidases, were detected in 432 association with the A. glabripennis midgut microbiota and microbial communities associated with other 433 wood-feeding insects, and have previously been shown to cleave  $\beta$ -aryl ether linkages in both syringyl 434 and guaiacyl lignin in a hydrogen peroxide dependent manner [74]. While there is some evidence that 435 manganese may act as a diffusible redox mediator in some bacterial dyp-type peroxidases [74], not all  $\beta$ -436 aryl ether cleaving peroxidases have identifiable manganese binding sites and thus, manganese may 437 enhance the activity of a subset of these peroxidases [75]. Furthermore, reads for another set of  $\beta$ -aryl 438 ether degrading enzymes were also discovered, which have been shown to catalyze the cleavage of 439 these bonds in a glutathione-dependent manner. These enzymes were classified as  $\beta$ -etherases or 440 glutathione-S-transferases [76]. In order to cleave  $\beta$ -aryl ether linkages, these enzymes first require oxidation of the  $C_{\alpha}$  primary alcohol by aryl alcohol dehydrogenase (or  $C_{\alpha}$  dehydrogenase) to generate a 441 442 ketone group. The presence of a ketone group immediately adjacent to the ether linkage increases the 443 polarity of the ether bond, allowing the ether bond to be easily cleaved by  $\beta$ -etherase, using glutathione 444 as a hydrogen donor [77]. However, these GST ( $\beta$ -etherase) functional domains were not exclusively 445 present in candidate lignin degrading genes [78] and are also associated with genes involved in 446 detoxification (i.e., glutathione s-transferases) [79]. Therefore, only a subset of the GST domain proteins 447 reported in this analysis are lignin degrading candidates. The role of dyp-type peroxidases and  $\beta$ -448 etherases in polymeric lignin degradation has yet to be clarified. While some bacteria harboring these 449 genes can cleave β-aryl ether linkages in dimeric lignin model compounds and Kraft and wheat straw 450 lignin, their ability to catalyze degradation of an intact biopolymer from woody plants is unknown [80].

451 Of significance is that the majority of the lignin degrading genes present in the A. glabripennis 452 midgut community are either absent or present in very low abundances in the communities associated 453 with herbivore guts, including, panda, reindeer, honey bee, and wallaby and termites. This finding 454 suggests that these herbivore communities may have alternate genes and mechanisms that could have 455 lignin degrading roles in vivo or that some of these gut-associated communities lack lignin degrading 456 capabilities altogether. In contrast, these lignin degrading candidates were highly abundant in the 457 communities associated with wood-feeding insects, including the Sirex fungal gallery and A. glabripennis 458 midgut. Consistent with their hypothesized role in the pre-digestion of lignocellulose for phloem-459 feeding insects, many lignin-degrading candidates were also found in high abundances in the fungal 460 galleries of phloem feeding bark beetles. Although small subsets of these lignin degrading genes were 461 also detected in guts of phloem feeding insects, these genes are likely environmentally derived and were 462 acquired by feeding on the fungal gallery inoculum or they may also be encoded by microbes housed in 463 the gut. Notably, peroxidases and extracellular hydrogen-peroxide generating enzymes were 464 overrepresented in the A. glabripennis midgut community relative to other communities included in this 465 analysis, suggesting that this community may have alternative pathways for degrading core lignin. 466 Despite the high abundances of putative laccases, dyp-type peroxidases, and hydrogen peroxide 467 generating enzymes (FAD oxidases and GMC oxidoreductases) in the fungal gallery communities and the 468 A. glabripennis midgut community, another class of putative lignin degrading enzymes (aldo-keto 469 reductases: AKRs) were well represented in the termite gut communities, the tamar wallaby gut 470 community, a subset of the fungal gallery communities (e.g. Xyleborus, DP Fungal Alberta (hybrid), DP 471 Fungal Alberta, and DF Fungal Mississippi), and the A. glabripennis midgut community. An endogenous 472 termite AKR capable of degrading lignin phenolics and enhancing sugar release from pine sawdust was 473 recently characterized [81] and subsets of microbial AKRs can act as  $C_{\alpha}$  dehydrogenases, which can work 474 in conjunction with  $\beta$ -etherases to cleave  $\beta$ -aryl ethers [77]. Microbial AKRs are well represented in the

475 termite gut communities and have the potential to collaborate with host-derived AKRs to enhance 476 ligninase activity in the gut. Interestingly, microbial AKRs are overrepresented in the A. glabripennis gut 477 community relative to most other communities included in the comparison and have the potential to 478 make contributions to digestion of lignin in this system. Taken together, we hypothesize that the A. 479 glabripennis midgut metagenome has a lignin degrading capacity distinct from the termites and other 480 herbivore associated communities that could be prospected for biotechnology purposes. This possibility 481 is supported by the fact that biochemical modifications to lignin detected in the gut of a lower termite 482 (Zootermopsis angusticollis) were different than the lignin modifications detected in the A. glabripennis 483 gut [8].

#### 484 Candidate Genes for Cellulases and Carbohydrases

485 Although many of reads with predicted involvement in carbohydrate digestion are involved in 486 core metabolic pathways, such as glycolysis, many also were annotated by BLAST as accessory enzymes 487 that can digest cellulose and other plant cell wall carbohydrates. For example, reads were classified into 488 36 different glycoside hydrolase (GH) families based on a combination of Pfam domain and KEGG 489 enzyme class assignments (Figure 7). The most abundant CAZyme (Carbohydrate Active Enzyme) 490 families detected were represented by families GH 1 and GH 3 and their associated KEGG EC 491 assignments are presented in Table 5. The majority of these GH 1 and 3 enzymes were predicted to 492 encode  $\beta$ -glucosidases. KEGG E.C. assignments for all GHs detected in the *A. glabripennis* midgut 493 metagenome can be found in Table S2.

Many of these GH families could have key roles in processing cellulose, hemicellulose, and other
plant polysaccharides in the *A. glabripennis* midgut. Of particular interest are cellulases
(endoglucanases, exoglucanases, and β-glucosidases) that could augment the activities of cellulases
inherently produced by *A. glabripennis*, enhancing the release of glucose from this highly insoluble and

498 indigestible polysaccharide. Microbial cellulases detected in the A. glabripennis midgut metagenome 499 were classified to seven different GH families, including GH 1, GH 3, GH 5, GH 6, GH 9, GH 45, and GH 61 500 and their corresponding KEGG E.C. assignments suggest the presence of all enzymes necessary to 501 liberate glucose from cellulose. We hypothesize that these microbial derived cellulases can collaborate 502 with host enzymes to enhance cellulase activity in the midgut of A. glabripennis. Alternatively, the 503 overabundance of microbial-derived  $\beta$ -glucosidases may also allow microbes associated with the gut to 504 exploit cellulose degradation products released by endogenous beetle cellulases secreted into the gut; 505 however, the interactions among the beetle and its gut microbes are likely diverse, intricate, and 506 dynamic and explanation of why these  $\beta$ -glucosidases are overrepresented in this community cannot be 507 fully determined without further investigation. Additionally, reads with highest BLAST scores to 508 components of cellulosomes and other proteins with carbohydrate binding motifs that facilitate binding 509 to the cellulose substrate, allowing hydrolytic enzymes to act processively and efficiently to release 510 cellobiose and other cello-oligomers.

#### 511 Candidate Genes for Xylose Utilization and Fermentation

512 GH families involved in processing hemicellulose were also detected; in general, the structure of 513 hemicellulose is significantly more heterogeneous in comparison to cellulose and is comprised of a 514 matrix of polysaccharides including xylan, glucuronoxylan, arabinoxylan, glucomannan, and xyloglucan. 515 The heterogeneity both in terms of subunit and linkage composition signifies that degrading this 516 prominent group of cell wall polysaccharides requires a greater diversity of enzymes, although xylan and 517 xyloglucans are the dominant hemicellulose polysaccharides in woody plants [82]. Not surprisingly, a number of GH families involved in breaking  $\alpha$ - and  $\beta$ -linkages in xylan and xyloglucans were detected in 518 519 the metagenome, including GH families 5, 8, 10, 11, 26, 39, and 43.

520 Sugar monomers liberated from xylan can be efficiently metabolized by the midgut microbiota 521 (Figure 8). Of particular importance is the ability to process xylose and arabinose as mechanisms for 522 insect utilization of plant-derived pentose sugars have not been reported [28] and these sugars are 523 inherently difficult to ferment on an industrial scale. Enzymes from both bacterial and fungal xylose 524 isomerase pathways are well represented in the shotgun data to convert D-xylose into D-xylulose-5-525 phosphate [83]. D-xylulose-5-phosphate can be processed via the pentose phosphate pathway to 526 produce glyceraldehyde-3-phosphate and fructose-6-phosphate, which can enter the glycolysis pathway 527 [84]. Ultimately, pyruvate produced through glycolysis can be converted to acetaldehyde by pyruvate 528 decarboxylase [85] and then to ethanol by alcohol dehydrogenase. Alternatively, acetaldehyde can be 529 oxidized to acetate by acetaldehyde dehydrogenase [86], which can be used as the building blocks for 530 fatty acid production. Although arabinose is a minor constituent of hemicellose in woody plants, it can 531 be converted to D-xylulose-5-phosphate by L-arabinose isomerase and L-ribulokinase where it can be 532 further processed by the pentose phosphate and glycolysis pathways to generate fermentable products 533 [87]. All enzymes required to convert xylose and arabinose to ethanol (or acetate) are present in the A. 534 glabripennis midgut community. Thus, this community could serve as a reservoir for novel enzymes that 535 could be exploited to enhance industrial xylose fermentation.

#### 536 Candidate Genes for Pectin Degrading Enzymes

Liberation of sugar monomers from both cellulose and hemicellulose is greatly enhanced when
bonds crosslinking these compounds to pectin and lignin are disrupted, releasing polysaccharide termini
and promoting easy access by processive hydrolytic enzymes. Pectin is a polysaccharide comprised
primarily of α-galacturonic acid residues and it is often esterified to hemicellulosic and cellulosic
polysaccharides in heartwood [88]. Degradation of pectin catalyzed by GH 28 polygalacturonases,
pectin lyases, pectin esterases, and pectin acetylases and the disruption of ester linkages between

pectin and other structural polysaccharides by carboxylesterases, esterases, and acetyl xylan esterases produced by members of the *A. glabripennis* midgut community could indirectly facilitate cellulose and hemicellulose digestion by exposing polysaccharide termini to hydrolytic enzymes. Galacturonic acid residues released from this polysaccharide can be used as an energy source by the gut microbial community or *A. glabripennis* as microbial pathways involved in processing galactose and galacturonic acid were detected and pathways involved in galactose utilization have been previously described in beetles [89].

550 Candidate Genes for Nutrient Acquisition and SynthesisNutrients are extremely scarce in the 551 heartwood where the later instars of A. glabripennis feed. For example, nitrogen is limiting in woody 552 biomass [90] and nitrogen sources originating from plant cell wall proteins are intricately cross-linked 553 with recalcitrant plant cell wall polysaccharides and biopolymers [91], while other dietary components, 554 including fatty acids, sterols, and vitamins are present in extremely low abundances or are absent 555 altogether [25]. Besides the abilities of cerambycid beetles to produce endogenous cellulases and 556 detoxification enzymes [14,16,92], little is known about their endogenous digestive and metabolic 557 capabilities. Despite this, transcriptome profiling of other Coleopterans revealed that beetles have 558 impressive endogenous digestive and metabolic capabilities and product diverse arrays of cell-wall 559 degrading enzymes [93] and detoxification enzymes [94,95], however, several pathways leading to the 560 synthesis of sterols [96], aromatic amino acids , and branched chain amino acids are blocked at multiple 561 steps [97] and these nutrients must either be acquired from the food source or through interactions with gut microbes. Because these nutrients are scarce in woody tissue, it is hypothesized that microbes 562 563 associated with wood-feeding beetles can synthesize essential nutrients, facilitate nutrient recovery 564 from woody tissue, and augment endogenous detoxification enzyme activities [25,98-100].

565

#### Candidate Genes for Nitrogen Acquisition

566 C:N ratio in the heartwood of hardwood trees can be as high as 1000:1, although plant cell wall 567 proteins cross-linked in the cell wall matrix may serve as a reservoir of protein sources for organisms 568 that live in this habitat. However, there is much debate about whether or not the protein 569 concentrations in woody tissues are high enough to obtain a sufficient amount of nitrogen for de novo 570 synthesis of nucleotides and amino acids. Therefore, it is generally hypothesized that insects and 571 microbes colonizing the heartwood have mechanisms in place to acquire and utilize atmospheric 572 nitrogen or have efficient pathways to recycle nitrogenous waste products [90]. Several bacterial 573 nitrogen fixing genes were identified to convert atmospheric nitrogen to ammonia, which could then be 574 assimilated and used by the beetle and other members of the midgut community. As a consequence, 575 ammonium transporters and glutamine synthases, which actively transport ammonia into the cell and 576 subsequently convert ammonia and glutamate into glutamine, are also highly represented in the A. 577 *glabripennis* midgut community. In addition, ammonia (a major byproduct of amino acid deamination 578 reactions) [101], urea (a major waste product of amino acid degradation produced by bacteria) and uric 579 acid (a major nitrogenous waste product produced by insects) [102] represent suitable sources of 580 nitrogen that can be recapitulated and recycled through urease, uricase, and allatonin degradation 581 pathways encoded by the midgut community. Overall, reads assigned to recycling pathways were far 582 more abundant than reads assigned to nitrogen fixing pathways; therefore, we hypothesize that that 583 nitrogen recycling might make important contributions to the nitrogen economy in the larval A. 584 *glabripennis* midgut community. Alternatively, nitrogen fixation pathways may also be prominent in the 585 A. glabripennis community, but these bacteria may be more associated with other regions of the gut 586 where oxygen levels are lower (e.g., hindgut) which were not sampled for this study. Furthermore, a 587 wide array of proteinases with broad substrate abilities is associated with the gut community. This array 588 of enzymes has the capacity to degrade plant proteins released from the plant cell wall matrix during 589 active lignocellulose degradation and scavenge nitrogen from xenobiotic substrates, including cyanide,

alkaloids [103], and non-protein amino acids (i.e., cyanoamino acids) [104]. Finally, the gut community
possesses full or partial pathways for the synthesis of 23 amino acids, including full pathways for the
biosynthesis of aromatic amino acids.

593

#### Candidate Genes for Sterol, Vitamin, and Fatty Acid Synthesis

594 Other nutrients notably missing or present in low abundances in woody tissue include sterols, 595 vitamins, fatty acids, and inorganic ions [25]. Unlike other animals, insects cannot synthesize cholesterol 596 as this pathway is blocked at several steps; thus, they must acquire sterols that can be converted to 597 cholesterol from their feeding substrate [105]. Many wood-feeding insects (e.g., ambrosia beetles) 598 convert ergosterols produced by cultivated fungal symbionts into cholesterol [106], while others actively 599 convert a variety of phytosterols produced by plants into cholesterol [107]. The F. solani isolate as well 600 as yeasts harbored in the A. *qlabripennis* gut have the capacity to synthesize of cholesterol and, 601 accordingly, a number of ergosterol synthesis genes (e.g., C-22 sterol desaturase, cytochrome P450s, 602 and lanosterol 14 alpha demethylase) assigned to phylum Ascomycota, were detected. Vitamins and 603 other nutrients missing from woody tissue can be produced or efficiently assimilated by the A. 604 glabripennis gut community. A combination of acetate, produced via conversion of sugar monomers 605 liberated from woody polysaccharides, and coenzyme A, synthesized by microbial constituents, could be 606 used to synthesize acetyl CoA which is the essential building block for fatty acid synthesis [108]. 607 Furthermore, pathways for synthesizing biotin (vitamin B7), coenzyme A folate (vitamin B9), lipoic acid, 608 pyridoxine (vitamin B6), riboflavin (vitamin B2) thiamine (vitamin B1), and ubiquinone (coenzyme Q10) are well represented in the gut community. 609

610 Candidate Genes for Detoxification

611 Woody plants produce an array of secondary metabolites and digestive enzyme inhibitors in an 612 attempt to restrict insect herbivory and colonization by pathogenic microbes. These compounds often 613 accumulate in the heartwood of the plant [109]. While many insects endogenously produce impressive 614 arrays of detoxification enzymes or have mechanisms to sequester plant toxins, many beetle species 615 directly benefit from detoxification enzymes produced by microbes [110,111]. For example, microbial 616 communities associated with bark beetles feeding in phloem tissue, which serves as a conduit for toxic 617 defensive chemicals, are highly enriched for detoxification genes [112]. The A. glabripennis midgut 618 microbial community also encodes genes that can mitigate host plant defenses. A number of bacterial 619 and fungal reads with highest scoring BLAST alignments to host plant inducible cytochrome P450s were 620 detected that are known to promiscuously degrade xenobiotic substrates in an oxidoreductive manner 621 [113]. Reads corresponding to enzymes involved in glutathione-mediated detoxification, including 622 glutathione peroxidases, glutathione-S-transferases, and glutathione reductases, were detected in the 623 gut metagenome. The broad substrate specificities of these guintessential detoxification enzymes allow 624 them to act on a wide range of toxic metabolites produced by many species of host trees. Additionally, 625 most plants produce salicylic acid as a defense mediator against pathogens, which induces the 626 production of defensive compounds. In addition, salicylic acid and its regulated pathways have indirect 627 roles in anti-herbivory defenses since they can negatively impact symbiotic microbes associated with 628 herbivores. However, the gut community is capable of producing a number of isochorismatase family 629 proteins hypothesized to disrupt the salicylic acid pathway, which uses isochorismate as a key 630 intermediate [114]. A number of salicylate hydratases were found in the A. glabripennis gut 631 metagenome that could directly destroy salicylic acid to prevent induction of plant defensive pathways. 632 Metabolism of lignin also releases highly toxic metabolites, which can cause irreversible damage to the 633 peritrophic matrix, digestive enzymes, and gut-associated microbes. While the cytochrome P450 634 enzymes mentioned previously could aid in the detoxification of these metabolites, other xenobiotic 635 degrading enzymes were detected that could be involved in these processes, including glutathione S-636 transferases, glutathione S-peroxidases, epoxide hydrolases, aldo-keto reductases, and alcohol

637 dehydrogenases. Further, several enzymes that hypothesized to directly break down small metabolites 638 released from large-scale lignin degradation were detected in the A glabripennis metagenome and 639 included lignostilbene- $\alpha$ - $\beta$ -dioxygenases, 1,2 and 3,4 aromatic ring dioxygenases, biphenyl 2,3 640 dioxygenases, and ligX, ligZ, ligY, ligW, and ligW1, which have been observed to coordinate the 641 degradation of ferulic acid and other small molecules released from lignin degradation [115]. A number 642 of enzymes that could function as antioxidants were also detected, which may prevent oxidative 643 damage to the midgut or the microbiota from the ingestion of toxic dietary compounds (e.g. tannins) or 644 from oxidative degradation of lignin. Finally, one of the most common defense mechanisms employed 645 by plants to reduce herbivory is to produce digestive proteinase enzyme inhibitors to restrict an 646 organism's ability to break down and assimilate nitrogen [116]. These proteinase enzyme inhibitors 647 typically show high specificity and target a single family of proteinases; however, many insects have 648 evolved a mechanism to overcome these plant defenses by producing a different type of peptidase 649 whose activity and integrity is not impacted by these plant inhibitors [117]. The A. glabripennis 650 microbial gut community has the genetic capacity to produce an assortment of digestive proteinase 651 classes hypothesized to serve as alternative sources of proteinase family activities in the event that host 652 plant proteinase inhibitors disrupt the endogenous proteinase families produced by A. glabripennis. 653 Reduction of cysteine proteinase activity in western corn rootworm (Coleoptera: Diabrotica virgifera 654 virgifera) in antibiotic treated insects has been previously reported [118], demonstrating a role for 655 microbial derived proteinases in insect digestive physiology.

656 Candidate Genes from Fusarium

Filamentous fungi belonging to the *Fusarium* species complex have been observed in association
with beetles collected from all US populations and from several species of host trees. Mass
spectroscopy based protein identification techniques and *in vitro* enzyme assays of an *F. solani* strain

660 associated with the A. glabripennis gut cultivated on wood chips demonstrated that this isolate is 661 capable of producing several extracellular laccase enzymes, indicating that this isolate associated with A. 662 *qlabripennis* has lignin degrading potential. Furthermore, this isolate expressed 28 families of glycoside 663 hydrolases, many of which had predicted cellulase and xylanase activities [62]. In addition to these 664 previously reported findings, genes classified to the genera Fusarium/Nectria were detected in this 665 analysis included flavin-containing amine oxidoreductases (ammonium generation), glutathione-666 dependent formaldehyde-activating enzyme (methane metabolism), several sugar transporters, and 667 several short chain dehydrogenases, which can participate in many biochemical processes including 668 sterol synthesis, metabolism of sugar alcohols, and metabolism of fermentation products. Whole 669 genome sequencing is currently underway to compile a complete genetic inventory of this unique fungal 670 strain and will provide a more comprehensive insight into its role in the A. glabripennis midgut.

#### 671 Candidate Genes from *Leuconostoc*

672 Although sequencing coverage was not deep enough to generate draft genomes of any 673 individual OTU in the A. glabripennis gut community, roughly 22,000 high quality reads (7.8 Mb) 674 classified to genus Leuconostoc were detected in the A. glabripennis gut metagenome. Bacteria from 675 the genus Leuconostoc and other lactic acid bacteria have been previously identified in the guts of A. 676 glabripennis larvae collected from other A. glabripennis populations [9] and several other species of 677 coleopterans (e.g., Agrilus planipennis and beetles in the family Carabidae) [119]. Many genes 678 taxonomically classified to this genus had highest scoring BLAST alignments to xylose fermentation 679 pathways, pathways for utilization of pentose wood sugars, nitrogen recycling enzymes, nutrient 680 synthesizing enzymes, and enzymes with detoxification abilities. A large number of cellobiose 681 phosphorylases and glycoside hydrolase family 1  $\beta$ -glucosidases were identified, which could be involved 682 in degrading cellobiose disaccharides released from cellulose chains. In addition, a number of genes

683 predicted to encode xylose transporters and xylose fermentation pathways were detected. Further, 684 genes for the uptake and fermentation of other pentose sugars present in hemicellulose, including 685 ribose and arabinose, were detected. Genes annotated as aromatic acid dioxygenases and aryl alcohol 686 dehydrogenases, which could catalyze the degradation of aromatic subunits released from the lignin 687 biopolymer or serve as helper enzymes for  $\beta$ -aryl ether cleavage catalyzed by dyp-type peroxidases, 688 were also identified. Additionally, pathways involved in nutrient synthesis were also detected, which 689 included pathways for the synthesis of branched chain amino acids, aromatic amino acids, sterols, and 690 vitamins as well as enzymes that could function as antioxidants or in detoxification (e.g. cyanide 691 hydratases). Due to the metabolic capacities for pentose sugar fermentation, nutrient synthesis, and 692 detoxification, complete genome assembly for the Leuconostoc strains found in association with the A. 693 *glabripennis* midgut and more in-depth studies to characterize the interactions between *Leuconstoc* and 694 A. glabripennis species would be of value to pursue in future research.

#### 695 Conclusions:

696 This study represents the first large scale functional metagenomic analysis of the midgut 697 microbial community of a cerambycid beetle with documented lignin degrading capabilities [8]. A 698 taxonomically diverse assemblage of bacteria and fungi are associated with the midgut of A. 699 *glabripennis* and this study has shown that this community harbors the enzymatic capacity for extensive 700 contributions to the digestion of woody tissue in this system. Of relevance is i) a microbial community 701 dominated by bacterial and fungal aerobes and facultative anaerobes, indicating an appropriate aerobic 702 environment in the midgut for microbial enzymes involved in oxygen-dependent lignin degradative 703 processes, ii) the similarity of the A. glabripennis midgut microbiota to the Sirex fungal gallery 704 community and its distinction from other herbivore gut communities, including the termite hindgut 705 communities, *iii*) detection of genes encoding secreted oxidative enzymes proposed to disrupt  $\beta$ -aryl 706 ether linkages and hypothesized to have roles in cleaving  $\beta$ -aryl ether linkages in lignin , *iv*) detection of

707 extracellular  $H_2O_2$ -generating enzymes, and v) detection of a number of genera with predicted 708 lignocellulolytic and hemicellulolytic capabilities. The midgut community of A. glabripennis has the 709 metabolic potential to produce enzymes to help this wood-boring insect overcome major nutritional 710 challenges associated with feeding in woody tissue and we hypothesize that interactions between the 711 beetle and its gut microbes drive this insect's ability to colonize and thrive in a broad range of healthy 712 host trees. This wood-degrading system should also have great potential for the development of novel 713 lignocellulose degrading enzymes for applications by the biofuels industry. This study provides the first 714 glimpse into the metabolic potential of the gut community associated with a cerambycid beetle and lays 715 the foundations for future hypothesis-based research, including more in-depth biochemical studies, 716 comparative metagenomics, metatranscriptomics, and pathway modeling to assess potential metabolic 717 cross-talk between this beetle and its gut microbes.

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 1010 rearing and antibiotic treatment. Microb Ecol 57: 349-358.

1014 **FIGURE LEGENDS**:

Figure 1. Rarefaction, richness, and diversity analyses of 16s amplicon data. Approximately 166 bacterial OTUs were detected through amplicon sequencing. Various community richness estimators consistently predicted the presence of over 300 OTUs in association with the *A. glabripennis* gut and, in agreement with this observation, the rarefaction curve failed to reach saturation. This indicates that additional OTUs would likely be detected with additional amplicon sequencing.

1020 Figure 2. Maximum likelihood analysis of representative sequences from operational taxonomic unit

1021 analysis (OTU) of bacterial 16S rRNA amplicons. Representative sequences from each bacterial OTU

were aligned with MEGA 4.0 and phylogenetic analysis using was performed using GARLI 2.0 (500

1023 bootstrap pseudoreplicates and TIM1+I+G evolutionary model). Nodes were collapsed and labeled by

1024 taxonomic class. Number of OTUs and percentage of amplicons assigned to each class are labeled.

1025 OTUs that could not be assigned to class level by RDP were omitted from the analysis.

Figure 3. Rarefaction, richness, and diversity analyses of 18S amplicon data. Seven fungal OTUs were detected through amplicon sequencing. While rarefaction begins to approach saturation, richness estimates predict the presence of at least 11 fungal OTUs indicating that additional sampling may be necessary. This scenario is likely since additional 18S rRNAs from fungal taxa not detected in the 18S amplicons were detected in the shotgun reads (e.g., *Fusarium* spp.).

Figure 4. Distribution of SEED assignments generated by MG-RAST. Reads assigned to 28 SEED
 subsystems were detected in the *A. glabripennis* larval midgut metagenome. The most dominant
 subsystems found in association with this microbial community included clustering based subsystems,
 carbohydrate metabolism, and amino acid and derivatives metabolism.

Figure 5. Hierarchical cluster analysis based on Pfam annotations of herbivore related metagenomes.
 Agglomerative hierarchical cluster analysis based on a compositional Euclidean distance matrix was

1037 conducted using Pfam annotations from various herbivore related metagenomes. Three distinct clusters

1038 representing different herbivore biome-types are highlighted and labeled. These include herbivore gut

1039 communities, fungal gallery communities associated with phoem/xylem feeding insects and

1040 communities associated with insects feeding in heartwood .

1041 Figure 6. Principal components analysis (PCA) of Pfam domains from herbivore-related metagenomes.

1042 Principal components analysis was conducted to plot samples in multidimensional space. Groupings

1043 detected in agglomerative cluster analysis are preserved (Mantel test, p< 0.0001) and are color-coded

1044 by groups identified in the dendrogram. Monte Carlo Permutation Procedure (n=1000 iterations):

1045 p<0.0001 for PCA 1 and PCA2.

1046 Figure 7. Distribution of glycoside hydrolase families found in the *A. glabripennis* gut metagenome.

1047 Reads assigned to 36 glycoside hydrolase families were detected in the gut microbiome. The most
1048 dominant families were GH 1 and 3, while GH families 11, 45, 46, 61, and 71 were present in very low
1049 abundances.

Figure 8. Xylose utilization pathway present in the *A. glabripennis* gut community. Xylose released
from hemicellulose can be converted into D-xylulose-5-phosphate and eventually into acetaldehyde.
Acetaldehyde can be either converted into ethanol by alcohol dehydrogenase or into acetate by
acetaldehyde dehydrogenase. These reactions are likely catalyzed by lactic acid bacteria or yeasts
associated with the *A. glabripennis* gut.

Figure S1. MEGAN classification of shotgun reads. Taxonomic assignments for highly abundant classes
 (>0.04% relative abundance) detected in the shotgun data. Percentages indicate relative abundance of
 reads assigned to each class.

1058	Table S1. Estimated copy number of candidate lignin degrading Pfam domains in herbivore-associated
1059	microbial communities. Estimated copies of Pfam domains detected in each herbivore-related
1060	metagenome assembly obtained from IMG/M. To obtain abundances, assembled contigs were
1061	multipled by read depth when assembly information was available and singleton reads were treated as
1062	single copies.

#### 1063 Table S2. Abundance and Class Level Taxonomic Classification of GH Families in the A. glabripennis

1064 gut metagenome. Corresponding KEGG Enzyme Classifications and class level assignments are also

1065 presented.

#### **TABLES**:

### **Table 1. Summary of Newbler metagenome assembly metrics.**

	1068
Number of 454 Shotgun Reads Produced	1069 1,258,810
Number of Contigs	1070 25,838
Number of Singleton Reads	585, <b>507</b> 31
Minimum Contig Length (bp)	200 1072
Maximum Contig Length (bp)	30,393
N20 (bp)	1073 2,081
N50 (bp)	1 <b>934</b>
N80 (bp)	1555
Total Number of Assembled (bp)	22,220,287
Total Number of Unassembled (bp)	1076 179,346,064

### 1079 Table 2. Species richness and diversity calculations for bacterial OTUs detected in the *A. glabripennis*

1080 gut.

	# OTUs Observed	Chao Richness	95% CI Chao	Ace Richness	95% CI Ace	Jackknife Richness	95% CI Jackknife	Simpson Diversity (1-D)	95% CI Simpson Diversity (1-D)
	166	354	266- 518	437	370- 526	657	434-870	0.919	0.912- 0.925
1081		I					I		
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# Table 3. Species richness and diversity calculations for fungal OTUs detected in the *A. glabripennis* gut.

# OTUs Observed	Chao Richness	95% CI Chao	Ace Richness	95% CI Ace	Jackknife Richness	95% Cl Jackknife	Simpson Diversity (1-D)	95% CI Simpson Diversity (1-D)
7	11	8-31	18	9-101	12	6-18	0.51	0.49- 0.52

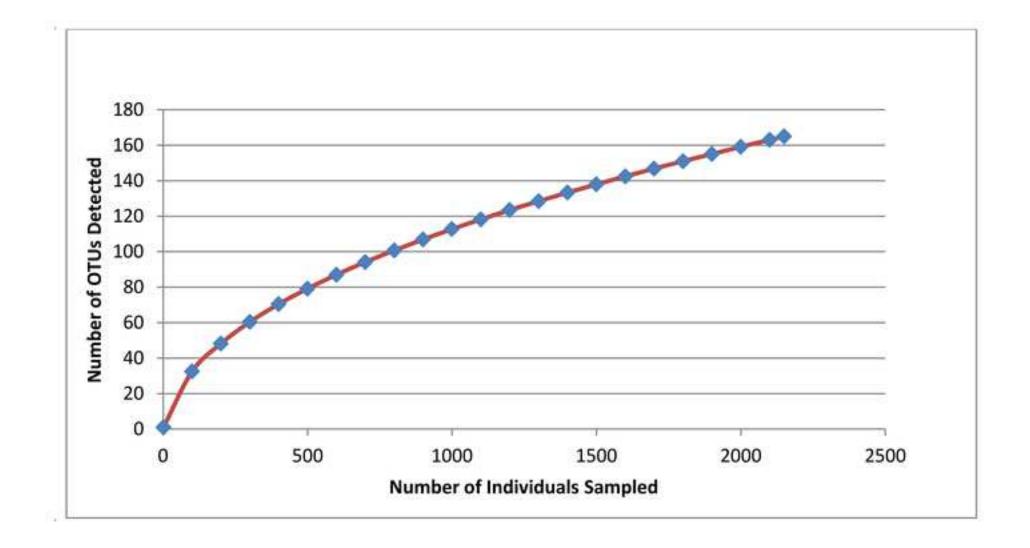
### **Table 4. Summary of metagenome annotations.**

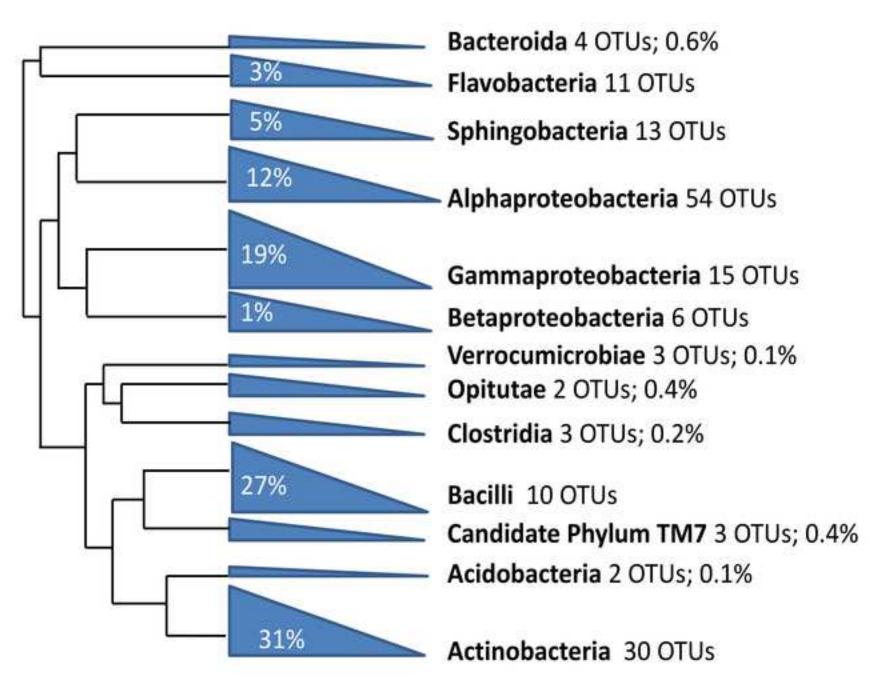
## 

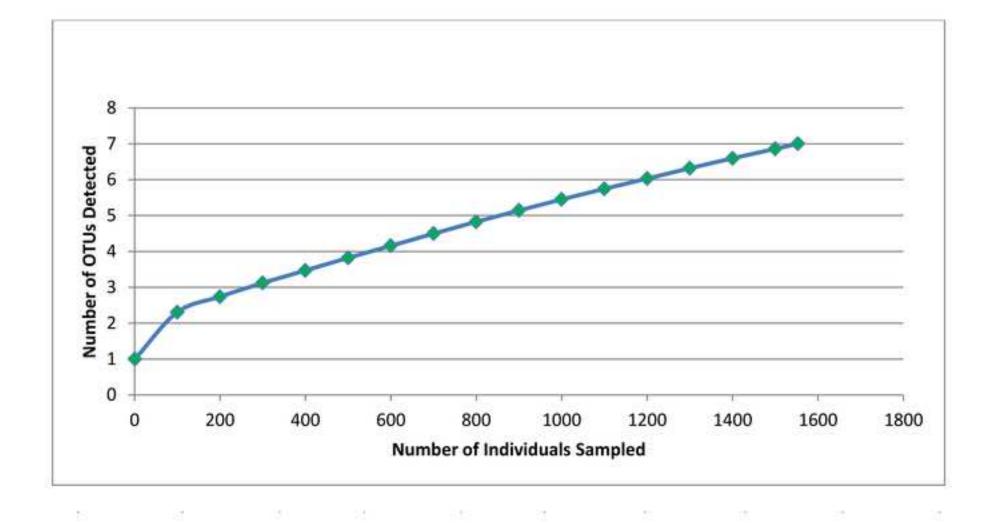
Number of High Quality Shotgun 454 Reads	1,067,718
Number of rRNAs	6,397
Number of tRNAs	2,596
Number of reads with BLASTX alignments to annotated proteins in non- redundant protein database (e-value = 0.00001)	541,761
Number of reads with BLASTX alignments to hypothetical proteins in non- redundant protein database (e-value = 0.00001)	144,965
Number of reads with COG (Clusters of Orthologous Genes) assignments	357,999
Number of reads with Seed assignments	255,091
Number of reads with GO (Gene Ontology) assignments	361,412
Number of reads with KEGG assignments	173,359
Number of reads with Pfam domains	420,285
Number of reads with BLASTX alignments and Pfam domains	409,594
Number of reads with Pfam domains only (no BLASTX alignments)	10,691

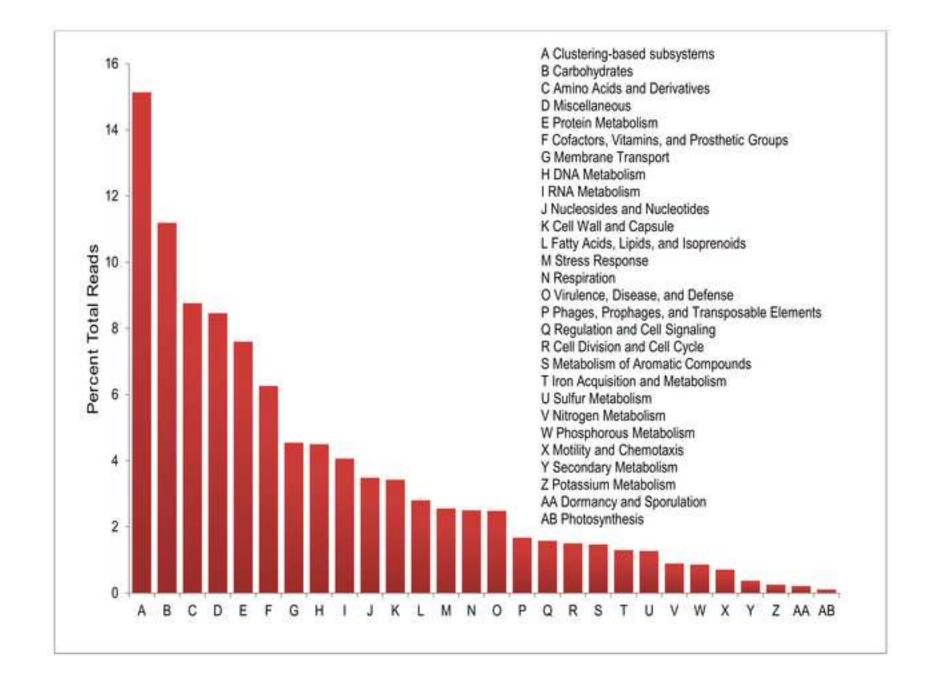
# Table 5. The most highly abundant glycoside hydrolase families detected in gene tag annotations and their associated KEGG classifications.

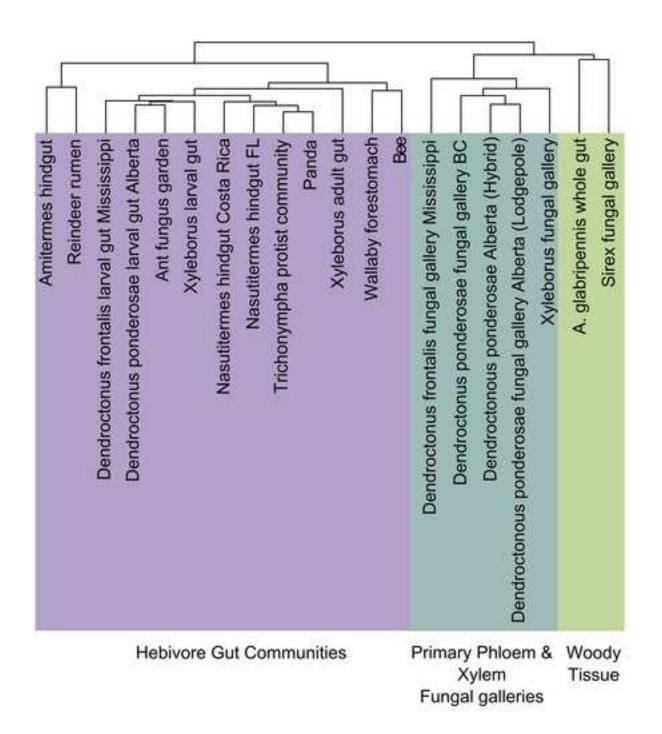
GH Family	Number of Reads	KEGG ECs	Reactions	Class Level Assignments
1	556	β-glucosidase (EC 3.2.1.21) β-galactosidase (EC 3.2.1.23) β-mannosidase (EC 3.2.1.25) β-glucuronidase (EC 3.2.1.31) Exo- $β$ -1,4-glucanase (EC 3.2.1.74) 6-phospho- $β$ -galactosidase (EC 3.2.1.85 6-phospho- $β$ -glucosidase (EC 3.2.1.86) Strictosidine amygdalin $β$ - glucosidase (EC 3.2.1.117) Thioglucosidase (EC 3.2.1.147) β-primeverosidase (EC 3.2.1.149)	Retaining Release cellobiose from cellulose Break glucosidic, mannosidic, glucuronic bonds in β-1,4 linked disaccharides liberated from cellulose and hemicelluose	Actinobacteria Alphaproteobacteria Bacilli Bacteroidetes Betaproteobacteria Clostridia Gammaproteobacteria Hexapoda Saccharomycetes Verrucomicrobia
3	687	β-glucosidase (EC 3.2.1.21) Xylan 1,4- $β$ -xylosidase (EC 3.2.1.37) β-N-acetylhexosaminidase (EC 3.2.1.52) Glucan 1,3- $β$ -glucosidase (EC 3.2.1.58) Endo- $β$ -1,4-glucanase (EC 3.2.1.74) Exo-1,3-1,4-glucanase (EC 3.2.1) α-L-arabinofuranosidase (EC 3.2.1.55)	Retaining Processive release of disaccharide sugars from cellulose and hemicellulose through cleavage of $\beta$ -1,3 and $\beta$ -1,4 linkages between glucose and xylose and $\alpha$ linkages between arabinose and xylose	Acidobacteria Actinobacteria Alphaproteobacteria Bacilli Bacteroidetes Betaproteobacteria Clostridia Gammaproteobacteria Lentisphaeria Coleoptera Saccharomycetes Thermobaculum Verrucomicrobia

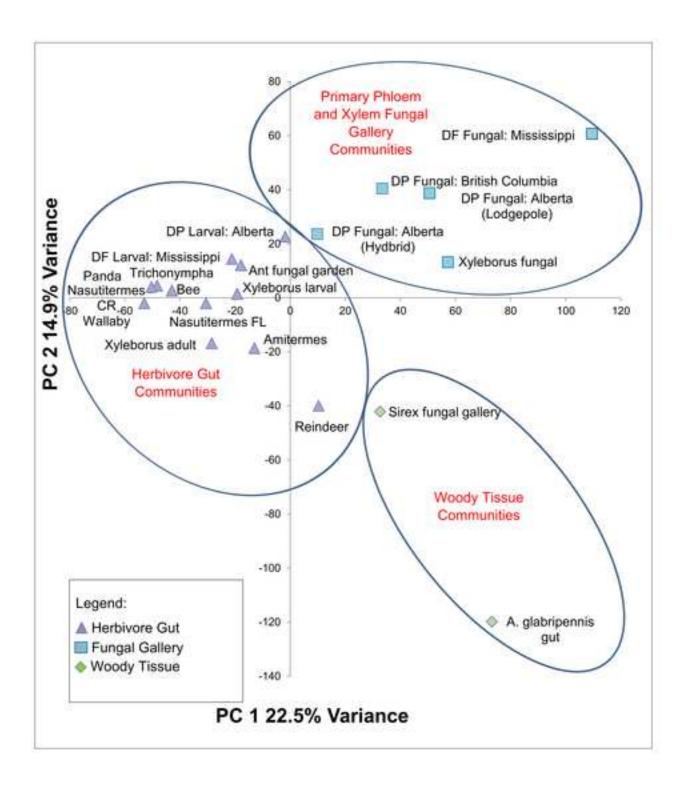


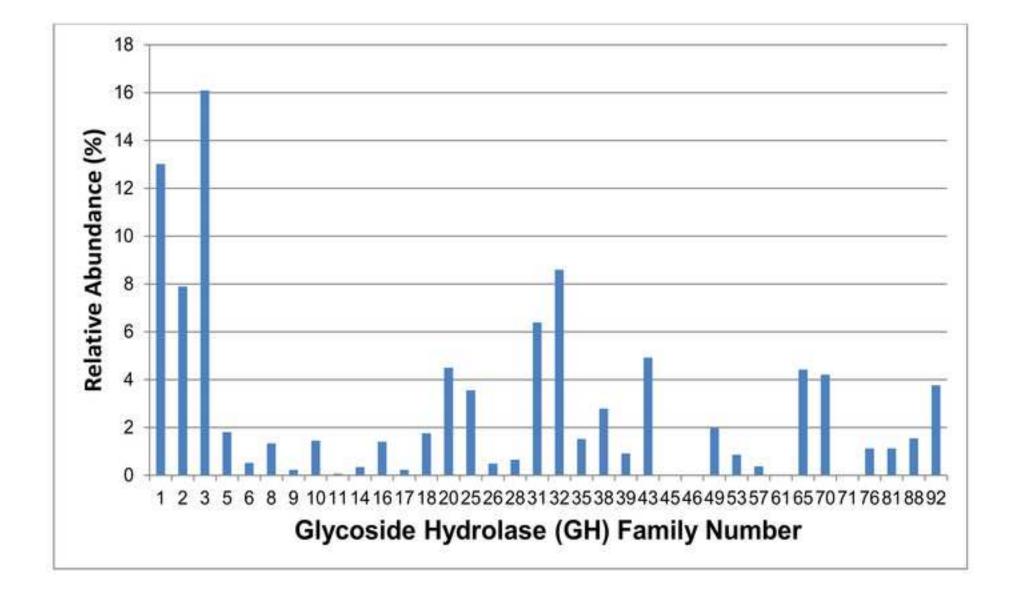


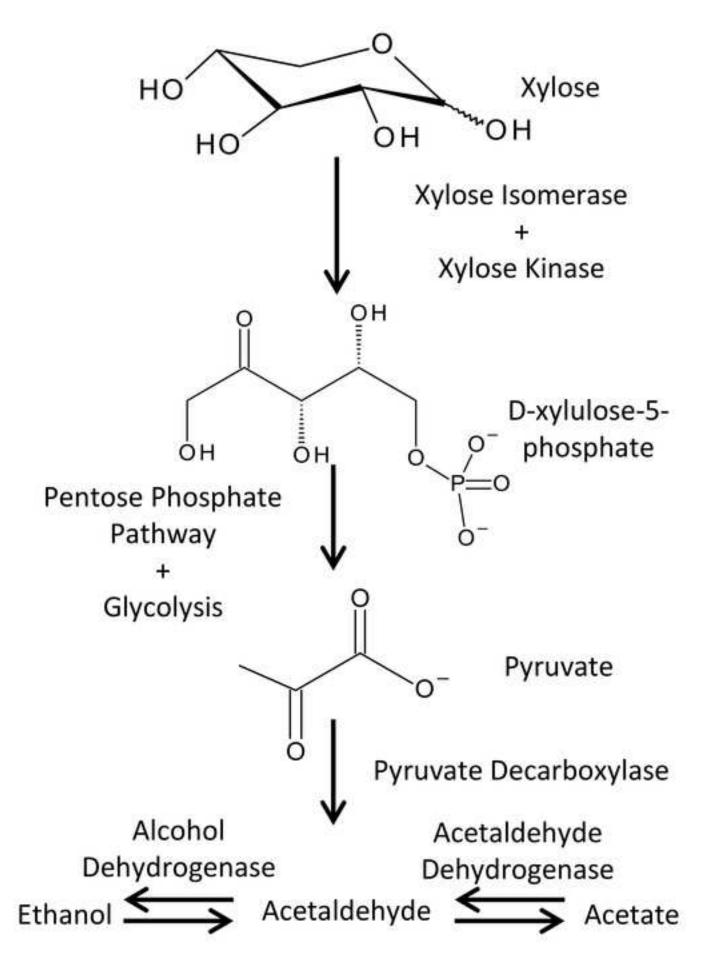












Supporting Information Figure S1 Click here to download Supporting Information: FigureS1.tif Revised Supporting Information Table S1 Click here to download Supporting Information: TableS1\_revised.xlsx Revised Supporting Information Table S2 Click here to download Supporting Information: TableS2\_revised.docx