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### Title

Methods for assessing and responding to bias and uncertainty in U.S. West Coast salmon abundance forecasts

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Peer reviewed

1 **Title: Methods for assessing and responding to bias and uncertainty in U.S. West Coast**  
2 **salmon abundance forecasts**

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11  
12  
13 **Highlights:**

- 14 • Uncertainty in salmon abundance forecasts can be modeled based on past performance
- 15 • Bias corrections and/or buffers often bring forecasts closer to postseason estimates
- 16 • Buffers were predicted to reduce risks of under-escapement and overfished status
- 17 • Harvest reductions from buffers often smaller than recent management error overages

18  
19  
20 **Abstract:** We quantified the bias and accuracy of U.S. West Coast Chinook and coho salmon

21 abundance forecasts using lognormal distributions fitted to annual ratios between postseason  
22 abundance estimates and preseason forecasts, or constrained to assume unbiased forecasts.

23 Accuracy was modest to low, with CVs exceeding 50% for 8/19 Chinook and 17/17 coho stocks.

24 We evaluated the fitted median as a bias correction, and uncertainty buffers based on quantiles  
25 below the median. We tested whether retrospective application of bias corrections and/or buffers  
26 brought forecasts closer on average to postseason estimates; and performed retrospective and  
27 prospective analyses of consequences for stock status, harvest, and escapement for Sacramento  
28 River Fall Chinook (SRFC), a key fishery stock. Bias corrections and/or buffers improved most  
29 forecasts, with buffers providing improvement more often. For SRFC, bias correction alone  
30 could have led to one less year of overfished status, while buffers could have further shortened or  
31 avoided overfished status and reduced the frequency of under-escapement. Reductions in mean  
32 annual harvest resulting from applying bias corrections and/or moderate buffers were predicted  
33 to be smaller than the increases in harvest resulting from forecast and implementation error.

34 Prospective simulations showed buffers could reduce risks of overfished status and under-  
35 escapement, at small costs to long-term mean harvests. However, this metric misses substantial  
36 harvest reductions in some years, since mean harvest is most sensitive to harvest at high  
37 abundance; though our analyses also neglected benefits of increased escapement for future  
38 production. Future work should incorporate observation error and nonstationarity, and the  
39 combined effects of forecast and implementation error on the probability of missing escapement  
40 goals.

41

42 **Keywords:** Forecasting; bias; uncertainty; buffer; salmon

43

## 44 **1. Introduction**

45 Fisheries management for salmon in both the Atlantic (*Salmo salar*, ICES 2021) and  
46 Pacific (*Oncorhynchus* spp., Peterman et al. 2016, PFMC 2021a) relies on preseason abundance  
47 forecasts. Forecasting is known to be a challenging task (Mertz and Myers 1995, Glaser et al.  
48 2014, Haltuch et al. 2019), especially for short-lived species like salmon (Ward et al. 2014,  
49 Peterman et al. 2016). The performance of particular forecast methodologies often worsens over  
50 time (Winship et al. 2015), leading to calls for the development of salmon management  
51 frameworks that are robust to forecasting uncertainty (ICES 2021, Wainwright 2021).

52 Different salmon species and populations vary substantially in how thoroughly, if at all,  
53 uncertainty is accounted for in the management of fisheries impacts. Well-developed examples  
54 include European Atlantic salmon (*Salmo salar*, ICES 2021), Fraser River sockeye salmon (*O.*  
55 *nerka*, Michielsen and Cave 2019, Hawkshaw et al. 2020), and Yukon River Chinook salmon (*O.*  
56 *tshawytscha*, Staton and Catalano 2019, Brenner et al. 2022). Approaches incorporating

57 uncertainty have also been developed for specific populations of other species including pink  
58 salmon (*O. gorbuscha*, Adkison 2002) and coho salmon (*O. kisutch*, DeFilippo et al. 2021).  
59 Often, this is done using a Bayesian approach producing explicit probability distributions for  
60 expected run sizes. In most cases, these approaches have leveraged the ability to perform in-  
61 season updating based on information gained over the course of a run in terminal area fisheries  
62 (i.e. in-river, or in the ocean area immediately outside a river at the expected time of spawner  
63 return). Such in-season information gathering and responses are more difficult in mixed-stock  
64 ocean fisheries that are substantially spread out in time and space. Partially as a result of such  
65 difficulties, management of ocean fisheries on Chinook and coho salmon along the west coasts  
66 of Canada and the United States uses deterministic forecasts that do not account for uncertainty  
67 (Peterman et al. 2016, PFMC 2021a), and this is often true of terminal fisheries management as  
68 well.

69         Ocean fisheries for Chinook and coho salmon along the west coast of the United States  
70 are managed under the purview of the Pacific Fishery Management Council (PFMC 2021a).  
71 Each year, maximum allowable exploitation rates for targeted stocks are determined by applying  
72 control rules to preseason abundance forecasts (generally expressed as expected spawning  
73 escapement in the absence of fishing), using deterministic point estimates. Forecasts that are too  
74 high may result in inappropriately high exploitation rates, jeopardizing future productivity and  
75 fishing opportunities and creating conservation concerns. Conversely, forecasts that are too low  
76 may reduce harvest opportunities and thereby impose unnecessary costs on fishing communities.  
77 Forecast errors in either direction may cause especially complex problems in mixed-stock  
78 fisheries, where an inaccurate forecast for a single stock may lead to mis-specifying target  
79 harvest rates for a suite of co-occurring stocks (e.g., SMAW 2022).

80           The PFMC tracks forecast performance for key Chinook and coho salmon stocks by  
81 reporting preseason forecasts and postseason abundance estimates over time (PFMC 2022a), but  
82 does not quantify forecast performance with formal metrics, nor does it define acceptable  
83 forecast performance. Scientific advisors have long called for the PFMC to formally report and  
84 incorporate uncertainty in the use of preseason forecasts for salmon management (SSC 2002,  
85 Bradford 2006, Pawson 2006, SSC 2021a). However, the only incorporation of uncertainty or  
86 buffers into current PFMC salmon management is multiplying the reference point for the fishing  
87 mortality rate producing maximum sustainable yield (MSY),  $F_{MSY}$ , by 0.95 (for stocks with data  
88 used to estimate stock-specific  $F_{MSY}$  values) or 0.90 (for data-poor stocks using a proxy value)  
89 when determining the maximum allowable harvest rate at high abundance,  $F_{ABC}$  (PFMC 2021a).  
90 Because exploitation rates below  $F_{ABC}$  are required at low abundance in order to meet  
91 escapement goals even in the absence of forecast error, such buffers provide no protection  
92 against overharvest at low abundance, when the consequences of overharvest are likely most  
93 severe. While some methods adopted by the PFMC are capable of producing distributions for  
94 forecasts rather than point estimates (O’Farrell et al. 2016, DeFilippo et al. 2021), and a 95%  
95 prediction interval for SRFC was reported (but not used) in two years (PFMC 2010, 2011), to  
96 date only the medians or means of these distributions have been used.

97           The use of deterministic, point estimate forecasts to determine allowable harvest rates for  
98 salmon contrasts to the formal incorporation of uncertainty buffers into the use of assessment  
99 outputs in PFMC management of both groundfish (PFMC 2020) and coastal pelagic species  
100 (PFMC 2021b). Briefly, the ratio between the true and estimated overfishing limit (OFL) or  
101 maximum catch compatible with MSY is assumed to follow a lognormal distribution with  
102 median 1.0 and a log-scale standard deviation specified based on the form of the assessment

103 model. The acceptable biological catch (ABC) is reduced from the OFL based on a buffer chosen  
104 as the P\* quantile of the distribution of the modeled ratio between true and assessed OFLs  
105 (Ralston et al. 2011). If all model assumptions are met, P\* indicates the probability that fishing at  
106 the ABC would result in catch higher than the OFL corresponding to perfect knowledge of the  
107 population. If salmon forecasts were viewed as distributions rather than point estimates, P\*  
108 buffers (or similar approaches) could be derived before applying control rules to determine  
109 allowable exploitation rates (PFMC 2021a).

110 To demonstrate an approach that would allow fuller and more objective consideration of  
111 uncertainty in salmon management, this paper pursues four goals. First, to document the extent  
112 of uncertainty and bias, we quantified forecast performance for all available Chinook and coho  
113 salmon forecasts tracked in PFMC records (PFMC 2022a). Second, for all of these stocks, we  
114 assessed the biases and trends in forecast performance over time. Third, we quantified the extent  
115 to which bias corrections and/or uncertainty buffers could bring preseason forecasts closer to  
116 postseason abundance estimates. Fourth, the management consequences of a forecast can depend  
117 on more than accuracy alone (Rupp et al. 2012) due to factors including mixed-stock effects,  
118 implementation error (i.e., realized exploitation rates different from those projected by preseason  
119 planning models), and supplemental management guidance. Therefore, we performed detailed  
120 retrospective and prospective analyses of likely management consequences of bias corrections  
121 and/or buffers applied to a single stock of high conservation and fishery importance, Sacramento  
122 River Fall Chinook (SRFC).

123

## 124 **2. Methods**

### 125 *2.1 Data sources*

126 We obtained records of preseason forecasts and postseason abundance estimates for most  
127 PFMC-managed Chinook and coho salmon stocks from Tables II-4 (total adults), II-8 (April STT  
128 Modeled Forecast), II-9, III-1, III-3, and III-4 in Preseason Report 1 (PFMC 2022a), obtaining  
129 non-rounded values and year-specific values for early years from a spreadsheet version of the  
130 tables provided by Robin Ehlke, the PFMC salmon staff officer. We provide a full list of stocks  
131 analyzed, and the years covered, in Table 1. Data limitations or other issues led to the exclusion  
132 of a few stocks or years as described in the Supplementary Material.

133 The PFMC report tables do not include information for SRFC, for which a new forecast  
134 methodology was adopted in 2014 (PFMC 2022a). For SRFC, we obtained records of what the  
135 current forecast approach would have yielded based on data at the time if applied as far back as  
136 1995 from validation exercises performed when the forecast method was developed (Winship et  
137 al. 2015, Model 8) along with recent records maintained by the PFMC but not presented in  
138 tabular form (PFMC 2022a Figure II-4).

139 Our analysis neglects the potential effects of past forecast methodology changes for  
140 stocks other than SRFC due to limited documentation of such changes (SSC 2021a), simply  
141 using the records of forecast performance as reported, and thus may not always reflect  
142 performance of the current forecast methods. Following precedent set by almost every salmon  
143 model used to inform PFMC management (but see Allen et al. 2017 and Auerbach et al. 2021 for  
144 partial exceptions), we did not attempt to address the effects of observation error on the  
145 postseason abundance estimate, nor on escapements, catches, or exploitation rates used in the  
146 SRFC case study described in more detail below.

147

148 *2.2 Quantification of forecast uncertainty and bias*

149 For each stock each year, we calculated the ratio  $R$  between the postseason abundance  
150  $N_{post}$  and preseason forecasts  $N_{pre}$ :

$$151 \quad R = \frac{N_{post}}{N_{pre}} \quad \text{Equation 1}$$

152 and assumed:

$$153 \quad \log(R) \sim \text{Normal}(\mu, \sigma) \quad \text{Equation 2}$$

154 where  $\mu$  is the mean of  $\log(R)$  (throughout this paper, “mean” denotes arithmetic mean unless  
155 specified otherwise, and logarithms are natural [base  $e$ ]) and  $\sigma$  is the log-scale standard  
156 deviation. In other words, we assumed that the ratio of postseason abundance estimates (which  
157 we assumed equaled true abundances) to preseason forecasts followed a lognormal distribution  
158 with arithmetic-scale median  $C$  where:

$$159 \quad C = e^{\mu} \quad \text{Equation 3}$$

160 with arithmetic-scale CV:

$$161 \quad CV = \sqrt{e^{\sigma^2} - 1} \quad \text{Equation 4}$$

162 We calculated 80% and 95% confidence intervals on  $C$ , the median postseason:preseason  
163 ratio, using the normal approximation:

$$164 \quad CI_{80} = (e^{\mu-1.28SE}, e^{\mu+1.28SE}); CI_{95} = (e^{\mu-1.96SE}, e^{\mu+1.96SE}) \quad \text{Equation 5}$$

165 where SE is the standard error ( $\sigma/\sqrt{Y}$ , with  $Y$  the number of years with observations). To  
166 identify scenarios in which bias could be confidently identified when present, we performed a  
167 power analysis by solving for the largest value of  $C$  at each sample size (number of years) where  
168 the upper bound of these confidence intervals first excluded 1.0 based on different values of  $\sigma$ .

169 For each stock, we performed these calculations for all available data (results denoted  
170 with the subscript “all”) and, when available, for the period 2001-2020 to provide for a common  
171 period of reference across stocks with different temporal coverage (denoted with subscript “20”).



172 Although postseason estimates were available for 2021 for some stocks, 2020 was the most  
173 recent postseason abundance estimate available for others.

174

### 175 *2.3 Alternative quantification of uncertainty, assuming unbiased forecasts*

176 Because of the inherent challenges in accurately quantifying bias for noisy forecasts with  
177 modest sample sizes, we considered a method similar to the approach that the PFMC employs for  
178 groundfish and coastal pelagic species to quantify uncertainty in overfishing limits, which  
179 assumes that stock assessments are uncertain but unbiased. In this approach we assume that  
180 forecasts are unbiased and derive an alternative estimator  $\sigma_0$  for the uncertainty based on log-  
181 scale standard deviations around  $E[\log(R)]=0$  rather than around  $\mu$ ,

$$182 \quad \sigma_0 = \sqrt{\frac{\sum \log(R_y)^2}{Y-1}} \quad \text{Equation 6}$$

183 reflecting the alternative assumption:

$$184 \quad \log(R) \sim \text{Normal}(0, \sigma_0) \quad \text{Equation 7}$$

185

### 186 *2.4 Potential drivers of forecast performance*

187 To explore variation in forecast performance over time, we fit linear models of  $\log(R)$  as  
188 a function of time, using all available years for each stock:

$$189 \quad \log(R) = a + bY + \epsilon \quad \text{Equation 8}$$

190 where  $Y$  is year and  $\epsilon$  is a normally distributed error term. A similar model using the postseason  
191 abundance estimate as the predictor would not be appropriate for statistical inference, since  
192 postseason abundance also appears in  $\log(R)$  and so would appear on both sides of the equation.  
193 However, to visualize relationships between forecast performance and abundance, we generated  
194 plots of percent error ( $(N_{\text{pre}} - N_{\text{post}}) / N_{\text{post}}$ ) as a function of the postseason abundance estimate and

195 added loess smoothed fits with width of 1.5 fitted using the `stat_smooth` function in the `ggplot2`  
196 R package (Wickham 2016).

197

## 198 *2.5 Derivation and evaluation of potential bias corrections and uncertainty buffers*

199 For all stocks with at least 18 years of reported forecast ratios, we simulated applying a  
200 bias correction factor by multiplying each years' preseason forecast  $N_{pre}$  by an estimate of  $C$   
201 estimated from preceding forecast ratios, starting in year 11. Thus, we used a bias correction  
202 factor estimated from the first 10 years' data to adjust the forecast in year 11, used the first 11  
203 years' data to adjust the forecast in year 12, and so on.

204 In addition to a bias correction based only on  $C$ , we explored the application of a buffer  
205 based on the  $P^*$  quantile of the forecast ratio distribution estimated from preceding years. If all  
206 model assumptions (notably stationarity and the distributional form of annual forecast ratios) are  
207 met,  $P^*$  represents the probability that the adjusted forecast in a given year will be an over-  
208 forecast. We explored  $P^*$  values of 0.50 (i.e., a risk neutral approach), 0.45 and 0.40 (based on  
209 PFMC precedent for groundfish and coastal pelagic species), and 0.33 (the highest value that the  
210 Intergovernmental Panel on Climate Change [IPCC] characterizes as “unlikely” [Table 3 of  
211 Mastrandrea et al. 2010], and close to the 0.35 value that the PFMC has considered in some risk-  
212 averse options but not used to date [John Devore, PFMC, pers. comm.]). We also investigated  
213 the performance of a buffer that assumed unbiased forecasts, using the  $P^*$  quantile of a  
214 lognormal distribution with median=1.0 and estimated stock-specific  $\sigma_0$ .

215 For each year, we then calculated the percent error (PE) between the raw forecast  $N_{pre,raw}$   
216 and the postseason abundance estimate  $N_{post}$ , as well as between the adjusted forecast  $N_{pre,adj}$  and  
217  $N_{post}$ .

218 
$$PE = \frac{N_{pre} - N_{post}}{N_{post}}$$
 Equation 9

219 Under this definition, positive PE represents over-forecasting and negative PE represents  
 220 under-forecasting. We then summarized performance across adjusted years using mean percent  
 221 error (MPE) by taking a mean across adjusted years and mean absolute percent error (MAPE) by  
 222 taking a mean across adjusted years of the absolute value of the annual PE. These are familiar  
 223 metrics often used to evaluate bias (MPE) and accuracy (MAPE) of forecasts, but are more  
 224 sensitive to over-forecasting than under-forecasting because forecast ratios tend to follow  
 225 lognormal or at least asymmetric distributions and (assuming forecasts cannot be negative) PE  
 226 can never be less than -100% but can be greater than 100%. Therefore, we also calculated the  
 227 median log accuracy ratio (MLAR, Morley et al. 2018) which is equally sensitive to proportional  
 228 over- versus under-forecasts (with positive MLAR indicating over-forecasting). Note that the  
 229 sign conventions for assessing forecast error using these metrics (values greater than zero  
 230 indicate over-forecasting) differs from the interpretation of  $C$  (values less than one indicate over-  
 231 forecasting).

232 
$$MLAR = Median\left(\log\left(\frac{N_{pre}}{N_{post}}\right)\right)$$
 Equation 10

233 We calculated these performance statistics for a one-year ahead validation exercise  
 234 applied to each stock with at least 18 years of observations (to allow for at least 10 years of  
 235 training data when the bias correction or buffer was first applied, and at least eight years of  
 236 testing data). We also summarized the median forecast ratio and its 80% confidence interval  
 237 calculated from the first 10 years of data to explore how well an initial assessment of forecast  
 238 performance predicted the degree to which a bias correction and/or buffer increased or decreased  
 239 forecast performance. The analysis of bias corrections and buffers excluded Skagit Hatchery

240 Chinook, Columbia River Summer Chinook, Lower Columbia Natural coho, and Willapa Bay  
241 natural coho due to insufficient temporal coverage.

242

## 243 *2.6 Retrospective application of bias correction and/or buffers to SRFC*

244 To explore the potential management consequences of applying a bias correction and/or  
245 buffer, we performed a retrospective analysis of SRFC management performance. Because of its  
246 southerly distribution (Satterthwaite et al. 2013, Shelton et al. 2019), this stock is relatively  
247 unaffected by Pacific Salmon Treaty management, such that only PFMC management actions  
248 need to be carefully considered. SRFC makes up the majority of ocean harvest off of California  
249 (Satterthwaite et al. 2015) and often much of Oregon (Bellinger et al. 2015), and frequently  
250 experiences the highest ocean exploitation rate of any salmon stock managed by the PFMC  
251 (PFMC 2022a). SRFC was determined to be overfished based on the three-year geometric mean  
252 escapement from 2015-2017 being below the Minimum Stock Size Threshold (MSST) of 91,500  
253 (O’Farrell and Satterthwaite 2021), then subsequently declared rebuilt based on the geometric  
254 mean of escapements from 2018-2020 being above the reference point for spawning escapement  
255 producing maximum sustainable yield ( $S_{MSY}$ ) of 122,000 (PFMC 2022b). Additionally, SRFC  
256 serves as an indicator for the Central Valley Fall (and late-fall) Chinook salmon stock complex  
257 (PFMC 2021a) which is recognized by the National Marine Fisheries Service as a “species of  
258 concern” ([https://www.st.nmfs.noaa.gov/data-and-](https://www.st.nmfs.noaa.gov/data-and-tools/Salmon_CVA/pdf/Salmon_CVA_Name_Central_Valley_fall-late_fall-run_Chinook.pdf)  
259 [tools/Salmon\\_CVA/pdf/Salmon\\_CVA\\_Name\\_Central\\_Valley\\_fall-late\\_fall-run\\_Chinook.pdf](https://www.st.nmfs.noaa.gov/data-and-tools/Salmon_CVA/pdf/Salmon_CVA_Name_Central_Valley_fall-late_fall-run_Chinook.pdf)).  
260 Crucially, we know the history of the forecasts used in SRFC management and can generate  
261 retrospective estimates of what the current method (Winship et al. 2015, Model 8) would have  
262 forecasted in previous years based on data available at the time.

263           The retrospective analysis began with 2014, the first year that the current forecasting  
264 model was used by managers, and the third year (the window used for calculating status relative  
265 to the overfished criterion) since the first application of the current control rule. Each year, we  
266 determined the value of the SRFC forecast actually used,  $N_{pre,rec}$  and the value the forecast would  
267 have taken if adjusted using one of the methods described earlier, with multipliers calculated  
268 using all years available at the time of the forecast in question. For these analyses, in addition to  
269 the P\* values of 0.50, 0.45, 0.40, and 0.33 considered previously, we also tested P\* values of  
270 0.25 based on ICES (2021) guidelines calling for a 75% probability of meeting all conservation  
271 criteria and 0.10 based on the highest value that IPCC characterizes as “highly unlikely”  
272 (Mastrandrea et al. 2010).

273           The consequences for management depend on multiple steps after the forecast, and  
274 simply comparing control rule outputs for the raw and adjusted forecast would not capture this.  
275 We were interested in comparing the exploitation rates derived from the forecasts of record ( $F_{rec}$ )  
276 with exploitation rates expected to have occurred based on adjusted forecasts ( $F_{adj}$ ). When  
277 simulating adjusted forecasts, we needed to account for the effects of the control rule (*control*),  
278 supplemental guidance from the PFMC (*guidance*), mixed stock constraints on the exploitation  
279 rate planned for at the start of the fishing season (*plan*), and implementation error that leads to a  
280 realized exploitation rate different from the planned rate.

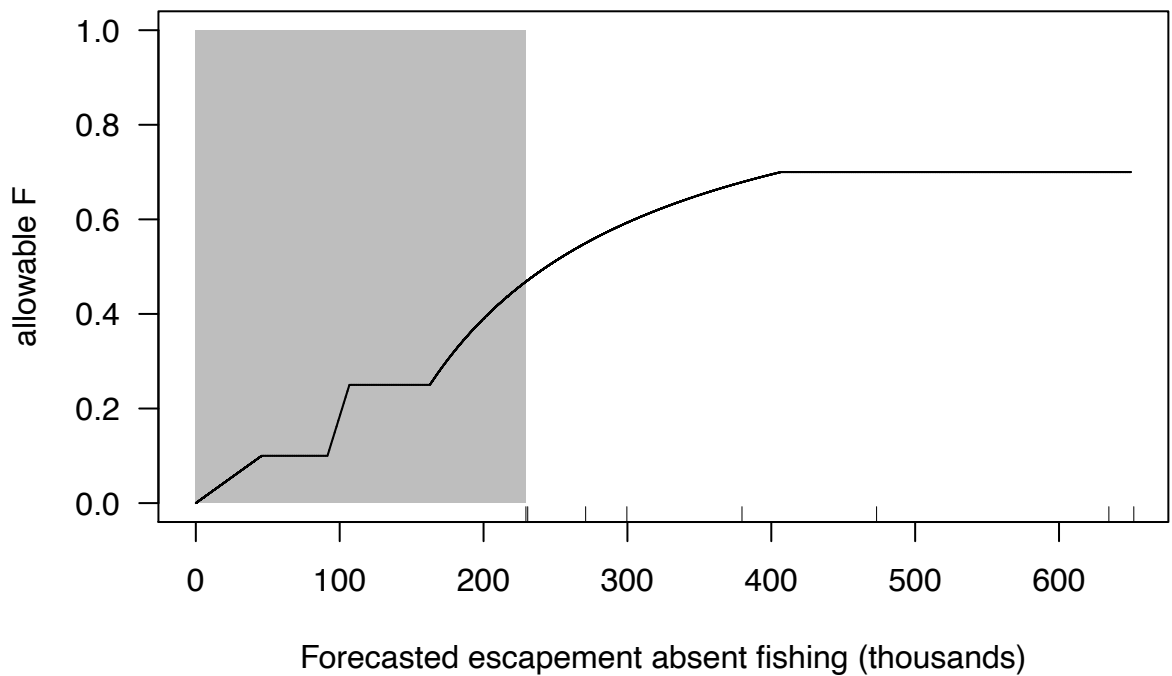
281           To generate an appropriate  $F_{adj}$ , we first applied the SRFC control rule (Figure 1, PFMC  
282 2021a) to determine the allowable exploitation rate,  $F_{control}$ . We then searched PFMC preseason  
283 planning records for additional SRFC-specific guidance (generally expressed as crafting fisheries  
284 to target an escapement goal larger than  $S_{MSY}$ , see Supplementary Material) and determined the

285 allowable exploitation rate  $F_{guidance}$  needed to accommodate the additional guidance (in the  
 286 absence of additional guidance,  $F_{guidance}=F_{control}$ ). For example, for a target escapement  $E_{guidance}$ ,

287 
$$F_{guidance} = \frac{N_{pre} - E_{guidance}}{N_{pre}} \quad \text{Equation 11}$$

288 Note that Equation 11 neglects the effects of natural mortality or maturation rates, but this  
 289 follows the convention in SRFC management models (O’Farrell et al. 2013). Note that blind  
 290 application of Equation 11 regardless of how much a bias correction and/or buffer reduced a  
 291 forecast could theoretically lead to  $F_{guidance}<0$ , so we constrained  $F_{guidance}\geq 0$ .

292  
 293 **Figure 1.** Control rule for SRFC. Hash marks denote forecasts of record during 2014-2021 (note  
 294 two forecasts were very close together near 641 thousand). The shaded region indicates  
 295 uncharted territory of the control rule, which has the steepest sections and allowable exploitation  
 296 rates that have not been generated in practice as of 2021.



297  
 298

299 To account for mixed stock constraints (e.g., it may be impossible to plan a fishing  
 300 season expected to achieve the full exploitation rate  $F_{guidance}$  on SRFC without being expected to  
 301 exceed the allowable impacts on endangered Sacramento River Winter Chinook [O’Farrell and  
 302 Satterthwaite 2015]), we then determined the exploitation rate that managers expected to achieve  
 303 based on the regulations ultimately adopted,  $F_{plan}^*$  from Table 5 of each year’s Preseason Report  
 304 III. If  $F_{plan}^*$  was less than  $F_{guidance}$  we set  $F_{plan}=F_{plan}^*$ ; otherwise  $F_{plan}=F_{guidance}$

305 Finally, we determined the historical exploitation rate  $F_{rec}$  as the postseason estimate of  
 306 the SRFC exploitation rate reported by the PFMC (2022a). We assumed that if the adjusted  
 307 forecast would have led to a different planned exploitation rate, the same proportional  
 308 implementation error would have occurred. Thus, we set the hypothetical alternative exploitation  
 309 rate as:

$$310 \quad F_{adj} = F_{plan} \frac{F_{rec}}{F_{plan}^*} \quad \text{Equation 12}$$

311 We then used  $N_{post}$  and  $F_{adj}$  to determine the harvest  $H$  and escapement  $E$  expected upon  
 312 implementation of management based on the adjusted forecast,

$$313 \quad H_{adj} = F_{adj} N_{post} \quad \text{Equation 13}$$

$$314 \quad E_{adj} = N_{post} - H_{adj} \quad \text{Equation 14}$$

315 and compared these to the harvest and escapement estimates of record  $H_{rec}$  and  $E_{rec}$  (Table II-1 of  
 316 PFMC 2022a)

317 Finally, we calculated mean harvest across all years for the baseline and adjusted  
 318 scenarios, tracked the frequency of escapements less than the  $S_{MSY}$  (122,000) and Minimum  
 319 Stock Size Threshold (MSST, 91,500) reference points, and calculated status each year based on  
 320 the geometric mean of escapements over the last three years. Following PFMC nomenclature,  
 321 stock status was “OK” if it never became “overfished” and was classified as “overfished” if the

322 three-year geometric mean escapement fell below the MSST. The stock remained overfished if  
323 the three-year geometric mean  $E$  was less than MSST, was “rebuilding” if the three-year  
324 geometric mean  $E$  was at or above MSST but below  $S_{MSY}$ , and “rebuilt” if the three-year  
325 geometric mean  $E$  was at or above  $S_{MSY}$  (PFMC 2021a).

326 To put differences in annual mean harvest among the different scenarios in context, we  
327 also calculated the mean annual harvest expected if the exploitation rates planned at the end of  
328 the preseason planning process ( $F_{plan}^*$ ) had been implemented without error (so removing the  
329 effects of implementation error, but leaving effects of forecast error and mixed stock constraints  
330 on allowable harvest rates) or if exploitation rates corresponding to application of the control rule  
331 to the postseason abundance estimate had been applied without error in place of the forecast (so  
332 removing the effects of forecast error, implementation error, and mixed stock constraints).

333

### 334 *2.7 Simulated prospective application of bias correction and/or buffers to SRFC*

335 The retrospective exercise had the advantage of incorporating *ad hoc* PFMC guidance  
336 and mixed-stock constraints, but only explored a limited range of abundance forecasts – in  
337 particular, the 2014-2022 period did not include any instances where the unadjusted forecast was  
338 less than 229,432 or the allowable exploitation was less than 46% and therefore did not involve  
339 the complicated control rule shapes that govern fishing at lower abundances (see shaded region  
340 in Figure 1) where the consequences of adjusting forecasts may be more pronounced.

341 To simulate application of bias corrections and buffers to management, we modified the  
342 closed loop simulation of SRFC developed for the SRFC Rebuilding Analysis (O’Farrell and  
343 Satterthwaite 2021). Under this approach, we simulated the pre-fishing abundance  $N_{sim}$  into the  
344 future based on autocorrelated draws from a lognormal distribution parameterized based on the



345 postseason abundance estimates for SRFC from 1995-2022 (yielding arithmetic-scale mean 461  
346 thousand fish, log-scale standard deviation 0.957, and log-scale autocorrelation 0.784). We  
347 simulated a biased, noisy forecast as

$$348 \quad N_{pre,sim} = N_{sim} e^{0.473 - 5.49 \times 10^{-7} N_{sim} + \epsilon} \quad \text{Equation 15}$$

349 where

$$350 \quad \epsilon \sim \text{Normal}(\text{mean} = 0, \text{SD} = 0.419) \quad \text{Equation 16}$$

351 and  $N_{pre,sim}$  is the simulated preseason forecast. Equations 15 and 16 were parameterized based  
352 on fitting a linear model of the log (preseason:postseason) forecast ratio as a function of the  
353 logged postseason abundance estimate to SRFC observations from 1995-2021 (Figure S.1 in the  
354 Supplementary Material). We included abundance as a predictor of forecast error because in 11  
355 years with a postseason SRFC abundance estimate less than 500,000, there were nine cases of  
356 over-forecasting, some of which were substantial, compared to relatively small proportional  
357 under-forecasts in the remaining two years. To avoid extrapolating this relationship beyond the  
358 range of the input data, when  $N_{sim}$  was greater than the highest postseason estimate on record, we  
359 applied the multiplier corresponding to the maximum observed postseason abundance.

360 We then performed 2,000 replicate simulations of 25 years each, starting from conditions  
361 in 2021. For each simulated year, we determined a target exploitation rate based on applying the  
362 SRFC control rule to  $N_{pre,sim}$  or  $N_{pre,sim}$  after adjustment using each of the bias correction and/or  
363 buffers described previously (we did not simulate updating these values based on simulated  
364 data). To approximate mixed-stock constraints, we limited the target exploitation rate to be no  
365 higher than 0.60, based on a maximum preseason expected exploitation rate of 0.58 for 2014-  
366 2021. Following O'Farrell and Satterthwaite (2021), we modeled the achieved exploitation rate  
367 using a random draw from a beta distribution with mean equal to the target exploitation rate and

368 a CV of 0.10. We then tracked the simulated harvest and escapement each year, and determined  
369 the mean annual probability of being in overfished status, frequency of allowable exploitation  
370 rates  $<0.25$  or  $<0.10$ , mean and median annual SRFC harvest, frequency of escapement less than  
371  $S_{MSY}$ , and frequency of escapement less than MSST.

372 Although O'Farrell and Satterthwaite (2021) simulated observation error in escapements  
373 (but not harvests), they had no empirical basis for the value used. Since we were more interested  
374 in true stock status than estimated status, we ignored observation error. Note also that although  
375 the autocorrelated abundance was meant to capture some degree of biological realism relative to  
376 independent random draws, this analysis neglects the effects of escapement on future production  
377 (i.e., a stock-recruit relationship) through both natural production and the ability of hatcheries to  
378 meet their production goals.

379

## 380 *2.8 Data availability*

381 Compiled data, along with the code required to reproduce all results presented here, are  
382 available from Mendeley Data at <https://dx.doi.org/10.17632/pym9v82t7b.2>.

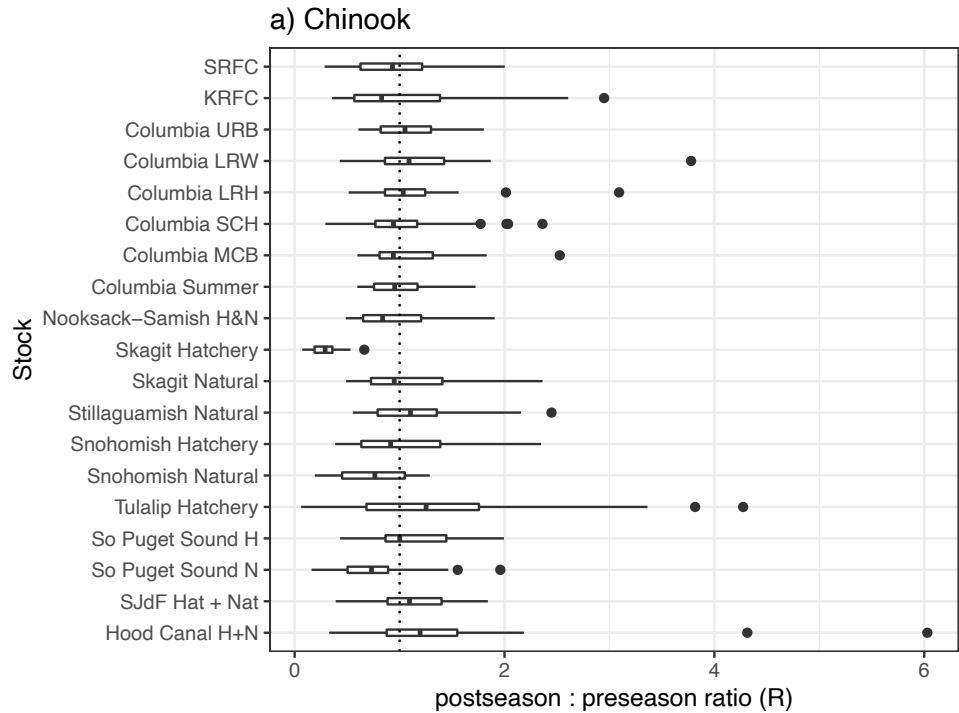
383

## 384 **3. Results**

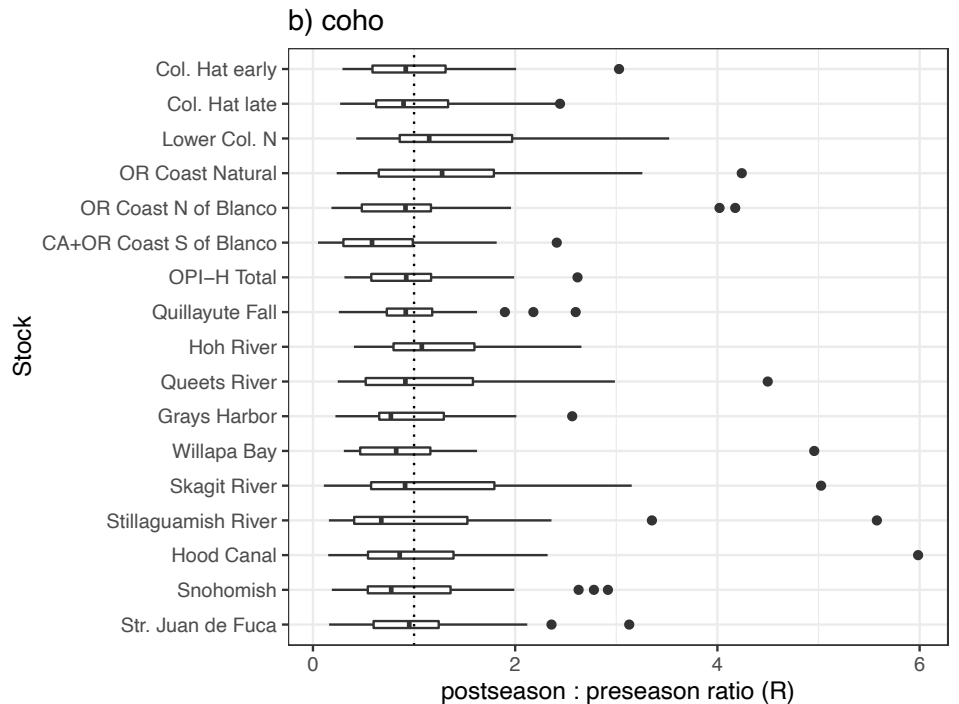
385 Forecast performance was highly variable across years (Figure 2), and over-forecasting  
386 (i.e., postseason abundance estimate less than the preseason forecast) was more common than  
387 under-forecasting for 11 out of 19 Chinook stocks and 14 out of 17 coho stocks. Over-  
388 forecasting occurred more often, and to a greater proportional extent, at low abundance (Figure  
389 3).

390

391 **Figure 2.** Box plots displaying the annual distribution of ratios between postseason abundance  
 392 and preseason forecast. Values less than one (to the left of the dotted line) indicate over-  
 393 forecasting. In box plots, the vertical lines are the medians (derived as the midpoint of an ordered  
 394 list, and thus possibly divergent from  $C$  calculated assuming a lognormal distribution), boxes are  
 395 the central quartiles (25%-75%), whiskers are  $\pm 1.5$  interquartile range, and dots are individual  
 396 observations more than 1.5 times the interquartile range beyond the median.

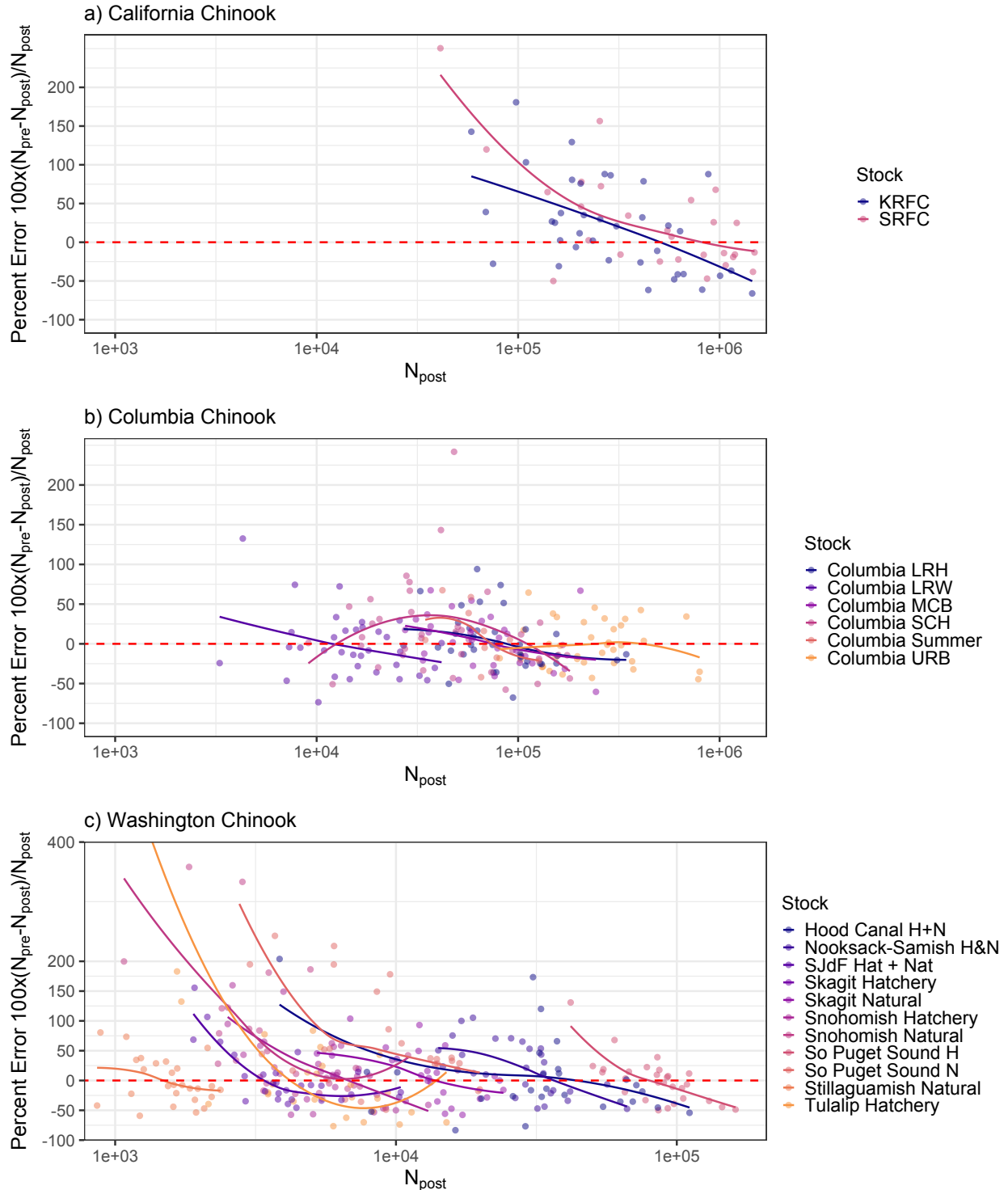


397

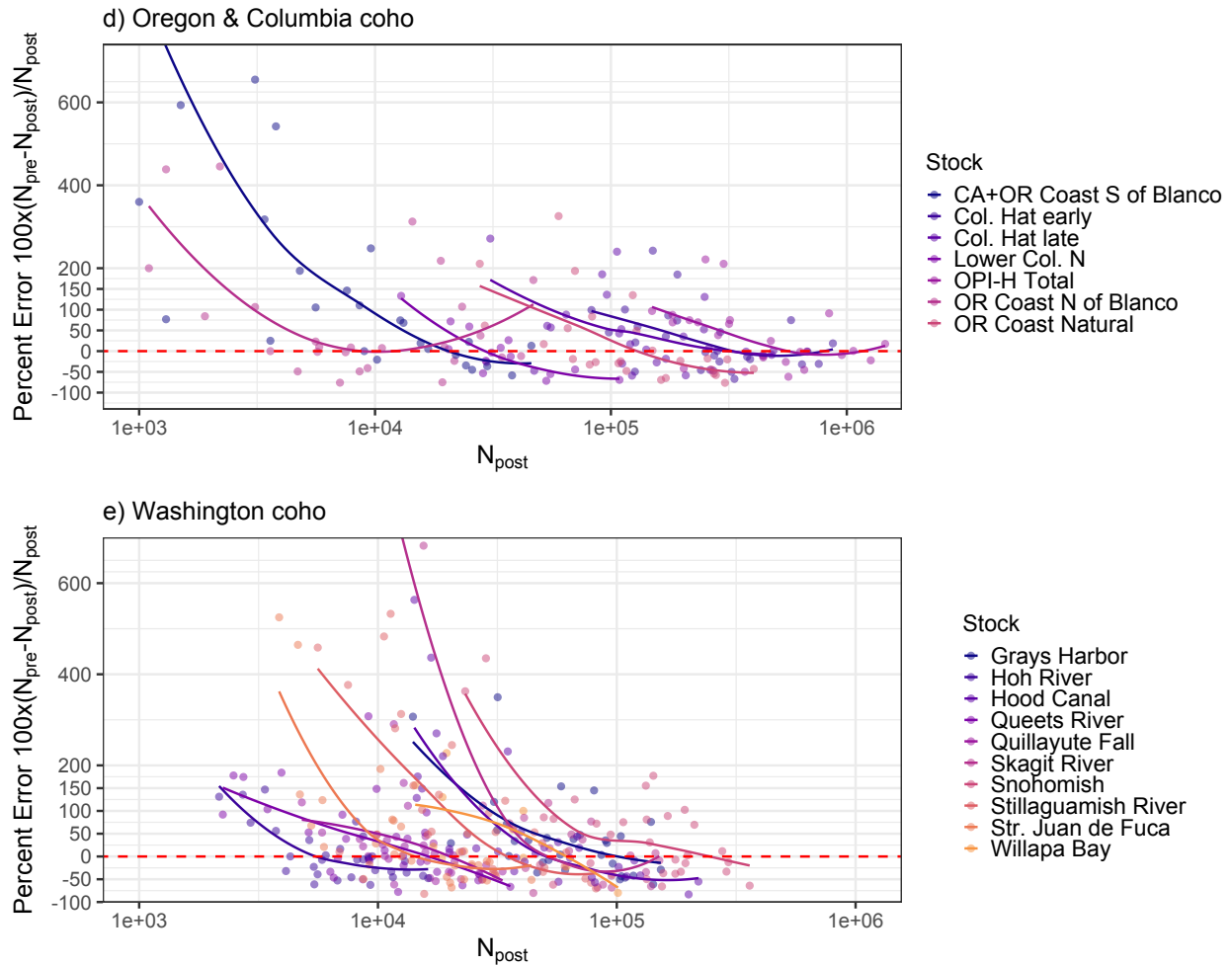


398  
399

400 **Figure 3.** Relationship between postseason abundance and forecast error for each stock. The  
 401 fitted curves are loess smoothed fits. Stocks are grouped by species and region, and distinguished  
 402 by darkness (print version) or color (online) within each grouping. A small number of very large  
 403 positive percent errors are outside of the plotted range, but included in calculation of the  
 404 smoothed fits.



405



406

407

408 *3.1 Quantification of forecast uncertainty and bias*

409 Point estimates of  $C$  indicated over-forecasting for nine of 19 Chinook stocks over the  
 410 full time period available, with the 80% confidence interval excluding a median ratio of 1.0 in  
 411 five cases and the 95% confidence interval excluding it in three cases (Table 1). The point  
 412 estimate of  $C$  indicated under-forecasting in ten cases, although the 80% confidence interval only  
 413 excluded 1.0 in one of these cases, and the 95% confidence interval never excluded 1.0. For coho  
 414 stocks, the point estimate of  $C$  indicated over-forecasting in 14 out of 17 stocks, with 80%  
 415 confidence intervals excluding 1.0 in six cases and 95% confidence intervals excluding it in one

416 case. For the three coho stocks where the point estimate indicated under-forecasting, 80%  
417 confidence intervals included 1.0 in two cases. The log-scale standard deviation ( $\sigma$ ) ranged from  
418 0.29 to 0.94 for Chinook salmon and 0.50 to 0.94 for coho. The quality of fit of the assumed  
419 lognormal distribution to yearly values varied substantially across stocks (Supplementary Figure  
420 S.2).

421 For just the common period 2001-2020 (Table S.1 in Supplementary Material), patterns  
422 were broadly similar, although some stocks had to be dropped from the analysis due to  
423 inadequate temporal coverage and confidence intervals generally widened due to the smaller  
424 sample sizes. The 80% confidence intervals on  $C$  for Snohomish Hatchery Chinook and Strait of  
425 Juan de Fuca coho in the recent dataset indicated over-forecasting despite including 1.0 for the  
426 longer dataset, while the 80% confidence intervals on  $C$  were entirely above 1.0 (but only by  
427 0.0006 or 0.00005, respectively) indicating under-forecasting for Hood Canal Chinook and Strait  
428 of Juan de Fuca Chinook despite including 1.0 in the longer dataset. Otherwise results were  
429 broadly similar between the full dataset and recent period, except that confidence intervals on  $C$   
430 grew to include 1.0 for several stocks (Columbia Lower River Wild Chinook, Oregon Coast  
431 North of Cape Blanco coho, Oregon Production Index-Hatchery Total coho, Grays Harbor coho,  
432 Stillaguamish River coho, and Snohomish coho) where it was excluded in the full dataset.

433

434 **Table 1.** Summary of forecast performance (postseason abundance : preseason forecast ratio  $C$ ) for all available years. Bold text  
 435 denotes stocks where the 95% confidence interval on  $C$  excluded 1.0. Values of 1.00 are greater than 1.00 at full precision, values  
 436 between 0.99 and 1.00 are reported to a higher precision.  
 437

Species	Stock	Year Range	post:pre ratio									
			$C_{all}$	$CV_{all}$	80% $CI_{all}$		95% $CI_{all}$		$\sigma_{all}$	$\sigma_{0,all}$		
Chinook	SRFC	1995 - 2021	0.89	51%	0.79	-	0.998	0.74	-	1.06	0.49	0.50
	KRFC	1985 - 2021	0.93	59%	0.83	-	1.05	0.78	-	1.11	0.54	0.55
	Columbia URB	1984 - 2021	1.06	29%	0.997	-	1.12	0.97	-	1.16	0.29	0.29
	Columbia LRW	1988 - 2021	1.11	44%	1.01	-	1.22	0.97	-	1.28	0.42	0.43
	Columbia LRH	1984 - 2021	1.04	36%	0.97	-	1.12	0.93	-	1.16	0.35	0.35
	Columbia SCH	1984 - 2021	0.96	47%	0.88	-	1.05	0.83	-	1.10	0.44	0.44
	Columbia MCB	1990 - 2021	1.02	35%	0.94	-	1.10	0.90	-	1.15	0.34	0.34
	Columbia Summer	2012 - 2021	0.95	34%	0.83	-	1.08	0.77	-	1.16	0.33	0.33
	Nook.-Samish H&N	1993 - 2020	0.89	42%	0.81	-	0.98	0.77	-	1.03	0.40	0.42
	<b>Skagit Hatchery</b>	<b>2004 - 2020</b>	<b>0.25</b>	<b>72%</b>	<b>0.20</b>	-	<b>0.30</b>	<b>0.18</b>	-	<b>0.33</b>	<b>0.64</b>	<b>1.59</b>
	Skagit Natural	1993 - 2020	1.01	45%	0.91	-	1.12	0.87	-	1.19	0.43	0.43
	Stillaguamish Natural	1995 - 2020	1.09	41%	0.99	-	1.20	0.94	-	1.27	0.40	0.41
	Snohomish Hatchery	1994 - 2020	0.96	55%	0.84	-	1.09	0.79	-	1.16	0.52	0.52
	<b>Snohomish Natural</b>	<b>1993 - 2020</b>	<b>0.65</b>	<b>61%</b>	<b>0.57</b>	-	<b>0.74</b>	<b>0.53</b>	-	<b>0.80</b>	<b>0.56</b>	<b>0.71</b>
	Tulalip Hatchery	1993 - 2020	1.06	119%	0.84	-	1.33	0.75	-	1.50	0.94	0.94
	So Puget Sound H	1993 - 2020	1.07	38%	0.98	-	1.16	0.93	-	1.22	0.37	0.37
	<b>So Puget Sound N</b>	<b>1993 - 2020</b>	<b>0.68</b>	<b>63%</b>	<b>0.59</b>	-	<b>0.78</b>	<b>0.55</b>	-	<b>0.84</b>	<b>0.58</b>	<b>0.70</b>
	SJdF Hat + Nat	1993 - 2020	1.04	40%	0.95	-	1.14	0.90	-	1.20	0.39	0.39
	Hood Canal H+N	1994 - 2020	1.17	72%	0.999	-	1.37	0.92	-	1.49	0.64	0.66
	Coho	Col. Hat early	1996 - 2021	0.90	61%	0.78	-	1.04	0.73	-	1.12	0.56
Col. Hat late		1996 - 2021	0.86	68%	0.73	-	1.00	0.68	-	1.09	0.62	0.64
Lower Col. N		2007 - 2021	1.23	68%	1.00	-	1.51	0.90	-	1.68	0.62	0.66
OR Coast Natural		1996 - 2021	1.11	85%	0.92	-	1.34	0.84	-	1.48	0.74	0.75

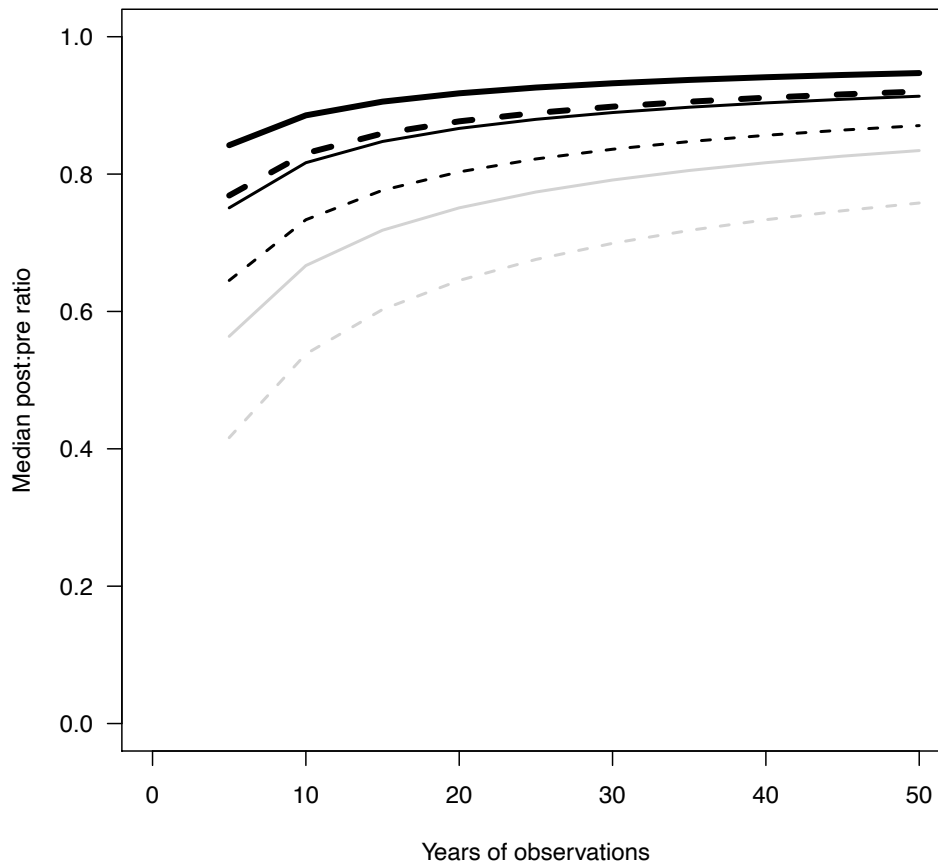
OR Coast N of Blanco	1996 - 2021	0.80	98%	0.65 - 0.99	0.59 - 1.10	0.82	0.85
<b>CA+OR Co S of Blanco</b>	<b>1996 - 2021</b>	<b>0.54</b>	<b>118%</b>	<b>0.42 - 0.68</b>	<b>0.37 - 0.77</b>	<b>0.93</b>	<b>1.13</b>
OPI-H Total	1996 - 2021	0.86	58%	0.76 - 0.99	0.70 - 1.06	0.54	0.56
Quillayute Fall	1990 - 2020	0.92	53%	0.82 - 1.04	0.78 - 1.10	0.50	0.50
Hoh River	1990 - 2020	1.10	55%	0.97 - 1.23	0.91 - 1.31	0.51	0.52
Queets River	1990 - 2020	0.94	82%	0.80 - 1.11	0.73 - 1.21	0.72	0.72
Grays Harbor	1990 - 2020	0.85	64%	0.74 - 0.97	0.69 - 1.04	0.59	0.61
Willapa Bay	2010 - 2020	0.84	93%	0.62 - 1.14	0.53 - 1.34	0.79	0.81
Skagit River	1997 - 2020	0.95	118%	0.75 - 1.22	0.66 - 1.39	0.94	0.94
Stillaguamish River	1990 - 2020	0.76	118%	0.61 - 0.94	0.55 - 1.05	0.93	0.97
Hood Canal	1990 - 2020	0.84	96%	0.70 - 1.01	0.63 - 1.12	0.81	0.83

438  
439



440 Power to confidently detect bias was limited (Figure 4) due to a combination of high  
 441 inter-annual variability and modest sample sizes. With a typical  $\sigma = 0.5$ , over-forecasting with  $C$   
 442 of 0.80 would require at least nine years of data for the 80% confidence interval to support this  
 443 bias and at least 19 years for the 95% confidence interval to support it. For the typical 30-year  
 444 dataset with  $\sigma = 0.5$ ,  $C$  would need to be less than 0.89 for support via the 80% confidence  
 445 interval or less than 0.84 for the 95% confidence interval. For  $\sigma = 1.0$ , even 50 years of data  
 446 would not suffice for the 80% confidence interval to exclude 1.0 if  $C$  was greater than 0.83.

447 **Figure 4.** The maximum of the median ratio of postseason abundance : preseason forecast for  
 448 which the 80% (solid lines) or 95% (dashed lines) confidence interval would exclude 1.0 given  
 449  $\sigma = 0.3$  (thick black lines), 0.5 (thin black lines) or 1.0 (thin grey lines) increases with increasing  
 450 years of observations.



451  
 452

453 *3.2 Potential drivers of forecast performance*

454 Relationships between time and forecast performance (i.e., linear models of  $\log(R)$  as a  
455 function of year) rarely met the  $p < 0.05$  criterion for statistical significance, but KRFC, Tulalip  
456 Hatchery Chinook, California/Oregon coho South of Cape Blanco, and Queets River coho  
457 showed a significant tendency toward increased incidence of under-forecasting over time while  
458 Stillaguamish River coho showed a significant tendency toward increased incidence of over-  
459 forecasting (Figure S.3 , Table S.2 in Supplementary Material). Statistical considerations  
460 precluded simple regressions of forecast performance against postseason abundance estimates  
461 (because postseason abundance would occur on both sides of the regression equation), but Figure  
462 3 strongly suggests a tendency to over-forecast at low abundance for most stocks.

463 *3.3 Alternative quantification of uncertainty, assuming unbiased forecasts*

464 Estimates of the log-scale standard deviation assuming unbiased forecasts ( $\sigma_0$ ) were  
465 always larger than the corresponding  $\sigma$  for each stock, with small differences for stocks with  
466 small estimated biases in their forecasts and larger differences when estimated biases were more  
467 substantial (Table 1 and Supplementary Table S.1).

468

469 *3.4 Evaluation of potential bias corrections and uncertainty buffers*

470 To give an indication of how well an initial estimate of  $C$  would predict the utility of bias  
471 corrections or buffers going forward, Table 2 reports the estimate of  $C$  and its 80% confidence  
472 interval based on the first decade available for each stock for which one-year-ahead application  
473 of potential bias corrections and/or buffers was performed, along with MPE in the raw forecasts  
474 or adjusted forecasts for each year in the testing dataset. Note that out of the nine stocks included  
475 in this table for which the 80% confidence interval on  $C$  for the full dataset indicated over-

476 forecasting, three had estimates of  $C$  for the first ten years  $>1.0$  (i.e., suggesting under-  
477 forecasting), and in a fourth case the 80% confidence interval included unbiased forecasts.  
478 Conversely, for both stocks where the 80% confidence intervals from the full dataset indicated  
479 under-forecasting, this was also the case for the point estimate from the first decade.  
480 Supplementary Table S.3.a reports performance of raw versus adjusted forecasts using MAPE,  
481 and Supplementary Table S.3.b reports performance measured via MLAR.

482 For all but three out of 32 cases, a buffer improved performance according to MPE, and  
483 more conservative buffers often performed better. For MPE raw forecasts performed best (had  
484 MPE closest to zero) in only two cases, both Chinook; and a bias correction without buffer  
485 ( $P^*=0.50$ ) performed best for one Chinook stock (Table 2). A bias correction plus  $P^*=0.33$   
486 buffer performed best in 13 cases,  $P^*=0.33$  with no bias correction performed best in six cases,  
487 bias correction plus  $P^*=0.40$  performed best in five cases,  $P^*=0.40$  with no bias correction  
488 performed best in three cases, and  $P^*=0.45$  with no bias correction performed best in two cases  
489 (bias correction plus  $P^*=0.45$  never performed best by MPE). Note that given either choice  
490 regarding use of bias correction,  $P^*=0.33$  was the optimal buffer according to MPE most often  
491 and  $P^*=0.45$  was optimal least often.

492 Results for MAPE were broadly similar to results for MPE (Supplementary Table S.3.a),  
493 although application of a bias correction was favored less often (perhaps reflecting MPE's  
494 greater sensitivity to bias). In some but not all cases where MAPE favored dropping the bias  
495 correction it also favored a more conservative (lower  $P^*$ ) buffer. Overall, MAPE favored  
496  $P^*=0.33$  with no bias correction 13 times,  $P^*=0.33$  with a bias correction 11 times,  $P^*=0.40$  with  
497 no bias correction three times,  $P^*=0.40$  with a bias correction once,  $P^*=0.45$  with no bias  
498 correction twice, and raw forecasts twice. Results for MLAR diverged more substantially from

499 the MPE and MAPE results and generally favored less precautionary approaches, which likely  
500 reflects the different sensitivities of mean versus median error (Supplementary Table S.3.b).  
501 Overall, MLAR favored raw forecasts in six cases, a bias correction with no buffer in four cases,  
502  $P^*=0.45$  with no bias correction in six cases,  $P^*=0.45$  with a bias correction in four cases,  
503  $P^*=0.40$  with no bias correction in one case,  $P^*=0.40$  with a bias correction in six cases,  $P^*=0.33$   
504 with no bias correction in two cases, and  $P^*=0.33$  with a bias correction in three cases. There  
505 was no stock for which the raw forecast was identified as the best approach according to all three  
506 scoring metrics.  
507

508 **Table 2.** Performance of raw or adjusted forecasts for the period after the first ten years as measured via Mean Percent Error (MPE). *C*  
509 is the median postseason:preseason ratio estimated for the first ten years of data. Start year indicates the beginning of the period over  
510 which performance was tested. Note that *C* estimates for the first decade were not always concurrent with the longer-term conclusions  
511 regarding bias. Bold text indicates the adjustment (or lack thereof) performing best (closest to zero error, regardless of sign) for each  
512 stock-performance metric combination. Italics in the bias corrected, no buffer (i.e., P\*=0.50) column indicate cases where the bias-  
513 adjusted forecast outperformed the “raw” forecast receiving neither a bias correction nor a buffer. (Some cases appear to be ties at the  
514 precision reported in the table, but optimal choices were identified at full precision.)  
515

MPE		First Decade				Apply bias correction						Assume unbiased		
Sp.	Stock	<i>C</i>	80% CI		Start	raw	no buffer	P=0.45	P=0.40	P=0.33	P=0.45	P=0.40	P=0.33	
Chnk	SRFC	1.08	0.97	-	1.22	2005	45%	35%	28%	21%	<b>12%</b>	37%	29%	19%
	KRFC	1.03	0.78	-	1.35	1995	25%	29%	20%	12%	<b>1%</b>	16%	8%	-3%
	Columbia URB	1.12	1.04	-	1.20	1994	1%	12%	9%	5%	<b>0%</b>	-2%	-6%	-10%
	Columbia LRW	1.20	1.06	-	1.36	1998	2%	20%	15%	9%	<b>1%</b>	-3%	-9%	-16%
	Columbia LRH	0.96	0.85	-	1.09	1994	<b>-1%</b>	6%	2%	-3%	-9%	-5%	-9%	-15%
	Columbia SCH	1.05	0.92	-	1.21	1994	21%	24%	18%	13%	5%	15%	10%	<b>2%</b>
	Columbia MCB	1.01	0.90	-	1.14	2000	4%	8%	3%	-1%	-7%	<b>0%</b>	-4%	-10%
	Nook.-Samish H&N	1.08	0.90	-	1.29	2003	32%	29%	22%	16%	<b>7%</b>	25%	18%	9%
	Skagit Natural	1.22	0.98	-	1.52	2003	14%	23%	15%	9%	<b>-1%</b>	8%	1%	-8%
	Stillaguamish Natural	1.03	0.93	-	1.15	2005	-2%	<b>2%</b>	-3%	-7%	-12%	-6%	-10%	-16%
	Snohomish Hatchery	1.04	0.83	-	1.32	2004	22%	13%	5%	<b>-2%</b>	-11%	14%	6%	-5%
	Snohomish Natural	0.79	0.68	-	0.91	2003	109%	45%	36%	28%	<b>17%</b>	93%	78%	59%
	Tulalip Hatchery	1.86	1.49	-	2.33	2003	131%	200%	175%	152%	121%	109%	89%	<b>63%</b>
	So Puget Sound H	1.19	1.06	-	1.34	2003	7%	23%	18%	14%	7%	3%	<b>-1%</b>	-7%
	So Puget Sound N	0.78	0.68	-	0.89	2003	96%	26%	19%	12%	<b>3%</b>	81%	66%	47%
	SJdF Hat + Nat	0.90	0.77	-	1.06	2003	<b>-4%</b>	-6%	-11%	-15%	-22%	-9%	-14%	-20%
	Hood Canal H+N	1.46	1.05	-	2.04	2004	10%	43%	31%	21%	6%	<b>0%</b>	-9%	-20%
coho	Col. Hat early	1.05	0.87	-	1.27	2006	43%	45%	36%	28%	16%	35%	26%	<b>15%</b>
	Col. Hat late	0.90	0.71	-	1.13	2006	45%	38%	29%	20%	<b>8%</b>	35%	26%	13%

OR Coast Natural	1.28	0.94	-	1.75	2006	29%	55%	40%	27%	10%	17%	<b>5%</b>	-10%
OR Coast N of Blanco	0.66	0.51	-	0.84	2006	64%	27%	16%	<b>5%</b>	-9%	48%	34%	15%
CA+OR Co S Blanco	1.06	0.82	-	1.36	2006	319%	202%	175%	150%	<b>117%</b>	276%	237%	187%
OPI-H Total	0.96	0.81	-	1.14	2006	45%	40%	32%	24%	<b>14%</b>	37%	29%	18%
Quillayute Fall	0.95	0.74	-	1.23	2000	20%	18%	11%	<b>3%</b>	-6%	13%	5%	-4%
Hoh River	1.23	0.97	-	1.57	2000	7%	28%	19%	11%	<b>0%</b>	-1%	-8%	-17%
Queets River	1.21	0.93	-	1.57	2000	50%	72%	57%	44%	26%	37%	25%	<b>9%</b>
Grays Harbor	0.70	0.56	-	0.86	2000	29%	13%	5%	-3%	-13%	20%	11%	<b>-1%</b>
Skagit River	0.87	0.61	-	1.24	2007	58%	55%	38%	24%	<b>5%</b>	41%	26%	7%
Stillaguamish River	0.35	0.27	-	0.46	2000	28%	-19%	-28%	-36%	-46%	12%	<b>-2%</b>	-20%
Hood Canal	0.65	0.44	-	0.96	2000	32%	19%	6%	<b>-5%</b>	-19%	18%	5%	-11%
Snohomish	0.62	0.53	-	0.73	2000	41%	21%	12%	<b>3%</b>	-9%	30%	19%	5%
Str. Juan de Fuca	1.13	0.90	-	1.43	2000	67%	70%	56%	44%	27%	54%	41%	<b>24%</b>

517 *3.5 Retrospective application of bias correction and/or buffers to SRFC*

518 Expected management consequences varied depending on the application of a bias  
519 correction and the level of buffering applied, compared to the outcomes observed under 2014-  
520 2021 status quo management (Table 3). Of the scenarios explored, only a bias correction along  
521 with  $P^* \leq 0.33$  or a buffer with  $P^* \leq 0.25$  (if assuming unbiased forecasts) were predicted to  
522 prevent overfished status, at a cost of approximately 40,000 fewer SRFC harvested annually (or  
523 larger costs for even more conservative buffers). However, numerous options could have reduced  
524 the duration of the overfished state and/or reduced the number of low escapement years at lower  
525 cost to harvest (Table 3). If the exploitation rate expected at the end of the preseason planning  
526 process had been implemented without error each year (i.e. if there was no implementation error,  
527 but the observed levels of forecast error and mixed-stock constraints), annual harvest would have  
528 been 158,638 fish; within 1,000 fish of a scenario that could have prevented overfished status  
529 (note however that removing implementation error alone would not be predicted to have avoided  
530 overfished status, due to the over-forecast of the critically low 2017 abundance and allowing a  
531 high harvest rate on it). Thus, overfished status could have been prevented at a cost comparable  
532 to the overages resulting from implementation error alone (or shortened at even lower cost), and  
533 less than the overages resulting from over-forecasting and implementation error combined.  
534 Conversely, if the full exploitation rate allowed by the control rule applied to true abundance  
535 could be achieved each year (i.e., in the absence of forecast and implementation error and mixed  
536 stock constraints), annual harvest would have been 189,998 (versus an estimated actual harvest  
537 of 197,313). Note also that these scenarios do not account for the potential benefits of increased  
538 natural production due to higher spawning escapement for future harvest and escapement.

539 **Table 3.** Management outcomes for 2014-2020 based on management actually implemented, as  
 540 well as modified outcomes expected based on alternative scenarios for applying a bias correction  
 541 and/or uncertainty buffer.  
 542

Scenario	Mean ann. SRFC harvest	Years overfished	Years rebuilding	Years Esc<S <sub>MSY</sub>	Years Esc<MSST
Status quo	197,313	3	0	5	2
Bias adjustment, no buffer (P*=0.5)	186,469	2	1	4	2
Bias adjustment, P*=0.45 buffer	179,193	1	1	3	2
Bias adjustment, P*=0.40 buffer	170,790	1	1	3	1
Bias adjustment, P*=0.33 buffer	156,871	0	0	3	1
Bias adjustment, P*=0.25 buffer	143,060	0	0	2	1
Bias adjustment, P*=0.10 buffer	116,909	0	0	2	1
Assume unbiased, P*=0.45 buffer	193,336	2	1	5	2
Assume unbiased, P*=0.40 buffer	187,306	2	1	4	2
Assume unbiased, P*=0.33 buffer	175,637	1	1	3	1
Assume unbiased, P*=0.25 buffer	157,860	0	0	3	1
Assume unbiased, P*=0.10 buffer	127,638	0	0	2	1

543  
 544 *3.6 Simulated prospective application of bias correction and/or buffers to SRFC*

545 Simulated intermediate-term (next 25 years) performance (Table 4) of the various  
 546 forecast treatments showed similar patterns to the retrospective analysis. The probability of  
 547 overfished status was highest if raw forecasts were used without adjustment, declining if a bias  
 548 correction was applied and declining with the amount of buffering applied. Similarly,  
 549 increasingly precautionary approaches decreased the frequency of years with low escapement but  
 550 increased the frequency of years with low allowable exploitation rates (although allowable  
 551  $F < 0.10$  was rare across all scenarios, and occurred less than 5% of the time with  $P^* \geq 0.25$ ).  
 552 Although mean harvest generally declined slightly with increasing precaution, differences were  
 553 generally small (<10% for  $P^* \geq 0.25$ ) and sometimes swamped by stochasticity (even with 2,000  
 554 replicates) that caused departures from the expected monotonic decline with increased  
 555 precaution. Median harvest showed a stronger decline with increasing precaution, but remained



556 within 10% of baseline for  $P^* \geq 0.33$  without bias correction or  $P^* \geq 0.40$  if accompanied by a bias  
557 correction. The lack of strong contrast in harvest except for the most precautionary scenarios is  
558 because numbers of fish harvested were primarily driven by high abundance years, and mean  
559 harvest was sensitive to random variation across runs in how high the highest simulated  
560 abundances were.  
561

562 **Table 4.** Simulated 25-year performance of SRFC management based on raw forecasts versus adjusted forecasts including a bias  
 563 correction and/or uncertainty buffer.

Scenario	Probability Overfished	Allowable F<0.25	Allowable F<0.10	Mean SRFC Harvest	Median SRFC Harvest	Escapement < S <sub>MSY</sub>	Escapement < MSST
Status quo (raw forecast)	0.27	8%	1.0%	262,544	169,687	47%	31%
Bias adjustment, no buffer (P*=0.5)	0.24	10%	1.6%	258,589	167,066	44%	28%
Bias adjustment, P*=0.45 buffer	0.22	11%	1.9%	251,865	161,478	43%	26%
Bias adjustment, P*=0.40 buffer	0.20	12%	2.2%	257,000	156,847	42%	25%
Bias adjustment, P*=0.33 buffer	0.19	14%	2.7%	251,383	150,369	40%	23%
Bias adjustment, P*=0.25 buffer	0.16	17%	3.6%	244,364	144,533	36%	20%
Bias adjustment, P*=0.10 buffer	0.13	27%	6.8%	221,479	102,482	29%	16%
Assume unbiased, P*=0.45 buffer	0.25	9%	1.4%	266,076	171,333	45%	29%
Assume unbiased, P*=0.40 buffer	0.24	10%	1.6%	252,895	162,101	45%	28%
Assume unbiased, P*=0.33 buffer	0.20	12%	2.1%	260,881	160,687	42%	25%
Assume unbiased, P*=0.25 buffer	0.19	15%	2.9%	248,802	152,169	39%	23%
Assume unbiased, P*=0.10 buffer	0.13	22%	5.1%	235,726	120,924	31%	17%

564  
 565

566 **4. Discussion**

567

568 *4.1 Prevalence of uncertainty*

569 We found evidence of substantial uncertainty in all salmon forecasts used by the PFMC.

570 Using the full available timeseries for each forecast, Chinook stocks had a median CV of 45%

571 (ranging as high as 119%) and coho stocks had a median CV of 80% (ranging as high as 118%).

572 Lewis (1982, as cited in Vélez-Espino et al. 2019) suggests classifying MAPE<10% as highly

573 accurate forecasting, 10-20% as good forecasting, 20-50% as reasonable forecasting, and

574 MAPE>50% as inaccurate forecasting. Under these criteria, none of the salmon forecasts

575 examined would qualify as either highly accurate or good, while four out of 17 Chinook

576 forecasts, and 13 out of 15 coho forecasts, would qualify as inaccurate. On top of the substantial

577 noise, we detected evidence for bias in multiple forecasts, despite limited statistical power. While

578 performing multiple tests may increase the risk of detecting spurious patterns, failure to account

579 for important covariates can also obscure real effects (Simpson 1951).

580 Forecasts varied in how well their annual performance was described by the assumed

581 lognormal distribution of proportional forecast errors (Figure S.2 in Supplementary Material).

582 This is not surprising given the presence of observation error in postseason abundance estimates

583 that is not accounted for in PFMC salmon management, confounding factors such as abundance

584 (as suggested here), time (Peterman et al. 2016) or environmental conditions (Satterthwaite et al.

585 2020) that may affect forecast performance, and the potential for the effects of confounding

586 factors to vary over time (Litzow et al. 2019). In addition, forecast methods for some stocks may

587 have changed over time in ways not captured by the PFMC reports we relied on for information

588 (SSC 2021a), a common problem in evaluating the performance of forecasts used in management

589 (Peterman et al. 2016).

590

591 *4.2 Suitability of bias corrections and buffers derived using this approach*

592 We identified statistical evidence of bias in several stocks. However, conclusions about  
593 the presence or even sign of bias were not always constant for the full timeseries versus shorter  
594 subsets, and precisely quantifying the amount of bias is difficult to impossible given typical  
595 inaccuracies and sample sizes. There was a tendency toward poorer forecast performance and  
596 over-forecasting at low abundance which we speculate may be statistically inevitable to some  
597 extent (i.e., only a limited amount of under-forecasting is possible at low abundance if forecasts  
598 are constrained to be positive), but still of concern in terms of its management implications. If a  
599 bias correction was deemed suitable for a particular case, we recommend applying the bias  
600 correction both when calculating allowable exploitation rates through the application of a control  
601 rule, and when inputting the forecast into a harvest model (e.g., SMAW 2022) that requires  
602 abundance forecasts for multiple stocks when setting quotas.

603 Application of uncertainty buffers-improved the forecast performance (as measured by  
604 MPE or MAPE) for most Chinook stocks and all coho stocks. This approach offers a  
605 quantitative, objective, and repeatable method for accommodating uncertainty and specifying  
606 degrees of risk tolerance, similar to the  $P^*/\sigma$  approach (Shertzer et al. 2008) used by the PFMC  
607 for groundfish and coastal pelagic species (PFMC 2020, 2021b), and by other fishery  
608 management entities. Although the annual forecast ratios were not always well described by the  
609 fitted lognormal distributions, the same could be said of many of the assessments used in the  
610 initial derivation of  $\sigma$  values for use by the PFMC (Ralston et al. 2011, see their Figure 3).  
611 Nevertheless, the Ralston et al. (2011) values informed management for about a decade and  
612 provided a valuable starting point for later analyses incorporating additional sources of

613 uncertainty (Wetzel and Hamel 2019, Privitera-Johnson and Punt 2020). Similarly, we view our  
614 proposed method not as an endpoint, but a potential starting point for formally incorporating  
615 uncertainty and risk tolerance decisions into salmon fishery management. If uncertainty buffers  
616 intended to reflect risk aversion are employed, it may be appropriate to incorporate them when  
617 determining allowable exploitation rates, but not when providing forecasts for multiple stocks as  
618 inputs into mixed-stock harvest models (e.g., SMAW 2022) to avoid complications in setting  
619 total catch quotas.

620 For forecasting methods that are capable of outputting predictive distributions rather than  
621 simply point estimates (O’Farrell et al. 2016, Auerbach et al. 2021), the buffer approach might  
622 use quantiles of the model-generated predictive distribution, perhaps ideally using a fully  
623 Bayesian approach. Additionally,  $\sigma$  values to inform buffer calculations could come from meta-  
624 analyses of related forecasts rather than using stock-specific distributions; and the values could  
625 be updated only periodically rather than annually to provide for some predictability and stability  
626 in the annual management process.

627

#### 628 *4.3 SRFC case study*

629 For the SRFC case study, applying a bias correction and uncertainty buffer yielded the  
630 highest forecast accuracy. Our retrospective evaluation showed that application of a bias  
631 correction alone was predicted to result in one less year in an “overfished” state and one less year  
632 of escapement below the  $S_{MSY}$  reference point. The addition of an uncertainty buffer was  
633 predicted to reduce or eliminate time spent in an overfished state. More precautionary buffers  
634 were also predicted to further reduce the frequency of under-escapement, including avoiding  
635 some cases of escapement below MSST. While application of a bias correction or buffer would

636 have reduced harvest, the reduction in harvest was similar to or less than the excess catch  
637 attributable to forecast and implementation error over the same years, except for the most  
638 precautionary buffers explored.

639 Our prospective evaluation for SRFC further demonstrated the ability of a bias correction  
640 and/or uncertainty buffer to reduce the risk of an overfished state or under-escapement. This  
641 came at a relatively small expected cost to the mean long-term harvest, which is most sensitive to  
642 harvest during periods of high abundance. That said, there are social and economic consequences  
643 from short-term reductions in harvest opportunity (Richerson and Holland 2017, Richerson et al.  
644 2018) even if mean harvest is modestly affected.

645 Note that the retrospective analysis reflected restrictions on harvest arising from  
646 supplemental guidance issued by PFMC to target higher escapement in two years while SRFC  
647 was classified as overfished, but in the most highly buffered scenario the overfished state could  
648 have been avoided and so presumably harvest could have been higher during those years. In  
649 addition, these analyses ignored the benefits to both the fishery and to conservation from  
650 increased escapement leading to increased future production (e.g., Munsch et al. 2020), and thus  
651 potentially overstate the fishery costs and understate the conservation benefits of bias corrections  
652 or buffers. This could be addressed through a fuller management strategy evaluation (Punt et al.  
653 2016) incorporating a stock-recruit relationship. The closed loop simulation may further over-  
654 estimate costs to the fishery because it assumes implementation error is unbiased, whereas the  
655 postseason exploitation rate estimate exceeded the preseason expectation every year from 2014-  
656 2021.

657

658 *4.4 PFMC-specific management implications*

659 At minimum, the forecast performance statistics calculated here could be used to identify  
660 priority forecasts for methodology review. In addition, erring on the side of precaution  
661 (incorporating an uncertainty buffer based on a  $P^* < 0.50$ , and possibly a bias correction) might be  
662 warranted when applying the control rule for SRFC given its recently overfished state, frequency  
663 of under-escapement, and evidence for biased forecasts (especially at low abundance); along  
664 with concerns about outdated reference points (Lindley et al. 2009, California HSRG 2012,  
665 PFMC 2019, STT 2020, SSC 2021b).

666 The most suitable approaches for other PFMC-managed stocks, particularly the choice of  
667 the degree of precaution incorporated into an uncertainty buffer, would require careful stock-  
668 specific considerations and coordination with co-managers. This should involve analyses of both  
669 forecast error and its management consequences, as presented here for SRFC. It is important to  
670 note that SRFC had forecast errors larger than most other Chinook stocks examined (e.g., MPE  
671 larger than all but three other Chinook stocks), though errors for most coho stocks were  
672 comparable or larger. Management performance for stocks with more accurate forecasts might  
673 show smaller benefits from bias corrections or buffers. The apparent high frequency of over-  
674 forecasting in coho could be worrying, especially given its implications for fisheries impacting  
675 ESA-listed listed stocks. Thus, while the preferred long-term alternative would be development  
676 of unbiased forecasts that fully incorporate multiple sources of uncertainty, a bias correction may  
677 be a suitable near-term response for some stocks. Additional precaution might be warranted for  
678 stocks classified as overfished, rebuilding, or at risk of approaching an overfished condition (see  
679 PFMC 2021a for definitions of these terms), as well as for stocks listed under the Endangered  
680 Species Act. It could also be sensible to make the level of precaution a function of abundance or  
681 environmental state, with increased precaution at low abundance or when the environmental state

682 is unfavorable (Harvey et al. 2022) or in a state associated with poor forecast performance in the  
683 past (Satterthwaite et al. 2020). To some extent, the control rules for SRFC and many other  
684 Council-managed stocks (PFMC 2021a) would inherently be more responsive to application of a  
685 buffer when forecasted abundance is low, because the allowable exploitation rate asymptotes at  
686 high abundance such that small adjustments to a large forecast have no effect, but small  
687 adjustments to a small forecast might substantially change the allowable exploitation rate.

688

#### 689 *4.5 Alternative approaches*

690 We have offered a series of approaches for quantifying forecast performance and  
691 potential ways to correct for biases and/or apply uncertainty buffers when using forecasts to  
692 guide management. There are of course alternative methods for measuring forecast performance  
693 (e.g., Ward et al. 2014, DeFilippo et al. 2021, Kiaer et al. 2021) and alternative ways for  
694 accounting for uncertainty when making management decisions based on forecasts. Risk tables  
695 (Dorn and Zador 2020) might be used for guidance on when forecasts should be treated with  
696 more caution, and harvest control rules may be inherently more conservative when forecasted  
697 abundance is low (e.g., PFMC 2021a), although it may be important to account for the possibility  
698 that true abundance is in the precautionary zone even when a deterministic forecast is not. When  
699 in-season updating is possible, this may reduce the need for uncertainty buffers, or may allow for  
700 a more precautionary approach early in the course of a terminal run fishery along with more  
701 confident management as information accumulates. Improved forecast performance may also  
702 reduce the need for precaution, although there are likely limits to achievable forecast skill  
703 (Wainwright 2021). For stocks showing trends in forecast performance over time, non-  
704 stationarity in the drivers incorporated in forecasts may be an important issue (Litzow et al.



2019, Duplisea et al. 2019), and it is possible that a moving-window approach might improve performance in such cases. However, a moving-window approach was not well supported in an earlier comparison of forecast methods for our SRFC case study (Winship et al. 2015), although the model chosen for that stock does include an autocorrelated error term that might capture some degree of nonstationary effects. Rather than modifying forecasts, modification of reference points and targets might be an appropriate response to maintain a consistent level of risk tolerance (Roux et al. 2022). Management strategy evaluations (Punt et al., 2016) provide a valuable tool for considering the tradeoffs among management goals and risks.

713

#### 714 *4.6 Broader considerations*

715 We encourage careful consideration of bias and uncertainty, and possible application of  
716 bias correction factors and/or uncertainty buffers, throughout the use of forecast models in  
717 fishery management. When determining the appropriate level of precaution, careful  
718 consideration of the tradeoffs among potentially conflicting goals is warranted (Mildenberger et  
719 al. 2022), as illustrated by our case study of SRFC. Different management systems have adopted  
720 differing degrees of precaution. For example, ICES (2021) describes an approach where adopted  
721 regulations for Atlantic Salmon are expected to achieve conservation criteria with at least 75%  
722 probability, loosely corresponding to  $P^*=0.25$ . Conversely, using raw (or bias-corrected but non-  
723 buffered) forecasts most of the time but occasionally adopting a more precautionary approach is  
724 loosely equivalent to using  $P^*=0.50$  (and assuming no bias, if no bias correction is applied) in  
725 most years but lower  $P^*$  in years with worrying conditions (and/or for stocks of particular  
726 conservation concern), but less reproducible .

727           Importantly, while discussing the ideas behind this paper with several colleagues  
728 involved in salmon fishery management, they indicated their belief that managers providing  
729 forecasts for some stocks are already applying informal buffers not reflected in easily-accessed  
730 reports. While this may explain some instances of under-forecasting, and could obviate the need  
731 for an additional uncertainty buffer, informal or undocumented buffers have the potential to  
732 confound harvest models that depend on unbiased forecast estimates for multiple stocks when  
733 establishing quotas. We suggest that a formal, documented, and repeatable approach to buffering  
734 would be preferable. Similarly, we encourage keeping careful records of the unadjusted forecast  
735 for use in future performance evaluations.

736           While we hope that ongoing evaluation and revision of forecasting methods will make  
737 them more accurate and reduce the need for the sorts of adjustments described here, we echo  
738 Wainwright's (2021) warning that "Improved models and improved indicators can only go so far  
739 in reducing prediction error, and are unlikely to completely prevent the sudden prediction  
740 failures that characterize salmon management. The best strategy would be to devise management  
741 systems that can deal with the uncertainties inherent in [forecasts]." An uncertainty buffer  
742 approach like the one we describe here could be a substantial first step in addressing this goal,  
743 that should ultimately be accompanied by consideration of uncertainty in escapement, harvest,  
744 and resultant total abundance estimates whenever possible. Ideally, estimates of uncertainty in  
745 preseason abundance forecasts would be combined with estimates of uncertainty in the achieved  
746 harvest rates expected based on the adopted season structure (e.g., SMAW 2022) so that fishery  
747 season structures could be evaluated and adopted based on their probabilities of achieving  
748 escapement goals (SSC 2002).

749

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758  
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999



1 **Supplementary Material.** Additional details, tables and figures for Satterthwaite and Shelton  
2 "Methods for assessing and responding to bias and uncertainty in U.S. West Coast salmon  
3 abundance forecasts"

4  
5 *Stocks and years excluded from analysis*

6 We excluded East Sound Bay Hatchery Chinook from our analysis due to exceptionally  
7 poor forecast performance (e.g., forecasts as much as 400x higher than the postseason abundance  
8 estimate) and some years with reports of zero returns for this low abundance stock, and excluded  
9 Salmon Trout Enhancement Project coho due to limited temporal coverage, low abundance, and  
10 one year with returns of zero. We excluded Skagit Hatchery Chinook data prior to 2004 due to  
11 several earlier preseason forecasts reported as 0.0. For Washington coastal coho stocks, PFMC  
12 reports provided information on forecast performance for 1984-1985 and 1990-2020, due to the  
13 gap in temporal coverage we excluded records for 1984-1985.

14

15 *Deviations from reported values in PFMC 2022a*

16 Although age-specific forecasts are supplied for Klamath River Fall Chinook (KRFC),  
17 we evaluated only the composite total adult forecast, since allowable exploitation rates on this  
18 stock are driven by expected total adult escapement in the absence of fishing (PFMC 2021a).

19 For Willapa Bay natural coho (WBC), a new forecasting method was adopted for use  
20 starting in 2022 (Auerbach et al. 2021, based on methods as detailed in DeFilippo et al. 2021),  
21 however the forecast is based on ensemble weighting of at least two methods with the option to  
22 add additional methods in the future. Thus, expected performance of the newly adopted, and  
23 potentially further revised, methods could not be evaluated at this time. We note however that it  
24 may be appropriate to use the internally-generated uncertainty estimates of the WBC forecast  
25 rather than quantifying its uncertainty using the approach described here.

26           Forecasts for Grays Harbor coho in 1993 and 1994 were reported as ranges, which we  
27 collapsed to their midpoints for this analysis.

28

29 *Supplemental guidance on escapement*

30           In 2018, PFMC issued supplemental guidance to target an escapement of at least 151,000.

31 In 2019, supplemental guidance specified an escapement target of at least 160,000. A higher

32 escapement target was also set for 2022 fishery planning purposes, but incomplete data at the

33 time of writing did not allow incorporating that year into the analyses presented here.

34 **Table S.1.** Summary of forecast performance for the shared period 2001-2020. Bold text denotes stocks where the 95% confidence  
 35 interval  $C$  excluded 1.0.  
 36

Species	Stock	post:pre ratio							
		$C_{20}$	CV <sub>20</sub>	80% CI <sub>20</sub>		95% CI <sub>20</sub>		$\sigma_{20}$	$\sigma_{0,20}$
Chinook	SRFC	0.85	56%	0.73	- 0.99	0.67	- 1.07	0.52	0.55
	KRFC	0.88	55%	0.76	- 1.02	0.70	- 1.10	0.51	0.53
	Columbia URB	1.00	34%	0.91	- 1.10	0.87	- 1.16	0.33	0.33
	Columbia LRW	1.05	51%	0.92	- 1.21	0.85	- 1.30	0.48	0.48
	Columbia LRH	1.00	39%	0.90	- 1.12	0.85	- 1.18	0.37	0.37
	Columbia SCH	0.87	56%	0.75	1.01	0.69	1.09	0.52	0.54
	Columbia MCB	1.02	39%	0.92	1.14	0.86	1.21	0.38	0.38
	Nook.-Samish H&N	0.88	44%	0.78	- 0.99	0.73	- 1.06	0.42	0.44
	Skagit Natural	1.00	41%	0.89	- 1.12	0.84	- 1.19	0.39	0.39
	Stillaguamish Natural	1.05	45%	0.93	- 1.19	0.87	- 1.27	0.43	0.43
	Snohomish Hatchery	0.85	52%	0.74	- 0.98	0.68	- 1.05	0.49	0.52
	<b>Snohomish Natural</b>	<b>0.61</b>	<b>69%</b>	<b>0.51</b>	<b>- 0.73</b>	<b>0.46</b>	<b>- 0.81</b>	<b>0.63</b>	<b>0.80</b>
	Tulalip Hatchery	0.82	117%	0.63	- 1.07	0.55	- 1.23	0.93	0.95
	So Puget Sound H	1.05	38%	0.94	- 1.16	0.89	- 1.23	0.37	0.37
	<b>So Puget Sound N</b>	<b>0.67</b>	<b>75%</b>	<b>0.55</b>	<b>- 0.81</b>	<b>0.50</b>	<b>- 0.89</b>	<b>0.66</b>	<b>0.78</b>
	SJdF Hat + Nat	1.11	36%	1.00	- 1.22	0.95	- 1.29	0.35	0.37
	Hood Canal H+N	1.13	44%	1.00	- 1.27	0.94	- 1.36	0.42	0.44
Coho	Col. Hat early	0.91	62%	0.77	- 1.07	0.71	- 1.17	0.57	0.58
	Col. Hat late	0.87	61%	0.74	- 1.02	0.68	- 1.12	0.56	0.58
	OR Coast Natural	1.17	89%	0.94	- 1.46	0.84	- 1.63	0.76	0.78
	OR Coast N of Blanco	0.85	103%	0.67	- 1.08	0.58	- 1.23	0.85	0.87
	<b>CA+OR Co S of Blanco</b>	<b>0.50</b>	<b>131%</b>	<b>0.37</b>	<b>- 0.66</b>	<b>0.32</b>	<b>- 0.77</b>	<b>1.00</b>	<b>1.23</b>
	OPI-H Total	0.87	57%	0.75	- 1.02	0.69	- 1.10	0.53	0.55
Quillayute Fall	0.91	48%	0.80	- 1.03	0.74	- 1.11	0.45	0.46	

Hoh River	1.02	51%	0.89 - 1.18	0.83 - 1.27	0.48	0.49
Queets River	0.84	87%	0.68 - 1.04	0.60 - 1.17	0.75	0.77
Grays Harbor	0.95	67%	0.80 - 1.13	0.73 - 1.24	0.61	0.61
Skagit River	0.94	133%	0.70 - 1.25	0.60 - 1.46	1.01	1.01
Stillaguamish River	1.09	100%	0.86 - 1.38	0.76 - 1.57	0.83	0.84
Hood Canal	0.96	86%	0.78 - 1.19	0.70 - 1.34	0.74	0.74
Snohomish	0.95	91%	0.76 - 1.19	0.67 - 1.34	0.78	0.78
Str. Juan de Fuca	0.79	88%	0.63 - 0.98	0.56 - 1.09	0.76	0.80

37  
38

39 **Table S.2.** Coefficients and associated p-values of models fitting  $\log(\text{postseason}:\text{preseason})$  for each stock as a function of year.  
 40 Positive coefficients indicate a tendency to over-forecast early in the time series relative to late in the time series, negative coefficients  
 41 indicate an increasing tendency toward over-forecasting later in the time series.  
 42

	Stock	Years	Coef	p
Chinook	SRFC	1995 - 2021	-0.009	0.44
	KRFC	1985 - 2021	-0.019	<b>0.02</b>
	Columbia URB	1984 - 2021	-0.006	0.18
	Columbia LRW	1988 - 2021	-0.007	0.35
	Columbia LRH	1984 - 2021	-0.005	0.36
	Columbia SCH	1984 - 2021	-0.011	0.11
	Columbia MCB	1990 - 2021	0.000	0.95
	Columbia Summer	2012 - 2021	0.017	0.68
	Nooksack-Samish H&N	1993 - 2020	-0.015	0.12
	Skagit Hatchery	2004 - 2020	-0.037	0.26
	Skagit Natural	1993 - 2020	-0.007	0.52
	Stillaguamish Natural	1995 - 2020	0.008	0.43
	Snohomish Hatchery	1994 - 2020	-0.003	0.83
	Snohomish Natural	1993 - 2020	-0.010	0.44
	Tulalip Hatchery	1993 - 2020	-0.044	<b>0.04</b>
	So Puget Sound H	1993 - 2020	-0.014	0.12
	So Puget Sound N	1993 - 2020	0.017	0.22
	SJdF Hat + Nat	1993 - 2020	0.016	0.08
	Hood Canal H+N	1994 - 2020	-0.018	0.25
	coho	Col. Hat early	1996 - 2021	-0.021
Col. Hat late		1996 - 2021	-0.016	0.33
Lower Col. N		2007 - 2021	-0.002	0.97
OR Coast Natural		1996 - 2021	-0.004	0.86
OR Coast N of Blanco		1996 - 2021	0.018	0.41

CA+OR Coast S of Blanco	1996	-	2021	-0.070	<b>0.002</b>
OPI-H Total	1996	-	2021	-0.018	0.20
Quillayute Fall	1990	-	2020	-0.005	0.62
Hoh River	1990	-	2020	-0.011	0.29
Queets River	1990	-	2020	-0.035	<b>0.01</b>
Grays Harbor	1990	-	2020	0.002	0.90
Willapa Bay	2010	-	2020	-0.123	0.11
Skagit River	1997	-	2020	-0.009	0.75
Stillaguamish River	1990	-	2020	0.046	<b>0.01</b>
Hood Canal	1990	-	2020	-0.002	0.89
Snohomish	1990	-	2020	0.004	0.77
Str. Juan de Fuca	1990	-	2020	-0.016	0.27

43

44

45 **Table S.3.** Performance of raw or adjusted forecasts for the period after the first ten years as measured via Mean Absolute Percent  
46 Error (MAPE, a) or Median Log Accuracy Ratio (MLAR, b). *C* is the median postseason:preseason ratio estimated for the first ten  
47 years of data. Start year indicates the beginning of the period over which performance was tested. Note that *C* estimates for the first  
48 decade were not always concurrent with the longer-term conclusions regarding bias. Bold text indicates the adjustment (or lack  
49 thereof) performing best (closest to zero error, regardless of sign for MLAR) for each stock-performance metric combination. Italics in  
50 the bias corrected, no buffer (i.e., P\*=0.50) column indicate cases where the bias-adjusted forecast outperformed the “raw” forecast  
51 receiving neither a bias correction nor a buffer. (Some cases appear to be ties at the precision reported in the table, but optimal choices  
52 were identified at full precision.)  
53

a)

MAPE		First Decade				Apply bias correction				Assume unbiased				
Sp.	Stock	<i>C</i>	80% CI		Start	raw	buffer	P*=0.45	P*=0.40	P*=0.33	P*=0.45	P*=0.40	P*=0.33	
Chnk	SRFC	1.08	0.97	-	1.22	2005	63%	60%	56%	52%	<b>48%</b>	58%	54%	50%
	KRFC	1.03	0.78	-	1.35	1995	48%	51%	46%	42%	39%	44%	41%	<b>38%</b>
	Columbia URB	1.12	1.04	-	1.20	1994	26%	31%	29%	28%	27%	26%	25%	<b>25%</b>
	Columbia LRW	1.20	1.06	-	1.36	1998	32%	40%	37%	35%	33%	32%	<b>31%</b>	32%
	Columbia LRH	0.96	0.85	-	1.09	1994	<b>25%</b>	27%	26%	26%	26%	25%	26%	27%
	Columbia SCH	1.05	0.92	-	1.21	1994	44%	46%	43%	41%	39%	42%	40%	<b>38%</b>
	Columbia MCB	1.01	0.90	-	1.14	2000	29%	31%	29%	28%	27%	28%	27%	<b>27%</b>
	Nook.-Samish H&N	1.08	0.90	-	1.29	2003	42%	<b>40%</b>	34%	30%	<b>26%</b>	37%	31%	27%
	Skagit Natural	1.22	0.98	-	1.52	2003	27%	34%	30%	27%	25%	25%	<b>23%</b>	24%
	Stillaguamish Natural	1.03	0.93	-	1.15	2005	37%	39%	38%	37%	35%	36%	35%	<b>34%</b>
	Snohomish Hatchery	1.04	0.83	-	1.32	2004	45%	<b>41%</b>	37%	36%	34%	39%	36%	<b>34%</b>
	Snohomish Natural	0.79	0.68	-	0.91	2003	115%	75%	71%	67%	<b>63%</b>	103%	93%	81%
	Tulalip Hatchery	1.86	1.49	-	2.33	2003	163%	221%	200%	180%	156%	148%	134%	<b>118%</b>
	So Puget Sound H	1.19	1.06	-	1.34	2003	30%	39%	36%	33%	28%	28%	<b>27%</b>	27%
	So Puget Sound N	0.78	0.68	-	0.89	2003	112%	66%	64%	62%	<b>59%</b>	100%	89%	76%
	SJdF Hat + Nat	0.90	0.77	-	1.06	2003	<b>32%</b>	32%	33%	34%	36%	33%	34%	36%
	Hood Canal H+N	1.46	1.05	-	2.04	2004	43%	59%	52%	46%	42%	<b>41%</b>	41%	43%

coho	Col. Hat early	1.05	0.87	-	1.27	2006	66%	66%	60%	55%	<b>50%</b>	61%	57%	51%
	Col. Hat late	0.90	0.71	-	1.13	2006	72%	68%	63%	58%	<b>52%</b>	67%	62%	56%
	OR Coast Natural	1.28	0.94	-	1.75	2006	76%	90%	84%	78%	71%	71%	67%	<b>61%</b>
	OR Coast N of Blanco	0.66	0.51	-	0.84	2006	101%	85%	80%	77%	<b>72%</b>	92%	86%	79%
	CA+OR Co S Blanco	1.06	0.82	-	1.36	2006	319%	208%	185%	165%	<b>139%</b>	278%	241%	196%
	OPI-H Total	0.96	0.81	-	1.14	2006	68%	64%	59%	54%	<b>49%</b>	63%	59%	53%
	Quillayute Fall	0.95	0.74	-	1.23	2000	37%	35%	33%	<b>31%</b>	32%	34%	32%	32%
	Hoh River	1.23	0.97	-	1.57	2000	35%	46%	41%	37%	36%	<b>35%</b>	35%	36%
	Queets River	1.21	0.93	-	1.57	2000	78%	94%	83%	73%	62%	69%	62%	<b>52%</b>
	Grays Harbor	0.70	0.56	-	0.86	2000	60%	55%	52%	48%	47%	56%	52%	<b>47%</b>
	Skagit River	0.87	0.61	-	1.24	2007	109%	108%	97%	87%	<b>74%</b>	98%	88%	74%
	Stillaguamish River	0.35	0.27	-	0.46	2000	78%	64%	63%	64%	67%	71%	67%	<b>62%</b>
	Hood Canal	0.65	0.44	-	0.96	2000	64%	56%	51%	49%	51%	55%	51%	<b>49%</b>
	Snohomish	0.62	0.53	-	0.73	2000	81%	74%	70%	66%	<b>63%</b>	76%	71%	65%
	Str. Juan de Fuca	1.13	0.90	-	1.43	2000	94%	97%	89%	83%	76%	86%	79%	<b>72%</b>

b)

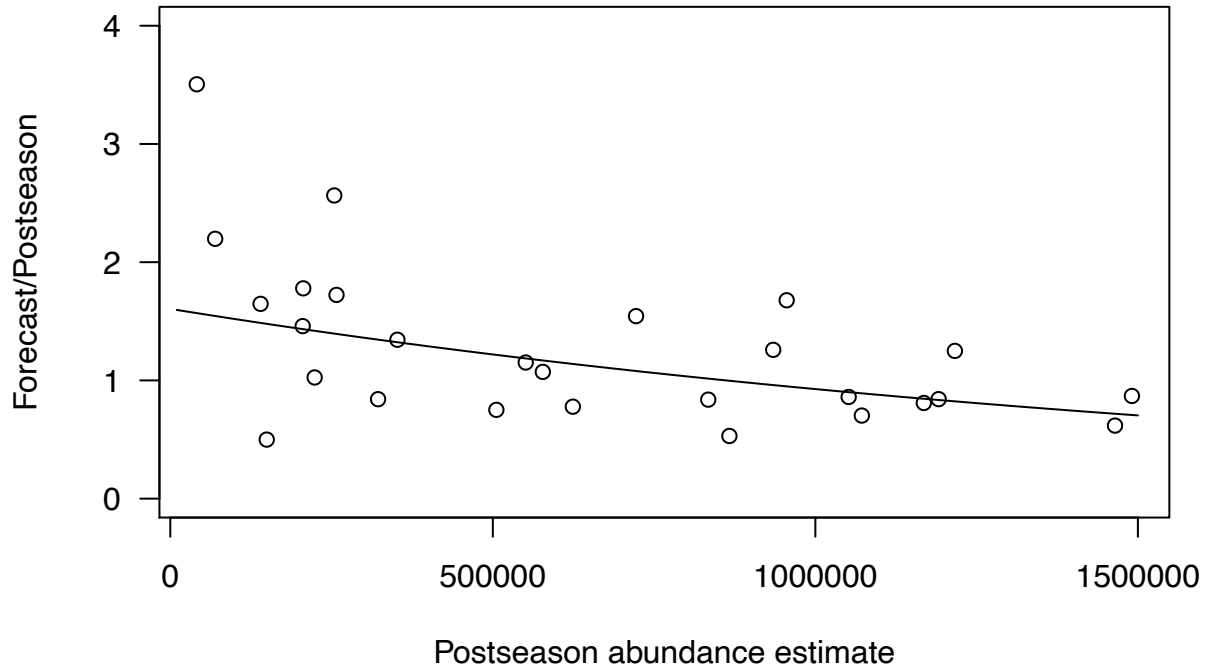
MLAR

Sp.	Stock	First Decade			Start	raw	Apply bias correction			Assume unbiased				
		C	80% CI				no	buffer	P*=0.45	P*=0.40	P*=0.33	P*=0.45	P*=0.40	P*=0.33
Chnk	SRFC	1.08	0.97	-	1.22	2005	0.30	0.17	0.11	<b>0.05</b>	-0.04	0.23	0.17	0.07
	KRFC	1.03	0.78	-	1.35	1995	0.13	0.15	0.08	0.01	-0.08	0.07	<b>0.00</b>	-0.10
	Columbia URB	1.12	1.04	-	1.20	1994	<b>-0.01</b>	0.11	0.09	0.06	0.02	-0.05	-0.08	-0.13
	Columbia LRW	1.20	1.06	-	1.36	1998	-0.07	0.12	0.07	<b>0.02</b>	-0.05	-0.12	-0.18	-0.26
	Columbia LRH	0.96	0.85	-	1.09	1994	-0.03	0.02	<b>-0.02</b>	-0.07	-0.13	-0.08	-0.12	-0.19
	Columbia SCH	1.05	0.92	-	1.21	1994	0.06	0.08	0.04	<b>-0.01</b>	-0.08	0.02	-0.03	-0.10
	Columbia MCB	1.01	0.90	-	1.14	2000	0.05	0.10	0.05	0.01	-0.06	<b>0.00</b>	-0.04	-0.11
	Nook.-Samish H&N	1.08	0.90	-	1.29	2003	0.24	0.24	0.19	0.13	<b>0.05</b>	0.19	0.13	0.06



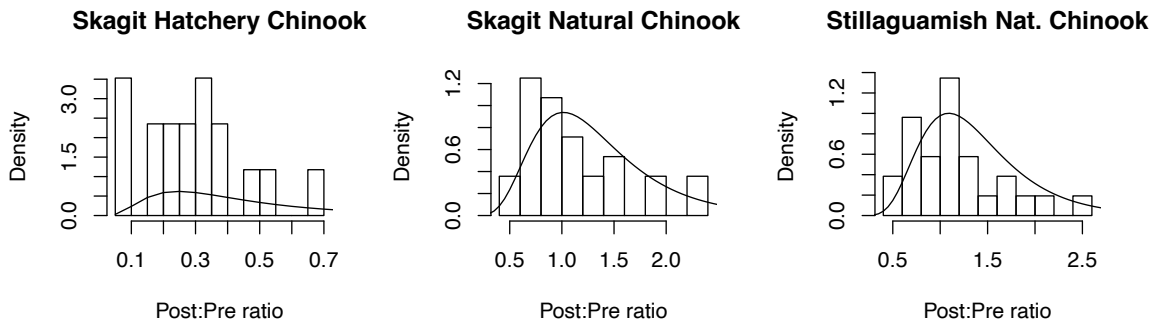
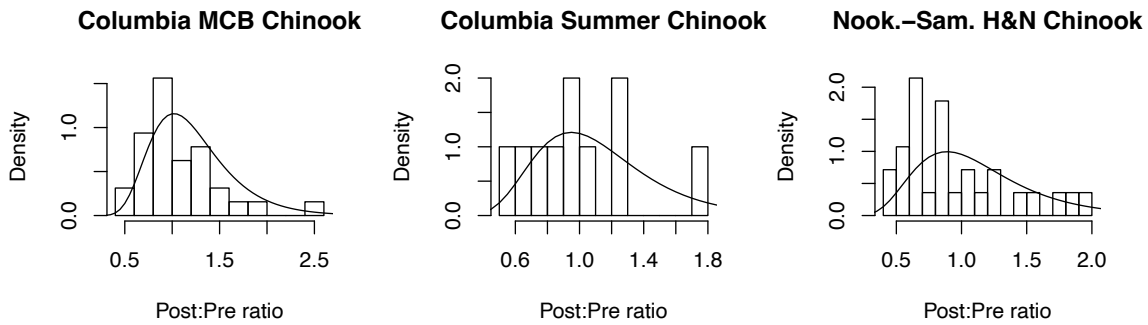
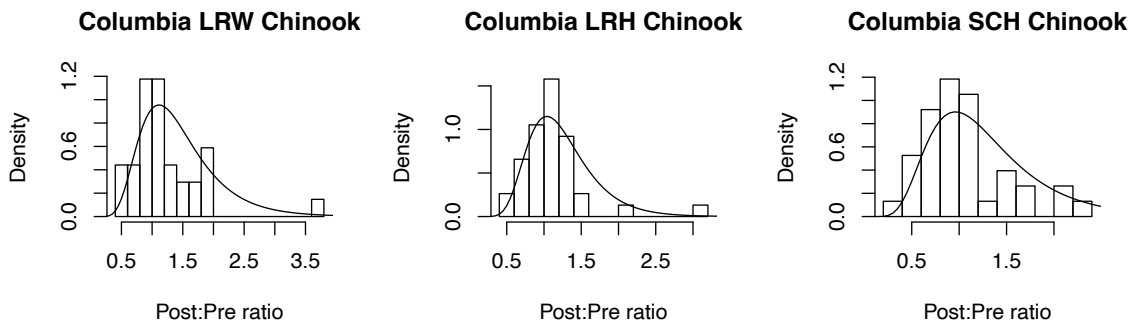
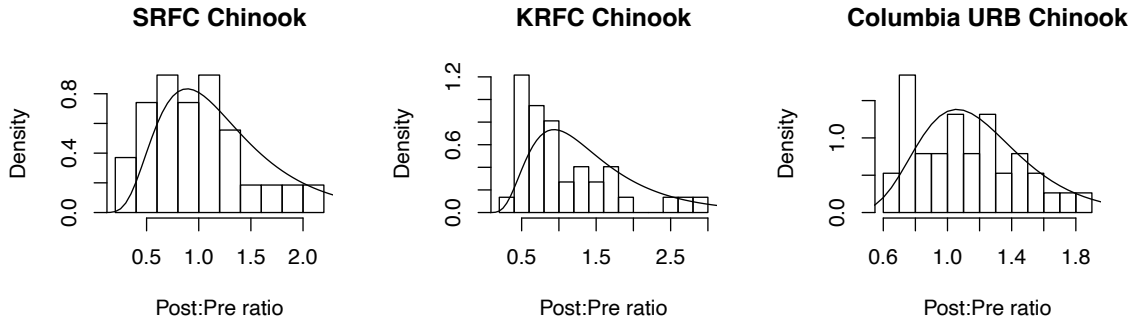
	Skagit Natural	1.22	0.98	-	1.52	2003	0.08	0.15	0.09	0.03	-0.06	<b>0.02</b>	-0.05	-0.13
	Stillaguamish Natural	1.03	0.93	-	1.15	2005	-0.12	<b>-0.11</b>	-0.15	-0.19	-0.24	-0.16	-0.20	-0.25
	Snohomish Hatchery	1.04	0.83	-	1.32	2004	0.13	<i>0.07</i>	<b>0.00</b>	-0.07	-0.18	0.06	-0.01	-0.11
	Snohomish Natural	0.79	0.68	-	0.91	2003	0.32	<b>0.01</b>	-0.05	-0.12	-0.21	0.25	0.17	0.07
	Tulalip Hatchery	1.86	1.49	-	2.33	2003	0.08	0.46	0.36	0.26	0.12	<b>-0.02</b>	-0.12	-0.26
	So Puget Sound H	1.19	1.06	-	1.34	2003	0.06	0.19	0.14	0.09	0.03	<b>0.02</b>	-0.03	-0.09
	So Puget Sound N	0.78	0.68	-	0.89	2003	0.42	<b>-0.07</b>	-0.14	-0.20	-0.30	0.33	0.23	0.09
	SJdF Hat + Nat	0.90	0.77	-	1.06	2003	<b>-0.20</b>	-0.22	-0.27	-0.32	-0.40	-0.25	-0.30	-0.38
	Hood Canal H+N	1.46	1.05	-	2.04	2004	-0.08	0.22	0.13	<b>0.02</b>	-0.10	-0.17	-0.26	-0.39
coho	Col. Hat early	1.05	0.87	-	1.27	2006	0.25	<i>0.22</i>	0.15	0.08	-0.02	0.18	0.11	<b>0.01</b>
	Col. Hat late	0.90	0.71	-	1.13	2006	0.20	<i>0.18</i>	0.11	<b>0.04</b>	-0.07	0.12	0.05	-0.06
	OR Coast Natural	1.28	0.94	-	1.75	2006	-0.25	<b>-0.10</b>	-0.20	-0.30	-0.45	-0.35	-0.46	-0.60
	OR Coast N of Blanco	0.66	0.51	-	0.84	2006	<b>0.04</b>	-0.27	-0.37	-0.48	-0.61	-0.06	-0.17	-0.33
	CA+OR Co S Blanco	1.06	0.82	-	1.36	2006	0.82	<i>0.61</i>	0.53	0.44	<b>0.32</b>	0.72	0.63	0.48
	OPI-H Total	0.96	0.81	-	1.14	2006	0.27	<i>0.22</i>	0.16	0.10	<b>0.02</b>	0.22	0.16	0.07
	Quillayute Fall	0.95	0.74	-	1.23	2000	0.09	<i>0.07</i>	<b>0.00</b>	-0.07	-0.18	0.02	-0.05	-0.16
	Hoh River	1.23	0.97	-	1.57	2000	-0.03	0.15	0.08	<b>0.01</b>	-0.09	-0.09	-0.16	-0.26
	Queets River	1.21	0.93	-	1.57	2000	0.33	0.53	0.44	0.35	0.22	0.24	0.14	<b>0.01</b>
	Grays Harbor	0.70	0.56	-	0.86	2000	<b>0.03</b>	-0.06	-0.13	-0.21	-0.32	-0.04	-0.12	-0.23
	Skagit River	0.87	0.61	-	1.24	2007	0.15	0.16	0.05	-0.06	-0.23	<b>0.04</b>	-0.07	-0.24
	Stillaguamish River	0.35	0.27	-	0.46	2000	<b>-0.24</b>	-0.71	-0.82	-0.94	-1.10	-0.37	-0.50	-0.68
	Hood Canal	0.65	0.44	-	0.96	2000	0.16	<i>0.05</i>	-0.06	-0.16	-0.33	<b>0.04</b>	-0.08	-0.26
	Snohomish	0.62	0.53	-	0.73	2000	<b>-0.07</b>	-0.19	-0.27	-0.34	-0.46	-0.15	-0.23	-0.35
	Str. Juan de Fuca	1.13	0.90	-	1.43	2000	0.11	<i>0.09</i>	<b>-0.01</b>	-0.10	-0.24	0.04	-0.04	-0.15

55 **Figure S.1.** Forecast error for SRFC as a function of the postseason abundance estimate, along  
56 with best fit linear model of the logged ratio between the preseason forecast and the postseason  
57 abundance estimate.

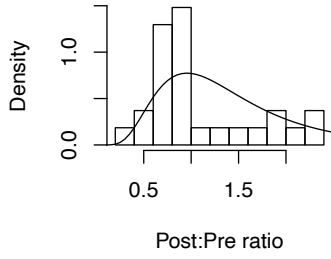


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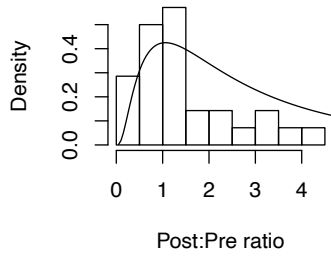
61 **Figure S.2.** Fit of modeled lognormal distributions to annual observations of  
 62 postseason:preseason ratios for each stock.



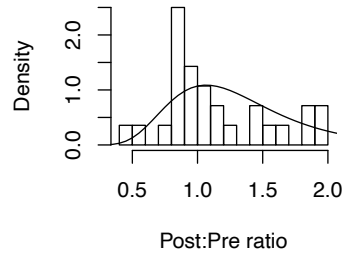
**Snohomish Hat. Chinook**



**Tulalip Hatchery Chinook**

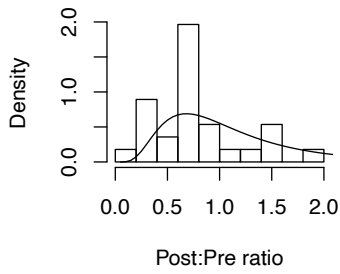


**So Puget Sound H Chinook**

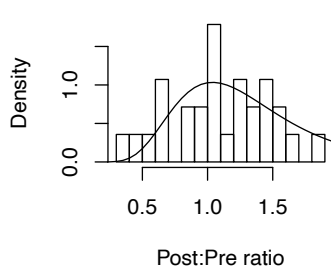


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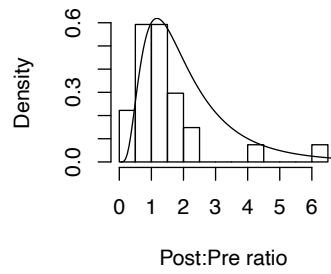
**So Puget Sound N Chinook**



**SJdF Hat + Nat Chinook**

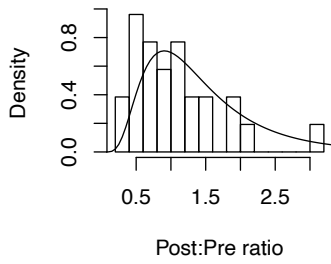


**Hood Canal H+N Chinook**

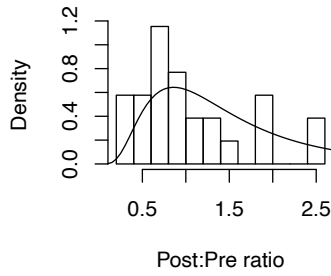


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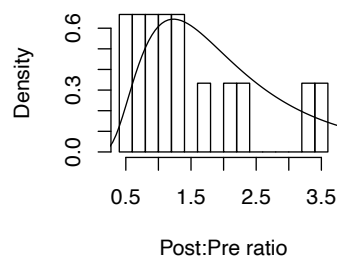
**Col. Hat early coho**



**Col. Hat late coho**

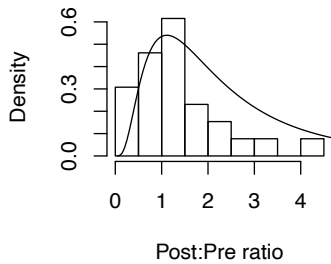


**Lower Col. N coho**

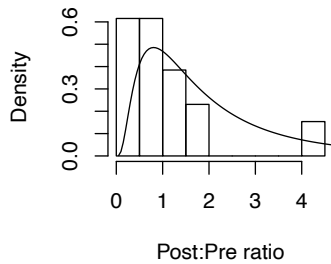


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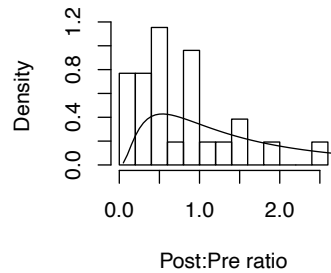
**OR Coast Natural coho**



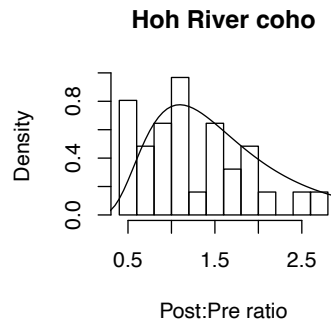
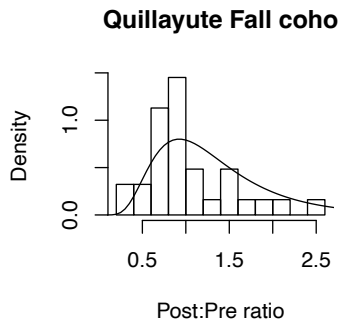
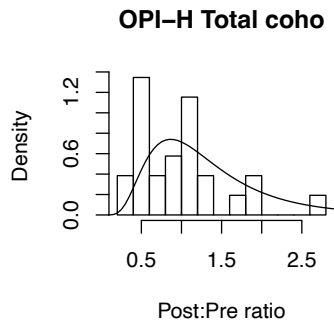
**OR Coast N of Blanco coho**



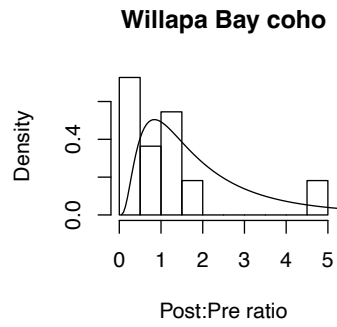
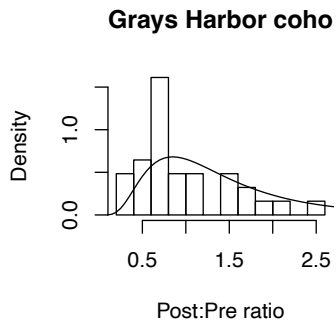
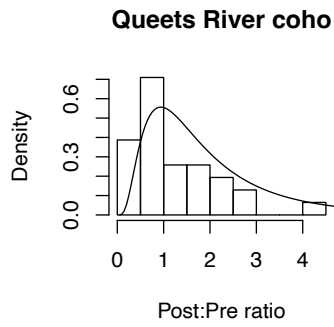
**CA+OR Co S of Blanco coho**



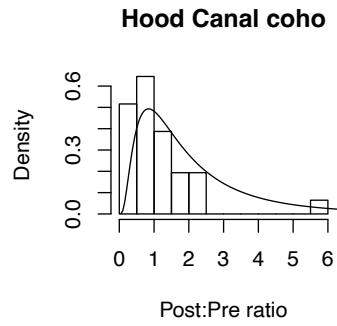
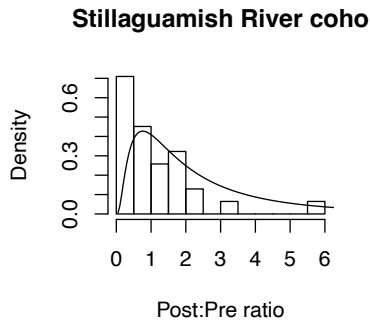
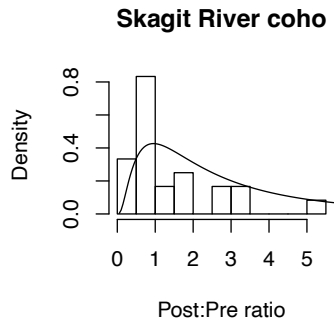
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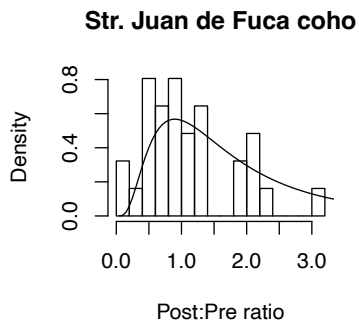
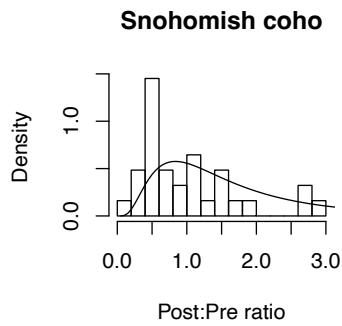
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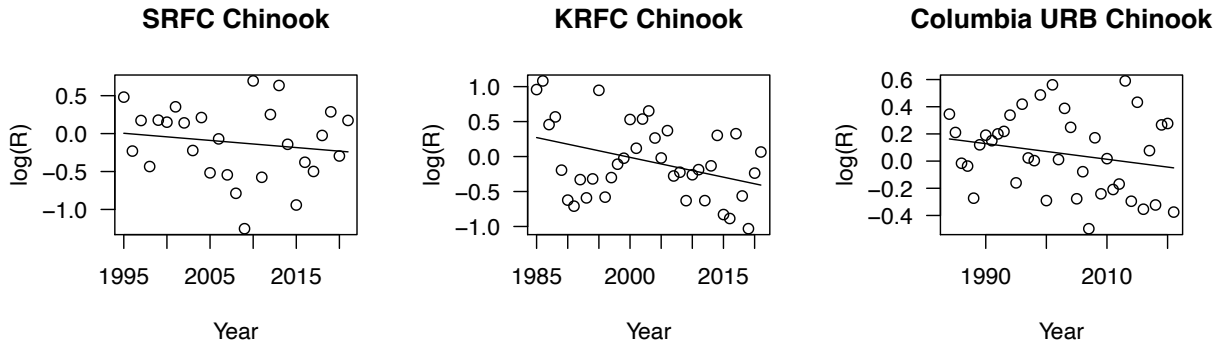


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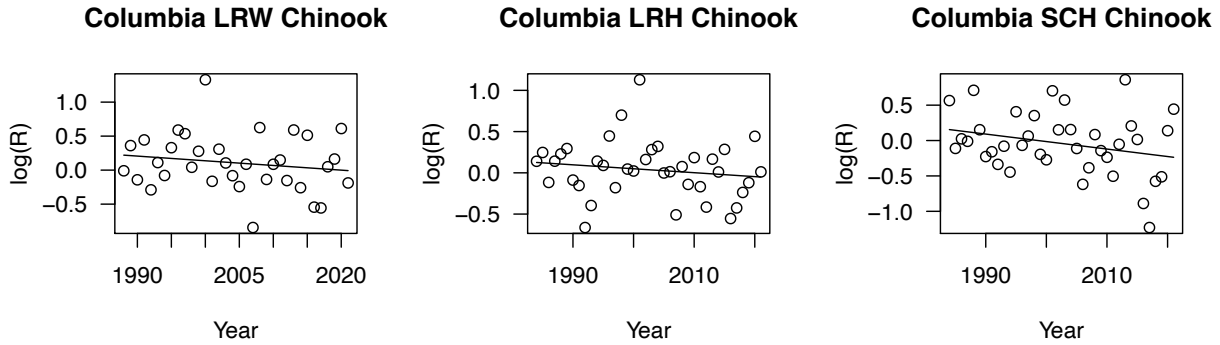
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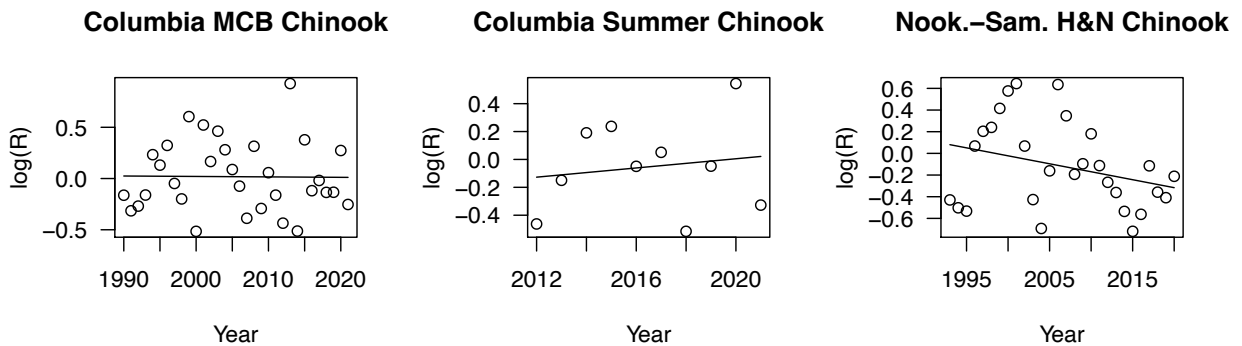
77 **Figure S.3.** Trends in forecast performance over time for each stock, including best fit model of  
78 the logged ratio between the postseason estimate and preseason forecast ( $R$ ) over time.  
79 Downward slope of the best fit line indicates a tendency toward increased over-forecasting later  
80 in the time series.



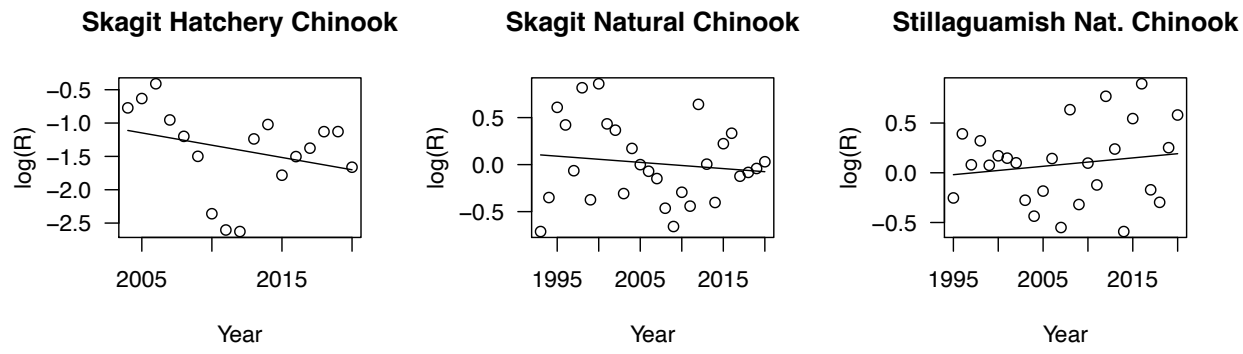
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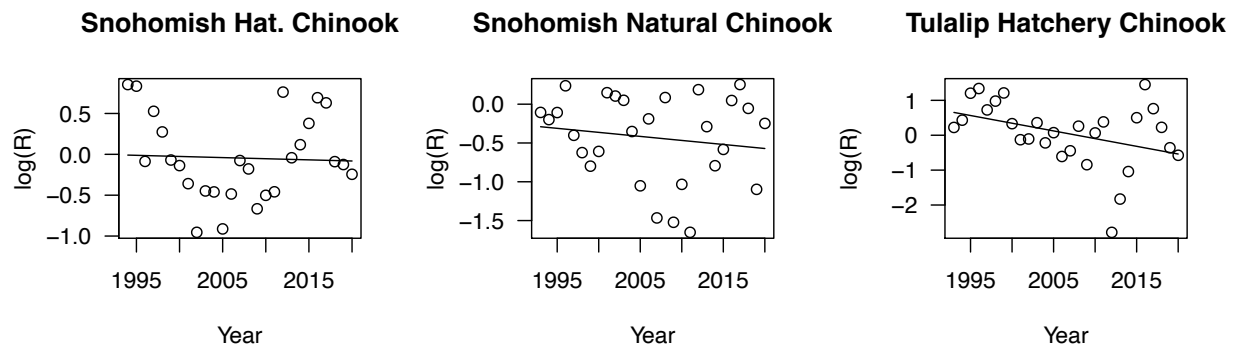
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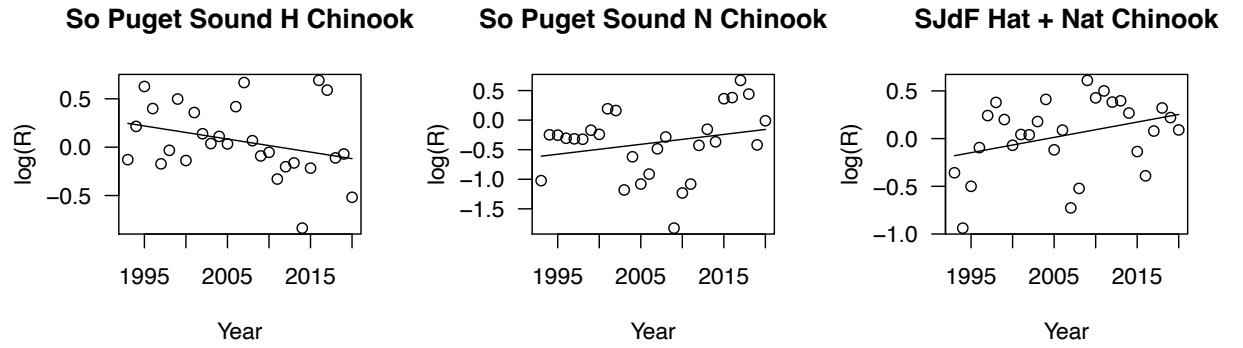
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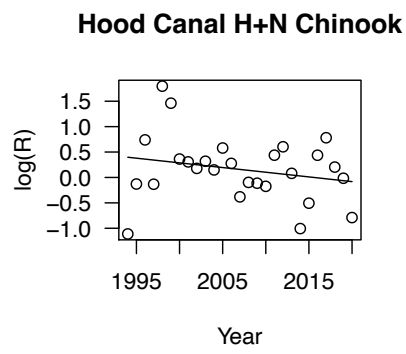
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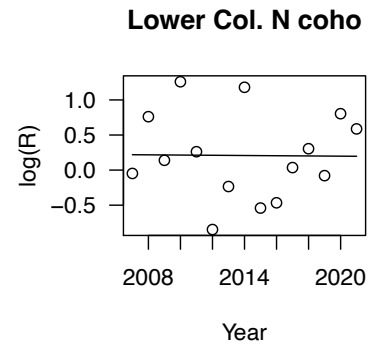
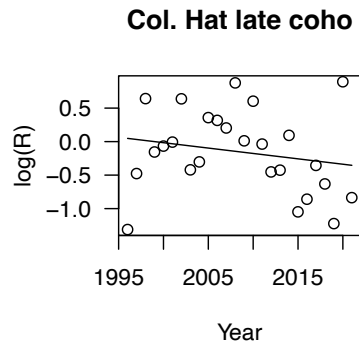
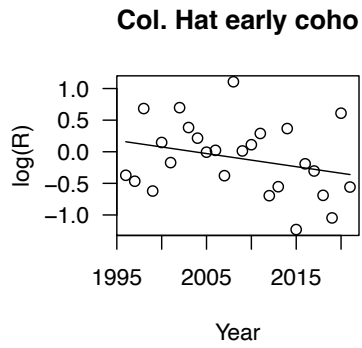
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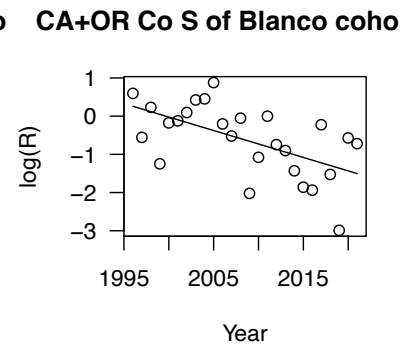
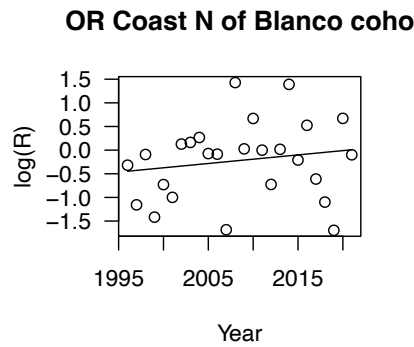
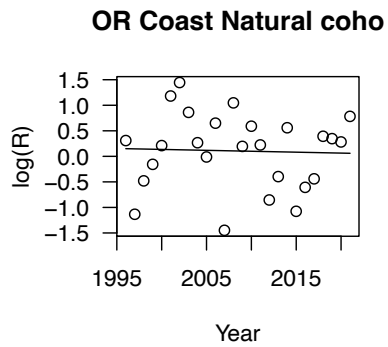
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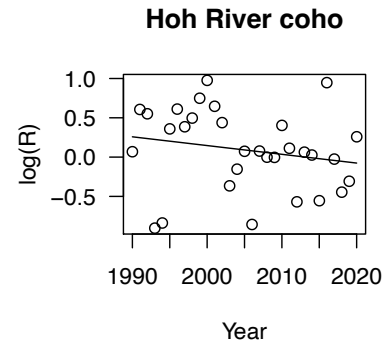
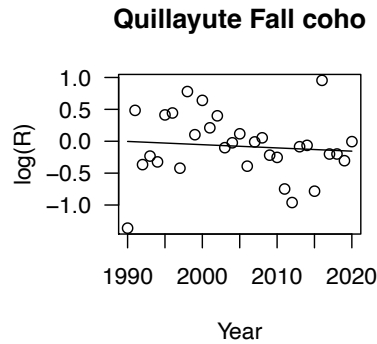
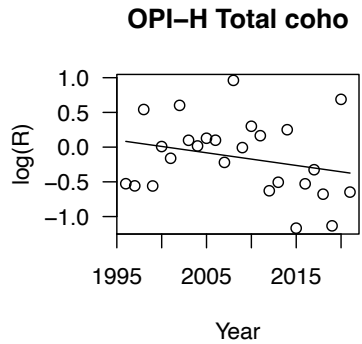
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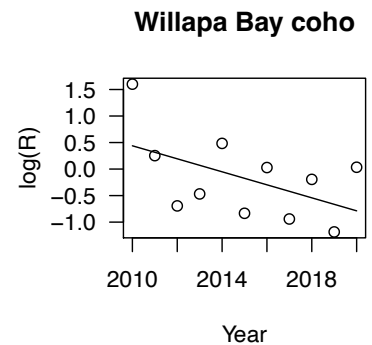
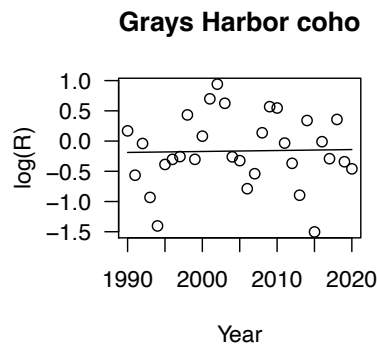
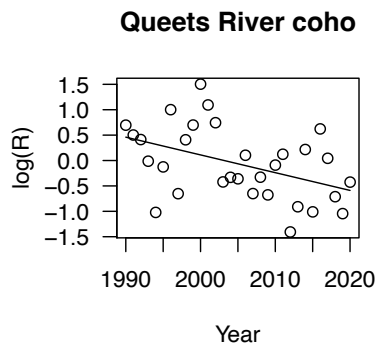
88



89



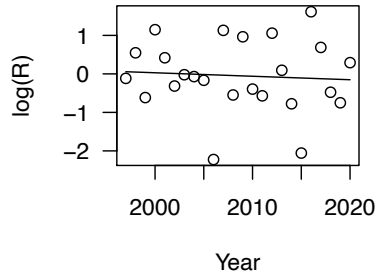
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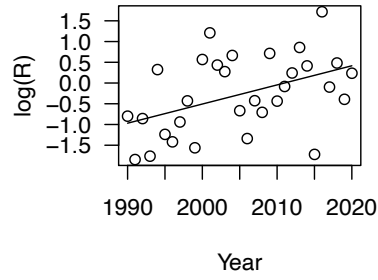
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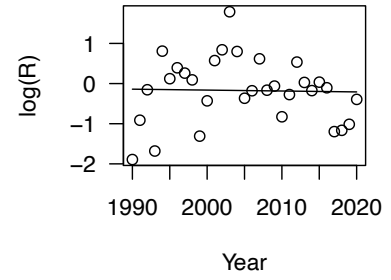
**Skagit River coho**



**Stillaguamish River coho**

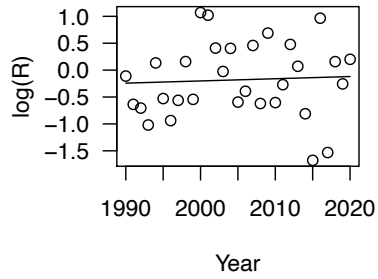


**Hood Canal coho**

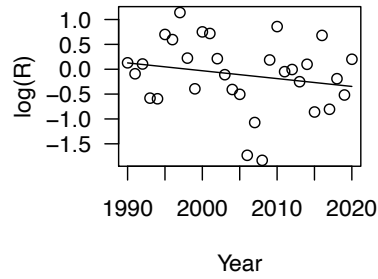


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**Snohomish coho**



**Str. Juan de Fuca coho**



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