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2 Time-lapse 3-D electrical resistance tomography inversion for crosswell monitoring of dissolved and  
3 supercritical CO<sub>2</sub> flow at two field sites: Escatawpa and Cranfield, Mississippi, USA

4

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25 **Abstract**

26

27 In this study, we advance the understanding of three-dimensional (3-D) electrical resistivity  
28 tomography (ERT) for monitoring long-term CO<sub>2</sub> storage by analyzing two previously published field  
29 time-lapse data sets. We address two important aspects of ERT inversion - the issue of resolution  
30 decay, a general impediment to the ERT method, and the issue of potentially misleading imaging  
31 artifacts due to 2-D model assumptions. The first study analyzes data from a shallow dissolved CO<sub>2</sub>  
32 injection experiment near Escatawpa (Mississippi), where ERT data were collected in a 3-D crosswell  
33 configuration. We apply a focusing approach designed for crosswell configurations to counteract  
34 resolution loss in the inter-wellbore area, with synthetic studies demonstrating its effectiveness. The 3-  
35 D field data analysis reveals an initially southwards-trending flow path development and a dispersing  
36 plume development in the downgradient inter-well region. The second data set was collected during a  
37 deep (over 3 km) injection of supercritical CO<sub>2</sub> near Cranfield (Mississippi). Comparative 2-D and 3-D  
38 inversions reveal the projection of off-planar anomalies onto the cross-section, a typical artifact  
39 introduced by 2-D model assumptions. Conforming 3-D images from two different algorithms support  
40 earlier hydrological investigations, indicating a conduit system where flow velocity variations lead to a  
41 circumvention of a close observation well and an onset of increased CO<sub>2</sub> saturation downgradient from  
42 this well. We relate lateral permeability variations indicated by an independently obtained hydrological  
43 analysis to this consistently observed pattern in the CO<sub>2</sub> plume's spatial evolution.

44

45 Keywords:

46 Geologic CO<sub>2</sub> storage, Electrical resistivity tomography (ERT), 3-D inversion

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49

50 **1. Introduction**

51

52 Investigations are ongoing to evaluate the feasibility of geologic sequestration of carbon dioxide (CO<sub>2</sub>)  
53 to mitigate climatic effects due to its accumulation in the atmosphere. Suitable storage sites need to be  
54 sufficiently deep and geologically sealed in order to protect shallow freshwater aquifers and to provide  
55 conditions that maximize sequestered volumes (Hepple and Benson, 2005). After injection begins,  
56 monitoring is required to track the distribution of CO<sub>2</sub> and its associated reactions (Jenkins et al.,  
57 2015).

58

59 Geophysical approaches hold potential for providing information about the effectiveness of CO<sub>2</sub>  
60 sequestration remotely and over large volumes. Given the depth of suitable reservoirs considered for  
61 CO<sub>2</sub> injection, typically 800 m or deeper, crosswell geophysical approaches have resolution advantages  
62 over surface-based modes. Time-lapse crosswell seismic tomographic approaches have been used to  
63 monitor CO<sub>2</sub> injection experiments (e.g., Wang et al., 1998; Daley et al., 2008; Spetzler et al., 2008;  
64 Zhang et al., 2012; Ajo-Franklin et al., 2013). Other common methods are electrical resistivity  
65 tomography (ERT) (e.g., Strazisar et al., 2009; Lamert et al., 2012; Carrigan et al., 2013; Schmidt-  
66 Hattenberger et al., 2013; Doetsch et al., 2013; Auken et al., 2014; Yang et al., 2015), and low-  
67 frequency crosswell electromagnetics (e.g., Wilt et al., 1995; Hoversten et al., 2002; Girard et al.,  
68 2011). Among these techniques, electrical resistivity measurements are economically attractive and  
69 amenable to remote and autonomous data acquisition and processing. Electrical methods complement  
70 seismic methods due to their sensitivity to fluid properties, such as water saturation, phase change, ion  
71 concentration, pH, and induced geochemical changes, thus covering a large range of CO<sub>2</sub>-induced state  
72 changes.

73

74 Cost-effectiveness is likely to remain a crucial factor for the management of future experimental and  
75 industrial CO<sub>2</sub> sequestration sites. Owing to drilling costs, limitations on the number of monitoring

76 wells and their resultant adverse effects on data acquisition and resolution can thus be expected for  
77 candidate CO<sub>2</sub> repositories without previous oil and gas production history. The main purpose of this  
78 work is hence to shed more light on the capability of tracking CO<sub>2</sub>-induced subsurface changes in a 3-  
79 D and time-lapse manner using the ERT technique. Specifically, two important aspects of ERT are  
80 addressed. These are resolution issues due to a disadvantageous ratio between vertical extent and  
81 interwell distances, and secondly, potential artifacts in multi-dimensional inversion outcomes.

82

83 For the first focus area, resolution issues, we investigate a case where, despite the presence of an array  
84 of four monitoring wells, central target resolution remains weak due to a large well separation relative  
85 to the actual vertical reservoir extent. This kind of problem is common in monitoring scenarios where  
86 one wants to maximize the investigation volume with a limited number of wells. One result of this  
87 issue is that reconstructed resistivity magnitudes of the inter-well region are underestimated (Ramirez  
88 et al., 2003; Kiessling et al, 2010). To alleviate this problem, we use a focusing 3D inversion approach,  
89 and demonstrate via synthetic studies and field data inversion that the resolution issue can be mitigated.  
90 Given some prior knowledge about a target zone's location and extent, focusing inversion essentially  
91 tries to counteract the quickly decaying model resolution with depth/distance. Different approaches  
92 have been developed, such as depth-weighted regularization (Li and Oldenburg, 1998) and re-weighted  
93 conjugate gradient methods with focusing stabilizers (Zhdanov 2002). The technique utilized here  
94 involves the application of a simple geometrical weighting function (Commer et al., 2011), applied  
95 here specifically for the given crosswell setting. The function is applied to the gradient vector of a non-  
96 linear conjugate-gradient (NLCG) inverse optimization scheme. The method is implemented in a  
97 NLCG imaging software package that will be referred to as EMGeo throughout this article for brevity  
98 (Commer et al., 2011).

99

100 The second focus area of this article, inversion artifacts, is motivated by earlier comparative studies on

101 2-D versus 3-D inversion of data containing actual 3-D signatures. These studies have raised the  
102 concern that the restriction to two model dimensions, by not honoring a target's actual inherent three-  
103 dimensionality, lets 2-D images suffer from artifacts (Papadopoulos et al., 2007; Nimmer et al., 2008;  
104 Hübert, 2012; Feng et al., 2014). This concern applies when imaging resistivity anomalies due to a CO<sub>2</sub>  
105 plume migrating through complex reservoir geology. A second field data set is analyzed with regard to  
106 this concern and provides a time-lapse sequence of CO<sub>2</sub> plume development in a deep reservoir. While  
107 the investigated volume is limited by a two-well setup, sensitivity studies indicate a certain lateral  
108 resolution perpendicular to the well plane. In order to identify potential artifacts due to 2-D model  
109 assumptions, we carry out comparative 2-D versus 3-D inversions. Subsequent comparative 3-D  
110 inversions with two different algorithms show consistent time-lapse resistivity anomalies. For further  
111 indicators of their consistency, we draw on the potential of hydrological inversion results for supplying  
112 complementary information that aids a more comprehensive ERT data interpretation (e.g. Koch, 2009;  
113 Kowalsky et al., 2011).

114  
115

## 116 **2. Field data inversion 1: Shallow CO<sub>2</sub> injection experiment at Escatawpa**

117

118 Crosswell ERT data was acquired at the Victor J. Daniel Electric Generating Plant, near Escatawpa,  
119 Mississippi, USA, with the major objective of investigating the in situ effect of dissolved CO<sub>2</sub> on  
120 groundwater quality. Trautz et al. (2013) provide a description of the shallow injection experiment,  
121 with its well layout sketched in Figure 1. Dissolved CO<sub>2</sub> was delivered into a shallow aquifer through  
122 the eastern injection well. The geology can be sectioned into four major units. According to Trautz et  
123 al. (2013), the upper 30.5 m consist of sand and gravel, which is further underlain by low-permeability  
124 clay down to a depth of 46.9 m. The actual injection zone is composed of silty fine sand with minor  
125 clay; its upper boundary is at 46.9 m and its lower boundary at 54.6 m is interpreted to as the top of a

126 thick clay package. All the wells are fully cased except within the confined aquifer depth interval  
127 which was screened. The water level in the wells was about 10 m below the ground surface. Injection  
128 took place between October 18th, 2011 and March 23rd, 2012.

129

130 ERT measurements were carried out to aid the detection of geochemical alterations in shallow  
131 groundwater due to dissolution. The pH decrease caused by dissolved CO<sub>2</sub> facilitates mobilization of  
132 ions and trace metals (Zheng et al., 2009). Concurrent with higher ion content is the decrease in  
133 electrical resistivity. Dafflon et al. (2013) interpreted electrical resistivity and phase responses along 2-  
134 D planes as a function of dissolved CO<sub>2</sub> injection processes. Specifically, they interpreted resistivity to  
135 initially decrease due to increase of bicarbonate and dissolved species. While pH stayed low until the  
136 end of the injection experiment, the resistivity rebounded earlier toward initial conditions because of  
137 the decreasing total concentration of dissolved species (and thus water conductivity). This likely  
138 occurred because of the quick depletion of some metals and fast-dissolving carbonates from the  
139 sediments due to the continuous mobilization at the plume front. Relevant for our studies is the effect  
140 of these geochemical alterations on the groundwater electrical conductivity, namely increased  
141 conductivity (decreased resistivity) due to higher ion content.

142

### 143 *2.1. ERT survey design at the Escatawpa site*

144

145 A pressure gradient was established by means of one pumping well in the northwestern quadrant  
146 (Figure 1a). Crosswell seismic, gamma logging, and ERT were performed using an array of four  
147 monitoring wells. A string of 14 ERT electrodes with a vertical electrode spacing of 0.35 m was placed  
148 in each of the four monitoring wells named MW-1, MW-2, MW-3 and MW-4. The four screened  
149 intervals span a range from 46.9 to 54.6 m below ground surface. The electrode arrays were employed  
150 as a borehole cable hanging inside each 4.57 m screened interval section, with an exact array length of

151 4.55 m. This electrode layout had the purpose of illuminating the inner zone between the monitoring  
152 wells which was identified as the plume's main pathway during the initial injection phase. Dipole-  
153 dipole electrode configurations were employed between each pair of wellbores. Each inverted data set  
154 involves 132 source current electrode pairs and a total of 1070 voltage data points over the whole  
155 receiver electrode array. Dafflon et al. (2013) provide additional details about the ERT field experiment  
156 and concurring laboratory column experiments.

157

## 158 *2.2. Sensitivity study and synthetic data inversion*

159

160 Figure 1 further highlights the relatively large aspect ratio between well separation and the total  
161 screened interval of the borehole, compromising the sensitivity for the target region. The average ratio  
162 *well-separation/screen-length* for this survey layout amounts to 2.6, whereas LaBrecque et al. (1996)  
163 recommend a range of 0.5 to 0.75 for optimal image resolution. Preceding the field data inversion, we  
164 therefor perform a synthetic inversion study with a twofold purpose. First, we investigate to what  
165 degree a conductive plume in the central region between the wells can be delineated. Second, we  
166 demonstrate how the low sensitivity in the target zone can be counteracted by a focusing inversion  
167 technique. We employ a finite-difference inverse modeling algorithm that was developed for  
168 controlled-source EM data (Newman and Alumbaugh, 2000). The inversion driver uses an iterative  
169 NLCG optimization scheme chosen for its minimal memory storage requirements (Commer et al.,  
170 2011).

171

172 In order to illuminate the inter-well region, we predefine a weighting function that is applied to the  
173 gradient vector of the NLCG inverse scheme. This concept is related to re-weighted conjugate gradient  
174 methods (Zhdanov, 2002). In our application, we counteract the highly contrasting model resolution  
175 between the borehole and inter-well region by assigning weighting coefficients to the gradient vector



176 from which the NLCG scheme computes the model update in the model search space. See also  
177 Commer et al. (2011) for an application of this method to surface DC data. Each gradient vector  
178 component is assigned to one cell parameter of the 3-D inversion domain. The weighting coefficients  
179 are based on the inverse of the distance between the cell parameter and the nearest well. The gradient  
180 weighting function's focusing effect is thus achieved by damping the magnitude of model updates  
181 belonging to the most sensitive cell parameters near wells, while parameters from remote cells, i.e.  
182 those with low sensitivities, remain unaltered. Figure 2 illustrates this spatial behavior of the weighting  
183 function. Weighting coefficients assume values between 0 (completely damped) and 1 (no effect).  
184 Damped model regions, where weighting factors assume values below 1, appear as concentric (blue)  
185 circles around the wells.

186

187 To demonstrate the benefit of the focusing inversion, two synthetic inversions are carried out, where  
188 the synthetic data employs the same ERT configuration as the actual field observations. The target to  
189 be resolved is a 10  $\Omega\text{m}$  plume with ellipsoidal shape, located in the inter-well region, and embedded  
190 within a 47.6  $\Omega\text{m}$  resistive half space (Figure 3a). We use the same half-space value that will be chosen  
191 in the actual field data inversions below. The first inversion does not employ the gradient weighting  
192 technique (Figure 3b). While a central resistive zone can be identified, its resistivity remains  
193 underestimated. Minimal resistivity values amount to approximately 26  $\Omega\text{m}$ . Use of the weighted  
194 gradients leads to a better delineation of the resistive anomaly structure and a better estimate of its  
195 actual resistivity (Figure 3c). Here, the central region's true resistivity minimum of 10  $\Omega\text{m}$  is  
196 reproduced. Obtaining accurate resistivity is of course crucial to quantitative interpretation of CO<sub>2</sub>  
197 impacts.

198

199 In both images, one notes the occurrence of imaging artifacts in the form of resistivity overshoots

200 above and below the screen intervals. Imaging artifacts in regions of insufficient model resolution are  
 201 inherent to underdetermined ERT inverse problems (Carrigan et al., 2013; Friedel, 2003). This issue  
 202 needs to be addressed by adequate preceding sensitivity studies in order to avoid biased interpretations  
 203 of insufficiently resolved model regions. For this purpose, we analyze the sensitivity decay with  
 204 distance by means of a sensitivity map. Model cell parameter sensitivities are quantified in Figure 4a  
 205 for a horizontal slice through the common center of the screened depths ( $z=49.75$  m), and in Figure 4b  
 206 for a vertical slice through the central target region ( $y=299$  m). Electrode positions are projected onto  
 207 the sections. The individual sensitivity for a given cell parameter,  $m$ , is calculated by means of a model  
 208 perturbation by the quantity  $\Delta\sigma_m$ , chosen to be 10 % of the true plume model (Figure 3a),

$$209 \quad S_m = \log_{10} \left( \frac{\sum_{i=1}^N |d_i^0 - d_i^m|}{\Delta\sigma_m} \right). \quad (1)$$

210 The term involves the cumulative absolute data differences between the responses  $d_i^0$  and  $d_i^m$  of the  
 211 unperturbed model and perturbed model, respectively, where  $N$  denotes the total number of data points.

212 For an understanding of how this measure relates to the actual data perturbation, it is useful to

213 determine the largest individual relative change of a single data point  $i$ ,  $\frac{|d_i^0 - d_i^m|}{|d_i^0|} \times 100$  (in %), which in

214 this case amounts to 19.7 %. The sensitivities of Equation 1 are further normalized by the global

215 maximum of  $S_m$ , i.e. the plotted quantities are  $S_m^{norm} = \frac{S_m}{\max(S)}$ . The sensitivity maps of Figure 4

216 confirm the common observation in borehole ERT - objects close to boreholes are well resolved, while  
 217 objects in the middle between boreholes are poorly resolved (Day-Lewis et al., 2005).

218

219 *2.3. Time-lapse 3-D field data inversions*

220

221 We have carried out 3-D inversions for 11 data sets spanning 131 days after injection at the Escatawpa  
222 site,, analyzing all six transects between the four wells. To obtain time-lapse images of the electrical  
223 resistivity change, we employ a ratio-type inversion method. This method uses normalization with a  
224 baseline data set to produce comparative images rather than images of absolute electrical resistivity  
225 (Daily and Owen, 1991). Thus, the input to our imaging tool consists of electrical field ratios  $E(t)/E_0$  at  
226 time  $t$ , where  $E_0$  is the baseline data at (pre-injection) time  $t=0$ . The ratio inversion method has been  
227 shown to be beneficial for the removal of modeling errors and systematic measurement errors (Doetsch  
228 et al., 2013). Table 1 summarizes the number of inverted ERT source-receiver configurations. To  
229 counteract weak resolution in the inter-well region, the focusing method was employed using the same  
230 gradient weighting function (illustrated in Figure 2) as the preceding synthetic study. A half space  
231 model with  $47.6 \Omega\text{m}$  resistivity was estimated through forward modeling trials and served as the  
232 starting model for each 3-D inversion.

233

234 Figures 5a and 5b summarize the spatial resistivity deviations,  $\Delta\rho$  in percent, with respect to the pre-  
235 injection state. Each plot row marks a certain day after injection began. In Figure 5a, the left, middle  
236 and right plot columns represent vertical sections parallel to the Easting coordinate and cut through the  
237 Northing coordinates moving north from MW-2 to MW-1,  $N=292 \text{ m}$ ,  $N=300 \text{ m}$ , and  $N=307 \text{ m}$  (Figure  
238 1). In Figure 5b, the same three plot columns represent vertical sections parallel to the Northing  
239 coordinate and cut through the Easting coordinates moving downgradient from MW-3 to MW-4,  
240  $E=514 \text{ m}$ ,  $E=507 \text{ m}$ , and  $E=499 \text{ m}$  (Figure 1).

241

242 While the generally low resolution 3D inversion results require a rather cautionary interpretation, some  
243 important larger-scale observations can be extracted from the images shown in Figures 5a and 5b.  
244 Starting with a relatively homogeneous image at day 7, significant resistivity decreases appear on day

245 21 after the beginning of injection. Negative resistivity changes indicate the passing of dissolved CO<sub>2</sub>.  
246 The southern and center Easting sections (Figure 5a, left and middle plot column) indicate a  
247 southwards shift of these changes, because of an absence of corresponding change in the northern  
248 section (right column). Starting at day 31, the center section (middle column) reveals a significant  
249 negative resistivity anomaly near MW-3. Given MW-3's proximity to the injection well, such a clear  
250 onset meets our expectation.

251

252 Dafflon et al. (2013) observed from 2-D inversion studies that the drop in resistivity in the plane  
253 between MW-2 and MW-3 rebounds at MW-3, i.e. the resistivity rises back to baseline values after  
254 passing of the plume. Noting that this rebound happened over a shorter time period than the rebound at  
255 MW-2, they concluded a spreading of the plume over time due to dispersion and heterogeneity. The  
256 spreading of significant negative changes over a larger volume downgradient from MW-3 begins on  
257 day 83, which is in agreement with the onset derived from 2-D images (Dafflon et al., 2013).

258

259 Reactive transport modeling by Trautz et al. (2013) yielded two observations with relevance for a  
260 tentative plume flow path prediction. First, a low-pH breakthrough was predicted to occur first at MW-  
261 3, then, in order of arrival, at MW-2, MW-1, and MW-4, with a relatively small arrival time gap  
262 between the latter two. Second, even 120 days after injection start, the center of the predicted pH plume  
263 is closer to the southern MW-2 - MW-3 region, with the plume boundary now arriving near MW-1 and  
264 MW-4. Both these observations point to a southern preferential flow, which is indicated by the delayed  
265 appearance of lowered resistivity in the northern image section through the MW-1 plane (Figure 5a,  
266 third column).

267

268 A shift of the early onset of lowered resistivity towards the MW-2 region is also observed from the  
269 slices in the Northing plane (Figure 5b). As expected, the image closest to the injector (left plot

270 column) shows the most changes, in contrast to a minimal activity in the western section (right column)  
271 up to day 56. An interesting observation is the period of rather benign variations around the period of  
272 day 56, as also observed from 2-D inversions, and possibly related to rebounding resistivity drops  
273 which were also measured in laboratory studies (Dafflon et al., 2013). Peaking resistivity changes  
274 become visible in the center plane (middle column) and appear to spread out further moving  
275 downgradient (right column). Geologic heterogeneities in the inter-well region are most likely the  
276 cause for both the southwards-trending plume movement as well as the spatial plume dispersion over  
277 time.

#### 278 279 *2.4. Comparative synthetic study for the evaluation of field data inversions*

280  
281 A second synthetic inversion study aims at improving our understanding of the significance of the  
282 resistivity variations observed from the Escatawpa field data inversions. While the first synthetic  
283 inversion for an anomaly embedded in a homogeneous background already demonstrated the benefits  
284 of the focusing technique (Figure 3), the question remains whether the technique is similarly beneficial  
285 in the presence of more complex target structures. To address this question, using the same survey  
286 geometry as given by the field data, synthetic data is now created from the final inversion outcome of  
287 day 131 (bottom panel in Figure 5 a and b). This inversion result is deemed as a good representation of  
288 a complex model, given both positive and negative resistivity anomalies. With the principal goal of  
289 directly comparing inversion results with and without the focusing technique, we omit the addition of  
290 white Gaussian noise. Further, the same number of NLCG inversion iterations (25) is enforced for each  
291 inversion run. The results of the two synthetic inversions are shown in Figure 6, together with the  
292 original model (left column). Note again that the original model, referred to as true model, is the final  
293 field data inversion result of day 131. The middle and right column show the inversion results with  
294 gradient-weighting inactive and active, respectively, for the same six cross sections that were presented

295 in Figure 5. Major resistivity anomalies are reproduced well by the gradient-weighting technique (right  
296 column), while the actual resistivity contrasts appear slightly underestimated. On the other hand, the  
297 results without gradient-weighting lead to a rather poor agreement with the true model.

298  
299 As shown in the first synthetic study (without usage of the focusing technique), the inversion can  
300 identify a centralized anomaly in a homogeneous background (Figure 3b). This capacity is lost in the  
301 presence of more complex structures as shown in Figure 6 (true model). However, the more complex  
302 anomalies can be identified through enforcement of weighted gradients.

### 303 304 **3. Field data inversion 2: Deep CO<sub>2</sub> injection experiment at Cranfield**

305  
306 The Cranfield ERT experiment was part of a multidisciplinary project, carried out near Natchez,  
307 Mississippi, by the Southeast Carbon Sequestration Partnership (SECARB). A detailed site and project  
308 description is given by Hovorka et al. (2013) and references therein. Designed as a pilot study, a total  
309 mass of over 1 million metric tons of CO<sub>2</sub> were injected in super-critical state into a permeable  
310 subsurface reservoir, located at depths over 3000 m, which is part of the Lower Tuscaloosa Formation.  
311 The reservoir has an average thickness of 30 m accessed via CO<sub>2</sub> injection well F-1 in Figure 7a. The  
312 formation geology is characterized by a complex system of fluvial channels composed of conglomerate  
313 with a significant component of chert (fine-grained sedimentary) pebbles in the lower parts (Kordi et  
314 al., 2010). These are overlain by fine-grained sandstones with minor interbedded mudstone. Based on  
315 petrographic data, a large degree of reservoir heterogeneity is reported, owing to contrasting porosity  
316 and permeability within this channel system (Lu et al., 2013). The injected fluid contained mostly CO<sub>2</sub>  
317 with a low percentage (1-2 %) of methane. For the purpose of tracer studies, small amounts of a SF<sub>6</sub>  
318 tracer were co-injected. Temperature and pressure reservoir conditions led to a supercritical state of the  
319 injected fluids.

320

321 *3.1. ERT survey design at Cranfield*

322

323 While the ERT method has mostly evolved from shallow environmental studies, application for deeper  
324 sequestration monitoring, as at the Ketzin pilot experiment (Kießling et al., 2010), has new logistical  
325 challenges. At the Ketzin and Cranfield sites, ERT has proven the capability of tracking CO<sub>2</sub> migration  
326 over time, owing to elevated resistivity associated with increasing saturation of gas in supercritical state  
327 (Carrigan et al., 2013; Doetsch et al., 2013).

328

329 Carrigan et al. (2013) provide details about the ERT experimental design, data processing and  
330 challenging field logistics of the deep electrode deployment. Two closely spaced monitoring wells, F-2  
331 and F-3, were used for installation of the crosshole ERT array. The distance from F-1 to F-2 is  
332 approximately 70 m, and the distance from F-2 to F-3 is about 33 m (Figure 7a). The measurements  
333 involve four-electrode configurations, with a dipole-dipole switching schedule that has the current and  
334 potential electrode pairs sampling through both F-2 and F-3. A vertical array of 14 electrodes with 4.6  
335 m spacing and 61 m total length was centered on the injection zone in F-2. Economic reasons led to  
336 only 7 electrodes deployed along the same array length in F-3, requiring an increase in spacing to 9.14  
337 m (30 ft). This configuration leads to a favorable ratio of *well-separation/perforation-length* of  
338 approximately 0.6. Preceding comparative inversion studies revealed no additional benefit from using  
339 the focusing approach, which is likely due to the better spatial coverage.

340

341 ERT monitoring started on November 25th of 2009, five days before injection began. Our inverted data  
342 spans the time period beginning at day 13 and ending at day 103 after injection start. To obtain time-  
343 lapse images of the electrical resistivity change, we again employ the ratio-type inversion method,  
344 which has the benefit of minimizing effects due to systematic errors, such as potentially inaccurate

345 electrode spacings. Following Doetsch et al. (2013), all inversions start with a 1  $\Omega$ m homogeneous  
346 half-space model, further using homogeneous model smoothing constraints.

347

### 348 *3.2. Supporting hydrological information*

349

350 Supporting the interpretation of the 3-D time-lapse ERT inversion results, we will draw on a hydraulic  
351 permeability model obtained from recent hydrological studies. Hydrological measurements were made  
352 in both monitoring wells and included continuous gas composition sampling, where the main recorded  
353 gas phase components were CO<sub>2</sub>, methane, and a SF<sub>6</sub> tracer (Doughty and Freifeld, 2013). Reservoir  
354 flow simulations and concurring inverse modeling approaches involved a three-layered hydrological  
355 model as shown in Figure 7b. The inverted hydrological data included gas mole fractions measured in  
356 both monitoring wells, with three sampling depths in each well. Jointly inverted with the mole fractions  
357 were changes in electrical conductivity,  $\sigma(t)/\sigma(t=0)$ , calculated from the ERT measurements and  
358 averaged over each of the three main geological layers as a function of time. Thereby, ERT  
359 measurements served as hydrological proxy data with the ERT methods' benefits to volumetric  
360 coverage, thus providing stabilizing constraints to flow and transport inverse modeling (Doetsch et al.,  
361 2013; Commer et al., 2014).

362

363 The three-layered permeability parameter distribution was supported by the analysis of borehole  
364 porosity logs strongly suggesting three distinct units with median porosities of 19.6 %, 23.9 %, and  
365 22.9 % for layers 1, 2 and 3, respectively (Figure 7b). In subsequent hydrological inverse modeling  
366 studies, the distribution of permeability parameters over each layer was further modified in order to  
367 find a compromise between the limited spatial footprint of the hydrological data and the demand to  
368 provide a sufficient degree of freedom for lateral permeability variability. This led to a 3-D model with



369 a total of 25 zones of varying absolute hydraulic permeability distributed over the three layers  
370 (Commer et al., 2014). The relatively coarse model parameterization is further justified by the  
371 relatively large distance from the injection source, causing the measured gas compositions to represent  
372 an effective permeability averaged over the adjacent flow paths. The final hydrological model will be  
373 integrated with ERT results further below.

374

### 375 3.3. 3-D sensitivity study

376

377 To obtain an estimate of the ‘trust-region’ that will be considered for 3-D interpretation of the  
378 following ERT inversions, we analyze the sensitivity over the inversion domain. Sensitivities are  
379 calculated using a model perturbation of the final resistivity model obtained for day 53 of the 3-D time-  
380 lapse data inversions. Our reason for this choice is that the plume volume reaches peak levels at this  
381 time (shown further below). Plotted in Figure 8 is the quantity  $S_m$  of Equation 1 normalized by its  
382 global maximum. One observes the familiar sensitivity pattern, namely elevated sensitivity near the  
383 wells, which drops rapidly away from the wells. The sensitivity range in the inter-well region spans  
384 approximately two orders of magnitude. For a visualization of the same minimal level laterally away  
385 from the well plane the sensitivity map is overlain on the isosurface pertaining to cell parameters with  
386 quantities  $\log_{10}(S_m^{norm}) \geq -2$ . This lets us estimate the lateral extent of the trust-region to approximately  
387  $\pm 10$  m from the well plane. The sensitivity map thus indicates that a model volume of approximately  
388  $(dx \times dy \times dz)$  40 m  $\times$  20 m  $\times$  60 m is resolved by this two-well survey configuration. However, note  
389 that due to the 2-D survey geometry, 3-D inversion cannot distinguish on which side of the cross-  
390 section plane an anomaly is located.

391

392 A distinct feature of the sensitivity distribution in Figure 8 is that large values, where  $\log_{10}(S_m^{norm})$  is

393 above -0.5, occur along the whole perforated length of F-2, while such sensitivity magnitudes occur  
394 only near the central portion of the F-3 perforated zone. While geologic heterogeneity and electrode  
395 array types may play a role in sensitivity, we believe that the coarser electrode coverage in F-3 (7  
396 electrodes versus 14 in F-2) is the main reason for the lower sensitivity magnitudes. Therefore, we  
397 point out that a careful data interpretation needs to consider the possibility of biased imaging results  
398 due to this asymmetric sensitivity distribution.

399

400

#### 401 *3.4. Comparative 2-D versus 3-D inversions*

402 The following study has the purpose of examining potential image artifacts at Cranfield due to 2-D  
403 model assumptions. Given the sensitivity distribution of Figure 8, we focus on the inter-well volume  
404 pointed out previously. We employ the tool BERT, which offers both 2-D and 3-D inverse modeling  
405 and uses a finite-element forward operator (Rücker et al., 2006) and a Gauss-Newton inversion  
406 framework (Günther et al., 2006). A qualitative comparison between both image sequences in Figure 9  
407 yields spatially conforming snapshots of the centers where the resistivity increases over time. Note that  
408 by means of the ratio-type inversion method, these results translate to relative changes (calculated in  
409 percent) with respect to the (1  $\Omega\text{m}$ ) homogeneous background. Positive values imply a resistivity  
410 increase over time. Both sequences indicate the onset of enhanced resistivity associated with increasing  
411 gas saturation after day 21, with a more pronounced contrast delivered by the 2-D inversion (right  
412 panels).

413

414 A commonality in both image types is the concentration of the highest positive variations near well F-  
415 3. The most striking difference on the other hand is a larger spatial variation near F-2 in the 2-D  
416 images. The 3-D images show more homogeneous and weaker positive changes near F-2. Further, all  
417 2-D images appear to suggest a fragmented kind of flow path distribution, whereas the maximum 3-D

418 resistivity changes assume more of a coherent plume shape. These discrepancies comply well with the  
419 comparative studies of Yang and Lagmanson (2006), who point out that objects not intersecting the  
420 imaging plane of a 2-D inversion may be projected onto the cross section, thus adding complexity by  
421 highlighting off-plane anomalies.

422

423 We further point out that the asymmetric sensitivity distribution revealed in Figure 8 may also have a  
424 certain effect on the 2-D images that potentially differs from the 3-D analysis. Despite these  
425 differences, the vertical extent of the main flow path between the two observation wells, between  
426 approximately 3190 m and 3210 m depth, is indicated by both inversion outcomes. The comparisons  
427 indicate that the additional degree of freedom provided by the 3-D approach does not adversely affect  
428 the imaging capacity. In other words, in the presence of insufficient sensitivity, adverse effects like  
429 major differences between 2-D and 3-D images would be triggered by the larger solution non-  
430 uniqueness.

431

### 432 *3.5. Comparative 3-D inversions*

433 The image comparisons shown in Figure 9 indicate potential biased resistivity structures in 2-D  
434 inversions of data recorded in geology where 3-D structures prevail. However, when allowing for more  
435 degrees of freedom in 3-D inversions, another class of imaging artifacts needs to be considered,  
436 introduced by the more underdetermined nature of the 3-D inverse problem. The comparative time-  
437 lapse inversion sequence summarized in Figure 10 attempts to qualitatively assess the degree of 3-D  
438 solution non-uniqueness. We compare 3-D images generated by the imaging code BERT (shown in the  
439 left panels and identical to the 3-D results of Figure 9) against images of the code EMGeo (right  
440 panels). Both 3-D outcomes confirm the concentration of resistivity variations shifted slightly to the  
441 lower perforated section. This is also in agreement with earlier studies, suggesting that most saturation  
442 changes occur within the more permeable and more porous reservoir layers in the lower perforated

443 interval (Carrigan et al., 2013; Doetsch et al., 2013). The onset and concentration of saturation changes  
444 (interpreted via resistivity change) near well F-3 is also consistent in both 3-D inversions. Some  
445 differences can be observed in the magnitude of these changes in the early (before day 33) and late  
446 (after day 83) stages, whereas structural patterns have a relatively high degree of similarity. There are  
447 spatially variable reservoir attributes that have strong control over permeability and porosity in this  
448 formation, e.g. compaction and quartz overgrowth (Kordi et al., 2010). Hence, locally inhomogeneous  
449 flow paths may explain the rather inhomogeneous saturation changes near the central portion of F-3.  
450 The homogeneous and high sensitivity in the central perforated zone of the F-3 well render imaging  
451 artifacts unlikely in that zone.

452

453

### 454 *3.6. Integrated hydrological and geophysical interpretation*

455 The onset of saturation changes near well F-3 revealed by the comparative 3-D analysis confirms the  
456 findings of Lu et al. (2012) of a heterogeneous flow path system. For both injected gas and tracers, they  
457 observed faster transport between F-1 and F-3 than between F-1 and F-2. The authors suggested a  
458 system of preferential flow paths that respond differently to pressure gradient changes, where the F-1 -  
459 F-3 path may not be linked to F-2. Such lateral permeability variations were also indicated by core  
460 samples, with F-3 cores showing much higher permeability over F-2 cores (Lu et al., 2013). In Figure  
461 11, we overlay an image representative of the later observation stage (EMGeo result at day 103) with  
462 the permeability model obtained from a hydrological data inversion (Commer et al., 2014). Isosurfaces  
463 show resistivity changes exceeding 5 % (grey) and 20 % (red). Overlain is the hydrological model  
464 obtained from an inversion for 25 zones of varying absolute hydraulic permeability. To limit  
465 hydrological inverse solution non-uniqueness, symmetry considerations were used to mirror these 25  
466 parameters from one quarter of the whole hydrological modeling domain (Figure 7b) to each of the  
467 other three quadrants.

468

469 Despite the fact that the nature of hydrological data inversion is characterized by an inevitable  
470 averaging of hydrological attributes on the inter-well scale, the permeability model reflects important  
471 findings from the core analysis. Figure 11 shows two of the (symmetric) quadrants straddling the  
472 monitoring well plane at  $y=0$  m. We have three observations. First, the stark permeability contrast  
473 between Layer 1 and the two lower layers confirms the low-permeability regime of the upper reservoir  
474 (a flow boundary). Second, the vertical contrast from high (Layer 2) to low (Layer 3) permeability  
475 reported for the F-3 region (near  $x=100$  m) is indicated. Third, the lateral permeability variations along  
476 the direction perpendicular to the F-2 – F-3 well plane in the lower layers suggest laterally differing  
477 flow rates. This may explain  $\text{CO}_2$  flow circumventing the F-2 well and lead to higher saturation  
478 changes near F-3, as indicated by the coincident high resistivity (red isosurface). The integrated ERT  
479 and hydrologic inversion hence strongly confirms an efficient flow path connecting the injector to F-3,  
480 as proposed by Lu et al., 2013.

481

#### 482 **4. Conclusions**

483

484 By analyzing ERT time-lapse data from two pilot injection experiments, along with select synthetic  
485 data studies, we have demonstrated important aspects of 3D ERT inversions for monitoring subsurface  
486  $\text{CO}_2$  migration. We affirmed that the ERT method offers a direct link to both gas dissolution and  
487 supercritical gas saturation changes at the inter-borehole scale. Challenges are given by the generally  
488 underdetermined nature of the crosswell inverse problem owing to insufficient data coverage.

489

490 For the shallow Escatawpa data set, the focusing effect achieved by weighted gradients has  
491 counteracted the resolution decay away from the ERT wells. Our first synthetic comparative study  
492 demonstrated that this method has the capability of reproducing the actual contours and magnitude of a

493 resistivity anomaly embedded in a homogeneous background and located in the inter-well region, given  
494 the favorable conditions of a homogeneous background and a spatially large anomaly. As shown by the  
495 second synthetic study, more complex anomalies could also be reproduced to a fairly good degree.

496

497 We emphasize that careful prior sensitivity assessment remains an essential prerequisite to delineate the  
498 model boundaries wherein CO<sub>2</sub>-related resistivity changes are of significance. With respect to earlier 2-  
499 D results, the 3-D inversions have added information about the inter-well region. At Escatawpa, we  
500 observe a southwards flow pattern with respect to the injection – pumping well line, a spatially  
501 homogeneous initial plume development near the injection well, which attains increasing heterogeneity  
502 moving downgradient over time.

503

504 The time-lapse Cranfield site data provide a good test bed for studying inversion and imaging  
505 differences due to 2-D versus 3-D model assumptions. Imaging artifacts may occur due to systematic  
506 and coherent data noise. Assuming that we minimize such noise artifacts by inverting ratio-type data, a  
507 2-D treatment in the presence of a complex fluvial channel system may project off-plane anomalies, for  
508 example near well F-2 at Cranfield. This type of artifact (typical in crosswell data) is likely to explain  
509 the main discrepancy observed in the 2-D – 3-D comparisons, i.e. a stronger onset near F-2, while the  
510 3-D ERT images of both employed codes and the hydrological evidence suggest an initial  
511 circumvention of F-2. Notwithstanding this explanation, the additional third degree of freedom can lead  
512 to flawed interpretation due to increased solution non-uniqueness. Here, a qualitative assessment of  
513 non-uniqueness is made by a preceding sensitivity analysis and subsequent comparative 3-D  
514 inversions. We believe that the degree of lateral resolution together with consistent comparative  
515 imaging outcomes promote a 3-D treatment despite the planar ERT survey geometry.

516

517 The 3-D resistivity patterns obtained from the two different imaging algorithms at Cranfield support the

518 existence of a heterogeneous system of fluvial deposits. As reported in earlier petrographic studies,  
519 these deposits are characterized by predominantly horizontal flow paths in the lower perforated region  
520 with laterally differing permeabilities. The underlying spatial permeability variations indicated by  
521 earlier core samples from both observation wells are consistent with the permeability model developed  
522 from hydrological analysis, which can further be well aligned with our ERT inversion results. This  
523 situation, where the project database permits a consistent joint hydrogeophysical interpretation, affirms  
524 the value of 3-D resistivity tomography for future CO<sub>2</sub> storage monitoring projects.

525

526

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539

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720

721

## 722 **Figure Captions**

723 Figure 1: Schematic view of the shallow injection site near the Victor J. Daniel Electric Generating  
724 Plant, Escatawpa (Mississippi). (a) Location of pumping, injection and monitoring wells. Also shown  
725 are well screened intervals. (b) ERT electrode layout within the screened intervals. Each screened zone  
726 contains a 4.55 m long electrode array, installed as a borehole cable hanging within the screened  
727 casing. Separations between all wells are shown in meters. The blue lines mark positions of model  
728 cross sections created from inverted data to be shown further below. The table lists all well coordinates.

729

730 Figure 2: 3-D view of the spatial gradient weighting function used for focusing of the inversion  
731 domain. The color denotes the weight assigned to each component of the gradient vector computed  
732 through the NLCG optimization scheme. Each gradient vector component represents one finite-  
733 difference grid cell parameter of the model search space.

734

735 Figure 3: First synthetic data inversion of the shallow injection experiment. Each set of three panels (a,  
736 b, c) shows the 2D sections Easting-Depth, Northing-Depth and Easting-Northing. (a) True electrical  
737 resistivity model. The target is represented by a conductive anomaly (10 Ωm) with ellipsoidal shape  
738 embedded in a 47.6 Ωm resistive half space. (b) Synthetic inversion result without using the gradient  
739 weighting technique. (c) Synthetic inversion result with application of the gradient weighting.

740

741 Figure 4: Sensitivity maps for the inversion domain of the shallow injection experiment data at  
742 Escatawpa. (a) horizontal cross section through  $z=49.75$  m, (b) vertical cross section through  $y=299$  m.

743

744 Figure 5a: 2-D Easting-versus-depth slices of 3-D time-lapse imaging results of the Escatawpa shallow  
745 injection experiment data. Each row of plots is a time in days after injection began, where each row  
746 contains 2-D *Easting-Depth* slices through three different Northing coordinates (marked by blue lines  
747 in Figure 1). The slice's Northing coordinates in the left, middle, and right plot columns are,  
748 respectively,  $N=292$  m (southern slice, near MW-2),  $N=300$  m (center slice, between MW-2 and MW-  
749 1), and  $N=307$  m (northern slice, near MW-1). Projected into each subplot are the electrodes of wells  
750 MW-4 and MW-3. Shown are resistivity differences in % with respect to the pre-injection state.

751

752 Figure 5b: Northing-versus-depth 2-D slices of 3-D time-lapse imaging results of the Escatawpa  
753 shallow injection experiment data. Each row of plots is a day after injection began, where each row  
754 contains slices through three different Easting coordinates (marked by blue lines in Figure 1). The  
755 slice's Easting coordinates in the left, middle, and right plot columns are, respectively,  $E=514$  m  
756 (eastern slice, near MW-3),  $E=507$  m (center slice, between MW-4 and MW-3), and  $E=499$  m (western  
757 slice, near MW-4). Projected into each subplot are the electrodes of wells MW-2 and MW-1. Shown  
758 are resistivity differences in % with respect to the pre-injection state.

759

760 Figure 6: Second synthetic inversion study of the shallow injection experiment. Synthetic data was  
761 created from the model obtained from inverting the Escatawpa data for day 131 (shown by the left  
762 column and by Figure 5 a and b, bottom panel). Two comparative inversions have the weighted  
763 gradients inactive (middle column) and active (right column).

764

765 Figure 7: Supercritical  $\text{CO}_2$  injection site at Cranfield, 12 miles east of Natchez (MS) as presented in



766 Commer et al. (2014). (a) The aerial view of the site includes the F-1 injector and the two monitoring  
767 drillholes (F-2 and F-3). (b) The complete 3-D mesh used for hydrological inverse modeling is shown  
768 by the upper panel, with a close-up view in the lower panel. Symmetry considerations in the  
769 hydrological modeling limit the degrees of freedom to only one quarter of the simulation domain. Red  
770 crosses show measurement locations of gas mole-fraction data in wells F-2 and F-3. Blue dots indicate  
771 mesh elements over which electrical conductivity (EC) data are averaged into temporal changes,  
772  $\sigma(t)/\sigma(t=0)$ , that serve as hydrological proxy data.

773

774 Figure 8: Sensitivity map for the Cranfield site. Sensitivities are represented as  $\log_{10}(S_m^{norm})$ , where  
775  $S_m^{norm}$  are the individual cell sensitivities calculated using Equation 1 and normalized over the global  
776 maximum.

777

778 Figure 9: Changes in resistivity produced from the imaging tool BERT, where the left panels show 2-D  
779 inversion results and the right panels show 3-D inversion results. The isosurfaces in the 3-D panels  
780 indicate regions where the resistivity increase exceeds 20 %. The approximate boundaries of the  
781 reservoir storage zone are indicated by the two lines in the first panel.

782

783 Figure 10: Changes in resistivity produced from 3-D inversions using the imaging tools BERT (left  
784 panels) and EMGeo (right panels). The isosurfaces in the 3-D panels indicate regions where the  
785 resistivity increase exceeds 20 %.

786

787 Figure 11: Hydraulic permeability model integrated with the isosurfaces of resistivity changes over 5 %  
788 (grey) and 20 % (red). Resistivity changes are derived from the EMGeo imaging result for day 103  
789 (also shown in the right column of Figure 10).

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## Tables

Source-dipole well	Receiver-dipole well	Number of source-receiver dipole pairs
MW-3	MW-4	182
MW-2	MW-1	182
MW-2	MW-3	182
MW-1	MW-3	182
MW-2	MW-4	182
MW-4	MW-1	160
Total:		1070

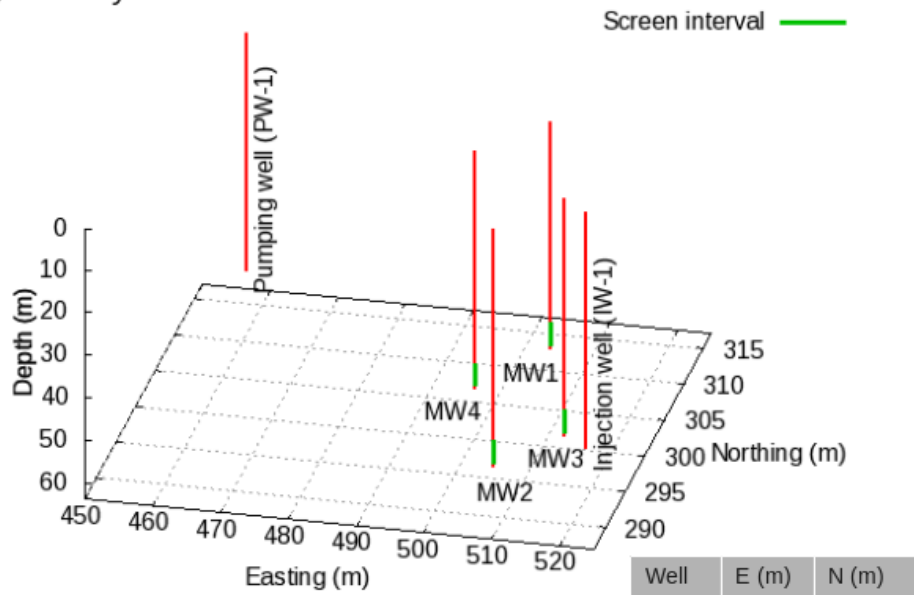
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796 Table 1: Summary of the survey configuration for the inverted ERT data from the shallow injection  
797 experiment at the Victor J. Daniel Electric Generating Plant. The data contains all six transects between  
798 the four monitoring wells, with a total of 1070 source-receiver configurations. For example: The  
799 number of inverted data with source dipole electrodes in well MW-3 and receiver dipole electrodes in  
800 MW-4 is 182.

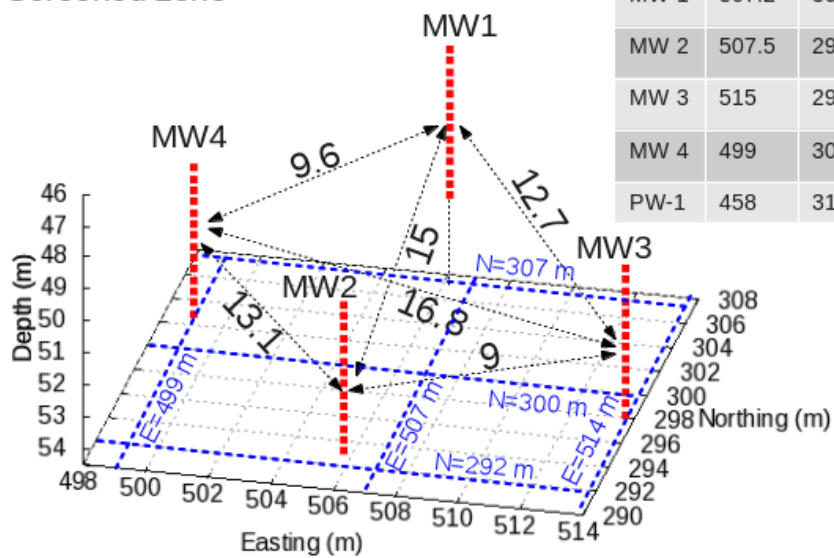
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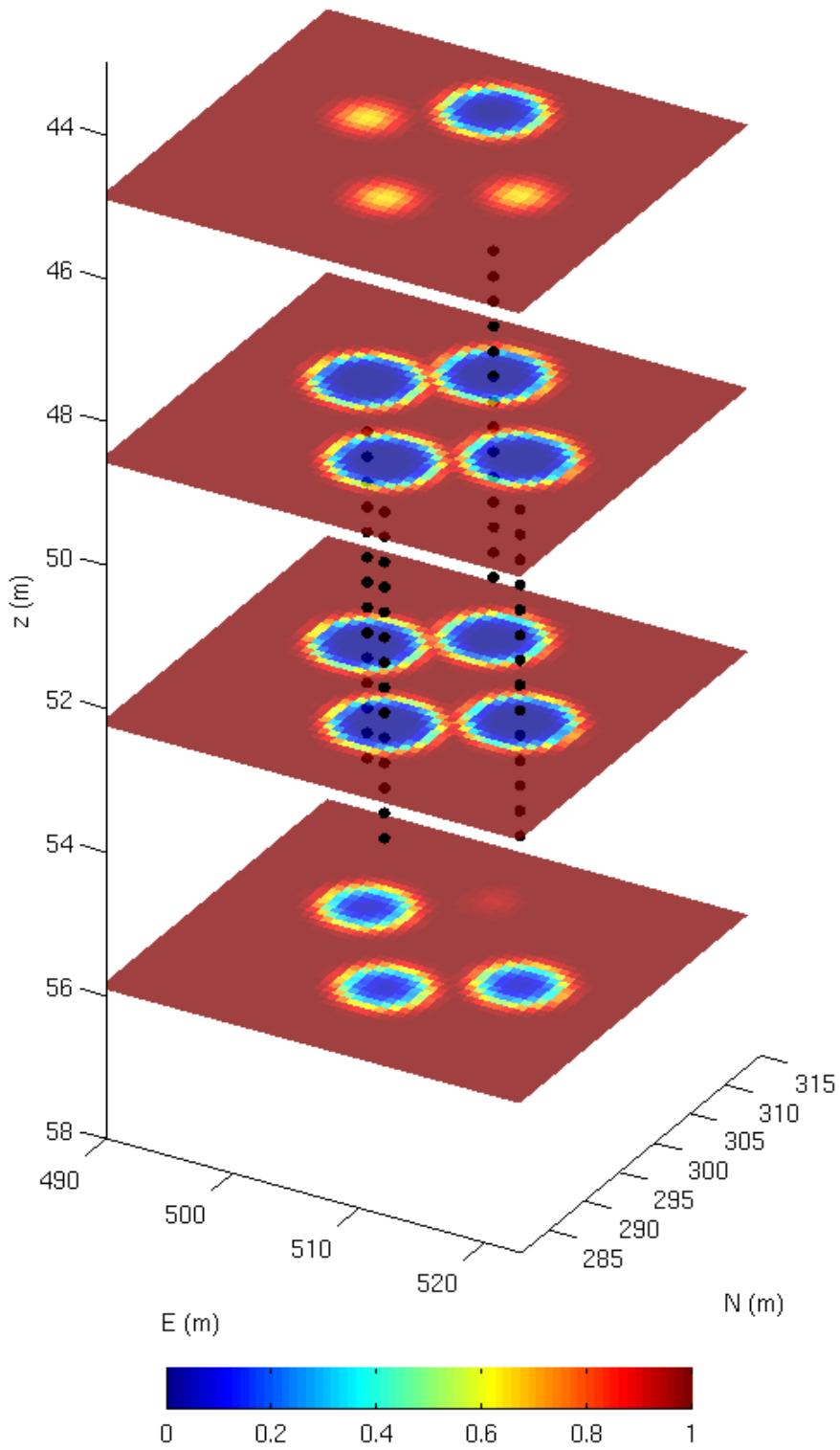
## Figures

a) Well layout

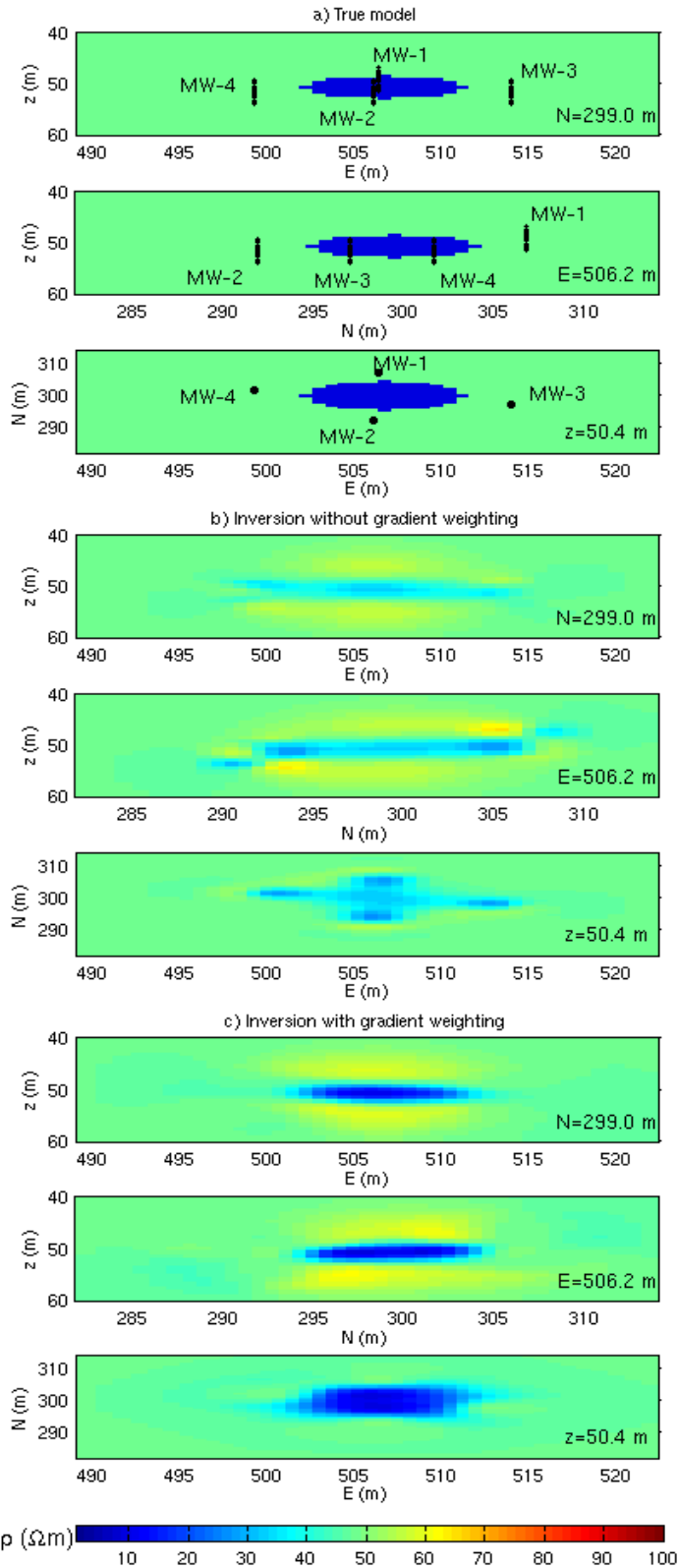


b) Screened zone



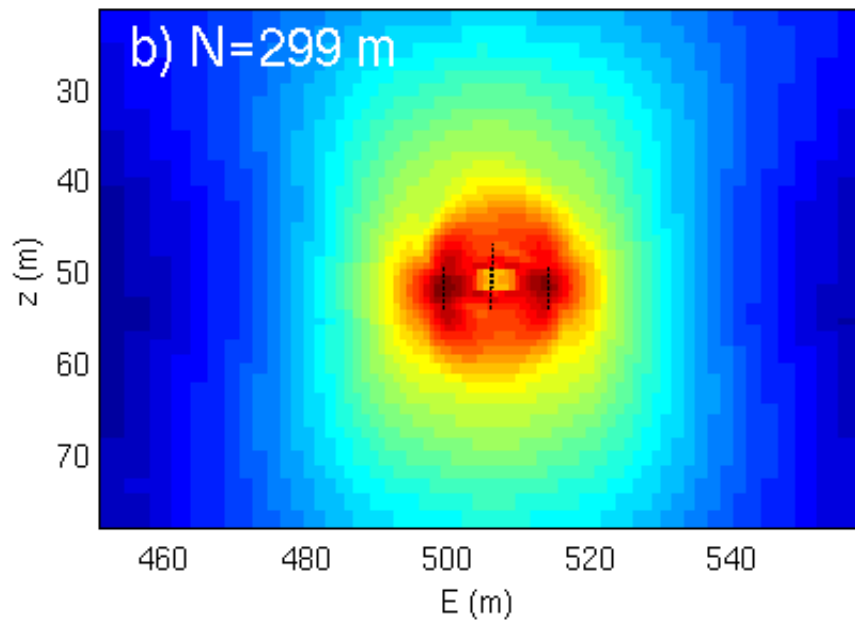
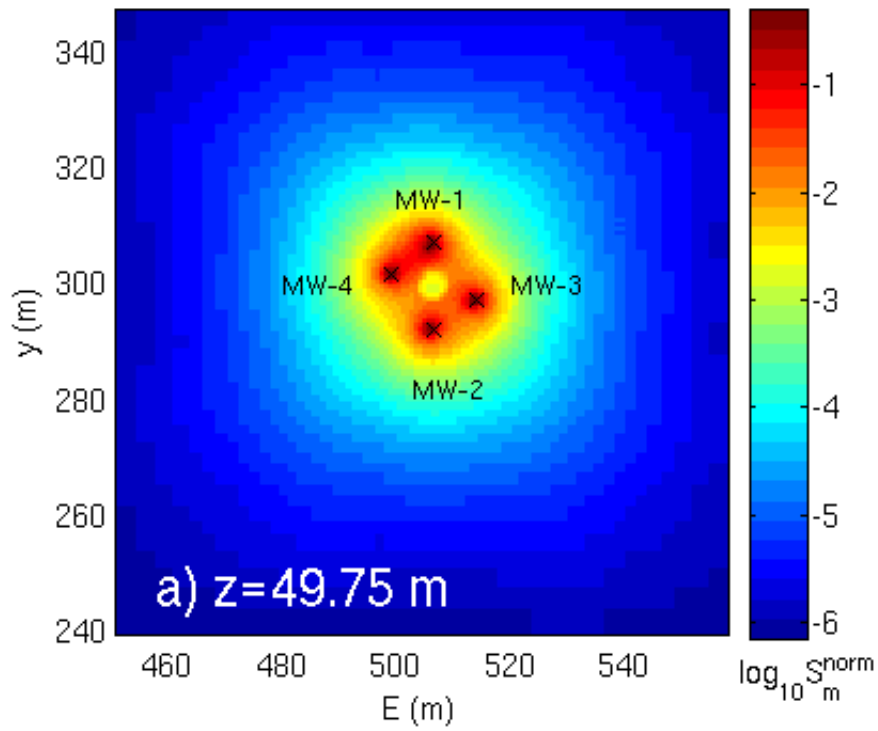


808  
809 Figure 2

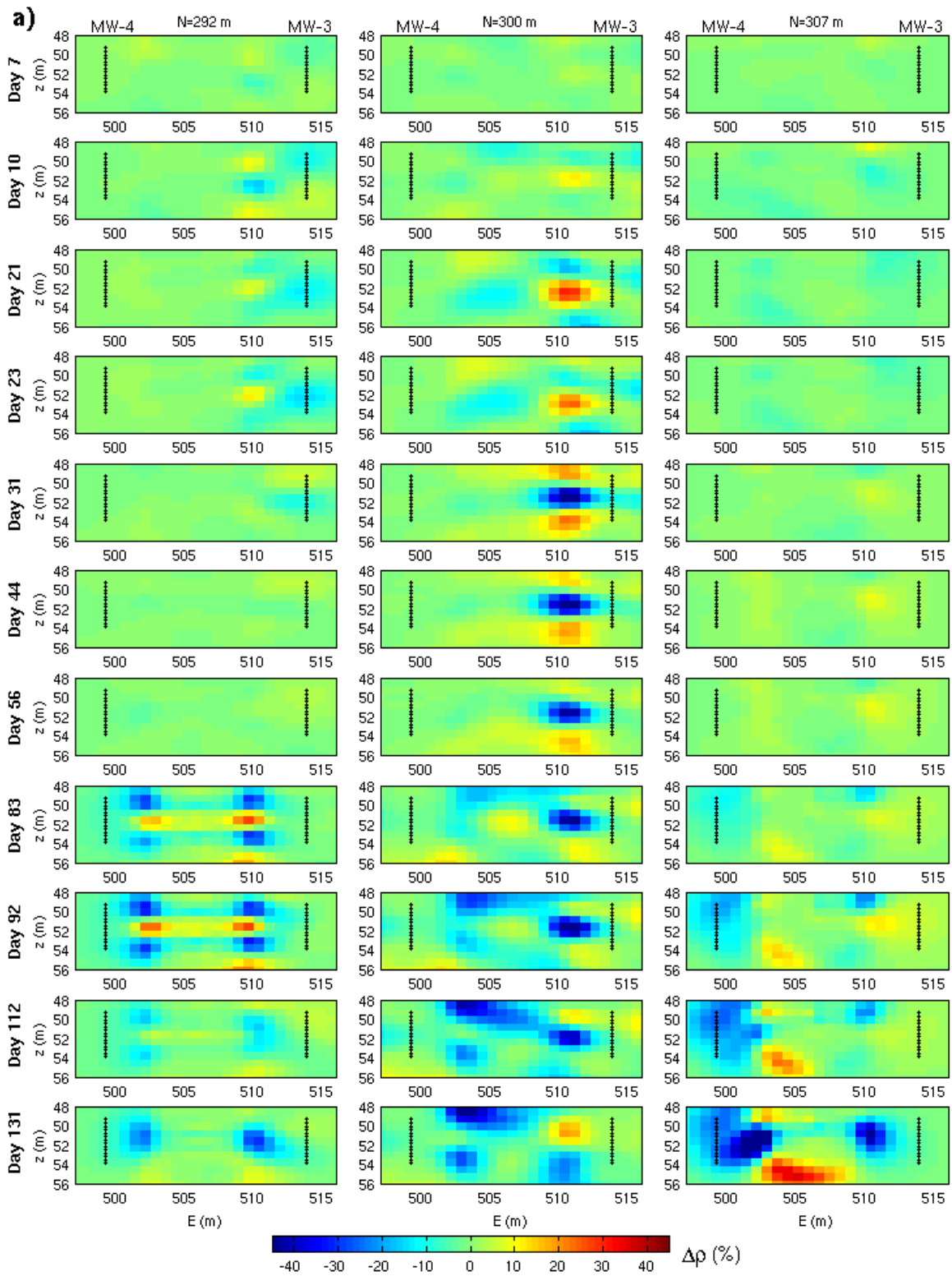


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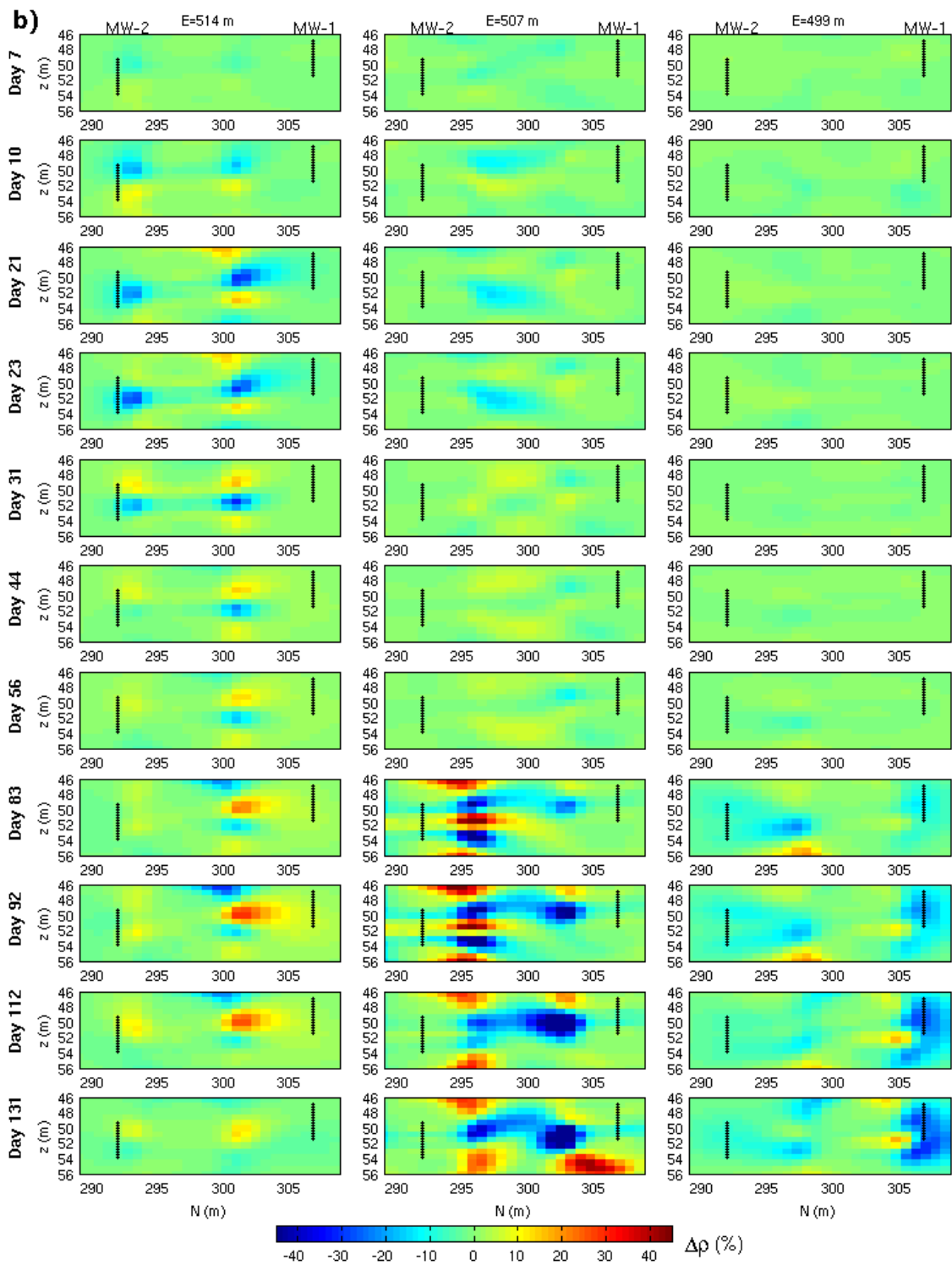
Figure 3



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815 Figure 4

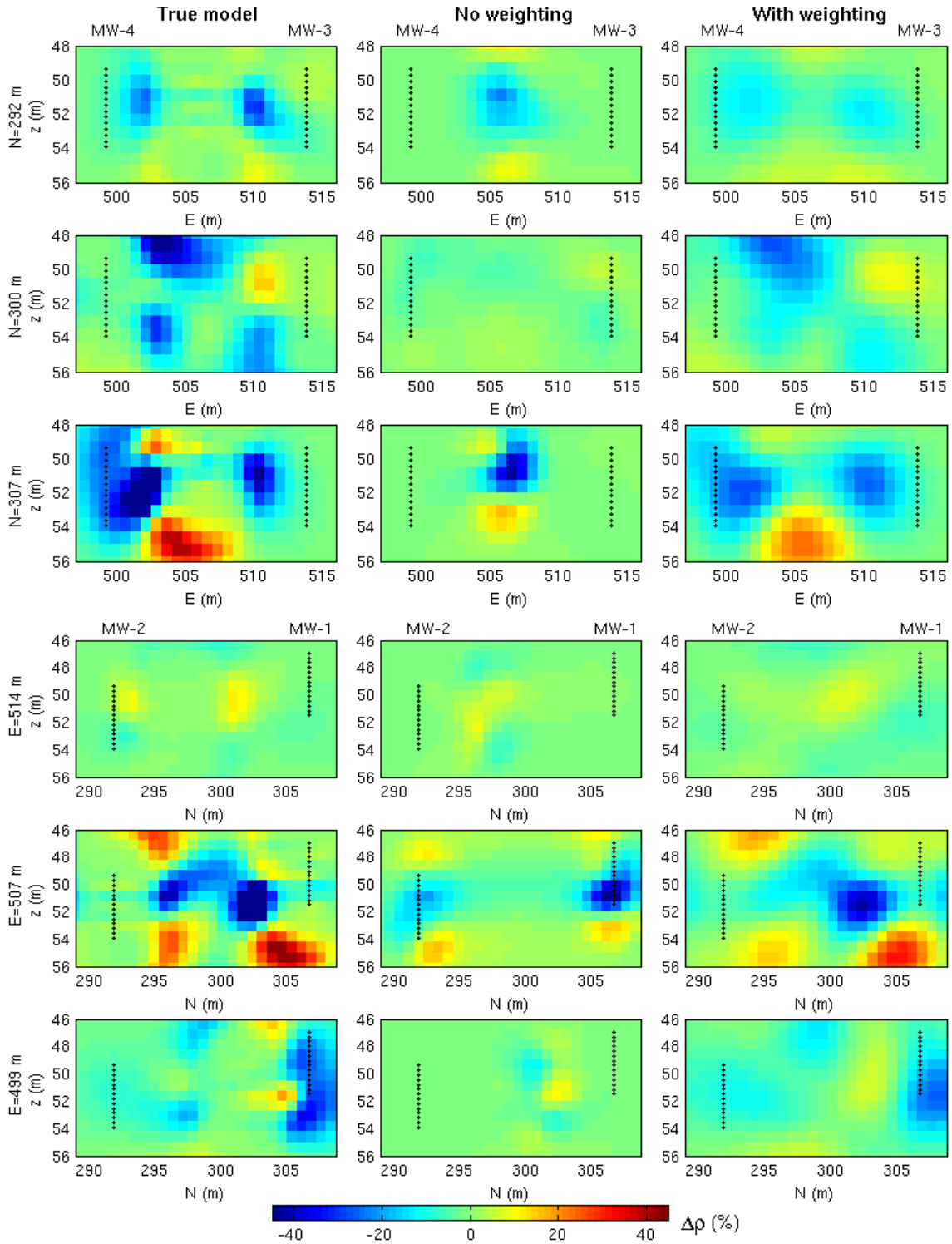


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817 Figure 5a  
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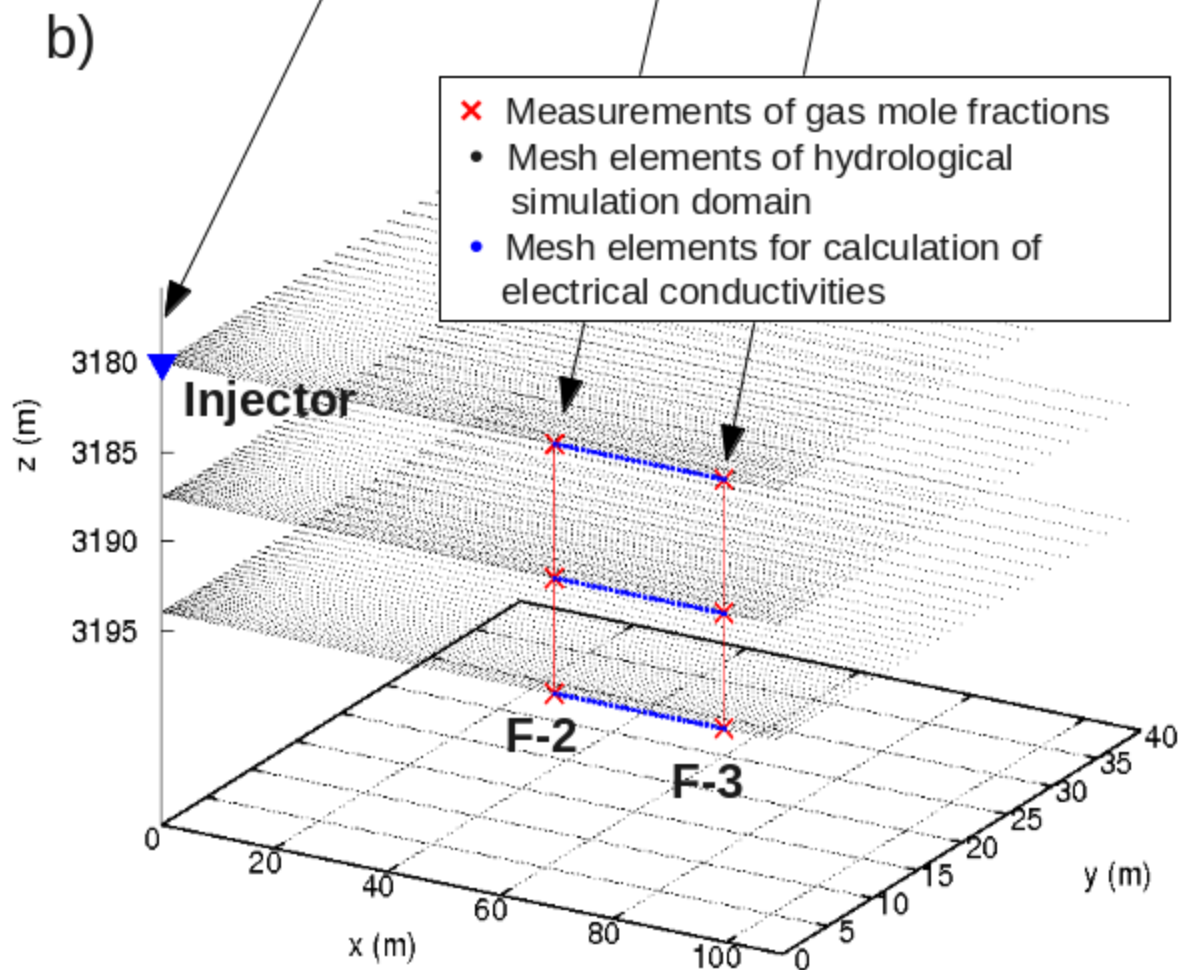
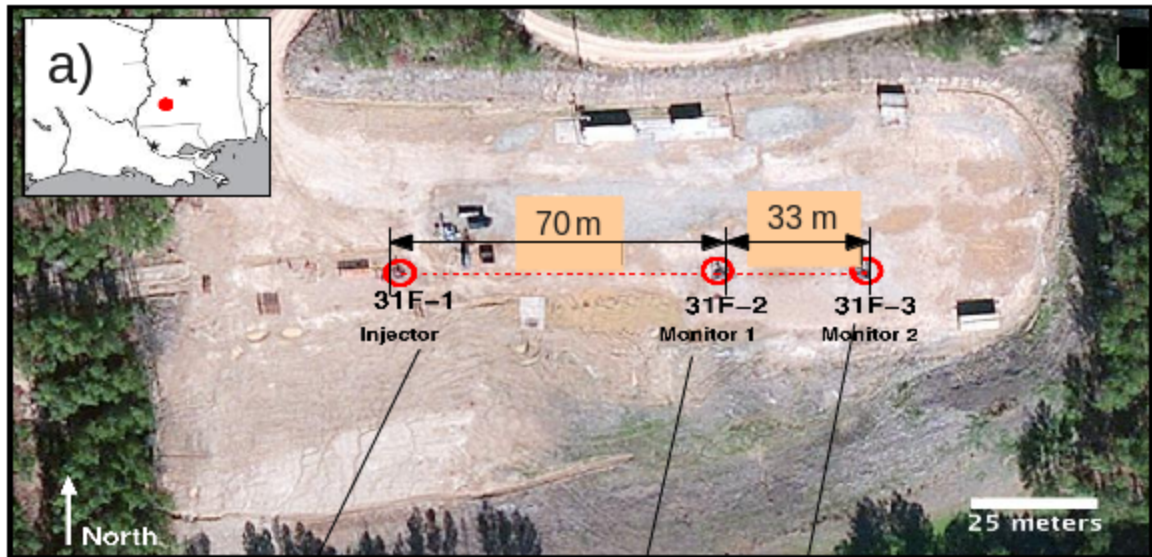
819  
820 Figure 5b  
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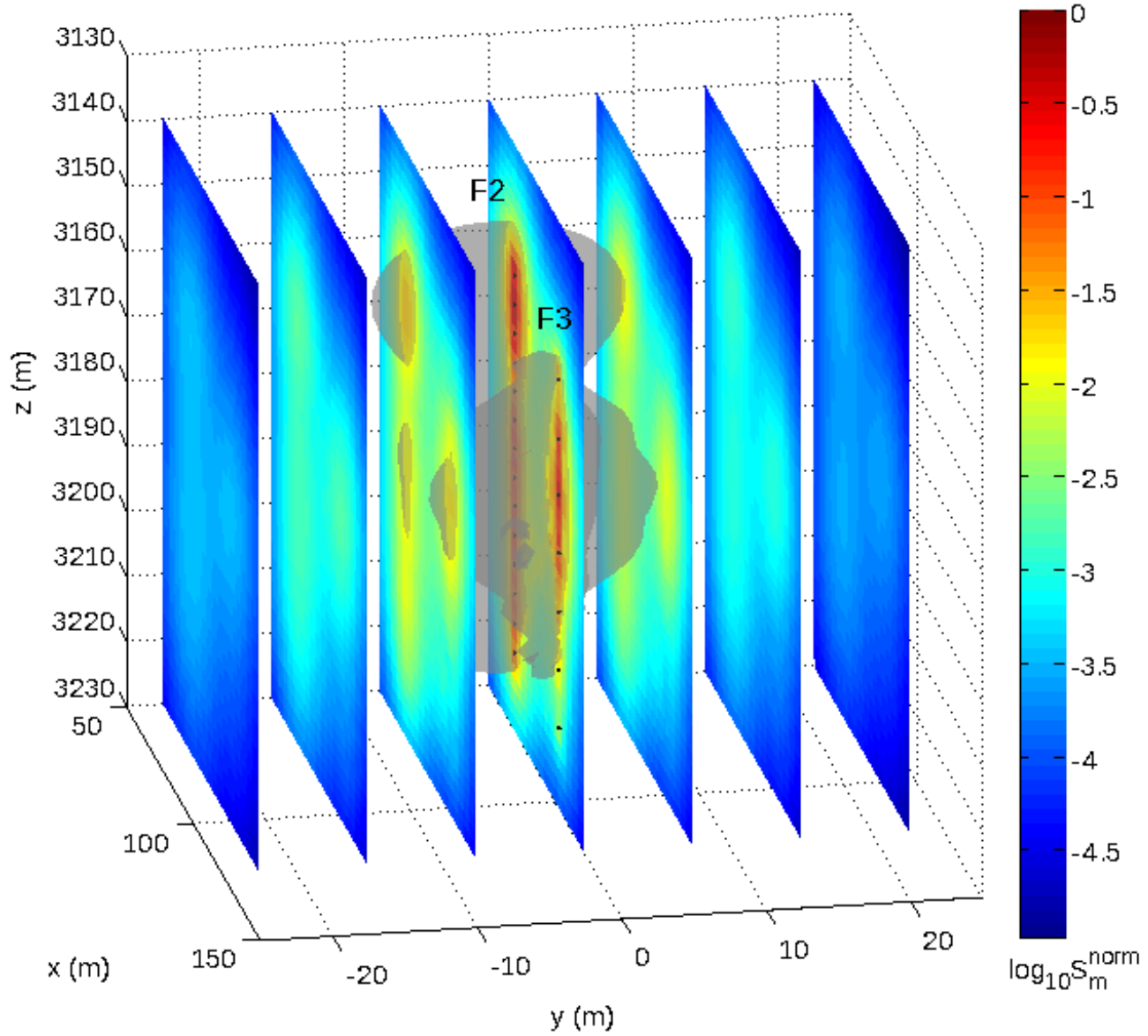


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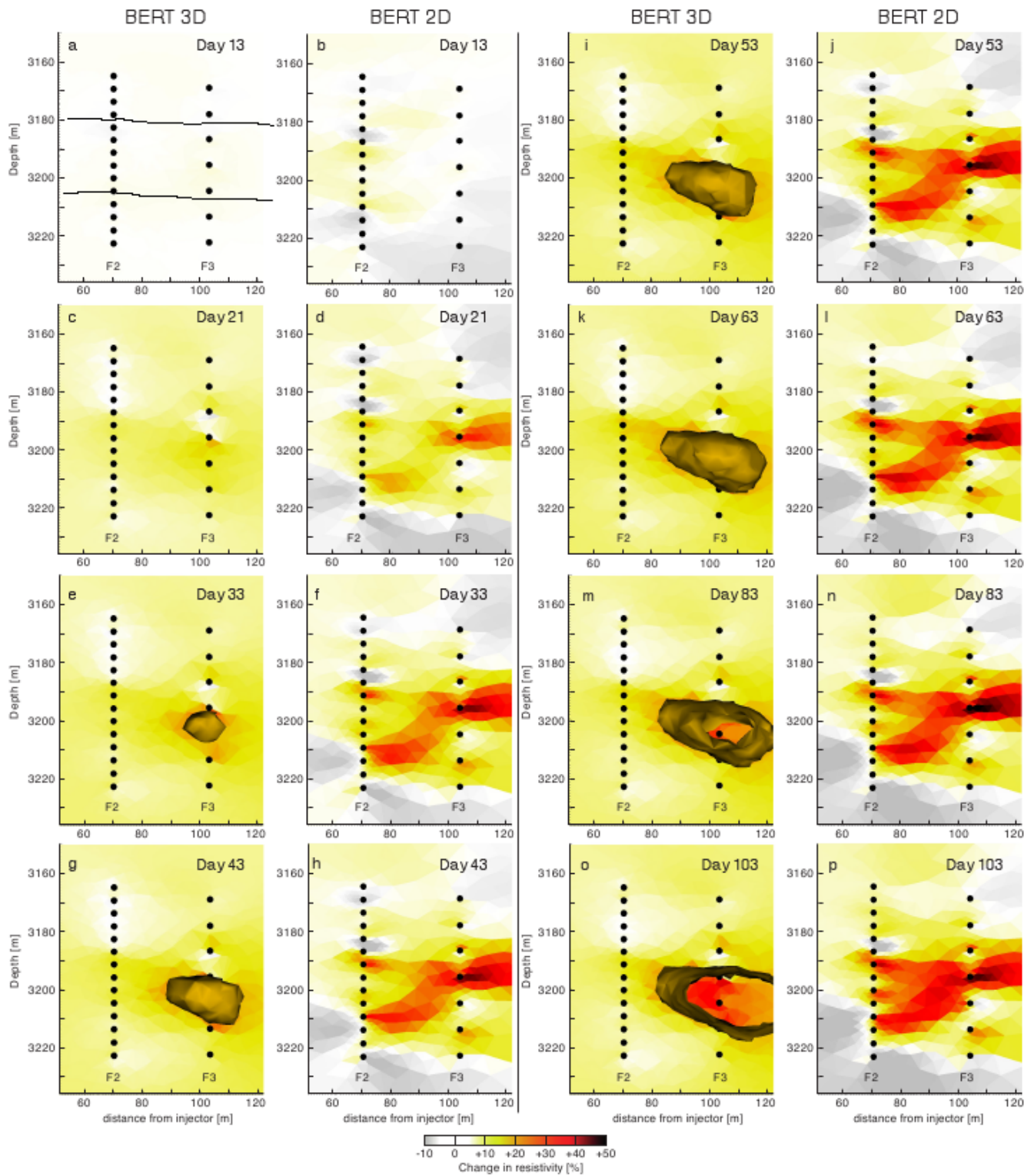
Figure 6



825  
826 Figure 7  
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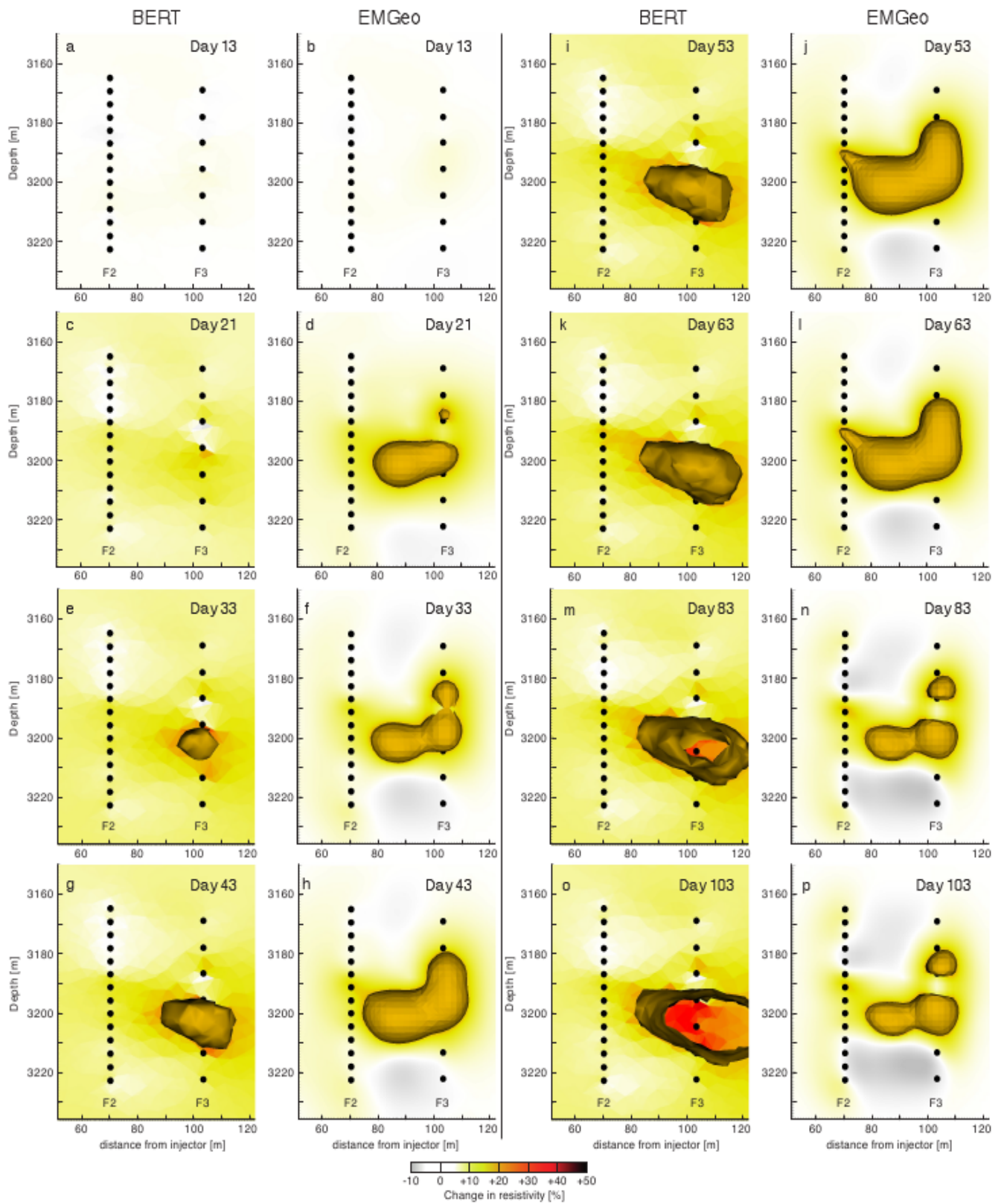


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 831 Figure 8



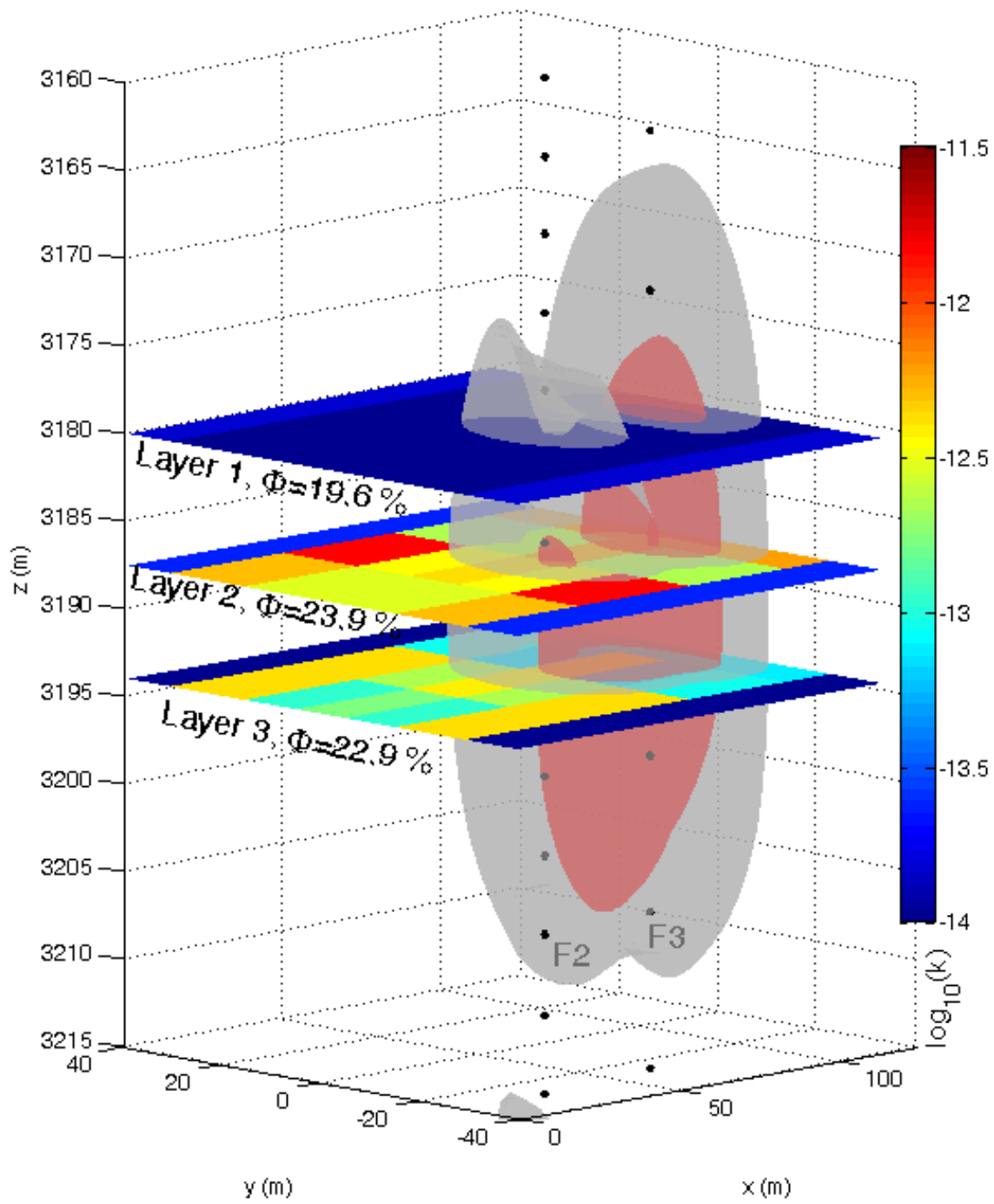
832  
833 Figure 9





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Figure 10



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 838 Figure 11  
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