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**Journal**

Journal of Cellular and Molecular Medicine, 13(9a)

**ISSN**

1582-1838

**Author**

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**Publication Date**

2009-09-01

**DOI**

10.1111/j.1582-4934.2009.00861.x

Peer reviewed

## Calcium in the initiation, progression and as an effector of Alzheimer's disease pathology

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Received: 15 May 2009; Accepted: 9 July 2009

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### Abstract

The cause(s) of sporadic Alzheimer's disease (sAD) are complex and currently poorly understood. They likely result from a combination of genetic, environmental, proteomic and lipidomic factors that crucially occur only in the aged brain. Age-related changes in calcium levels and dynamics have the potential to increase the production and accumulation of both amyloid- $\beta$  peptide (A $\beta$ ) and  $\tau$  pathologies in the AD brain, although these two pathologies themselves can induce calcium dyshomeostasis, particularly at synaptic membranes. This review discusses the evidence for a role for calcium dyshomeostasis in the initiation of pathology, as well as the evidence for these pathologies themselves disrupting normal calcium homeostasis, which lead to synaptic and neuronal dysfunction, synaptotoxicity and neuronal loss, underlying the dementia associated with the disease.

**Keywords:** calcium • Alzheimer's disease • amyloid-beta peptide • tau • NMDA • synapse • autophagy • aging

### Introduction

Alzheimer's disease (AD) is an age-related neurodegenerative disorder, which is characterized by progressive memory loss and behavioural changes that become more apparent and more severe as the disease progresses, and accounts for up to 70% of all dementias. Underlying these phenotypic changes are the appearance of several hallmark pathologies. The first is the accumulation of the amyloid- $\beta$  peptide (A $\beta$ ) into extracellular plaques, whereas the second is the formation of neurofibrillary tangles (NFTs) composed of hyperphosphorylated  $\tau$  protein within neurons [1]. The consequence of the development of these two pathologies is widespread synaptic and neuronal loss, such that a brain with advanced

AD can weigh 33% less than a brain from an aged-matched control. AD research has focused on two main areas – the first is in understanding what causes the accumulation of these two pathologies in the aged brain, and the second is understanding how the presence of these pathologies affects the local brain environment to cause the widespread synaptic and neuronal loss, and cognitive decline. Through understanding, it is possible that (1) the accumulation of pathologies in the aged brain can be delayed, prevented, or even reversed, and that (2) the neurodegenerative properties of the pathologies can be dampened. Over the past 20 years aberrant calcium dysregulation has been consistently implicated in AD [2, 3],

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either in the initiation of the disease, the progression of AD-related pathologies, or in mediating the neuronal and synaptic loss that results from the presence of the pathologies.

Calcium is an integral signalling molecule whose local and global levels are tightly regulated at a temporal and spatial level as elevations in calcium activate a number of cellular signalling pathways including those crucial for learning and memory such as CamKII and CREB, as well as cell death pathways. In most cell types, cytosolic calcium levels are kept low (~100 nM) relative to the extracellular space and the intracellular stores by calcium-binding buffering proteins (*e.g.* calbindin), and *via* the extrusion of cytosolic calcium across the plasma membrane through calcium ATPase pumps and exchangers, and also due to sequestration into intracellular stores such as the endoplasmic reticulum (ER) and mitochondria. Calcium influx into the cytosol occurs across the plasma membrane *via* store-operated calcium channels, voltage-gated calcium channels or from internal stores. The ER is the largest intracellular store, maintaining a high calcium concentration (100–500  $\mu$ M) *via* the unidirectional pumping of cytosolic calcium into the ER lumen by SERCA. Calcium release from the ER into the cytosol occurs *via* two types of calcium channels: IP<sub>3</sub>Rs and RyRs. IP<sub>3</sub>R-mediated release is regulated at the plasma membrane by ligand binding to specific G-protein coupled receptors that induce phospholipase C to cleave phosphatidylinositol-4, 5-bisphosphate into diacylglycerol and IP<sub>3</sub>, which then binds to the IP<sub>3</sub>R in the ER membrane. Calcium influx across the plasma membrane occurs due to depolarization, through VGCCs, and glutamate receptors such as the NMDA receptor and the mGluR.

## Familial and sporadic AD

The overwhelming majority of AD cases occurs with no obvious environmental or genetic cause and is known as sporadic AD (sAD). It typically manifests at a late age of onset of more than 65 years. Around 5% of all AD cases, however, have a Mendelian pattern of inheritance and these are referred to as familial AD (fAD). The age of onset of dementia in fAD can vary dramatically, but typically fAD cases occur at much younger ages (from 30 years or more), and their dementia is usually more severe and progresses more rapidly. Clinically, and neuropathologically, both sAD and fAD are very similar, with all patients exhibiting widespread plaque and tangle pathologies with extensive neuronal loss.

### APP processing and the amyloid cascade hypothesis

Studies have shown that cases of fAD are accounted for by mutations in only three genes – the amyloid precursor protein (APP) gene [4, 5], presenilin 1 (PS1) gene [6] and presenilin 2 (PS2) gene [7]. APP is a large membrane spanning protein that contains the A $\beta$  peptide sequence [4]. A $\beta$  is sequentially cleaved from APP. An enzyme identified as BACE first cleaves APP [8] to produce a 99 amino acid stub within the membrane known as C99. C99 is

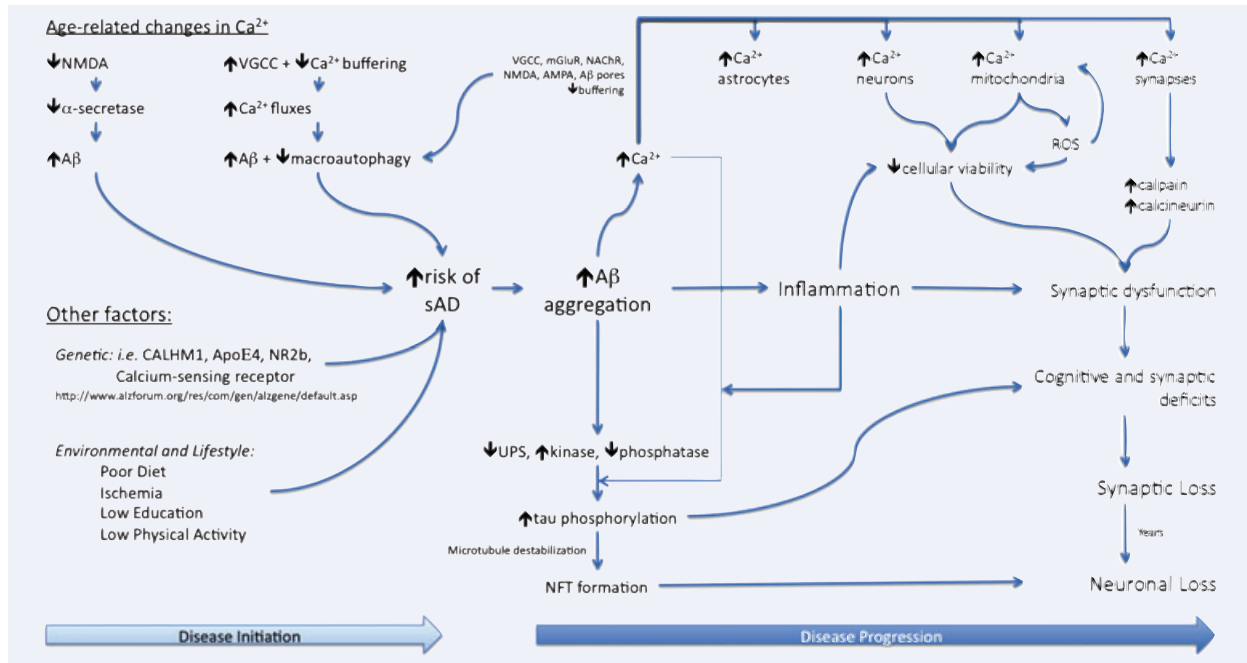
then cleaved by a complex known as  $\gamma$ -secretase to release A $\beta$  [9, 10]. Presenilins comprise the catalytically active subunit of the  $\gamma$ -secretase complex (which also includes nicastrin, APH-1 and PEN-2 [11]), and thus are essential for release of the A $\beta$  peptide. Although the BACE cleavage site of APP occurs at a specific sequence, the  $\gamma$ -secretase cleavage has loose sequence specificity and can cleave C99 between 38 and 43 amino acids from the N-terminal, to release A $\beta$  peptides of variable lengths. The most common lengths are 1–40 and 1–42. A $\beta$ <sub>1–40</sub> is 10–20 times more abundant than A $\beta$ <sub>1–42</sub>. Mutations in presenilins associated with fAD increase the production of A $\beta$ <sub>1–42</sub> at the expense of shorter A $\beta$  peptides [12], whereas mutations in APP are associated with increased BACE cleavage [13], A $\beta$  structural misfolding [14], or an increased prevalence of A $\beta$ <sub>1–42</sub> at the expense of shorter A $\beta$  peptides [15].

APP cleavage by BACE and  $\gamma$ -secretase to generate A $\beta$  is not the predominant APP processing pathway. More commonly APP is cleaved by an enzyme with  $\alpha$ -secretase activity, at a site juxtaposed to the membrane, to release the large ectodomain known as sAPP $\alpha$ , as well as an 83 amino acid stub within the membrane known as C83.  $\alpha$ -secretase cleavage occurs within the A $\beta$  sequence to preclude A $\beta$  production. Hence, stimulation of  $\alpha$ -secretase cleavage leads to reduced A $\beta$  production [16], and is a possible therapeutic target for the disease.

The production of A $\beta$  alone is not sufficient to be toxic, and many studies have highlighted the aggregation state of A $\beta$  as being crucial to its toxicity *in vitro* [17, 18], its ability to impair learning and memory [19] as well as long-term potentiation (LTP) [20, 21], *in vivo* [22]. A $\beta$ <sub>1–42</sub> aggregates more readily than A $\beta$ <sub>1–40</sub>, and forms the majority of A $\beta$  species present within AD plaques, explaining why mutations that affect the A $\beta$  40/42 ratio cause fAD without affecting total A $\beta$  levels. More recently, soluble oligomers have been identified as the disease active state of A $\beta$ , and include dimers and trimers [23, 24] to dodecamers [19] and beyond.

The sum of these findings led to the amyloid cascade hypothesis, which states that the accumulation of A $\beta$  causes both sAD and fAD, and the downstream consequences of A $\beta$  accumulation lead to  $\tau$  pathology and ultimately synaptic and neuronal loss [25].

Although the causes of fAD are for the most part understood, the cause(s) of sAD are poorly understood. It should be noted that both sAD and fAD are age-related – despite fAD mutations in APP and PS1/2 being present from birth, dementia onset usually requires many decades to manifest suggesting that either pathology accumulation is very slow, or that the younger brain is better able to cope with the increased A $\beta$  pathology that these mutations lead to, either *via* its degradation or by protecting against the downstream effects of A $\beta$  accumulation. With sAD, the cause of pathology development and consequent dementia is not well understood. It likely results from combinations of genetic, environmental, proteomic and lipidomic interactions resulting in the accumulation of AD-related pathologies. Understanding both the causes for the appearance of AD-related pathologies and the effects of these pathologies on the local brain environment is crucial in order to understand, prevent or treat the disease.



**Fig. 1** Involvement of calcium in AD progression: A number of factors can increase the likelihood of an individual developing sporadic AD. These could include changes in age-related calcium handling which result in increased calcium fluxes. Such increases are associated with increased production of A $\beta$ , and also with reductions in macroautophagy – a clearance pathway for intracellular aggregates. Many genetic polymorphisms have been identified which can increase the risk of developing AD, including several calcium related genes. Notably, environmental and lifestyle appear to offer both great protection and also risk to developing AD. Accumulation of A $\beta$  is considered one of the primary steps in developing AD. A $\beta$  can aggregate into oligomers and fibrils, which can themselves alter cellular calcium homeostasis leading to increased calcium influx into neurons, astrocytes, but also into specific compartments such as mitochondria and synapses. Elevation of calcium can lead to synaptic dysfunction, and subsequent cognitive deficits. Over time these synaptic dysfunctions could lead to synaptic loss, and eventually the neuronal loss that plagues the late stage AD brain. A $\beta$  aggregates can also lead to  $\tau$  phosphorylation, *via* reductions in the ubiquitin-proteasome system, increases in  $\tau$  kinases and decreases in  $\tau$  phosphatases. Increases in calcium influx have been associated with activation of  $\tau$  kinases and may also contribute to the development of NFTs.

This review will discuss the possibilities that calcium plays in both disease initiation, progression, and as a disease effector molecule, as summarized in Fig. 1. Notably, the presenilins, in addition to being the catalytic subunit of the  $\gamma$ -secretase complex, are also key regulators of ER calcium homeostasis and have been reported to regulate SERCA [26], IP<sub>3</sub> receptors [27] and ryanodine receptors [28] and also reported to form calcium conducting ER leak channels themselves [29]. fAD linked PS mutations have profound effects on calcium signalling [30], but the significance of this to fAD disease progression is unclear as mutations that affect A $\beta$  accumulation/increased production are sufficient to cause fAD without these additional effects on calcium caused by PS mutations. Thus it seems that, at least with respect to fAD, fAD linked PS mutations alter A $\beta$  metabolism to give rise to fAD, and additionally affect calcium homeostasis which may or may not affect disease progression. The effects and implications of presenilin regulation of both calcium and  $\gamma$ -secretase activity will not be discussed in this review as it was recently covered elsewhere [31].

## Calcium in the initiation of pathology

### Effects of calcium on APP processing and A $\beta$ deposition/aggregation

APP processing lies at the heart of AD, and a majority of therapeutics are designed to alter APP processing to prevent the production of A $\beta$ , to prevent the disease and to halt further progression [32]. Ultimately A $\beta$  accumulation is the tenet of the disease, and accumulation occurs due to an increase in production during aging, a decrease in degradation or a change in predisposition to aggregate. For example, changes in APP metabolism can produce more aggregate prone A $\beta$ <sub>1-42</sub> at the expense of shorter A $\beta$  peptides.

### Genetic linkage in calcium related genes and AD

Calcium has been implicated both in the development of sAD through genetic susceptibility, as well as altered A $\beta$  production

and metabolism. A number of genes are associated with increased risk of developing sAD, most notably the apo $\epsilon$ 4 allele [33]. Recently, a polymorphism in a gene encoding a novel calcium conducting channel was found to have linkage to sAD [34], although this same polymorphism was shown not to have linkage in three separate studies of Caucasian populations [35–37]. This channel is called calcium homeostasis modulator 1 (CALHM1) and is a conserved three-transmembrane domain containing glycoprotein. It localizes to both the ER and the plasma membrane. Overexpression of this channel induces a cytosolic calcium influx pathway, which is unaffected by conventional calcium channel blockers, but prevented by the removal of extracellular calcium and non-specific ion channel pore blockers. The induction of this particular calcium influx route into the cytosol results in an increase in sAPP $\alpha$  production, with a concomitant reduction in A $\beta$ , suggesting an effect on one of the  $\alpha$ -secretase enzymes. Notably, knockdown of this channel, or the presence of the identified polymorphism for sAD (rs2986017 encoding P86L substitution) decreases calcium permeability and increases A $\beta$  production. These data provide strong evidence that calcium signalling and influx can contribute to the initiation of AD pathology in the aged brain, and that specific calcium pathways can affect APP metabolism. Although further studies are required to replicate the linkage of the CALHM1 rs2986017 polymorphism to sAD, this important finding shows how changes in calcium influx pathways can alter APP processing and A $\beta$  production.

Since a polymorphism in the CALHM1 gene has linkage to increased risk of sAD, it begs the question whether other known risk factors could also directly involve altered calcium homeostasis. One study has shown an association between the NMDA receptor NR2B subunit gene promoter polymorphism and development of sAD in a North China population [38]. The NMDA receptor is an important focal point in AD, both in modulating the appearance of A $\beta$  and  $\tau$  pathology, and also in mediating A $\beta$ -induced synaptic and behavioural deficits in the disease process. Offering a direct correlation between calcium and cognitive decline, serum calcium levels correlate well with cognitive decline during aging, with elevated serum calcium levels being associated with worse cognitive function [39], and also a faster rate of decline. A regulator of serum calcium levels, the calcium-sensing receptor – is associated with development of AD, particularly with a dinucleotide repeat polymorphism within the promoter sequence [40].

#### **Apo $\epsilon$ 4 and calcium**

Of note, the presence of the Apo $\epsilon$ 4 allele is a strong risk factor for the development of sAD [33] – with ~50% of sAD patients having at least one copy of the Apo $\epsilon$ 4 allele. Despite the significant impact of this lipid transporter on AD, we do not fully understand its role in disease progression. The Apo $\epsilon$  genotype modulates elevated serum calcium and cognitive decline [41]. High serum calcium is associated with worse cognitive function especially in Apo $\epsilon$ 4 carriers, but this interaction is lost in Apo $\epsilon$ 2 carriers [41]. Furthermore, the Apo $\epsilon$ 4 allele is associated with increased calcium rises in neuronal cultures, which can mediate cell death [42], and also in

SHSY5Y cultures where increased calcium influxes increase GSK3 $\beta$  activity leading to reduced cell viability [43]. Apo $\epsilon$ 4 appears to affect calcium influx into neurons *via* plasma membrane calcium channels [42], which, as discussed below, can alter APP processing leading to increased production of A $\beta$ .

#### **Calcium and APP processing**

In addition to CALHM1, a number of other studies have reported altered calcium homeostasis affecting the  $\alpha$ -secretase dependent processing of APP. Increasing calcium influx into the cytosol with the ionophore ionomycin leads to increased sAPP $\alpha$  production [44], whereas calcium influx through the NMDA receptor increases sAPP $\alpha$  production and decreases A $\beta$  generation [45]. However, despite calcium influx through certain channels stimulating  $\alpha$ -secretase processing of APP, the vast majority of studies have shown that increased calcium influx, and elevated cytosolic calcium levels, leads to a net increase in A $\beta$  production [46–48].

More recently, data have highlighted the ER calcium stores as playing an important role in the regulation of A $\beta$  production. We have shown that inhibition of SERCA, either with thapsigargin or by siRNA knockdown, leads to a robust reduction in A $\beta$  generation whereas overexpression of SERCA increases A $\beta$  generation [26]. In agreement with ER calcium stores playing a regulatory role in A $\beta$  generation, knockdown of the IP $_3$  receptor also reduces A $\beta$  generation [27]. In concert, calcium release from the ER *via* the ryanodine receptor increases A $\beta$  production [49], whereas capacitative calcium entry (CCE), a calcium influx pathway across the plasma membrane, affects APP metabolism with inhibition of CCE leading to increased A $\beta$  1–42 production [50]. Taken together these studies point to ER calcium as a key regulator of A $\beta$  generation, as well as calcium influx across the plasma membrane through channels such as CALHM1 and CCE channels, and demonstrates how age- or environment-dependent changes in neuronal calcium homeostasis could alter APP processing to drive the increased production of A $\beta$ , or altered metabolism to preferentially generate A $\beta$ <sub>1–42</sub>.

#### **Synaptic regulation of A $\beta$ production**

Adding a new dimension to these *in vitro* experiments, *in vivo* studies have allowed a new insight into how the workings of neuronal networks can regulate A $\beta$  production, in both mouse models of AD and also in the human brain [51]. Synaptic activity is dependent upon calcium, both at the pre- and post-synaptic terminals, for exocytosis of neurotransmitters across the synaptic cleft, as well as initiation of action potentials at the post-synaptic membrane. In slice cultures from APP overexpressing mice, increased synaptic activity led to increased production of A $\beta$ , whereas inhibition of synaptic activity suppressed A $\beta$  generation [52]. Synaptic activity affects APP processing at either the  $\alpha$ - or  $\beta$ -secretase levels, as levels of C99 were modulated. Using microdialysis, and subsequent analysis of interstitial A $\beta$ , it was shown that synaptic activity also regulated A $\beta$  production *in vivo* [53]. Decreased synaptic activity, elicited by tetrodotoxin (TTX) administration, reduced A $\beta$  and partially corresponded to increased C83 levels,

suggesting an increase in  $\alpha$ -secretase or a decrease in BACE processing of APP with decreased synaptic activity. TTX inhibits action potentials by blocking  $\text{Na}^+$  channels, to prevent calcium influx at the pre-synaptic terminal and subsequent exocytosis of neurotransmitters and concomitant endocytosis of synaptic vesicles. Hence, TTX application is likely associated with a decrease in synaptic calcium and a decrease in  $\text{A}\beta$  generation/secretion. Furthermore,  $\text{A}\beta$  production and secretion are dependent upon synaptic vesicle membrane recycling; increasing synaptic activity leads to synaptic APP being recycled into endosomes where  $\text{A}\beta$  generation occurs which is then secreted from the neuron [54]. Though synaptic activity modulates both endocytosis of APP into  $\text{A}\beta$ -generating endosomes and also exocytosis of neurotransmitter containing vesicles, it is unclear if  $\text{A}\beta$  is secreted from synapses alongside neurotransmission or in a more passive manner. Furthermore, synaptic activity increases  $\text{A}\beta$  oligomer formation in a synaptic cleft zinc dependent manner [55], suggesting that synaptic activity can not only increase  $\text{A}\beta$  generation/secretion but it can also drive it to aggregate into toxic oligomeric species. Of interest, it seems that secreted  $\text{A}\beta$  at synapses is able to regulate synaptic function through depression of excitatory transmission by an NMDA receptor-mediated pathway [52], suggesting that  $\text{A}\beta$  is purposely secreted from the pre-synaptic terminal in order to play a role in synaptic plasticity. How this role differs between healthy controls and AD patients is unknown, but may suggest a calcium dependent physiological role for  $\text{A}\beta$  at the synapse.

### Normal age-related changes in calcium homeostasis and AD pathology

Normal brain aging involves subtle changes in cognition and brain functioning, attributed in part to age-related changes in how neurons shuttle calcium from their various internal stores, and altered calcium influx across the plasma membrane. These changes are likely due, partially, to an increased presence of reactive oxygen species, as well as transcriptional and post-translational changes in the calcium channels and receptors themselves. Age-related changes in neuronal calcium handling have been elegantly reviewed elsewhere [3, 56, 57], but the consensus is that aged neurons tend to have increased resting cytosolic calcium levels [58–60] as well as increased cytosolic calcium fluxes due to increased calcium entry through voltage gated calcium channels [61, 62]. This increased influx, in turn, stimulates calcium release from the ER *via* the ryanodine receptor [63, 64] resulting in further elevated cytosolic calcium fluxes. Voltage gated calcium channels, such as the L-type, are known to be modulated by reactive oxygen species [65], as well as by  $\text{A}\beta$  [66], both of which are increased through the aging process. Furthermore, aged neurons appear to have reduced calcium buffering capabilities due to reductions in the levels of cytosolic calcium binding proteins such as calbindin D28K [67] and calreticulin [68], reduced mitochondrial buffering capabilities [69], and a decrease in SERCA function [70], at least in peripheral nerves, resulting in prolonged calcium fluxes. Interestingly,  $\text{IP}_3$  evoked calcium fluxes appear to decrease in aged neurons [71], perhaps due to a dimin-

ished chemical gradient due to elevated cytosolic calcium. Hence, age related increases in cytosolic calcium levels could conceivably alter APP processing as discussed above, and shift APP metabolism to produce increased amounts of  $\text{A}\beta$ .

Along these lines, aging is associated with a decrease in calcium currents through NMDA receptors in neurons [56]. Since current through the NMDA receptor is associated with increased  $\alpha$ -secretase processing of APP [45], it suggests that age-related reductions in NMDA receptor calcium would no longer stimulate the  $\alpha$ -secretase enzymes to the same degree, resulting in a shift to  $\text{A}\beta$  generation. Due to the presence of age-related changes in calcium, coupled with the observations that calcium regulates synaptic plasticity and increases in calcium leads to neuronal cell death, the calcium homeostasis disruption theory of AD was first postulated over 20 years ago [2], and remains a viable theory for the appearance of AD in the aging brain.

### Age-related reductions in autophagy

Alterations in neuronal calcium may also affect AD-related pathology through indirect means. Of interest, AD and many other neurodegenerative diseases are age dependent even when mutations in key genes force the aggregation of proteins into toxic conformations. What is the protection that the youthful brain provides against these proteinaceous aggregates seen in neurodegenerative diseases that the aged brain does not afford? Protein turnover is crucial both in neurodegenerative diseases as well as normal and successful aging. Cytosolic proteins are degraded by either the ubiquitin proteasome system, or by the lysosome system through macroautophagy or chaperone mediated autophagy. The UPS system is impaired in AD patients [72], and proteasome inhibition increases levels of both  $\tau$  and  $\text{A}\beta$  *in vivo* [73]. Notably, autophagy is crucial for the removal of cytosolic aggregates especially those implicated in neurodegenerative diseases [74]. Age-related reductions in autophagy are implicated in allowing the aged brain to develop neurodegenerative diseases, whereas stimulators of macroautophagy have proven successful in mouse models of Huntington's disease, by removing cytosolic aggregates of expanded huntingtin protein from neurons [75]. Cytosolic calcium levels are potent regulators of macroautophagy. Inhibition or depletion of the  $\text{IP}_3$  receptor, and L-type voltage gated calcium channel blockers [76] are associated with increased autophagy. Age-related increases in L-type calcium currents and overall increases in cytosolic calcium levels may negatively influence macroautophagy, allowing the buildup of cytosolic proteins in aged neurons such as hyperphosphorylated  $\tau$ ,  $\alpha$ -synuclein and huntingtin.

## Calcium in disease progression

### Linking $\text{A}\beta$ and $\tau$

Although no mutations or polymorphisms in the  $\tau$  gene (MAPT) are associated with sAD or fAD,  $\tau$  protein is the component of the

second hallmark pathology of AD – the NFTs [77]. NFTs are composed of aggregated hyperphosphorylated  $\tau$  and are found in the soma and dendrites of neurons.  $\tau$  is a microtubule-associated protein that acts to stabilize microtubules enabling efficient axonal transport.  $\tau$  can be phosphorylated on a number of threonine and serine residues by an expanding list of kinases, most notably cyclin dependent kinase 5 (Cdk5) [78] and glycogen synthase kinase 3 $\beta$  [79]. Abnormal hyperphosphorylation occurs in AD and leads to the disruption of axonal transport and the subsequent relocalization and aggregation of the modified  $\tau$  proteins into structures known as paired helical filaments, which comprise the NFTs. Of note, NFT formation is sufficient to cause extensive neurodegeneration on its own. Mutations in the  $\tau$  gene are associated with a group of dementias known as tauopathies, including frontotemporal dementia with parkinsonism linked to chromosome 17 [80]. Due to the genetic data that gives rise to fAD,  $\tau$  hyperphosphorylation is generally considered to be a downstream event of A $\beta$  accumulation, but is thought to be a crucial effector molecule that causes synaptic and neuronal loss [81, 82]. This hypothesis is supported by data showing that A $\beta$  accumulating transgenic mice develop cognitive impairments, but when the  $\tau$  gene is knocked out the A $\beta$  pathology remains stable but the mice no longer develop cognitive impairments [83]. We have also demonstrated recently that treatment of 3 $\times$ Tg-AD mice with the sirtuin inhibitor nicotinamide prevents cognitive deficits by removing  $\tau$  phosphorylated at threonine 231, with no effects on A $\beta$  [84]. Any factor that impacts  $\tau$  phosphorylation has the potential to play an important role in disease progression in AD. Given that many kinase and phosphatase pathways are activated by calcium, there has been a great deal of interest in studying the pathways that lead to  $\tau$  hyperphosphorylation through both A $\beta$  dependent and independent pathways. In the AD brain, post-mortem studies have highlighted changes in calcium channels and pumps that correlate with severity of  $\tau$  pathology, including a reduction in ryanodine receptor binding [85] and IP<sub>3</sub> receptor binding [86], in cases with high pathology, suggesting that either NFT formation down-regulates these calcium pumps which may contribute to neurodegeneration, or that a down-regulation of these pumps (perhaps by A $\beta$  pathologies) encourages NFT formation.

### Calcium, calpains and $\tau$ pathology

A $\beta$  induced increases in calcium lead to  $\tau$  hyperphosphorylation *via* both GSK3 $\beta$  and Cdk5 [87]. Cdk5 activity is regulated through its interactions with cyclin-related activator molecules such as p25, p35, p29 and p39. A $\beta$  increases the activity of Cdk5 by promoting the Cdk5-p25 and p35 complexes [88–90]. p39/p35 is cleaved to p29/25 by calpains [91], releasing it from a membrane tether where it forms unregulated functional complexes with Cdk5 leading to  $\tau$  hyperphosphorylation [92]. Activation of calpain is calcium dependent, and aberrant activation of calpains 1 and 2 has been linked to AD [93, 94]. Calpain 2 has increased activation in NFT bearing neurons and neurites [95], whereas a calpain substrate, calcineurin, is truncated in the AD brain [96]. Hence, A $\beta$ -mediated and age related increases in cytosolic calcium can lead

to the activation of calpains, which then lead to hyperphosphorylation of  $\tau$  *via* Cdk5 [97]. Of note, a recent study highlighted how calpain activation in transgenic mouse models of AD leads to synaptic dysfunction and behavioural impairments [98]. Calpain 1 is expressed in dendritic spines [99], which have abnormally elevated basal calcium levels in close proximity to A $\beta$  plaques [100] which would have the potential to activate these synaptic calpains. The application of a calpain inhibitor restores synaptic deficits and spatial memory to wild-type levels, demonstrating the role activated calpain plays in mediating A $\beta$ -induced synaptic dysfunction and cognitive decline [98]. Calpain inhibitors also prevent A $\beta$ -mediated cleavage of  $\tau$  protein into toxic fragments, in particular a 17 kD fragment [101], suggesting that A $\beta$ -induced calcium influx leads to calpain dependent neurotoxicity *via* cleavage of  $\tau$  protein, and others including dynamin 1 [101, 102]. These effects could be prevented by blocking calcium influx through the NMDA receptor [102] implicating A $\beta$ , NMDA receptor mediated calcium influx, calpains,  $\tau$  and neurotoxicity. Along these lines, overexpression of  $\tau$  itself can lead to increased NMDA receptor activation, leading to increased calcium influx and subsequent activation of calpain, cleavage of  $\tau$  to produce the aforementioned toxic 17 kD fragment, and subsequent neuronal loss [103]. This suggests that A $\beta$ -induced increases in synaptic calcium could lead to  $\tau$  pathologies *via* calpain activation, but that  $\tau$  pathologies themselves could then influence further increases in synaptic calcium levels through the NMDA receptor.

## Calcium as a disease effector

The discovery of a polymorphism in the CALHM1 gene, linking calcium influx to A $\beta$  generation and an increased risk of developing AD provided concrete evidence for a role for calcium in the initiation of sAD [34], but so far three further linkage studies have failed to replicate the association to sAD [35–37]. Despite this, the evidence that age-related changes in neuronal calcium homeostasis could affect APP processing and A $\beta$  metabolism is compelling and offers potential therapeutic targets to try and reverse these changes in calcium, and hence APP processing. However, the appearance of A $\beta$  in old age is only the first step in neurodegeneration and dementia, and there is overwhelming evidence that (1) A $\beta$  induces disturbances in neuronal and synaptic calcium homeostasis and (2) that such changes in neuronal and synaptic calcium would lead to synaptic and neuronal toxicity as sustained increases in cytosolic calcium render neurons susceptible to additional metabolic insults, as well as being able to induce cell death pathways itself. Additionally, given the evidence that altered neuronal calcium fluxes and synaptic activity can alter A $\beta$  generation and secretion, A $\beta$ -induced calcium fluxes could further augment A $\beta$  generation in a positive feedback pathway, accelerating disease progression.

The effects of A $\beta$  on calcium can be categorized into several overlapping groups. The first is a large body of literature

demonstrating how A $\beta$  can influence existing calcium conducting channels directly, including L-type voltage-gated calcium channels [65, 66, 104–107], P/Q type voltage-gated calcium channels [108], glutamate receptors including the NMDA receptor [109–112], the AMPA receptor [113] and the mGluR [114], and also nicotinic receptors [115–118]. The second group consists of numerous reports that A $\beta$  can itself form unregulated calcium conducting pores within lipid membranes [119, 120]. Thirdly, there are reports that the presence of A $\beta$  affects calcium buffering, either by affecting intraneuronal calcium sinks/stores or by affecting steady state levels of cytosolic calcium buffering proteins, such as calbindin [121]. Finally, there are also reports that A $\beta$  can affect synaptic transmission, which influences calcium influx at both the pre- and post-synaptic membranes, and is essential for neuronal functioning and cognitive function. The effects on synaptic transmission are likely a consequence of A $\beta$  inducing calcium dyshomeostasis, as well as a cause of further calcium dyshomeostasis.

#### **Synaptic calcium dyshomeostasis and AD – a focus on NMDA receptors**

Synaptic loss is the best correlate to dementia in the AD brain, although A $\beta$  and  $\tau$  loads associate poorly with dementia. In addition to this, work with transgenic mouse models, which overexpress A $\beta$ , has shown that A $\beta$  induces memory and LTP impairments without overt neuronal loss, and can do so in an acute fashion. As such the site of neuronal plasticity, the synapse, is highlighted as a crucial target for the A $\beta$  peptide as disturbances here would interfere with both LTP and learning and memory, but would only lead to neuronal loss over extended periods of time, possibly beyond the lifespan of rodents. Neuronal synapses contain dense concentrations of calcium conducting channels, as well as cytosolic calcium buffering proteins, and a variety of calcium dependent signalling pathways also implicated in LTP and learning and memory such as calcineurin, CaMKII and CREB, all of which have been reported to be changed in AD brains and APP overexpressing transgenic mice. Hence, in order to satisfy the observation that A $\beta$  acutely impairs LTP and learning and memory, but chronically leads to widespread synaptic and neuronal loss, the effects of A $\beta$  on calcium could initially be at the synaptic level, but with the ability to lead to widespread neuronal loss over time.

One of the most significant advances in recent years in AD research has been the discovery that it is the aggregation state of A $\beta$  that is crucial to its pathophysiological effects, particularly when describing calcium dependent events. Soluble A $\beta$  oligomers appear to mediate calcium dysfunction, as well as inhibit LTP [122], a form of synaptic plasticity, which relies upon calcium fluxes and is thought to underlie learning and memory [123]. The NMDA receptor appears to be a focal point for A $\beta$  oligomer induced calcium dysfunction and LTP inhibition [124], as well as NMDA receptor mediated synaptic loss [125], and A $\beta$  oligomers associate and co-localize with dendritic arbours [126, 127] – post-synaptic membranes enriched in NMDA receptors. Synaptic activity can increase generation/secretion of A $\beta$  at synapses, but can

also stimulate the aggregation of monomeric A $\beta$  into oligomeric species. This aggregation of synaptic A $\beta$  appears to be modulated by synaptic zinc, a known facilitator of A $\beta$  aggregation, and by the NMDA receptor, as inhibition of NMDA calcium current influx with antagonists or with zinc chelators prevents synaptic A $\beta$  oligomerization [55]. Intriguingly, synaptic zinc is a known competitive antagonist at the NMDA receptor [128], and is released into the synaptic cleft alongside glutamate, serving to depress synaptic transmission, yet here zinc promotes A $\beta$  oligomerization suggesting that the inhibitory effect of endogenous synaptic zinc on the NMDA receptor is not sufficient to overcome A $\beta$  oligomerization. What is the consequence of A $\beta$ , or A $\beta$  oligomers, associating with NMDA receptors at synapses? It appears that A $\beta$  may have a physiological role in regulating synaptic plasticity *via* the NMDA receptor, as A $\beta$  secreted from synapses causes NMDA receptor dependent synaptic depression [52], which could arise due to increased endocytosis of the NMDA receptor in the presence of A $\beta$  [109, 111]. This function may go awry in the AD brain where A $\beta$  oligomer formation is promoted, as A $\beta$  oligomers interact with NMDA receptors to promote calcium influx into post-synaptic membranes [129], or this NMDA receptor mediated synaptic depression may be enhanced as it has been reported that A $\beta$  oligomers decrease calcium currents through the NMDA receptor resulting in synaptic loss [125].

In addition to A $\beta$  oligomers interacting with post-synaptic NMDA receptors, they have also been shown to affect pre-synaptic calcium conducting channels including the P/Q type voltage gated calcium channels [108] at very low nanomolar concentrations. Calcium currents through the P/Q type channels were found to be suppressed in the presence of aggregated, but not monomeric, A $\beta$  resulting in decreased synaptic transmission, as exocytosis at the pre-synaptic membrane is dependent upon calcium influx. The authors suggested that this reduced synaptic activity could lead to synaptic loss, as long-term withdrawal of synaptic activity is associated with synaptic retraction. This effect would be enhanced by a concomitant decrease in NMDA receptor calcium influxes in the post-synaptic membrane, in the presence of A $\beta$  oligomers.

Thus it seems that there are at least two competing theories for the mode of action of A $\beta$  oligomers at the synapse. It is well defined that A $\beta$  oligomers inhibit LTP, and this appears to be through an NMDA receptor dependent mechanism [124]. One theory is that LTP is impaired due to an inhibition of calcium current at the post-synaptic membrane, due to inhibition of the NMDA receptor, and perhaps a reduction in pre-synaptic activity. A lack of synaptic activity would lead to synaptic retraction over time, in accordance with reduced synapses and synaptic proteins in AD and APP transgenic mice brains. The other would suggest that A $\beta$  oligomers induce increased calcium influx at the synapse, leading to excitotoxicity, and synaptic dysfunction, and eventual synaptic and neuronal loss. This is in accordance with the evidence that dendritic spine calcium is elevated in close proximity to A $\beta$  plaques [100], and the evidence of activated calcium dependent proteases and calcium dependent signalling pathways at synaptic sites. Both would impair LTP, and lead to synaptic loss, but the discrepancies could be due to spatial and temporal reasons – either different



populations of synapses are affected differentially, or the acute effects of A $\beta$  oligomers are different from chronic exposure.

### **A $\beta$ elevates cytosolic intracellular calcium levels *in vivo***

Supporting a role that chronic A $\beta$  oligomer exposure leads to increased calcium influx, live imaging of neurites in APP overexpressing mice, revealed elevated dendritic spine basal calcium levels correlating well with close proximity to A $\beta$  plaques [100], indicating once again that the aggregation state of A $\beta$  is crucial but also that the net result of A $\beta$  interactions with synaptic channels/proteins, is elevated calcium. Although the source of increased basal cytosolic calcium is not yet elucidated, it is likely a key event in synaptic dysfunction, as spines with elevated calcium displayed altered morphology tending towards a beaded or dysmorphic appearance. These morphological changes could be rescued by application of calcineurin inhibitors; calcineurin is a calcium dependent phosphatase important for learning and memory as well as LTP, indicating that increased resting calcium levels were atypically activating this phosphatase, whereas calcineurin inhibitors partially restore learning and memory deficits in APP transgenic mice [130]. In addition to calcineurin, other spine located calcium dependent enzymes have been implicated in synaptic dysfunction due to the presence of A $\beta$  – in particular calpain inhibitors, as discussed, also prevent A $\beta$  induced LTP and learning and memory deficits caused by the presence of the A $\beta$  peptide [98].

In addition to neurons, astrocytic networks display elevated cytosolic calcium levels, and demonstrate an increased frequency of calcium transients both intracellularly and intercellularly, in APP overexpressing mice [131]. The mechanism of action is distinct from that which causes increased dendritic spine elevations in calcium as astrocytic calcium is unaffected by proximity to A $\beta$  plaques. This suggests that A $\beta$  aggregation state is less important to the effects on astrocytes, and is likely an easily diffusible widespread A $\beta$  species, such as a monomer or dimer. Furthermore, astrocytic calcium dyshomeostasis is not derived, nor related, to neuronal activity, as blocking action potentials does not ameliorate elevated calcium levels or transients in astrocytes. These observations lead to speculation about the implications of widespread astrocytic calcium dyshomeostasis on AD progression. For example, it shows that astrocytes could be severely impacted as a result of pathology in AD, yet this does not seem to lead to astrocyte loss but could be indirectly contributing to the synaptic and neuronal loss seen in the disease, as astrocytes play a large supporting role for neuronal function and survival. As APP is also expressed in astrocytes could these A $\beta$  induced changes in calcium lead to changes in APP processing in astrocytes in human beings – a positive feedback on A $\beta$  production? Further experiments will be needed to answer these questions, as well the source of elevated dendritic spine calcium in close proximity to A $\beta$  plaques.

The relationship between neuronal calcium dyshomeostasis and proximity to A $\beta$  plaques was confirmed by looking at cortical neuronal calcium transients in APP transgenic mice [132]. 21% of cortical neurons demonstrate hyperactivity, with increased frequency

of spontaneous calcium transients, but only within 60  $\mu$ M proximity to an A $\beta$  plaque. A similar distance was reported for elevated basal dendritic spine calcium levels [100]. The source of calcium hyperactivity is dependent upon neuronal action potentials, as TTX prevents all spontaneous calcium transients. Intriguingly, calcium transient amplitudes do not differ between APP and wild-type mice, suggesting only the frequency of transients is affected. Spontaneous transients are presumed to be a consequence of reduced inhibition as GABA, the main inhibitory neurotransmitter, as activators decreased the frequency of spontaneous transients, whereas GABA inhibitors in wild-type mice increased spontaneous calcium transients. The consequences of these spontaneous calcium transients could be the increase in dendritic spine calcium reported in APP mice which lead to altered synaptic morphologies, but also, due to the ordered nature of the transients, could also trigger epileptic like seizures. Such seizures have been reported in other APP mouse models [133] in which the removal of  $\tau$  was protective against aberrant neuronal activity, as well as in AD patients themselves [134, 135].

### **A $\beta$ and mitochondrial calcium dyshomeostasis**

Mitochondrial dyshomeostasis has been observed in AD brains, as well as in transgenic mouse models of AD, and cell culture models [136]. Mitochondria hold the key to cellular life or death, firstly through the production of ATP, but also through the release of pro-apoptotic signals such as cytochrome C. Mitochondria buffer calcium and are involved in neuronal calcium homeostasis. A $\beta$ , and A $\beta$  oligomers, associate with mitochondrial membranes and have recently been shown to interact with cyclophilin D – part of the mitochondrial permeability pore [69]. Notably, this interaction makes mitochondria more susceptible to swelling in response to calcium, and increases the likelihood of pore permeability transition. Pore permeability transition is associated with a collapse of mitochondrial membrane potential and release of pro-apoptotic proteins into the cell's cytoplasm, leading to neuronal loss. Furthermore, genetic ablation of cyclophilin D protected transgenic mice against A $\beta$ -induced cognitive deficits, implicating A $\beta$  and mitochondria interactions in neuronal dysfunction and highlighting the importance of normal mitochondrial function to proper synaptic transmission.

## **Current therapeutics**

Given the apparent influence of calcium disturbances in the initiation, progression or effects of the disease, can calcium modulators be used as effective therapies for the disease? Currently two classes of drug are used for the treatment of AD – the first are acetylcholinesterase inhibitors, and the second consists of a single drug, memantine, which is a partial NMDA receptor antagonist. Hence, targeting NMDA receptor mediated calcium influx in AD patients is beneficial for cognition [137], as well as in mouse models of AD [138], cell culture models of A $\beta$  toxicity *via* attenuation of  $\tau$

phosphorylation [139], and is also associated with a reduction in A $\beta$  plaque pathology [140].

Other calcium influx pathway blockers have also been evaluated in AD patients, although without sustained efficacy, including the L-type voltage gated calcium channel blocker nimodipine [141], and other calcium channel blockers associated with the cardiovascular system [142]. Dimebon, an antihistamine, has been shown to improve cognition in mild to moderate AD patients [143], and has reported activity as an NMDA receptor antagonist, as well being able to block voltage gated calcium channel currents, although at concentrations that may be too high to be responsible for the improvements in cognition [144]. Thus, targeting the NMDA receptor appears to be beneficial for the disease, and future drugs may refine this activity further. In the meantime, although calcium channel blockers have not proven effect in patients with the disease, targeting calcium influx may be able to delay or prevent the onset of AD if given at the right time, or targeting specific channels may be able to prevent the synaptotoxicity of A $\beta$ .

## Conclusions

Calcium may play a vital role in any number of aspects of AD – from modulating APP processing towards the amyloidogenic processing pathway, to underlying memory and neuronal loss. *In vivo* evidence has shown that A $\beta$  increases dendritic spine calcium levels, impairs LTP and induces learning and memory deficits, highlighting the synapse as a prime A $\beta$  target, although age related changes in calcium homeostasis may allow the buildup of pathologies associated with AD, through both direct and indirect mechanisms. Synaptic transmission is highly dependent upon tightly regulated calcium at both the pre- and post-synaptic membranes and it is at these membranes all the ion channels A $\beta$  has been reported to disrupt are clustered, likely leading to synaptic dysfunction and more, while targeting NMDA receptors with memantine is beneficial in AD patients, as well as numerous models of the disease, placing calcium dyshomeostasis firmly at the centre of the disease.

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