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# Complexity of Decoding Positive-Rate Reed-Solomon Codes

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**Abstract.** The complexity of maximal likelihood decoding of the Reed-Solomon codes  $[q-1, k]_q$  is a well known open problem. The only known result [4] in this direction states that it is at least as hard as the discrete logarithm in some cases where the information rate unfortunately goes to zero. In this paper, we remove the rate restriction and prove that the same complexity result holds for any positive information rate. In particular, this resolves an open problem left in [4], and rules out the possibility of a polynomial time algorithm for maximal likelihood decoding problem of Reed-Solomon codes of any rate under a well known cryptographical hardness assumption. As a side result, we give an explicit construction of Hamming balls of radius bounded away from the minimum distance, which contain exponentially many codewords for Reed-Solomon code of any positive rate less than one. The previous constructions in [2][7] only apply to Reed-Solomon codes of diminishing rates. We also give an explicit construction of Hamming balls of relative radius less than 1 which contain subexponentially many codewords for Reed-Solomon code of rate approaching one.

## 1 Introduction

Let  $\mathbf{F}_q$  be a finite field of  $q$  elements and of characteristic  $p$ . A linear error-correcting  $[n, k]_q$  code is defined to be a linear subspace of dimension  $k$  in  $\mathbf{F}_q^n$ . Let  $D = \{x_1, \dots, x_n\} \subseteq \mathbf{F}_q$  be a subset of cardinality  $|D| = n > 0$ . For  $1 \leq k \leq n$ , let  $f$  run over all polynomials in  $\mathbf{F}_q[x]$  of degree at most  $k-1$ , the vectors of the form

$$(f(x_1), \dots, f(x_n)) \in \mathbf{F}_q^n$$

constitute a linear error-correcting  $[n, k]_q$  code. If  $D = \mathbf{F}_q^*$ , it is famously known as the Reed-Solomon code. If  $D = \mathbf{F}_q$ , it is known as the extended Reed-Solomon code. We denote them by  $RS_q[q-1, k]$  and  $RS_q[q, k]$  respectively. We simply call it a generalized Reed-Solomon code if  $D$  is an arbitrary subset of  $\mathbf{F}_q$ .

*Remark 1.* In some code theory literature,  $RS_q[q-1, k]$  is called primitive Reed-Solomon code, and a generalized Reed-Solomon code  $[n, k]_q$  is defined to be

$$\{(y_1 f(x_1), \dots, y_n f(x_n)) \mid f \in \mathbf{F}_q[x], \deg(f) < k\},$$

where  $y_1, y_2, \dots, y_n$  are nonzero elements in  $\mathbf{F}_q$ .

The minimal distance of a generalized Reed-Solomon  $[n, k]_q$  code is  $n - k + 1$  because a non-zero polynomial of degree at most  $k - 1$  has at most  $k - 1$  zeroes. The ultimate decoding problem for an error-correcting  $[n, k]_q$  code is the maximal likelihood decoding: given a received word  $u \in \mathbf{F}_q^n$ , find a codeword  $v$  such that the Hamming distance  $d(u, v)$  is minimal. When the number of errors is reasonably small, say, smaller than  $n - \sqrt{nk}$ , then the list decoding algorithms of Guruswami-Sudan [8] gives a polynomial time algorithm to find all the codewords for the generalized Reed-Solomon  $[n, k]_q$  code.

When the number of errors increases beyond  $n - \sqrt{nk}$ , it is not known whether there exists a polynomial time decoding algorithm. The maximal likelihood decoding of a generalized Reed-Solomon  $[n, k]_q$  code is known to be **NP**-complete [6]. The difficulty is caused by the combinatorial complication of the subset  $D$  with no structures. In fact, there is a straightforward way to reduce the subset sum problem in  $D$  to the deep hole problem of a generalized Reed-Solomon code, which can then be reduced to the maximal likelihood decoding problem [3]. Note that the subset sum problem for  $D \subseteq \mathbf{F}_q$  is hard only if  $|D|$  is much smaller than  $q$ .

In practical applications, one rarely uses the case of arbitrary subset  $D$ . The most widely used case is when  $D = \mathbf{F}_q^*$  with rich algebraic structures. This case is essentially equivalent to the case  $D = \mathbf{F}_q$ . For simplicity, we focus on the extended Reed-Solomon code  $RS_q[q, k]$  in this paper, all our results can be applied to the Reed-Solomon code  $RS_q[q-1, k]$  with little modification. The maximal likelihood decoding problem of  $RS_q[q, k]$  is considered to be hard, but the attempts to prove its **NP**-completeness have failed so far. The methods in [6][3] can not be specialized to  $RS_q[q, k]$  because we have lost the freedom to select  $D$ . The only known complexity result [4] in this direction says that the decoding of  $RS_q[q, k]$  is at least as hard as the discrete logarithm in  $\mathbf{F}_{q^h}^*$  for  $h$  satisfying

$$h \leq \sqrt{q} - k, h \leq q^{\frac{1}{2+\epsilon}} + 1 \quad \text{and} \quad h \leq \frac{k - \frac{4}{\epsilon} - 2}{\frac{4}{\epsilon} + 1}$$

for any  $\epsilon > 0$ . The main weakness of this result is that  $\sqrt{q}$  has to be greater than  $k$ , which implies that the information rate  $k/q$  goes to zero. But in the real world, we tend to use the Reed-Solomon codes of high rates. Our main result of this paper is to remove this restriction. Precisely, we show that

**Theorem 1.** *For any  $c \in [0, 1]$ , there exists an infinite explicit family of Reed-Solomon codes*

$$\{RS_{q_1}[q_1, k_1], RS_{q_2}[q_2, k_2], \dots, RS_{q_i}[q_i, k_i], \dots\}$$

with  $q_i = \Theta(i^2 \log^2 i)$  and  $k_i = (c + o(1))q_i$  such that if there is a polynomial time randomized algorithm solving the maximal likelihood decoding problem for the above family of codes, then there is a polynomial time randomized algorithm solving the discrete logarithm problem over all the fields in  $\{\mathbf{F}_{q_1}^{h_1}, \mathbf{F}_{q_2}^{h_2}, \dots, \mathbf{F}_{q_i}^{h_i}, \dots\}$ , where  $h_i$  is any integer less than  $q_i^{1/4+o(1)}$ .

The discrete logarithm problem over finite fields is well studied in computational number theory. It is not believed to have a polynomial time algorithm. Many cryptographical protocols base their security on this assumption. The fastest general purpose algorithm [1] solves the discrete logarithm problem over finite field  $\mathbf{F}_{q^h}^*$  in conjectured time

$$\exp(O((\log q^h)^{1/3}(\log \log q^h)^{2/3})).$$

Thus, in the above theorem, it is best to take  $h_i$  as large as possible (close to  $q_i^{1/4+o(1)}$ ) in order for the discrete logarithm to be hard. If  $h = q^{1/4+o(1)}$ , this complexity is subexponential on  $q$ . The above theorem rules out a polynomial time algorithm for the maximal likelihood decoding problem of Reed-Solomon code of any rate under a cryptographical hardness assumption.

Our earlier paper [4] proved the theorem for  $c = 0$  (in that case we have  $h_i \leq q_i^{1/2+o(1)}$ ). In this paper, we shall be concentrating on  $0 < c \leq 1$ . The results in this paper are built on the methods and results of our earlier paper. We shall show that the case  $c = 1$  follows from the case  $c = 0$  by a dual argument. The main new idea for the case  $0 < c < 1$  is to exploit the role of subfields contained in  $\mathbf{F}_q$ . Assume that  $q = \tilde{q}^2$  and  $h = q^{1/4+o(1)}$  is a positive integer. We have  $\mathbf{F}_{\tilde{q}} \subseteq \mathbf{F}_q \subseteq \mathbf{F}_{q^h}$ . Let  $\alpha$  be an element in  $\mathbf{F}_{q^h}$  such that  $\mathbf{F}_{\tilde{q}}[\alpha] = \mathbf{F}_q[\alpha] = \mathbf{F}_{q^h}$ . We observe that if every element in  $\mathbf{F}_{q^h}$  can be written as a product of  $g_1$  many distinct  $\alpha + a$  with  $a \in \mathbf{F}_{\tilde{q}}$ , then for any nonnegative integer  $g_2 \leq q - \tilde{q}$ , every element in  $\mathbf{F}_{q^h}$  can be written as a product of  $g_1 + g_2$  many distinct  $\alpha + a$  with  $a \in \mathbf{F}_q$ . This observation enables us to prove the main technical lemma that for any constant  $0 < c < 1$ , any element in  $\mathbf{F}_{q^h}$  can be written as a product of  $\lfloor cq \rfloor$  distinct factors in  $\{\alpha + a | a \in \mathbf{F}_q\}$  for  $q$  large enough.

By a direct counting argument, for any positive integer  $r < q - k$ , there exists a Hamming ball of radius  $r$  containing at least  $\binom{q}{r}/q^{q-r-k}$  many codewords in Reed-Solomon code  $RS_q[q, k]$ . Thus, if  $k = \lfloor cq \rfloor$  for a constant  $0 < c < 1$ , we set  $r = \lfloor q - k - q^{1/4} \rfloor$  and the number of code words in the Hamming ball will be exponential in  $q$ . However, finding such a Hamming ball deterministically is a hard problem. There are some work done on this problem [7][2], but all the results are for codes of diminishing rates. Our contribution to this problem is to remove the rate restriction.

**Theorem 2.** *For any  $c \in (0, 1)$ , there exists a deterministic algorithm that given a positive integer  $i$ , outputs a prime power  $q$ , a positive integer  $k$  and a vector  $v \in \mathbf{F}_q^q$  such that*

$$- q = \Theta(i^2 \log^2 i) \text{ and } k = (c + o(1))q, \text{ and}$$

- the Hamming ball centered at  $v$  and of radius  $q - k - q^{1/4+o(1)}$  contains  $\exp(\Omega(q))$  many codewords in  $RS_q[q, k]$ , and
- the algorithm runs in time  $i^{O(1)}$ .

In our construction, the ratio between the Hamming ball radius  $q - k - q^{1/4+o(1)}$  and the minimum distance  $q - k + 1$ , which is known as the relative radius of the Hamming ball, is approaching 1. The same problem was encountered in [7][2], where there is the further restriction that the information rate goes to zero. In contrast, the above theorem allows the information rate to be positive. The following result shows that we can decrease the relative radius to a constant less than 1 if we work with codes with information rate going to one.

**Theorem 3.** *For any real number  $\rho \in (2/3, 1)$ , there is a deterministic algorithm that, given a positive integer  $i$ , outputs a prime power  $q = i^{O(1)}$ , a positive integer  $k = q - o(\sqrt{q})$  and a vector  $v \in \mathbf{F}_q^q$  such that the Hamming ball centered at  $v$  and of radius  $\lceil \rho(q - k + 1) \rceil$  contains at least  $q^i$  many codewords in  $RS_q[q, k]$ . The algorithm has time complexity  $i^{O(1)}$ . Note that the information rate is  $1 - o(1)$ .*

It would be interesting for future research to extend the result to all  $\rho \in (1/2, 1)$ , and to prove a similar result with the information rate positive and the relative radius less than 1.

Given a real number  $\rho \in (0, 1)$ , the codes where some Hamming ball of relative radius  $\rho$  contains superpolynomially many codewords are called  $\rho$ -dense. It was known in [5] how to efficiently construct such codes for any  $\rho \in (1/2, 1)$ , but finding the center of such a Hamming ball in deterministic polynomial time is an open problem. In this paper, we solve this problem if the relative radius falls in the range  $(2/3, 1)$  using Reed-Solomon codes of rate approaching one. This result derandomizes an important step in the inapproximability result for minimum distance problem of a linear code in [5]. To completely derandomize the reduction there, however, one needs to find a linear map from a dense Hamming ball into a linear subspace. This is again an interesting future research direction.

## 2 Previous work for rate $c = 0$

For reader's convenience, in this section, we sketch the main ideas in our earlier paper [4]. This will be the starting point of our new results in the present paper.

Let  $h \geq 2$  be a positive integer. Let  $h(x)$  be a monic irreducible polynomial in  $\mathbf{F}_q[x]$  of degree  $h$ . Let  $\alpha$  be a root of  $h(x)$  in an extension field. Then,  $\mathbf{F}_q[\alpha] = \mathbf{F}_{q^h}$  is a finite field of  $q^h$  element. We have

**Theorem 4.** *Let  $h < g < q$  be positive integers. If every element of  $\mathbf{F}_{q^h}^*$  can be written as a product of exactly  $g$  distinct linear factors of the form  $\alpha + a$  with  $a \in \mathbf{F}_q$ , then the discrete logarithm in  $\mathbf{F}_{q^h}^*$  can be efficiently reduced in random time  $q^{O(1)}$  to the maximal likelihood decoding of the Reed-Solomon code  $RS_q[q, g - h]$ .*

**Proof.** In [4], the same result was stated for the weaker bounded distance decoding. Since the specific words used in [4] have exact distance  $q - g$  to the code  $RS_q[q, g - h]$ , the bounded distance decoding and the maximal likelihood decoding are equivalent for those special words. Thus, we may replace bounded distance decoding by the maximal likelihood decoding in the above statement. We now sketch the main ideas.

Let  $h(x)$  be a monic irreducible polynomial of degree  $h$  in  $\mathbf{F}_q[x]$ . We shall identify the extension field  $\mathbf{F}_{q^h}$  with the residue field  $\mathbf{F}_q[x]/(h(x))$ . Let  $\alpha$  be the class of  $x$  in  $\mathbf{F}_q[x]/(h(x))$ . Then,  $\mathbf{F}_q[\alpha] = \mathbf{F}_{q^h}$ . Consider the Reed-Solomon code  $RS_q[q, g - h]$ . For a polynomial  $f(x) \in \mathbf{F}_q[x]$  of degree at most  $h - 1$ , let  $u_f$  be the received word

$$u_f = \left( \frac{f(a)}{h(a)} + a^{g-h} \right)_{a \in \mathbf{F}_q}.$$

By assumption, we can write

$$f(\alpha) = \prod_{i=1}^g (\alpha + a_i),$$

where  $a_i \in \mathbf{F}_q$  are distinct. It follows that as polynomials, we have the identity

$$\prod_{i=1}^g (x + a_i) = f(x) + t(x)h(x),$$

where  $t(x) \in \mathbf{F}_q[x]$  is some monic polynomial of degree  $g - h$ . Thus,

$$\frac{f(x)}{h(x)} + x^{g-h} + (t(x) - x^{g-h}) = \frac{\prod_{i=1}^g (x + a_i)}{h(x)},$$

where  $t(x) - x^{g-h} \in \mathbf{F}_q[x]$  is a polynomial of degree at most  $g - h - 1$  and thus corresponds to a codeword. This equation implies that the distance of the received word  $u_f$  to the code  $RS_q[q, g - h]$  is at most  $q - g$ . If the distance is smaller than  $q - g$ , then one gets a monic polynomial of degree  $g$  with more than  $g$  distinct roots. Thus, the distance of  $u_f$  to the code is exactly  $q - g$ .

Let  $C_f$  be the set of codewords in  $RS_q[q, g - h]$  which has distance exactly  $q - g$  to the received word  $u_f$ . The cardinality of  $C_f$  is then equal to  $\frac{1}{g!}$  times the number of ordered ways that  $f(\alpha)$  can be written as a product of exactly  $g$  distinct linear factors of the form  $\alpha + a$  with  $a \in \mathbf{F}_q$ . For error radius  $q - g$ , the maximal likelihood decoding of the received word  $u_f$  is the same as finding a solution to the equation

$$f(\alpha) = \prod_{i=1}^g (\alpha + a_i),$$

where  $a_i \in \mathbf{F}_q$  being distinct.

To show that the discrete logarithm in  $\mathbf{F}_{q^h}^*$  can be reduced to the decoding of the words of the type  $u_f$ , we apply the index calculus algorithm. Let  $b(\alpha)$  be

a primitive element of  $\mathbf{F}_{q^h}^*$ . Taking  $f(\alpha) = b(\alpha)^i$  for a random  $0 \leq i \leq q^h - 2$ , the maximal likelihood decoding of the word  $u_f$  gives a relation

$$b(\alpha)^i = \prod_{j=1}^g (\alpha + a_j(i)),$$

where  $a_j(i) \in \mathbf{F}_q$  are distinct for  $1 \leq j \leq g$ . This gives the congruence equation

$$i \equiv \sum_{j=1}^g \log_{b(\alpha)}(\alpha + a_j(i)) \pmod{q^h - 1}.$$

Repeating the decoding and let  $i$  vary, this would give enough linear equations in the  $q$  variables  $\log_{b(\alpha)}(\alpha + a)$  ( $a \in \mathbf{F}_q$ ). Solving the linear system modulo  $q^h - 1$ , one finds the values of  $\log_{b(\alpha)}(\alpha + a)$  for all  $a \in \mathbf{F}_q$ . To compute the discrete logarithm of an element  $v(\alpha) \in \mathbf{F}_{q^h}^*$  with respect to the base  $b(\alpha)$ , one applies the decoding to the element  $v(\alpha)$  and finds a relation

$$v(\alpha) = \prod_{j=1}^g (\alpha + b_j),$$

where the  $b_j \in \mathbf{F}_q$  are distinct. Then,

$$\log_{b(\alpha)} v(\alpha) \equiv \sum_{j=1}^g \log_{b(\alpha)}(\alpha + b_j) \pmod{q^h - 1}.$$

In this way, the discrete logarithm of  $v(\alpha)$  is computed. The detailed analysis can be found in [4].  $\square$

The above theorem is the starting point of our method. In order to use it, one needs to get good information on the integer  $g$  satisfying the assumption of the theorem. This is a difficult theoretical problem in general. It can be done in some cases, with the help of Weil's character sum estimate together with a simple sieving. Precisely, the following result was proved for  $g$  in [4].

**Theorem 5.** *Let  $h < g$  be positive integers. Let*

$$N(g, h) = \frac{1}{g!} \left( \frac{q^g - \binom{g}{2} q^{g-1}}{q^h - 1} - (1 + \binom{g}{2})(h-1)^g q^{g/2} \right).$$

*Then every element in  $\mathbf{F}_{q^h}^*$  can be written in at least  $N(g, h)$  ways as a product of exactly  $g$  distinct linear factors of the form  $\alpha + a$  with  $a \in \mathbf{F}_q$ .*

*If for some constant  $\epsilon > 0$ , we have*

$$q \geq \max(g^2, (h-1)^{2+\epsilon}), \quad g \geq \left(\frac{4}{\epsilon} + 2\right)(h+1),$$

*then*

$$N(g, h) \geq q^{g/2}/g! > 0.$$

The main draw back of the above theorem is the condition  $q \geq g^2$  which translates to the condition that the information rate  $(g-h)/q$  goes to zero in applications.

### 3 The result for rate $c = 1$

Now we show that Theorem 1 holds when information rate approaches one.

**Proposition 6** *Let  $g, h$  be positive integers such that for some constant  $\epsilon > 0$ , we have*

$$q \geq \max(g^2, (h-1)^{2+\epsilon}), \quad g \geq \left(\frac{4}{\epsilon} + 2\right)(h+1).$$

*Then, every element in  $\mathbf{F}_{q^h}^*$  can be written in at least  $N(g, h)$  ways as a product of exactly  $q - g$  distinct linear factors of the form  $\alpha + a$  with  $a \in \mathbf{F}_q$ .*

To prove this proposition, we observe that the map that sends  $\beta \in \mathbf{F}_{q^h}^*$  to  $\prod_{a \in \mathbf{F}_q} (\alpha + a)/\beta$  is one-to-one from  $\mathbf{F}_{q^h}^*$  to itself.

**Proof:** Note that

$$\prod_{a \in \mathbf{F}_q} (\alpha + a) \neq 0.$$

Given an element  $\beta \in \mathbf{F}_{q^h}^*$ , from Theorem 5, we have that  $\prod_{a \in \mathbf{F}_q} (\alpha + a)/\beta$  can be written in at least  $N(g, h)$  ways as a product of exactly  $g$  distinct linear factors of the form  $\alpha + a$  with  $a \in \mathbf{F}_q$ , hence  $\beta$  can be written in at least  $N(g, h)$  ways as a product of exactly  $q - g$  distinct linear factors of the form  $\alpha + a$  with  $a \in \mathbf{F}_q$ .  $\square$

It follows from Theorem 4 that we have the following two results.

**Proposition 7** *Suppose that*

$$q \geq \max(g^2, (h-1)^{2+\epsilon}), \quad g \geq \left(\frac{4}{\epsilon} + 2\right)(h+1).$$

*Then the maximal likelihood decoding  $RS_q[q, q - g - h]$  is as hard as the discrete logarithm over the finite field  $\mathbf{F}_{q^h}^*$ .*

Note that the rate  $(q - g - h)/q$  approaches 1 as  $q$  increases for  $g = O(\sqrt{q})$  and  $h = O(g) = O(\sqrt{q})$ .

**Proposition 8** *Suppose that*

$$q \geq \max(g^2, (h-1)^{2+\epsilon}), \quad g \geq \left(\frac{4}{\epsilon} + 2\right)(h+1).$$

*Let  $h(x)$  be an irreducible polynomial of degree  $h$  over  $\mathbf{F}_q$  and let  $f(x)$  be a nonzero polynomial of degree less than  $h$  over  $\mathbf{F}_q$ . Then in Reed-Solomon code  $RS_q[q, q - g - h]$ , the Hamming ball centered at  $\left(\frac{f(a)}{h(a)} + a^{q-g-h}\right)_{a \in \mathbf{F}_q}$  of radius  $g$  contains at least  $\frac{q^{g/2}}{g!}$  many codewords.*

Note if we set  $g = \lceil \sqrt{q} \rceil$ , then the number of codewords is greater than  $2\sqrt{q}$ , which is subexponential.



**Proof of Theorem 3:** The relative radius of the Hamming ball in the above proposition is  $\frac{g}{g+h+1}$ . If  $g = \lceil (\frac{4}{\epsilon} + 2)(h+1) \rceil$ , then the relative radius is approaching to  $\frac{\frac{4}{\epsilon}+2}{\frac{4}{\epsilon}+3} = \frac{2\epsilon+4}{3\epsilon+4}$ . Select  $\epsilon$  such that

$$\rho = \frac{2\epsilon + 4}{3\epsilon + 4}.$$

Note that  $\epsilon$  can be large if  $\rho$  is close to  $2/3$ . If  $g = \lceil q^{\frac{1}{2+\epsilon}} \rceil$ , the number of codewords is at least

$$\frac{q^{g/2}}{g!} > (\sqrt{q}/g)^g = q^{\frac{\epsilon g}{2(2+\epsilon)}}.$$

To make sure that this number is greater than  $q^i$ , we need  $g > \frac{2(2+\epsilon)i}{\epsilon}$ . It is satisfied if we let  $q$  to be the least prime power which is greater than

$$\left(\frac{2(2+\epsilon)i}{\epsilon}\right)^{2+\epsilon} = i^{O(1)}.$$

We then calculate  $g = \lceil q^{\frac{1}{2+\epsilon}} \rceil$  and solve  $h$  from the equation  $g = \lceil (\frac{2}{\epsilon} + 2)(h+1) \rceil$ . Finally we find an irreducible polynomial  $h(x)$  of degree  $h$  over  $\mathbf{F}_q$  using the algorithm in [9].  $\square$

#### 4 The result for rate $0 < c < 1$

We now consider the positive rate case with  $0 < c < 1$ . For this purpose, we take  $q = q_1^m$  with  $m \geq 2$ . Let  $\alpha$  be an element in  $\mathbf{F}_{q^h}$  with  $\mathbf{F}_{q_1}[\alpha] = \mathbf{F}_{q^h}$ . Since

$$\mathbf{F}_{q_1}[\alpha] \subseteq \mathbf{F}_q[\alpha] \subseteq F_{q^h},$$

we also have  $F_{q^h} = \mathbf{F}_q[\alpha]$ .

**Theorem 9.** *Let  $q = q_1^m$  with  $m \geq 2$ . Let  $g_1$  and  $g_2$  be non-negative integers with  $g_2 \leq q - q_1$ . Let*

$$N(g_1, g_2, h, m) = \frac{1}{g_1!} \left( \frac{q_1^{g_1} - \binom{g_1}{2} q_1^{g_1-1}}{q_1^{mh} - 1} - \left(1 + \binom{g_1}{2}\right) (mh-1)^{g_1} q_1^{g_1/2} \right) \binom{q - q_1}{g_2}$$

*Then, every element in  $\mathbf{F}_{q^h}^*$  can be written in at least  $N(g_1, g_2, h, m)$  ways as a product of exactly  $g_1 + g_2$  distinct linear factors of the form  $\alpha + a$  with  $a \in \mathbf{F}_q$ .*

*If for some constant  $\epsilon > 0$ , we have*

$$q_1 \geq \max(q_1^2, (mh-1)^{2+\epsilon}), \quad g_1 \geq \left(\frac{4}{\epsilon} + 2\right)(mh+1)$$

*then*

$$N(g_1, g_2, h, m) \geq \frac{q_1^{g_1/2}}{g_1!} \binom{q - q_1}{g_2} > 0.$$

**Proof.** Since  $g_2 \leq q - q_1$ , we can choose  $g_2$  distinct elements  $b_1, \dots, b_{g_2}$  from the set  $\mathbf{F}_q - \mathbf{F}_{q_1}$ . For any element  $\beta \in \mathbf{F}_{q^h}^* = \mathbf{F}_{q_1^{mh}}^*$ , since  $\mathbf{F}_{q_1}[\alpha] = \mathbf{F}_{q_1^{mh}}$ , we can apply Theorem 2.2 to deduce that

$$\frac{\beta}{(\alpha + b_1) \cdots (\alpha + b_{g_2})} = (\alpha + a_1) \cdots (\alpha + a_{g_1}),$$

where the  $a_i \in \mathbf{F}_{q_1}$  are distinct. The number of such sets  $\{a_1, a_2, a_3, \dots, a_{g_1}\} \subseteq \mathbf{F}_{q_1}$  is greater than

$$\frac{1}{g_1!} \left( \frac{q_1^{g_1} - \binom{g_1}{2} q_1^{g_1-1}}{q_1^{mh} - 1} - (1 + \binom{g_1}{2})(mh - 1)^{g_1} q_1^{g_1/2} \right).$$

Since  $\mathbf{F}_{q_1}$  and its complement  $\mathbf{F}_q - \mathbf{F}_{q_1}$  are disjoint, it follows that

$$\beta = (\alpha + b_1) \cdots (\alpha + b_{g_2})(\alpha + a_1) \cdots (\alpha + a_{g_1})$$

is a product of exactly  $g_1 + g_2$  distinct linear factors of the form  $\alpha + a$  with  $a \in \mathbf{F}_q$ .  $\square$

We now take  $g_1 = \lfloor q^{1/2m} \rfloor = \lfloor \sqrt{q_1} \rfloor$  and  $g_2 = \lfloor cq \rfloor - g_1$  in the above theorem. Thus,  $g_1 + g_2 = \lfloor cq \rfloor$ . We need  $g_2$  satisfying the inequalities

$$0 \leq g_2 \leq q - q_1 = q - q^{1/m}.$$

That is,

$$0 \leq \lfloor cq \rfloor - \lfloor q^{1/2m} \rfloor \leq q - q^{1/m}.$$

The left side inequality is satisfied if  $q_1 \geq c^{-2/(2m-1)}$ . The right side inequality is satisfied if  $q_1 \geq (1 - c)^{-1/(m-1)}$ . Thus, we obtain

**Theorem 10.** *Let  $m \geq 2$  and  $h \geq 2$  be two positive integers such that  $q = q_1^m$ . Let  $0 < c < 1$  be a constant such that*

$$q_1 \geq \max((mh - 1)^{2+\epsilon}, \left(\frac{4}{\epsilon} + 2\right)(mh + 1)^2, c^{\frac{-2}{2m-1}}, (1 - c)^{\frac{-1}{m-1}})$$

for some constant  $\epsilon > 0$ . Then, every element in  $\mathbf{F}_{q^h}^*$  can be written as a product of exactly  $\lfloor cq \rfloor$  distinct linear factors of the form  $\alpha + a$  with  $a \in \mathbf{F}_q$ .

Combining this theorem together with Theorem 2.1, we deduce

**Theorem 11.** *Let  $m \geq 2$  and  $h \geq 2$  be two positive integers such that  $q = q_1^m$ . Let  $0 < c < 1$  be a constant such that*

$$q_1 \geq \max((mh - 1)^{2+\epsilon}, \left(\frac{4}{\epsilon} + 2\right)(mh + 1)^2, c^{\frac{-2}{2m-1}}, (1 - c)^{\frac{-1}{m-1}})$$

for some constant  $\epsilon > 0$ . Then, the maximal likelihood decoding of the Reed-Solomon code  $RS_q[q, \lfloor cq \rfloor - h]$  is at least as hard (in random time  $q^{O(1)}$  reduction) as the discrete logarithm in  $\mathbf{F}_{q^h}^*$ .

Taking  $m = 2$  in this theorem, we deduce Theorem 1.1.

**Proposition 12** *Let  $h$  be a positive integer and  $0 < c < 1$  be a constant. Let  $q_1$  be a prime power such that*

$$q_1 \geq \max((2h - 1)^{2+\epsilon}, (\frac{4}{\epsilon} + 2)(2h + 1)^2, c^{-2/3}, (1 - c)^{-1}) \quad (1)$$

for some constant  $\epsilon > 0$ . Let  $q = q_1^2$ . Let  $h(x)$  be an irreducible polynomial of degree  $h$  over  $\mathbf{F}_q$  whose root  $\alpha$  satisfies that  $\mathbf{F}_{q_1}[\alpha] = \mathbf{F}_{q^h}$ . Let  $f(x)$  be a nonzero polynomial over  $\mathbf{F}_q$  of degree less than  $h$ . Then in the Reed-Solomon code  $RS_q[q, \lfloor cq \rfloor - h]$ , the Hamming ball centered at  $(\frac{f(a)}{h(a)} + a^{\lfloor cq \rfloor - h})_{a \in \mathbf{F}_q}$  of radius  $q - \lfloor cq \rfloor$  contains at least  $\exp(\Theta(q))$  many codewords.

**Proof:** The number of codewords in the ball is greater than

$$\frac{q_1^{\lfloor \sqrt{q_1} \rfloor / 2}}{\lfloor \sqrt{q_1} \rfloor!} \binom{q - q_1}{\lfloor cq \rfloor - \sqrt{q_1}},$$

which is greater than  $\binom{q - q_1}{\lfloor cq \rfloor - \sqrt{q_1}} = \exp(\Theta(q))$ .  $\square$

**Proof of Theorem 2.** Let  $q$  to be the square of the  $i$ -th prime power (listed in increasing order). Assume that  $i$  is large enough such that  $\sqrt{q} \geq \max(c^{-2/3}, (1 - c)^{-1})$ . We then let  $\epsilon$  to be  $1/\log q$  and  $h$  to be the largest integer satisfying (1). It remains to find an irreducible polynomial of degree  $h$  over  $\mathbf{F}_q$ , whose root  $\alpha$  satisfies that  $\mathbf{F}_{q_1}[\alpha] = \mathbf{F}_{q^h}$ . Let  $p$  be the characteristic of  $\mathbf{F}_q$ . We can use  $\alpha$  such that  $\mathbf{F}_p[\alpha] = \mathbf{F}_{q^h}$ . We need to find an irreducible polynomial of degree  $h \log_p q$  over  $\mathbf{F}_p$ . It can be done in time polynomial in  $p$  and the degree [9]. Then we factor the polynomial over  $\mathbf{F}_q$  and take any factor to be  $h(x)$ . As for  $f(x)$ , we may simply let  $f(x) = 1$ .  $\square$

## 5 Conclusion and future research

In this paper, we show that the maximal likelihood decoding of the Reed-Solomon code is at least as hard as the discrete logarithm for any given information rate. In our result, we assumed that the cardinality of the finite field is not a prime. While this is not a problem in practical applications, e.g.  $q = 256$  is quite popular, it would be interesting to remove this restriction, that is, allowing prime finite fields as well.

Many important questions about decoding Reed-Solomon codes remain open. For example, little is known about the exact list decoding radius of Reed-Solomon codes. In particular, does there exist a Hamming ball of relative radius less than one which contains super-polynomial many codewords in Reed-Solomon codes of rate less than one?

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