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### Authors

Srivastava, Anand

Cai, Xuan

Mehta, Rupal

et al.

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# Hospitalization Trajectories and Risks of ESKD and Death in Individuals With CKD



Anand Srivastava<sup>1</sup>, Xuan Cai<sup>1</sup>, Rupal Mehta<sup>1</sup>, Jungwha Lee<sup>2</sup>, David I. Chu<sup>3</sup>, Katherine T. Mills<sup>4</sup>, Tariq Shafi<sup>5</sup>, Jonathan J. Taliercio<sup>6</sup>, Jesse Y. Hsu<sup>7</sup>, Sarah J. Schrauben<sup>8</sup>, Milda R. Saunders<sup>9</sup>, Clarissa J. Diamantidis<sup>10</sup>, Chi-yuan Hsu<sup>11</sup>, Sushrut S. Waikar<sup>12</sup>, James P. Lash<sup>13</sup> and Tamara Isakova<sup>1</sup>; and the CRIC Study Investigators<sup>14</sup>

<sup>1</sup>Division of Nephrology and Hypertension, Center for Translational Metabolism and Health, Institute for Public Health and Medicine, Northwestern University Feinberg School of Medicine, Chicago, Illinois, USA; <sup>2</sup>Division of Biostatistics, Department of Preventative Medicine, Northwestern University Feinberg School of Medicine, Chicago, Illinois, USA; <sup>3</sup>Division of Urology, Ann & Robert H. Lurie Children's Hospital of Chicago, Chicago, Illinois, USA; <sup>4</sup>Department of Epidemiology, Tulane University School of Public Health and Tropical Medicine, and Tulane University Translational Science Institute, New Orleans, Louisiana, USA; <sup>5</sup>Department of Medicine, University of Mississippi Medical Center, Jackson, Mississippi, USA; <sup>6</sup>Department of Nephrology and Hypertension, Glickman Urological and Kidney Institute, Cleveland Clinic, Cleveland, Ohio, USA; <sup>7</sup>Center for Clinical Epidemiology and Biostatistics, Perelman School of Medicine at the University of Pennsylvania, Philadelphia, Pennsylvania, USA; <sup>8</sup>Division of Renal-Electrolyte and Hypertension, Department of Medicine, Perelman School of Medicine at the University of Pennsylvania, Pennsylvania, USA; <sup>9</sup>General Internal Medicine, Department of Medicine, University of Chicago, Chicago, Illinois, USA; <sup>10</sup>Divisions of General Internal Medicine and Nephrology and Department of Population Health Science, Duke University School of Medicine, Durham, North Carolina, USA; <sup>11</sup>Department of Medicine, University of California San Francisco School of Medicine, San Francisco, California, USA; <sup>12</sup>Section of Nephrology, Boston University School of Medicine and Boston Medical Center, Boston, Massachusetts, USA; and <sup>13</sup>Division of Nephrology, Department of Medicine, University of Illinois Hospital and Health Sciences Center, University of Illinois College of Medicine, Chicago, Illinois, USA

**Introduction:** Management of chronic kidney disease (CKD) entails high medical complexity and often results in high hospitalization burden. There are limited data on the associations of longitudinal hospital utilization patterns with adverse clinical outcomes in individuals with CKD.

**Methods:** We derived cumulative all-cause hospitalization trajectory groups using latent class trajectory analysis in 3012 participants of the Chronic Renal Insufficiency Cohort (CRIC) Study who were alive and did not reach end-stage kidney disease (ESKD) within 4 years of study entry. Cox proportional hazards models tested the associations between hospitalization trajectory groups and risks of ESKD and death prior to the onset of ESKD (ESKD-censored death).

**Results:** Within 4 years of study entry, there were 5658 hospitalizations among 3012 participants. We identified 3 distinct subgroups of individuals with CKD based on cumulative all-cause hospitalization trajectories over 4 years: low-utilizer ( $n = 1066$ ), intermediate-utilizer ( $n = 1802$ ), and high-utilizer ( $n = 144$ ). High-utilizers represented a patient population of lower socioeconomic status who had a greater prevalence of comorbid conditions and lower kidney function compared with intermediate- and low-utilizers. After the 4-year ascertainment period to form the trajectory subgroups, there were 544 ESKD events and 437 ESKD-censored deaths during a median follow-up time of 5.1 years. Compared with low-utilizers, intermediate-utilizers and high-utilizers were at 1.49-fold (95% confidence interval [CI] 1.22–1.84) and 1.75-fold (95% CI 1.20–2.56) higher risk of ESKD in adjusted analyses, respectively. Compared with low-utilizers, intermediate-utilizers and high-utilizers were at 1.48-fold (95% CI 1.17–1.87) and 2.58-fold (95% CI 1.74–3.83) higher risk of ESKD-censored death in adjusted analyses, respectively.

**Conclusions:** Trajectories of cumulative all-cause hospitalization identify subgroups of individuals with CKD who are at high risk of ESKD and death.

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KEYWORDS: chronic kidney disease; end-stage kidney disease; hospital utilization; hospitalization; trajectory

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**Correspondence:** Anand Srivastava, 633 N St Clair Street, Suite 18-083, Chicago, Illinois 60611, USA. E-mail: [anand.srivastava@northwestern.edu](mailto:anand.srivastava@northwestern.edu)

<sup>14</sup>CRIC Study Investigators are listed in the [Appendix](#).

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## See Commentary on Page 1492

More than 35 million American adults have CKD,<sup>1</sup> which places them at high risks of adverse clinical outcomes.<sup>2–5</sup> Medicare spending to manage the high medical complexity of CKD amounts to nearly \$80 billion annually, and the costs are increasing each

year.<sup>6,7</sup> Hospitalizations greatly contribute to rising health care costs<sup>8–11</sup> and result in significant distress for patients and their families.

Individuals with CKD are hospitalized at least twice as often as individuals without CKD,<sup>6,12</sup> and hospital utilization increases with worsening kidney function.<sup>3,13</sup> Existing literature reports on population-level hospitalization rates over time<sup>6</sup> and risk factors for time to first hospitalization in individuals with CKD.<sup>11,14</sup> These data do not provide information for patients and stakeholders regarding the global hospitalization burden over time. Analysis of hospitalization trajectories may detect heterogeneity in a CKD population and identify subgroups of individuals whose evolution of hospital utilization is much greater than the population mean and who may be at the highest risk of adverse outcomes. Enhanced understanding of distinct subgroups of individuals with CKD who have increasing hospitalization trajectories is critical to develop and test interventions<sup>15–17</sup> that may reduce hospitalizations. If longitudinal hospitalization utilization patterns are associated with risks of mortality and ESKD, then investigators may consider using hospitalization rates as outcomes in trials or as means to enrich study populations. We performed a prospective cohort study among participants with CKD stages 2 to 4 in the CRIC Study to test the hypotheses that trajectories of cumulative all-cause hospitalization would identify discrete subgroups of individuals with CKD, and that the subgroups would be associated with varying risks of subsequent ESKD and death.

## METHODS

### Source Population

The CRIC Study is a prospective, observational cohort study of individuals with mild to severe CKD that was designed to investigate risk factors for progression of CKD, development of cardiovascular disease, and mortality.<sup>18</sup> During phase 1, the CRIC Study enrolled 3939 men and women aged 21 to 74 years between June 2003 and September 2008 across 7 clinical centers in the United States. More detailed information about the CRIC Study is provided in the [Supplementary Methods](#).<sup>18–20</sup> The study protocol was approved by the Institutional Review Boards of the participating centers and is in accordance with the principles of the Declaration of Helsinki. All CRIC Study participants provided informed consent.

### Study Population

To describe the longitudinal evolution of hospitalization utilization in individuals with CKD stages 2 to 4, we first examined hospitalization incidence densities among all CRIC Study participants ( $N = 3939$ ). Because

many CRIC Study participants have stable or slowly progressive CKD,<sup>21</sup> we next described longitudinal hospitalization trends among subgroups with extreme phenotypes. These included individuals who progressed to ESKD ( $n = 1084$ ) or who died during follow-up ( $n = 710$ ). Among these subgroups, we examined hospitalization incidence densities before development of ESKD or death ([Supplementary Figure S1](#)).

To identify subgroups of individuals with different patterns of hospital utilization over the 4-year exposure ascertainment period and to relate the subgroups to risks of adverse outcomes, we studied 3012 CRIC Study participants who survived beyond their fifth annual study visit (baseline visit through the year 4 visit) without progressing to ESKD ([Supplementary Figure S2](#)).

### Exposure

The primary exposures were grouped trajectories of hospitalization, which we formed from the number of cumulative all-cause hospitalizations. Information regarding hospitalizations were ascertained every 6 months by self-report and confirmed by hospital queries. We included all hospitalizations, independent of duration or station (emergency department, observation, and inpatient), to determine if any type of hospitalization provided information about an individual's health.<sup>22</sup> More detailed information about ascertainment of hospitalization and covariate data is provided in the [Supplementary Methods](#).

### Outcomes

The primary outcomes were ESKD, defined as initiation of dialysis or kidney transplantation, and death before the onset of ESKD (ESKD-censored death). The latter outcome was chosen because the frequency of hospitalization may change after the onset of ESKD.<sup>6,13</sup> Ascertainment of ESKD status was confirmed by cross-linkage of participants with the United States Renal Data System.<sup>18</sup> All deaths were confirmed by death certificate review. Participants were followed up until the occurrence of event of interest, voluntary study withdrawal, loss to follow-up, or end of the follow-up period on September 30, 2015.

### Statistical Analysis

To describe the longitudinal evolution of hospitalization utilization in all participants of the CRIC Study, we calculated incidence densities of all-cause hospitalizations over time. In high-risk individuals who experienced ESKD and ESKD-censored death, we calculated incidence densities across time in relation to the number of years prior to the onset of the outcome.

Next, we used group-based trajectory modeling to categorize participants who were alive and did not reach ESKD within 4 years of study entry into subgroups of 4-year hospitalization utilization.<sup>23</sup> We assumed the distribution of cumulative number of hospitalizations conditional on time to be zero-inflated Poisson as there was no severe overdispersion observed. We used SAS PROC TRAJ to fit the longitudinal hospitalization data as a discrete mixture of 2 or more trajectories via maximum likelihood.<sup>23–25</sup> This method relies on a semiparametric group-based modeling strategy, which incorporates hierarchical and latent growth curve modeling, and it assumes that there are multiple trajectory groups within a population. We evaluated models with different numbers of trajectory groups for model fit, which we assessed with the Bayesian information criterion. Based on the model fit criteria and the visual appearance of the trajectories, we identified 3 hospitalization trajectory groups. We assigned participants to the trajectory group for which they had the highest posterior predicted probability.<sup>23</sup> The mean posterior probabilities and 95% CIs were 1.0 (1.0–1.0), 0.98 (0.97–0.98), and 0.89 (0.87–0.92) for the low-, intermediate-, and high-utilizer groups, respectively (Supplementary Figure S3).

After derivation of the hospitalization trajectory groups within 4 years of study entry, we summarized descriptive statistics according to trajectory group membership as mean  $\pm$  standard deviation (SD) or median and interquartile range (IQR) for continuous variables and as percentages for frequency distribution for categorical variables. For skewed data distributions, we performed natural logarithmic transformation. We used chi-square tests to compare frequency distributions of categorical variables by hospitalization trajectory groups. For evaluations between continuous variables and hospitalization trajectory groups, we used analysis of variance (for normally distributed variables) and Kruskal-Wallis tests (for non-normally distributed variables).

We used Cox proportional hazards regression to test the associations between the hospitalization trajectory groups and risks of ESKD and ESKD-censored death following the 4-year ascertainment period. We set the survival time (time 0) to begin with the participant's fifth annual visit (year 4 visit). All covariates were ascertained at the time survival follow-up began. For each outcome, we fit a series of hierarchically adjusted models based on the biological and clinical plausibility of covariates as potential confounders: model 1 was unadjusted; model 2 was stratified by site and adjusted for age, sex, race, ethnicity, income level, education level, and insurance type; model 3 included covariates from model 2 and further adjusted for systolic blood pressure, body mass index, smoking status, diabetes

mellitus, prior cardiovascular disease, and medications (angiotensin-converting enzyme inhibitor or angiotensin II receptor blocker,  $\beta$ -blocker, statin, and antiplatelet agent); model 4 included covariates from model 3 and further adjusted for hemoglobin, serum albumin, natural log transformed proteinuria, and estimated glomerular filtration rate (eGFR). To test whether the associations of hospitalization trajectory groups with each respective outcome were modified by eGFR, we tested for statistical interaction between hospitalization trajectory groups and eGFR for each outcome through multiplicative interaction terms. To account for missing covariate data, we used multiple imputation (Supplementary Methods). We confirmed no violations of the proportional hazards assumption using the Kolmogorov-type supremum test and visual inspection by checking martingale residuals.

### Sensitivity Analyses

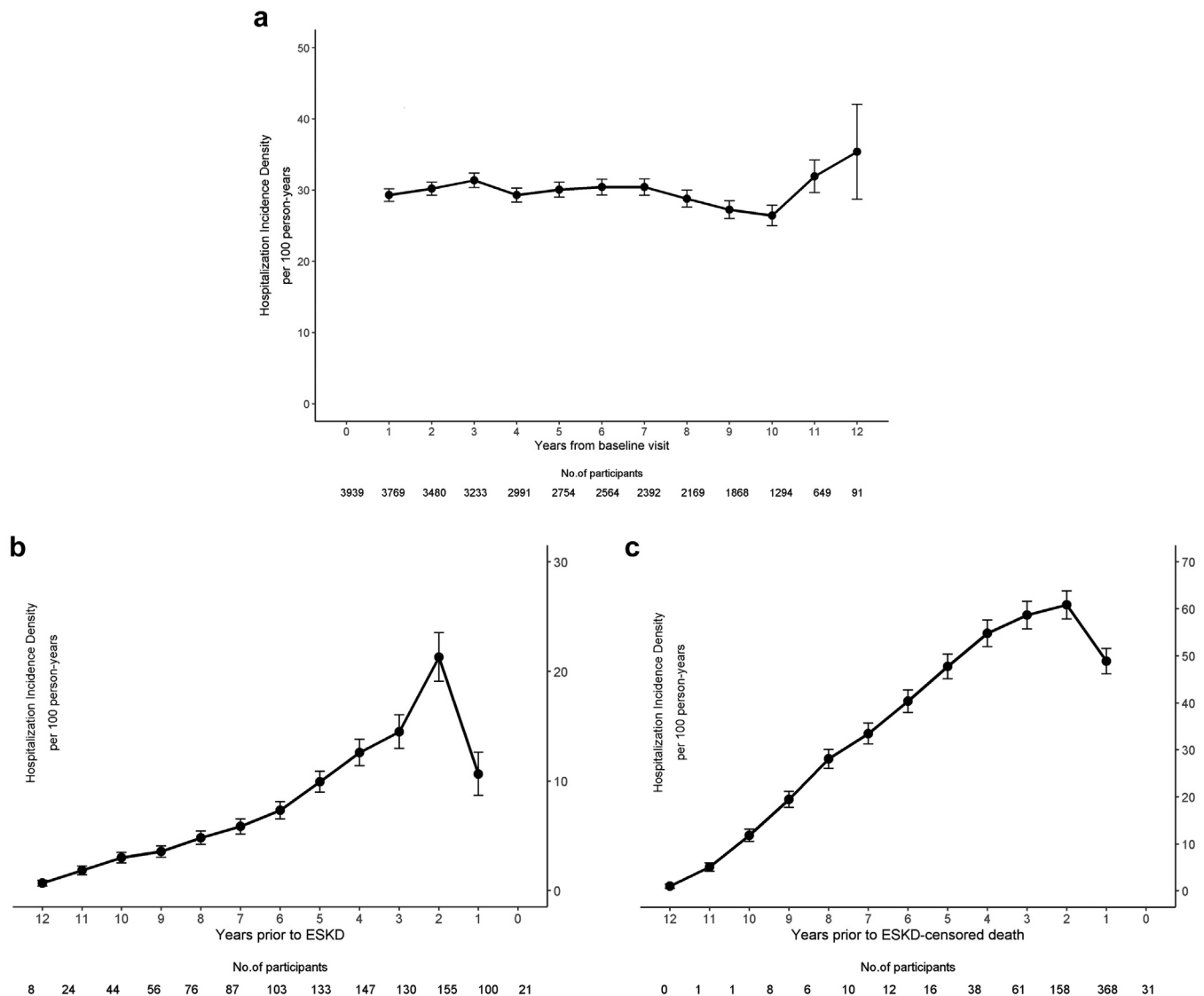
Because the primary trajectory analysis included all hospitalizations and many hospitalizations may be short stay visits, we reformed the trajectory groups after excluding hospitalizations with a length of stay  $\leq 1$  day. Because the onset of ESKD may require a hospitalization to initiate dialysis, reverse causality is possible. To address this potential confounding, we repeated the primary trajectory analysis after incorporating a 1-year lag, such that only individuals that were free of ESKD and survived beyond the year 5 visit were included and follow-up began at the time of the year 5 visit. Because 4 years of hospitalization data may not be available to all health care providers, we repeated the primary trajectory analysis in individuals that were free of ESKD and survived beyond the year 2 visit with start of survival time at the year 2 visit. To determine whether hospitalization trajectories remained significant predictors of future adverse outcomes following adjustment for hospitalizations during the first year of the CRIC Study, we adjusted the primary trajectory analysis for whether a participant was hospitalized between years 0 and 1. To determine whether a single year of hospitalization data provided information about the future risk of adverse outcomes, we categorized the number of hospitalizations (0, 1, or  $>1$  hospitalization) in the first year of the CRIC Study to determine the association between number of hospitalizations and future risk of ESKD and ESKD-censored death.

Statistical analyses were performed using SAS software, version 9.4 (SAS Institute Inc). All statistical tests were 2-sided, and  $P < 0.05$  was considered significant.

## RESULTS

### Hospitalization Incidence Densities

Hospitalization incidence densities remained stable over time in all CRIC Study participants ( $N = 3939$ ).



**Figure 1.** Hospitalization incidence densities. Hospitalizations incidence densities over time in (a) all CRIC Study participants ( $N = 3939$ ), (b) participants who experienced ESKD ( $n = 1084$ ), and (c) ESKD-censored death ( $n = 710$ ). In panel (a), time 0 is the baseline visit in the CRIC Study. In panels (b) and (c), time 0 is the onset of the outcome. For each panel, incidence densities are calculated based on the individuals at risk for hospitalization in the period prior to the point estimate. For instance, estimates for year 1 are based on individuals at risk from 0 to 1 year in each panel, respectively. CRIC, Chronic Renal Insufficiency Cohort; ESKD, end-stage kidney disease.

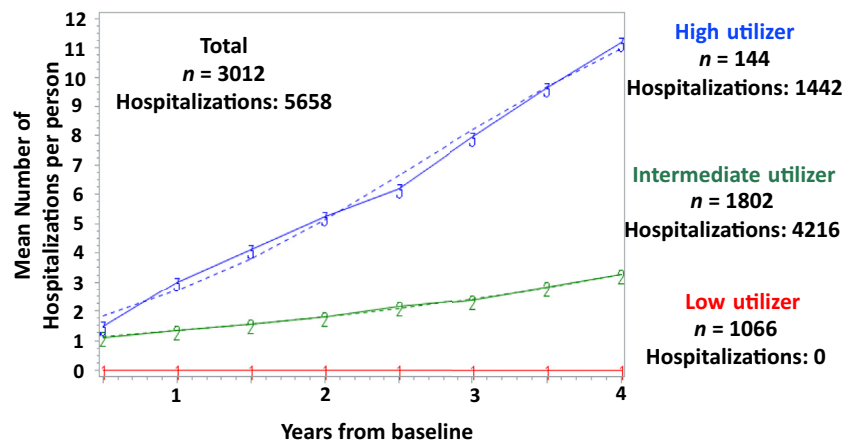
However, participants who progressed to ESKD ( $n = 1084$ ) and ESKD-censored death ( $n = 710$ ) had rising hospitalization incidence densities in the years prior to each respective outcome (Figure 1).

### Cumulative All-Cause Hospitalization Trajectories

We labeled the identified 4-year hospitalization trajectory groups based on their hospital utilization pattern: low-utilizer, intermediate-utilizer, and high-utilizer (Figure 2). Table 1 shows characteristics of the 3 hospitalization trajectory groups at the year 4 study visit. Compared with low-utilizers, intermediate- and high-utilizers were more likely to be female, black, have lower household income,

have a greater prevalence of diabetes mellitus and cardiovascular disease, have lower serum albumin and hemoglobin, have higher body mass index and proteinuria, and lower eGFR. Within the first 4 years of study entry used to form the trajectory groups, the mean number of cumulative all-cause hospitalizations were  $6.3 \pm 4.2$ ,  $2.2 \pm 1.5$ , and zero hospitalizations in the high-, intermediate-, and low-utilizer groups, respectively ( $P < 0.001$ ). Participants in the high-utilizer group had the longest hospital lengths of stay (high: 1.6 [0.7–3.3], intermediate: 0.5 [0–2.0], low: 0 days;  $P < 0.001$ ). Participants in the high-utilizer group were more likely to be rehospitalized within 30 days (high: 31.4%, intermediate: 12.9%, low: 0%;  $P < 0.001$ ).





**Figure 2.** Cumulative all-cause hospitalization trajectories. There were 5658 hospitalizations among 3012 participants who did not progress to ESKD and survived to their year 4 study visit. The low-utilizer group was composed of 1066 participants who were not hospitalized through their year 4 study visit. The intermediate-utilizer group had 1802 participants with 4216 hospitalizations, and the high-utilizer group had 144 participants with 1442 hospitalizations. ESKD, end-stage kidney disease.

### Primary Causes for Hospitalizations

Among the 5658 hospitalizations, 5404 (96%) hospitalizations had ICD-9 codes available to determine the primary cause for hospitalization. For participants in the high-utilizer group, the top 5 reasons for hospitalization were due to circulatory system disorders (25%), infectious diseases (10.7%), and endocrine disorders (10%), musculoskeletal system disorders (8.6%), and injury and poisoning (7.4%) (Figure 3). Compared with the participants in the high-utilizer group, the intermediate-utilizer group had the same top 5 reasons for hospitalization.

### All-Cause Hospitalization Trajectories and Risks of ESKD and Death

During a median follow-up time of 5.1 years, 544 participants progressed to ESKD and 437 participants experienced ESKD-censored death. Table 2 shows the unadjusted and multivariable-adjusted associations between hospitalization trajectory groups and each outcome. Compared with the low-utilizer group, the multivariable-adjusted hazard ratio of ESKD was 1.49 (95% CI 1.22–1.84) for the intermediate-utilizer group and 1.75 (95% CI 1.20–2.56) for the high-utilizer group. Compared with the low-utilizer group, the multivariable-adjusted hazard ratio of ESKD-censored death was 1.48 (95% CI 1.17–1.87) for the intermediate-utilizer group and 2.58 (95% CI 1.74–3.83) for the high-utilizer group. The estimates remained independent of multiple covariates, including proteinuria and eGFR, which were the strongest determinants of risk. There was no evidence of statistical interaction between hospitalization trajectory groups and eGFR for either ESKD ( $P$  for interaction: 0.70) or ESKD-censored death ( $P$  for interaction: 0.52).

### Sensitivity Analyses

Because 2051 (36.2%) hospitalizations from baseline to the year 4 visit among the 3012 participants had a length of stay  $\leq 1$  day, we repeated the primary analysis only including hospitalizations  $>1$  day (Supplementary Figure S4). After multivariable adjustment, there was a 1.33- and 1.66-fold increased risk for progression to ESKD in the intermediate- and high-utilizer groups compared with the low-utilizer group, respectively. There was a 1.83- and 3.46-fold increased risk for ESKD-censored death in the intermediate- and high-utilizer groups compared with the low-utilizer group, respectively (Supplementary Table S1).

After introducing a 1-year lag, 2774 participants with 4984 hospitalizations were eligible for creation of the hospitalization trajectory groups because they did not progress to ESKD and survived to their year 5 study visit. After multivariable adjustment, there was a 1.65- and 1.90-fold increased risk for progression to ESKD in the intermediate- and high-utilizer groups compared with the low-utilizer group, respectively. There was a 1.47- and 2.91-fold increased risk for ESKD-censored death in the intermediate- and high-utilizer groups compared with the low-utilizer group, respectively (Supplementary Table S2).

There were 3497 participants with 3387 hospitalizations eligible for creation of the hospitalization trajectory groups because they did not progress to ESKD and survived to their year 2 visit (Supplementary Figure S5). After multivariable adjustment, there was a 1.33- and 2.29-fold increased risk for progression to ESKD in the intermediate- and high-utilizer groups compared with the low-utilizer group, respectively. There was a 1.44- and 4.04-fold increased risk for

**Table 1.** Year 4 characteristics of hospitalization trajectory groups (*N* = 3012)

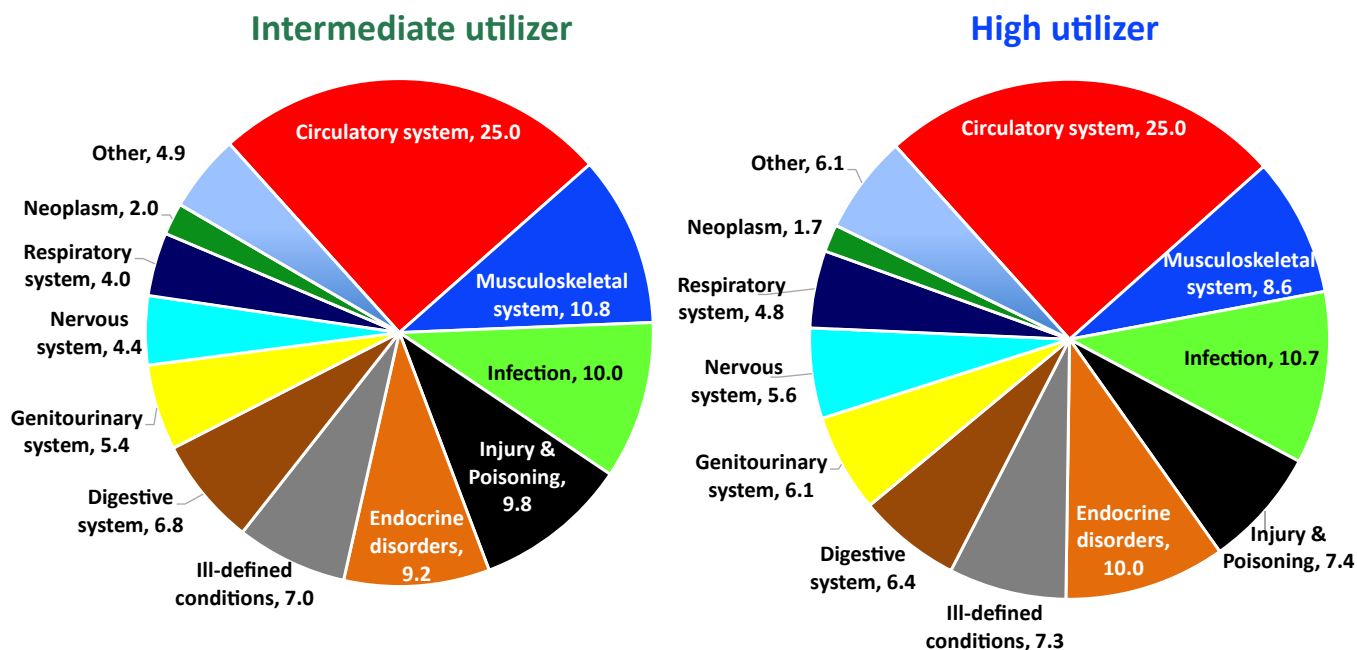
Characteristics	Low-utilizer ( <i>n</i> = 1066)	Intermediate-utilizer ( <i>n</i> = 1802)	High-utilizer ( <i>n</i> = 144)	<i>P</i>
Demographics and clinical				
Age, yr, mean ± SD	60.2 ± 11.3	62.9 ± 10.5	60.9 ± 10.3	<0.001
Female	468 (43.9)	873 (48.5)	73 (50.7)	0.04
Race				
Black	365 (34.2)	761 (42.2)	79 (54.9)	<0.001
Hispanic	133 (12.5)	170 (9.4)	15 (10.4)	0.04
Household income				
≤\$20,000	227 (21.3)	512 (28.4)	64 (44.4)	<0.001
\$20,001–\$50,000	247 (23.1)	497 (27.6)	34 (23.9)	
\$50,001–\$100,000	242 (22.7)	346 (19.2)	15 (10.1)	
>\$100,000	172 (16.1)	182 (10.1)	8 (5.3)	
No answer	179 (16.8)	265 (14.7)	23 (16.3)	
Education level				
<High school	165 (15.4)	334 (18.5)	32 (21.9)	<0.001
High school graduate	164 (15.4)	348 (19.3)	30 (20.7)	
Some college	271 (25.4)	551 (30.6)	53 (36.8)	
≥College graduate	466 (43.8)	569 (31.6)	30 (20.6)	
Health insurance				
Yes	873 (81.9)	1572 (87.3)	120 (83.3)	0.14
No	193 (18.1)	228 (12.7)	24 (16.7)	
Health insurance groups				
None	154 (14.4)	191 (10.6)	20 (13.6)	<0.001
Medicaid/public aid	115 (10.8)	284 (15.7)	42 (29.2)	
Medicare	256 (24.0)	623 (34.6)	46 (31.8)	
VA/military/CHAMPVA	46 (4.4)	97 (5.4)	10 (7.2)	
Private/commercial	221 (20.8)	257 (14.3)	15 (10.4)	
Unknown/incomplete	274 (25.7)	350 (19.4)	11 (7.8)	
Current smoking				
Yes	99 (9.3)	178 (9.9)	14 (9.6)	1.0
Body mass index, kg/m <sup>2</sup> , mean ± SD				
	31.2 ± 7.5	32.5 ± 7.9	34.1 ± 8.2	<0.001
Systolic blood pressure, mmHg, mean ± SD				
	125.3 ± 19.6	128.4 ± 21.4	126.7 ± 20.0	0.005
Comorbid conditions				
Diabetes mellitus	430 (40.4)	968 (53.7)	88 (61.1)	<0.001
Any cardiovascular disease	256 (24.0)	832 (46.2)	87 (60.3)	<0.001
Medications				
ACE inhibitors or ARB	696 (65.3)	1191 (66.1)	83 (57.4)	0.71
Antiplatelet drugs	510 (47.8)	975 (54.1)	87 (60.1)	0.04
β-blockers	441 (41.4)	1007 (55.9)	99 (69.0)	<0.001
Statins	630 (59.1)	1147 (63.7)	87 (60.1)	0.33
Laboratory data				
eGFR, ml/min/1.73m <sup>2</sup> , mean ± SD	44.9 ± 16.4	41.8 ± 17.1	39.4 ± 17.3	<0.001
Proteinuria, g/g, median (IQR)	0.33 (0.08–1.54)	0.43 (0.10–1.61)	0.85 (0.15–2.19)	0.02
Serum albumin, g/dl, mean ± SD	4.0 ± 0.4	3.9 ± 0.4	3.8 ± 0.4	<0.001
Hemoglobin, g/dl, mean ± SD	13.0 ± 1.7	12.5 ± 1.8	12.1 ± 1.6	<0.001
Hospitalization data through year 4 visit				
No. of hospitalizations, mean ± SD	0	2.2 ± 1.5	6.3 ± 4.2	<0.001
Length of hospital stay, d, median (IQR)	0	0.5 (0.0–2.0)	1.6 (0.7–3.3)	<0.001
No. of readmissions within 30 d	0	542 (12.9)	452 (31.4)	<0.001

ACE, angiotensin-converting enzyme; ARB, angiotensin II receptor blocker; CHAMPVA, Civilian Health and Medical Program of the Department of Veterans Affairs; eGFR, estimated glomerular filtration rate; IQR, interquartile range; SD, standard deviation. Unless otherwise noted, values are presented as *n* (%).

ESKD-censored death in the intermediate- and high-utilizer groups compared with the low-utilizer group, respectively (Supplementary Table S3).

To account for baseline hospitalization status, we adjusted for hospitalization from the baseline to year 1 visit, which did not qualitatively change the primary results (Supplementary Table S4).

There were 3775 participants who did not progress to ESKD and survived to their year 1 visit with 1827 hospitalizations. There was a nominally higher risk for ESKD in individuals who had 1 or >1 hospitalization compared with individuals who had no hospitalizations, which was no longer statistically significant after multivariable adjustment. Individuals who had 1 or >1 hospitalization had a 1.24-fold and 1.81-fold higher risk



**Figure 3.** Primary causes of hospitalization. The top 5 causes of hospitalization were for complaints related to the circulatory system, infection, endocrine disorders, musculoskeletal system, or injury and poisoning for both intermediate- and high-utilizers.

for ESKD-censored death compared with individuals who had no hospitalizations, respectively (Supplementary Table S5).

**DISCUSSION**

In this prospective study of more than 3000 participants with CKD stages 2 to 4, we identified distinct subgroups of hospital utilization in individuals with CKD based on their trajectories of cumulative all-cause hospitalization over 4 years. We found that participants with increased hospital utilization represented a patient population of lower socioeconomic status who had a greater prevalence of comorbid conditions, higher proteinuria, and lower kidney function compared with participants with less hospital utilization. High-utilizers were hospitalized more often than

intermediate-utilizers despite similar primary causes for hospitalization. After multivariable adjustment, intermediate- and high-utilizers had significantly higher risks of subsequent ESKD and death compared with low-utilizers. Our findings suggest that cumulative all-cause hospitalization trajectories are able to identify subgroups of individuals with CKD who are at high risk of ESKD and death.

Population-level data reported that hospitalization rates are slowly declining over time among individuals with CKD.<sup>6</sup> We found stable hospitalization incidence densities among all participants with CKD stages 2 to 4. However, consistent with prior reports, among the extreme phenotypes of CRIC Study participants who progressed to ESKD or died during follow-up, hospital utilization increased in the years prior to ESKD and death.<sup>3,6,13</sup> These findings provide evidence that there

**Table 2.** Risks of ESKD and death by hospitalization trajectory (N = 3012)

Trajectory Groups	n	No. of Events	Events per 1000 person-years	Model 1, HR (95% CI)	Model 2, HR (95% CI)	Model 3, HR (95% CI)	Model 4, HR (95% CI)
<b>ESKD</b>							
Low-utilizer	1066	137	23.7	Reference	Reference	Reference	Reference
Intermediate-utilizer	1802	368	41.4	1.73 (1.42–2.11)	1.74 (1.42–2.13)	1.59 (1.30–1.95)	1.49 (1.22–1.84)
High-utilizer	144	39	64.8	2.64 (1.85–3.77)	2.34 (1.63–3.37)	2.05 (1.42–2.97)	1.75 (1.20–2.56)
<b>ESKD-censored death</b>							
Low-utilizer	1066	100	17.3	Reference	Reference	Reference	Reference
Intermediate-utilizer	1802	299	33.6	1.95 (1.56–2.45)	1.64 (1.30–2.07)	1.51 (1.19–1.91)	1.48 (1.17–1.87)
High-utilizer	144	38	63.2	3.69 (2.54–5.36)	3.29 (2.24–4.83)	2.82 (1.90–4.19)	2.58 (1.74–3.83)

CI, confidence interval; HR, hazards ratio.

Model 1 is unadjusted.

Model 2 is stratified by center and adjusts for age, sex, race, ethnicity, income level, education level, and health insurance.

Model 3 is Model 2 with further adjustment for systolic blood pressure, body mass index, smoking status, diabetes mellitus, any cardiovascular disease, angiotensin-converting enzyme inhibitor or angiotensin II receptor blocker, beta-blockers, statins, and antiplatelet drugs.

Model 4 is Model 3 with further adjustment for hemoglobin, serum albumin, natural log transformed proteinuria, and eGFR.



are heterogeneous patterns of hospital utilization among individuals with CKD, which could be missed when limiting analyses to the population level. Our analytic approach, which deployed group-based trajectory modeling,<sup>23</sup> allowed us to identify 3 subgroups of participants who had significantly different hospital utilization patterns over time. Although the low- ( $n = 1066$ ) and intermediate-utilizer groups ( $n = 1802$ ) represented 95% of the study cohort, a smaller high-utilizer group ( $n = 144$ ) was responsible for more than 25% of the hospitalizations in the first 4 years of the study. Collectively, our results suggest that trajectories of cumulative all-cause hospitalization identify high-risk individuals with CKD who have rapidly declining health, as suggested by their need for increased health care resource utilization.

Prior data identified older age, female sex, black race, multiple comorbid conditions, and worse kidney function as risk factors for hospitalization among individuals with CKD.<sup>3,6,26–29</sup> Our results confirm the findings from prior studies as our study participants who had increasing hospital utilization over time had lower socioeconomic status, a greater prevalence of comorbid conditions, higher proteinuria, and lower eGFR. Our data suggest that compared with other subgroups, the high-utilizer phenotype possesses more medical and social complexities, which along with declining health status may lead to more hospitalizations over time.

A number of studies have suggested that the primary causes for hospitalization among individuals with CKD are related to cardiovascular or infectious diseases.<sup>3,13,30</sup> Similar to the prior published data, our study participants were most likely to be hospitalized for circulatory system or infectious reasons. Interestingly, we found similar primary causes for hospitalization across trajectory groups. However, compared with intermediate-utilizers, high-utilizers had slightly longer lengths of stay and a higher likelihood of rehospitalization within 30 days, which may be attributable to the combination of increased medical complexity and low socioeconomic status that we observed in the high-utilizer group. We speculate that social disadvantage of the high-utilizer group may have limited access to high-quality outpatient preventive and postdischarge follow-up care.<sup>31</sup> Certain comorbid conditions, such as anemia, may lead to hospitalizations if not well managed, but strategies to effectively manage anemia may reduce hospitalizations in individuals with advanced CKD.<sup>32</sup> Our findings warrant additional research to investigate whether specific primary causes of hospitalization that are representative of ambulatory care-sensitive conditions<sup>22,33,34</sup> may be preventable by interventions such as telehealth

monitoring,<sup>35,36</sup> home hospital services,<sup>15,37,38</sup> or enhanced ambulatory care.<sup>11,16,17</sup>

Although it has been long understood that CKD increases the risks for hospitalization,<sup>3,6,13,14,26,27,29</sup> most studies analyze time to first hospitalization and do not fully capture the global burden of hospitalizations. Few studies have evaluated the association of hospital utilization over time with adverse clinical outcomes in individuals with CKD. One study found that higher numbers of predialysis hospitalizations increased the risk for 1-year mortality,<sup>30</sup> and another found that higher numbers of hospitalizations for heart failure had a graded association with CKD progression and death in individuals with CKD stages 2 to 4.<sup>39</sup> We found that intermediate and high all-cause hospital utilization provided a stepwise increase in the risks of subsequent ESKD and death independent of known risk factors including proteinuria and kidney function. The magnitude of association between high hospital utilization and subsequent ESKD and death remained robust in our sensitivity analyses, where we adjusted for baseline hospitalization status, excluded short-stay hospitalizations ( $\leq 1$  day), introduced a lag time period, or used less time to form trajectory groups. Our results support the associations found in prior studies that focus on cause-specific hospitalizations and expand the evidence base for the impact of the global burden of hospitalizations over time on the health of individuals with CKD. If our findings are confirmed, all-cause hospitalizations could help enrich clinical trial populations to identify high-risk individuals with CKD or be considered a surrogate outcome in future studies of individuals with CKD.

Strengths of this study include use of a large and well-characterized cohort of individuals with CKD, incorporation of analytic methods that are able to subphenotype individuals based on hospitalization trajectories over time, and detailed covariate data that allowed for comprehensive multivariable adjustment. This study has limitations. Our finding of lower hospital utilization in the year preceding ESKD or death may have been due to the low number of participants and the resulting unstable estimates of hospitalization incidence densities. Because our study design required participants to survive 4 years without development of ESKD, our study population was not identical to the entire CRIC Study population. We cannot account for severity of illness during hospitalizations, which could lead to worsening health over time. Although the CRIC Study was able to capture  $>90\%$  of hospitalizations, it was possible that some hospitalizations were missed. Although we required 4 years of hospitalization data to generate trajectories that may not always be available to treating providers, our results were similar when

using 2 years of hospitalization data, which more robustly informed risks of adverse outcomes than 1 year of hospitalization data. We adjusted for socioeconomic and insurance status to account for the intersection of health care access and delivery, but we were unable to determine whether repeated hospitalizations over time were due to issues surrounding health care access or quality.

In conclusion, our study demonstrates that cumulative all-cause hospitalization trajectories identify subgroups of individuals with CKD at high risk of subsequent ESKD and death independent of known risk factors. Although increasing hospitalizations over time may capture inadequacies of the health care system, they may represent another available, but potentially overlooked, severity of illness marker that could be incorporated into a patient's electronic medical record for review by the treating physician. Changing the trajectory of a patient's health is difficult even with enhanced care,<sup>40</sup> but our study provides empirical evidence for the associations of increasing hospital utilization over time with adverse outcomes and suggests the need for design and evaluation of innovative care delivery models to reduce the burden of hospital utilization among individuals with CKD.

## APPENDIX

### List of CRIC Study Investigators

Lawrence J. Appel, Harold I. Feldman, Alan S. Go, Jiang He, Robert G. Nelson, Mahboob Rahman, Panduranga S. Rao, Vallabh O. Shah, Raymond R. Townsend, and Mark L. Unruh.

## DISCLOSURE

AS received honoraria from Horizon Therapeutics PLC and AstraZeneca, and consulting fees from CVS Caremark. RM has interest in Abbot Laboratories, AbbVie Inc, and Teva Pharmaceuticals Industries Ltd and has received honoraria from Akebia/Otsuka. TI received grant support from Shire, honoraria from Bayer and Eli Lilly, and consulting fees from Kyowa Kirin and LifeSci Capital LLC. All the other authors declared no competing interests.

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## AUTHOR CONTRIBUTIONS

Concept and design: AS, JPL, TI; acquisition, analysis or interpretation of data: AS, XC, RM, JPL, TI; drafting of manuscript: AS, TI; critical revision of the manuscript for important intellectual content: all authors; statistical analysis: XC, AS, JL, JYH, TI; obtained funding: TI; administrative, technical, or material support: AS, XC, JPL, TI; supervision: AS, TI.

## SUPPLEMENTARY MATERIAL

[Supplementary File \(PDF\)](#)

**Figure S1.** Flowchart describing participants included to characterize longitudinal evolution of hospital use.

**Figure S2.** Flowchart describing study cohort to derive hospitalization trajectories.

**Figure S3.** Posterior probability of membership to each hospitalization trajectory group.

**Figure S4.** Cumulative all-cause hospitalization trajectories for hospitalizations with length of stay greater than 1 day.

**Figure S5.** Cumulative all-cause hospitalization trajectories through the year 2 visit.

**Table S1.** Risks of ESKD and death by hospitalization trajectory for hospitalization with length of stay greater than 1 day.

**Table S2.** Risks of ESKD and death by hospitalization trajectory after introduction of 1-year lag period.

**Table S3.** Risks of ESKD and death by hospitalization trajectory formed through the year 2 visit.

**Table S4.** Risks of ESKD and death by hospitalization trajectory after adjustment for baseline hospitalization status.

**Table S5.** Risks of ESKD and death by number of hospitalizations in the first year of the CRIC Study.

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