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### Title

An integrated approach toward sustainability via groundwater banking in the southern Central Valley, California

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2 **the southern Central Valley, California**  
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24

25 **Abstract**

26 Intensive groundwater withdrawals in California have resulted in depletion of streams and  
27 aquifers in some regions. Agricultural managed aquifer recharge (Ag-MAR) initiatives have  
28 recently been piloted in California to mitigate the effects of unsustainable groundwater  
29 withdrawals. These initiatives rely on capturing wet-year water and spreading it on large areas  
30 of irrigated agricultural lands to enhance recharge to aquifers. While recharge studies typically  
31 consider local effects on aquifer storage, few studies have investigated Ag-MAR benefits and  
32 challenges at a regional-scale. Here we used the Integrated Water Flow Model (IWFM), to  
33 evaluate how Ag-MAR projects can affect stream flows, diversions, pumping, and unsaturated  
34 zone flows in the southern Central Valley, California. We further tested the sensitivity of three  
35 different spatial patterns of Ag-MAR, each chosen based on different thresholds of soil  
36 suitability, on the hydrologic system. This study investigates how the distribution of Ag-MAR  
37 lands benefit the regional groundwater system and other water balance components. The  
38 results suggest that Ag-MAR benefits vary as a function of the location of Ag-MAR lands.  
39 Stream-aquifer interactions play a crucial factor in determining the ability to increase  
40 groundwater storage in over-drafted basins. The results also indicate that Ag-MAR projects  
41 conducted during the November-April recharge season have implications for water rights  
42 outside of the Ag-MAR season. If not properly monitored, Ag-MAR can cause a rise of  
43 groundwater table into the root zone, negatively impacting sensitive crops. Our work also  
44 highlights the benefits of using an integrated hydrologic and management model to evaluate  
45 Ag-MAR at a regional scale.

46

47 **Key words:**

48 Aquifer recharge, IWFM, C2VSim , SGMA, water budget, spatial pattern, Central Valley

49

50 **Key points**

- 51 • How regional Ag-MAR projects can influence stream flows and surface diversions are  
52 demonstrated using an integrated - management model
- 53 • The spatial distribution of agricultural lands for recharge is key to enhance groundwater  
54 storage
- 55 • Regional Ag-MAR projects may affect downstream water rights as well as increasing the  
56 risk of water logging in the root zone

## 57 **1. Introduction**

58 Advancing technology, climate change, and population growth, have lead to an increase in  
59 water demand and put the Earth's available surface and subsurface water resources under  
60 unprecedented pressure (Cosgrove and Loucks, 2015; Evans and Sadler, 2008; Gorelick and  
61 Zheng, 2015). To mitigate these pressure on water resources, policy makers have attempted to  
62 enhance the supply by developing water resources, with a focus on groundwater resources  
63 (Niswonger et al., 2017). Groundwater resources are widespread, less vulnerable to quality  
64 degradation and droughts, and are often less regulated than surface water resources. Over the  
65 past decades, groundwater has become an increasingly important source for water supply and  
66 currently is used in approximately 40% of the area equipped for irrigation globally (Siebert et  
67 al., 2010). This percentage is higher in lands with Mediterranean, semiarid to arid climates  
68 such as regions in the western and central U.S., North Africa, the Middle East, southern  
69 Europe, and northwestern India, where there is a time lag between surface water availability  
70 (November to April) and irrigation demand (April to October). The Central Valley of  
71 California is an example where groundwater consumption has been estimated to be annually  
72 around 60% of the total water storage changes (snow water equivalent, surface water, soil  
73 moisture and groundwater) in the basin (Famiglietti et al., 2011). Intensive groundwater  
74 withdrawals in the valley have contributed to depletion of streams (Fleckenstein et al., 2004),  
75 subsidence and irreversibly reducing storage (Farr and Liu, 2015; Faunt et al., 2016), drying  
76 up of wells and increased cost of pumping (Nelson et al., 2016), and disconnection of stream-  
77 aquifer systems (Bolger et al., 2011; Dogrul et al., 2016), among others. All these studies  
78 emphasize that the groundwater resources are under high pressure, their sustainability is at  
79 risk and therefore they need to be replenished as soon as possible.

80 Managed aquifer recharge (MAR) is a cross-cutting technology (Sprenger et al., 2017) and an  
81 increasingly common approach to improving groundwater resources. MAR is defined herein  
82 as diverting, conveying, recharging and storing surplus surface water in wet periods and  
83 storing in the aquifer for extraction and use during dry periods. MAR can be accomplished  
84 through a variety of approaches such as using storm water via dry wells to recharge aquifers  
85 (Edwards et al., 2016), aquifer storage and recovery (ASR) (Ebrahim et al., 2016; Hanson et  
86 al., 2014), infiltration basins (Teatini et al., 2015), and flooding lands (Scherberg et al., 2014).  
87 Dry wells and ASR require less land, but require more design expertise, can be technically  
88 demanding to design, and may have high energy, construction, and maintenance requirements  
89 for the conveyance and pumping systems (Bouwer, 2002). Infiltration basins require less

90 engineering and operating costs, but may not be able to accommodate the substantial amounts  
91 of surface water during storm and flood events. When sufficiently large areas of land are  
92 available, the flooding approach lacks the drawbacks of the other techniques. It provides a  
93 potentially wide range of additional opportunities for MAR such as transferring water from  
94 ephemeral rivers into aquifers during storm events and at times when storage in surface water  
95 reservoirs exceeds capacity (e.g., end of spring, early summer) or when reservoir storage is  
96 released because of flood control measures (e.g., during and after heavy rainfalls). Flooding  
97 has proven to be beneficial in arid regions with wet seasons that are not far from mountain  
98 ranges (Hashemi et al., 2015; Pakparvar et al., 2018). California Department of Water  
99 Resources (DWR) has recently started a Flood-MAR initiative, focusing on the use of flood  
100 water on aquifer recharge and sustainable use of water resources (CADWR, 2018).

101 While numerous studies exist regarding the delineation of suitable lands for flood MAR  
102 projects (Mahdavi et al., 2013; Mahmoud et al., 2014; Nohegar et al., 2016; Russo et al.,  
103 2015), the majority of those studies were performed within a GIS framework and are based on  
104 the analysis of the surface land properties, such as land use, slope, and soil permeability. The  
105 scale of the geographic data that are used in GIS-based studies may not provide much  
106 information for the scale of flood MAR projects (Niswonger et al., 2017). One controlling  
107 MAR success factor, which is missing in GIS-based studies, is the lack of hydrogeologic data.  
108 Such data is important since any impeding layer that does not let the infiltrating water reach  
109 the water table or the existence of a thin aquifer/shallow groundwater that does not allow a  
110 considerable amount of the diverted water to be stored can lead to the failure of MAR  
111 projects. Two key factors that need to be considered for the proper design of flood MAR  
112 projects are; 1) the existence of infrastructure to convey the diverted stream flows to  
113 participating lands, 2) suitability and accessibility of the lands required for aquifer recharge  
114 projects. A promising approach that will address both is to practice MAR on irrigated  
115 agricultural lands, where recharge occurs naturally (Dahlke et al., 2018; Niswonger et al.,  
116 2017; Scanlon et al., 2007; Scanlon et al., 2016; Van Roosmalen et al., 2009) and the  
117 infrastructure for irrigating already exists. This approach, herein referred to as Agricultural  
118 managed aquifer recharge (Ag-MAR), focuses on utilizing lands that can be easily accessed  
119 via existing infrastructure, such as irrigation canals and irrigation systems.

120 Ag-MAR is here defined as the application of relatively low rates [L/T] of recharge over large  
121 areas, in contrast to traditional MAR aimed at achieving high recharge rates [L/T] at dedicated  
122 local recharge sites. Ag-MAR relies on the flexible management of surface and subsurface

123 flow systems simultaneously to avoid undesirable effects (Karamouz et al., 2004; Marques et  
124 al., 2010; Petheram et al., 2008); however, the concept of off-season Ag-MAR is a new  
125 concept designed to increase the sustainable yield in over-drafting regions. Scherberg et al.  
126 (2014) applied the concept of Ag-MAR to the Walla Walla Basin, in Eastern Oregon, USA.  
127 Daily simulations over a three-year period were used to evaluate the effectiveness of Ag-  
128 MAR in restoring the groundwater levels, and sustaining the minimum river flow. Bachand et  
129 al. (2014) studied the effects of diverting water from Kings River in California to nearby  
130 farmlands on groundwater quality (nitrate and salinity). Their study results showed that while  
131 the root zone water quality constituents such as salts and nitrates migrated into deeper layers,  
132 electrical conductivity levels in the root zone decreased and therefore plant stress decreased.  
133 Using a simple conceptual model, they predicted that groundwater salinity concentrations  
134 would improve over time, as high quality surface water would improve groundwater quality  
135 throughout the Kings Basin. Niswonger et al. (2017) applied the Ag-MAR concept to a  
136 hypothetical agricultural sub-basin and developed a modeling methodology to simulate the  
137 benefits of Ag-MAR. They concluded that crop consumptive use and natural vegetation water  
138 consumption increased by up to 12% and 30%, respectively, due to the rise of the water table  
139 above well screens. These studies demonstrate that the concept can benefit a hydrologic  
140 system in multiple ways, thus, there is a need to put the Ag-MAR concept into an integrated  
141 modeling framework that considers all components of a hydrologic system, as well as their  
142 interactions. At present, to the best of our knowledge, there is no study to address the long-  
143 term pros and cons of Ag-MAR at regional (county, catchment) scale rather than the site or  
144 farm scale.

145 Our study attempts to provide insights into the long-term, regional benefits of Ag-MAR in a  
146 groundwater over-drafted region in a southeast portion of the Central Valley, California. We  
147 use an integrated hydrologic model (Brush et al., 2013) to simulate the benefits of Ag-MAR  
148 over the course of 88 years (1921 to 2009). The integrated model enables us to discuss the  
149 probable risks of Ag-MAR to agriculture. In addition, we investigate the impact of three  
150 different spatial patterns of Ag-MAR, each chosen based on different thresholds of soil  
151 suitability. We attempted to answer the question, “How does the distribution of Ag-MAR  
152 benefit the groundwater system as well as the change in stream flows, diversions, pumping,  
153 and unsaturated zone flows?”

154 **2. Study Area**

155 The study area is located in the Central Valley, California. The valley has a highly variable  
156 month-to-month and year-to-year climate; however, generally the climate in the Central  
157 Valley is characterized by wet winters and dry summers. The average annual precipitation in  
158 the valley from 1921 to 2009 is 189 mm, which is far less than the average annual potential  
159 evapotranspiration (i.e., 984 mm) for the same period. Most rainfall occurs from November  
160 through April, while evapotranspiration occurs mainly from April through October. The  
161 distribution of precipitation varies dramatically across the valley, with about 70% of the  
162 precipitation falling in the northern part of the valley. Variability in the frequency, intensity,  
163 and type of precipitation produces large fluctuations in available water resources.  
164 Furthermore, climate change is leading to early snowpack melting, which limits the water  
165 from snowmelt available at the time of peak crop growth during late spring and early summer  
166 months (Dettinger and Cayan, 1995; Pagan et al., 2016). The population in the valley has had  
167 a fast-paced growth since 1920, reached nearly eight million people in 2010, and is projected  
168 to grow to more than 11 million by 2050 (Brush et al. 2013). The inequality in the spatial and  
169 temporal distribution of precipitation, and unconstrained access to groundwater in the valley  
170 have led to groundwater overdraft in the valley. This overdraft is posing a threat to the  
171 agricultural economy of the U.S. since market value of agricultural products grown in the  
172 Central Valley contributed up to 7% to the nation's \$300 billion in agricultural revenue in  
173 2007 (Scanlon et al., 2012).

174 **Figure 1 Schematic of the study area (subregion 18) with the neighboring subregions (15, 17, 19,**  
175 **20) in the southern Central Valley in California (scaleless)(a), and conceptual model of the study**  
176 **area (b)**

177

178 The Central Valley (Figure 1) is a flat alluvial basin, which is bounded by the Sierra Nevada  
179 in the east, the Cascade Range and Klamath mountains in the north, the Coast Range and San  
180 Francisco Bay in the west, and the Tehachapi mountains in the south. The valley covers an  
181 area of roughly 51,000 km<sup>2</sup> with an approximate length of 640 km and varying width of 30 to  
182 110 km. The Central Valley aquifer is mainly formed of unconsolidated sediments, such as  
183 alluvial fans, stream channel deposits, and flood plain deposits produced during the formation  
184 and retreat of the glaciers in surrounding mountains. The aquifer system is composed of  
185 interbedded sand, silt, and clay layers with some horizontally extensive lenses of clays sloped  
186 toward the center of the valley. It is noteworthy that aquifer sediments in the west of Central



187 Valley are oceanic and finer-grained whereas the sediments in the east are more granitic and  
188 volcanic.

189 The California Department of Water Resources (DWR) has divided the Central Valley into 21  
190 computational units (subregions) to resolve the water demand and supply relations and report  
191 the water budget (Supplementary materials, Fig 1S). The focus of this study is subregion 18  
192 (Figure 1a). Figure 1b shows the conceptual hydrogeologic model of the study area where the  
193 region has been divided into three aquifer layers vertically with a maximum thickness of 246  
194 m, 316 m and 710 m, respectively, from top to bottom. Layer one is unconfined, while layers  
195 two and three are assumed to be confined. Additionally, a clay layer named Corcoran clay  
196 with a maximum thickness of 35 m, exists between the first and second layer. The Corcoran  
197 layer exists mainly on the western side of the study area and does not extend to the eastern  
198 boundary (Supplementary materials, Fig 2S). Subregion 18 is intensively farmed and the  
199 dominant land use is irrigated agriculture. The average annual potential evapotranspiration in  
200 this subregion for the 1921 to 2009 period is 807 mm while the average annual precipitation  
201 for the same period is 231 mm. Therefore, the region relies on groundwater and diversions  
202 from the rivers in the region to meet agricultural demands.

### 203 **3. Methods**

#### 204 **3.1. Flooding agricultural lands**

205 There are four main rivers flowing through subregion 18, emanating from the Sierra Nevada  
206 to the east (Figure 2a). The location of the major diversion points on these rivers are shown in  
207 the figure as well. The diversion points are named after the stream node numbers in the  
208 simulation model (Brush et al., 2013; see section 3.2.2). It is worth noting that the diversions  
209 are not used solely for irrigation purposes, but also for recharging the aquifer when excess  
210 water is available. Availability of stream water for Ag-MAR projects is the single largest  
211 control on the amount of the annual recharge volume, highlighting the importance of a  
212 comprehensive assessment of available surface water resources. The amount of water diverted  
213 for recharge cannot violate environmental requirements or water rights along the rivers. The  
214 time series of diversion water for Ag-MAR in this study has been determined by statistical  
215 analysis of streamflow, as described in Kocis and Dahlke (2017), measured at the most  
216 upstream node of the Kaweah River, using a composite of USGS gauges (11210500,  
217 11209900, 11210100, 11211300) and inflow data to Terminus Dam to create a time series

218 from 1921 to 2009. This time series represents the water available for recharge at diversion  
 219 point 514 with an exceedance probability of 95%. The Ag-MAR water, diverted during wet  
 220 years between November and April in 1921 to 2009 period, amounts to 2,089 million cubic  
 221 meter (MCM) in total. It was assumed in this study that 95% of the diverted water can reach  
 222 the water table and the remaining is lost either on the way to the recharge area (seeping from  
 223 the canals) or is evapotranspired. The November-April time window was chosen for Ag-  
 224 MAR because in California most precipitation falls between November and April when  
 225 agricultural water demand is at a minimum and hence excess water for Ag-MAR is available.  
 226 Table 1 shows the monthly distribution of the total flow diverted for Ag-MAR as well as the  
 227 number of months that the targeted diversions occurred during the 88 year (1,056 month)  
 228 simulation period.

229 **Table 1 The distribution of the targeted diverted flow for Ag-MAR at the diversion 514**

	Nov	Dec	Jan	Feb	Mar	Apr	Total
Percentage of total diverted flow for Ag-MAR	5	23	28	22	11	11	100
Number of months (within 88 year simulation)	7	12	23	29	27	25	123

230

231 To identify the location and spatial extent of the Ag-MAR projects, we used an index  
 232 developed by O'Geen et al. (2015). They developed the Soil Agricultural Groundwater  
 233 Banking Index (SAGBI) to show the suitability of agricultural lands in California for aquifer  
 234 recharge projects. They analyzed five factors in a fuzzy logic and GIS framework to delineate  
 235 the ideal locations for aquifer recharge. The factors they used were: deep percolation rate  
 236 (represented by the lowest saturated hydraulic conductivity of the soil profile), root zone  
 237 residence time (harmonic mean of the saturated hydraulic conductivity within all horizons of  
 238 the soil profile in addition to the soil drainage class), topography (surface slope), chemical  
 239 limitation (depth-weighted average of electrical conductivity), and surface condition  
 240 (erodibility factor and sodium adsorption ratio). The index ranks soils on a six-class scale  
 241 ranging from very poor to excellent. In this study, we considered only soils ranked as either  
 242 excellent, good, or moderately good as Ag-MAR lands. Using these three classes we defined  
 243 three Ag-MAR land scenarios, where A designates excellent soil suitability, B designates soils  
 244 with excellent and good soil suitability, and C designates soils with excellent, good, and  
 245 moderately good soil suitability for recharge. These land scenarios result in different areas and  
 246 spatial distributions of the agricultural lands available for recharge. A has the most diffuse and  
 247 patchy distribution pattern with an area of 313.3 km<sup>2</sup>, whereas B covers an area of 685.4 km<sup>2</sup>,

248 and scenario C covers the largest area, 1,022.8 km<sup>2</sup> (Figure 2b). We note that the model cells  
249 in each scenario receive the same volume of water, independent of the cell area.

250 **Figure 2 Diversion points for irrigation and/or aquifer recharge in subregion 18 (a), schematic of**  
251 **Ag-MAR land distribution scenarios A (Excellent), B (Excellent + Good) and C (Excellent +**  
252 **Good + Moderately good), based on SAGBI (Soil Agricultural Groundwater Banking Index) (b)**

### 253 **3.2 Modeling water flow in the Central Valley**

#### 254 **3.2.1 IWFM**

255 IWFM (Integrated Water Flow Model) has been developed, enhanced, and maintained by  
256 DWR since the early 2000s. Over the years, several major versions of IWFM have emerged,  
257 each version introducing more simulation features to address more complex hydrologic and  
258 water resources management conditions. In this study, IWFM version 3.02 was used  
259 (CADWR, 2013a, b).

260 IWFM is a fully integrated surface and subsurface flow model. IWFM simulates the  
261 hydrologic cycle, including simulation of stream flows, lake storage, land surface and root  
262 zone flow processes, vadose zone, and saturated groundwater flows (Figure 3). In addition to  
263 hydrologic flows, IWFM can calculate the agricultural and urban water demands, links these  
264 water demands to water supplies to quantify groundwater pumping and stream diversions, and  
265 optionally, adjust these water supplies to meet calculated water demands. These features allow  
266 users to dynamically calculate the stresses on the hydrologic system due to human activities  
267 within a basin. For this reason, IWFM is both a descriptive model (given the stresses on the  
268 hydrologic system, it simulates where and how fast the water flows within the basin) and a  
269 prescriptive model (given the parameters related to agricultural and urban development, it  
270 simulates the hydrologic stresses within the basin). The combination of these two modes of  
271 IWFM provides a powerful tool to simulate a wide variety of water management scenarios  
272 under future climate as well as agricultural and urban development conditions.

#### 273 **Figure 3 Hydrologic processes simulated by IWFM (from: IWFM manual)**

274 Precipitation and land-use based evapotranspiration rates are user-defined time series input  
275 data for IWFM. Rainfall runoff is simulated using the curve number method developed by the  
276 USDA Natural Resource Conservation Service (USDA, 1972). The calculated runoff  
277 contributes to streams or lakes at user-specified locations. Remaining precipitation infiltrates  
278 into the root zone, contributing to the soil moisture storage in the root zone. The moisture in  
279 the root zone is routed vertically using a simplified, one-dimensional conservation equation

280 (CADWR 2013a), after accounting for precipitation, applied water, evapotranspiration, and  
281 deep percolation.

282 For saturated groundwater flow, IWFEM solves the three-dimensional conservation equation  
283 using the Galerkin finite element method. Horizontal and vertical groundwater flows in  
284 complex, multi-layered aquifer systems for both confined and unconfined as well as the  
285 transition from confined to unconfined conditions, or vice versa, can be simulated. Effects of  
286 pumping, artificial recharge, tile drains and subsidence can all be simulated.

287 Stream networks in IWFEM are represented through a set of stream nodes that are connected to  
288 each other through stream segments. Each stream node is associated with an underlying  
289 groundwater node. IWFEM version 3.02 simulates stream flows through the stream network  
290 using the assumption of instantaneous flow, meaning that the change in storage is negligible  
291 for a given time step within the stream network. In other words, the flow that enters the  
292 stream network at its most upstream node travels instantaneously through the network in that  
293 time step and flows out at the most downstream node. The length of the simulation time step  
294 is chosen in a way that exceeds the characteristic length of travel times of the flow within the  
295 modeled stream network. The inflows at a given stream node are the rainfall runoff,  
296 agricultural and urban return flows, and the flows from upstream nodes. The outflows at a  
297 given stream node could be the diversions to meet the agricultural and urban water demands.  
298 Stream-aquifer interaction at each stream node is calculated as a Cauchy-type boundary  
299 condition, which is a function of the stream bed conductance and the vertical head gradient  
300 between the groundwater and the stream surface elevation.

301 Lakes and large open water bodies and their interaction with surface and subsurface flows  
302 within a basin can also be simulated in IWFEM. Streams can flow into lakes and lake outflow  
303 can flow into the stream network. Changes in lake storages are simulated as a function of  
304 precipitation over the lake, surface evaporation, inflows from streams, rainfall runoff, and  
305 agricultural and urban return flows into the lake, lake-aquifer interaction, and the spills from  
306 the lake. Lake-aquifer interaction is simulated as a Cauchy-type boundary condition, which is  
307 a function of lake bed conductance and the vertical head gradient between the lake elevation  
308 and the groundwater.

309 Land surface and root zone flow processes as well as the stresses created on the hydrologic  
310 system due to agricultural and urban activities depend on several factors including climate,  
311 agricultural crop types and areas, soil types the crops are planted on, farm water management

312 parameters, urban population and per capita water use, and distribution of urban water use  
313 between urban indoors and outdoors. Urban water demand is a user input time-series data for  
314 IWFM. It can be calculated outside IWFM as the product of population and per capita water  
315 use. Agricultural water demand is a function of crop type, planting and harvesting dates,  
316 properties of the soils that the crops are planted on, irrigation efficiency, and precipitation and  
317 evapotranspiration rates. IWFM defines the agricultural water demand as the amount of water  
318 to meet the evapotranspiration requirement of the crop that is not met by precipitation and  
319 stored moisture in a way to ensure that the moisture does not fall below a management soil  
320 moisture content (referred as the “minimum soil moisture requirement”). During an irrigation  
321 period, IWFM first calculates the infiltration of precipitation into the soil. The infiltrated  
322 precipitation and the pre-stored moisture become the initial source of water to meet the crop  
323 water demand. Crop evapotranspiration is provided as time-series input data to IWFM for  
324 each simulated crop by the user. If the initial source of moisture is not enough to meet the  
325 evapotranspiration and keep the moisture level at or above the minimum soil moisture  
326 requirement, then IWFM calculates the irrigation amount, assuming that there are no losses  
327 (farm return flows and losses due to deep percolation). To compensate for the losses, the  
328 initial irrigation estimate is divided by the irrigation efficiency to calculate the total irrigation  
329 requirement.

330 IWFM allows the user to simulate agricultural and urban water demands dynamically, link  
331 pumping and stream diversions and, optionally, adjust them to meet these demands. As the  
332 water demand changes according to the changes in crop distribution, precipitation and  
333 evapotranspiration rates, irrigation methods, and urban population, required pumping and  
334 stream diversions, also change dynamically. Applied water (combination of pumping and  
335 diversions) leads to return flows that can flow back into streams and lakes, infiltrate into the  
336 root zone and a portion of it, aside from meeting crop water demands, contributes to the  
337 vertical movement of the moisture through the root zone and recharge of the aquifer. Hence,  
338 IWFM provides a modeling platform where the water demand and the water flow within a  
339 basin are fully linked and interdependent. This makes IWFM a powerful modeling tool that  
340 can simulate a wide variety of water management scenarios and their impact on the water  
341 resources in a basin. Additionally, IWFM makes sure that pumping and diversions are limited  
342 by the available aquifer storage and stream flows, respectively, so water management  
343 scenarios that heavily strain the water resources in a basin can be addressed properly. These  
344 features of IWFM were heavily relied on in this study.

345 **3.2.2 C2VSim**

346 C2VSim (California Central Valley Groundwater-Surface Water Simulation Model) is the  
347 application of the Integrated Water Flow Model (IWFM) version 3.02, developed by DWR  
348 (Brush et al., 2013), to simulate the highly interactive system of surface and subsurface flows  
349 in the Central Valley. C2VSim is publicly available and can be downloaded from the DWR  
350 website ([https://water.ca.gov/Library/Modeling-and-Analysis/Central-Valley-models-and-](https://water.ca.gov/Library/Modeling-and-Analysis/Central-Valley-models-and-tools/C2VSim)  
351 [tools/C2VSim](https://water.ca.gov/Library/Modeling-and-Analysis/Central-Valley-models-and-tools/C2VSim)). Two versions of C2VSim exist to date: a coarse-grid C2VSim (C2VSim-CG)  
352 and a fine-grid C2VSim (C2VSim-FG). In this study, C2VSim-FG, referred simply as  
353 C2VSim for the rest of the paper, was used because of its higher resolution. C2VSim contains  
354 a total of 32,536 grid cells with an average cell size of 1.6 km<sup>2</sup>. The simulation period is from  
355 October 1921 through September 2009 and the simulation time step is a month. The Valley  
356 aquifer has been discretized into three vertical layers varying in depth. Additionally, surface  
357 and subsurface flows from 210 small watersheds bordering the Valley are simulated to  
358 estimate the flow entering the model domain from the lateral boundaries. The stream network  
359 is represented by 2,449 stream nodes with 246 diversion locations. C2VSim model uses  
360 monthly historical surface water diversions, precipitation, land use and crop acreages from  
361 October 1921 to September 2009 (Supplementary materials, C2VSim data and calibration).  
362 Overall, C2VSim simulates the historical response of the Valley's groundwater and surface  
363 water flow system to historical stresses and can also be used in planning studies to simulate  
364 the response to projected future stresses. A complete description of C2VSim model  
365 development and characteristics is given by Brush et al. (2013).

366 **4 Results**

367 **4.1 Groundwater head and storage change**

368 Results are presented relative to the base case reported in Brush et al. (2013). The base case  
369 does not include any Ag-MAR diversions but does include other, real-world MAR schemes.  
370 The average differences in groundwater head were compared in all three scenarios to examine  
371 the spatial variation of the groundwater head across subregion 18 due to Ag-MAR (Figure 4).  
372 As expected, the highest change occurs in layer one for all three scenarios and the change is in  
373 line with the pattern of land distribution in Ag-MAR. However, the targeted diversions have  
374 resulted in local groundwater head drop in layer one of scenarios A and C, near Farmersville,  
375 while that drop is missing in scenario B.

376 **Figure 4 Spatial variation in the groundwater head change [m], relative to the base case, within**  
 377 **layer one (top row, blue color), layer two (middle row, the green color), and layer three (bottom**  
 378 **row, brown color) of the aquifer for all three recharge scenarios in subregion 18. Differences**  
 379 **represent the average difference during the 88 year simulation period.**

380 This is the result of the influence from upstream targeted diversions on downstream  
 381 diversions, particularly on the diversions at node 543 (Figure 2). The relative change in the  
 382 shortage experienced at these diversions, used for irrigation and direct recharge, are compared  
 383 separately (Table 2 and 3). Here, a shortage is defined as the volume of water that was  
 384 planned to be diverted, but is not available in the stream. Diversion 543 in scenario B has the  
 385 most available (least shortage) amount of water among the three scenarios, particularly for  
 386 irrigation (agriculture). The reason is that Ag-MAR scenario B resulted in higher groundwater  
 387 table elevations in the vicinity of diversion node 543 (Figure 4) due to nearby recharge on  
 388 lands not available in Scenario A. In Scenario C, recharge rates are less than in Scenario B  
 389 due to the larger amount of land used for recharge. Scenario B (and less so in Scenario C)  
 390 results in a lower gradient between the water elevation in the stream and the groundwater head  
 391 below. The lower gradient in a connected stream-aquifer system results in less seepage of  
 392 water from the streambed to the underlying aquifer, allowing for more instream flow at  
 393 diversion point 543 (see the supplementary materials and Table S1). The gradient difference  
 394 (Table S1), is 0.3 for scenarios A and C as opposed to 0.07 for B at node 542. The diversion  
 395 water for irrigation is affected because the change in the groundwater head below the  
 396 streambed does not occur just during the Ag-MAR window (November to April), but also  
 397 remains during the irrigation season (Table 2). Comparison of the relative shortages for direct  
 398 recharge indicates that the major differences among total shortages (Table 3) are less than the  
 399 values observed for irrigation (Table 2), implying that Ag-MAR effects on stream-  
 400 groundwater interactions are more distinct during the irrigation season.

401 **Table 2 Relative shortage in million cubic meter (MCM) of water for irrigation purposes at the**  
 402 **diversion points for scenarios A, B and C**

Scenario	Diversion node				Total
	493	514	543	580	
A	-53.99	10.34	136.72	0.01	93.08
B	-55.47	10.34	-16.85	-3.21	-65.18
C	-108.19	10.34	209.59	1.75	113.48

403

404 **Table 3 Relative shortage in million cubic meter (MCM) of water for direct recharge purposes at**  
 405 **the diversion points for scenarios A, B and C**

Scenario	Diversion node	Total
----------	----------------	-------

	493	514	543	580	
A	-38.42	183.58	162.73	3.43	311.32
B	-37.65	183.58	135.37	2.33	283.64
C	-77.68	183.58	216.18	4.18	326.26

406

407 To study the efficiency of Ag-MAR for increasing groundwater storage and therefore  
408 augmenting the sustainable yield, we evaluated the annual relative change in the groundwater  
409 storage over the course of 88 years (1921 to 2009) (Figure 5). In all scenarios, the  
410 groundwater storage increased; however, the overall change in storage varied for the three  
411 scenarios: 296, 422, and 371 MCM for A, B, and C, respectively. This suggests that the total  
412 acreage of participating lands for Ag-MAR projects is not the only determining factor for  
413 increasing groundwater storage. Our analyses suggest that the storage in all the scenarios keep  
414 rising from the mid 1970's to the mid 1980's, although there is a decreasing trend in the  
415 amount of available water for diversions. Except for the drought years of 1976/77 and 1986-  
416 1990 (<http://cdec.water.ca.gov/cgi-progs/iodir/WSIHIST>) the 1970-1990 period had, on  
417 average, above normal precipitation, which resulted in less pressure on groundwater reserves  
418 in the Central Valley despite the decrease in surface water diversions. Note that the changes in  
419 groundwater storage are far smaller than the amount of the targeted diversions (2,089 MCM),  
420 a difference that is explored next through a more detailed water budget analysis.

421 **Figure 5 The annual relative change in groundwater storage in scenarios A, B and C. The blue**  
422 **bar chart at the bottom shows the annual time series of the targeted diversions for Ag-MAR.**

#### 423 **4.2 Water budget analysis**

424 We analyzed the water budget components to understand the fate of the portion of the targeted  
425 diversions that do not end up in groundwater storage by 2009. For a more detailed analysis the  
426 change in the water budget components is split into surface and subsurface flow components.  
427 The relative change in the surface water components of the water budget for the three  
428 scenarios, over the entire period of simulation, is shown in Figure 6a. The targeted diversions  
429 in all three scenarios lead to less downstream outflow from the subregion (accumulation of  
430 flow at the most downstream nodes of the four rivers in subregion 18). Scenario B leads to the  
431 least amount of downstream outflow in comparison to scenarios A and C (Figure 6a). Runoff  
432 and irrigation return flows in all the scenarios stay close to their counterparts in the base  
433 scenario, while the streams in all scenarios gain more water from the underlying aquifer. In  
434 fact, a portion of the targeted diversions discharge back to the river in all scenarios. There is,



435 however, a decreasing trend in the streamflow gain from groundwater as the recharge area  
436 expands between scenarios A to C. How the three Ag-MAR scenarios affect the diversions at  
437 other diverting points over the course of 88 years is of importance to water managers (Figure  
438 6a). While our targeted diversions at node 514 at Kaweah River has led to less available water  
439 for diversions at downstream nodes 543 and 580, node 493 on the Tule River (an adjacent  
440 stream from which no Ag-MAR diversions were simulated) has gained more water. The non-  
441 homogenous change in diversions is an indication of the nonlinearity of the system and  
442 highlights the importance of model applications to better understand the change in water  
443 balance components. The diversion shortage at node 514 is the portion of water that cannot be  
444 met (shown in Figure 6a).

445 The relative change in subsurface flow components over the entire course of the simulation  
446 was analyzed (Figure 6b). As shown in Figure 6b, scenario B is more effective in increasing  
447 groundwater storage. This is in line with the change in downstream flow (Figure 6a),  
448 suggesting that the bigger contribution of scenario B to increasing groundwater storage leads  
449 to less downstream flow. The net deep percolation is reduced in all scenarios, compared to the  
450 base scenario, particularly in scenarios A and C (Figure 6b). This pattern is very similar to the  
451 reduction in groundwater pumping, where scenario B has led to significantly less pumping.  
452 To explain that pattern, we refer to the functionality of IWFM where any change in net deep  
453 percolation is related to the change in irrigation water. As shown in Table 2, scenario B has  
454 less water shortage for irrigation diversions than other scenarios; therefore, groundwater  
455 pumping in scenario B has been reduced by 65.18 MCM more than other scenarios.  
456 Additionally, the model suggests there is a reduction in net subsurface inflow to subregion 18  
457 (Figure 6b). The three Ag-MAR projects have all caused the groundwater heads to rise at the  
458 boundaries in subregion 18, leading to decreased groundwater inflows into subregion 18 from  
459 neighboring subregions.

460 **Figure 6 Total relative change in surface (a) and subsurface (b) water budget components of**  
461 **subregion 18 for scenarios A, B, and C from 1921 to 2009.**

### 462 **4.3 Spatial and temporal stream-aquifer interaction**

463 To investigate the long-term effect of recharge scenarios on streamflow, we analyzed the  
464 river-aquifer interaction along the Kaweah River since it is the river that is affected the most  
465 by the targeted diversions in the study area. Average monthly exchange flux (between the  
466 stream and the aquifer) from 1921 to 2009 along the river nodes for all the scenarios,  
467 including the base scenario are compared in Figure 7. Values above the horizontal line

468 represent a gaining stream whereas the negative values represent a losing stream. Ag-MAR in  
469 scenarios A and C cause a very large increase in streamflow losses at the midstream nodes  
470 (541 to 543) (Figure 7). This large streamflow loss is congruent with the local groundwater  
471 head drop (Figure 4). It is not an artifact of the model, and is line with the discussion in  
472 section 4.1 on water shortage. The drop of the groundwater head causes a greater gradient  
473 between the stream water level and the groundwater head resulting in more loss of the stream  
474 flow (see supplementary materials, Table S1). Interestingly, the streamflow regime remains  
475 practically unchanged for a large section of the downstream Kaweah River (nodes 561 to 592)  
476 (Figure 7). This is because the river bed conductivity drops three orders of magnitude, from  
477 0.92 m/day to 0.0003 m/day, in this part of the river compared to the upstream sections. Thus,  
478 the stream is practically disconnected from the aquifer in this section and the change in the  
479 head gradient does not play a major role in the amount of stream-aquifer interaction.

480 **Figure 7 Average exchange flux between the Kaweah River and the underneath aquifer from**  
481 **1921 to 2009.**

482 To analyze the temporal variability of the stream-aquifer interaction along the Kaweah River  
483 from 1921 to 2009, six different time periods were considered. First, drought years (1959-  
484 1961, 1975-1977, 1986-1992, 2006-2009) and wet years (1981-1983, 1994-1998, 2004-2005)  
485 were distinguished from normal years in California ([http://cdec.water.ca.gov/cgi-](http://cdec.water.ca.gov/cgi-progs/iodir/WSIHIST)  
486 [progs/iodir/WSIHIST](http://cdec.water.ca.gov/cgi-progs/iodir/WSIHIST)). Secondly, we identified wet months (January, February, and March)  
487 and dry months (September and October). In the following, the average stream-aquifer  
488 exchange flux along the Kaweah River was computed for the wet and dry months of the wet,  
489 normal, and drought years (Figure 8). We observe that the timing matters greatly in how much  
490 water is exchanged between the stream and the aquifer, indicating that the Ag-MAR projects  
491 can significantly change the streamflow regimes. The streamflow loss along the middle nodes  
492 of the Kaweah River is increasingly larger during the wet months than during the dry months  
493 (compare Figures 8a, c, e versus Figures 8b, d, f).

494 **Figure 8 Temporal variation of the stream-aquifer interaction along the Kaweah River. Average**  
495 **exchange flux during wet months of wet years (a), dry months of wet years (b), wet months of**  
496 **normal years (c), dry months of normal years (d), wet months of drought years (e), and dry**  
497 **months of drought years (f) from 1921 to 2009.**

#### 498 **4.4 Risk of Ag-MAR to agricultural crops**

499 One of the main concerns with Ag-MAR projects has been the rise of the water table into the  
500 root zone, which can create anoxic conditions in areas where groundwater levels rise  
501 substantially (SAGBI designates areas with very shallow water level - less than 3.3m – and

502 areas with hydric soils as not suitable for recharge). Therefore, it is very important to identify  
503 areas where the water table may potentially rise into the root zone and the length of time  
504 periods when the water table stays in the root zone. To identify areas with shallow water  
505 tables, we set a 1.5 m threshold for the groundwater depth below land surface. If the water  
506 table rose to within 1.5 m from the ground surface elevation it was assumed that agricultural  
507 crops will be damaged. The threshold was selected based on the average root depth for crops  
508 and trees farmed in subregion 18 (Brush et al., 2013). To quantify the water table rise into the  
509 root zone, first, the number of months that the groundwater depth dropped to less than 1.5 m  
510 at each node within the study area for all scenarios, including the base scenario, was  
511 calculated. Second, we mapped the difference in the number of months at these nodes of the  
512 model and compared all three scenarios with the base scenario (Figure 9). Positive values in  
513 Figure 9 represent the number of months that experience water logging in the root zone due to  
514 Ag-MAR.

515 No additional water logging (relative to the base case) is observed throughout most of the  
516 recharge areas in all three scenarios. In scenarios A and C, fewer months of water logging are  
517 observed than in the base scenario due to the lower water level near Farmersville. Scenarios A  
518 and C are therefore more effective in reducing the risk of water logging in the middle section  
519 of the Kaweah River (shown in blue in the Figure 9). This conclusion is in line with the local  
520 groundwater head decline in scenarios A and C (Figure 4). Irrespective of the Ag-MAR  
521 scenarios, the center of the study region, mostly outside the recharge area near its southern  
522 margin, is the only area that experiences significantly more months with water logging in the  
523 root zone than in the base scenario, although it is not necessarily continuous (Figure 9). This  
524 area is fed by diversion node 493 and it was previously demonstrated that diversion 493 has  
525 the least shortage in all the scenarios, compared to the base scenario (Tables 2 and 3);  
526 therefore, more water is available at that point for diversion and recharging the nearby lands.  
527 This result appears counterintuitive, as this area is not the area with the largest water level  
528 rise, as it is mostly outside the recharge area. But the finding points to the interconnectedness  
529 of these regional water systems and the law of unintended consequences when operating with  
530 a highly nonlinear (water) system such as the study region: the regional rise in water level to  
531 north of the affected region leads to more irrigation water availability from surface water, less  
532 groundwater pumping, and either a decrease in the south-to-north hydraulic gradient and  
533 groundwater flow or an increase in the north-to-south hydraulic gradient and groundwater  
534 flow. This leads to a rising water table in the highlighted region (Figure 9), even though it is  
535 outside the actual recharge zone (especially in scenario A).

536 **Figure 9 Difference in the number of months that the groundwater depth drops below 1.5 m in**  
537 **scenario A (a), scenario B (b), and scenario C (c) compared to the base scenario.**

538 Another important concern which needs to be addressed in Ag-MAR projects is the  
539 magnitude and the time response of groundwater heads. The maximum groundwater head  
540 change across the entire study area at each time step of the model was analyzed for each  
541 scenario compared to the base scenario (Figure 10). The magnitude of the groundwater  
542 response to the targeted diversions is two to three times higher in scenario A than in the other  
543 two scenarios, particularly at high diversions; the main reason for this is the larger volume of  
544 water per unit area in scenario A (remember that scenario A has the smallest Ag-MAR area)  
545 compared to other scenarios. The largest simulated water table rise (relative to the base  
546 scenario) did not exceed 10 m (scenario A) and 5 m (scenarios B, C) and is located within the  
547 recharge areas. As water table depth across most of the region is more than 10 m, these  
548 increases in water table do not pose a significant problem to agricultural production. Another  
549 interesting point is the recession of the maximum change in the groundwater head response;  
550 the recession slows down as the peak change decreases from the smallest-area scenario (A) to  
551 the largest one (C) (Figure 10). In addition, our analyses suggest that as the area for Ag-MAR  
552 becomes larger, the maximum groundwater table rise occurring due to the Ag-MAR remains  
553 higher than in B or A after the Ag-MAR event ceases (Figure 10). Extending Ag-MAR to  
554 large land areas is therefore an important consideration to manage dry years or time periods  
555 where diversions for Ag-MAR are minimal and local water agencies rely more on  
556 groundwater storage than surface flows for water supply.

557 **Figure 10 The maximum groundwater head change across the entire study area at each time**  
558 **step of the model for scenarios A (black), B (red) and C (green) compared to the base scenario.**

## 559 **5 Discussion**

560 The decline of groundwater levels and the resulting impacts, such as land subsidence, cost of  
561 groundwater use, and degradation of groundwater quality, have increased attention to  
562 managed aquifer recharge. Our study demonstrates that Ag-MAR, is an innovative method  
563 that can successfully take advantage of large sections of agricultural lands to recharge winter  
564 runoff not stored or used prior to ocean discharge. Ag-MAR is shown to significantly expand  
565 the traditional scope of managed aquifer recharge. Ag-MAR utilizes in-place irrigation  
566 infrastructure to recharge excess water flows on agricultural lands. Typically, these excess  
567 flows comprise water currently not allocated by surface water rights or in-stream flow  
568 requirements (Kocis and Dahlke, 2017). Ag-MAR provides a framework to partially replenish

569 aquifers at large scales in areas where irrigated agriculture is dominant without the need to  
570 change the land use of the region. Regional scale aquifer recharge can significantly alter the  
571 hydrologic and agricultural conditions in the target area, such as retiming streamflow regimes  
572 (Ronayne et al., 2017) and affect surface water rights along a river (Niswonger et al., 2017),  
573 if not implemented properly. Therefore, Ag-MAR needs to be approached in a holistic  
574 framework. Our work is an attempt to assess the integrated hydrologic implications of long-  
575 term, extensive Ag-MAR.

576 Over the 88-year historic simulation period, groundwater storage increased by 21% to 26% of  
577 the targeted diversions, relative to the historic scenario (base scenario), depending on the  
578 choice of land used for recharge. Future conditions may significantly increase the relative  
579 benefits of Ag-MAR, since groundwater levels are considerably lower now than they were  
580 during the early decades of the 88-year historic simulation horizon. During the most recent  
581 drought in California, the increased groundwater levels would have provided substantial  
582 buffer capacity against the cost of additional pumping, crop revenue losses negative impacts  
583 experienced during the drought, such as costs of additional pumping, and dairy and livestock  
584 revenue losses (Medellín-Azuara et al., 2016)

585 In this study, diverting river water for Ag-MAR was shown to affect the available stream  
586 water at other surface water diversion points in two ways: 1) diversions limit the amount of  
587 water available for diversion downstream of the Ag-MAR diversion nodes; and 2) diversions  
588 change the gradient between the stream water level and the groundwater head in the  
589 underlying aquifers due to the effect of recharge on the groundwater head in areas adjacent to  
590 the stream. We observed that this change in gradient affected the diversions along the streams  
591 during the irrigation season even though Ag-MAR diversions occurred outside the irrigation  
592 season. This seemingly nonintuitive result indicates that off-season diversions for aquifer  
593 recharge may affect water availability during the irrigation season.

594 In this study, water diversions for Ag-MAR occurred from the most upstream location on the  
595 Kaweah River. The diversion amount during high-flow events was designed not to impair  
596 water rights and other diversions along the river at the time of diversion. As shown by our  
597 integrated hydrologic assessment, the potential effects of the diversion on downstream flows  
598 later in the irrigation season creates downstream benefits, which should be considered in the  
599 permitting of Ag-MAR diversions. For the case presented here, potentially impacted  
600 beneficiaries are the Kaweah River water agencies and users in subregion 15 (Figure 1). Our  
601 analysis suggests that the Ag-MAR diversions have long-term benefits to subregion 15 that

602 may outweigh the surface water effects of the diversion. Interestingly, our analysis also  
603 showed that the Ag-MAR diversions lead to higher water levels in subregion 18 and diminish  
604 the subsurface inflows to that subregion, including those from subregion 15. While this may  
605 be considered a benefit to the neighboring region (subregion 15), that region may benefit even  
606 more from increasing its own Ag-MAR efforts. The model results suggest that changes in  
607 these boundary fluxes between subregions are highly localized and dynamic in response to the  
608 recharge actions on both sides of the political boundary.

609 Our analysis further shows that the average increase in water table elevation was  
610 approximately five times higher below the Ag-MAR lands than in non-participating lands.  
611 The resulting elevated groundwater levels might help groundwater users reduce their  
612 groundwater pumping costs and could potentially prevent the need for drilling deeper wells  
613 (another cost saving to groundwater users). The prospect of these economic gains may further  
614 encourage agricultural land owners to engage in Ag-MAR projects.

615 One of the largest concerns to land owners; however, is the rise of the water table into the root  
616 zone, which must be properly addressed in the Ag-MAR planning phase. Our simulations  
617 suggest that Ag-MAR programs may lead to waterlogging of agricultural lands in unexpected  
618 places outside of recharge zone. For the case presented here, we considered 1.5 m as the  
619 threshold for the groundwater depth, meaning that the risk to crop damage can increase if the  
620 depth to groundwater becomes less than 1.5 m. The threshold may differ from crop to crop  
621 depending on the rootstock depth. We also note that crop roots have different tolerance levels  
622 to saturated conditions and durations (Broughton et al., 2015; Colmer and Voesenek, 2009;  
623 Nishiuchi et al., 2012). The issue is particularly important for perennial crops and vines,  
624 because of the risk of losing high-value crops. In this regard, we note that soil conditions and  
625 water table depths within each of the 1.6 km<sup>2</sup> cells used in this study are unlikely to be  
626 homogeneous and therefore the spatial resolution of C2VSim is not sufficient to pinpoint local  
627 areas/farms where the water table encroaches into the root zone. A methodology that can  
628 avoid the rise of groundwater into the root zone is linking the groundwater models to  
629 optimization models in order to limit aquifer recharge where groundwater table crosses a pre-  
630 defined threshold (Ebrahim et al., 2016).

631 Enhancing groundwater recharge via flooding agricultural lands can pose a risk to  
632 contaminating groundwater resources in two ways: 1) Pushing the accumulated salts in the  
633 root zone /shallow vadose zone down to the aquifer; and 2) mobilizing contaminants such as  
634 nitrates and pesticides due to increased pressure gradients in the deep vadose zone. Salt

635 contamination is more likely to occur in areas where groundwater is the dominant source of  
636 irrigation water and the unsaturated zone is relatively thick (Walvoord et al., 2003; Welch et  
637 al., 2011). Indeed, both conditions exist in the study area. The average thickness of the  
638 unsaturated zone across the studied area is 18.9 m and groundwater is used intensively for  
639 irrigation in the study area (CADWR, 2013b). The SAGBI index used here to differentiate the  
640 spatial land patterns already considers the presence of soil salinity (represented by the soil  
641 electrical conductivity) and a high sodium adsorption ratio as two major indicators of  
642 soil/vadose zone pollution. Therefore, this study intrinsically considered the most usable land,  
643 from a water quality perspective. Nitrate and pesticide contamination is mainly dependent on  
644 management history and the type of crops that are farmed within a region (O'Geen et al.,  
645 2015) and was not investigated in our study. A successful Ag-MAR project also requires high  
646 quality water before spreading it on agricultural lands. Beganskas and Fisher (2017)  
647 conducted an Ag-MAR project in which storm runoff was collected from 40-400 ha drainage  
648 areas for recharge of a coastal alluvial aquifer in the Pajaro Valley, California using a 1.7 ha  
649 infiltration basin. They realized that the fine-grained sediments in the storm water reduced soil  
650 hydraulic conductivity over time. This process can be mitigated with large sediment detention  
651 basins or source control (e.g., timing diversions to occur only after high sediment loads have  
652 passed).

653 Our analyses further indicate that the targeted diversion amounts were not completely met. In  
654 other words, a specified diversion amount could not always be taken from the source stream  
655 node due to the lack of incoming streamflow identified in C2VSim. We note that the surface  
656 water inflows to C2VSim are not identical to the streamflow data used in our high-flow events  
657 analysis. The discrepancy therefore may be a result of differences in the simulated streamflow  
658 data in C2VSim compared to historic USGS streamflow data used by Kocis and Dahlke  
659 (2017) for the streamflow availability analysis for groundwater recharge. An alternative  
660 explanation for the shortage of the diversions can be the erroneous base diversions. In the  
661 past, many canals, pumps, etc. did not have gauges, forcing modelers to assume that the  
662 diversion amount was equal to the water right. Where flumes are installed in canals, erroneous  
663 values will be observed if the canals change flow capacity due to land surface subsidence.  
664 Districts that have recently installed gauges have often found that the actual flow rates were  
665 significantly different (i.e., lower) from what they expected them to be (personal  
666 communication with the Kaweah Water District).

## 667 **5 Summary and conclusion**

668 The concept of recharging depleted aquifers by flooding of agricultural lands during the high  
669 flow seasons (i.e., Ag-MAR) was investigated for the Kaweah groundwater subbasin, located  
670 in the southeastern Central Valley, California to explore how a hydrologic system may benefit  
671 from these activities. We approached Ag-MAR comprehensively by employing an integrated  
672 hydrologic systems analysis, using the numerical simulation model C2VSim, which simulates  
673 the agricultural and urban demand for groundwater pumping where surface water cannot meet  
674 the demand. We investigated the effect of land suitability for aquifer recharge on the  
675 components of the water balance. Three spatial patterns of agricultural lands, each chosen  
676 based on different thresholds of a soil suitability index for groundwater recharge, SAGBI  
677 (Soil Agricultural Groundwater Banking Index), were examined. The areas of the spatial land  
678 patterns named A, B, and C are 313.3 km<sup>2</sup>, 685.4 km<sup>2</sup> and 1022.8 km<sup>2</sup>, respectively. The total  
679 amount of water diverted for each land scenario was equal. Streamflow for Ag-MAR was  
680 diverted from November to April during wet years, when stream flows at the most upstream  
681 point on the Kaweah River exceeded the 95<sup>th</sup> percentile flow.

682 Ag-MAR is shown to be effective in increasing the groundwater storage of the study region,  
683 irrespective of the spatial Ag-MAR land distribution; however, the overall highest increase in  
684 storage (26% of the targeted diversions) occurred when pattern B (soils rated as good and  
685 excellent) was used for Ag-MAR. This conclusion is somewhat non-intuitive as it indicates  
686 that for the same total volume of water applied the size of the area that is flooded for  
687 groundwater recharge is not the only determining factor in order to gain the largest increase in  
688 groundwater storage. Our analyses also indicate that the persistence of Ag-MAR benefits  
689 throughout the drought periods can depend on Ag-MAR land distribution. An analysis of the  
690 water dynamics in the region demonstrates; however, that the spatial pattern of the Ag-MAR  
691 lands can significantly influence not only total storage gains, but also the amount of stream  
692 water available at other diversion points at later time periods. In fact, off-season diversions  
693 changed the gradient between the stream water level and the underlying aquifers by altering  
694 the groundwater head in the areas adjacent to the stream. That change was shown to be a  
695 crucial factor in changing the losing/gaining regime of the stream, which in turn affected  
696 surface water diversions along the river.

697 The undesirable effects and risks of Ag-MAR to agricultural crops are the factors that can lead  
698 to the failure of an Ag-MAR program. Our simulations show that Ag-MAR programs could



699 lead to some waterlogging of agricultural lands, not necessarily within the Ag-MAR zone,  
700 which may damage certain crops sensitive to anoxic conditions in the root zone. We also  
701 addressed that Ag-MAR plans, performed in high flow and wet seasons, can potentially  
702 negatively impact water rights and irrigation diversions during the growing season. Overall,  
703 this study provides significant insights into the application of integrated numerical models for  
704 aquifer recharge planning at regional scales. In the case of the Kaweah basin, we've identified  
705 a need for a more evenly distributed diversion and conveyance system to move surplus  
706 surface water to areas of greater subsurface storage potential. This information is valuable for  
707 developing an overview on how effective the long-term effectiveness of aquifer recharge  
708 plans in light of all water balance components.

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717 [https://water.ca.gov/Library/Modeling-and-Analysis/Central-Valley-models-and-](https://water.ca.gov/Library/Modeling-and-Analysis/Central-Valley-models-and-tools/C2VSim)  
718 [tools/C2VSim](https://water.ca.gov/Library/Modeling-and-Analysis/Central-Valley-models-and-tools/C2VSim).

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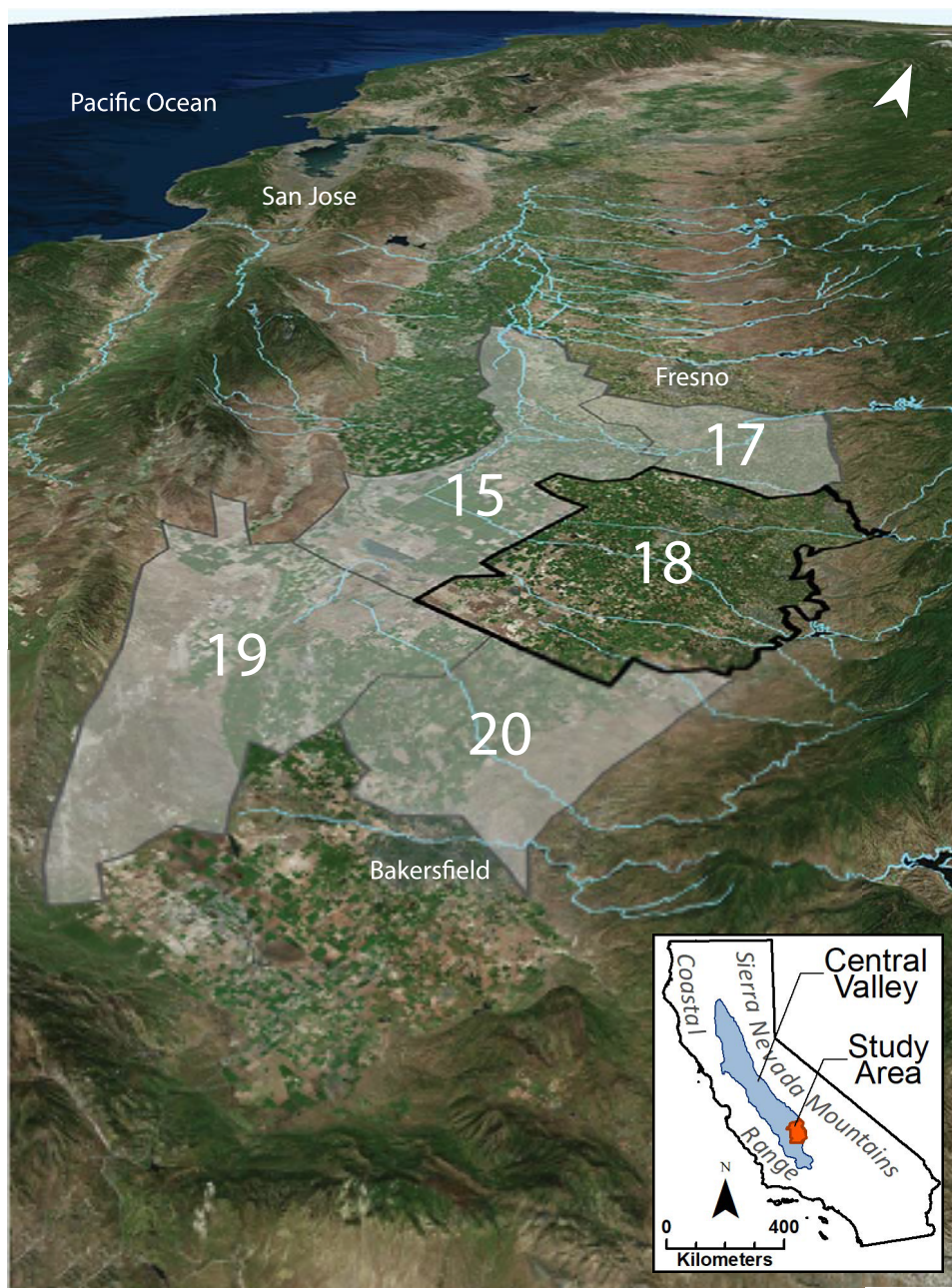
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Figure 1.

(a)



(b)

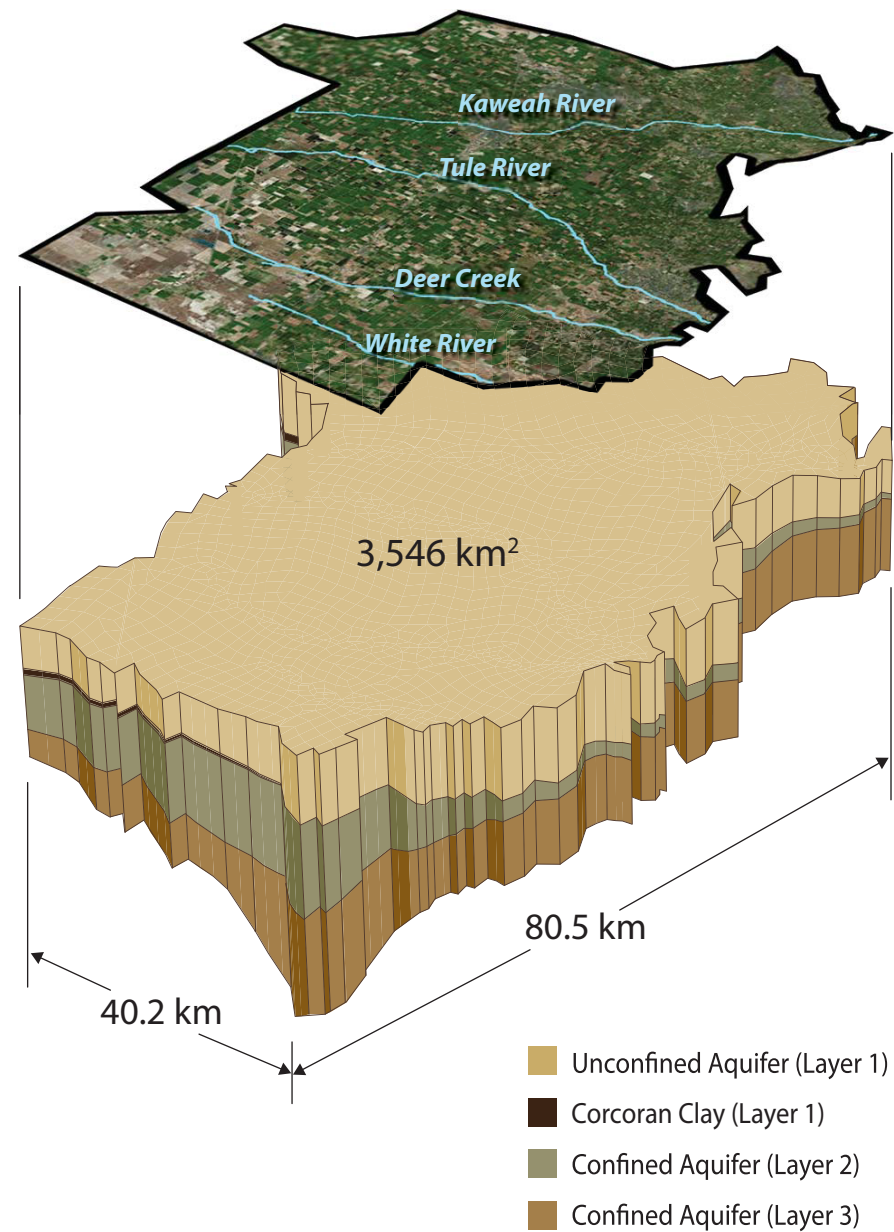


Figure 2.



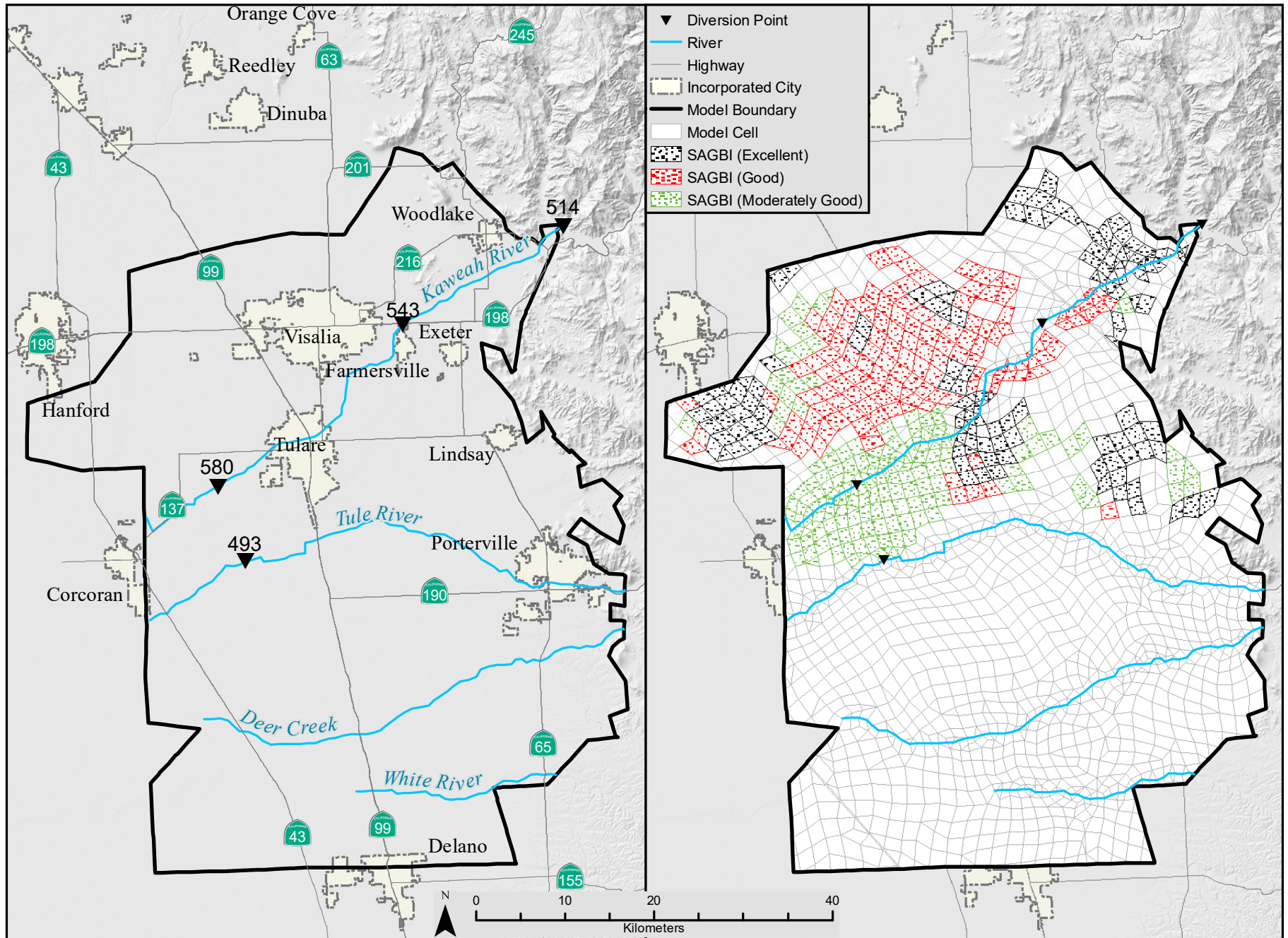
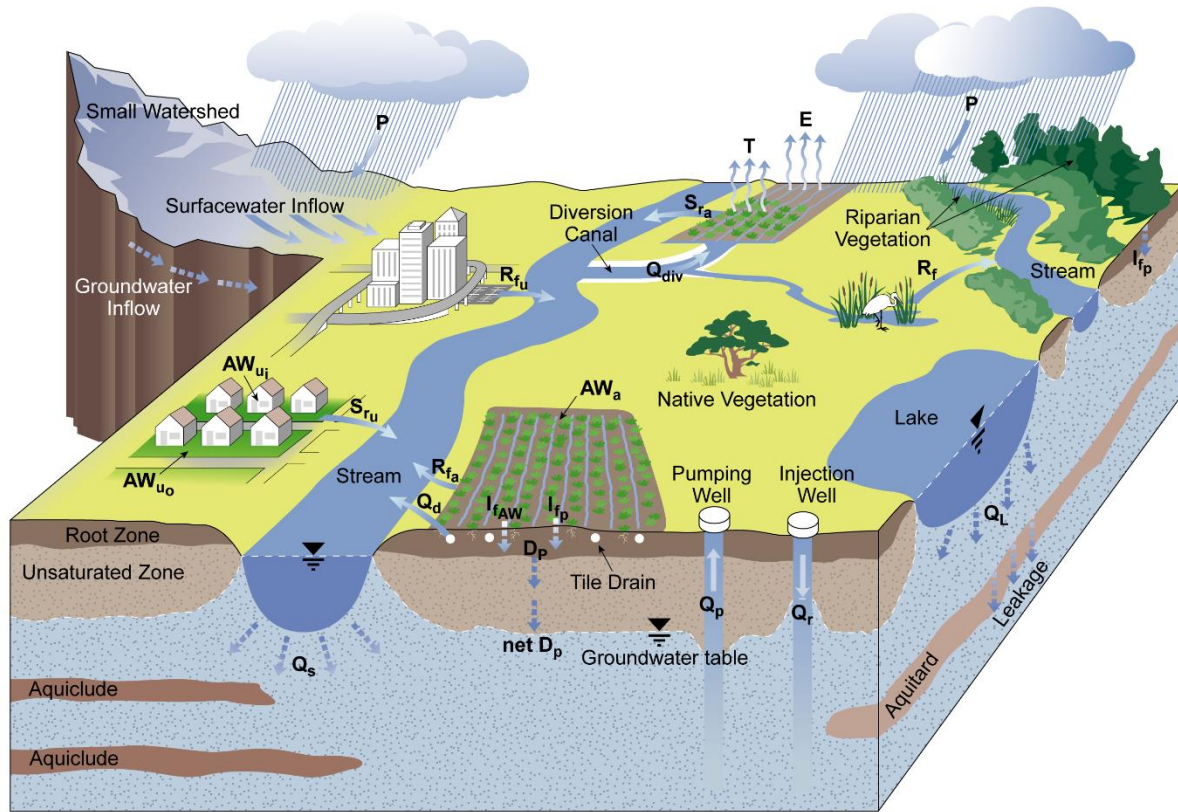


Figure 3.



**LEGEND**

**P**.....Precipitation

**AW<sub>a</sub>**..... Water applied to agricultural lands

**AW<sub>ui</sub>**..... Water applied to indoor urban lands

**AW<sub>uo</sub>**..... Water applied to outdoor urban lands

**E**.....Evaporation

**T**..... Transpiration

**I<sub>fp</sub>**..... Infiltration of precipitation

**I<sub>fAW</sub>**..... Infiltration of applied water

**Q<sub>div</sub>**..... Surface water diversion

**S<sub>ra</sub>**..... Agricultural runoff

**S<sub>ru</sub>**..... Urban runoff

**R<sub>f</sub>**..... Return flow

**R<sub>fa</sub>**..... Agricultural return flow

**R<sub>fu</sub>**..... Urban return flow

**D<sub>p</sub>**.....Deep percolation of water to the unsaturated zone

**net D<sub>p</sub>**.....Recharge to the groundwater aquifer

**Q<sub>p</sub>**.....Pumping from groundwater aquifer

**Q<sub>r</sub>**..... Recharge to groundwater aquifer

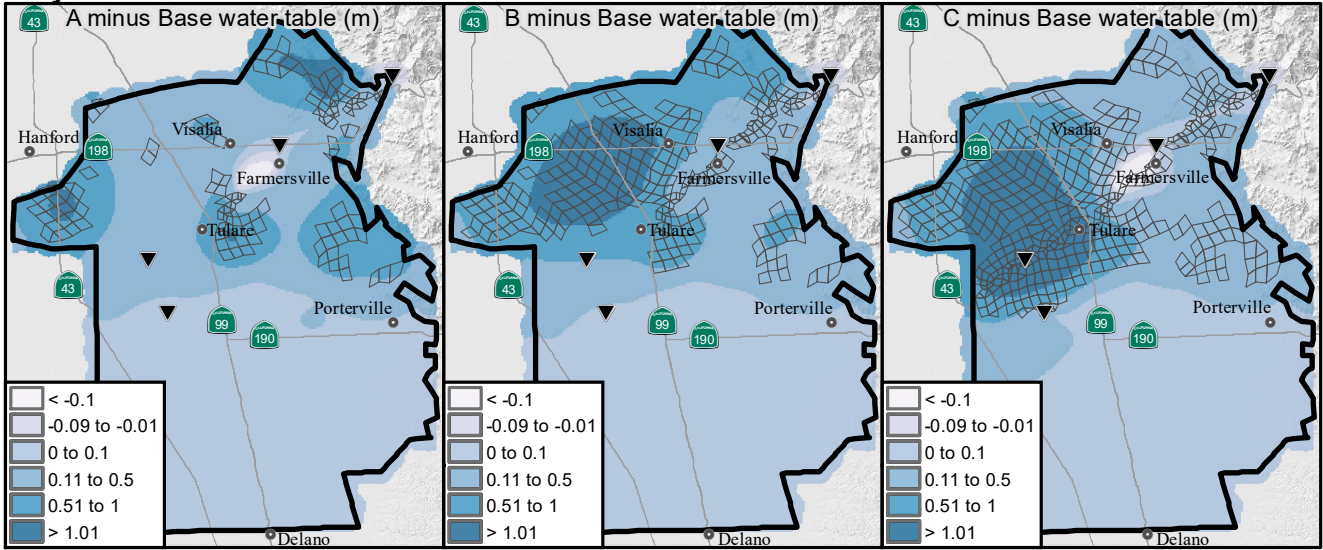
**Q<sub>s</sub>**..... Stream-groundwater interaction

**Q<sub>L</sub>**.....Lake-groundwater interaction

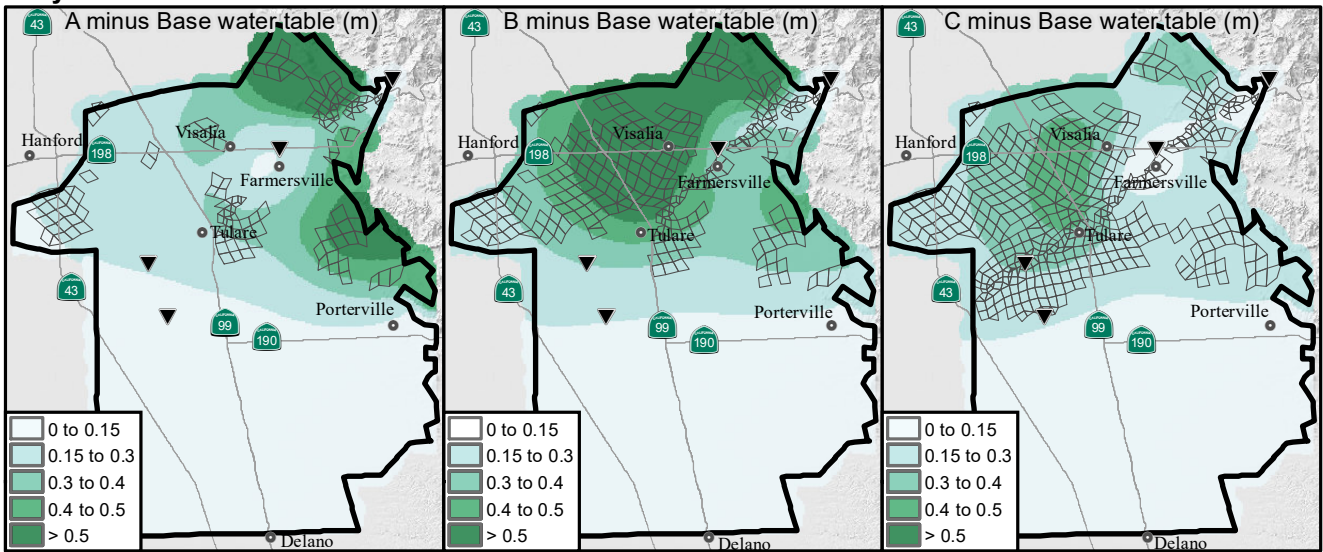
**Q<sub>d</sub>**..... Tile drainage flow

**Figure 4.**

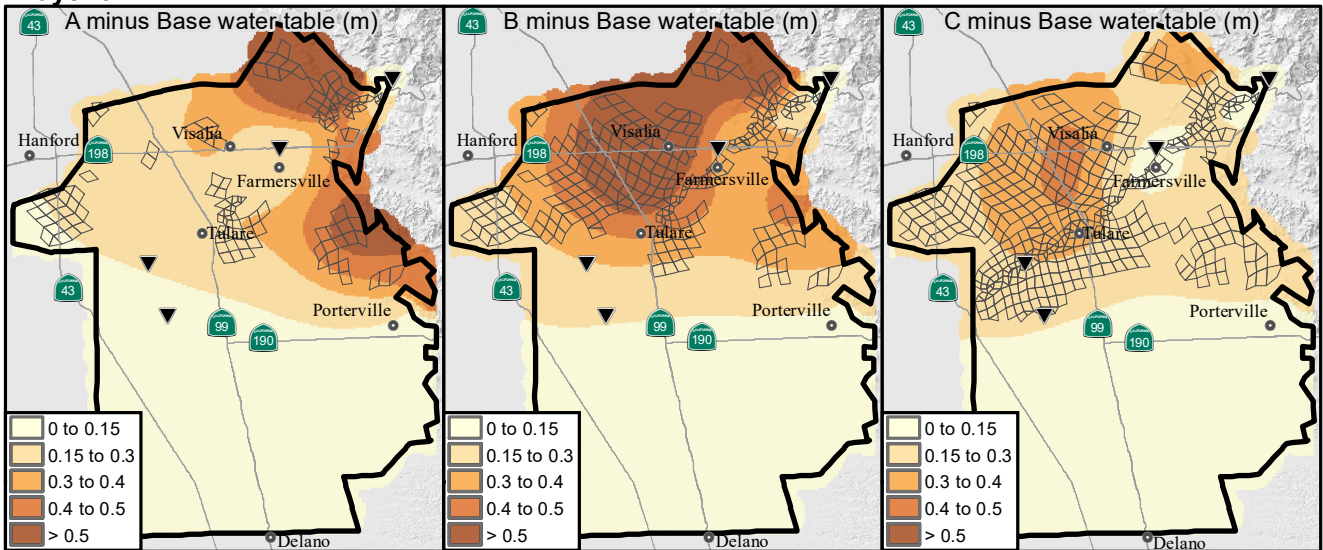
**Layer 1**



**Layer 2**



**Layer 3**



▼ Diversion Point

Figure 5.

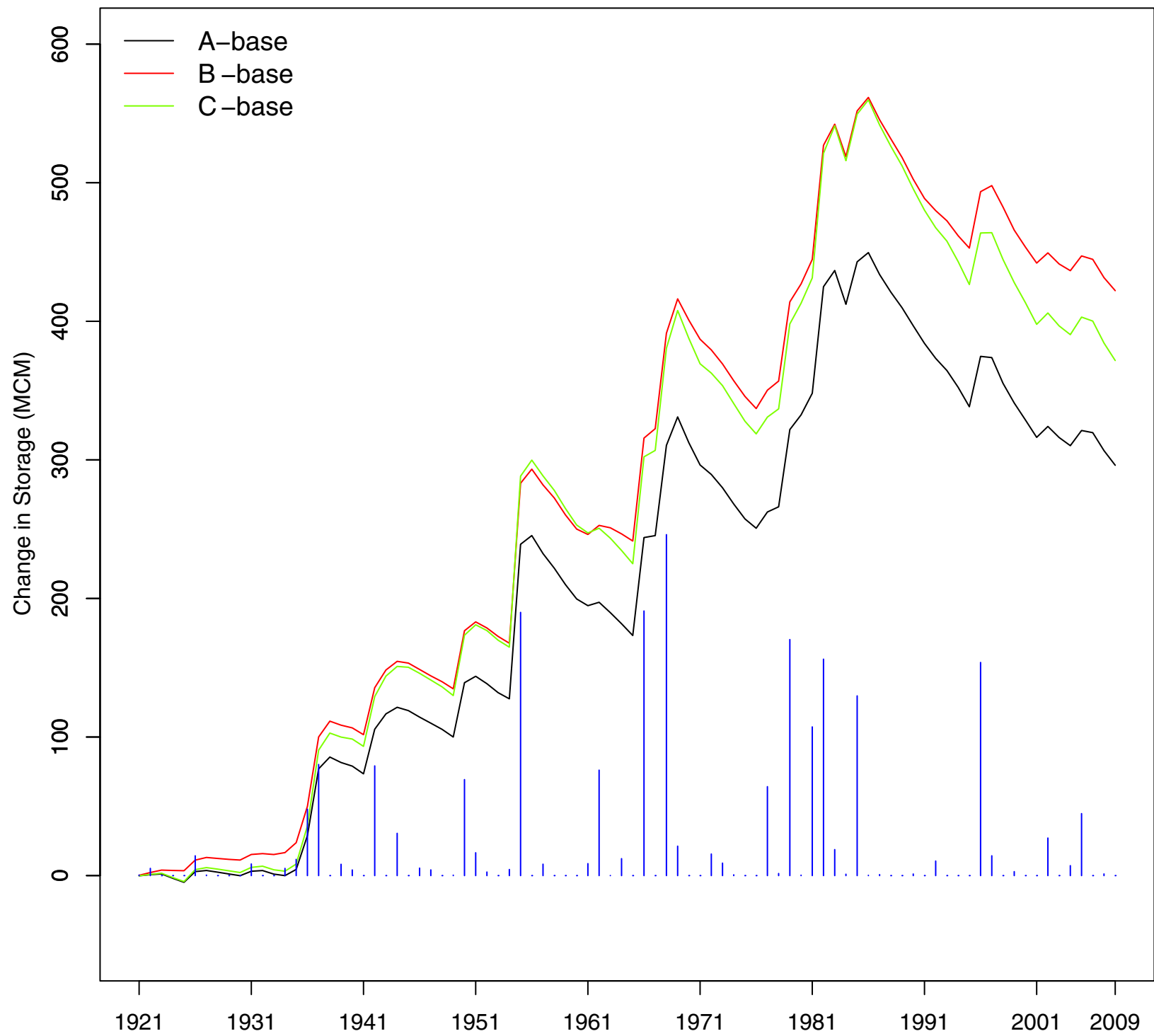
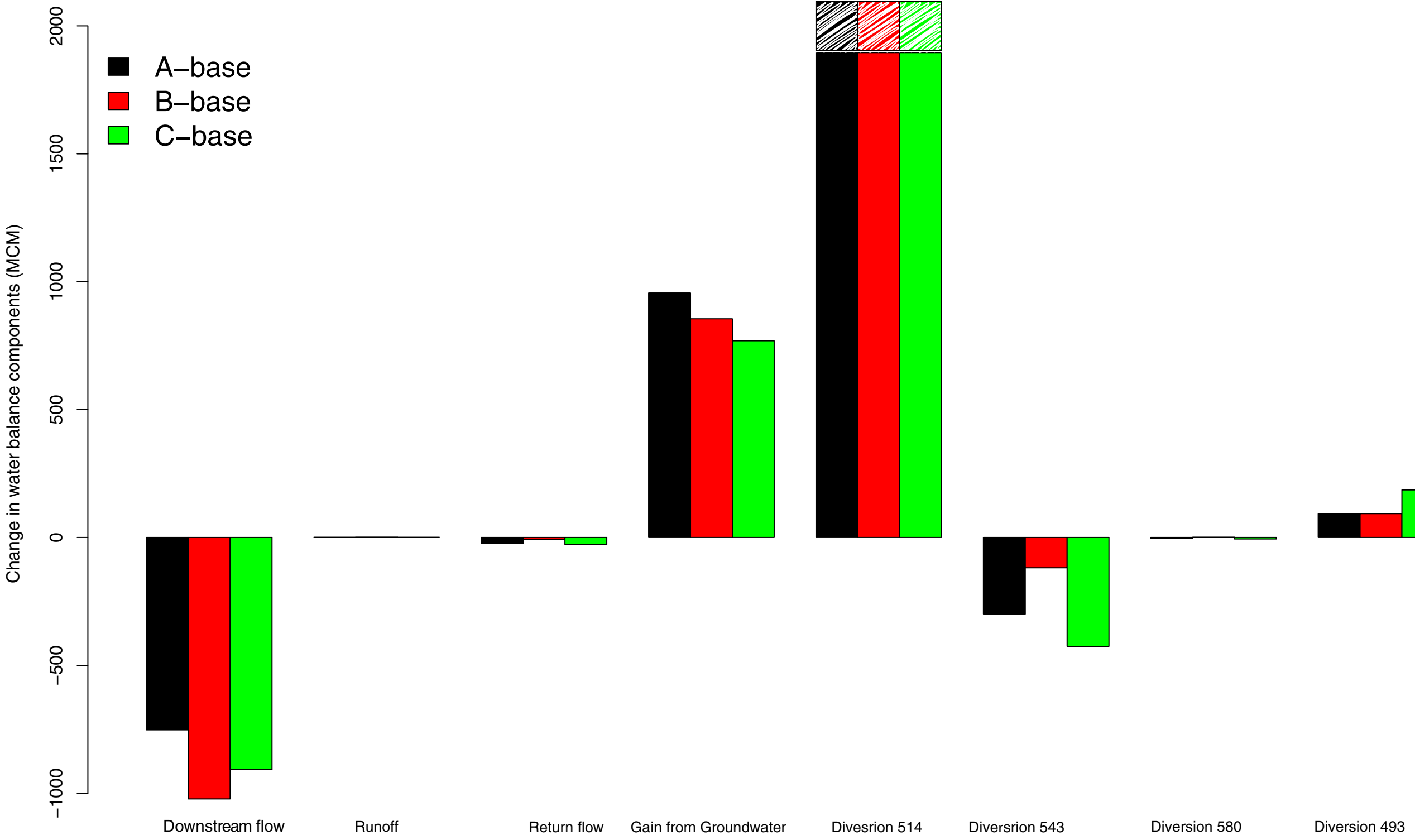


Figure 6.



(a)



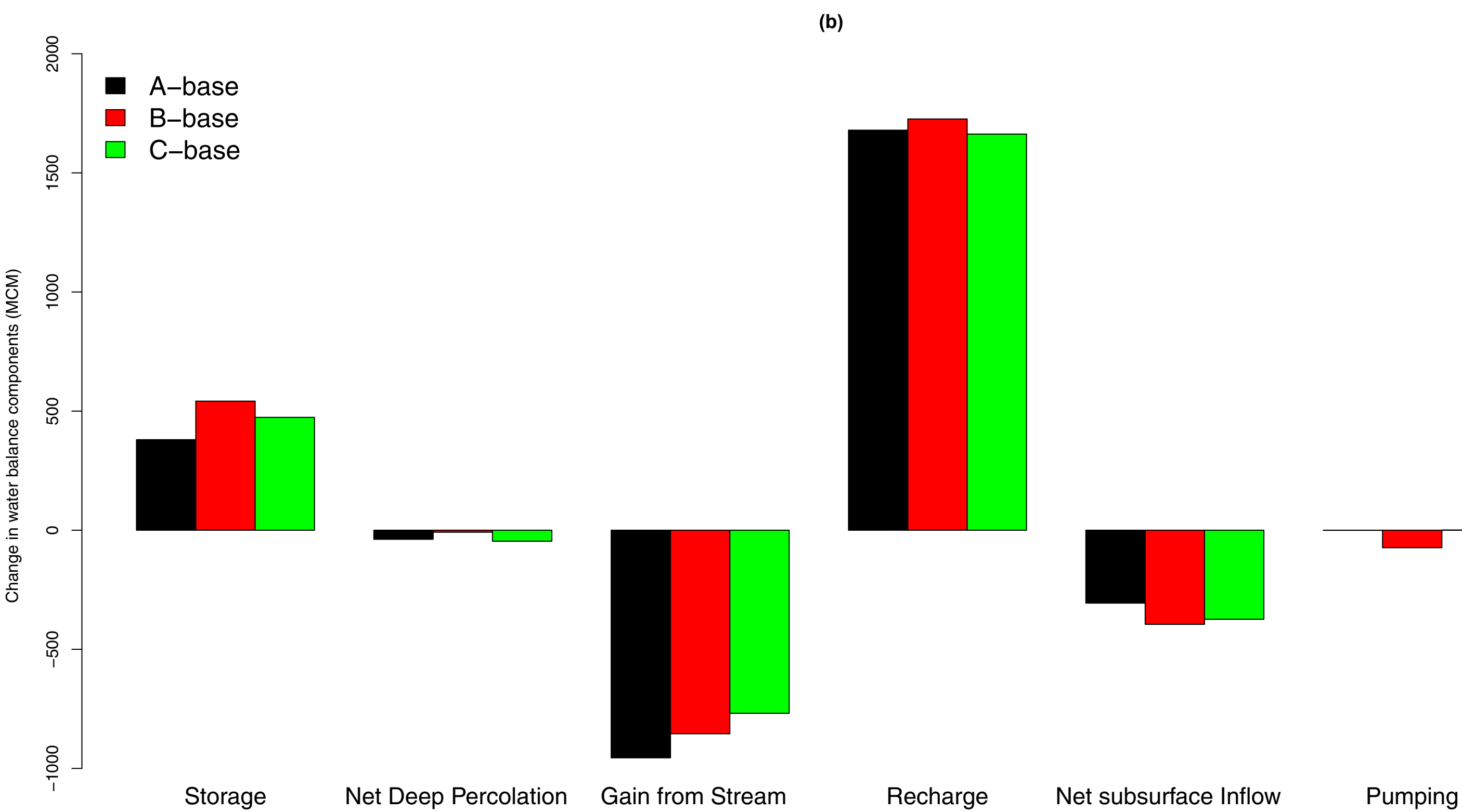


Figure 7.

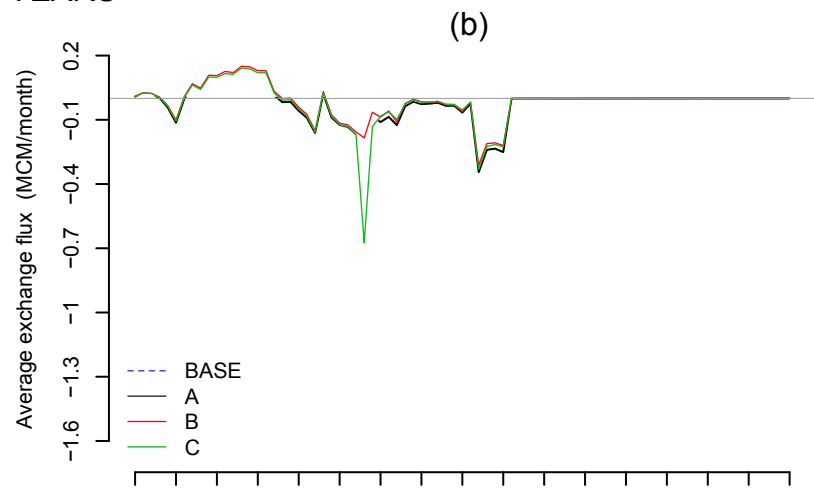
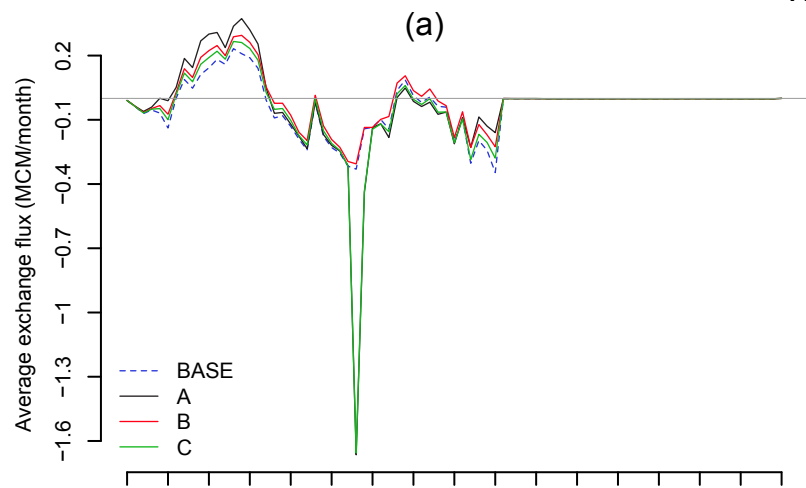


Figure 8.

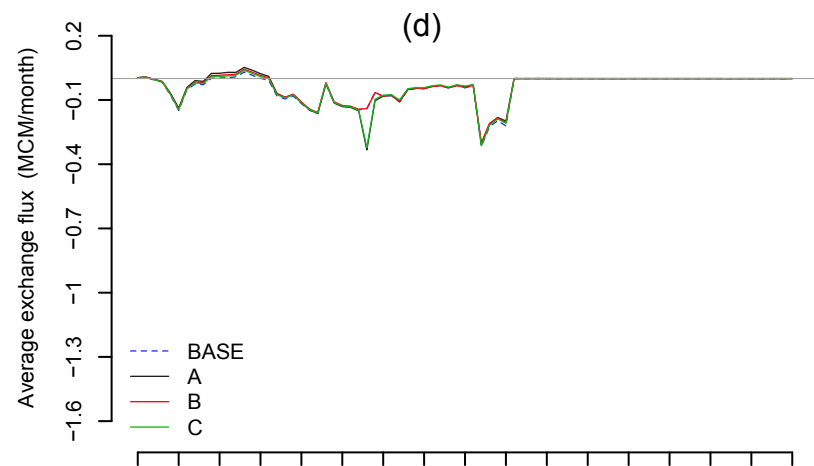
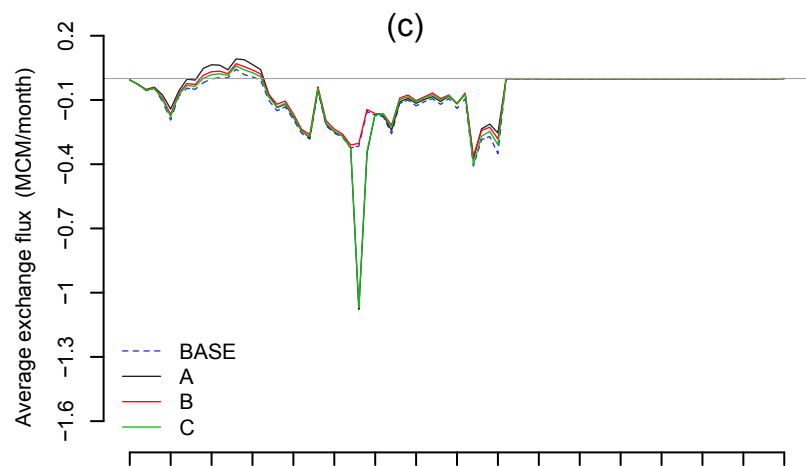
Jan-March

WET YEARS

Sep-Oct



NORMAL YEARS



DROUGHT YEARS

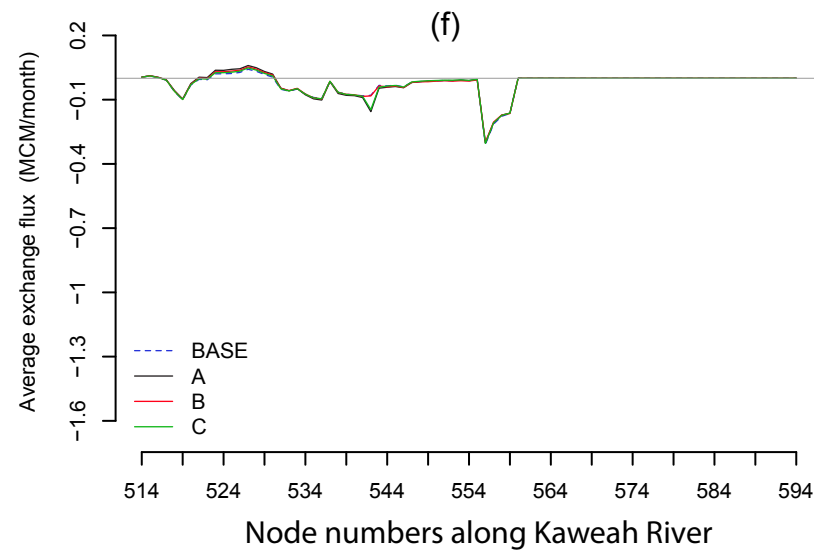
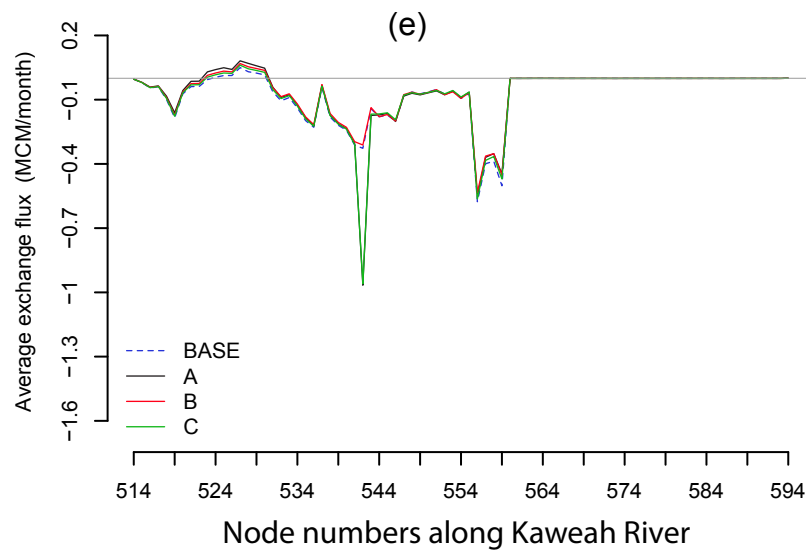


Figure 9.

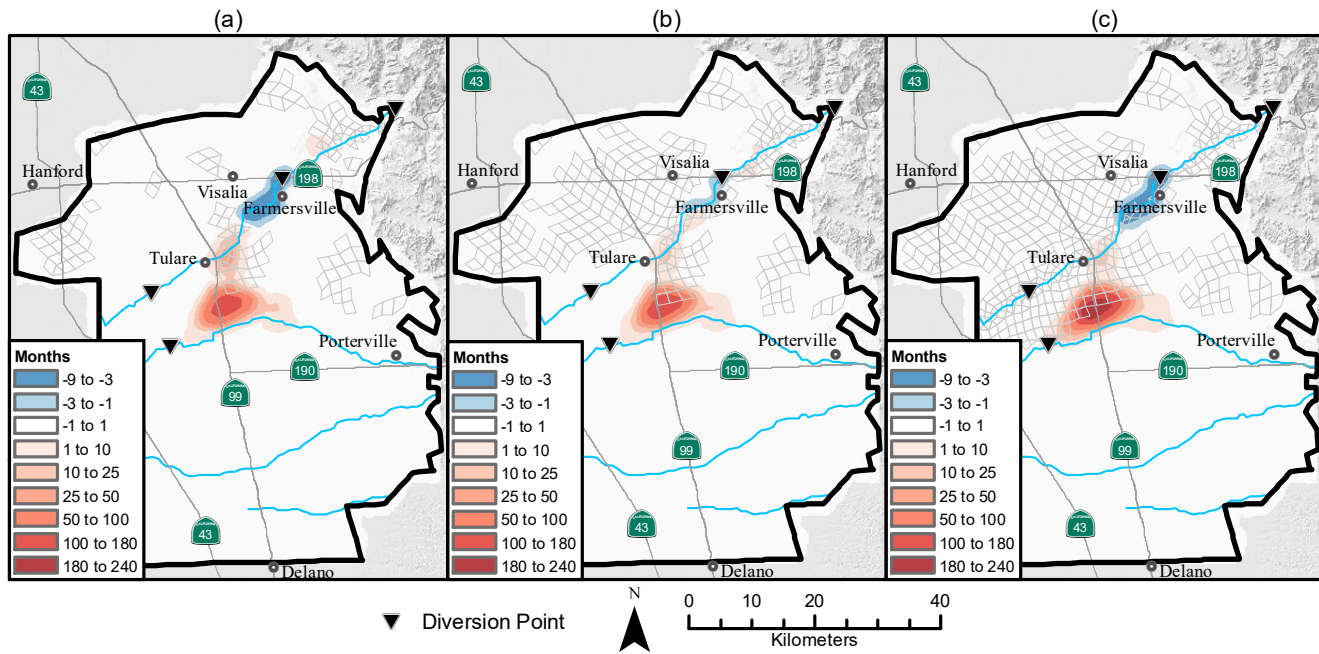




Figure 10.

