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### Title

Construction constraints for geomorphic-unit rehabilitation on regulated gravel-bed rivers

### Permalink

<https://escholarship.org/uc/item/18m5r7zr>

### Journal

River Research and Applications, 25(4)

### ISSN

1535-1459

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### Publication Date

2009-05-01

### DOI

10.1002/rra.1173

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Peer reviewed

1 **Title:**

2 **Construction constraints for geomorphic-unit rehabilitation on regulated gravel-bed rivers**

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4 Running Head:

5 Construction constraints on river rehabilitation

6

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20 **Cite as: Sawyer, A. M., Pasternack, G. B., Merz, J. E., Escobar, M., Senter, A. E. 2009.**

21 **Construction constraints on geomorphic-unit rehabilitation on regulated gravel-bed rivers.**

22 **River Research and Applications 25:416-437. DOI: 10.1002/rra.1173.**

23

**ABSTRACT**

The emergent practice of applied river restoration uses best available equipment and contouring methods to construct detailed designs with some features at scales as small as 0.5-m relief. As part of adaptive management, it is necessary to determine the practicability of design features and construction methods before widespread adoption. In this study, we compared design versus as-built topography for 5 salmonid spawning habitat rehabilitation projects at riffle-pool geomorphic units on the lower Mokelumne River, California, U.S.A. These were built instream using rubber tire front loaders. Digital Elevation Models (DEMs) of each site were produced for pre-project, design and as-built conditions. DEM differencing was used to compare the as-built surface against corresponding design surfaces at each site to identify deviations. Causes of each identified deviation were assessed based on subjective observations by a team during construction. Across the projects, 70% of as-built topography was within  $\pm 0.15$  m of design specifications. Of the 30% deviating from the design, 41% was overfilled and 59% underfilled. The 30% of rehabilitated channel area that deviated from designs did not affect predicted areas of high-quality spawning habitat. On-site factors that hindered accurate construction of designs included front loader fording depth, poor operator elevation estimation, operator spatial disorientation and wood obstructions. In addition, funding and project management uncertainties caused gravel supply deficits and gravel bulk density estimation errors. It is concluded that constructing broad ( $> 0.5$ -m relief) features of process-based salmonid spawning habitat rehabilitation projects by gravel augmentation is practicable. However, uncertainties attributed to human error and available methods inhibit detailed ( $< 0.5$ -m relief) rehabilitation. Despite uncertainties, limitations and errors, following the recommendations reported in this study would improve the as-built adherence to design specifications of future projects.

Keywords: river restoration; fluvial geomorphology; river engineering; spawning habitat; adaptive management; post-project appraisals

# 1 1. INTRODUCTION

## 2 1.1 Background

3 Modern human activities have degraded the natural state of many river ecosystems,  
4 creating the need for river rehabilitation. In the United States alone, over 30,000 projects have  
5 cost nearly US \$10 billion dollars (Malakoff, 2004; Bernhardt *et al.*, 2005). The goals of river  
6 rehabilitation commonly include restoring ecological, geomorphic, and hydraulic processes by  
7 altering channel dimensions or replacing lost morphological elements, such as gravel bars,  
8 logjams, and boulders (Seehorn, 1992; Stanford *et al.*, 1996; Shields *et al.*, 2003; Wohl *et al.*,  
9 2005). As an emergent practice, river restoration is commonly criticized because channel form  
10 design using empirical hydraulic geometry relations may not account for fundamental  
11 geomorphic, ecological or hydraulic processes nor inherent channel variability (e.g. Brookes and  
12 Shields, 1996; Kondolf, 2000; Downs and Kondolf, 2002; Palmer *et al.*, 2005; Wohl *et al.*,  
13 2005). Currently, the success or failure of many projects is unknown (Wohl *et al.*, 2005),  
14 although consistent and reputable literature databases reporting evaluated restoration projects  
15 from which to gage success are being synthesized regionally and nationally (Roni *et al.*, 2002;  
16 Palmer *et al.*, 2003; Bernhardt *et al.* 2005; Bernhardt *et al.* 2007). Adaptive management may  
17 enhance restoration through continually planning, monitoring, evaluating, and adjusting project  
18 designs and method implementation (Johnson, 1999; Lee, 1999; NRC, 2004; Florsheim *et al.*,  
19 2006).

20 In California, U.S.A., managers often use gravel augmentation, or the addition of washed  
21 gravel and cobble to a river, to restore degraded gravel supplies below reservoirs; the costs and  
22 scales of such projects range from small placements on the Carmel River to multi-year  
23 placements on the Mokelumne River below Camanche Dam (Kondolf and Matthews, 1993;  
24 Merz *et al.*, 2006; Elkins *et al.*, 2007). In Northern California, instream habitat improvement for

1 anadromous salmonids has been a major activity for the last 20 years (Kondolf *et al.*, 2007).  
2 Gravel augmentation aims to improve physical parameters such as depth, velocity and dissolved  
3 oxygen content that influence the hyporheic environment, salmonid spawning and embryo  
4 development (Merz and Setka, 2004; Merz *et al.*, 2004). Research on abiotic-biotic linkages on  
5 the lower Mokelumne River (LMR), California, U.S.A., has found that the quality and quantity  
6 of Chinook salmon (*Oncorhynchus tshawytscha*) spawning habitat serves as an effective  
7 ecological indicator of the health of this regulated river's aquatic ecosystem. High-quality  
8 spawning habitat was found to have high intergravel permeability and dissolved oxygen content  
9 (Merz and Setka, 2004), high abundance of macroinvertebrates (Merz and Ochikubo Chan,  
10 2005) and high survival of embryos to the fry life stage (Merz *et al.*, 2004). In addition,  
11 spawning riffle proximity to pools, flow separations (eddies), boulders, instream woody material  
12 and other refugia are essential to spawners and overall biodiversity (NRC, 1992).

13 Restoration monitoring should include both pre- and post-project data collection (Downs  
14 and Kondolf, 2002; Palmer *et al.*, 2005). Pre-project data, including success criteria, baseline  
15 surveys for parameters of interest, process-based design rationale, and thorough design drawings  
16 facilitate accurate design implementation and provide a basis for comparison when defining post-  
17 project success (Downs and Kondolf, 2002; Wheaton *et al.*, 2004a). Post-project appraisals,  
18 including as-built characterization and long term monitoring, drive adaptive management to  
19 evaluate restoration effectiveness, to define appropriate monitoring variables and scales and to  
20 link science with implementation (Downs and Kondolf, 2002; Wohl *et al.*, 2005). The combined  
21 effort of stakeholders, scientists and practitioners to acknowledge the uncertainty and complexity  
22 of rehabilitation projects would contribute to the development of effective methods within  
23 present constraints (Wohl *et al.*, 2005).

24 Currently, the goals of rehabilitation vary but many use river self-sustainability as an

1 approximation of pre-disturbance ecosystem functions and geomorphic-processes (Downs and  
2 Kondolf, 2002; Bernhardt *et al.* 2005; Palmer *et al.*, 2005). Unfortunately, scientific ideals for  
3 what restoration projects ought to achieve, such as holistic watershed management and  
4 comprehensive sediment budgeting, have proven unrealistic or not practicable as of yet  
5 (Wheaton *et al.*, 2004a; Wohl *et al.* 2005; Pasternack, in press). River restoration becomes  
6 practicable science as new technologies and methods are developed to apply advanced science  
7 and engineering at the reach and sub-reach scales, while still considering watershed and regional  
8 processes affecting local conditions (Kondolf, 1993). For example, rapid advancements in  
9 ecological and geomorphic applications of hydrodynamic modeling can be used to design  
10 detailed restoration plans of instream morphologic features (Pasternack *et al.*, 2004; Wheaton *et*  
11 *al.*, 2004b; Elkins *et al.*, 2007). In particular, features such as riffle crest elevations may need to  
12 be built within 0.15 m of design specifications to achieve model-predicted outcomes of water  
13 depth, velocity, and water surface elevation and avoid backwater effects on upstream habitat  
14 (Clifford and French, 1998). Whether most engineering contractors and watershed stakeholders  
15 could fulfill project specifications based on advanced science at a reasonable cost remains to be  
16 reported by individual project managers.

17 As an example of restoration post-project monitoring and adaptive management, this  
18 study uses analyses of pre-project, design, and as-built digital elevation models (DEMs) for five  
19 instream construction projects that emphasized salmonid spawning habitat rehabilitation. The  
20 main research goal was to evaluate the practicability of constructing highly detailed (<0.5-m  
21 relief) riverbed topography with rubber-tire front loaders in a regulated gravel-bed river. Project  
22 grading plans were designed using the Spawning Habitat Integrated Rehabilitation Approach  
23 (Wheaton *et al.*, 2004a,b), which has been shown to yield significant ecological benefits based  
24 on habitat suitability curves for salmonid spawners (Leclerc *et al.*, 1995; Elkins *et al.*, 2007).

1 Grading plans include topographic and volumetric cut-fill maps of the design that direct the front  
2 loader operators during construction. For the five projects investigated, the grading plans were  
3 designed to re-configure the channel based on geomorphic, ecological, and hydraulic processes,  
4 to build hydraulic structures using boulders and wood (Wheaton *et al.*, 2004c) and to mitigate the  
5 gravel deficit. While this study focuses on construction constraints determining post-project  
6 accuracy, it does not intend to justify the rehabilitation principles (i.e. Wheaton *et al.*, 2004a,b;  
7 Elkins *et al.*, 2007) used in the specific projects evaluated. As one of the first studies of its kind,  
8 this research offers practical information to the river management community about constraints  
9 to process-based design implementation. Future river restoration efforts can benefit from lessons  
10 learned in this study.

11

## 12 **1.2 Construction Constraints**

13 Before beginning the study, possible sources of uncertainty and error associated with in-  
14 channel construction were identified to help understand why as-built topography might deviate  
15 from a design. In this study, sources are grouped into supply uncertainties, construction  
16 operation errors, and as-built bulk density uncertainty.

17 Several economic and political factors influence the available gravel supply for design  
18 implementation. First, project sponsors may adjust financial support immediately before  
19 construction causing design changes. Second, project approval and funding allocation timing  
20 may allow fluctuations in gravel purchase and transport costs between the dates of budget  
21 specification, funding allocation, and construction. Third, gravel quarry companies may be  
22 unable or unwilling to deliver the specified material. Sometimes quarries hit a vein of  
23 excessively coarse or fine material, limiting the needed supply. Other times quarry managers  
24 may deal with river rehabilitation projects unfavorably because these infrequent and carefully

1 supervised projects place special demands upon them.

2         During gravel augmentation, construction operation errors arise because front loaders and  
3 operators work in a unique aquatic environment. Key constraints include front loader fording  
4 depth, poor operator elevation estimation, operator spatial disorientation, and obstructions by  
5 wood and boulders. Front loaders have a maximum fording depth before engine flooding occurs  
6 and operators have differing experience in aquatic construction. Each operator must decide how  
7 far to push gravel into deep areas such as pool/riffle transitions, while risking potential engine,  
8 transmission and gear box damage in excess of US \$200,000 to repair. Such risk makes  
9 construction near deep areas susceptible to error. The operator's ability to place gravel in the  
10 channel depends on their spatial awareness in relation to the designed grading plan. Decreased  
11 visibility in underwater construction impedes the operator's ability to build the desired  
12 topographic grade. Suspended sediments, waves, riffles, reflection and refraction decrease  
13 visibility. Tire submergence acts as the best depth reference estimate for front loader operators.  
14 Stakes and other marking devices improve orientation. However, since operators are not  
15 involved in the design process, they are unfamiliar with the morphologic features designed by  
16 project planners and their intended hydrodynamic functionality. Finally, overhanging  
17 vegetation, submerged wood, and boulders may limit tractor accessibility. In some cases it may  
18 be possible to move these materials during construction and replace them afterwards. When  
19 working in deep water it is necessary to work around these in-channel features.

20         Grading plans include topographic maps that show the volumetric difference between  
21 design and pre-project conditions. A gravel placement design uses pre-determined gravel  
22 volumes to meet project goals within set cost constraints. However, quarries sell gravel by  
23 weight not by volume. Placed gravel's as-built bulk density is an unknown variable determining  
24 whether the available supply of gravel is adequate to build the design. Unfortunately, gravel



1 bulk density is inconsistent and variable at different spatial scales. This study reports that actual  
2 as-built bulk density is variable without conclusive explanation.

3

## 4 **2. STUDY AREA**

5 The snow-fed Mokelumne River in California drains 1624 km<sup>2</sup> of the central Sierra  
6 Nevada (Fig. 1). It presently has 16 major water impoundments, including Salt Springs  
7 (175,032,089 m<sup>3</sup>, completed in 1931), Pardee (258,909,341 m<sup>3</sup>, completed in 1929) and  
8 Camanche (531,387,061 m<sup>3</sup>, completed in 1964) reservoirs that have dramatically altered the late  
9 spring snowmelt flow regime (Pasternack *et al.*, 2004). Pre-Camanche Dam bankfull discharge  
10 defined as the 1.5 to 2 year return interval flood was 120 m<sup>3</sup>/s; after the dam closure in 1964,  
11 bankfull discharge was reduced to 40 m<sup>3</sup>/s. Below Camanche Dam, the lower Mokelumne River  
12 (LMR) bed slope ranges from 0.10% near Camanche Dam to 0.02% near the Cosumnes River  
13 confluence, with the active channel now half its former width (present average 30 m; range 19-  
14 43 m). Post-dam channel incision has disconnected the remaining floodplain from the channel  
15 during all but the highest infrequent flow releases.

16 Camanche Dam inhibits downstream coarse-sediment delivery and blocks spawners  
17 traveling upstream. Coarse sediment- gravel, cobble, and boulders- is important to several  
18 salmonid lifestages, including spawning, incubation and rearing. Historic mining operations  
19 depleted instream gravel and cobble storage at and near the selected sites, creating deep  
20 sediment-transport barrier pits. Channel-mining tailings exist along the upper third of the LMR,  
21 but flow releases cannot access and mobilize them due to isolation behind berms and levees.  
22 Channel incision, bank reinforcement, and moderate vegetation encroachment lead to highly  
23 stable banks; thus, gravel recruitment from bank scour is minimal. As far as ~15 km downstream  
24 of Camanche Dam, the channel consists of shallow riffles and glides with compacted gravel and

1 cobble as well as deep runs and pools. During the 1980s and 1990s, limited amounts of gravel  
2 were placed in the river to enhance spawning riffles. Murphy Creek (Fig. 1), a small tributary,  
3 contributes some sand and fine gravels, with a slight increase since its most-downstream dam  
4 was removed in 2003.

5 The LMR is primarily managed for native anadromous salmonids (FERC 1993).  
6 Presently, the river supports over 35 native and non-native fish species including Chinook  
7 salmon (*Oncorhynchus tshawytscha*) and steelhead (*O. mykiss*) (Merz *et al.*, 2004). For the 19  
8 year period (1940 to 1942, 1945, and 1948 to 1963) before Camanche Reservoir was impounded,  
9 runs averaged ~3,300 spawners; however, pre-dam spawning areas were estimated to  
10 accommodate ~15,000 adult Chinook salmon at 11.3 m<sup>3</sup>/s (CDFG, 1991). USFWS (1997) called  
11 for a LMR fall-run Chinook salmon population target of 9,300. Average annual LMR salmon  
12 escapement has been monitored by video at Woodbridge Dam (1990-2007) as well as by  
13 seasonal carcass surveys over a longer period of time. Based on all available data and the latest  
14 analysis (Workman, 2007), the estimated adult Chinook salmon escapement has averaged 4,436  
15 fish per year (min 250 max 16,128) since Camanche Dam completion (1964) and 8,162 (min  
16 5,332, max 16,128) over the 10 years prior to this study. Most wild spawning occurs within 8  
17 km below Camanche Dam, yielding typically ~800-1000 redds annually. The Mokelumne River  
18 Fish Hatchery uses the majority of up-migrating fish to produce 3–9 million juvenile Chinook  
19 salmon. The Federal Energy Regulatory Commission (FERC) ranked factors limiting salmonid  
20 production in the LMR and determined that salmon-spawning habitat quality and quantity were  
21 the most important factors (FERC, 1993).

22

### 23 3. METHODS

24 Rehabilitation planning, design, implementation, and long-term monitoring on the LMR

1 have been guided by the Spawning Habitat Integrated Rehabilitation Approach (SHIRA).  
2 SHIRA (<http://shira.lawr.ucdavis.edu>) integrates concepts from hydrology, ecology, biology,  
3 geomorphology and engineering to design and evaluate alternative channel configurations for a  
4 degraded regulated river (Pasternack *et al.*, 2004; Wheaton *et al.*, 2004a,b). Two-dimensional  
5 (2-D) hydrodynamic models test the predictions of design hypotheses over  $10^{-1}$ - $10^4$  m scales  
6 (Pasternack *et al.*, 2006). 2-D models are used to evaluate design alternatives and final as-built  
7 project configurations down to the 0.1-1 m scale used by fish (evaluation methodology detailed  
8 in Wheaton *et al.* (2004b)). Monitoring is used to evaluate SHIRA predictions and drive  
9 adaptive management (Merz *et al.*, 2006; Elkins *et al.*, 2007). Although 2-D models and other  
10 tools used in SHIRA have uncertainty (MacWilliams *et al.*, 2006; Pasternack *et al.*, 2006) they  
11 help stakeholders understand the capabilities, complexities, and range of possible outcomes of  
12 rehabilitation projects. This helps to create realistic project goals.

13 SHIRA helped develop final grading plans for five different river rehabilitation sites  
14 (referenced by year in Figure 2). Cost-effective practices described in this study below were  
15 used to construct projects according to grading plans. Detailed topographic surveys were used to  
16 characterize and compare each site's pre-project and as-built condition, as well as to compare  
17 design plans with actual construction outcomes. The scientific and management foundations of  
18 each specific design are presented elsewhere (e.g. Wheaton and Pasternack, 2002; Wheaton *et*  
19 *al.*, 2004b; Pasternack *et al.*, 2006; Elkins *et al.*, 2007) and are not directly relevant to answering  
20 the scientific question posed in this study.

21

### 22 **3.1 Grading Plans**

23 To create the grading plan for each project, a baseline digital elevation model (DEM) was  
24 developed for the pre-project state of each site (Figs. 4-8, top panel). Next, alternative design

1 scenarios were developed, evaluated and reduced to the final design based on various selection  
2 criteria (Wheaton *et al.*, 2004a,b; Elkins *et al.*, 2007). Finally, the selected design DEM was  
3 used to generate the grading plans for construction.

4 Topographic data was obtained using a Topcon GTS-802A or LEICA TPS1100 (or  
5 TPS1200) robotic total station. Surveying was conducted by wading with a prism pole and using  
6 a small rubber raft in un-wadable areas to obtain point densities of  $\sim 1\text{-}1.5$  points/m<sup>2</sup> for each site.  
7 Key breaklines included bank toes, boulders, and slope breaks. Supplemental surveying of  
8 boulders and wood used a higher point density of  $\sim 10$  points/m<sup>2</sup>. Surveying accuracy was  
9 assessed using control network checks and was found to average  $\pm 0.0035$  m horizontally and  
10  $\pm 0.0039$  m vertically.

11 Topographic data were imported into Autodesk Land Desktop 3 to create each baseline  
12 DEM. The four iterative stages of DEM development as described by French and Clifford  
13 (2000) were implemented: interpolation, visualization, editing, and augmentation. First, survey  
14 data were interpolated and a surface defined respecting breaklines. Next, the surface was  
15 visualized as a map and edited to remove obvious interpolation errors. The revised surface was  
16 visually verified in the field to check for poorly represented areas in the DEM. Further iteration  
17 was done as needed.

18 Final design scenario DEMs were developed using the pre-project DEM as a baseline.  
19 Points and contours were modified and augmented in Autodesk to describe the final design  
20 surface. The gravel volume of each design was determined by DEM differencing between  
21 design and pre-project DEMs. The design volume was converted each year to weight based on  
22 Merz *et al.* (2006), who determine an average dry bulk density of  $1.645 \pm 0.054$  metric tons per  
23 m<sup>3</sup> from bucket tests at a nearby quarry. Designs were iterated to yield estimated design weights  
24 corresponding to the contractual purchase weights of 907, 1906, 2087, 3554, and 3301 metric

1 tons for each year chronologically 2001-2005.

2           Grading plans included laminated maps with corresponding markers placed at the  
3 construction site to provide reference points to the front loader operator and project workers.  
4 The set included contour maps of the (1) pre-project DEM, (2) final design DEM, (3) gravel-fill  
5 depth and (4) 2-D hydrodynamic model (FESWMS 3.1) predicted water depth for the discharge  
6 during construction. In addition, close-up views of final design features were provided on  
7 supplemental pages. In 2001-2004, bright markers on trees denoted approximate bed feature  
8 locations but not the upstream limits for feature construction. Visual inspection of depth was  
9 made by wading around the site with a stadia rod to check construction progress.

10           In 2005, a grid-based approach replaced the feature-based reference points and grade  
11 checking measures. A 6.1 x 6.1 m bed-elevation grid was extracted from the final design DEM  
12 and imported into a Leica TPS1200 total station. Labeled and brightly-painted wood stakes were  
13 posted on the banks in 6.1-m (20') intervals down the channel as a visual aid for the front loader  
14 operator. These stakes were used to thoroughly check elevations in the grid during and after  
15 construction.

16

### 17 **3.2 Construction Approach**

18           Each year 2001-2005, a single geomorphic unit on the LMR was augmented with coarse  
19 sediment and re-contoured using a front loader according to the given grading plan for that year.  
20 Each year's separate site is referenced in Figure 2. Coarse sediment used for each project varied  
21 depending upon available funding and project costs. The sediment consisted of washed 25-150  
22 mm diameter river gravel (CDFG, 1991; Kondolf and Wolman, 1993) from an open floodplain  
23 quarry located 0.5 km from the active channel. Sediment was transported to each site in 15.3-m<sup>3</sup>  
24 (20-yd<sup>3</sup>) dump trucks. To the extent possible sediment was poured directly into the channel to

1 avoid losses on the floodplain or on roads, but some material was stockpiled at access points.  
2 Concurrent with sediment delivery, the front loader was used to re-contour the bed by scooping  
3 up a bucket full of material, transporting it to the desired location, and dropping it into place  
4 (Fig. 3). After the gravel bed was contoured, the front loader placed ~10-20 boulders (0.6-1.2 m  
5 diameter) and ~5-10 pieces of wood (trunks up to 0.6 m diameter) throughout each site to  
6 increase downwelling, channel complexity and cover for spawning salmonids (House, 1996;  
7 Geist and Dauble, 1998; Merz, 2001). Boulders were free to adjust naturally. Wood was  
8 partially buried with placed sediment so that immediate transport would not occur. Depending  
9 on the amount of material placed and the number of dump trucks available to bring in the  
10 sediment, construction took 3-8 days.

11 Each project utilized a ~20 metric-ton, front loader with rubber tires and a 3.82-m<sup>3</sup> (5-  
12 yd<sup>3</sup>) bucket capacity to construct instream design features (Fig. 3). Construction equipment  
13 specifications and phone correspondence with dealers were used to compile information about  
14 front loader capabilities (Table 1). Since front loaders were used during flows of 7-13 m<sup>3</sup>/s, a  
15 primary concern was the maximum fording depth. Manufacturers recommend not fording past  
16 the wheel hub height; this generally coincides with the bottom of the oil pan on which the engine  
17 sits. Fording past this depth can suck water into the transfer cases and transmission resulting in  
18 expensive damage as experienced in 2003.

19 As-built bulk density may vary due to repetitive front loader traffic, particle size  
20 distribution, and gravel breakage. For each project year, the median grain size of the placed  
21 material was estimated using 3-5 instream pebble counts of >100 grains each after installation  
22 (Table 2). The footprint of compaction for each loader was calculated from construction photos  
23 of front loader wheels not submerged in water. On average, front loaders sank into the gravel  
24 half the radius of the wheel hub. From this approximation, the surface area in contact with

1 gravel was calculated using tire dimensions. Finally, each loader's weight was divided by the  
2 four tires' total surface area to obtain stress on the gravel bed (Table 1). How the front loader  
3 affects bulk density is an important variable in determining erodibility and hyporheic water  
4 quality. Total gravel breakage was not quantified but may influence bulk density.

5

### 6 **3.3 As-built DEM**

7       Once construction was completed the site rested for 1-3 days to account for rapid settling  
8 (Merz *et al.*, 2006) before performing an as-built topographic survey. DEMs were generated for  
9 the as-built condition by the same method as the pre-project baseline (Figs. 4-8, bottom panel).  
10 The as-built DEM represents approximate spawning conditions at the site during the months  
11 immediately after construction. In situ bed porosity and bulk density were not monitored  
12 through time to quantify settling in this study, but were previously estimated to be a significant  
13 contributor to gravel deflation (Merz et al. 2006).

14

### 15 **3.4 DEM Analysis**

16       Using the DEM-differencing algorithm in Surfer 8 (Golden Software, Golden, CO), as-  
17 built DEMs were compared to design DEMs to determine the magnitude and spatial pattern of  
18 volume and elevation difference. Sets of DEMs for each site were imported into Surfer and used  
19 to generate identical high-resolution DEM grids. By overlaying the separate design and as-built  
20 gridded DEMs, Surfer calculated gross cut (in underfilled areas), gross fill (in overfilled areas),  
21 and net volume difference. Also, Surfer's Grid Math function subtracted design elevations from  
22 the as-built elevations to yield a DEM-difference map with areas of positive (overfilled) and  
23 negative (underfilled) as-built elevation deviations relative to the design surface. As-built DEMs  
24 were also differenced against pre-project DEMs to obtain actual as-built volume, enabling as-

1 built dry bulk density calculations using the delivered gravel dry weight.

2 DEM difference error was evaluated on an elevation and volumetric basis to determine  
3 the amount of underfill or overfill representing significant deviation from the design.

4 Topographic surveying error included vertical set-up accuracy of  $\sim \pm 0.004$  m and prism-pole  
5 placement errors of  $\sim \pm 0.01$  m. Given that bed particle size was in the 0.03-0.17 m range,  
6 vertical resolution was not limited by surveying accuracy, but by natural surface heterogeneity.  
7 Thus for this study, significant deviation was defined as more than a 0.15 m absolute difference  
8 from the design elevation. The direction of the deviation was either overfill ( $> +0.15$  m) or  
9 underfill ( $< -0.15$  m) relative to the design.

10 A volumetric error analysis to constrain deviations resulting from varying point densities  
11 and point locations between two DEMs of the same area on the LMR was performed by Merz *et*  
12 *al.* (2006). They reported an average error of  $+2$  m<sup>3</sup> per 100 m<sup>2</sup> of channel surface area. Since  
13 project areas were  $\sim 2000$ - $4000$  m<sup>2</sup>, volumetric errors were  $\pm 40$ - $80$  m<sup>3</sup>. Thus, a volumetric  
14 difference between design and as-built surfaces exceeding  $\pm 80$  m<sup>3</sup> indicated real surface  
15 variation.

16 In order to visualize volumetric differences between design and as-built topography,  
17 DEM difference maps were made using shades of red to denote underfill and blue to denote  
18 overfill. The darkest red shade corresponds to the lowest elevation (gravel deficit) and the  
19 darkest blue shade corresponds to the highest elevation (gravel excess). For each project year,  
20 this volumetric difference data was exported from Surfer and analyzed in Microsoft Excel.  
21 Histograms were used to determine the frequency of areas categorized into 0.15-m contour  
22 intervals as well as the percentage of occurrence of areas of overfill, underfill, and insignificant  
23 difference (Table 3).

24



### 1 **3.5 Construction Observations**

2 Each significant elevation deviation from the design had an explainable cause. These  
3 were organized into broad categories: (1) gravel supply uncertainty, (2) construction operations  
4 and (3) gravel bulk-density differences. Determinations of gravel-supply uncertainty and bulk-  
5 density differences as causes of elevation error were based on comparing calculated design,  
6 purchased, and as-built gravel volumes for each project. Also, if the available gravel supply was  
7 depleted prior to design completion, then the cause of error associated with unfilled areas was  
8 attributed to gravel supply uncertainty.

9 For all projects, design crew members gave a qualitative interpretation for each  
10 significant error's source associated with construction operations. In each year, two lead  
11 scientists (authors Pasternack and Merz) provided consistent interpretation of methods. Staff  
12 scientists who had helped in the design process were present during construction each year.  
13 Also, front loader operators (one or two per project year) reported their experiences. While no  
14 formal construction appraisal process was available, all participants discussed construction  
15 constraints and developed a consensus for the problems encountered in each project. It was often  
16 agreed that individual areas of elevation error had multiple causes. Error sources were tabulated  
17 for each year and compared across years. Although this approach is qualitative, its repetition  
18 through five projects under guidance of the same individuals provided reasonable consistency.

19

## 20 **4. RESULTS**

21 The DEM difference plots show inaccuracy when constructing specified elevations to  
22 within  $\pm 0.15$  m. Overall, 29% of as-built topography deviated from designs (Table 3).

23 Deviations commonly occurred when gravel placement starting locations for riffle construction  
24 were too far upstream with a steep riffle entrance slope using too much gravel. This often left

1 inadequate gravel to build the desired riffle exit slopes leaving them underfilled. In addition,  
2 complex bed features were generally built with excessive relief, yielding higher bar tops and  
3 lower chute troughs, a typical result of operator spatial disorientation and poor operator elevation  
4 and depth estimation. Detailed results for each project are presented to demonstrate these and  
5 other significant deviations. In all project years, the front loader created tire tracks 0.15-0.3 m  
6 deep. For each project, specific locations of significant deviation are numbered to simplify  
7 presentation in Figures 9-13. In this section, areas of significant deviation are reported as the  
8 absolute value of elevation difference: either  $>0.15$  m underfilled or  $>0.15$  m overfilled.

#### 10 **4.1 The 2001 Project**

11 The 2001 project design was specified to have an as-built volume of  $1,147 \text{ m}^3$ ,  
12 corresponding to an estimated supply of 1,887 metric tons of gravel, with 980 metric tons of that  
13 planned to be salvaged from abandoned beds of adjacent hatchery channels at no cost (Table 2).  
14 The salvage operation only yielded 400 metric tons of usable gravel, making on-site design  
15 changes necessary to account for an overly ambitious design. Nevertheless, 81% of the 2001 as-  
16 built project area was within  $\pm 0.15$  m of the design surface. Of the 19% of area with significant  
17 deviation, 83 % was  $>0.15$  m underfilled and 17% was  $>0.15$  m overfilled.

18 Design versus as-built DEM comparison yielded six areas of significant deviation (Fig.  
19 9). The main sources of error were gravel deficits, operator spatial disorientation and poor  
20 operator elevation and depth estimation (Tables 4, 5). The *ad hoc* adjustments accounting for  
21 reduced gravel supply included eliminating gravel placement in areas 1 and 6 and reducing  
22 placement in areas 4 and 5 (Fig. 9). Operator's spatial disorientation was responsible for  
23 overfilling areas 2 and 3. Operator's poor elevation and depth estimation also accounted for  
24 underfilling areas 4 and 5. The purchased gravel volume equivalent of 1,307 metric tons was

1 estimated to be 794 m<sup>3</sup> but the actual as-built volume was 649 m<sup>3</sup> (Table 2). As-built bulk  
2 density exceeded the quarry value by ~22%, yielding a higher density of 2.013 metric tons per  
3 m<sup>3</sup> as opposed to the bucket-test estimate of 1.645 ±0.054 metric tons per m<sup>3</sup>.

#### 5 **4.2 The 2002 Project**

6 The gravel shortage in 2001 prompted adapting the 2002 design to include gravel  
7 placements of varying priority depending on purchased gravel availability. The design specified  
8 an as-built volume of 1,448 m<sup>3</sup> assuming all features could be completed, and then 2,786 metric  
9 tons equaling an estimated 1,694 m<sup>3</sup> was purchased. Overall, 79% of the 2002 design versus as-  
10 built project area was within ±0.15 m of the design surface. Of the 21% of areas that deviated  
11 from the design, 43% of the as-built survey area elevations were >0.15 m overfilled, and 57%  
12 were >0.15 m underfilled.

13 The DEM comparison found eleven areas of significant deviation (Fig. 10). In 2002,  
14 several factors caused deviations: most were attributed to poor operator estimation of  
15 elevation/depth (31%) and fording depth limitations (25%) (Tables 4, 5). As shown in Fig. 10,  
16 design deviations in area 1 resulted from the loader constructing the riffle crest too far  
17 downstream and in area 5 from mistakenly filling a designed pool. Both deviations were related  
18 to operator spatial disorientation. Poor operator elevation estimation resulted in overfilling areas  
19 2 and 6 and underfilling areas 3, 4, and 7. These elevation errors yielded less relief between the  
20 central bar and side channel. Area 8 was underfilled due to overfilling upstream. The operator  
21 did not place boulders at the exact designed locations, resulting in surveying errors around  
22 boulder clusters at area 9. The DEM difference between as-built and pre-project conditions  
23 yielded an as-built volume and bulk density of 1410 m<sup>3</sup> and 1.976 metric tons per m<sup>3</sup>,  
24 respectively (Table 2). Once again, as-built bulk density exceeded the quarry value by ~20%.

1

### 2 **4.3 The 2003 Project**

3           The 2003 project was the first phase of a 2-year scheme to rehabilitate the river's  
4 longitudinal profile and enhance its floodplain connectivity downstream of Camanche Dam.  
5 This project's adaptive design was not only spatially but also temporally sectioned so that  
6 deviations could be addressed in 2004. The 2003 section's final design required 2,020 m<sup>3</sup> of  
7 gravel but 3,217 metric tons estimated to yield 1,955 m<sup>3</sup> was purchased. In 2003, 64% of the  
8 total as-built project area was within  $\pm 0.15$  m of the design. Of the 36% total area significantly  
9 deviating from the design, 31% was  $>0.15$  m overfilled and 69% was  $>0.15$  m underfilled.

10           The design versus as-built comparison project results (Fig.11) showed eight significant  
11 deviations from the design starting from the upstream end of the project site. Design deviations  
12 in 2003 included: 55% from operator errors and 28% from channel conditions and/or vegetation  
13 obstructions limiting fording depth (Tables 4, 5). Operator spatial disorientation resulted  
14 because the channel was much wider at this site. Markers on trees were ineffective due to  
15 distance between markers and design features. Delivery trucks dumped gravel at area 3 which  
16 was overfilled. Poor operator estimation of depth in underfilled areas 4 and 6 yielded an  
17 oversized thalweg. Despite a 3.2% gravel deficit, this project had the highest observed as-built  
18 bulk density, 2.120 metric tons per m<sup>3</sup>, for all project years (Table 2).

19

### 20 **4.4 The 2004 Project**

21           The 2004 project completed the two-phase design plan below Camanche Dam to  
22 rehabilitate downstream riffles and maintain high quality habitat. The design required 1,667 m<sup>3</sup>  
23 equivalent to an estimated 2,743 metric tons of gravel, and then 3,012 metric tons was provided.  
24 Overall, 68% of the 2004 total as-built project area was within  $\pm 0.15$  m of the design. Of the

1 32% significantly deviating from the design, 58% was >0.15 m overfilled and 42% was >0.15 m  
2 underfilled.

3 Design versus as-built DEM analyses found eleven significant deviations (Fig.12);  
4 operator error caused half of these (Tables 4, 5). Areas 2 and 3 illustrate the tendency to overfill  
5 upstream sections of a project area, leaving downstream areas such as 6, 7, and 11 underfilled  
6 (Fig.12). This project's operator was only willing to work in very shallow water. For example,  
7 areas 3 and 8 were used as a safe pathway for gravel transport to the upstream section, but after  
8 construction this area was not re-graded down to the designed elevation. Area 8 received an  
9 extra 338 m<sup>3</sup> of fill due to spatial disorientation and proximity to a deep pool. Areas 5 and 9  
10 illustrate minor DEM deviations due to boulder misplacement. Submerged wood and riparian  
11 shade trees played a role in limiting placement in areas 5, 6, 7, and 10. The as-built bulk density,  
12 1.502 metric tons per m<sup>3</sup>, was closest to the quarry estimated value (Table 2).

13

#### 14 **4.5 The 2005 Project**

15 The 2005 project extended the rehabilitated longitudinal profile constructed in the 2003  
16 and 2004 projects further downstream (Fig 2). The design required 1,950 m<sup>3</sup> (3,208 metric tons  
17 of gravel) and 3,384 metric tons was supplied (Table 2). Overall, 62% of the 2005 total as-built  
18 area was within  $\pm 0.15$  m elevation difference of the design. Of the 38% deviating from the  
19 design, 58% was >0.15 m overfilled, and 42% was >0.15 m underfilled. This greater percent of  
20 area with significant deviation relative to other years is explained by the relatively small project  
21 area and the greater fill depth associated with eliminating this unnatural pit.

22 Ten areas of significant deviation (Fig. 13) were found in the DEM comparison of design  
23 versus as-built topography for 2005. Intentional on-site design changes to accommodate wood  
24 placement caused an overfill in area 5. Area 8, the designed thalweg, was accidentally

1 overfilled, which pushed it further toward the left bank than designed. The operator also filled  
2 ~15 m too far downstream past the designed project area, yielding area 9 with the largest volume  
3 of overfill for this year.

4 Operator gravel placement methods caused most of the 2005 site's errors. Like the 2004  
5 project, there was a gravel surplus in 2005. However, unlike 2001-2004, the design of entrance  
6 and exit slopes for 2005 explicitly accounted for front-loader fording-depth capability and the  
7 unwillingness of the 2004 operator to risk damage to the front loader. As a result of this  
8 adjustment, it was easier to ascribe the relatively minor design deviations in areas 3, 4, 5 and 6 to  
9 poor elevation estimation by the operator, who turned out to be the same individual as from  
10 2004. A surveyor periodically checked elevations referencing a grid with corresponding stakes  
11 at 6.1 m (20') intervals along the bank to aid the operator. The surveyor could guide the operator  
12 to improve grading but the front loader's tire tracks 0.15-0.3 m deep were unavoidable.  
13 Although tracks were observed in previous years, the 2005 project relied most heavily of all on  
14 having a surveyor guide the front-loader operator to ascertain if this would be an effective aid.  
15 Vegetation obstructions greatly hindered placement along the right-bank at area 7; thus, the  
16 operator overfilled the thalweg at area 8. Overfill in area 9 resulted from the following: (1)  
17 surplus gravel (2) right bank inaccessibility and (3) proximity to the front loader access point  
18 along the left bank. A deep downstream pool prevented shifting the gravel from area 9 to area  
19 10. The as-built bulk density of the project was 1.434 metric tons per m<sup>3</sup>; lower than the  
20 estimated quarry value. This enabled operators to fill an extra 409 m<sup>3</sup> of the site (Table 2).

21

## 22 **5. DISCUSSION**

23 The main goal of this study was to characterize as-built topography deviations from  
24 designs to aid adaptive management of geomorphic-unit rehabilitation construction methods.

1 Another goal was to provide an example of an as-built assessment of design implementation,  
2 something that is lacking in the scientific literature. The National River Restoration Science  
3 Synthesis (NRRSS) found that only 10% of the ~37,000 river restoration projects nationwide  
4 recorded some form of assessment or monitoring (Bernhardt *et al.*, 2005). California has done  
5 somewhat better, where 22% of 4000 projects reviewed report monitoring (Kondolf *et al.*, 2007).  
6 River managers and stakeholders should continue to increase monitoring and post-project  
7 appraisals; however, insufficient funding and inconsistent agency mandates continue to inhibit  
8 the accomplishment of this collective goal (Wohl *et al.*, 2005). East Bay Municipal Utility  
9 District and the University of California at Davis have monitored LMR project sites for sediment  
10 budgets, channel hydraulics, inter-gravel permeability, dissolved oxygen concentrations, water  
11 temperature, macro-invertebrate diversity, and spawning patterns since 1998 (Pasternack, 2006).

12 The following subsections evaluate the overall practicability of design implementation by  
13 the aforementioned methods. Each design deviation source is assessed by category with  
14 recommendations for future projects. Then, these sources of error will help define criteria to  
15 assess successes and failures from ecological and geomorphic perspectives.

16

## 17 **5.1 Sources of Errors in Design Implementation**

### 18 *5.1.1 Supply Uncertainties*

19 The actual supply of gravel delivered for 2001 was deficient by 31%, but every year  
20 thereafter was within a few percent of the specified amount or in significant excess (Table 2). In  
21 2001, the deficiency resulted from overestimation of salvageable gravel from an old hatchery  
22 channel. As a response to this uncertainty encountered in 2001, project planners in subsequent  
23 years designated low priority areas in which to abandon construction if contracted gravel was not  
24 delivered. Additionally, project planners continually sought funding for additional gravel to

1 supplement guaranteed funding sources. Flexible designs and consistent effort to obtain gravel  
2 solved the supply deficit problem in project years 2002 to 2005. Another supply uncertainty may  
3 arise when the time between funding allocation and actual construction is delayed over several  
4 years, which occurred for a SHIRA project on the Trinity River below Lewiston Dam. During  
5 delays, gravel and diesel fuel prices may fluctuate and decrease the purchasing-power of  
6 allocated funding.

7

### 8 *5.1.2 Construction Operations*

9 Over all project years, the most common construction error was the operator's poor  
10 elevation/depth estimation, which accounted for 28% of all errors (Table 5). Operator spatial  
11 disorientation, wood obstructions, gravel deficits, and fording limitations had nearly equal  
12 occurrences each accounting for 13-18 % of errors (Table 5). Surveying errors, boulder  
13 placement deviations, and operator experience also contributed to problems with each project.

14 Combined factors influenced inaccurate riffle construction adjacent to deep pools.  
15 Adjacent banks lacked thorough markers to clearly identify upstream and downstream gravel  
16 placement limits of individual features. The operators repeatedly used excess gravel to construct  
17 riffle entrances leaving a deficit for riffle exits. However, this problem persisted even when  
18 those locations were clearly marked in 2005, because front loaders can only build steeply-sloped  
19 features. Equipment limitations prevented operators from creating the designed gentler riffle  
20 entrance and exit slopes. However, gravel tends to landslide down steep slopes so over time a  
21 gentler gradient will develop on its own. Alternately, an excavator located on the bank would  
22 eliminate error due to fording limitations but would have limited access to channels wider than  
23 its arm length (~4-7 m) and may damage the riparian corridor.

24 For front loader construction, project planners must design topography without gentle



1 slopes. This simplified design approach was used in 2005 and proved highly practicable. Also,  
2 overhanging vegetation and dead wood obstructions (especially along the river-right bank)  
3 constrained accurate design implementation in all years in the locations where those features  
4 occurred (Fig. 3). Front loaders cannot easily place gravel beneath overhanging vegetation or  
5 around wood pieces near pools; thus, the project design should not include construction in these  
6 areas. As an alternative, placement of gravel upstream can promote eventual infilling around  
7 wood.

8         It was important to have in-depth conversations with the operators throughout the project  
9 to facilitate understanding between designers, managers, and operators. For instance, an on-site  
10 conversation with the 2004-2005 operator toward the end of the 2005 project increased the  
11 design team's understanding of the operator's gravel placement methodology. The operator  
12 suggested initially underfilling an area and then adding extra gravel to construct the designed  
13 grade, because initial overfill is difficult to reduce to the desired elevation. These statements are  
14 supported by net overfill in 2004 and 2005 (Table 3). These areas were not corrected because  
15 the operator and front loader were unable to back-scrape effectively. Given that projects are only  
16 built for a few days each year and that the participants change, a lack of time exists for  
17 developing highly effective integration and teamwork between all project participants.

18         Grid-based stakes were intended to be more effective than feature-based stakes. The  
19 feature-based method provided fewer markers and required more estimation by the operator who  
20 tended to misalign or accentuate features. Grid-based markers placed at ~6 m intervals along the  
21 bank did not significantly aid the operators' gravel placement accuracy and in-channel markers  
22 were not used. Additionally, surveyor elevation checks and flow path evaluations were used to  
23 help the operator improve grading, but once the bed was within 0.15-0.3 m it was difficult to  
24 improve due to tire tracks. Operator elevation checks using tire submergence were difficult on

1 finer gravel because the front loader had difficulty gaining traction on deep, small gravels. Tire  
2 tracks ~0.1-0.2 m deep streaked the length of each project in 2001 and 2002. To test whether  
3 Chinook would use transverse tracks as proto redd dunes the front loader ran cross-channel at the  
4 end of construction in 2003-2005, but subsequent spawning showed no related pattern (Elkins *et*  
5 *al.*, 2007). Future gravel augmentation projects with front loaders should consider using in-  
6 channel markers and grid-based stakes placed at a finer resolution to measure gravel fill and  
7 water depth at key locations. A recent trial of feature-based, in-channel staking with color-coded  
8 labels to match fill depths was attempted during the 2007 project on the LMR. The project  
9 manager anecdotally reported the approach to be effective.

10

### 11 *5.1.3 As-built Bulk Density*

12 This study found that as-built bulk density of placed gravel in a river can vary  
13 significantly. Merz *et al.* (2006) studied bulk density effects at a single streambed-enhancement  
14 site within the same river reach as investigated in this study. They reported that bulk density of  
15 dry gravel measured in six, 19-L buckets at a nearby quarry was  $1.645 \pm 0.054$  metric tons per  
16  $m^3$ . Averaging across all projects, the bulk density of the as-built projects was 1.809 metric tons  
17 per  $m^3$ , which is within 10% of the bucket-test value. However, the range of values was 1.434-  
18 2.120 metric tons per  $m^3$ , corresponding to 9-29% deviations from the estimated quarry value.  
19 Theoretically, bulk density is affected by the particle size distribution in each project and by  
20 compaction and breakage during the placement process (Merz *et al.*, 2006). The two least  
21 densely packed projects corresponded with the deliveries that had the two largest median grain  
22 sizes (Table 2). Similarly, the two most densely packed projects corresponded with the  
23 deliveries that had the two smallest median grain sizes. However, the data is too sparse and noisy  
24 to show a definitive relationship between as-built bulk density and particle size distribution.

1 Front loader movement over the riverbed during construction causes gravel compaction  
2 to an unknown degree. During construction, a front loader enters the river at designated points to  
3 limit riparian damage and thus drives over built gravel paths many times en route to placement  
4 points. Due to repeated traffic, areas closest to the placement points may have a greater bulk  
5 density. The 2001-2003 sites were constructed using a single access point at the upstream end of  
6 each site, whereas the 2004 and 2005 sites were constructed using 2 access points each. Multiple  
7 access points reduce compaction due to loader traffic over placed material. Using multiple  
8 access points or placing material with an excavator instead of a front loader may result in a more  
9 predictable as-built bulk density. However, designs are made on a volumetric basis and gravel is  
10 purchased by weight. The design and construction process must use the best estimate of as-built  
11 bulk density so that contracted gravel supply volume will yield elevations of the designed  
12 channel morphology. This uncertainty requires further investigation.

13 In the case of optimal design compliance, only construction compaction or grain  
14 breakage would affect the channel bed elevation; however, this is not a realistic expectation.  
15 Through 2003, Merz *et al.* (2006) monitored the fate of gravel placement projects built on the  
16 Mokelumne in 1999, 2000, and 2001. At the end of the first year, each site had 14-20%  
17 volumetric loss. Subsequent annual losses were 3-10%. Although some of the losses were  
18 attributable to surficial scour, detailed analysis of a variety of mechanisms revealed that the  
19 majority of loss was attributable to deflation and compaction in this low-slope setting (Merz *et*  
20 *al.*, 2006). To address this, managers can build projects with vertical or overlapping layers  
21 phased over multiple years. Then gravel can self-adjust and adaptive design can respond to  
22 observed changes. This is also beneficial because it spreads funding needs over multiple years.  
23 Elkins *et al.* (2007) found no detrimental biological effects of phasing construction in this way  
24 during a 2003 to 2004 slope creation gravel augmentation project at the LMR.

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## 5.2 Ecological Implications of Geomorphic-unit Rehabilitation

This study determined that 70% of all as-built topography matched the designs. Before implementation, these project designs were evaluated using the SHIRA framework, based on ecological, geomorphic, and hydrologic processes. Collectively, these projects can be considered successful according to aforementioned project goals. Physical measurements taken pre- and post-gravel placement in 2000-2002 at one rehabilitated site showed increased water velocities, intergravel permeability, and dissolved oxygen as well as reduced depths and temperature differences between ambient and intergravel water (Merz and Setka, 2004). In addition, Merz and Ochikubo Chan (2005) found that clean gravels introduced into the LMR during 1996-2000 were colonized within 4 weeks by benthic macroinvertebrates of statistically equal density, biomass, and species richness as unenhanced spawning sites.

The 30% of rehabilitated channel area that deviated from designs did not affect predicted high quality habitat areas. Elkins *et al.* (2007) showed that high quality habitat (as defined by SHIRA) increased by 471% after the 2003 and 2004 combined projects. Also, predictions about preferential use of high quality spawning habitat by Chinook salmon in 2003 and 2004 were statistically confirmed by comparing them against actual observed spawner utilization at these sites (Elkins *et al.*, 2007). However, the presence of physical habitat is just one factor influencing salmonid populations. In California, watershed degradation, diversions, high precipitation variability, and predation interactions between introduced and wild stocks all influence salmonids (Moyle, 1994). The overall success of habitat rehabilitation is not completely dependent on habitat availability. Nevertheless, the use of SHIRA and related process-based methods appears to effectively eliminate habitat quality and quantity from the set of cumulative impacts hurting aquatic populations.

1           To account for expected construction uncertainty based on typical operator errors  
2 observed in this study, one approach would be to perform a pre-project modeling experiment  
3 determining the maximum allowable as-built depth deviation before a decline in high quality  
4 habitat would be expected to occur. Project designers could run 2-D hydrodynamic models of  
5 theoretical as-built topography with increased positive or negative elevation deviation at areas of  
6 concern. For example, a key test would check the impact of incorrect riffle crest elevation,  
7 which is highly sensitive and responsible for setting upstream backwater conditions (Clifford and  
8 French, 1998). Model outputs with various deviations could then be evaluated for their pattern  
9 of habitat quality for target species and life stages by coupling model results with habitat  
10 suitability curves (from Leclerc *et al.*, 1995). Though time consuming, this analysis would help  
11 project managers determine acceptable design deviations that still meet project goals.

12

### 13 **5.3 Geomorphic Self-Sustainability as a Goal of Rehabilitation Projects**

14           Currently, defining success or failure of river restoration projects depends on the stated  
15 goals of the individual project. Ecologists and geomorphologists are still developing acceptable  
16 process-based success criteria imperative to advancing river restoration, but the universality of  
17 such criteria for all rivers in all environments remains highly uncertain (Downs and Kondolf,  
18 2002; Palmer *et al.* 2005). Depleted gravel supply and high transport capacity below persistent  
19 dams will affect the self-sustainability of gravel augmentation and it is doubtful that functional  
20 high-quality aquatic habitat can be maintained without on-going intervention (Pasternack, in  
21 press). Brooks *et al.* (2006) emphasize that regular intervention may not be a desirable  
22 management goal, but it is the reality of river rehabilitation in supply-limited systems, especially  
23 in the incipient stages of recovery.

24           Since Camanche Dam was built in 1964, the LMR has incised and degraded riffles into

1 homogeneous glides. The main channel is disconnected from available sediment storage in  
2 historical side channels and island complexes. Thus, multiple gravel augmentations and habitat  
3 enhancement projects on the LMR are not indicative of individual projects lacking self-  
4 sustainability, but rather of a commitment to gradually mitigate a 40-year gravel deficit estimated  
5 as ~50,000 metric tons (Pasternack, 2006). According to the overall long-term management  
6 plan, the first phase of gravel augmentation and habitat rehabilitation 1999-2010 is expected to  
7 largely undo that long-term deficit. As of September 2007 a total of 29,873 metric tons have  
8 been placed. Once a baseline geomorphic balance has been restored to the system, then long-  
9 term self-sustainability will be promoted with small gravel injections at the dam to meet annual  
10 conveyance needs as estimated by Merz *et al.* (2006). Though the longevity of gravel  
11 augmentation projects is still unknown, long-term monitoring will facilitate adaptive  
12 management. The highly variable hydrology of the Californian Mediterranean climate may  
13 require a longer monitoring period to incorporate infrequent high flow events into project  
14 assessment (Kondolf *et al.*, 2007) even in a regulated river such as the lower Mokelumne River.

15

## 16 6. CONCLUSION

17 In this investigation, the construction of detailed river rehabilitation designs emphasizing  
18 salmonid spawning habitat as the key ecological indicator was monitored and the sources of  
19 design deviations assessed. Overall, 70% of the 5 projects spatially replicated the designed  
20 topography. Construction with a front loader reasonably approximated the design features with  
21 more than 0.5 m relief. As evaluated in other studies (Wheaton *et al.*, 2004b, c; Merz *et al.*,  
22 2006; Pasternack *et al.*, 2006; Elkins *et al.*, 2007), the construction yielded desired hydrologic  
23 and geomorphic processes for enhanced ecological productivity. Of the 30% of total as-built  
24 project area that deviated from the design surface, every project year showed regions of

1 underfilling and overfilling. In the 2001, 2002, and 2003 projects, significant deviations were on  
2 average underfilled. In 2004 and 2005, the areas of significant deviation were overfilled.

3 Three major categories of deviations were found - gravel supply uncertainties,  
4 construction errors and as-built bulk density differences. Project management uncertainties  
5 made gravel supply deficits and as-built bulk density differences difficult to eliminate. We  
6 recommend developing spatially sectioned designs with an established prioritization for  
7 construction. Then gravel placement over multiple years can enable adaptive design and  
8 construction. Gravel supply deficits were consistently exacerbated by the operator's tendency to  
9 place too much gravel on riffle entrances. Operator spatial disorientation and front loader  
10 fording depth limitations prevented riffle entrance slope construction at the correct location. It is  
11 recommended to use fine resolution, on-bank and in-channel grid-based staking, frequent  
12 elevation checks and excavators for grading riffle entrance and exit slopes. There is a need to  
13 develop gravel placement methods where obstructions such as overhanging riparian vegetation  
14 or instream wood pieces exist. Designs should adapt to the abilities of available methods and  
15 equipment for the most accurate construction. Finally, project managers should provide on-site  
16 explanations of objectives and fundamental hydraulic processes to the construction crew to  
17 increase understanding and improve outcomes.

18 Using a front loader to build broad scale ( $> 0.5$ -m relief) design features based on  
19 geomorphic processes was reasonably effective. However, uncertainties attributed to human  
20 error and available methods inhibit detailed ( $< 0.5$ -m relief) rehabilitation. Despite uncertainties,  
21 limitations, and errors, following the recommendations reported in this study would improve as-  
22 built adherence to design specifications of future projects. This study exemplifies a post-project  
23 appraisal within the adaptive management loop in river restoration, but like most projects in the  
24 early stages of monitoring programs, spatial and temporal effectiveness over years to decades

1 must still be considered.

2

### 3 **7. ACKNOWLEDGEMENTS**

4 Financial support for this work was provided by the US Fish and Wildlife Service  
5 (contracting entity for CALFED Bay-Delta Ecosystem Restoration Program: Cooperative  
6 Agreement DCN# 113322G003). Construction projects were funded by EBMUD and CVPIA.  
7 Dr. Joseph Wheaton (2001-2002) collaborated with co-authors Pasternack and Merz to develop  
8 the SHIRA process. Wheaton and Eve Elkins (2003-2004) helped to gather topographic data and  
9 produce the DEMs used in this investigation. The authors gratefully acknowledge EBMUD and  
10 UC Davis staff and students who assisted with topographic surveys. Administrative support was  
11 provided by Ellen Mantalica and Diana Cummings at the Center for Watershed Sciences at UC  
12 Davis.

13

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15

Table 1. Front loader specifications for LMR project sites by year

Year	Front loader model	Weight (kg)	Height to center of hub (m)	Tires	Footprint (kPa & (psi))
2001	Volvo 120E	20043	0.69	23.5R25	61.7 (8.95)
2002	Caterpillar 966G*	23752	0.86	26.5R25	61.2 (8.87)
2003	Caterpillar 966F*	23752	0.86	26.5R25	61.2 (8.87)
2004	Caterpillar 966F*	23752	0.86	26.5R25	61.2 (8.87)
2005	Caterpillar 950F*	18380	0.74	23.5-25-20PR	55.5 (8.05)
Reference	Komatsu WA400-5	18682	0.71	23.5-25-16PR	58.4 (8.47)

\*Actual models used; specifications taken from newer 966H and 950H models.

Table 2. Design, purchased and as-built gravel metrics for each project year

Metric	2001	2002	2003	2004	2005
Design volume (m <sup>3</sup> )	1147	1448	2020	1667	1950
Required supply (t)	1887	2382	3323	2743	3208
Purchased supply (t)	1307	2786	3217	3012	3384
Supply deviation (%)	-31	17	-3	10	5
Median grain size (mm)	55	64	59	82	71
Estimated density (t/m <sup>3</sup> )	1.645	1.645	1.645	1.645	1.645
Purchased volume (m <sup>3</sup> )	794	1694	1955	1831	2057
As-built volume (m <sup>3</sup> )	649	1410	1517	2005	2359
As-built density (t/m <sup>3</sup> )	2.013	1.976	2.120	1.502	1.434
Density deviation (%)	22	20	29	-9	-13



Table 3. Average areas of overflow, underfill and insignificant variation

Year	Percent of total area within $\pm 0.15$ m difference	Percent of total area of $> \pm 0.15$ m difference	Percent of area overflowed*	Percent of area underfilled*
2001	81	19	17	83
2002	79	21	43	57
2003	64	36	31	69
2004	68	32	58	42
2005	62	38	58	42
Average	71	29	41	59

\*Relative to area of  $> \pm 0.15$  m difference.

Table 4. Potential sources for deviation in construction of a project design

Causes of error	Area ID for each location of deviation from design				
	2001	2002	2003	2004	2005
Gravel deficit	1,4,5,6	8,10,11	2,6,8		
Fording depth limitations		1,4,8,9	2,8	6,7	10
Operator's skill/experience/willingness			2,8		
Operator's spatial disorientation	2,3	1,5	1,2,6,5	1,8,11	8,9
Operator's poor elevation estimation	4,5	2,3,4,6,7	1,3,4,5	2,3,4,8	2,3,4,5,6
Overhanging vegetation		8	2,7,8	5,6,7,10	1,7
Gravel bulk density error					
Surveying errors/boulder placement		9		5,9	1,5,7

Table 5. Design deviation causes of error distributed by percentage for each year

Cause of error	2001	2002	2003	2004	2005	All years
Gravel deficit	50	19	17	0	0	14
Fording depth limitations	0	25	11	13	7	13
Operator's skill/experience/willingness	0	0	11	0	0	3
Operator's spatial disorientation	25	13	22	20	14	18
Operator's poor elevation estimation	25	31	22	27	36	28
Overhanging vegetation	0	6	17	27	21	15
Gravel bulk density error	0	0	0	0	0	0
Surveying errors/boulder placement	0	6	0	13	21	8

1 **FIG. CAPTIONS**

2

3 Figure 1. Map of the Mokelumne River basin showing locations of Salt Springs, Camanche and  
4 Pardee Reservoirs. The project sites were located in the gravel-bed reach downstream of  
5 Camanche Dam.

6

7 Figure 2. Clip of U.S. Geological Survey topographic map (Clements quadrangle, California)  
8 adapted to show the locations of each project site by year.

9

10 Figure 3. Construction with a rubber tire front loader (Caterpillar 966F) on river right of the  
11 2003 project. Overhanging vegetation impedes access close to the bank.

12

13 Figure 4. Contour maps with 0.5 m intervals of the 2001 A) design and B) as-built topography  
14 for comparison of desired designed elevations to constructed elevations.

15

16 Figure 5. Contour maps with 0.5 m intervals of the 2002 A) design and B) as-built topography  
17 for comparison of desired designed elevations to constructed elevations.

18

19 Figure 6. Contour maps with 0.5 m intervals of the 2003 A) design and B) as-built topography  
20 for comparison of desired designed elevations to constructed elevations.

21

22 Figure 7. Contour maps with 0.5 m intervals of the 2004 A) design and B) as-built topography  
23 for comparison of desired designed elevations to constructed elevations.

24

1 Figure 8. Contour maps with 0.5 m intervals of the 2005 A) design and B) as-built topography  
2 for comparison of desired designed elevations to constructed elevations.

3

4 Figure 9. Contour map with 0.15 m elevation change intervals between as-built and design for  
5 the 2001 project. Areas 1, 4, 5, and 6 were underfilled due to lack of gravel at the time of  
6 construction. See Table 4 for sources of error by area number.

7

8 Figure 10. Contour map with 0.15 m elevation change intervals between as-built and design for  
9 the 2002 project. Note that area 5 (side channel) and 6 (designed thalweg) were overfilled and  
10 area 7 (designed longitudinal bar) was underfilled. Visual observations and 2-D model  
11 simulations showed that the flow was divided, but not to the designed extent. Area 9 represents  
12 misplaced boulders and areas 10 and 11 were not filled due to gravel deficit. See Table 4 for  
13 sources of error by area number.

14

15 Figure 11. Contour map with 0.15 m elevation change intervals between as-built and design for  
16 the 2003 project. The riffle crest and chute elevation (areas 1 and 3) were overfilled, whereas the  
17 chute's length, area 4, was underfilled. This resulted in a much longer but shallower chute along  
18 the left bank. See Table 4 for sources of error by area number.

19

20 Figure 12. Contour map with 0.15 m elevation change intervals between as-built and design for  
21 the 2004 project. Note the overfilling of the upstream side channel at area 3 and at the pool tail  
22 at area 8 yielding a very steep slope transition. Also, note the underfilling at area 11, due to  
23 misplaced gravel used in other locations. See Table 4 for sources of error by area number.

24

1 Figure 13. Contour map with 0.15 m elevation change intervals between as-built and design for  
2 the 2005 project. Note that deep areas along river right were underfilled due to vegetation  
3 obstructions (areas 2 and 7). Also, note the overfilled thalweg (area 8) and downstream end of  
4 the site (area 9). See Table 4 for sources of error by area number.  
5

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