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### Authors

Wen, Shu

Wang, Meng

Qian, Xinye

et al.

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# Systematic assessment of the contribution of structural variants to inherited retinal diseases

Shu Wen<sup>1</sup>, Meng Wang<sup>1</sup>, Xinye Qian<sup>1,2</sup>, Yumei Li<sup>1,2</sup>, Keqing Wang<sup>1,2</sup>, Jongsu Choi<sup>1</sup>, Mark E. Pennesi<sup>3</sup>, Paul Yang<sup>3</sup>, Molly Marra<sup>3</sup>, Robert K. Koenekoop<sup>4</sup>, Irma Lopez<sup>4</sup>, Anna Matynia<sup>5,6</sup>, Michael Gorin<sup>5,6</sup>, Ruifang Sui<sup>7</sup>, Fengxia Yao<sup>8</sup>, Kerry Goetz<sup>9</sup>, Fernanda Belga Ottoni Porto<sup>10,11,12</sup> and Rui Chen<sup>1,2,\*</sup>

<sup>1</sup>Department of Molecular and Human Genetics, Baylor College of Medicine, Houston, TX 77030, USA

<sup>2</sup>Human Genome Sequencing Center, Baylor College of Medicine, Houston, TX 77030, USA

<sup>3</sup>Department of Ophthalmology, Casey Eye Institute, Oregon Health & Science University, Portland, OR 97239, USA

<sup>4</sup>McGill Ocular Genetics Laboratory and Centre, Department of Paediatric Surgery, Human Genetics, and Ophthalmology, McGill University Health Centre, Montreal, Quebec, H4A 3S5, Canada

<sup>5</sup>Jules Stein Eye Institute, Los Angeles, CA 90095, USA

<sup>6</sup>Ophthalmology, University of California Los Angeles David Geffen School of Medicine, Los Angeles, CA 90095, USA

<sup>7</sup>Department of Ophthalmology, Peking Union Medical College Hospital, Peking Union Medical College, Chinese Academy of Medical Sciences, Beijing, 100005, China

<sup>8</sup>Medical Research Center, State Key Laboratory of Complex Severe and Rare Diseases, Peking Union Medical College Hospital, Peking Union Medical College, Chinese Academy of Medical Sciences, Beijing, 100005, China

<sup>9</sup>Office of the Director, National Eye Institute/National Institutes of Health, Bethesda, MD 20892, USA

<sup>10</sup>INRET Clínica e Centro de Pesquisa, Belo Horizonte, Minas Gerais, 30150270, Brazil

<sup>11</sup>Department of Ophthalmology, Santa Casa de Misericórdia de Belo Horizonte, Belo Horizonte, Minas Gerais, 30150221, Brazil

<sup>12</sup>Centro Oftalmológico de Minas Gerais, Belo Horizonte, Minas Gerais, 30180070, Brazil

\*To whom correspondence should be addressed at. Department of Molecular and Human Genetics, Baylor College of Medicine, Houston, Texas, USA.  
Email: ruichen@bcm.edu

## Abstract

Despite increasing success in determining genetic diagnosis for patients with inherited retinal diseases (IRDs), mutations in about 30% of the IRD cases remain unclear or unsettled after targeted gene panel or whole exome sequencing. In this study, we aimed to investigate the contributions of structural variants (SVs) to settling the molecular diagnosis of IRD with whole-genome sequencing (WGS). A cohort of 755 IRD patients whose pathogenic mutations remain undefined were subjected to WGS. Four SV calling algorithms including include MANTA, DELLY, LUMPY and CNVnator were used to detect SVs throughout the genome. All SVs identified by any one of these four algorithms were included for further analysis. AnnotSV was used to annotate these SVs. SVs that overlap with known IRD-associated genes were examined with sequencing coverage, junction reads and discordant read pairs. Polymerase Chain Reaction (PCR) followed by Sanger sequencing was used to further confirm the SVs and identify the breakpoints. Segregation of the candidate pathogenic alleles with the disease was performed when possible. A total of 16 candidate pathogenic SVs were identified in 16 families, including deletions and inversions, representing 2.1% of patients with previously unsolved IRDs. Autosomal dominant, autosomal recessive and X-linked inheritance of disease-causing SVs were observed in 12 different genes. Among these, SVs in *CLN3*, *EYS* and *PRPF31* were found in multiple families. Our study suggests that the contribution of SVs detected by short-read WGS is about 0.25% of our IRD patient cohort and is significantly lower than that of single nucleotide changes and small insertions and deletions.

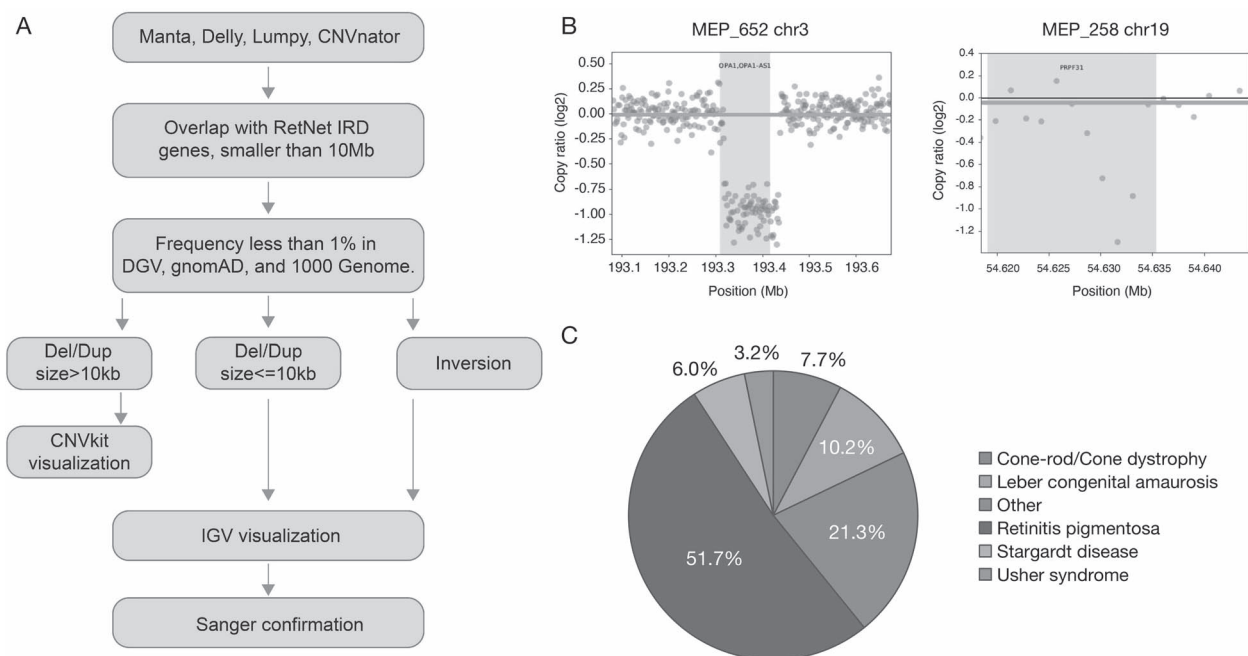
## Introduction

Structural variants (SVs) include genomic imbalance variants such as copy number variants (CNVs) due to deletions and duplications, and genomic balanced variants, including inversions and balanced translocations. The most studied type of SVs is CNV. Many methods, such as multiplex ligation-dependent probe Amplification (MLPA), quantitative PCR, chromosome microarray (CMA), targeted gene panel and Whole Exome Sequencing (WES), and whole-genome sequencing (WGS), have been used for CNV detection (1). For MLPA and qPCR, it is necessary to know the candidate CNV beforehand to design the primers or select the correct MLPA reagent. As the most frequently used technology in the detection of CNVs, CMA usually achieves a resolution as small as 5–10 kb (2,3). However, because most CMA probes target the exons, when breakpoints are present in the introns or the intragenic regions, the identification of breakpoint location

is much more difficult. Targeted Next-Generation Sequencing (NGS)-based CNV detection is becoming increasingly prevalent in recent years; however, they also pose similar weaknesses as CMA: the resolution is usually limited to two or more consecutive exons, and the breakpoints cannot be mapped when they are located in non-targeted regions (4–6). Furthermore, it is difficult for CMA and targeted NGS to detect balanced SVs, such as inversions and translocations. Recently, with the rapid decline in prices of NGS, WGS is increasingly used for the detection of both SVs and single nucleotide variants (SNVs). Several studies have shown that WGS not only has excellent detection rate of SNVs, but also has a clear advantage in SV detection compared to other CNV detection methods (7–9). WGS is sensitive to CNVs of different sizes across the genome and can often map the breakpoints precisely regardless of their relative position to the exons. Another significant advantage of WGS-based SV

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**Figure 1.** Analysis workflow and clinical information of the cohort. **(A)** Informatics strategies used to filter and detect SVs. **(B)** Larger CNVs are more easily to be called by CNVkit compared to smaller deletions. Larger deletions, using MEP\_652's 118 kb deletion (A) as an example, contain dozens of bins, and are much more distinguishable compared to the baseline of smaller deletions, using MEP\_258's 5.2 kb deletion (B) as an example. **(C)** Clinical information of the 755 previously unsolved patients.

analysis is that in addition to CNVs, it is possible to detect other types of SVs, such as an inversion and a translocation (7–9).

SVs have become increasingly recognized as a potential key genetic cause of human diseases due to improvement in new technologies, such as WGS technology (10,11). Dozens of NGS-based SV calling tools have been developed in the past couple of years, and their performances have been systematically compared (12). Previous studies have elucidated that although no tools are perfect, several tools such as MANTA (13), DELLY (14), LUMPY (15) and CNVnator (16) have better overall performance (12). SVs of any size are potentially detectable by WGS. However, for short-read sequencing data, such as the ones provided by Illumina, SVs with lengths around 200–500 bp are usually more difficult to detect due to the read-length limitation of the sequencing technology. Also, CNVs are easier to detect than balanced variants, because CNVs provided additional signals from gain or loss of read coverage in addition to discordant sequencing reads and paired reads.

Inherited retinal diseases (IRDs) are important causes of vision impairment that affect more than 2 million people worldwide. With the traditional genetic methods that focus on SNVs, such as targeted NGS, disease-causing SNVs can be detected in around 70% of all IRD patients. In addition, pathogenic SVs, mostly CNVs, have been reported in patients with IRDs (17–23). However, large-scale systematic evaluation of SVs' contribution to IRD has not been reported. In this study, application of Illumina short-read WGS to a large cohort of IRD patients with unsolved mutations after WES mutation screen allowed us to assess the contribution and variation spectrum of SVs among IRD patients.

## Results

### Unsolved cohort of IRDs patients and initial SV discovery

To test the extent of SVs in IRD, we assembled a cohort of 755 previously unsolved patients (Fig. 1C). Retinitis pigmentosa

(RP), Leber congenital amaurosis (LCA), cone-rod/cone dystrophy, ABCA4-related retinopathy (Stargardt disease) and Usher syndrome were the five most common diagnoses and accounted for more than 3/4 of the cohort. In short, these 755 IRD patients had undergone various types of molecular testing ranging from single gene tests to WES but remain unsettled.

WGS was performed on these samples at a sequence depth of 30×. To identify candidate SVs at known IRD genes, a pipeline was developed as shown in Fig. 1A. To increase the sensitivity of SV calling, four software tools were used, including Manta, Delly, Lumpy and CNVnator. As a result, a large number of SVs that overlapped with known IRD genes were identified, including 6535 deletion calls, 3924 duplication calls and 48378 inversion calls (RetNet, <https://sph.uth.edu/RetNet/>). SVs were annotated to the RefSeq gene database and AnnotSV (24). A population frequency threshold of 1% was used in Database of Genomic Variants (DGV), Genome Aggregation Database (gnomAD) and 1000 Genome to filter out common SVs that occur too frequently to be the cause of rare IRDs (Fig. 1A). After filtering, 351 deletion calls, 239 duplication calls, and 304 inversion calls were kept for further analysis. Furthermore, SVs with high frequency in our internal database (>10%) were excluded as most of them were likely to be false positives. The remaining SVs that were larger than 10 kb were further visualized by CNVkit. Seven deletions and four duplications larger than 10 kb were consistent with the expected loss/gain of copy numbers in CNVkit were identified. In parallel, the bam files of the 19 deletions and eight duplications smaller than 10 kb and all inversions were visualized in Integrative Genomics Viewer (IGV). One deletion and two duplications smaller than 10 kb were consistent with the expected loss/gain of copy numbers in IGV. There was only one inversion that was consistent with the inversion reads in IGV and was then confirmed by Sanger sequencing of the breakpoints (data not shown). Finally, all SVs were combined with the SNV results and the clinical/pedigree information of each case for further pathogenicity analysis. As a result, a total of 16 highly confident deletions that were likely to be

pathogenic were identified, including six large deletions greater than 10 kb and ten small deletions ranging from 966 to 9722 base pairs. No duplication or inversion was found to meet these criteria. All 16 deletions are further confirmed by PCR followed by Sanger sequencing to identify the breakpoints. Their details are summarized in Table 1.

### Disease-associated SVs in autosomal dominant genes

As shown in Table 1, a total of eight deletions have been found in genes that are associated with dominant IRDs, including *PRPF31*, *AFG3L2*, *OPA1* and *IMPG2*. All but one variant is novel.

Among our patient cohort, three SV mutant alleles were identified in *PRPF31*, representing the most frequently mutated gene in this cohort. It has been shown that loss of function of one copy of *PRPF31* leads to RP due to haploinsufficiency (25). As shown in Table 1, all three alleles are novel and remove multiple coding exons of *PRPF31*. In addition, none of these three alleles have been observed in current population databases, such as gnomAD SV and DGV. All patients are diagnosed with RP, consistent with the molecular diagnosis. Specifically, MEP\_243, who was diagnosed with RP at 12 years old (Fig. 2A), carries heterozygous c.-16646\_-9 + 285 deletion that removes the first coding exon and the 16 kb upstream sequences including the promoter and likely additional regulatory elements (Fig. 3A). Consistent with *PRPF31* as a dominant disease gene, the father of the proband is also affected. The proband started to notice decreased peripheral vision at 11. Her fundus images showed white spots of gliosis in both eyes. Her electroretinography (ERG) showed unrecordable rod-dependent responses, as well as severely abnormal amplitudes and implicit times of the cone-dependent responses resulting in a pattern of rod-cone dysfunction (Fig. 2A). SRF\_847 is a 23-year-old female that carries a heterozygous c.421-285\_855 + 895 deletion that removes exons 6–8, which is predicted to cause a frameshift (Fig. 3B). MEP\_258 is a female patient diagnosed with RP at 28 years of age. Her daughter has similar symptoms, which support autosomal dominant inheritance. Her fundus showed retinal pigment epithelium (RPE) mottling with occasional bone spicules. Her ERG showed unrecordable rod-dependent responses, as well as severely abnormal amplitudes and abnormal implicit times of the cone-dependent responses (Fig. 2B). She carries an inframe heterozygous c.855 + 829\_1375–692 deletion that removes exons 9–13 (Fig. 3C), which is more than 10% of the coding region, including the entire nuclear localization signal (26), a critical domain for *PRPF31* protein as part of the spliceosome complex. Furthermore, in ClinVar (27), three known pathogenic missense mutations have been mapped to exon 9–13, indicating the importance of this region for protein function. Taken together, all three *PRPF31* deletions are likely to be pathogenic mutations.

Patient NEI\_328 is a 49-year-old African American male who was diagnosed with cone-rod dystrophy (Fig. 2C). In NEI\_328, an inframe heterozygous 9722-bp c.1980 + 215\_2176–481 deletion that removes the penultimate exon of the *AFG3L2* was detected (Fig. 3D). Loss-of-function pathogenic variants in *AFG3L2* are known to cause AD optic atrophy 12 (28), which is consistent with the phenotype of our patient. This deletion was not seen in current population databases, such as gnomAD SV, DGV or literature. Furthermore, more than 10 pathogenic or likely pathogenic missense variants are reported mapped in the deleted region in ClinVar. Five of those variants (rs151344523, rs151344522, rs151344520, rs797045221, rs151344514) were also reported in Leiden Open Variation Database (LOVD) (29). Therefore, it is likely that this deletion is pathogenic.

Patient MEP\_652 is a 56-year-old female diagnosed with optic atrophy (Fig. 2C). Her fundus images showed pallor of both optic nerves. Consistent with the clinical phenotype, a heterozygous c.32 + 5893\_\*23 525 deletion that removes exon 2 to exon 29 (the last exon) of the *OPA1* was identified (Fig. 3E). Loss-of-function pathogenic variants in *OPA1* are known to cause AD optic atrophy 1 (30). This deletion is rare and is absent from population databases, such as gnomAD SV and DGV databases. In addition, the daughter of this individual, MEP\_1016, who has similar optic atrophy as her mother, also carries this deletion, further supporting an autosomal dominant inheritance. Therefore, this *OPA1* deletion is considered pathogenic.

Patient MEP\_171 is a 68-year-old male who has adult vitelliform macular dystrophy. He was diagnosed with macular degeneration at 54 years old. He currently has blurred vision and decreased night vision. His fundus images showed vitelliform foveal lesions with pigment clumping in a bull's eye pattern (Fig. 2F). Consistent with the clinical phenotype, a heterozygous previously reported c.887 + 430\_908 + 275 deletion is identified in *IMPG2*, heterozygous mutations which lead to AD vitelliform macular dystrophy-5 (31). The deletion removes the entire exon 9 and was predicted to result in a frameshift (Fig. 3F). This allele is rare as it is absent from current population databases but has been reported in multiple vitelliform macular dystrophy patients (31). Therefore, it is likely the cause of the phenotype of MEP\_171.

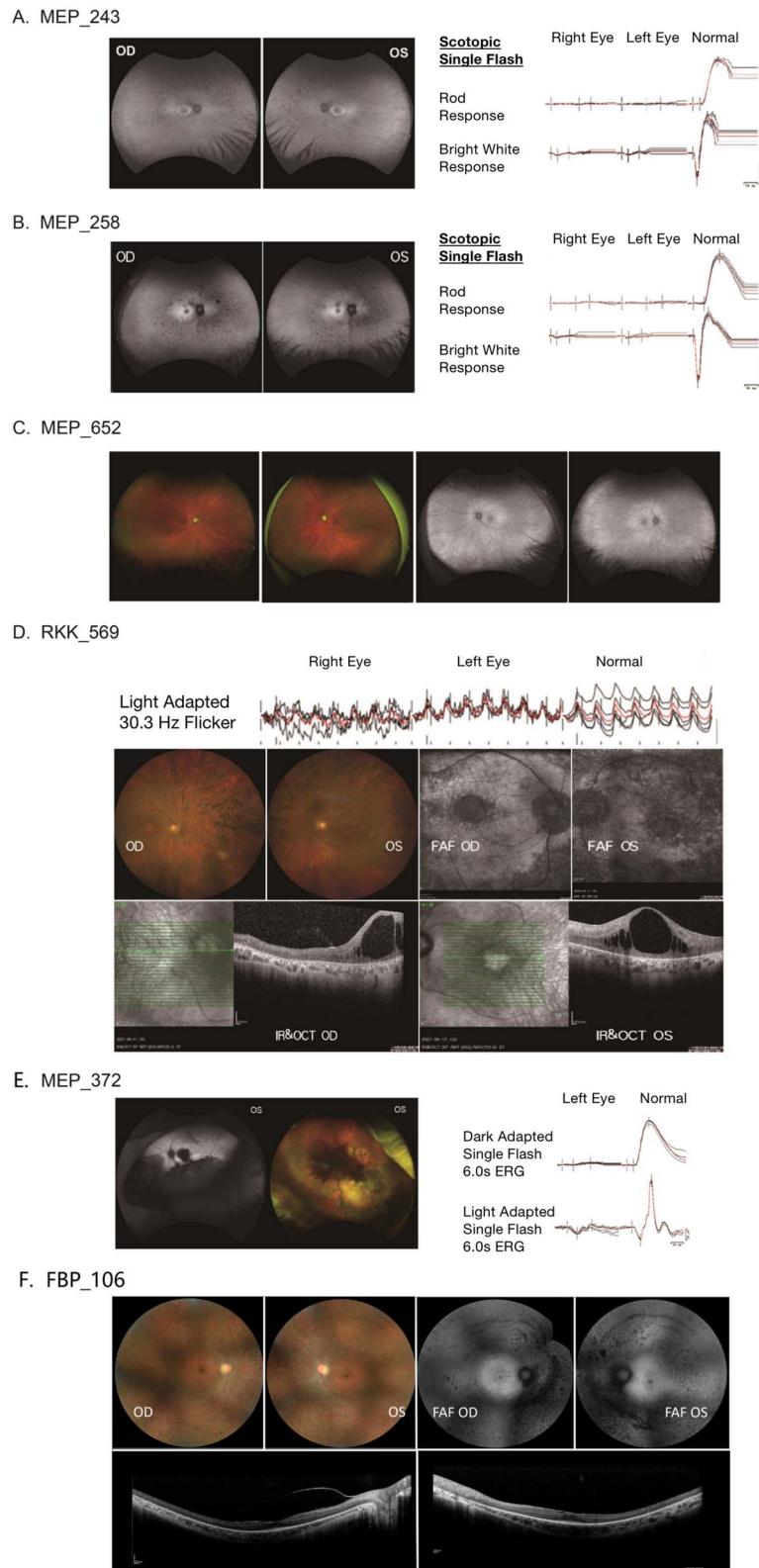
### Disease-associated SVs in autosomal recessive genes

A total of eight candidate causal pathogenic SV deletions were identified in genes associated with autosomal recessive IRDs, including one reported allele and seven novel ones (Table 1).

Two deletions in *CLN3* have been found in the cohort. Loss-of-function pathogenic variants in *CLN3* are known to cause autosomal recessive (AR) neuronal ceroid lipofuscinosis-3 (32), which often exhibits a severe cone-rod dystrophy. Patient G369 is a male who was initially diagnosed with Stargardt-like macular dystrophy at age 5 years. His parents were first cousins (Fig. 3G). There was no family history of eye disease. At 9 years old, he developed seizures and, later, muscle weakness. Biopsy of the conjunctiva supported the diagnosis of neuronal ceroid lipofuscinosis. The patient then lost speech and later died of pneumonia. A novel homozygous *CLN3* c.677 + 883\_791–328 deletion that removes the entire exon 7 of *CLN3* was identified in the patient (Fig. 3G). This deletion results in a frameshift and was predicted to lead to neuromuscular disorders (NMD). This deletion is rare and has not been observed in population databases, such as gnomAD and DGV. Therefore, this *CLN3* deletion is likely pathogenic. The second proband, RKK\_79, is a 66-year-old male who was diagnosed with RP (Fig. 3H). He is compound heterozygous for mutations in *CLN3*, including a previously reported frameshift deletion that removes the entire exon 9 and is predicted to cause NMD (33–36) and a c.1168G > A (p.Val390Met) missense mutation. The missense variant c.1168G > A (p.Val390Met) is rare with a population frequency of  $3.58 \times 10^{-5}$  and is consistently predicted to be deleterious by multiple programs such as REVEL (37) with a score of 0.98, a highly pathogenic score. Although both G369 and RKK\_79 have been related to *CLN3*, RKK\_79 has a much later age of onset and non-syndromic phenotype compared to G369. This is consistent with our and other groups' finding (38–40) that non-syndromic *CLN3*-related RP cases are usually associated with at least one missense variant, whereas G369, the patient who carries the homozygous frameshift large deletion, has more severe neuronal

**Table 1.** Summary of 16 probands carrying SVs in known IRD genes

Proband	Gene	Phenotype	Allele genomic description	Allele coding description	Affected protein description	Zygoty
MEP_243	PRPF31	Retinitis pigmentosa diagnosed 12 Y.O.	NC_000019.9: g.54602540_54619462del	NM_015629.4: c.-16646_-9 + 285del	NP_056444.3: p.(=)	Heterozygous
SRF_847	PRPF31	Retinitis pigmentosa	NC_000019.9: g.54626548_54628930del	NM_015629.4: c.421-285_855 + 895del	NP_056444.3: p.(Glu141_Pro285del)	Heterozygous
MEP_258	PRPF31	Retinitis pigmentosa	NC_000019.9: g.54628864_54634046del	NM_015629.4: c.855 + 829_1375-692del	NP_056444.3: p.(Asp286_Gln458del)	Heterozygous
NEI_328	AFG3L2	Cone-rod dystrophy	NC_000018.9: g.12330264_12339986del	NM_006796.3: c.1980 + 215_2176-481del	NP_006787.2: p.(Val662_Val726del)	Heterozygous
MEP_652	OPA1	Optic atrophy	NC_000003.11: g.193317091_193435914del	NM_015560.2: c.32 + 5893_*23525del	NP_056375.2: p.(Cys11*)	Heterozygous
MEP_171	IMPG2	Adult vitelliform macular dystrophy	NC_000003.11: g.100986080_100987929del	NM_016247.4: c.887 + 430_908 + 275del	NP_057331.2: p.(Arg296_Asp302del)	Heterozygous
G369	CLN3	Stargardt-like macular dystrophy at age 5	NC_000016.9: g.28494321_28496785del	NM_001042432.1: c.677 + 883_791-328del	NP_001035897.1: p.(Ser226Argfs*36)	Homozygous
RKK_79	CLN3	Retinitis pigmentosa	NC_000016.9: g.28497287_28498253del	NM_001042432.1: c.461-279_677 + 384del	NP_001035897.1: p.(Val156Serfs*27)	Heterozygous
SRF_979	EYS	Retinitis pigmentosa	NC_000016.9: g.28489087C > T	NM_000086.2: c.1168G > A	NP_000077.1: p.(Val390Met)	Heterozygous
MEP_970	EYS	Retinitis pigmentosa	NC_000006.11: g.65330269_65337653del	NM_001142800.2: c.3444-1514_3569-2842del	NP_001136272.1: p.(Cys1149Metfs*7)	Heterozygous
			NC_000006.11: g.65301105A > T	NM_001142800.2: c.4655 T > A	NP_001136272.1: p.(Leu1552*)	Heterozygous
			NC_000006.11: g.66390150_66509198del	NM_001142800.2: c.-92617_-448 + 26879del	NP_001136272.1: p.(=)	Heterozygous
			NC_000006.11: g.64430562_64430565del	NM_001142800.2: c.9362_9365del	NP_001136272.1: p.(Pro3121Glnfs*5)	Heterozygous
RKK_569	USH2A	Usher syndrome type 3	NC_000001.10: g.216264457_216308508del	NM_206933.3: c.4628-37953_4759-1976del	NP_996816.2: p.(Ile1544Valfs*9)	Heterozygous
			NC_000001.10: g.215847937G > A	NM_206933.3: c.13316C > T	NP_996816.2: p.(Thr4439Ile)	Heterozygous
MEP_106	CRB1	Leber congenital amaurosis	NC_000001.10: g.197404385_197410804del	NM_201253.3: c.3392_3879-492del	Splice site(s) affected	Heterozygous
			NC_000001.10: g.197404007A > T	NM_201253.3: c.3014A > T	NP_957705.1: p.(Asp1005Val)	Heterozygous
FBP_106	MERTK	Retinitis pigmentosa	NC_000002.11: g.112688710_112808103del	NM_006343.3: c.482 + 1593_*21662del	NP_006334.2: p.(Ser161*)	Heterozygous
			NC_000002.11: g.112754897C > G	NM_006343.3: c.1451-3C > G	Intronic variant	Heterozygous
SRF_127	IQCB1	Leber congenital amaurosis	NC_000003.11: g.121534079_121576238del	NM_001023571.3: c.-22526_394-6222del	NP_001018865.2: p.?	Heterozygous
			NC_000003.11: g.121508959G > A	NM_001023571.3: c.691C > T	NP_001018865.2: p.(Arg231*)	Heterozygous
MEP_965	TTL5	Early-onset severe retinal dystrophy	NC_000014.8: g.76244001_76246900del	NM_015072.5: c.2387 + 808_2515 + 855del	NP_055887.3: p.(Ser796Argfs*4)	Homozygous
MEP_372	RS1	Retinoschisis	NC_000023.10: g.18675485_18676645del	NM_000330.4: c.53-859_78 + 276del	NP_000321.1: p.(Thr19*)	Hemizygous

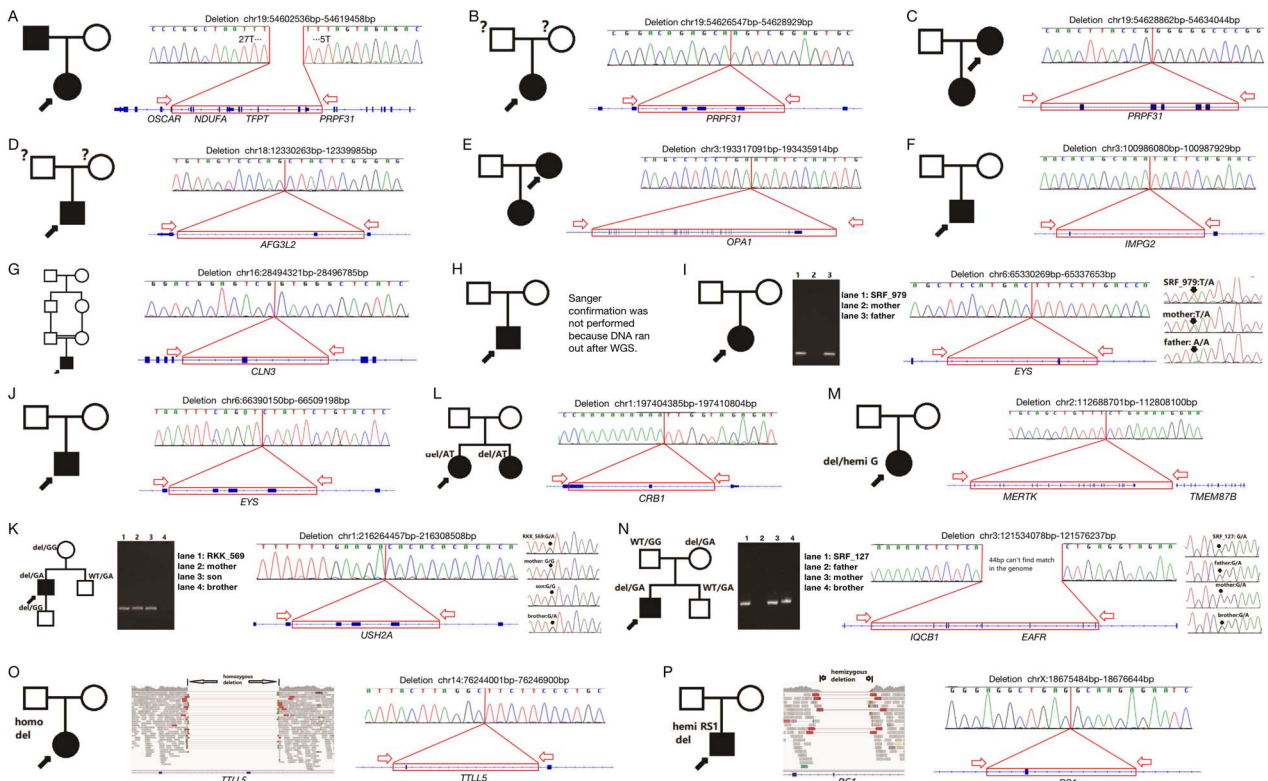


**Figure 2.** Clinical data supported the SVs' deleterious effects based on known genotype–phenotype associations. **(A)** Fundus autofluorescence and electroretinography (ERG) of MEP\_243. **(B)** Fundus autofluorescence and ERG of MEP\_258. **(C)** Fundus autofluorescence of MEP\_652. **(D)** Fundus images and ERG of RKK\_569. **(E)** Fundus images and ERG of MEP\_372. **(F)** Fundus images and OCT of FBP\_106.

ceroid lipofuscinosis-like symptoms, such as seizure and muscle weakness.

Mutations in *EYS*, which result in autosomal recessive retinitis pigmentosa 25, have been identified in two unrelated

patients with RP (41). The first patient, SRF\_979, is a 32-year-old female carrying compound heterozygous mutations, including a heterozygous frameshift c.3444-1514\_3569-2842 deletion that removes the entire exon 22 and a heterozygous c.4655 T > A



**Figure 3.** Probands' pedigree, breakpoint PCR-electrophoresis, SV Sanger confirmation and segregation. (A) A heterozygous PRPF31 deletion which inherited from her father was detected in MEP\_243 pedigree. (B) A heterozygous deletion in PRPF31 was detected in MEP\_243 pedigree. (C) A heterozygous PRPF31 deletion which inherited to her daughter was detected in MEP\_258 pedigree. (D) A heterozygous deletion in AFG3L2 was detected in NEI\_328 pedigree. (E) A heterozygous OPA1 deletion which inherited to her daughter was detected in MEP\_652 pedigree. (F) A *de novo* heterozygous deletion in IMPG2 was detected in MEP\_171 pedigree. (G) A *de novo* homozygous CLN3 deletion was detected in a consanguineous family of G369. (H) Compound heterozygous deletion variants of CLN3 were detected in RKK\_79 pedigree. Sanger confirmation was not performed because DNA ran out after WGS. (I) Compound heterozygous variants of EYS were detected in SRF\_979 pedigree. The compound heterozygous variants consisted of a deletion and a heterozygous variant of c.4655 T > A (p.Leu1552\*). (J) Compound heterozygous variants of EYS were detected in MEP\_970 pedigree. The compound heterozygous variants consisted of a deletion and a heterozygous variant of c.9362\_9365del (p.Pro3121Glnfs\*5). (K) Compound heterozygous variants of USH2A were detected in RKK\_569 pedigree. The compound heterozygous variants consisted of a deletion and a heterozygous variant of c.13316C > T (p.Thr4439Ile). (L) Compound heterozygous variants of CRB1 were detected in MEP\_106 and MEP\_107's pedigree. The compound heterozygous variants consisted of a heterozygous deletion and a heterozygous variant, c.3014A > T (p.Asp1005Val). (M) A heterozygous MERTK deletion unmasks an overlapped hemizygous c.1451-3C > G intronic variant in MERTK gene was uncovered in FBP\_106 pedigree. (N) A heterozygous IQCB1 deletion inherited from his asymptomatic father and a heterozygous c.691C > T (p.Arg231\*) inherited from his asymptomatic mother were detected in SRF\_127 pedigree. (O) A *de novo* homozygous TTLL5 deletion was identified in MEP\_965 pedigree. (P) A hemizygous RS1 deletion was identified in MEP\_372 pedigree.

(p.Leu1552\*) nonsense mutation (Fig. 3I). Both mutations are predicted to cause NMD. Familial segregation analysis showed the father carries the deletion, and the mother carries the nonsense mutation (Fig. 3I). The second proband, MEP\_970, carries a compound heterozygous deletion that removes 118 kb of the EYS promoter and the entire exon 1 (Fig. 3J) and a heterozygous c.9362\_9365del (p.Pro3121Glnfs\*5) variant that was predicted to cause NMD (Fig. 3K). He is diagnosed with RP and is legally blind at 32 years old. He also has a sister with RP. Neither of their parents, or patient's son, or brother were affected by IRDs. None of the four EYS variants has been observed in current population databases. Taken together, these EYS alleles are likely the cause of the phenotype of SRF\_979 and MEP\_970.

Patient RKK\_569 is a male patient diagnosed with Usher syndrome type 3 (Fig. 2D). He has moderate to severe sensory-neural hearing loss from 0.25 to 8 kHz and needed bilateral hearing aid. His fundus images were consistent with retinitis pigmentosa. The patient carries a heterozygous c.4628-37953\_4759-1976 deletion that removes entire exon 22 and is predicted to cause a frameshift deletion and NMD, and a known heterozygous pathogenic missense mutation c.13316C > T (p.Thr4439Ile) (42-44) in USH2A (Fig. 3K). USH2A is an autosomal recessive Usher

syndrome gene, which is consistent with this patient's phenotype. Neither the deletion nor the missense variant is seen in the population databases, such as gnomAD SV database or DGV. Family segregation analysis showed the mother and the son only carry the deletion, and the unaffected brother only carries the nonsense mutation (Fig. 3K). Taken together, these USH2A variants are likely the causes of the phenotype of this patient.

We identified a heterozygous deletion and a missense mutation in CRB1 in two Caucasian sisters, MEP\_106 and MEP\_107. Both were diagnosed with LCA. Loss-of-function pathogenic variants in CRB1 are known to cause AR Leber congenital amaurosis 8 (45), which is consistent with the phenotype of our patient. The patient carries a heterozygous c.3392\_3879-492 deletion, which removes part of exon 9 and the entire exon 10 of CRB1 and results in a frameshift that was predicted to lead to NMD (Fig. 3L). This deletion has not been reported previously and is reported in the gnomAD SV database once. The patient also carries a known heterozygous c.3014A > T (p.Asp1005Val) pathogenic mutation (46,47) in CRB1. Taken together, the deletion identified is considered to be pathogenic.

Patient FBP\_106 is a 28-year-old female diagnosed with RP (Fig. 2G). She presented high bilateral myopia (-14, 0) and

best-corrected visual acuity was 20/20. Examination showed thin retinal vessels, pigmentation in the periphery, temporal pallor of the optic discs and flat electroretinographic responses. The patient carries a heterozygous c.482 + 1590\_\*21 659 deletion that removes exon 3 through exon 19 (the last exon), which also unmask an overlapping hemizygous c.1451-3C > G intronic variant in the *MERTK* (Fig. 3M). The c.1451-3C > G intronic variant was predicted by SpliceAI (48) to abolish the splice acceptor function with 'high precision'. This likely leads to the skipping of exon 10, resulting in a shift in the open reading frame and NMD of the mRNA. Neither the deletion nor the splicing variant has been observed in population databases. Therefore, both variants are scored as pathogenic, and we believe they are the causes of the phenotype of this patient.

Patient SRF\_127 is diagnosed with LCA and carries a heterozygous c.-22526\_394-6222 deletion that removes more than 10 kb of the promoter (overlaps with *EAF2*) and exon 1–5, and a novel heterozygous c.691C > T (p.Arg231\*) stop gain in exon 11 of 15 exons that is predicted to cause NMD *in trans* in *IQCB1* (Fig. 3N). This patient also has intellectual disability and epilepsy. Besides, she has increased urea and creatinine, indicating abnormal kidney function. His brother also has LCA, intellectual disability and epilepsy. His kidney and liver functions are currently normal. Loss-of-function pathogenic variants in *IQCB1* are known to cause AR Senior-Loken syndrome 5 (49), which often has retinitis pigmentosa and LCA phenotypes. The deletion is rare as it has not been observed in population databases. The stop gain variant has a low population frequency of 0.01% in the gnomAD database (Fig. 3K). Therefore, both variants are novel pathogenic mutations in *IQCB1* and we believe that they lead to the patient's phenotype.

Patient MEP\_965 is an Asian female with early-onset severe retinal dystrophy, optic nerve edema, high myopia and ptosis. In this patient, a homozygous frameshift c.2387 + 808\_2515 + 855 deletion was detected in *TTL5*, which removes the entire exon 24 and was predicted to cause NMD (Fig. 3O). Pathogenic variants in *TTL5* are known to cause cone-rod dystrophy 19 (50), which is consistent with our patient. This deletion is not observed in population databases. Therefore, this deletion is considered a novel pathogenic mutation in *TTL5*.

### Disease-associated SV in A X-linked gene

Patient MEP\_372 is a male diagnosed with X-linked retinoschisis at age of 11 years (51). At his most recent visit at age 43 years, his visual acuity was no light perception for the right eye and 20/300 for the left eye (NLP OD and 20/300 OS). His right eye has had multiple surgeries and cannot be viewed with funduscopy or tested by ERG. Slit lamp examination of the left eye revealed a 2+ nuclear sclerotic and 3+ posterior subcapsular cataract. His left eye has schisis with inner retinal holes inferiorly and temporally, with scarring medially. The vessels are attenuated and a cataract can be seen. His ERG of left eye showed decreased amplitudes to the dark adapted (DA) 0.01 stimulus with prolonged timing and a negative waveform to the DA 6.0 stimulus. Cone-driven responses were also reduced with a decreased b: a-wave ratio to the light adapted (LA) 3.0 stimulus and decreased amplitude and prolonged timing the LA 30 Hz stimulus (Fig. 2E). Consistent with the clinical phenotype, a hemizygous frameshift c.53-859\_78 + 276 deletion was detected in *RS1*, which removes the entire exon 2 of *RS1*. The predicted deletion was 26 bp in length, leading to NMD (Fig. 3P). This variant is absent in population databases. Although this allele is novel, exon 2 deletions have been reported in multiple patients with retinoschisis (52). Taken together, this deletion is considered as pathogenic.

## Discussion

In this study, we performed a systematic investigation and identification of putative pathogenic SVs associated with IRD by analyzing WGS from 755 IRD patients whose mutations remain unknown after screening for mutations in coding region and splicing sites of known IRD genes. Following a set of stringent criteria, a list of 16 pathogenic and likely pathogenic SVs has been identified, representing one of the largest studies focusing on SVs in IRD patients to date.

### Most of the SVs identified in the study are novel

One striking observation from our study is that 88% (14/16) of pathogenic and likely pathogenic SVs identified in this study are novel. This is in contrast to what has been observed in previous cohort studies focusing on other types of variants, such as SNVs and small insertions/deletions. In those, the proportion of variants that are novel ranges from 30% to 50% depending on the ethnicity, with Caucasian the lowest and African the highest (22,47,53–55). This difference is likely due to a combination of factors, including the lack of SV studies and the rarity of SVs in general. Indeed, none except one of the 16 SVs identified in this study have been observed in the population databases, indicating these variants are exceedingly rare. In contrast, the carrier frequency of pathogenic SNVs is higher.

### Only pathogenic and likely pathogenic deletions were identified in this study

During the study, we did not find any duplications, inversions or other complex rearrangements that could explain patients' phenotypes. A few duplications and inversions were detected and confirmed, but none of them was able to explain patients' phenotypes (data not shown). Nine out of sixteen disease-causing deletions only involved one to two exons (Table 1). With targeted NGS and CMA, the CNVs with small size are more likely to be missed (6,56,57). Our data showed that small CNVs affecting less than three exons can account for a significant portion of CNVs, and WGS can detect many of them with its unique combination of coverage depth and breakpoint detection. On the other hand, we were not able to detect any duplications and/or inversions that can explain patients' phenotypes. This is probably due to the limitation of our algorithm and sequencing technologies. For example, our detection rate of inversion could be artificially low. Taken together, in our cohort, pathogenic and likely pathogenic SVs are highly skewed to deletions.

### Only a small portion of unsolved IRD patients are due to SVs affecting the coding region of known IRD genes

The overall yield of this study is low with 2.1% (16/755) of the unsolved cases resolved. There are several potential reasons. First, detecting SVs from WGS remains challenging. To address this issue, we applied the four top performing SV calling algorithms, including MANTA (13), DELLY (14), LUMPY (15) and CNVnator (16). These algorithms consider both coverage depth and breakpoints, which can provide more information compared to algorithms that rely on coverage depth alone. As shown in Table 2, there is no single algorithm that can capture all 29 SVs that were later confirmed by either CNVkit or Sanger sequencing of the breakpoints (Table 2). We found Manta to be most sensitive, which detected 22/29 SVs. There were nine SVs that would have been missed if Manta was not used because none of the other three algorithms detected these nine SVs. On the contrary, CNVnator



**Table 2.** SVs being called and missed by each SV calling algorithm

	Manta	Delly	Lumpy	CNVnator
SVs successfully called by the algorithm	22	14	16	7
SVs would be missed if the algorithm was not used	9	1	2	0

only detected 7/29 SVs, with no single SV that was only detected by CNVnator and not by any other algorithms (Table 2). However, despite using four algorithms to call SVs, some true SVs are likely missed by all callers. Second, we have been primarily focusing on SVs overlap with the coding region of the genes. As a result, SVs that only affect regulatory elements of the gene will be missed in our study. Third, due to the short read length, SVs in duplicate or highly repetitive regions of the genome are likely missed by current technology due to difficulties of accurate read mapping. One possible solution to this issue is to apply long-read NGS methods, such as Oxford Nanopore and PacBio. Furthermore, phasing information obtained from long reads will provide valuable information to determine if the two mutations are on the same or different chromosomes, a critical information for analyzing recessive IRD cases. It is also worth noting that these 16 patients are among a large cohort of 6532 IRD patients, among which 5768 are due to single base or small in/del mutations. Therefore, SVs in known IRD genes only contribute to 0.25% (16/6532) of our cohort as the cause of the disease based on our current analysis.

### SVs are more frequent in genes within Alu-rich regions

In our study, three different *PRPF31* deletions are found in three unrelated individuals. *PRPF31* has been reported by multiple groups to contain deletions/duplications that can cause retinitis pigmentosa (25,58,59) and was reported to account for 2.5% of autosomal dominant retinitis pigmentosa (60). One potential reason that *PRPF31* is enriched with SVs is that it is located in a region rich in repeat elements, especially Alu repeats (61). Alu-mediated rearrangements are one of the common causes of human disease-causing SVs (62). We also identified SVs in *CLN3* and *EYS* genes in each of two unrelated families that can explain their phenotypes. Interestingly, these two genes are also in Alu-rich regions (63,64). As a comparison, the reported variants in *ABCA4*, one of the most common mutated gene in IRD patients that is not within Alu-rich region, are primarily SNVs and small in/dels (65). Therefore, thorough SV analysis is warranted particularly for genes mapped within Alu-rich region.

In summary, with 15/16 of pathogenic and likely pathogenic SVs identified in this study to be novel variants, it underscores the importance of investigating the contribution of SVs to disease burden since vast majority of the pathogenic SVs remain to be discovered. To improve our ability to identify pathogenic SVs, it is essential to apply improved short and long read sequencing technologies to further expand the effort to include both coding and non-coding part of the genome.

## Materials and Methods

### Clinical diagnosis and patient recruitment

All probands discussed herein were clinically diagnosed with IRDs following a thorough ophthalmologic examination by a qualified

IRD specialist. This study was approved by the institutional ethics boards at each affiliated institution and adhered to the tenets of the declaration of Helsinki. Before blood collection, all probands and family members provided written informed consent for DNA analysis and received genetic counseling in accordance with established guidelines. DNA samples from patients and available relatives were obtained using the Qiagen or Chemagen blood genomic DNA extraction kit (Qiagen, Hilden, Germany). All samples were analyzed first with targeted IRD panel and samples whose variants remained unknown were then subjected to WES. Samples that lacked a confident molecular diagnosis after WES were assigned as 'unsolved' for further WGS analysis.

### WGS, SV/SNV annotation and filtering

WGS was performed for unsolved cases at a depth of about 30× coverage using the Illumina NovaSeq6000 platform at 2 × 150 bp. WGS data were processed using a pipeline modified from our previous WES data analysis pipeline (66) (Fig. 1A). Briefly, NGS sequencing reads were aligned to the human genome assembly (hg19) with BWA and variants are called using GATK 4 (67). SVs were identified using MANTA 1.2.2 (13), DELLY v0.7.8 (14), LUMPY 0.2.13 (15) and CNVnator v0.3 (16) with their default parameters. All SVs identified by any one of these four algorithms were included for further analysis. SVs are further filtered against DGV, gnomAD and 1000 genome databases. SVs more frequent than 1% in any one of these databases were filtered out. Coding/intronic SNVs and small (<50 bp) insertion–deletion variants (INDELs) were identified, filtered and annotated as mentioned previously (68) for the following comprehensive SV/SNVs/INDELs variant analysis.

### SV analysis and validation

The candidate SVs were analyzed in three different groups: CNVs with sizes larger than 10 kb, CNVs smaller than 10 kb, and inversions. The workflow we used for SV analysis of these three groups is as follows.

CNVs larger than 10 kb were visualized by CNVkit (69). We first randomly chose 50 WGS samples from our patient cohort and pooled them as the copy-neutral reference by using CNVkit, then compared each WGS data to this reference. Previously, called CNVs and their flanking regions were input into CNVkit for visualizing the relative copy numbers compared to the pooled reference. A bin size of 1636 bp is used as determined by the CNVkit. Read coverage of each candidate CNVs that are larger than 10 kb is inspected (Fig. 1B). IGV is used to directly visualize for the local read coverage depth changes and paired-end reads that support the breakpoints. CNVs smaller than 10 kbs and inversions were visualized and manually examined for breakpoint using IGV (Fig. 1B).

For CNVs/inversions that were supported by more than two junction reads in IGV, we used Sanger sequencing to confirm the breakpoints. If a clinically relevant SNV was also called in this sample, Sanger confirmations in the sample were also performed. Segregation analysis in the families was also performed by Sanger sequencing.

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## Authors' Contributors

All authors contributed to the study conception and design. S.W., M.W., X.Y.Q., Y.M.L., K.Q.W. and J.S.C. performed material preparation, data collection and analysis. The first draft of the manuscript was written by S.W. and all authors commented on previous versions of the manuscript. All authors read and approved the final manuscript.

## Data Availability

The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

## Ethics approval and consent to participate

This study was performed in line with the principles of the Declaration of Helsinki. Approval was granted by the Ethics Committee of Baylor College of Medicine (Jan 21, 2021/IRB No. H- 29697).

Consent for all patients or guardian is obtained by physicians who diagnosed and recruited patients in this study.

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