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Extra Throughput versus Days Lost in load-shifting V2G services: Influence of dominant degradation mechanism

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Abstract:	<p>Electric vehicle (EV) batteries are often underutilized. Vehicle-to-grid (V2G) services can tap into this unused potential, but increased battery usage may lead to more degradation and shorter battery life. This paper substantiates the advantages of providing load-shifting V2G services when the battery is aging, primarily due to calendar aging mechanisms (active degradation mechanisms while the battery is not used). After parameterizing a physics-based digital-twin for three different dominant degradation patterns within the same chemistry (NMC), we introduce a novel metric for evaluating the benefit and associated harm of V2G services: throughput gained versus days lost (TvD) and show its strong relationship to the ratio of loss of lithium inventory (LLI) due to calendar aging to the total LLI (LLICal/LLI).</p> <p>Our results that focus systematically on degradation mechanisms via lifetime simulation of digital-twins significantly expand prior work that was primarily concentrating on quantifying and reducing the degradation of specific cells by probing their usage and charging patterns. Examining various cell chemistries and conditions enables us to take a broader view and determine whether a particular battery pack is appropriate for load-shifting (V2G) services. Our research demonstrates that the decision "to V2G or not to V2G" can be made by merely estimating the portion of capacity deterioration caused by calendar aging. Specifically, TvD is primarily influenced by the chemistry of cells and the environmental temperature where the car is parked, while the usage intensity and charging patterns of EVs play a lesser role.</p>
Suggested Reviewers:	<p>Matthieu Dubarry, PhD Associate Researcher, University of Hawai'i at Manoa matthieu@hawaii.edu He has worked extensively on this topic and is one of the most prolific authors in this field.</p> <p>Gregory Offer, PhD Professor, Imperial College London gregory.offer@imperial.ac.uk They have researched physics-based modeling of battery degradation.</p> <p>Jeremy Michalek, PhD Professor, Carnegie Mellon University jmichalek@cmu.edu They have worked on battery life cycle and V2G technology.</p> <p>Weihan Li, PhD Junior Research Group Leader, RWTH Aachen University</p>

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

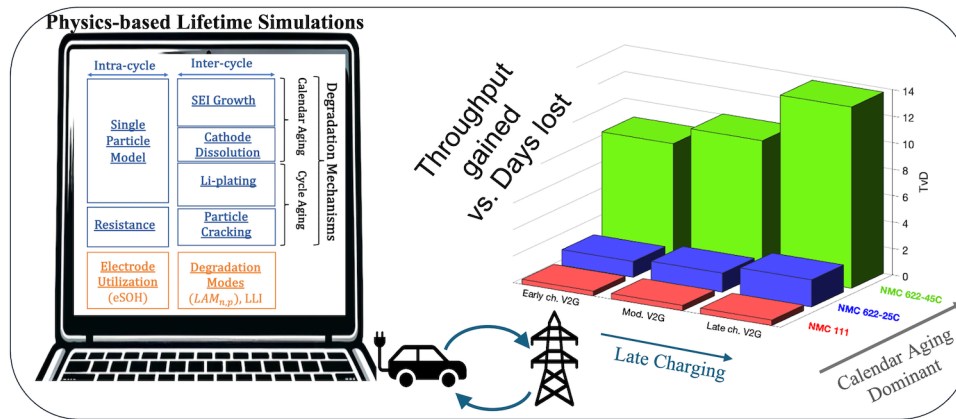
The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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Graphical Abstract

Extra Throughput versus Days Lost in load-shifting V2G services: Influence of dominant degradation mechanism

Hamidreza Movahedi, Sravan Pannala, Jason Siegel, Stephen J. Harris, David Howey, Anna Stefanopoulou



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Highlights

Extra Throughput versus Days Lost in load-shifting V2G services: Influence of dominant degradation mechanism

Hamidreza Movahedi, Sravan Pannala, Jason Siegel, Stephen J. Harris, David Howey, Anna Stefanopoulou

- Simulation of lifetime degradation due to V2G using physics-based EV battery digital-twins of three cell families with distinct dominant degradation mechanisms.
- Introduction of a new metric for quantifying the degradation cost and V2G benefits: *throughput gained versus days lost (TvD)* ratio of V2G services, where the *throughput gained* is the normalized additional battery utilization in Ah throughput, while the *days lost* is the relative lost lifespan of the battery due to V2G usage.
- Offering physics-based justification and qualification of the popular belief: "Use it or lose it". If calendar aging is more significant than other cycling aging mechanisms, we might as well use the battery for V2G.
- Evaluation of the secondary impact of charging protocol timing and driving distance on battery degradation in the presence of V2G services.

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Extra Throughput versus Days Lost in load-shifting V2G services: Influence of dominant degradation mechanism

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Abstract

Electric vehicle (EV) batteries are often underutilized. Vehicle-to-grid (V2G) services can tap into this unused potential, but increased battery usage may lead to more degradation and shorter battery life. This paper substantiates the advantages of providing load-shifting V2G services when the battery is aging, primarily due to calendar aging mechanisms (active degradation mechanisms while the battery is not used). After parameterizing a physics-based digital-twin for three different dominant degradation patterns within the same chemistry (NMC), we introduce a novel metric for evaluating the benefit and associated harm of V2G services: *throughput gained versus days lost (TvD)* and show its strong relationship to the ratio of loss of lithium inventory (LLI) due to calendar aging to the total LLI (LLI_{Cal}/LLI). Our results that focus systematically on degradation mechanisms via lifetime simulation of digital-twins significantly expand prior work that was primarily concentrating on quantifying and reducing the degradation of specific cells by probing their usage and charging patterns. Examining various cell chemistries and conditions enables us to take a broader view and determine whether a particular battery pack is appropriate for load-shifting (V2G) services. Our research demonstrates that the decision "to V2G or not to V2G" can be made by merely estimating the portion of capacity deterioration caused by calendar aging. Specifically, TvD is primarily influenced by

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9 the chemistry of cells and the environmental temperature where the car is
10 parked, while the usage intensity and charging patterns of EVs play a lesser
11 role.
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13 *Keywords:* Vehicle to grid, Digital twin, Li-ion, Battery, degradation,
14 model
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16 17 18 **1. Introduction** 19

20 The heightened importance of the climate crisis and the growing inte-
21 gration of renewable energy sources in the grid are making electric vehicles
22 (EVs) more attractive for vehicle-to-grid (V2G) services, where EV batteries
23 are used as extra energy storage to support the grid. These services, which
24 are becoming an exciting subject in research and industry [1, 2, 3], can be
25 categorized into load-shifting V2G and ancillary services [4]. Load-shifting
26 services can reduce peak power demand on the grid. Upgraded EVs act as
27 controllable grid storage by discharging when needed, reducing reliance on
28 fossil fuels. These services are also beneficial for integrating renewable energy
29 sources such as solar into the grid since solar generation has limited oper-
30 ational hours that may not align with peak industrial or building demand
31 periods [5].
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35 Passenger light-duty vehicles are often parked and not driven, resulting
36 in underutilized batteries for EVs [6]. V2G technology, while supporting
37 the grid, also provides financial profit for owners, especially considering that
38 batteries degrade even when stored and not used. This compensation is par-
39 ticularly relevant for car owners who drive short distances and may reach
40 the time limit of their pack warranty before hitting the total mileage and
41 current throughput (Ah) limit. Such under-utilization allows car owners in
42 regions with high electricity tariffs to leverage their battery pack for financial
43 gain. In addition, Li-ion battery materials are limited natural resources in
44 high demand [7], and battery manufacturing contributes significantly to EV
45 carbon footprint [8]. Therefore, efficient battery use is crucial, and the en-
46 vironmental benefits of V2G services are two-fold: grid decarbonization and
47 efficient use of natural resources.
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52 On the other hand, the usage of EV batteries for additional services will
53 result in higher degradation. EV manufacturers may account for the partic-
54 ipation in V2G by calculating the "virtual miles" toward the warranty limit
55 [9]. However, the definition of virtual miles fails to differentiate between the
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9 various causes of degradation and does not account for the fact that the bat-
10 tery pack will degrade even when the EV is not in use and parked. Given
11 that battery replacement cost governed by the warranty could significantly
12 impact EVs' overall cost of ownership [10], the extra degradation due to
13 V2G might be a significant deterrent for EV owners. Therefore, a metric
14 that reflects the additional degradation caused exclusively by the V2G d ser-
15 vices after accounting for the degradation that would have occurred anyway
16 if the pack was not cycled is required.
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19 To this end, we employ a digital-twin as a tool to explore different V2G
20 scenarios and their associated increased battery degradation. By introducing
21 the *throughput gained versus days lost ratio (TvD)* and using a physics-based
22 model for the V2G digital-twin, we analyze the sensitivity of the TvD to bat-
23 tery degradation mechanisms, and other factors such as depth of discharge,
24 driving distance, and average state of charge (SOC) of battery duty cycles.
25 Furthermore, our work clarifies the mixed results reported in previously pub-
26 lished works on battery degradation under V2G [11, 12, 13, 14], giving a
27 better understanding of the observed degradation patterns. Especially since
28 our physics-based model is parameterized using experimental data from cells
29 with various degradation modes, allowing for reliably studying a wide range
30 of scenarios.
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33 Previous studies on battery degradation due to load-shifting V2G services
34 show conflicting results, as summarized in Table 1. Some studies suggest
35 that V2G services can be very detrimental to battery longevity [15, 16]. In
36 contrast, others have shown a more optimistic prognosis and claim that such
37 services can even increase battery life [17, 18]. These contrasting results are
38 attributed to variations in chemistries, temperature conditions, and intensity
39 of the V2G services [13]. Our digital-twins explain these discrepancies using
40 physics-based simulations and clarify the degradation during the V2G and
41 noV2G duty cycles. We distinguish between the contribution of effective
42 degradation modes and mechanisms. We show that the fractional loss of
43 lithium inventory (LLI) specifically caused by calendar aging (LLI_{Cal}) is the
44 deciding factor for determining the degradation of cells used for load-shifting
45 V2G services, with minor influence from driving, charging, and V2G depth
46 of discharge. This clarification is essential for reconciling these discrepancies.
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49 There is also a lack of consensus among studies on the factors that affect
50 battery degradation. Specifically, some of the previous V2G studies have re-
51 ported that battery degradation is primarily proportional to SOC levels and
52 the test duration in time [18, 12]. In these studies, V2G services were shown
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Paper source	Cell type	Exp.	Model	Duty cycle factors	Conclusions
Bhoir et al.[19]	NMC cells	N	Emp.	Intensity DOD	+ Peak shaving reduces degradation.
Fioriti et al.[20]	Pouch NMC 20Ah cells	N	Emp.	Driving dist. Temp. Charg. Patt.	<ul style="list-style-type: none"> • 0.1% increase in Ah throughput • 3.8% faster degradation
Wei et al. [17]	Pouch NMC 24Ah cells	N	Semi emp	Intensity DOD	<ul style="list-style-type: none"> • Average SOC main factor + V2G could even slow the degradation by slowing calendar aging.
Bishop et al.[21]	Cylind. LFP 2.2Ah cells	N	Emp.	Driving dist. DOD Charg. patt.	<ul style="list-style-type: none"> • Degradation mostly a function of energy throughput • Sequence of resting and charging is also influential
Jafari et al.[22]	Cylind.LFP 2.4Ah cells	N	Emp.	Driving dist. Temp. Charg. Patt.	- Peak shaving does not change Ah throughput but leads to 37% more degradation
Peterson et al.[11]	Cylind. LFP 2.2Ah cells	Y	-	Intensity DOD	• Degradation is a function of energy throughput
Zheng et al.[23]	LFP pack	N	Emp.	Charg. Patt. Discharg. time	<ul style="list-style-type: none"> • Battery wear is related to energy throughput - Highly likely for EV aggregators to operate at a loss for current battery costs.
Zheng et al.[24]	LFP pack	N	Emp.	Charg. Patt. DOD	<ul style="list-style-type: none"> • Battery degradation assumed to be a function of charging rate - Not economically worth it to sell to the grid due to degradation.
Dubarry et al.[15, 25]	Cylind. NCA 3.35Ah cells	Y	-	Sequence Charg. patt.	<ul style="list-style-type: none"> • Increase in LAM results in worsened degradation. - V2G operations have a significant detrimental effect.
Gong et al. [26]	Cylind. NCA 3.35Ah cells	Y	Emp.	Diff. V2G Charg. patt.	+ Minimal difference between strategies could even be attributed to cell-to-cell variance
Uddin et al.[18]	Cylind. NCA 3.0Ah cells	N	Emp.	Intensity Driving dist.	+ V2G can be optimized to reduce degradation.
Kim et al. [12]	Cylind.cells LFP:2.5Ah NCA:3.4Ah, NMC:3.5Ah NC:3.0Ah	Y	-	Diff. grid duty cycles	<ul style="list-style-type: none"> • Degradation is mainly due to SOC and (ΔSOC) • Effect of V2G varies substantially among different chemistries
Petit et al.[27]	Cylind.cells LFP:2.3Ah NCA:7Ah	N	Emp.	Intensity Charg. patt.	<ul style="list-style-type: none"> • NCA mainly degrade due to charge throughput • LFP cells degrade due to average SOC
Wang et al.[16]	Cylind. NMC/NMO 1.5Ah cells	N	Semi emp	Intensity Temp. Driving dist.	- Regular peak shaving can cut the battery life by half.
EPRI [28]	Chrys. Paci. PHEV pack	Y	-	-	• V2G led to 165% more capacity degradation yielded 70% more Ah throughput.
Thingvad et al.[29]	Nissan e-NV200	Y	Emp.	-	• Did not compare with a baseline (noV2G)

Table 1: Previous studies on V2G degradation

to mitigate the capacity fade compared to a noV2G scenario for the same amount of Ah throughput. The cells used are most likely prone to calendar

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9 aging mechanisms, so processing more Ah throughput via V2G would not sig-
10 nificantly impact the total degradation. However, other studies have reported
11 a high correlation between Ah throughput and capacity fade [11, 15]. Unlike
12 the former cases, cells used in these latter studies are especially prone to
13 degradation mechanisms that get amplified due to additional Ah throughput
14 from V2G, such as particle cracking, as the primary source of degradation.
15 Here, we explain the inconsistency between these two cases (i.e., whether the
16 limiting case is calendar aging or cycle aging) by considering various degrada-
17 tion mechanisms and a physics-based model tuned for different cell families
18 representing these two opposite cases. We clarify that even with the same
19 chemistry anode (graphite) and cathode (NMC), there are different degrada-
20 tion patterns dominated by either cycling damage (particle cracking) or
21 calendar aging (SEI growth).
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26 Furthermore, we demonstrate the need for separate calendar and cycle
27 aging models to quantify the benefits and drawbacks of the V2G services
28 accurately. Our study gives insight into the importance of considering the
29 underlying degradation mechanisms that might trigger higher degradation
30 or extend the Ah throughput utilization before reaching the battery pack’s
31 end-of-life (EOL).
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33 Numerous studies [30] have simulated the degradation of the Li-ion bat-
34 teries due to V2G services. These studies create a degradation model based
35 on fast aging experiments and then impose V2G scenarios on the devised
36 models. Given that many of these studies aim to optimize financial prof-
37 its from V2G, they rely on empirical [19, 31, 23] or, at best, semi-empirical
38 [16, 17] models to simplify calculations.
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41 Physics-based models have been shown to improve the degradation predic-
42 tion for cells in grid-connected energy storage facilities (where driving is not
43 included in the duty cycle). Reiners et al. [32] modeled the battery degrada-
44 tion using three models with different levels of complexity. Even though their
45 most complex model only included solid-electrolyte interface (SEI) growth
46 as the sole degradation mode, they experimentally demonstrated that us-
47 ing this model can improve battery utilization and profit substantially [33].
48 They also showed how overly simplified degradation models can result in er-
49 roneous conclusions. In contrast to their work, our paper includes driving in
50 the duty cycle and additional battery degradation mechanisms to simulate
51 an automotive pack that provides energy storage services.
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55 To the best of our knowledge, this is the first work to use a physics-based
56 model to predict the degradation of Li-ion cells in an automotive pack used
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9 for regular driving and V2G operations. The closest study to a physic-based
10 model is the work done by Li et al. [34], where they only consider SEI as
11 the degradation mechanism and match the capacity of the cell only at two
12 points in the life of the battery and ignore the degradation profile.

13
14 In our work, we tune detailed degradation models based on a single-
15 particle model (SPM) using experimental accelerated aging data and its
16 whole profile to identify the degradation model parameters uniquely. Then,
17 we use the tuned model to simulate V2G and noV2G scenarios for three differ-
18 ent cell families (various chemistries and conditions) with different dominant
19 degradation mechanisms. We calculate the contribution of each degradation
20 mechanism to the capacity fade and relate the benefit of the V2G opera-
21 tion to the contributing portion of each mechanism. This work shows that
22 the extent of each mechanism’s contribution can determine the TvD of V2G
23 services over the battery lifetime.

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25 Contributions of this work include introducing:

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- 29 • A physics-based model for analyzing the intra/inter-cycle degradation
30 during load-shifting V2G services.
 - 31
32 • A range of different cell families with different dominant degradation
33 mechanisms and use conditions reflecting an exemplary but demanding
34 driving schedule (more than 68 miles/day).
 - 35
36 • A new metric for quantifying the degradation cost and V2G benefits:
37 *throughput gained vs. days lost (TvD)* ratio of the V2G services. The
38 gain is the relative additional throughput provided to the grid, while
39 the days lost are the relative lost life due to increased degradation.
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41 • Analysis of the effect of late- and early-charging protocols and the
42 driven distance per day on battery degradation during V2G services
43 for cells with different dominant degradation modes.
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47 This study paves the way for quantifying the additional encumbered en-
48 vironmental and financial implications of V2G for different stakeholders,
49 namely the car owners, the utility companies, and the workplace and the
50 home in which V2G is operated. We mainly focus on discovering the un-
51 tapped throughput of batteries before 70% capacity fade is reached but im-
52 plicitly show how utilizing this potential can benefit the environment. This
53 environmental aspect has been largely ignored previously [35] and will be
54 studied in future work.

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9 The outline of the paper is as follows. Section 2 provides a background on
10 previous research and explains how our work is related to it. In Section 3, we
11 introduce the V2G strategies and vehicle driving cycles. Section 4 describes
12 the considered degradation mechanisms and the model tuning process. The
13 simulation results are presented in Section 5 and discussed in Section 6.
14 Section 7 includes the conclusions and future work.
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17 18 **2. V2G services** 19

20 Load-shifting V2G services can reduce the height of the peak power de-
21 mand by charging EV batteries during off-peak periods and discharging them
22 to the grid during peak times [36].
23

24 Here, we assume the V2G services have consistent daily operation. This
25 section describes three V2G service duty cycles and a noV2G baseline duty
26 cycle used in simulations. We will also explain the drive cycle contained
27 within these daily drives and V2G duty cycles.
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30 *2.1. Duty cycles* 31

32 The duty cycles we consider are roughly based on cases tested by Dubarry
33 et al. [15] as shown in Fig. 1(a). One of these duty cycles presents the least
34 damaging case of noV2G (i.e., charging right before driving), and the second
35 is a V2G scenario with the same average SOC level (SOC_{ave}) as the noV2G
36 case, as illustrated in Fig. 2. These two scenarios, with identical daily SOC_{ave} ,
37 were selected initially to eliminate any impact of the SOC_{ave} on degradation.
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39 Later in the paper, we will analyze the impact of SOC by considering V2G
40 services with different average SOCs, resembling users who choose early- or
41 late-charging protocols after discharge to the grid. These scenarios are also
42 presented here and shown in Fig. 2.
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- 45 • NoV2G (baseline): This scenario includes two hours of driving, emulat-
46 ing a one-hour drive to work in the morning and one hour back home,
47 as shown in Fig. 1(a). The battery is charged at C/4 rate at work,
48 right before driving back home, and C/8 at home right before driving
49 to work in the morning. For the remainder of the noV2G duty cycle,
50 the battery is resting. The charging happens directly before driving
51 periods to create the least damaging noV2G scenario. For the remain-
52 der of the noV2G duty cycle, the battery rested. This sequence reduces
53 the resting SOC level, as shown in Fig. 2, and consequently mitigates
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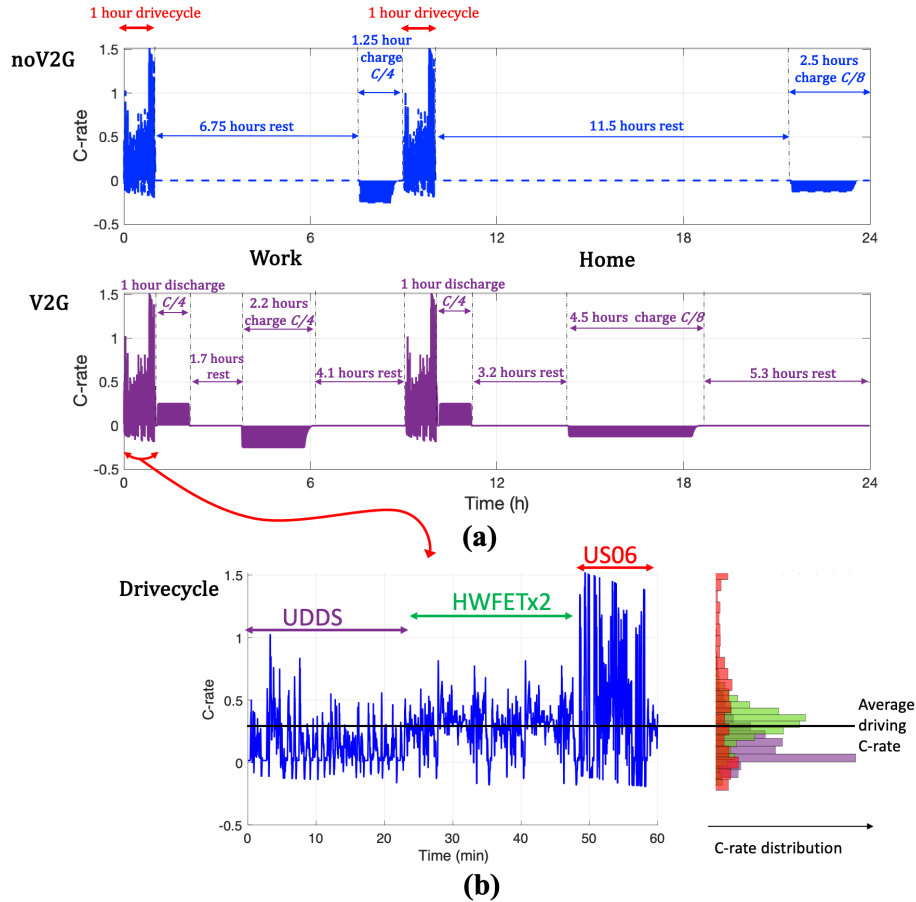


Figure 1: Scenarios in this study: (a) Daily duty cycles (24 hr) with and without V2G are considered, including one hour of driving to work and an hour back home. The V2G duty cycle includes 2 hours of discharge to the grid divided equally between morning and afternoon. The average SOC is equal to 0.79 for both duty cycles. (b) The current profile (as C-rate) of the drive cycle includes Federal test procedures with an accumulated driving distance of 34.1 miles each hour. The C-rate distribution of each drive cycle is also presented.

the calendar aging caused by SEI, which is more prominent at high resting SOC values [37]. The minimum SOC in this scenario is 0.73, and the average SOC is 0.79 in the first cycle. Needless to say, as the battery ages, the capacity of the cells fades, leading to a reduction in the minimum SOC and widening of the range of the SOC window over

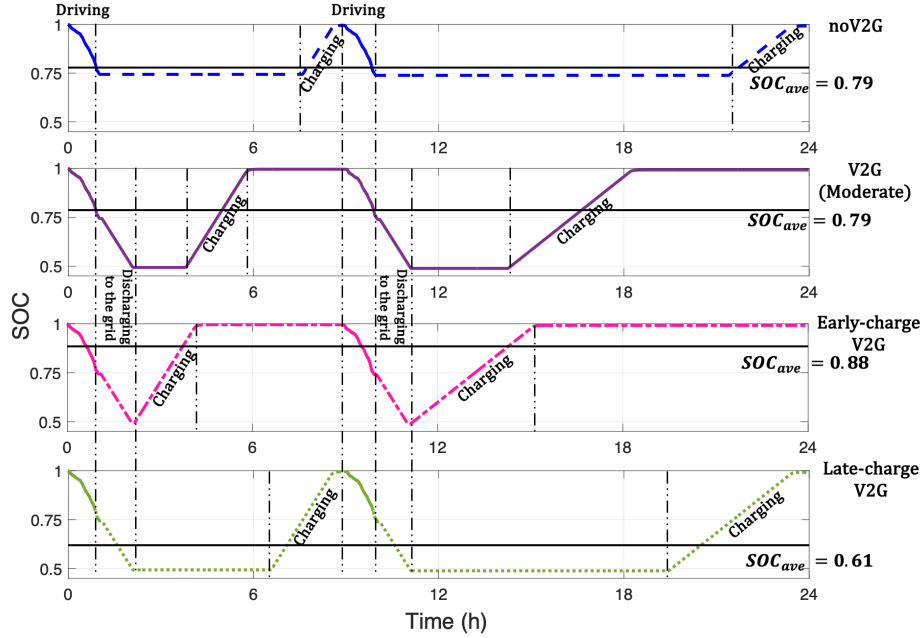


Figure 2: The resulting SOC in the first cycle for the noV2G, V2G, early-charge V2G, and late-charge V2G scenarios. The average state of charge (SOC_{ave}) is shown with a solid black line for each scenario. The noV2G and V2G cases have the same average SOC; thus, we call this case **moderate** V2G. The early-charging V2G case rests longer at higher voltage and has a larger average SOC. Late-charging V2G rests at a lower voltage and has a smaller average SOC.

the lifetime simulation.

- V2G (moderate V2G): In this scenario, in addition to charging and driving, the electric vehicle was discharged to the grid at $C/4$ for one hour after each driving period to simulate load-shifting grid services. The vehicle was assumed to arrive at the workplace (after the morning drive) or home (after the afternoon drive). The charging intervals in the morning and afternoon were chosen to match the average SOC to that of the noV2G case ($SOC_{ave}=0.79$). In this scenario, the SOC decreases to 0.49 in the first cycle, widening the used SOC range (ΔSOC) from 0.27 (SOC : 0.73 – 1) to 0.51 (SOC : 0.49 – 1) as shown in the first and second subplots of Fig. 2.
- Early-charging V2G: The battery was charged right after driving in this

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9 duty cycle. Hence, the average SOC has a higher value ($\text{SOC}_{\text{ave}} = 0.88$)
10 than the moderate V2G scenario.
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- 12 • Late-charging V2G: Similar to the noV2G case, the battery was charged
13 directly before driving to lower the average state of charge ($\text{SOC}_{\text{ave}} =$
14 0.61), which is therefore below the moderate V2G case.
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17 2.2. Drive cycle

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19 For the driving part of the duty cycles, we assume a morning and an
20 afternoon commute that lasts an hour each way. Each commute consists
21 of one Urban Dynamometer Driving Schedule (UDDS), two Highway Fuel
22 Economy Tests (HWFET), and a high acceleration supplemental federal test
23 (US06) [38]. These drive cycles were primarily introduced by the EPA to
24 determine vehicle emissions and fuel economy and were created to represent
25 a typical vehicle velocity profile for different driving conditions [39]. The
26 required current load to the batteries was extracted from the experimental
27 data used in Mohtat et al. [40]. The resulting C-rate and its distribution are
28 presented in Fig. 1(b). The total driving distance of the combined drive cycle
29 is 34.1 miles. This is longer than the distance that most (more than 90%)
30 EVs drive daily [41, 42], making this driving schedule demanding and one
31 where introducing V2G could be challenging. As this longer travel distance
32 will degrade the battery faster than usual, the potential use of V2G may also
33 be limited. Later in the paper, we analyze the effect of shorter drive cycles.
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39 3. Lifetime degradation model

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41 In this section, we summarize the predictive reduced-order electrochemi-
42 cal model used to simulate Li-ion aging. We briefly describe the degradation
43 mechanisms for cell degradation of various lithium-nickel-manganese-cobalt
44 (NMC) cell families that exhibit different dominant degradation modes. The
45 digital-twin allows a comprehensive exploration of these degradation mecha-
46 nisms under various cycling conditions, C-rates, Ah throughput conditions,
47 SOC windows, and average SOCs depending on delaying charging. Addition-
48 ally, we present the experimental data used to tune the digital-twin for three
49 cell families with different dominant degradation mechanisms and outline the
50 fitting procedure used to parameterize these degradation mechanisms for the
51 lifetime degradation model. Fig. 3 provides a schematic representation of how
52 the digital-twin operates. The model takes current profile inputs relating to
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9 the usage pattern, simulates the physical degradation mechanisms, and com-
10 puts the degradation modes associated with the loss of lithium inventory
11 (LLI) or LAM in each electrode. The digital-twin includes degradation mech-
12 anisms that occur independently of battery usage or Ah throughput, even
13 during parking (when no current is flowing), by inducing LLI due to calendar
14 aging. These mechanisms correspond to the SEI growth in the anode particles
15 and the cathode transition metal dissolution, which will be explained later
16 in this section. The integration and interaction of all these physical degrada-
17 tion mechanisms for different use patterns are simulated over an entire day
18 (intra-cycle) and computed day after day (inter-cycle) as the battery ages. A
19 summary of the governing equations and their interconnections within intra
20 and inter-cycles are shown in Fig. 3 and are briefly described below.

25 *3.1. Intra-cycle electrochemical model*

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27 The degradation depends on complex dynamic phenomena across the
28 cell electrodes. The left upper panel of Fig. 3 summarizes the digital-twin
29 equations using a single particle model (SPM) in which each electrode is
30 approximated by assuming that the radii of all electrode particles are the
31 same, and therefore a single average-radius particle adequately captures be-
32 havior. This physics-based model ignores the electrolyte dynamics and the
33 spatial variation of reaction current yet provides enough accuracy for low
34 to moderate C-rates [43]. The solid-phase concentration (c_s) in each par-
35 ticle is calculated using a partial differential equation (PDE) for diffusion,
36 with boundary conditions at the center and surface of the sphere. The in-
37 tercalation overpotential η is calculated using the Butler-Volmer equation.
38 The terminal voltage of the cell is given by the difference in potential of the
39 negative and positive electrodes ($\phi_s^+ - \phi_s^-$), minus the losses due to ohmic
40 resistance (V_R). The characteristics of the cells are presented in Table 2, and
41 the parameters used for the electrochemical model are given in Appendix B.

46 *3.2. Inter-cycle degradation mechanisms*

47
48 There is a plethora of degradation mechanisms that can explain aging
49 in batteries. Here, we considered four mechanisms that are most commonly
50 assumed [44] to cause degradation during normal operation: (a) SEI growth,
51 (b) transition-metal dissolution in the cathode, (c) mechanical degradation
52 due to particle cracking in the electrodes, and (d) lithium plating.

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55 Dissolution and SEI growth are time-dependent processes. While they
56 are active during storage, leading to calendar aging, these mechanisms also
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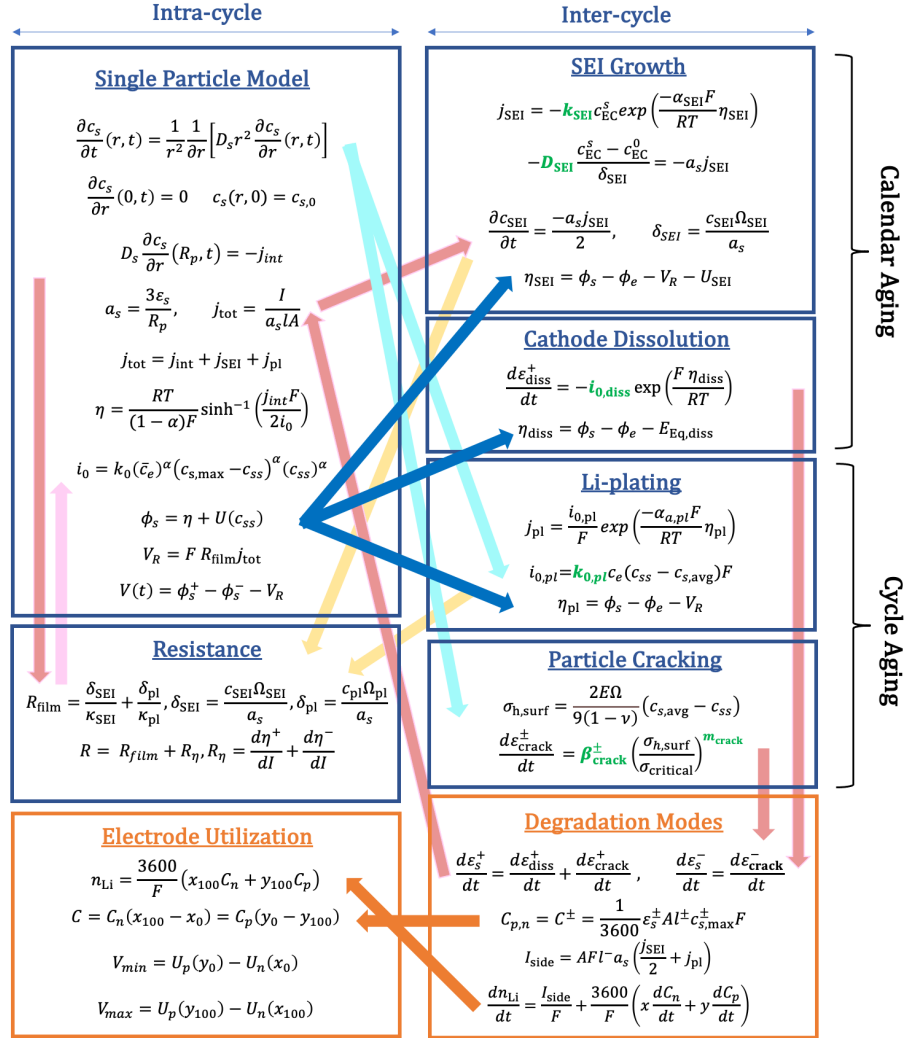


Figure 3: Summary of degradation mechanism equations based on SPM. Cathode dissolution and SEI growth are the only mechanisms that are active during calendar aging. All the mechanisms are present during the cycling of the cell.

Par.	Description	NMC111	NMC622-25C	NMC622-45C
-	Anode chemistry	Graph. (Hitachi MAG-E3)	Graph. Sup. SLC 1520-T	Graph. Sup. SLC 1520-T
-	Cathode chemistry	NMC111	NMC622	NMC622
C	Nominal capacity (Ah)	5	3.5	2.5
A	Cell surface area (m^2)	0.205	0.135	0.101
R_s^-	Neg. particle rad. (μm)	10	13.5	10
R_s^+	Pos. particle rad. (μm)	3.5	2.1	3.5
l^-	Neg. elec. thick. (μm)	62.0	55.7	61.6
l^+	Pos. elec. thick. (μm)	67.0	55.6	54.5

Table 2: Characteristics of the three NMC cell families considered.

occur when the battery is in use. All four mechanisms, including SEI growth and dissolution, remain active when the battery is used.

The detailed equations of the models of these mechanisms and their interactions with SPM are shown in Fig. 3. The following descriptions provide an overview of these mechanisms.

3.2.1. SEI growth

As a result of the reactions between the electrolyte and the negative electrode in the negative electrode, a layer of reaction products forms on the solid-electrolyte interface. These reactions consume lithium, so the capacity of the cell decreases. Growth of the SEI layer is governed by diffusion and kinetic limiting behaviors. This degradation mechanism is more pronounced at higher SOC's and cell temperatures [37]. The parameters in this model that will be tuned include k_{SEI} and D_{SEI} which are the kinetic rate constant and the diffusivity of the SEI layer, respectively. The SEI growth decreases the cell capacity directly through the loss of cyclable lithium (also called 'LLI' for lost lithium inventory). The formed SEI layer also increases the cell ohmic resistance R_{film} .

3.2.2. Electrode particle cracking

Cell electrodes expand during the intercalation of Li-ions and contract during deintercalation. This results in alternating stresses, causing initiation and propagation of cracks and loss of active material in the electrodes. Loss of active material results in entrapment and isolation of material containing

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9 otherwise useful lithium, leading to capacity fade. This loss will cause a
10 reduction in capacity due to the entrapment of lithium in isolated sections
11 of the particle and an increase in cell resistance due to a larger overpotential
12 of the positive electrode η^+ . The capacity loss and crack growth can be
13 modeled using material fatigue models [45]. We have proposed an advanced
14 model that considers fatigue on a per-cycle basis and includes concentration-
15 dependent stresses [46]. However, since we are operating within a close range
16 of the tuned conditions, we opted for a simplified version of this model.

17
18 For each electrode, we tune the constant fatigue model coefficients β_{crack}^\pm
19 and exponent m_{crack} . As shown in Fig. 3, the rate of change in active material
20 ratio is calculated by computing the hydrostatic stress at the surface of the
21 particle ($\sigma_{\text{h, surf}}$). The overall loss is calculated by taking the integral of this
22 rate over battery life.
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26 3.2.3. Transition-metal dissolution in cathode

27
28 At high cell voltages, particularly near full charge, when the concentra-
29 tion of Li-ions in the cathode is very low, transition metal ions (mostly man-
30 ganese in NMC cells) from the cathode dissolve into the electrolyte. Here, we
31 model dissolution by reducing the active material ratio in the cathode (ε_s^+)
32 [47], as shown in Fig. 3. Similar to the particle cracking mechanism, loss
33 of active material increases the intercalation overpotential and causes higher
34 resistance.
35
36

37 The dissolution rate varies depending on the chemical composition of the
38 cathode and temperature and can be adjusted by selecting the dissolution
39 exchange current density $i_{0,\text{diss}}$. Here, we consider the cathode dissolution
40 mechanism only if we observe a considerable loss of cathode capacity during
41 the calendar aging tests in a cell. The dissolution equilibrium potential in
42 these cells is assumed to be $E_{\text{Eq,diss}} = 4 \text{ V}$ [47].
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44

45 3.2.4. Lithium plating

46
47 Lithium plating is another degradation mechanism that can affect the
48 battery lifetime, especially during fast-charging and at lower ambient tem-
49 peratures. Lithium metal deposits on the surface of the electrode instead
50 of intercalating into it. Similar to the SEI mechanism, plating increases the
51 LLI and ohmic resistance. Here, we use a modified model for Li-plating that
52 takes into account the non-uniformity of concentration distribution in the
53 electrolyte that is ignored by the SPM [46]. The only tuning parameter in
54 our Li-plating model is the kinetic rate constant, $k_{0,\text{pl}}$, as shown in Fig. 3.
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9 *3.3. Cells with different dominant degradation mechanisms*

10 Three different sets of pouch cells that were manufactured and underwent
11 calendar and cycle aging tests at the University of Michigan Battery Lab
12 (UMBL) are considered in this paper. A summary of the characteristics of
13 these cells is in Table 2.
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- 16 • NMC111 [40]: These cells have a nominal capacity of 5 Ah. The anode
17 is made of Mag-E3 graphite with a 5% binder. The cathode consists of
18 NMC111, 3% carbon black, and 3% PVDF. We have seen previously
19 that these cells predominantly degrade due to LAM in the negative
20 electrode [48], possibly due to the manufacturing process.
21
22
- 23 • NMC622-25C: These cells have a nominal capacity of 3.5 Ah. The
24 anode is made of Superior SLC 1520T Graphite with 1.5% binder SBR
25 and 1.5% binder CMC. The cathode consists of single crystal NMC622
26 with 3% conductive additive Super C65 and 3% binder PVDF.
27
28
- 29 • NMC622-45C [49]: These cells are made of the same material as NMC622-
30 25C. They have a nominal capacity of 2.5 Ah, were aged at a higher
31 temperature of 45°C, and underwent a fast formation protocol. These
32 cells represent a case with an extreme SEI growth dominance.
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34

35 The electrolyte for all the cells consisted of ethylene carbonate (EC) and
36 ethyl methyl carbonate (EMC) in a 3:7 weight ratio, 1mol/lit LiPF₆, and
37 2% vinylene carbonate (VC) additive.
38
39

40 *3.3.1. Description of experimental tests for each digital-twin*

41 The experimental data for model parameterization includes calendar and
42 cycling aging tests conducted in the University of Michigan Battery Control
43 Lab. Table 3 presents the aging tests used in this paper.
44

45 Reference performance tests (RPT) were conducted periodically every
46 few weeks for each test condition. The State of Health (SOH) of the cells
47 was estimated using a voltage fitting procedure [50], based on the C/20 data
48 from the RPT tests. The resistance was calculated using hybrid pulse power
49 characterization (HPPC) tests.
50
51

52 *3.4. Fitting Procedure*

53 Tuning the degradation models based on experimental data similar to the
54 intended cycling conditions is essential for accurate cell degradation predic-
55 tion. An error minimization process was used to obtain the six parameters
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	Cycle aging				Calendar aging	
	Charge	Disch.	Temp.	DOD	SOC	Temp.
NMC111	C/5	C/5	25 °C	50%	1	45 °C
	1.5C	US06	25 °C	50%	1	-5 °C
NMC622-25	C/2; 1.3C every 4th cycle	C/2	25 °C	50%	1	25 °C
	C/2	US06	25 °C	100%	0.5	25 °C
NMC622-45	1C	1C	45 °C	100%	0.9	45 °C

Table 3: Experimental data for cycle aging and calendar aging tests.

of the four degradation mechanisms indicated in green in Fig. 3. The iterations needed for the minimization to converge, involve multiple lifetime simulations, which is computationally demanding. Although this computation is happening offline in this paper, meaning that laboratory tests and the digital-twin parameterization are not time-constraining, the computational cost is still high. To manage the computational requirements needed for the parameter fitting and for the various simulations, we employed the adaptive accelerated simulation procedure developed in Sulzer et al. [51] and used for initial parameterizations by Pannala et al. [52]. The outline of the fitting procedure is sketched in Fig. 4.

For each set of cells, the fitting was performed in consecutive steps. First, we focus on the parameters governing calendar aging mechanisms. Calendar aging test results were used to optimize the SEI and dissolution parameters to fit the estimated electrode-specific state of health (eSOH) $\zeta = [C_p, C_n, LLI]^T$, which is associated with decreases in individual electrode capacity C_p , C_n , and the total LLI as shown also in Fig. 5. A derivative-free solver for nonlinear least-squares (DFO-LS) [53] and PyBaMM (Python Battery Mathematical Modeling) library [54] were used to solve the following optimization problem

$$\min_P \sum_{i=1}^{n_1} \sum_{j=1}^{n_2} w((\zeta_{i,j} - \hat{\zeta}_{i,j})^2), \quad (1)$$

where parameters

$$P = P_{\text{cal}} = [k_{\text{SEI}}, D_{\text{SEI}}, i_{0,\text{diss}}]^T,$$

and n_1 is number of conditions tested for each cell, n_2 is number of RPTs performed in each test, and $w \in \mathbb{R}^3 > 0$ is a weight vector [55]. Here,

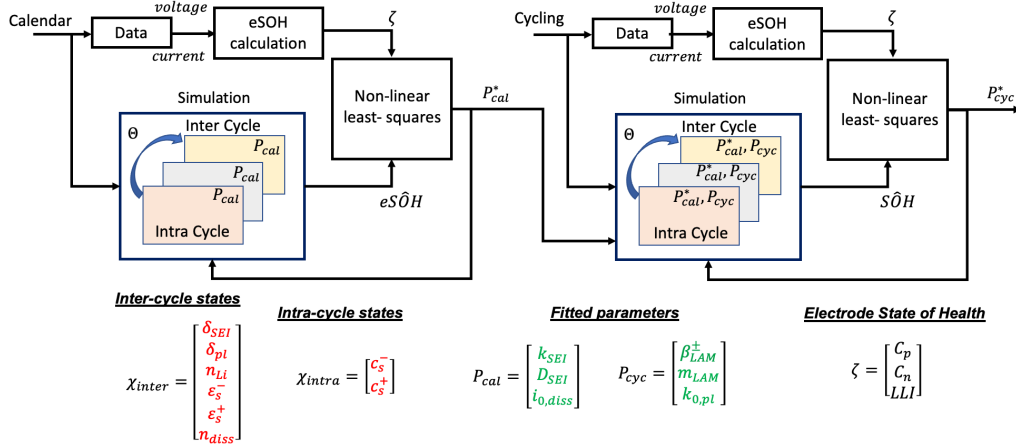


Figure 4: Summary of fitting process. First, the parameters of SEI and cathode dissolution models are found. These parameters, along with cycle test data, are used to find the parameters of Li-plating and mechanical degradation models. An adaptive simulation is used to reduce the simulation time needed for every lifetime iteration to be performed.

$w = [1, 1, 0.25]$ was chosen to make the parameters in ζ have the same range.

In the next step, we considered the cycling aging tests and fitted the parameters for Li-plating and mechanical degradation,

$$P = P_{cyc} = [k_{0,pl}, \beta_{crack}^+, \beta_{crack}^-, m_{crack}]^T,$$

using the optimization problem 1, and fixing the parameters found in the previous step.

To accelerate the optimization, an adaptive inter-cycle extrapolation technique was used to reduce the required calculations at each iteration [51]. In this approach, we select specific representative cycles instead of simulating aging for every single cycle. We then extrapolate the states of the degradation models to find the subsequent representative cycles. Simulations at every iteration were performed to verify the optimal results of the accelerated problem [52]. The fitted parameters are presented in Table 4.

The resulting model predictions and experimental data are illustrated in Fig. 5. A close agreement between the eSOH of the developed model and test data can be seen in Fig. 5(a). The comparison between the voltage response of the model and data is presented in Fig. 5(b). With these parameterizations, we simulate various V2G and noV2G scenarios.

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	NMC111	NMC622-25C	NMC622-45C
$k_{\text{SEI}}(m s^{-1})$	1.08e-16	2.76e-16	4.35e-16
$D_{\text{SEI}}(m^2 s^{-1})$	1.5.9e-19	1.75e-19	3.69e-19
$i_{0,\text{diss}}(A m^{-2})$	0	0	6.24e-04
$k_{0,\text{pl}}(m s^{-1})$	7.42e-10	5.48e-10	4.64e-10
β_{crack}^+	2.23e-07	3.43e-07	0.76e-07
β_{crack}^-	10.77e-07	1.47e-07	0.87e-07
m_{crack}	1.02	1.02	1.02

Table 4: Fitted parameters for SEI, cathode dissolution, Li-plating, and mechanical degradation models.

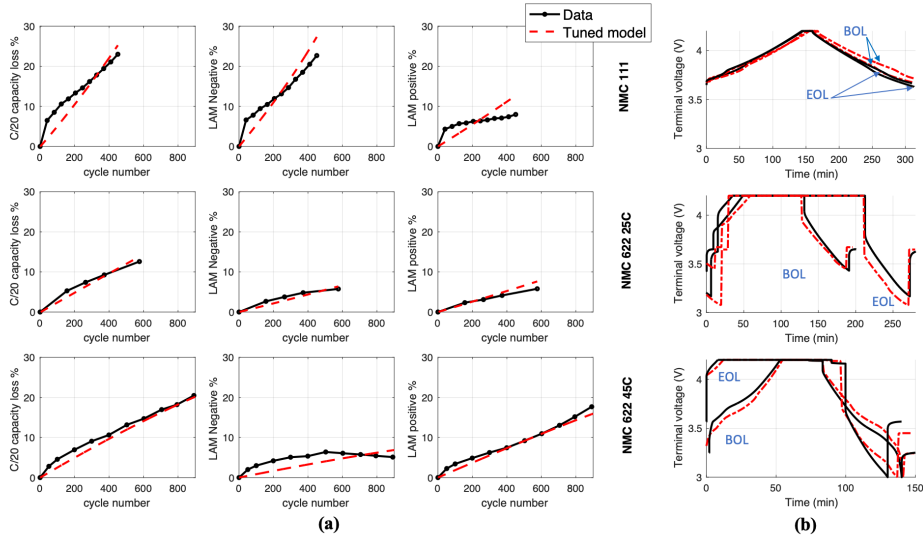


Figure 5: Comparison of the experimental data and fitted model simulations for the three cells. In (a) capacity loss, LAM in the negative and positive electrodes, and (b) voltage behavior of the fresh and aged cells during cycling are shown. For NMC111, the voltage shown is C/5 charge, C/5 discharge at 50% DOD. For NMC622-25C, the cell is charging and discharging at C/2 at 50% DOD. NMC622-45C has cycled at 1C charge and discharge rate at 100%.

4. V2G and noV2G lifetime simulations

We employed the PyBaMM library to simulate V2G and noV2G duty cycles for each parameterized family of cells. It is worth noting that with-

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9 out the accelerated simulation used in the tuning section, the full lifetime
10 (800 days) simulation requires approximately 1 hour to complete. However,
11 had we opted for accelerated simulations, the time needed would have been
12 reduced to less than 4 minutes.
13

14 15 *4.1. Simulation results*

16
17 The resulting capacity retention in each case is presented in Fig. 6 for
18 days of simulated operation and versus normalized Ah throughput. Since
19 the nominal capacities of the considered cells are different, the normalized
20 throughput is defined as:
21

$$22 \quad \text{Normalized Ah throughput} = \frac{\text{Ah throughput}}{\text{Nominal capacity}} \quad (2)$$

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26 As shown in Fig. 6, the degradation profiles for the NMC111 (cycle aging
27 dominant) cell and NMC622-45C (calendar aging dominant) cell are nearly
28 identical for the noV2G duty cycle. When put through the V2G duty cy-
29 cle, all the cells experience reduced lifespan and provide additional output.
30 However, based solely on the degradation profile of the noV2G case, one
31 would anticipate similar performance between the NMC111 and NMC622-
32 45C cells under V2G, but they exhibit significant differences. The calendar
33 aging dominant cell delivers much higher throughput and experiences only
34 minimal reduction in longevity. This highlights the importance of detailed
35 cell modeling, which we will discuss further in the next section.
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39 It is also observed that regardless of the cell conditions and chemistries,
40 the degradation order is reversed when compared with days and normalized
41 throughput. In simple terms, the faster the V2G duty cycle causes the cells
42 to age, the less extra throughput it will yield. This trend was also observed
43 by Dubarry et al. in their reported experimental results [15].
44

45 The summary of the state of each cell at EOL, which is assumed to be the
46 time when each cell reaches 70% of its initial capacity, is presented in Table
47 5. In this table, alongside the eSOH of each cell at the EOL, the contribution
48 of each degradation mechanism to capacity fade is shown.
49
50

51 *4.2. Dominant degradation mechanisms*

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53 To compare the relative contribution of each degradation mechanism in
54 each case, we can calculate the share of each mechanism as a fraction of
55 the total loss of lithium inventory (LLI). This is a reasonable assumption
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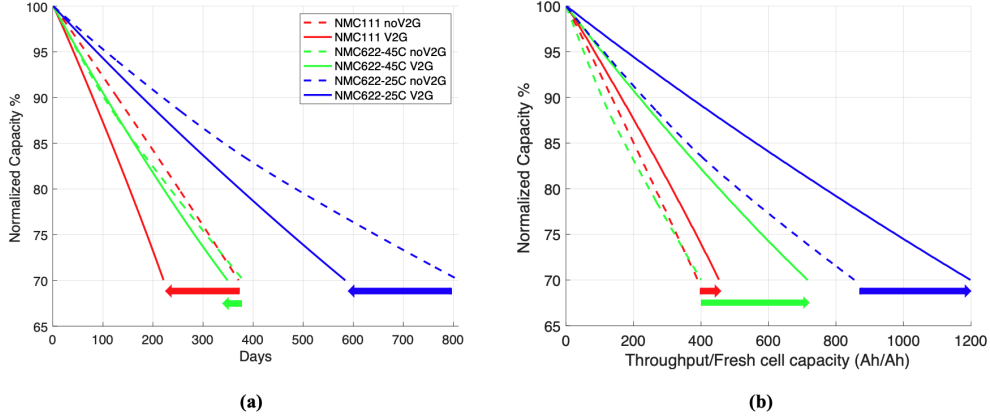


Figure 6: Capacity retention with regard to (a) days and (b) normalized Ah throughput for different scenarios and operational conditions. Discharging the battery to the grid significantly reduces its lifespan in days but only slightly increases Ah throughput in NMC111 cells. On the other hand, V2G in the NMC622-45 case increases Ah throughput with minimal effect on the battery’s lifespan in days. The NMC622-25C cell is somewhere between these two cases.

since the cells do not experience a knee in their degradation profile, and the electrode capacities do not become a limiting factor. The breakdown of these fractional contributions, along with the state of health at the EOL, is presented in Table 5. The total LLI at each cycle (day) is calculated as:

$$LLI_k = \frac{\Delta n_{Li}}{n_{Li,BOL}} = \frac{n_{Li,BOL} - n_{Li,k}}{n_{Li,BOL}} \quad (3)$$

where $n_{Li,BOL}$ and $n_{Li,k}$ are the moles of cyclable lithium at the beginning of life (BOL) and at cycle = k of the cells. Here, we assume that the battery will last until the capacity reaches 70%

$$LLI = LLI_{SEI} + LLI_{plating} + LLI_{diss} + LLI_{crack} \quad (4)$$

In most situations, LAM can reduce the capacity of a battery due to LLI (LLI_{LAM}). The LAM, due to particle cracking and cathode dissolution, creates electrically isolated particles that can no longer be charged or discharged. Since the lithium ions cannot be released without allowing an electron to reach the current collector, the lithium becomes trapped at the time of the failure, reducing the battery’s usable lithium supply and thereby

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	NMC111		NMC622-25C		NMC622-45C	
At 70% cap. reten.	noV2G	V2G	noV2G	V2G	noV2G	V2G
Days	371	221	812	584	380	349
Norm. thru. (Ah/Ah)	390.3	453.5	854.2	1198.3	399.7	716.1
C_p retention %	87.5	87.0	88.1	84.1	77.2	75.0
C_n retention %	75.0	68.3	91.0	86.3	98.4	97.0
LLI%	29.5	30.0	30.0	30.0	29.9	29.8
LLI _{SEI} %	6.5	3.3	19.1	14.1	23.2	22.0
LLI _{SEI+diss} %	6.5	3.3	19.1	14.1	27.6	25.5
LLI _{plating} %	1.4	1.5	2.9	3.5	0.6	0.9
LLI _{crack} %	21.6	25.3	8.3	12.7	1.7	3.5
LLI _{LAM} %	21.6	25.3	8.3	12.7	6.1	6.9

Table 5: State of health and contributions of different degradation mechanisms at the EOL (70% capacity retention) for V2G and noV2G scenarios. Compared to the noV2G case, the mechanical degradation increases, and SEI contribution decreases in the presence of V2G services. The SEI growth and cathode dissolution constitute calendar aging degradation. LLI due to LAM is the summation LLI in electrodes either because of mechanical degradation or cathode dissolution.

reducing its overall capacity. It has been shown [51] that the amount of LLI due to LAM can be calculated using the following formula:

$$\frac{dn_{\text{Li, LAM}}}{dt} = \frac{3600}{F} \left(x \frac{dC_n}{dt} + y \frac{dC_p}{dt} \right) \quad (5)$$

where x and y are the stoichiometry of the negative and positive electrodes, respectively. The LLI due to LAM is the summation of LLI due to cathode dissolution and particle cracking ($\text{LLI}_{\text{LAM}} = \text{LLI}_{\text{diss}} + \text{LLI}_{\text{crack}}$). The cathode dissolution and particle cracking portions of the degradation are calculated as:

$$\text{LLI}_{\text{diss}} = \frac{l^+ c_{s,max}^+ \int_{\text{BOL}}^{\text{EOL}} y \frac{d\varepsilon_{\text{diss}}^+}{dt} dt}{\Delta n_{\text{Li}}} \quad (6)$$

$$\text{LLI}_{\text{crack}} = \frac{l^+ c_{s,max}^+ \int_{\text{BOL}}^{\text{EOL}} y \frac{d\varepsilon_{\text{crack}}^+}{dt} dt + l^- c_{s,max}^- \int_{\text{BOL}}^{\text{EOL}} x \frac{d\varepsilon_{\text{crack}}^-}{dt} dt}{\Delta n_{\text{Li}}} \quad (7)$$

where $\varepsilon_{\text{diss}}$, $\varepsilon_{\text{crack}}$, l , and $c_{s,\text{max}}$ denote the change in active material ratio due to dissolution and particle cracking, electrode thickness, and maximum concentration in each electrode, respectively. As seen from this formula, the amount of trapped lithium is proportional to the rate of LAM (\dot{C}_p and \dot{C}_n) and the amount of lithiation in each electrode when LAM occurs.

The SEI and plating contributions are calculated as follows:

$$\text{LLI}_{\text{SEI}} = \frac{\int_{\text{BOL}}^{\text{EOL}} j_{\text{SEI}} dt / F}{\Delta n_{\text{Li}}} \quad (8)$$

$$\text{LLI}_{\text{plating}} = \frac{\int_{\text{BOL}}^{\text{EOL}} j_{\text{pl}} dt / F}{\Delta n_{\text{Li}}} \quad (9)$$

where j_{SEI} and j_{pl} are the SEI and Li-plating current densities respectively.

We define the LLI due to calendar aging as the summation of LLI due to SEI and cathode dissolution ($\text{LLI}_{\text{Cal}} = \text{LLI}_{\text{SEI}} + \text{LLI}_{\text{diss}}$).

Analyzing the resulting breakdown of contributions from each degradation mechanism in Table 5, it can be seen that in all three cases, performing V2G load-shifting services elevates the relative share of mechanical degradation and Li-plating to the total capacity fade. This observation is logical, considering that these degradation mechanisms intensify with higher Ah throughput by the EOL.

Performing V2G, however, decreases the relative contribution of calendar aging mechanisms (SEI growth and cathode dissolution). The reason behind this reduction is two-fold. First, the degradation attributed to the other two mechanisms has intensified. Second, the cell degrades faster in time, leading to an earlier EOL in time. Since SEI growth and cathode dissolution mechanisms are known to be increasing functions of time [47], the amount of LLI due to these mechanisms will be lower. Now, we review the degradation mechanisms in each individual cell family.

4.2.1. NMC111: Dominant LAM_{Neg} degradation

Analyzing Table 5 shows that the dominant degradation mode for NMC111 cells is LAM caused by particle cracking. For the noV2G scenario, 73% (21.6/29.5) of the LLI is the result of mechanical degradation. This portion for the V2G case rises to 86% (25.3/30.0) as shown in the $\text{LLI}_{\text{crack}}$ row of the Table. The capacity retention of the electrodes (C_p and C_n) at EOL shows that most of this LLI is due to particle cracking in the negative electrode.

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4.2.2. NMC622-45C: Mostly SEI degradation

Due to being tuned at 45 °C for both calendar aging and cycling conditions, these cells suffer from degradation predominantly caused by SEI growth. In the noV2G case, 78% (23.2/29.9) and in the V2G case, 74% (22.0/29.8) of the capacity fade corresponds to SEI (LLI_{SEI} row in Table 5).

Due to the cathode dissolution in this cell, LAM_{Pos} is considerable, as shown in the C_p retention row in Table 5. However, this C_p fade has not translated to large values of LLI due to LAM (LLI_{LAM} row in Table 5). This contradictory observation becomes clear when we examine the rate of change in the moles of lithium entrapped in the electrodes as the electrode loses its active material from Equation 5.

Since the dissolution only happens at higher voltages (corresponding to smaller values of y and the positive electrode being almost empty of lithium), $y \frac{dC_p}{dt}$ is relatively small. In other words, at higher voltages, the amount of Li-ions in the positive electrode is minimal. Therefore, the loss of active material in the positive electrode will not isolate a large amount of lithium. Overall, these cells have the highest portion of calendar aging (SEI + dissolution) in the capacity fade compared to the other two cell families.

4.2.3. NMC622-25C: Mixed degradation

Unlike the previous cell families, in these cells, the SEI growth and mechanical degradation contributions to the capacity fade are comparable. The lower temperature of cycling and calendar aging conditions in the experimental data used to tune the physics-based model in these cells results in reduced SEI growth compared to NMC622-45C cells. The mechanical degradation in these cells is lower compared to NMC111 cells owing to the improved anode chemistry. The degradation in these cells can be seen as a mid-point between two extreme cases represented by the NMC111 and NMC622-45C cells.

5. Analysis of V2G for each cell family

Now that we have explained the physics-based model and examined the V2G results for cell families with different dominant degradation modes, we discuss the impact of V2G services on the degradation of these cells and specifically shed more light on the prior published results. Examining the capacity retention results in Fig. 6, we can draw the following conclusions.

The cell with NMC111 cathode, which is dominated by LAM_{Neg}, degrades at a faster rate when it is subjected to V2G compared to the other cells.

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9 This considerable loss of battery life in time does not result in a substantial
10 extra Ah throughput. This trend was also observed in experimental studies
11 conducted by Dubarry et al. [15] and Peterson et al. [11], which makes it likely
12 that their cells are prone to degradation mechanisms that get amplified due
13 to the additional Ah throughput from V2G, such as particle cracking as the
14 primary source of degradation.
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17 The NMC622-45C cell family, dominated by calendar aging, shows only
18 a slightly faster degradation in time when subjected to V2G. However, these
19 cells show substantially more throughput (extra virtual mileage) when sub-
20 jected to V2G. This demonstrates that a considerable amount of unused
21 mileage in these cells will go to waste if they are not used for V2G. The same
22 trend has been reported in studies like Kim et al. [12] and Wei et al. [17].
23 The cells in these previous studies were most likely prone to calendar aging
24 mechanisms as well.
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27 The NMC622-25C cells can be considered a mid-point between the other
28 two extreme cases. Specifically, when subjected to V2G services, they have
29 a moderate loss of life increase in time and a mild increase in throughput,
30 which can be related to the comparable fractions of LAM- and SEI-related
31 degradation in these cells.
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33 These three cases suggest a close relation between the ratio of benefits to
34 damage of V2G and the ratio of the calendar to the total aging. To explore
35 this relation, we introduce the V2G ‘Throughput gained vs. Days lost’ ratio.
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38 *5.1. Relationship between V2G Throughput gained vs. Days lost (TvD) and* 39 *the calendar aging in cell lifetime* 40

41 It has been known that extra Ah throughput is a major factor in increased
42 battery degradation. The relative reduction of life for every Ah increase, as
43 was shown in this paper, is not equal for all the cell families. Additionally, we
44 showed that the amount of extra Ah throughput that can be extracted from
45 the cells through V2G services before a particular capacity fade is reached de-
46 pends on their chemistry and, more specifically, their dominant degradation
47 mechanisms.
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50 To quantify the damage and benefits of V2G services compared to a
51 baseline case (without V2G) for each cell condition, we define the following
52 metric:
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$$54 \text{V2G TvD ratio} = \frac{\text{Life gained in use Ah/Ah \%}}{\text{Life lost in days \%}} \quad (10)$$

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9 In this metric, we use relative life lost in the denominator (i.e., days lost
10 divided by baseline noV2G life in days) to reflect the harm to the battery. The
11 extra relative Ah throughput in the numerator (i.e., improved throughput
12 divided by baseline noV2G throughput) represents the gain or benefit. To
13 make this definition comprehensive, in exceptional cases where V2G fails to
14 yield any additional throughput due to an extreme loss of life (numerator
15 smaller than zero), we define $TvD=0$. If V2G increases battery life (negative
16 denominator), we presume $TvD \rightarrow \infty$.
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19 It is worth noting that instead of using Ah throughput, one can use
20 Wh throughput in this definition, which might be more useful for certain
21 applications. Simulations indicated that for the cases considered here, Ah
22 and Wh are equivalent, and the difference in TvD values is minimal.
23

24 The TvD metric will have a large value when the additional extracted
25 Ah throughput due to V2G operations is large, but the battery life in days
26 is not reduced substantially by V2G. In other words, the battery might be
27 over-engineered for the original use case. On the other hand, if the V2G
28 services cause a significant loss in the life of the battery in days, but only
29 give a small amount of extra throughput, the TvD ratio will be small.
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32 The resulting EOL battery age and Ah throughput for noV2G and V2G
33 cases (presented as moderate V2G) are presented in Fig. 7 for the three cell
34 families. The TvD ratio is shown in Fig. 8(a). As can be seen, NMC622-
35 45C cells have the highest TvD because the SEI will degrade them even
36 during parking, so we might as well use them for V2G. They are followed by
37 NMC622-25C cells. The NMC111 cells have the lowest TvD ratio since Ah
38 throughput kills these cells.
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41 The SEI growth and cathode dissolution aging effects are known to be
42 functions of time [37, 47], so regardless of whether the cell is used or not,
43 SEI and dissolution will continue to degrade the cell. On the other hand,
44 degradation mechanisms like particle cracking and lithium plating only occur
45 when the cell is cycling. Hence, when the battery is degrading primarily due
46 to calendar aging mechanisms (like NMC622-45C cells here), V2G will be
47 beneficial for extracting the unused potential of the battery. On the other
48 hand, when the cell is mainly degrading due to cycling mechanisms such as
49 particle cracking (similar to NMC111 cells here), most of the possible Ah
50 throughput is already being used by driving the vehicle, and adding V2G
51 services will just degrade the cell faster. Therefore, the V2G TvD ratio for
52 these cells is smaller.
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56 To determine whether a discernible pattern exists for car owners regarding
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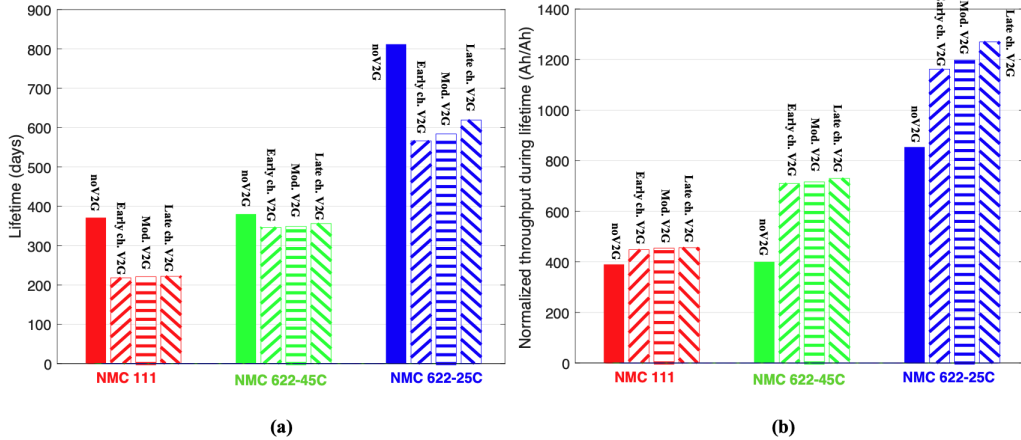


Figure 7: The lifetime of three cell families in (a) days and (b) integrated current in Ah throughput when subjected to regular driving duty cycle (noV2G) and moderate V2G. The lifetime in days and Ah throughput are also shown for the cases where the charging in the V2G cases happens immediately after discharge to the grid (called early-charge V2G) and where the charging happens right before driving (called late-charge V2G) duty cycles.

the viability of V2G services based on the usage of the battery, we analyze the TvD metric in Fig. 8(b). This analysis focuses on the impact of calendar aging ($LLI_{SEI+dis}$ row in Table 5) on overall degradation (LLI_{Cal}/LLI). This figure clarifies the significance of calendar aging (LLI_{Cal}) portion of the overall capacity fade (LLI) on the TvD ratio.

The TvD ratio has a semilog relationship with LLI_{Cal}/LLI . It can be seen that as the calendar aging component of the degradation increases, V2G services become more advantageous per the high TvD. This means that the benefit in throughput outweighs the loss in days, and V2G can harness the untapped value of the battery.

Considering these findings, EV manufacturers can determine how additional V2G throughput should impact their warranty policies by determining what mechanisms are aging their batteries. If their battery technology is mostly affected by cycling aging, they should include the additional throughput as virtual miles within the warranty limit, possibly with a higher weight, to discourage V2G use. Conversely, suppose the battery is predominantly affected by calendar aging. In that case, they should consider ways to en-

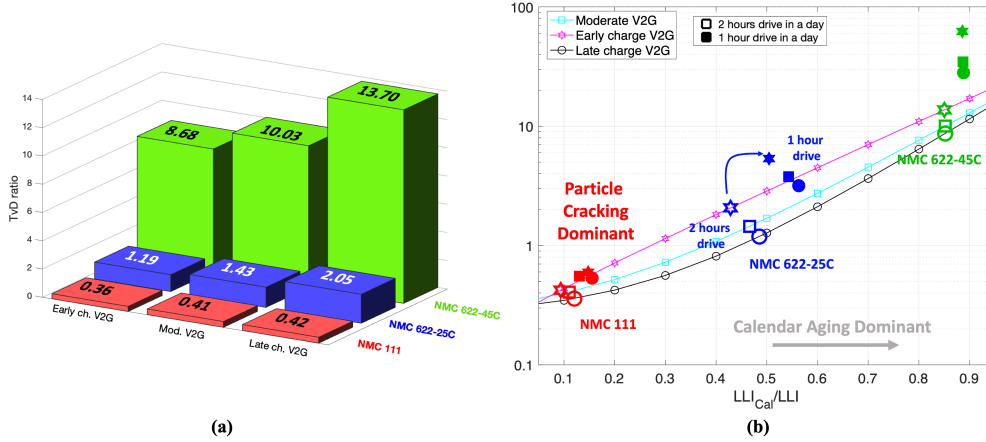


Figure 8: (a) TvD ratio for different V2G operations for different cell families. (b) Comparison of the V2G TvD ratio in logarithmic scale for moderate, late-charge, and early-charge V2G based on the portion of LLI that is caused by calendar aging (Red points represent NMC111 cells, blue points are NMC622-25C, and green points represent NMC622-45C cells.). The effect of driving distance on the TvD ratio is also shown (filled markers show 2 hours/day, and empty markers show 1 hour/day driving).

courage participation in V2G services, as it could lower the overall cost of ownership by the V2G compensation without increasing the need for premature battery replacement.

What we have shown here emphasizes the necessity of considering calendar aging and cycle aging of cells separately. The physics-based model, as indicated in this work, delivers more accurate results. Still, in case one decides to accept the lower accuracy and utilize empirical models, it is essential to tune and empirically model the calendar aging and cycle aging separately and take into account the contribution of each mode of degradation to be able to predict degradation and optimize the usage profile of batteries during V2G.

Beyond the calendar aging component, other important factors for TvD are the intensity of the drive cycle, times parked without charging, operating voltage range, and the type of V2G services. Our physics-based digital-twin enables us to evaluate various scenarios and account for different secondary factors affecting TvD. To demonstrate this capability, we analyzed the impact of the driving distance of the drive cycles and the average SOC of the duty cycles on TvD for three different cell families.

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5.2. Average SOC effect

To analyze the effect of SOC_{ave} on TvD, similar to the experiments conducted by Kim et al. [12] and Peterson et al. [11], we use the created model to simulate the degradation in different SOC_{ave} values. We show that while SOC_{ave} at which the battery operates is an important factor to consider, it may not have as much impact as other factors, such as the propensity to calendar aging or driving distance on the TvD.

Specifically, we repeated the V2G simulations for two additional scenarios: one where the charging happens right after the driving periods (early-charging) and the other where the charging happens right before driving (late-charging). The description of these new scenarios, along with moderate V2G that was discussed thus far, was presented earlier in Section 2. The SOC profiles were presented in Fig. 2.

In the early-charging case, the cell rests when fully charged and has a higher average SOC ($\text{SOC}_{\text{ave}} = 0.88$) than in the moderate case ($\text{SOC}_{\text{ave}} = 0.79$). In the late-charging V2G case, the resting period happens at a low SOC; therefore, the average SOC is lower ($\text{SOC}_{\text{ave}} = 0.61$) than in other scenarios. The resulting TvD ratios for each cell family and different charging times are presented in Fig. 8(a).

During late-charging, TvD has the highest value, and during early-charging, it has the lowest value, but the sensitivity of TvD to charging times varies among cell families. When it comes to NMC622-25C and NMC622-45C cells, TvD is highly sensitive to the charging protocol's average SOC, while NMC111 cells are the least sensitive to charging time and SOC_{ave} .

To further investigate the results, the lifetime and Ah throughput of the cells under different duty cycles are presented in Fig. 7. As is expected, late-charging (lower SOC_{ave}) reduces the degradation rate, and early-charging (higher SOC_{ave}) increases degradation. The amount of this change is, however, different for different cell chemistries and conditions. The main reason behind these changes is the calendar aging mechanisms. Both SEI growth and cathode dissolution increase when the SOC is set at higher levels. Hence, NMC111 cells are not substantially affected by the variation of SOC_{ave} as they are primarily degrading due to mechanical degradation, as discussed in Section 4.

The effect of charging scheduling on degradation is more noticeable in NMC622-25C and NMC622-45C cells due to their significant SEI. Note also that NMC622-45C cells have both SEI and cathode dissolution contributions to LLI_{Cal} , making the effect of SOC on TvD more complex.

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9 In Fig. 8(b), we present the dependencies of the TvD ratio for differ-
10 ent V2G scenarios on the computed ratio of LLI_{Cal}/LLI during V2G cases.
11 These trends support our previous claim that there is a direct relationship
12 between LLI_{Cal} and the TvD ratio of different load-shifting V2G services for
13 all charging patterns, which ultimately determines their feasibility.

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15 In a similar analysis, we consider the range of SOC windows as an im-
16 portant variable to explore in V2G. Suppose we lower both the upper and
17 lower limits of the SOC, according to Equation 5. In that case, it becomes
18 clear that reducing the SOC window is beneficial for cells where the negative
19 electrode experiences a significantly greater loss of active material (LAM_{Neg})
20 than the positive electrode (LAM_{Pos}). As both SEI and mechanical degrada-
21 tion will diminish with a lower voltage window. For cells with larger LAM
22 in the positive electrode, the extent of this increased advantage may not be
23 particularly evident. This shows that our digital-twin can identify variables
24 that impact TvD and be used to optimize it while accounting for diverse EV
25 driver behavior.
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30 5.3. Driving distance 31

32 To examine how driving distance and time affect TvD, we analyzed a
33 shorter distance driven from home to work and back. This shorter drive
34 cycle includes half of a UDDS drive cycle, a HWFET drive cycle, and half
35 of a US06 drive cycle. Compared to the longer drive cycle, this shorter drive
36 cycle takes only 30 minutes (instead of 1 hour), equivalent to a 17-mile drive
37 (instead of 34.1 miles) per trip. The total distance of the two-way trip of
38 the shorter drive cycle is similar to the average daily distance driven by EVs
39 [42]. The resulting TvD of the three cell families using the shorter driving
40 distance is shown and analyzed in Fig. 8(b).
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43 As expected, more time spent parked increases the portion of LLI during
44 calendar aging (LLI_{Cal}), which consequently increases the TvD ratio. The
45 increase in LLI_{Cal} is due to the decrease in driving time, resulting in less
46 throughput, with a secondary influence from a rise in the proportion of cal-
47 endar aging in the LLI due to higher average SOC. The increase in TvD is
48 more noticeable in cells that are dominated by calendar aging, as using them
49 less leaves more unused capacity in these cells. This trend is visible across
50 all charging patterns as well.
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53 Based on the observed sensitivity of the TvD to the driving distance, we
54 can draw a more general conclusion. Applications that require extensive driv-
55 ing, such as heavy-duty electric vehicles or ride-sharing, will have a smaller
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9 TvD and, therefore, minimal opportunities to offer V2G services.

10 11 12 **6. Conclusion and future work**

13
14 In this paper, we utilized an experimentally tuned physics-based models
15 as digital-twins to investigate how the contribution of various aging mech-
16 anisms to capacity fade impacts the cost and benefit of load-shifting V2G
17 services. To achieve this, three sets of experimental data with varying sus-
18 ceptibilities to degradation modes were examined to tune the mechanisms
19 of particle cracking, SEI growth, lithium plating, and cathode dissolution.
20 Then, we considered these three different sets of Li-ion battery families in
21 the presence of V2G services. Degradation simulations of V2G and baseline
22 scenarios were performed, and contributions of each mechanism on capacity
23 fade for each case were compared. The advantage of providing V2G ser-
24 vices in extra Ah throughput before 70% capacity retention is reached then
25 was quantified. The V2G Throughput gained vs. Days lost (TvD) ratio was
26 introduced by clarifying the associated normalized reduction in life (in days).

27
28 Based on the found TvD values, we conclude that V2G services tend
29 to be more beneficial for cells experiencing light driving loads and having a
30 substantial Ah throughput headroom. This observation suggests that the net
31 positive impact of V2G is pronounced under conditions of lower operational
32 stress and higher capacity margins, particularly when SEI will age the cells
33 significantly while parked.

34
35 To this end, we identified a non-dimensional metric (LLI_{Cal}/LLI) that
36 informs car owners about the viability of V2G services based on the cell’s
37 fundamental aging characteristics. We observed a nearly linear relationship
38 between the logarithm of TvD and LLI_{Cal}/LLI . Therefore, using this metric,
39 we showed that for the cell chemistries and conditions with a higher calen-
40 dar aging contribution to capacity degradation, V2G is more beneficial than
41 harmful. This finding can help EV manufacturers decide how V2G services
42 affect their warranty depending on the level of degradation dominance of the
43 batteries.

44
45 With the progress in cell technology, especially improvements in the me-
46 chanical characteristics of electrodes, batteries that primarily degrade during
47 storage rather than cycling can offer benefits by delivering V2G services. This
48 benefit can potentially overshadow the additional aging caused by V2G.

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50 Important extensions of our work include the estimation of the degrada-
51 tion mechanism contributions to capacity fade such that car and fleet owners
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can compute their battery’s dominant degradation via a real-time LLI_{Cal}/LLI estimate. Our near-term goal is to parameterize our digital-twin for LFP batteries where LLI_{Cal}/LLI is expected to be significant. Our next step is parameterizing this digital-twin for cells with high silicone content.

Exploring additional applications for the energy stored in vehicle batteries, such as vehicle-to-building or vehicle-to-load, are tasks the growing community of V2G application researchers can investigate with our open-source digital-twin.

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Data

It will be provided along with codes.

CRedit authorship contribution statement

Hamidreza Movahedi: Methodology, Software, Investigation, Writing - Original Draft, **Sravan Pannala:** Software, Writing - Review & Editing, **Jason Siegel:** Conceptualization, Investigation, **Stephen J. Harris:** Conceptualization, **David Howey:** Conceptualization, Writing - Review & Editing, **Anna Stefanopoulou:** Conceptualization, Writing - Review & Editing, Supervision

Appendix A. Glossary of terms

Table A.6: Description of Model Variables and Parameters

Variable/Par.	Description
A	Area of electrode [m^2]
a_s	Surface area to volume ratio [m^{-1}]
α	Charge transfer coefficient
α_{SEI}	Charge transfer coefficient of SEI formation
β_{crack}	Electrode Cracking rate [s^{-1}]
C	Cell capacity [Ah]
C_p, C^+	Positive electrode capacity [Ah]
C_n, C^-	Negative electrode capacity [Ah]
c_e	Conc. of Li in electrolyte [$mol\ m^{-3}$]
c_s	Conc. of Li in electrode [$mol\ m^{-3}$]
c_{SEI}	Conc. of SEI layer in electrode [$mol\ m^{-3}$]
c_{EC}^s	Conc. of solvent in electrolyte [$mol\ m^{-3}$]
$c_{s,avg}$	Average conc. of Li in particle [$mol\ m^{-3}$]
$c_{s,max}$	Maximum conc. of Li in electrode [$mol\ m^{-3}$]
c_{ss}	Conc. of Li at particle surface [$mol\ m^{-3}$]
D_s	Electrode diffusion coefficient [$m^2\ s^{-1}$]
D_{SEI}	SEI layer diffusivity [$m^2\ s^{-1}$]
δ_{SEI}	Thickness of SEI layer [m]
δ_{pl}	Thickness of plated lithium [m]
E	Young's modulus of the electrode material [Pa]
$E_{\text{Eq,diss}}$	Dissolution equilibrium potential [V]
ε_s	Active material ratio
$\varepsilon_{\text{diss}}$	Effect of dissolution on active molar ratio
$\varepsilon_{\text{crack}}$	Effect of particle cracking on active molar ratio
η	Bulter-Volmer overpotential [V]
η_{diss}	Overpotential of cathode dissolution [V]
η_{pl}	Overpotential of plating [V]
η_{SEI}	Overpotential of SEI formation [V]
F	Faraday's constant [$C\ mol^{-1}$]
i_0	Reference exchange current density of electrode [$A\ m^{-2}$]
$i_{0,diss}$	Reference exchange current density of dissolution [$A\ m^{-2}$]

Continued on next page

Table A.6: Description of Model Variables and Parameters (Continued)

$i_{0,\text{pl}}$	Reference exchange current density of lithium plating [$A m^{-2}$]
j_{int}	Intercalation current density [$A m^{-2}$]
j_{pl}	Current density of lithium plating [$A m^{-2}$]
j_{SEI}	Current density of SEI formation [$A m^{-2}$]
j_{tot}	Total current density in the electrode [$A m^{-2}$]
k_{SEI}	SEI kinetic rate constant [$m s^{-1}$]
k_0	Exchange current density [$A m^{-2} (m^3 \text{mol})^{-1.5}$]
κ_{SEI}	Ionic conductivity of SEI [$S m^{-1}$]
κ_{pl}	Ionic conductivity of plated lithium [$S m^{-1}$]
l	Length of electrode [m]
M_{SEI}	Molar conc. of SEI layer [$mol m^{-3}$]
m_{crack}	LAM exponent
n_{Li}	Amount of cyclable Li [mol]
ν	Poisson's ratio
Ω	Partial molar volume [$m^3 mol^{-1}$]
Ω_{SEI}	SEI partial molar volume [$m^3 mol^{-1}$]
Ω_{pl}	Plated lithium partial molar volume [$m^3 mol^{-1}$]
ϕ_s	Potential of electrode [V]
σ_{critical}	Critical stress of the electrode [Pa]
σ_h	Hydrostatic stress in the particle [Pa]
R	Specific gas constant [$J mol^{-1}$]
R_p	Radius of particle [m]
ρ_{SEI}	Density of SEI layer [$kg m^{-3}$]
T	Temperature of battery [K]
U	Open circuit potential of electrode [V]
U_{SEI}	Potential of SEI formation [V]
V	Terminal voltage [V]
V_R	Voltage drop across film resistance [V]
x	Stoichiometry of the negative electrode
y	Stoichiometry of the positive electrode

Appendix B. Model parameters

	NMC111	NMC622-25C	NMC622-45C
α	0.5	0.5	0.5
α_{SEI}	0.5	0.5	0.5
$c_{s,max}^+ [mol\ m^{-3}]$	35380	33700	37500
$c_{s,max}^- [mol\ m^{-3}]$	28746	27200	28746
$D_s^+ [m^2\ s^{-1}]$	8e-15	8e-15	8e-15
$D_s^- [m^2\ s^{-1}]$	8e-14	8e-14	8e-14
$E_{\text{Eq,diss}} [V]$	-	-	4.0
$\kappa_{\text{SEI}} ([\Omega m])$	3e4	1.3e3	3e4
$\Omega_{\text{SEI}} [m^3\ mol^{-1}]$	9.59e-5	9.59e-5	9.59e-5
$\Omega_{\text{pl}} [m^3\ mol^{-1}]$	1.3e-5	1.3e-5	1.3e-5
$\sigma_{\text{critical}}^+ [MPa]$	375	375	375
$\sigma_{\text{critical}}^- [MPa]$	60	60	60
$T [^\circ C]$	25	25	45
$U_{\text{SEI}} [V]$	0.4	0.4	0.4

Table B.7: SPM and degradation constant parameters

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- Simulation of lifetime degradation due to V2G using physics-based EV battery digital-twins of three cell families with distinct dominant degradation mechanisms.
- Introduction of a new metric for quantifying the degradation cost and V2G benefits: *throughput gained versus days lost (TvD)* ratio of V2G services, where the *throughput gained* is the normalized additional battery utilization in Ah throughput, while the *days lost* is the relative lost lifespan of the battery due to V2G usage.
- Offering physics-based justification and qualification of the popular belief: "Use it or lose it". If calendar aging is more significant than other cycling aging mechanisms, we might as well use the battery for V2G.
- Evaluation of the secondary impact of charging protocol timing and driving distance on battery degradation in the presence of V2G services.