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BRAIN COMMUNICATIONS

Neuroanatomical spread of amyloid β and tau in Alzheimer's disease: implications for primary prevention

Philip S. Insel,^{1,2} Elizabeth C. Mormino,³ Paul S. Aisen,⁴ Wesley K. Thompson⁵ and Michael C. Donohue⁴

With recent advances in our understanding of the continuous pathophysiological changes that begin many years prior to symptom onset, it is now apparent that Alzheimer's disease cannot be adequately described by discrete clinical stages, but should also incorporate the continuum of biological changes that precede and underlie the clinical representation of the disease. By jointly considering longitudinal changes of all available biomarkers and clinical assessments, variation within individuals can be integrated into a single continuous measure of disease progression and used to identify the earliest pathophysiological changes. Disease time, a measure of disease severity, was estimated using a Bayesian latent time joint mixed-effects model applied to an array of imaging, biomarker and neuropsychological data. Trajectories of regional amyloid β and tau PET uptake were estimated as a function of disease time. Regions with early signs of elevated amyloid β uptake were used to form an early, focal composite and compared to a commonly used global composite, in a separate validation sample. Disease time was estimated in 279 participants (183 cognitively unimpaired individuals, 61 mild cognitive impairment and 35 Alzheimer's disease dementia patients) with available amyloid β and tau PET data. Amyloid β PET uptake levels in the posterior cingulate and precuneus start high and immediately increase with small increases of disease time. Early elevation in tau PET uptake was found in the inferior temporal lobe, amygdala, banks of the superior temporal sulcus, entorhinal cortex, middle temporal lobe, inferior parietal lobe and the fusiform gyrus. In a separate validation sample of 188 cognitively unimpaired individuals, the early, focal amyloid β PET composite showed a 120% increase in the accumulation rate of amyloid β compared to the global composite ($P < 0.001$), resulting in a 60% increase in the power to detect a treatment effect in a primary prevention trial design. Ordering participants on a continuous disease time scale facilitates the inspection of the earliest signs of amyloid β and tau pathology. To detect early changes in amyloid β pathology, focusing on the earliest sites of amyloid β accumulation results in more powerful and efficient study designs in early Alzheimer's disease. Targeted composites could be used to re-examine the thresholds for amyloid β -related study inclusion, especially as the field shifts to focus on primary and secondary prevention. Clinical trials of anti-amyloid β treatments may benefit from the use of focal composites when estimating drug effects on amyloid β and tau changes in populations with minimal amyloid β and tau pathology and limited expected short-term accumulation.

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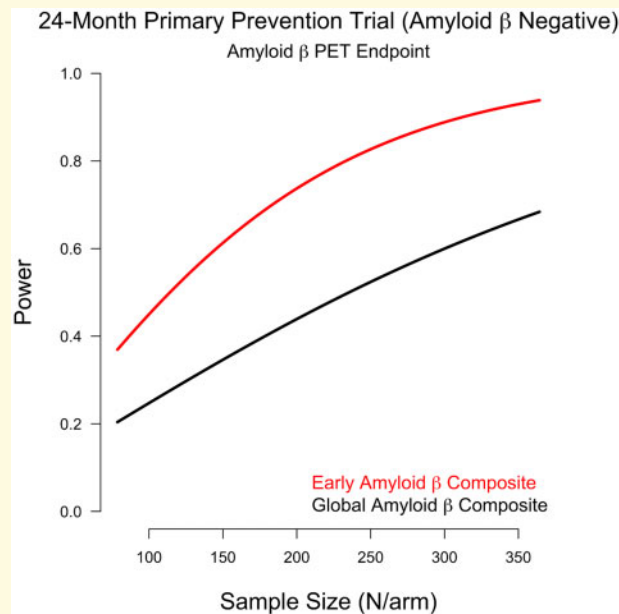
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Keywords: amyloid β ; tau; Alzheimer's disease; primary prevention

Abbreviations: $A\beta$ = amyloid β ; AD = Alzheimer's disease; ADAS-cog = Alzheimer's disease assessment scale, cognitive subscale; ADNI = Alzheimer's Disease Neuroimaging Initiative; AIC = Akaike information criterion; *APOE* = Apolipoprotein E; BSTS = banks of the superior temporal sulcus; CDR = clinical dementia rating; CI = confidence interval; CU = cognitively unimpaired; EMCI = early mild cognitive impairment; LMCI = late mild cognitive impairment; LTJMM = latent time joint mixed-effects model; LTL = lateral temporal lobe; MCI = mild cognitive impairment; MMSE = mini-mental state exam; MTL = medial temporal lobe; pCU = cognitively unimpaired to mild cognitive impairment progressors; pMCI = mild cognitive impairment to Alzheimer's disease progressors; ROI = region of interest

Graphical Abstract



Introduction

Traditionally, Alzheimer's disease (AD) has been primarily described in terms of discrete clinical stages. However, with recent advances in our understanding of the continuous pathophysiological changes that begin many years prior to symptom onset, it is now apparent that AD cannot be adequately described by clinical stages, but should also incorporate the continuum of biological changes that precede and underlie the clinical representation of the disease (Aisen *et al.*, 2017). Conceptualizing the progression of AD as a continuum of overlapping pathological and subsequent clinical changes will facilitate a realistic examination of the time course of the disease. Clarifying this time course, from initial biomarker changes to severe functional impairment, is essential for accurate early diagnosis, clinical trial enrollment, disease monitoring and eventual disease prevention and management.

The likelihood of successfully treating AD may be highest in the earliest stages of the disease, requiring a detailed understanding of incipient biomarker changes.

Considerable evidence confirms the accumulation of fibrillar amyloid β ($A\beta$) and aggregates of hyperphosphorylated tau, the pathognomonic lesions, as early AD biomarker changes. In this study, we focus on the early development of $A\beta$ and tau pathology in order to provide finer detail about the temporal course of early neuropathological changes in AD. By jointly considering longitudinal changes of an array of neuropsychological, imaging and biomarker data, the variation within individuals and the associations among multiple outcomes are simultaneously integrated into a single continuous measure of disease progression (Donohue *et al.*, 2014; Li *et al.*, 2017, 2018). Using estimates of each participant's location on the disease continuum, or 'disease time', we sought to estimate a temporal ordering of spatial changes of $A\beta$ and tau lesions throughout the brain. After identifying the earliest regions to demonstrate pathological changes, we tested the performance of these early regions in hypothetical primary and secondary prevention clinical trial settings in a separate validation sample.

Materials and methods

Participants

Data were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (adni.loni.usc.edu, www.adni-info.org). This study was approved by the Institutional Review Boards of all of the participating institutions. Informed written consent was obtained from all participants at each site. The population in this study included ADNI participants with measurements of both A β and tau PET. All available participants who were cognitively unimpaired (CU), A β + mild cognitive impairment (MCI) or A β + AD dementia at baseline were included, where A β + was defined using a previously established threshold (SUVR = 1.10) (Joshi *et al.*, 2012). Impaired A β - participants were excluded due to impairment ostensibly unrelated to AD.

A separate validation sample included CU ADNI participants with measurements of A β who were not included in the first part of the analysis because of missing tau PET information.

PET imaging and CSF A β

Methods to acquire and process A β (18F-florbetapir) PET image data were described previously (Landau *et al.*, 2012). Twenty A β PET region of interests (ROIs) were analysed: the inferior, middle and superior temporal lobe; the precuneus, supramarginal gyrus, inferior and superior parietal lobe; the isthmus, posterior, caudal and rostral anterior cingulate; and the pars opercularis, pars triangularis, pars orbitalis, caudal and rostral middle frontal, medial and lateral orbitofrontal, frontal pole and superior frontal lobe.

Methods to acquire and process tau (18F-flortaucipir) PET image data were described previously (Maass *et al.*, 2017). Thirty tau ROIs were analysed: the amygdala, entorhinal cortex, parahippocampus, fusiform, banks of the superior temporal sulcus (BSTS), transverse temporal lobe, temporal pole, and the inferior, middle and superior temporal lobe; the isthmus cingulate, precuneus, supra-marginal gyrus, and the inferior and superior parietal lobe; the pars orbitalis, pars triangularis, pars opercularis, lateral and medial orbitofrontal, pre- and paracentral, rostral, caudal, middle and superior frontal lobe; and the cuneus, lingual, pericalcarine, and lateral occipital lobe. Full details of PET acquisition and analysis can be found at <http://adni.loni.usc.edu/methods/>.

CSF samples were analysed for CSF A β 42 using the AlzBio3 assay (Fujirebio, Ghent, Belgium) on the xMAP Luminex platform and have been described previously (Olsson *et al.*, 2005; Shaw *et al.*, 2009). CSF A β <192 ng/L defined CSF A β +.

Statistical analysis

Continuous disease time, an alternative to discrete diagnostic categories, as described and estimated previously (Donohue *et al.*, 2014; Li *et al.*, 2017, 2018) was used to model the spread of regional uptake in A β and tau PET (not used in the estimation of disease time). Disease time is a measure of disease severity, estimated from a Bayesian latent time joint-mixed effects model (LTJMM). Briefly, for subject i ($i=1, \dots, n$), outcome k ($k=1, \dots, p$), at time $t[ijk]$ ($j=1, \dots, q_{ik}$) the model is of the form:

$$y_{ijk} = \mathbf{x}'_{t[ijk]} \boldsymbol{\beta}_k + \gamma_k(t[ijk] + \delta_i) + \alpha_{0ik} + \alpha_{1ik}t[ijk] + \varepsilon_{ijk},$$

where y_{ijk} are the observed outcome, $\mathbf{x}'_{t[ijk]}$ are vectors of predictors, $\boldsymbol{\beta}_k$ are fixed effects, γ_k are the disease time slopes, $t[ijk] + \delta_i$ are the shifted disease times, α are random effects and ε are multivariate Gaussian residuals. Jointly modeled outcomes included cognitive data (the 13-item Alzheimer's disease assessment scale, cognitive subscale, the mini-mental state exam, the Functional Activities Questionnaire, the Rey Auditory Visual Learning Test, the CDR Sum of Boxes and the Preclinical Alzheimer Cognitive Composite), CSF data (A β , phosphorylated tau and total tau), MRI volumes (hippocampus, entorhinal cortex, fusiform, middle temporal gyrus, ventricles and whole-brain volume) and PET imaging of global amyloid burden and glucose metabolism in the brain. LTJMM provides estimates of disease severity while accounting for the dependency between different markers. Prior to modeling, outcomes are transformed to quantiles, then transformed again to Z-scores via the inverse Gaussian cumulative distribution function. See Li *et al.* (2018) for more detail.

The relationship between longitudinal uptake in PET ROIs and disease time was examined using mixed-effects models with random intercepts. Natural splines were used to capture departures from linearity with respect to disease time. Spline knots were placed at the median and boundaries of disease time, with the number of knots selected by Akaike information criterion. PET responses were covaried for age, sex and mean A β PET uptake in the whole cerebellum for A β ROIs and mean tau PET uptake in the inferior cerebellar grey matter for tau ROIs.

We used a positional variance diagram to depict the temporal ordering of the ROIs. For a specific point on the disease time axis, ROIs were ordered by PET uptake. The positional variance diagram shows the proportion of 500 bootstrap samples in which a particular ROI appears in a particular position in the central ordering. Positional variance was assessed 15, 10 and 5 years before the estimated time of AD dementia diagnosis.

To further inspect early development of A β and tau pathology, changes in mean uptake with small increases in disease time (first derivatives of uptake curves) were plotted to show how the regional slopes of accumulation accelerate over disease time. A β regions with high mean

uptake early on the spectrum of disease time and continued increase with advances in disease time were evaluated further in the validation sample. Baseline and longitudinal change in a composite of regions with early uptake were compared to a commonly used global composite (comprising regions throughout the frontal, parietal and temporal lobes and cingulate gyrus; Landau et al., 2012) in a sample of CSF A β - CU participants, and separately, CSF A β + CU participants. Baseline and accumulation rate differences between early and global estimates were tested using *t*-tests with bootstrap estimated standard errors.

To estimate power for A β PET end-points in hypothetical primary and secondary prevention trials, mixed-model estimates were used to calculate the power for 24-month clinical trials, assuming a range of sample sizes, a 100% slowing of A β accumulation, a 12-month visit interval and a 30% dropout rate.

Associations between diagnosis and demographics were assessed using Wilcoxon rank-sum test for continuous variables and Fisher's Exact test for categorical variables. All analyses were done in R v3.5.1 (www.r-project.org).

Data availability

All data are publicly available at <http://adni.loni.usc.edu/>.

Results

Cohort characteristics

One-hundred and eighty-three CU (102 A β - and 81 A β +), 61 A β + MCI (including 10 individuals who progressed from CU to MCI during follow-up) and 35 A β + AD participants (including 28 MCI to AD progressors) were included in the analysis. Mean follow-up time was 4.0 years (SD = 2.6). Seventy-seven participants had one A β PET scan, 25 had two scans, 77 had three scans and 100 had four or more scans. Two-hundred and nineteen participants had one tau PET scan, 52 had two scans and 8 had three scans. Participants had a mean age of 72 years (55–90 years), 145 (52%) were female, they had a mean of 16.5 years of education (12–20) and 114 (42%) were APOE ϵ 4 carriers. Demographics are summarized in Table 1.

Table 1 Demographics and baseline disease time

Characteristic	CU (N = 183)	pCU (N = 10)	EMCI (N = 30)	LMCI (N = 21)	AD (N = 35)	P-value
Age	71.5 (5.9)	77.0 (6.3)	69.9 (6.6)	70.5 (7.6)	74.0 (7.3)	0.01
Sex, female, n (%)	105 (57.4)	6 (60.0)	7 (23.3)	10 (47.6)	17 (48.6)	0.01
Education (years), n (%)	16.9 (2.4)	16.4 (2.8)	15.9 (2.9)	16.2 (2.6)	15.6 (2.6)	0.03
APOE ϵ 4+, n (%)	59 (32.8)	5 (50.0)	20 (66.7)	13 (61.9)	17 (50.0)	<0.01
Disease time (years), n (%)	-11.5 (3.0)	-8.4 (1.7)	-9.3 (3.0)	-4.4 (4.0)	-3.4 (3.9)	<0.01

Disease time

Disease time was estimated for each individual (estimated previously in Li et al., 2018). Disease time was centred on the average observed time of progression to an AD dementia diagnosis, making the measure interpretable at disease time = 0. The average disease time was -10, ranging from -18 to 6. The distribution of disease time by diagnosis is shown in Fig. 1 and summarized in Table 1. In 28 participants with an observed time of progression to AD, the correlation between estimated disease time and progression to AD was 0.74 ($P < 0.001$).

Regional A β and tau PET

Trajectories of all A β and tau PET ROIs are plotted against disease time in Fig. 2. Several patterns emerge, as described in more detail below. Several A β PET regions follow a similar pattern of high initial elevation with respect to disease time and continued increase as disease time approaches the time of an AD diagnosis. A younger sample would be required to characterize the earliest

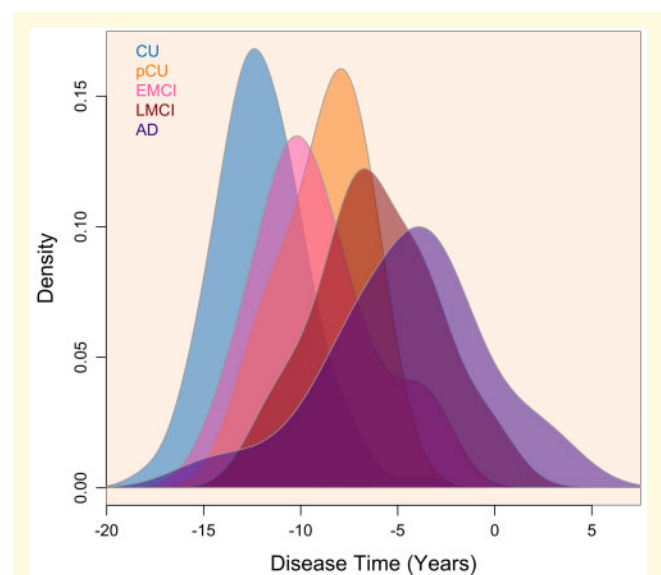


Figure 1 Disease time distributions. Distributions of baseline disease time are shown by diagnosis (pCU: CU to MCI progressors, EMCI: early MCI, LMCI: late MCI, AD: AD dementia). Disease time was re-centred so that time 0 corresponds to the mean time of progression from MCI to dementia.

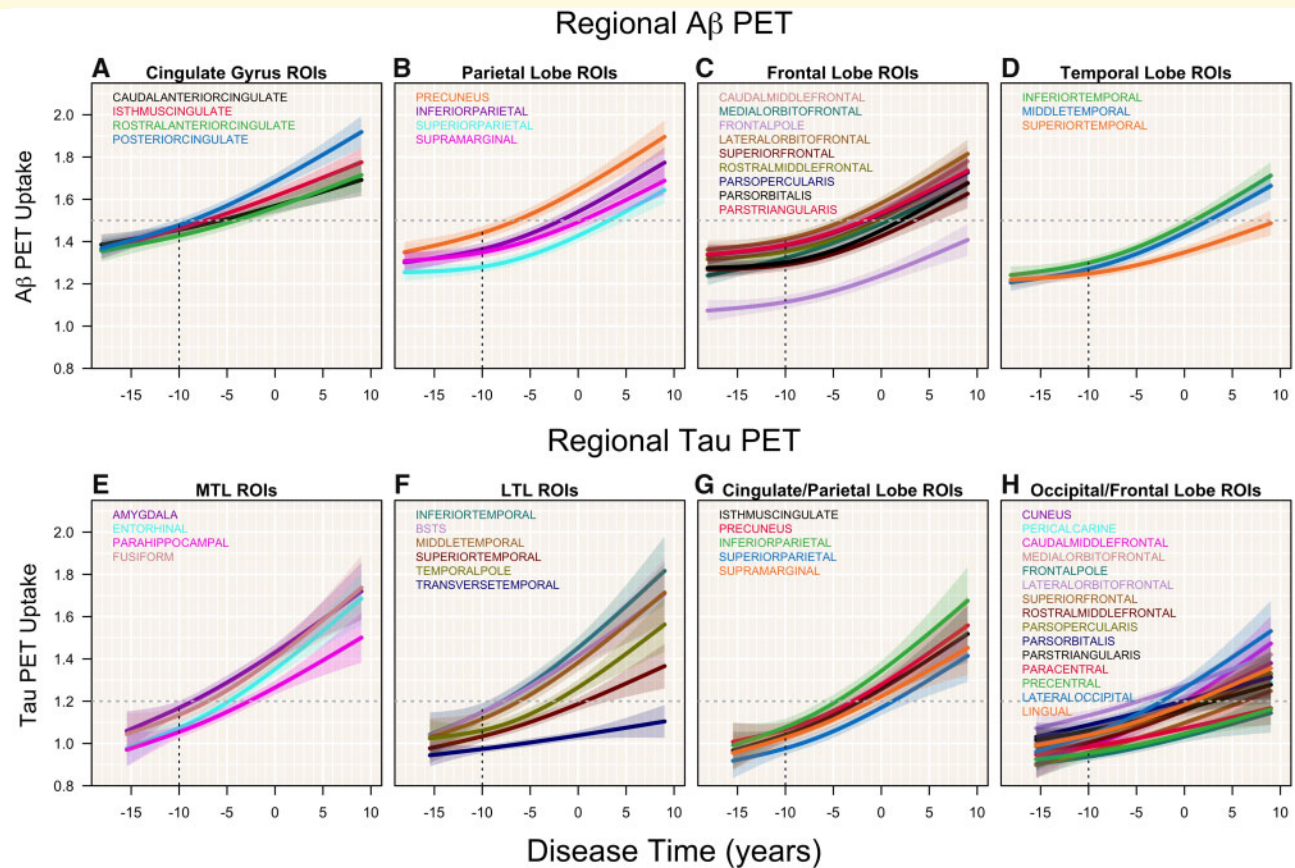


Figure 2 A β and tau PET ROIs. Mean uptake trajectories for A β and tau PET ROIs are plotted against disease time along with 95% CI depicted by the shaded regions. A β ROIs are shown in **A–D**, with a separate plot for each lobe. Tau ROIs are shown in **E–H**.

changes in these regions. This pattern is most noticeable in the posterior cingulate and precuneus (Fig. 2A and B). High levels of uptake are also seen initially in the isthmus cingulate, inferior parietal and lateral orbitofrontal cortex, but increased more modestly. The main tau PET region to follow this pattern of a high initial level and a steep increase was the inferior temporal lobe. The entorhinal cortex also showed a steep increase in tau uptake, as well as the fusiform, middle temporal lobe and the inferior parietal lobe. The amygdala and the BSTS showed high initial tau uptake levels, but increased modestly.

Spaghetti plots of uptake of several select ROIs are shown in Fig. 3. To more closely examine how accumulation is accelerating over the course of disease time, we plotted the first derivatives of the uptake curves against disease time in Fig. 4. These plots show the change in uptake over small advances in disease time. The posterior cingulate and isthmus cingulate show the highest initial rates of increase of A β uptake with respect to disease time. However, the rate of isthmus cingulate increases minimally over time. A similar pattern is seen in the caudal anterior cingulate. Large rate increases are seen in the other regions, especially in the precuneus, middle temporal lobe and the lateral orbitofrontal cortex.

Initial tau uptake slopes are steepest in the amygdala, BSTS and the inferior temporal lobe. However, the inferior temporal lobe quickly accelerates, while both the amygdala and BSTS begin to plateau. While the initial slope is more gradual in the entorhinal cortex, fusiform gyrus and middle temporal lobe, all start to accelerate, similar to the inferior temporal lobe.

Order

A positional variance diagram, depicting the order of all PET ROIs by uptake 10 years before an AD diagnosis (disease time = -10), is shown in Fig. 5. Ten years before an AD diagnosis, the A β PET ROIs with the highest mean uptake were the posterior cingulate, isthmus cingulate, caudal anterior cingulate and the precuneus. The tau PET ROIs with the highest mean uptake were the amygdala, inferior temporal lobe, BSTS and the fusiform gyrus. The entorhinal cortex moved to the top half of the order by 5 years before an AD diagnosis due to the slope increase over the course of disease time; otherwise, the ordering of the ROIs was stable at 15 and 5 years before an AD diagnosis (Supplementary Figs 1 and 2).

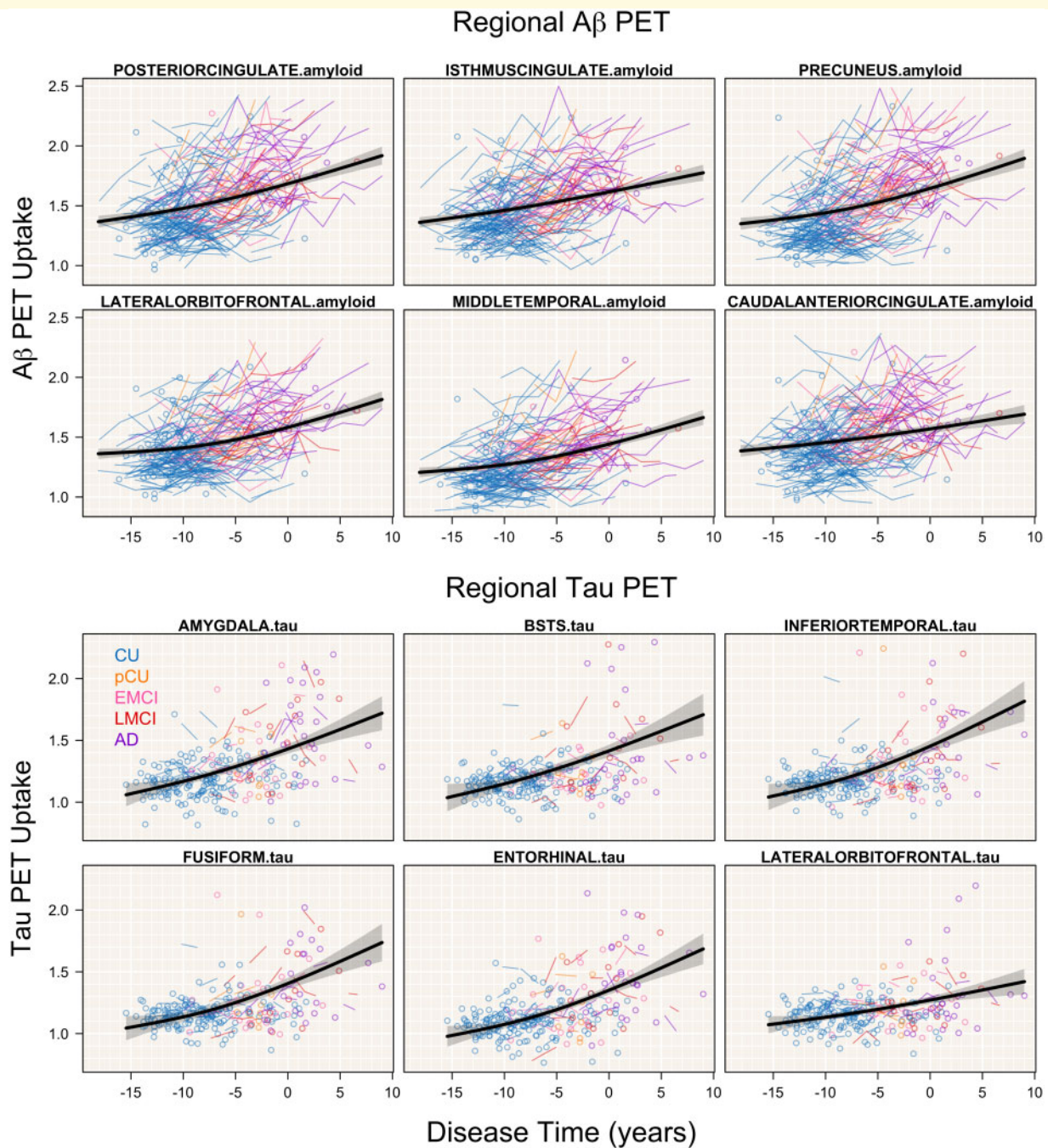


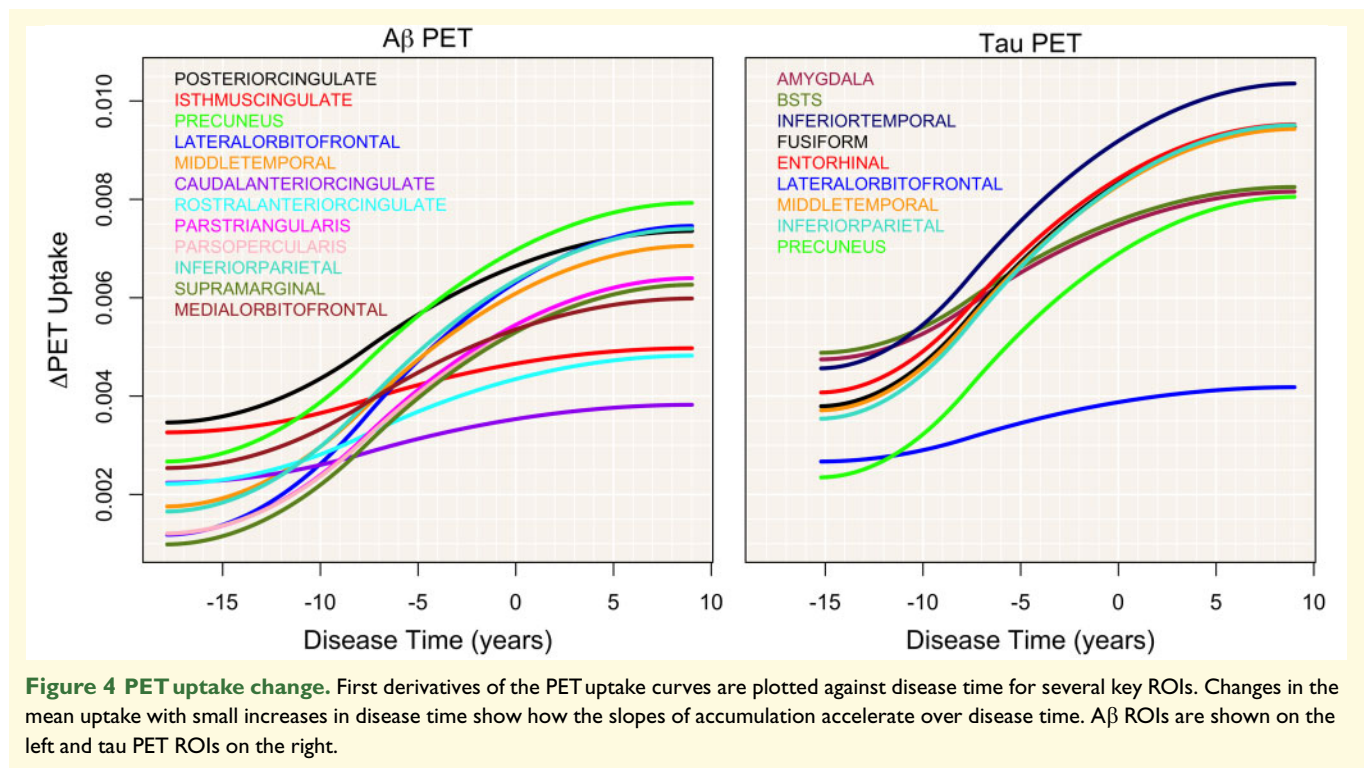
Figure 3 Select A β and tau PET ROIs. Mean uptake trajectories for key A β and tau PET ROIs are plotted against disease time along with observed subject-level data, colour coded by diagnosis. 95% CI are depicted by the shaded regions.

Early composite performance in the validation sample

To evaluate the performance of the regions selected by the LTJMM models, an outside validation sample consisting of 111 CSF A β ⁻ and 77 CSF A β ⁺ CU participants was used. The average follow-up time of the validation sample was 2.7 years (2.8 in the A β ⁻ group and 2.5 in the A β ⁺ group). Participants were 74 years old on

average, 51% female, had an average of 16 years of education, and were 23% APOE ϵ 4+.

Based on early uptake patterns, a volume-weighted average of the posterior cingulate and the precuneus were used to form the early A β PET composite. In A β ⁻ CU participants from the validation sample, there was significantly more A β uptake at baseline in the early composite compared to the global composite (1.25 versus 1.19, SE=0.005, $P < 0.001$). In A β ⁻ CU, A β uptake in the



early composite accumulated at a rate of 0.006/year [95% confidence interval (CI): 0.003–0.09, $P < 0.001$], compared to 0.003/year in the global composite (95% CI: –0.0001 to 0.006, $P = 0.06$). The accumulation rate in the early composite was more than double the rate (120% increase, $P < 0.001$) of the global composite, in the A β – CU participants.

In A β + CU, there was significantly more uptake in the early composite at baseline compared to the global composite (1.65 versus 1.50, SE = 0.01, $P < 0.001$). The accumulation rate in the early composite was 0.013/year (95% CI: 0.003, 0.023, $P = 0.01$) and was not significantly different from the accumulation rate in the global composite, 0.012/year (95% CI: 0.003, 0.020, $P = 0.01$). Plots of baseline and change estimates are shown in Fig. 6A–D.

Power calculations for primary and secondary prevention trials

To reach 80% power in a 24-month primary prevention trial of A β – CU participants to detect a slowing of A β deposition, 233 subjects/arm would be required, using the early composite. Using the global composite with 233 subjects/arm would result in 50% power; for 80% power, 481 subjects/arm would be required.

To reach 80% power in a 24-month secondary prevention trial of A β + CU participants to detect a slowing of A β deposition, 110 subjects/arm would be required, using the early composite. Similarly, to reach 80% power using

the global composite, 106 subjects/arm would be required. Plots of power by the required sample size for hypothetical treatment trials are shown in Fig. 6E and F.

Discussion and conclusions

As the details of early biomarker changes in AD emerge, early detection and diagnosis of disease may improve by considering disease progression on its natural continuum without relying on discrete stages with artificial boundaries. By integrating biomarker and clinical data to determine each individual's location on a pathological timeline, the earliest changes in disease progression can be accurately detected and examined. In Fig. 1, the peaks of the disease time distributions align with clinical stages, although the overlap demonstrates the wide variation that is ignored when individuals are grouped discretely. As seen in Fig. 2, A β PET uptake levels in the posterior cingulate and precuneus start high and immediately increase with small advances in disease time, followed by multiple frontal lobe regions, especially the lateral orbitofrontal cortex, and then several parietal ROIs, with little crossing of trajectories. These regions align with multiple recent independent reports of early A β accumulation consistently found in the posterior cingulate, precuneus and superior frontal lobe, as well as the orbitofrontal and inferior/middle temporal lobes (Villemagne *et al.*, 2011; Vlassenko *et al.*, 2011; Mormino *et al.*, 2012; Villain

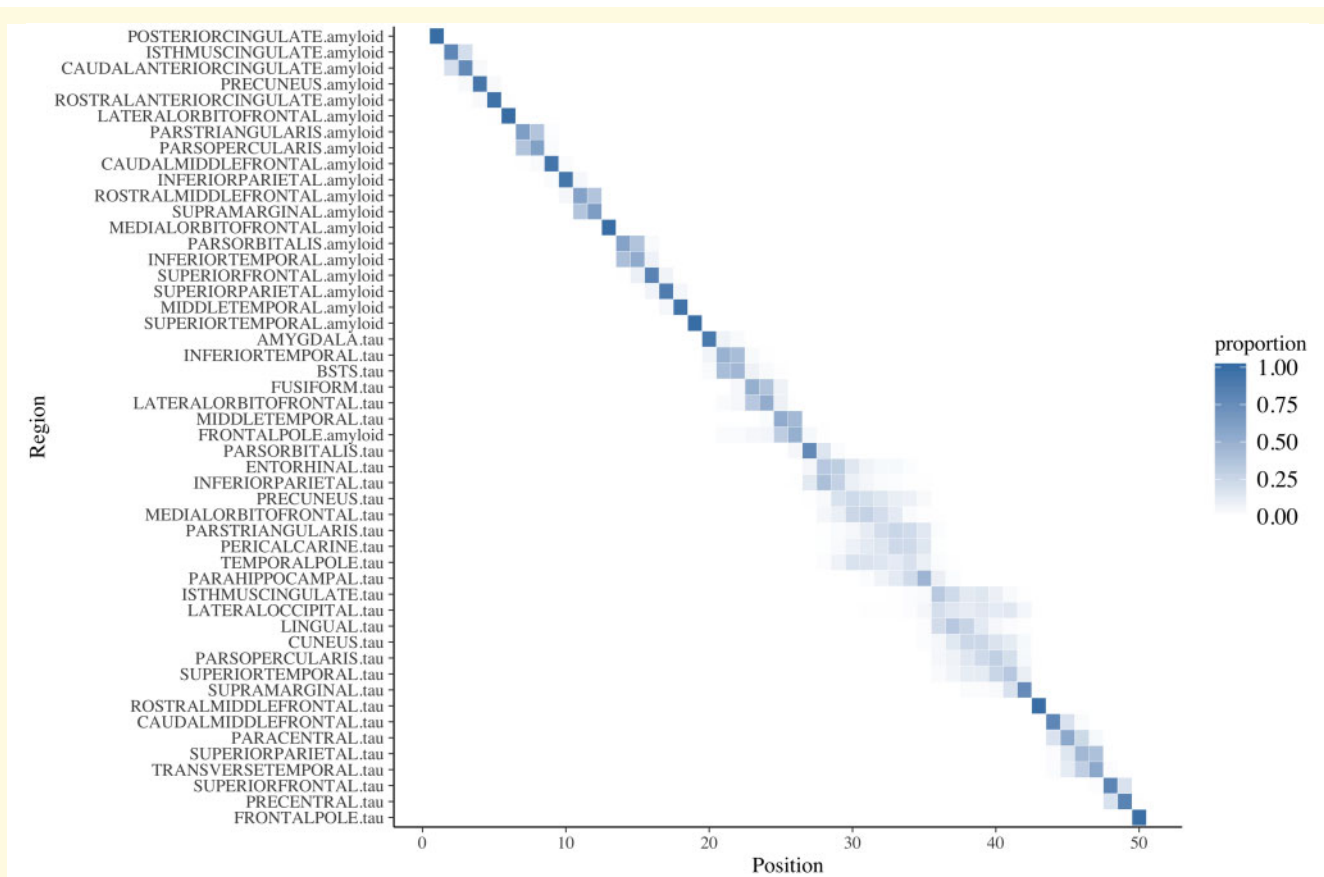


Figure 5 Positional variance diagram. The ordering of all ROIs with respect to disease time (at disease time = -10) is shown on the diagonal of the diagram. The positional variance diagram shows the proportion of 500 bootstrap samples in which a particular ROI appears in a particular position in the central ordering, ranging from 0 (white or no shading) to 1 (blue shading). ROIs are ordered by their most frequently estimated position with uncertainty captured by the transparency of the shading. Earliest accumulators are shown on the top left and latest on the bottom right. Solid blue indicates increased certainty with regard to position in the order while more transparency indicates less certainty, as estimated by the bootstrap.

et al., 2012; Sojkova *et al.*, 2013; Cho *et al.*, 2016; Palmqvist *et al.*, 2017, Mattsson *et al.*, 2019c).

Similarly, early elevation and increases in tau PET uptake were found in the inferior temporal lobe, amygdala, BSTS and fusiform gyrus, and also the entorhinal cortex, inferior parietal lobe, middle temporal lobe and the precuneus. Uptake in multiple medial temporal lobe ROIs starts slightly higher and accelerates early, followed by lateral temporal and parietal lobe ROIs (Figs 2 and 3). These regions, demonstrating early elevated tau PET uptake, also coincide with several reports consistently finding elevated uptake primarily in the inferior temporal lobe, fusiform and entorhinal cortex, as well as the amygdala, parahippocampus and middle temporal lobe (Cho *et al.*, 2016; Johnson *et al.*, 2016; Schöll *et al.*, 2016; Vemuri *et al.*, 2017; Schultz *et al.*, 2018, Mattsson *et al.*, 2019b).

The order of both A β and tau PET ROIs remains mostly stable at different cross-sections of the disease time scale, with the exception that uptake in the entorhinal cortex accelerates quickly across disease time and

moves up in the order. Several ROIs show initially elevated uptake but increase modestly with advancing disease severity. This is the case for several anterior cingulate regions in terms of A β uptake, including the caudal and rostral anterior cingulate. Similarly, several frontal regions, such as the lateral orbitofrontal cortex and the pars orbitalis/triangularis, show elevated tau uptake early, but do not increase at the same rate as either temporal or parietal regions, potentially due in part to the off-target binding.

The observed early pattern of uptake points to the posterior cingulate and precuneus as candidate ROIs for a targeted A β composite. In the validation sample, the rate of A β uptake in the early composite was more than twice the rate observed in the global composite, in the CSF A β - participants. By streamlining the commonly used global composite to include only the posterior cingulate and precuneus, the doubled rate of A β uptake resulted in a 60% increase in the estimated power to detect a hypothetical treatment effect in a primary prevention clinical trial. In the CSF A β + participants, there was no

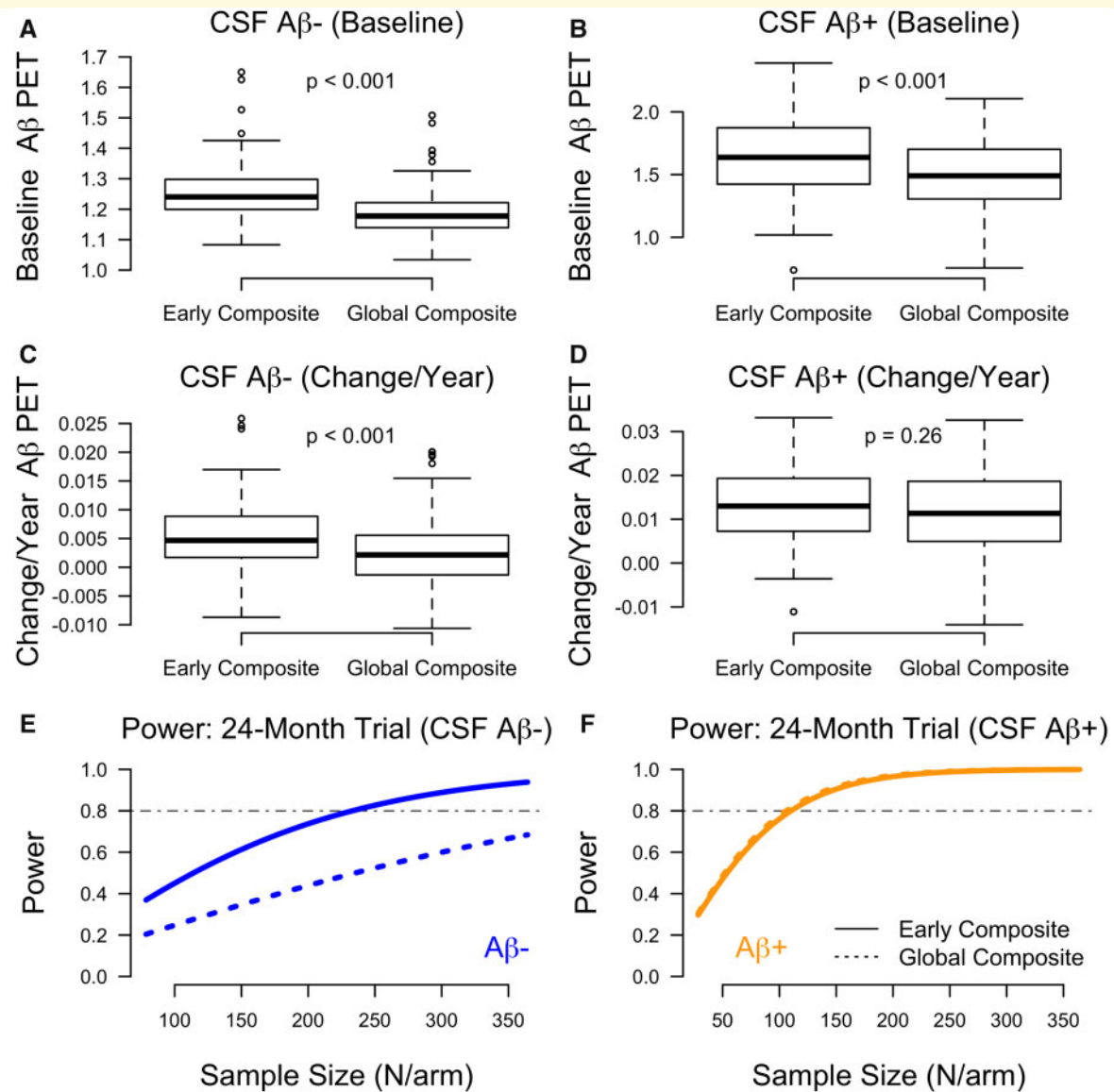


Figure 6 Early and global composite estimates and clinical trial power. Boxplots of estimates of A β PET uptake in early and global composites in the validation cohort. **A** and **B** show baseline estimates for CSF A β - and CSF A β +, respectively. **C** and **D** show rate estimates (A β PET uptake change/year) for CSF A β - and CSF A β + participants. Plots of clinical trial power by sample size for primary prevention (A β -, **E**) and secondary prevention (A β +, **F**), for early and global composites, separately.

difference in the rate of A β PET uptake, suggesting that the excluded regions from the early composite are now accumulating at a similar rate observed in the early composite. Such an early composite could be used to re-examine the thresholds for A β -related study inclusion, especially as the field shifts to focus on secondary and primary prevention. Clinical trials of anti-A β treatments may benefit from the use of an early A β composite when estimating drug effects on A β changes in populations with minimal A β deposition and limited expected short-term accumulation.

Similarly, an early tau composite comprising the inferior temporal lobe, entorhinal cortex, amygdala, BSTS,

middle temporal lobe, inferior parietal lobe and the fusiform gyrus could aid in detecting the earliest tau changes. Additional longitudinal tau PET data will be required to provide further evidence for such a composite and whether it can be optimized to correlate with early A β changes, neurodegeneration and the onset of cognitive symptoms.

This study is limited by its follow-up on participants younger than 65 years of age. Precise estimates of early change will require additional longitudinal follow-up focused on a younger cohort. Incorporation of emerging biomarkers such as blood-based measures of amyloid dysregulation (Nakamura *et al.*, 2018; Schindler *et al.*, 2019)

and neurodegeneration (Mattsson *et al.*, 2019a) into this effort will further clarify the course of AD.

Ordering participants on a continuous disease time scale, jointly estimated from biomarker, imaging and cognitive data, facilitates the inspection of the earliest signs of A β and tau pathology in the healthiest participants, informing conceptualization of the pathophysiologic processes underlying the disease. Identifying the sequence of brain regions where deposition is initiated will aid in the design of A β and tau composites optimized to detect and monitor disease progression facilitating the design of feasible prevention studies in AD.

Supplementary material

Supplementary material is available at *Brain Communications* online.

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Competing interests

The authors report no competing interests.

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