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Impact of atmospheric dryness on solar-induced chlorophyll fluorescence: Tower-based observations at a temperate forest

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 responses to environmental changes. However, because of the strong and close relationship between SIF and absorbed photosynthetically active radiation (aPAR), it may be difficult to detect the influence of environmental drivers other than light conditions. Among the drivers, atmospheric dryness (vapor pressure deficit, VPD) is projected to increase as drought becomes more frequent and severe in the future, negatively impacting plants. In this study, we evaluated the tower-based high-frequency SIF measurement as a tool for detecting plant response to highly variable VPD. The study was performed in a mixed temperate forest in Virginia, USA, where a 40-meter-tall flux tower has been measuring gas and energy exchanges and ancillary environmental drivers, and the Fluospec 2 system has been measuring SIF. We show that a proper definition of light availability to vegetation can reproduce SIF response to changing VPD that is comparable to GPP response as estimated from eddy covariance measurement: GPP decreased with rising VPD regardless of how aPAR was defined, whereas SIF decreased only 36 when aPAR was defined as the PAR absorbed by chlorophyll (aPAR_{chl}) or simulated by a model (Soil Canopy Observation, Photochemistry and Energy fluxes, SCOPE). We simulated the effect of VPD on SIF with two different simulation modes of fluorescence emission representing contrasting moisture conditions, 'Moderate' and 'Soil Moisture (SM) Stress' modes. The decreasing SIF to rising VPD was only found in the SM Stress mode, implying that the SIF-VPD relationship depends on soil moisture conditions. Furthermore, we observed a similar response of SIF to VPD at hourly and daily scales, indicating that satellite measurements can be used to study the effects of environmental drivers other than light conditions. Finally, the definition of aPAR emphasizes the importance of canopy structure research to interpret remote sensing observations properly.

 previous studies often use photosynthetic photon flux density (PPFD) that may not accurately represent the actual amount of light absorbed by foliage or chlorophyll and used for photosynthesis; This is because PPFD measures the amount of PAR that actually arrives at the plant but does not distinguish PAR absorbed by non-photosynthetic components (e.g., stem,

branch, senescent foliage) from photosynthetic components.

 We evaluate the tower-based high-frequency SIF measurement (i.e., < hourly) as a tool to detect plant response to highly variable VPD by decoupling its impact from light availability. We used GPP estimated from eddy covariance measurement as a reference and compared it with the SIF measurement to test whether SIF and GPP have divergent or convergent responses to changing VPD. We also simulated SIF, aPAR, and quantum yields using the SCOPE model V1.73 (van der Tol et al., 2009) to compare with the SIF measurement. Our goal of the SCOPE simulation was to answer the following questions: 1) Does the pattern of the simulated SIF in response to VPD agree with the patterns of measurements? 2) If so, what is driving the observed response? If not, what are the major reasons for the discrepancy?

 We further tested whether lower-frequency measurement of SIF (i.e., daily) is frequent enough to decouple the impact of VPD from aPAR by using the data collected around midday only. This test provides useful insight into the validity of low-frequency satellite measurements for studying the impact of highly variable VPD on SIF. Specifically, we defined the half-hourly measurement of SIF as 'hourly scale' data and the SIF measured between noon and 2 pm as 'daily scale' data and then compared these datasets.

2. Materials and Methods

2.1. Site Description

 The study site (Virginia Forest Research Facility) is located in a temperate mixed forest, within the footprint of a flux tower in central Virginia, USA (37° 55'N 78° 16'W). Long-term mean annual temperature and precipitation (from 1981 to 2010) are 14.0℃ and 1,210 mm (over 90% as rain), respectively. Canopy dominant tree species include white oak (*Quercus alba* L.), Virginia pine (*Pinus virginiana* Mill.), southern red oak (*Q. falcata* Michx.), red maple (*Acer rubrum* L.), and tulip poplar (*Liriodendron tulipifera* L.). The relative dominances (= basal area 122 of a species / basal area of all trees \times 100%) within a 500 m radius from the flux tower were 23.6%, 20.0%, 11.9%, 11.5%, and 10.3%, respectively (Chan, 2011). The range of diameter at breast height (DBH) was 2.5 to 81.0 cm, with tree sizes of second and third quartiles ranging from 4.0 to 15.1 cm. The study period was limited to the late growing season, from early July to mid-September in 2019, to minimize the effect of seasonality and the potential effect of sun-sensor-canopy geometrical variation.

2.2. SIF measured by Fluospec 2

 SIF was measured using an automated system, Fluospec 2. A detailed description of the system is documented in Yang et al. (2018). The key component of the system is a high spectral resolution spectrometer (QEPro, OceanOptics Inc., Dunedin, FL, USA) with a spectral resolution of 0.14 nm and a spectral range of 729.7-784.1 nm. The main components of the system include a spectrometer, a computer for system operation (Raspberry Pi), and an optical shutter alternating the two optical cables that measure incoming solar radiation and upwelling radiation 136 from canopies, respectively (Figure 1). For stability, the system is enclosed in a thermostatic box (25℃) inside an air-conditioned hut built to accommodate various research tools. The optical cables for radiance measurements are installed on the top platform of a flux tower.

 (b)

 Figure 1. The design of instrument setup (Fluospec 2) at the study site (Virginia Forest Research Facility, a) and a sample thermal image taken at 13:00 EST on August 8, 2019 at the top platform of a flux tower near the SIF sensors (b). Fluospec 2 is composed of a SIF spectrometer, a computer for system operation (Raspberry Pi), and an optical shutter. The system is enclosed in a thermostatic box, with the temperature inside the enclosure set at 25℃, and resides inside a research hut. The ends of optical cables measuring irradiance and canopy radiance are installed on the top platform of a flux tower (40 m tall). Note that the field of view (FOV) of the optical fibers (25 degree) is smaller than the FOV of the thermal camera (45 degree). Thus, SIF is observed for a smaller area than appears in the thermal image in panel b.

151 We applied an O_2A -based spectral fitting method (SFM) that uses a reduced fitting 152 window from 759.5 to 761.5 nm (Chang et al., 2020), which is known to improve O_2A retrieval accuracy compared to a conventional SFM method using a wider fitting window (759-767.76 nm). The SIF was recorded every 10 minutes and averaged every 30 minutes.

 Figure 2. An example of data collected by Fluospec 2 at noon on June 14, 2019. Irradiance (orange in panel a) was collected by an upward-looking cosine corrector, and radiance (blue in panel a) was collected by an optical fiber pointing to the target tree canopy. Reflectance (b) was 160 calculated by dividing radiance by irradiance and multiplying by π . The shaded area in green in 161 panel b indicates the fitting window (759.5-761.5 nm) used for O_2A retrieval (Chang et al., 2020).

2.3. SIF simulated by SCOPE

 We simulated SIF, aPAR, and quantum yields for the four pathways used by leaves 166 during photosynthesis (i.e., quantum yields of photochemistry, Φ_P , fluorescence, Φ_F , non-167 photochemical quenching, Φ_N , and non-radiative decay, Φ_D) using the SCOPE model V1.73 (van der Tol et al., 2009). It is necessary to stress that the SCOPE simulations do not have to perfectly match the observations, and in fact, the mismatch between the observations and the 170 model results is to be expected as several key parameters related to SIF (e.g., V_{cmax} : maximum carboxylation rate, FQE: fluorescence quantum yield efficiency at photosystem level) are prescribed. SCOPE model simulations were driven by meteorological data collected by the

 sensors installed at the study site, including PAR, longwave radiation, temperature, vapor and atmospheric pressure, and leaf area index from the Moderate Resolution Imaging spectroradiometer (MODIS, MCD15A2H Version 6; See Figure S1 in Supplementary Information for the variability of leaf area index). The model was modified to use the incident PAR measurements, instead of shortwave radiation, as input data for a more accurate aPAR simulation. The other inputs were set to default (See Table S1 in Supplementary Information for more details about the input data). We have compared two different fluorescence emission models (Moderate and Soil Moisture (SM) Stress models) incorporated in the SCOPE model, of which quantum yield fractions were set differently based on the experiments conducted under different soil moisture conditions (van der Tol et al., 2014). More specifically, van der Tol et al. (2014) demonstrated how fluorescence yield was influenced by non-photochemical quenching (Φ_N) using the results of previous studies that combined leaf gas exchange and pulse amplitude modulation (PAM) measurements. They compared multiple sets of experiments performed on different plants that were subject to different main environmental drivers, and developed two sets of parameters to model quantum yields for the SCOPE: one was based on the cotton dataset 188 (Weis & Berry, 1987), concerned with light, $CO₂$, and temperature variations (without water stress; hereafter, 'Moderate mode'). Another set was based on C3 species treated with daily irrigation and then progressively decreasing soil moisture availability (Flexas et al., 1999; 2002); hereafter, 'Soil Moisture (SM) Stress mode' (See Discussions and Figure 10 for the comparison between two simulation modes). Therefore, the results from the two simulation modes would inform how the relationship between SIF and VPD depends on soil moisture conditions.

2.4. Eddy covariance and environmental drivers

 CO2, water, and energy fluxes and other environmental variables (e.g., air temperature, relative humidity, and VPD) were recorded by eddy flux tower using a sonic anemometer (CSAT3, Campbell Scientific, Logan, Utah), gas analyzer (LI-7500, Li-Cor, Lincoln, Nebraska), and temperature and humidity probe (HMP45, Vaisala, Helsinki, Finland) at a height of 25 m, several meters above the characteristic vegetation height. NEE partitioning into GPP and ecosystem respiration (*R*eco) was done by using an R-based online eddy covariance processing tool, ReddyProc (Wutzler et al., 2018) and choosing the daytime partitioning algorithm. Compared to another partitioning option available in the ReddyProc (i.e., nighttime partitioning algorithm), the daytime partitioning algorithm accounts for the temperature sensitivity of *R*eco and the effect of VPD on plant light response curve to enhance the reliability of *R*eco estimates 206 (Lasslop et al., 2010). Only GPP greater than 5 μ mol m⁻² s⁻¹ was used for the analysis to avoid the poorly defined relationship between GPP and aPAR under the conditions of low GPP. The temporal resolution of GPP and ancillary data was 30 minutes.

2.5. aPAR estimation

 Careful selection of aPAR definition is important because aPAR is often estimated in different ways based on the different assumptions of light absorption (Porcar-Castell et al., 2021). For example, an assumption of a whole canopy as a light absorbent does not discern differences in light absorption between photosynthetic (i.e., functional leaves) and non- photosynthetic (i.e., stem, branches, and senescent leaves) components, in contrast to the assumption of photosynthetically functional leaves as the only light absorbent. Furthermore, the close relationship between SIF and aPAR may have a significant influence when evaluating the impact of other environmental factors on SIF. We have compared four different approaches to

 The radiance in the far-red spectrum reflected by canopies (Rad755) is often used to derive relative SIF (=SIF/Rad755). Relative SIF is the normalized SIF to correct the effect of heterogeneous vegetation structure (Magney et al., 2019; Parazoo et al., 2020) and is comparable to SIF yield (=SIF/aPAR). In principle, relative SIF is comparable to the near-infrared radiance of vegetation (NIRvR) when Normalized Difference Vegetation Index (NDVI) is stable, as NIRvR is approximately NDVI multiplied by observed NIR radiance (NIRrad), where NIRrad is linearly related with aPAR (Zeng et al., 2019). Therefore, although Rad755 may not represent aPAR in principle, we tested the possibility of Rad755 as a proxy of aPAR to address the impact 261 of VPD on SIF. In addition, one benefit of using relative SIF is that the radiance at 755 nm was observed from the same footprint as the SIF measurements.

- 263 Lastly, PAR absorbed by chlorophyll *a* and *b* simulated by SCOPE (aPARsc) was used
- 264 against simulated SIF. The simulation of $aPAR_{sc}$ is based on in-situ measurement of incident
- 265 PAR, radiative transfer, and chlorophyll absorption spectrum.
- 266
- 267 Table 1. Definitions of aPAR metrics used in this study.

269 2.6. Data analyses

270 Our primary interest in this study is to understand the impact of VPD on SIF. However,

- 271 SIF is known to have a strong linear relationship with aPAR. Therefore, we must confidently
- 272 decouple the impact of VPD from the relationship between SIF and aPAR. We used the Johnson-
- 273 Neyman technique (Bauer & Curran, 2005; Johnson & Fay, 1950) to evaluate the interaction
- 274 between aPAR and VPD and its influence on SIF or GPP. We then compared linear regressions

 of SIF (or GPP) and aPAR at different levels of VPD by performing Simple slopes analysis (Aiken & West, 1991). While the one-way analysis of covariance (ANCOVA) is often performed for this type of situation, our cases violate the assumption of homogeneity of the regression slopes; in other words, we have non-parallel regression slopes of SIF-aPAR across different levels of VPD. The Johnson-Neyman technique addresses this issue by identifying the 280 interval of aPAR in which the influence of VPD on SIF-aPAR regression (∂ SIF/ ∂ VPD) is 281 significant or insignificant (at a level of 0.05 in our case). In the results, we illustrate 1) the range of aPAR values where VPD has a significant influence on SIF-aPAR regression and 2) how SIF-aPAR regressions differ at three separate VPD levels (at mean VPD, mean VPD plus 1.5 times standard deviation, and mean VPD minus 1.5 times standard deviation). We hypothesized that the response of SIF is mainly attributable to 286 the variability of Φ_F , given negligible variations in the canopy structure and thus f_{esc} during the growing season when the canopy is closed (He et al., 2020). Based on our SCOPE simulation, only up to 3% of the variability in *f*esc was found throughout the study period. In order to meet the assumption of linearity between the dependent variable (SIF) and the moderator (aPAR), both variables were log-transformed using natural log, such that the non-291 linear power function for the SIF-aPAR relationship (i.e., SIF = $a \cdot aPAR^b$) was transformed into 292 the linear function between ln(SIF) and ln(aPAR) (i.e., ln(SIF) = ln $a + b \cdot \ln(aPAR)$, where *b* is the slope and ln *a* is the intercept in the transformed relationships, Figure 3). We performed the same analysis for GPP by log-transforming both GPP and aPAR (i.e., GPP = $a \cdot aPAR^b$) as a reference.

 Lastly, we further tested the response of SIF using the data collected during the midday only (12 - 2 pm), which represents low-frequency observations such as satellite or airborne

measurements, to find out whether we could find a similar response compared to full-day SIF

Figure 3. Example of data transformation of SIF, GPP, and aPAR for different levels of VPD

(grouped based on the quartiles of the VPD distribution, Q1: 0.0 - 1.3 kPa, Q2: 1.3 - 1.9 kPa, Q3:

1.9 - 2.5 kPa, and Q4: 2.5 - 3.7 kPa). The non-linear power functions for the SIF-aPAR (SIF =

305 *a*⋅aPAR^{*b*})</sub> and GPP-aPAR relationships (GPP = *a*⋅aPAR^{*b*})</sub> were transformed by applying natural

- 306 log to both sides of the equation (e.g., ln (SIF) = ln $a + b \cdot ln(aPAR)$, where *b* is the slope, and ln *a*
- is the intercept in the transformed relationships.)

3. Results

3.1. Diurnal and seasonal patterns of GPP and SIF

 Figure 4. Monthly mean diurnal patterns of GPP estimated from the eddy covariance method, 328 SIF measured by Fluospec 2 (SIF_{FS2}), SIF simulated by SCOPE (SIF_{SC}), and environmental variables including incident PAR (iPAR), vapor pressure deficit (VPD), and air temperature (*T*a), and their monthly mean between 10 am to 2 pm. Error bars represent standard deviations.

3.2. Comparison between aPAR metrics

 All aPAR metrics were linearly related to the iPAR but with different slopes and 334 variances (Figure 5). Among the metrics, $aPAR_m$ had the least deviation from iPAR (slope = 335 0.94) with a very high R^2 of the regression (= 0.995). The aPAR simulated by SCOPE (aPAR_{sc}) 336 was also proportional to the iPAR and had a very high R^2 of the regression (= 0.999) but with 337 appreciable deviation (slope = 0.72) from iPAR. On the other hand, aPAR_{chl} deviated from iPAR 338 appreciably (slope = 0.66) with lower R^2 of the regression (= 0.755) than aPAR_m and aPAR_{SC}. This reflects a characteristic of aPARchl, which assumes variable aPAR utilization for photosynthesis depending on environmental conditions (Ogutu & Dash, 2013) and thus requires

additional environmental variables, other than iPAR, to better predict its variation. Similarly,

342 Rad755 also had a lower R^2 of the regression (= 0.849) than aPAR_m or aPAR_{sc}, implying its

susceptibility to environmental variables other than light conditions.

Figure 5. Relationships between incident PAR (iPAR) and different absorbed PAR (aPAR)

metrics. Gray dashed lines indicate a 1:1 line. Red solid lines indicate linear regression fit.

3.3. Response of GPP and SIF to changing aPAR and VPD

According to the Johnson-Neyman technique results, the influence of VPD on the GPP-

aPAR regression was significant regardless of the aPAR metrics during most of the daylight

conditions (Figure 6a-6d). Specifically, VPD had a significant impact when log-transformed

aPARm, aPARchl, Rad755, and aPARsc were greater than 5.42, 4.93, 2.88, and 5.29, respectively.

These values correspond to 225.9, 138.4, 17.8, and 198.3 μ mol m⁻² s⁻¹, respectively, before

transformation (see Figure 4 for the daily variation of iPAR over the growing season and Figure

- 5 for the relationships between iPAR and aPAR metrics). In all cases, GPP decreased with rising
- VPD (Figure 6i-6l). The impact of VPD on GPP was more evident under higher aPAR.

 Figure 6. Effect of VPD on GPP-aPAR relationship at hourly scale. The top row (a-d) shows the results of Johnson-Neyman analysis, identifying the range of aPAR metrics where the influence of VPD on GPP-aPAR regression is significant (P < 0.05, shaded in green). The thicker horizontal lines at 0 in Johnson-Neyman plots indicate the observed range of aPAR metrics. The middle row (e-h) shows scatter plots of log-transformed and GPP and aPAR metrics. The bottom row (i-l) shows the results of Simple slopes analysis, illustrating GPP-aPAR regressions held at three VPD levels: mean VPD, mean VPD plus 1.5 times standard deviation, and mean VPD minus 1.5 times standard deviation. Note that confidence intervals are illustrated in gray around

 the fitted lines (i-l) but are barely visible because they are very narrow, especially under high aPAR. Slope and standard error (SE) values are presented (i-l), and the text colors match the colors of the fitted lines.

 Unlike GPP, we found inconsistent results depending on the aPAR metrics or SIF estimation method (Figure 7). The influence of VPD on the SIF was significant for the wide 374 range of aPAR values when aPAR_{chl} was used (Figure 7b) or SIF and aPAR were simulated with 375 SCOPE (Figure 7d $\&$ 7e). On the other hand, the influence of VPD was insignificant over the 376 entire range of observed a PAR_m (Figure 7a) and over more than half of the observed range of Rad755 (Figure 7c).

 SIF decreased with rising VPD – the pattern consistent with GPP – only when aPARchl was used (Figure 7l) or when SIF and aPAR were simulated using the SM Stress mode (Figure 7o). In the case where SIF and aPAR were simulated using the Moderate mode (Figure 7n), VPD 381 influenced SIF negatively when $ln(aPAR_{sc})$ was less than 6.50 (i.e., $aPAR_{sc} = 665 \mu mol m^{-2} s^{-1}$) 382 but positively when $ln(aPAR_{sc})$ was greater than 6.78 (i.e., $aPAR_{sc} = 880$ µmol m⁻² s⁻¹). When Rad755 was used, VPD had a positive effect on hourly SIF under high Rad755 conditions, which was the opposite of VPD's effect on GPP (Figure 7m).

 Figure 7. Effect of VPD on SIF-aPAR relationship at hourly scale. The top row (a-e) shows the results of Johnson-Neyman analysis, identifying the range of aPAR metrics where the influence of VPD on SIF-aPAR regression is significant (P < 0.05, shaded in green). The thicker horizontal lines at 0 in Johnson-Neyman plots indicate the observed range of aPAR metrics. The middle row (f-j) shows scatter plots of log-transformed and SIF and aPAR metrics. The bottom row (k- o) shows the results of Simple slopes analysis, illustrating SIF-aPAR regressions held at three VPD levels: mean VPD, mean VPD plus 1.5 times standard deviation, and mean VPD minus 1.5 times standard deviation. Note that confidence intervals are illustrated in gray around the fitted lines (k-o) but are barely visible because they are very narrow, especially under high aPAR. Slope and standard error (SE) values are presented (k-o), and the text colors match the colors of the fitted lines.

 Figure 8. Effect of VPD on SIF-aPAR relationship at daily scale. The top row (a-e) shows the results of Johnson-Neyman analysis, identifying the range of aPAR metrics where the influence of VPD on SIF-aPAR regression is significant (P < 0.05, shaded in green). The thicker horizontal lines at 0 in Johnson-Neyman plots indicate the observed range of aPAR metrics. The middle row (f-j) shows scatter plots of log-transformed and SIF and aPAR metrics. The bottom row (k- o) shows the results of the Simple slopes analysis, illustrating SIF-aPAR regressions held at three VPD levels: mean VPD, mean VPD plus 1.5 times standard deviation, and mean VPD minus 1.5 times standard deviation. Note that confidence intervals are illustrated in gray around the fitted lines (k-o) but are barely visible because they are very narrow, especially under high aPAR. Slope and standard error (SE) values are presented (k-o), and the text colors match the colors of the fitted lines.

 The relationship between GPP and SIF was non-linear at both hourly and daily scales due to the steeper slope at low GPP and SIF (Figure 9). However, the relationship was strongly linear for most SIF and GPP ranges once SIF or GPP exceeded a certain level. Although daily scale observations had a lower coefficient of determination than hourly scale observations, we found similar variability in the GPP-SIF relationship with changing VPD at both temporal scales. When 432 the GPP-SIF relationship was fitted using a power function (i.e., GPP = $k \times$ SIF^{*a*}) at either scale, the coefficient *k* decreased with rising VPD (Figures 9b & 9e). However, the exponent *a* did not vary significantly (Figures 9c & 9f).

- represent standard errors of means (95% confidence). Coefficient *k* (b & e) or exponent *a* (c & f) 442 marked with different letters are significantly different ($p < 0.05$).
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4. Discussions

 We investigated SIF variations in response to changing VPD at a canopy scale using tower-based SIF measurements in a temperate forest. Specifically, we tested if using different definitions for aPAR and temporal scales (i.e., hourly vs. daily) would influence SIF response to changing VPD.

 SIF is considered a remotely sensed proxy for GPP because of its good relationship with GPP across various observational scales. However, while GPP represents the carbon assimilated as a result of photosynthesis, SIF is the energy re-emitted after light absorption by leaf chlorophyll molecules (a different pathway than the pathway routed for photosynthesis). Despite the close link of SIF to plant photochemistry, SIF is not equivalent to photosynthetic carbon uptake and GPP. Therefore, the interaction of SIF with environmental variables may not necessarily be the same as GPP.

 We found a SIF response to VPD that corresponded to the GPP response to VPD when PAR absorbed by chlorophyll (aPARchl) was used or when SIF and aPAR were simulated by SCOPE model that was parameterized to account for the effects of soil moisture stress (i.e., SM Stress mode). Our findings suggest that tower-based SIF measurement has the potential to address the impact of water stress on ecosystem function.

 The definition of aPAR was critical for SIF to emulate GPP response to VPD. SIF was 462 negatively related to VPD only when aPAR_{chl} was used or SIF and aPAR were simulated by SCOPE on the SM Stress mode. This emphasizes the importance of carefully defining and

 evaluating light conditions, or more precisely, light availability to vegetation, especially when addressing the impact of environmental drivers other than light conditions on SIF.

 Among the aPAR metrics, aPARchl was defined as the PAR absorbed by the 467 photosynthetic component of the canopy (i.e., green foliage). In other words, aPAR_{chl} represents aPAR at the foliage or organelle (chlorophyll) level, which agrees with the SIF emission level (Zhang et al., 2016b). Therefore, aPARchl is expected to account for the effects of environmental drivers on photosynthesis (e.g., air temperature, moisture condition, and nutrient availability), 471 while the other aPAR metrics don't. Indeed, in the algorithm of aPAR_{chl} estimation, the process of estimating actual quantum yield (i.e., the number of moles of $CO₂$ fixed per mole of PAR absorbed by photosynthetic elements in the canopy) is an empirical function of air temperature. 474 As a result, the relationship between aPAR_{chl} and iPAR has a low R^2 when compared to the other aPAR metrics (Figure 5). Although the rigorous verification of aPAR_{chl} is difficult, the similarity 476 between aPAR $_{\text{chl}}$ and aPAR simulated by SCOPE supported the legitimacy of aPAR $_{\text{chl}}$ (Figure 477 5e). Furthermore, we found a negative effect of VPD on SIF when aPAR_{chl} was used (Figures 7 & 8), which is consistent with the effect on GPP (Figure 6). It is important to note that we applied a constant VPD to estimate the actual quantum yield for aPAR_{chl}, which had a lower 480 variance than the aPAR_{chl} estimated using a variable VPD (See Figure S2 in Supplementary Information). In our preliminary analysis, we found similar trends in SIF in response to changing VPD whether constant or variable VPD was used for aPARchl estimation: the only difference was 483 that SIF variability in response to changing VPD was greater when aPAR_{chl} was estimated by using variable VPD rather than constant VPD (See Figure S3 for the hourly-scale result and Figure S4 for the daily-scale result in Supplementary Information). Overall, we confirm that aPARchl is likely to reflect the actual amount and variability of PAR absorbed by the foliage and

487 used for photosynthesis, and that the impact of aPAR_{chl} on SIF demonstrated in our study (i.e., aPARchl estimated by using a constant VPD) is likely to be conservative.

489 Meanwhile, $aPAR_m$ is the PAR absorbed by any components of the canopy, including non-photosynthetic components (e.g., branches, stems, and senescent foliage) that are irrelevant to SIF emission. Because it accounts for insensitive non-photosynthetic components, using aPAR_m my result in a less sensitive photosynthetic response than expected. For example, a very 493 small variance was found in the relationship between $aPAR_m$ and iPAR (Figure 5a), implying 494 that environmental drivers other than iPAR had a negligible effect on the aPAR_m. Therefore, the 495 disparity in scope of measurement between SIF and $aPAR_m$ (i.e., photosynthetic component only vs. photosynthetic and non-photosynthetic components) should have contributed to the 497 ambiguous effect of VPD on the $SIF-aPAR_m$ relationship (Figure 7). In contrast to the GPP-VPD relationship, we found a positive effect of VPD on SIF when Rad755 was used as a proxy of aPAR although there is no theoretical basis for describing the opposite pattern. Therefore, while Rad755 may be useful as a proxy of aPAR to approximate GPP and SIF due to its strong relationship with iPAR, it is less useful when the effect of environmental drivers other than light conditions must be considered. While we suggest using an aPAR definition that can be estimated from eddy covariance 504 data (i.e., aPAR_{chl}) among the tested metrics, it may be preferable to use aPAR metrics that can be estimated more easily for larger-scale observations. Zhang et al. (2020), for example, compared the fraction of PAR absorbed by chlorophyll (faPAR) obtained from six different satellite products. Further research into how different definitions of the faPAR affect SIF and its response to changing environmental drivers is needed to improve the utility of SIF as a proxy for GPP because faPAR is heavily influenced by the canopy structure, including leaf-angle

 distributions (Stovall et al., 2021; Yang et al., 2023). Future research into leaf-angle distribution and its temporal variations, for instance, using recent terrestrial light detection and ranging (lidar) techniques, would help improve our understanding of the impact of canopy structure on faPAR and SIF.

 We used SCOPE to simulate SIF with two different modes of fluorescence emission, Moderate and SM Stress, to compare with measured SIF and infer the mechanism of SIF response to VPD and soil moisture. The expected negative effect of VPD on SIF emerged when the SM Stress mode was used. When the response of quantum yields to aPAR was compared 518 between the simulation modes, the response of fluorescence yield (Φ_F) was found to be the most different (Figure 10; also refer to van der Tol et al. (2014) and Verrelst et al. (2015)). 520 Specifically, in the case of the Moderate mode, Φ_F decreased rapidly with increasing aPAR under low aPAR, but there was little change under moderate to high aPAR (Figure 10b). In the 522 SM Stress mode, on the other hand, a negative relationship between Φ_F and aPAR was found 523 across the entire range of aPAR (Figure 10f). The patterns of Φ_F found in both simulation modes 524 were consistent with the descriptions in van der Tol et al. (2014), which suggested decreasing Φ_F 525 as an indication of water stress. Moreover, with the SM Stress mode, we found a reduction of Φ_F 526 across the entire range of aPAR with rising VPD (Figure 10). Considering the variability of Φ_F in the SCOPE is mainly driven by aPAR and carboxylation capacity (van der Tol et al., 2014), the results of SIF simulation should be mainly reflective of the negative impact of VPD, temperature (due to VPD being a function of temperature), and/or soil moisture, on the non-stomatal processes.

533 Figure 10. Variations of quantum yields (Φ_p : photochemistry, Φ_F : fluorescence, Φ_N : non-534 photochemical quenching, Φ_D : non-radiative decay) with changing aPAR simulated by SCOPE using two different modes (i.e., Moderate and SM Stress modes) across different VPD levels (grouped based on the quartiles of the VPD distribution, Q1: 0.0 - 1.3 kPa, Q2: 1.3 - 1.9 kPa, Q3: 1.9 - 2.5 kPa, and Q4: 2.5 - 3.7 kPa).

 at the daily scale). We note that the impact of soil moisture on SIF was only implied by the SCOPE simulation and was not evaluated by in-situ data in our study, due to the limited amount of data to decouple the effect of soil moisture, VPD and aPAR. Long-term, high-frequency data collection will aid in decoupling the impact of multiple environmental drivers on SIF, which is a significant advantage of tower-based SIF measurements over other methods.

553 Finally, similarity in the seasonal patterns between the measured SIF (SIF_{FS2}) and GPP indicates the robustness of tower-based SIF measurement for tracking the seasonal variability of 555 carbon assimilation (Figure 4). SIF_{FS2} and GPP levels were highest during the early growing 556 season (May) and gradually decreased over time. On the other hand, the simulated SIF (SIF_{SC}) was highest during the summer, which coincided with the pattern of iPAR. The discrepancy in the seasonal patterns is likely to be determined by whether the SIF or GPP reflects seasonal 559 variability in photosynthetic capacity (i.e., V_{cmax}). V_{cmax} is positively related to fluorescence yield under moderate to high light conditions (Frankenberg & Berry, 2018; van der Tol et al., 2014), 561 and seasonally, the highest V_{cmax} is often reported during the early growing season (around May) for deciduous trees growing in temperate forests (Grassi et al., 2005; Wilson et al., 2000). 563 Therefore, we presume that the observed seasonal patterns of SIF_{FS2} and GPP are more reliable 564 than the seasonal pattern of SIF_{SC}, because V_{cmax} was set as a constant for the simulation (60 565 μ mol m⁻² s⁻¹) and light conditions would have a greater impact on SIF_{SC} than they would on SIF_{FS2}. This is demonstrated by a greater similarity in the seasonal pattern between SIF_{SC} and iPAR than between SIFFS2 and iPAR (Figure 4). Therefore, our findings suggest that prescribing *V*cmax and its seasonality in the model is important for improving simulation accuracy.

5. Conclusion

 SIF is widely accepted as a proxy for GPP due to its strong relationship with GPP observed from the field, airborne, and spaceborne measurements. Among these, tower-based SIF measurement enables continuous monitoring of SIF variation at a canopy or stand scale. Continuous measurement is particularly well suited to addressing physiological responses to rapidly changing environmental drivers, such as VPD (i.e., atmospheric dryness), which is highly variable during the day and is expected to increase with climate change. However, there is a potential challenge when using SIF to address the impact of environmental drivers: because of the strong and close relationship between SIF and aPAR, the response of SIF to environmental drivers might not be as evident as what we can learn from GPP. Our findings show that the SIF response to changing VPD, which is comparable to the response of GPP, can be replicated not only with high-frequency measurements (< hourly) but also with low-frequency measurements (> daily), if a proper definition of aPAR with a corresponding observational scale (canopy), such 583 as aPAR_{chl}, is used. We also emphasize the importance of further research into methods for evaluating the fraction of aPAR at various observational scales to clarify the relationships between SIF, light conditions, and other environmental drivers.

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List of Figure Captions

 Facility, a) and a sample thermal image taken at 13:00 EST on August 8, 2019 at the top 840 platform of a flux tower near the SIF sensors (b). Fluospec 2 is composed of a SIF spectrometer, a computer for system operation (Raspberry Pi), and an optical shutter. The system is enclosed in 842 a thermostatic box, with the temperature inside the enclosure set at 25° C, and resides inside a research hut. The ends of optical cables measuring irradiance and canopy radiance are installed on the top platform of a flux tower (40 m tall). Note that the field of view (FOV) of the optical fibers (25 degree) is smaller than the FOV of the thermal camera (45 degree). Thus, SIF is observed for a smaller area than appears in the thermal image in panel b. Figure 2. An example of data collected by Fluospec 2 at noon on June 14, 2019. Irradiance (orange in panel a) was collected by an upward-looking cosine corrector, and radiance (blue in panel a) was collected by an optical fiber pointing to the target tree canopy. Reflectance (b) was 851 calculated by dividing radiance by irradiance and multiplying by π . The shaded area in green in 852 panel b indicates the fitting window (759.5-761.5 nm) used for O_2A retrieval (Chang et al.,

Figure 1. The design of instrument setup (Fluospec 2) at the study site (Virginia Forest Research

2020).

 Figure 3. Example of data transformation of SIF, GPP, and aPAR for different levels of VPD (grouped based on the quartiles of the VPD distribution, Q1: 0.0 - 1.3 kPa, Q2: 1.3 - 1.9 kPa, Q3: 857 1.9 - 2.5 kPa, and Q4: 2.5 - 3.7 kPa). The non-linear power functions for the SIF-aPAR (SIF = *a*⋅aPAR^{*b*})</sub> and GPP-aPAR relationships (GPP = *a*⋅aPAR^{*b*})</sub> were transformed by applying natural

859 log to both sides of the equation (e.g., ln (SIF) = ln $a + b \cdot ln(aPAR)$, where *b* is the slope, and ln *a* is the intercept in the transformed relationships.)

 Figure 4. Monthly mean diurnal patterns of GPP estimated from the eddy covariance method, 863 SIF measured by Fluospec 2 (SIF_{FS2}), SIF simulated by SCOPE (SIF_{SC}), and environmental variables including incident PAR (iPAR), vapor pressure deficit (VPD), and air temperature (*T*a), and their monthly mean between 10 am to 2 pm. Error bars represent standard deviations. Figure 5. Relationships between incident PAR (iPAR) and different absorbed PAR (aPAR) metrics. Gray dashed lines indicate a 1:1 line. Red solid lines indicate linear regression fit. Figure 6. Effect of VPD on GPP-aPAR relationship at hourly scale. The top row (a-d) shows the results of Johnson-Neyman analysis, identifying the range of aPAR metrics where the influence 872 of VPD on GPP-aPAR regression is significant ($P < 0.05$, shaded in green). The thicker horizontal lines at 0 in Johnson-Neyman plots indicate the observed range of aPAR metrics. The middle row (e-h) shows scatter plots of log-transformed and GPP and aPAR metrics. The bottom row (i-l) shows the results of Simple slopes analysis, illustrating GPP-aPAR regressions held at three VPD levels: mean VPD, mean VPD plus 1.5 times standard deviation, and mean VPD minus 1.5 times standard deviation. Note that confidence intervals are illustrated in gray around the fitted lines (i-l) but are barely visible because they are very narrow, especially under high aPAR. Slope and standard error (SE) values are presented (i-l), and the text colors match the colors of the fitted lines.

 Figure 7. Effect of VPD on SIF-aPAR relationship at hourly scale. The top row (a-e) shows the results of Johnson-Neyman analysis, identifying the range of aPAR metrics where the influence of VPD on SIF-aPAR regression is significant (P < 0.05, shaded in green). The thicker horizontal lines at 0 in Johnson-Neyman plots indicate the observed range of aPAR metrics. The middle row (f-j) shows scatter plots of log-transformed and SIF and aPAR metrics. The bottom row (k- o) shows the results of Simple slopes analysis, illustrating SIF-aPAR regressions held at three VPD levels: mean VPD, mean VPD plus 1.5 times standard deviation, and mean VPD minus 1.5 times standard deviation. Note that confidence intervals are illustrated in gray around the fitted lines (k-o) but are barely visible because they are very narrow, especially under high aPAR. Slope and standard error (SE) values are presented (k-o), and the text colors match the colors of the fitted lines.

 Figure 8. Effect of VPD on SIF-aPAR relationship at daily scale. The top row (a-e) shows the results of Johnson-Neyman analysis, identifying the range of aPAR metrics where the influence 896 of VPD on SIF-aPAR regression is significant ($P < 0.05$, shaded in green). The thicker horizontal lines at 0 in Johnson-Neyman plots indicate the observed range of aPAR metrics. The middle row (f-j) shows scatter plots of log-transformed and SIF and aPAR metrics. The bottom row (k- o) shows the results of the Simple slopes analysis, illustrating SIF-aPAR regressions held at three VPD levels: mean VPD, mean VPD plus 1.5 times standard deviation, and mean VPD minus 1.5 times standard deviation. Note that confidence intervals are illustrated in gray around the fitted lines (k-o) but are barely visible because they are very narrow, especially under high aPAR.

 Slope and standard error (SE) values are presented (k-o), and the text colors match the colors of 904 the fitted lines.

1.9 - 2.5 kPa, and Q4: 2.5 - 3.7 kPa).