

# Creating Evidence-Based Electronic Thesis & Dissertation Supplemental File Guidance

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## 1. Summary

### Goal

Find a discrete campus population in need of research data management (RDM) guidance, and find an effective way to deliver that guidance.

### **Dissertations and Data**

UC Santa Cruz began Electronic Theses and Dissertations (ETD) in Winter, 2012. Dissertations and supplemental files are added into eScholarship, our institutional repository. This provided our group with a good sample size of supplemental data files to examine, as well as an important population to support.

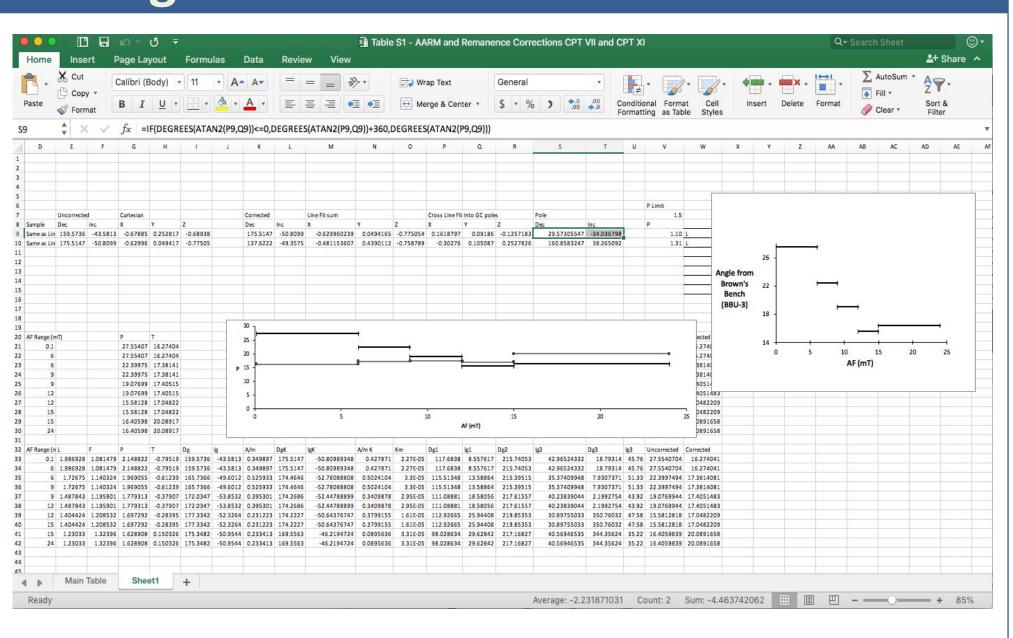
### **Unmet Need Identified**

Despite excellent work from Educopia Institute's ETD+ Toolkit (<a href="https://educopia.org/etdplustoolkit">https://educopia.org/etdplustoolkit</a>), we found that most university dissertation guidance, whether from the graduate divisions or the libraries, did not connect supplemental files with RDM best practices.

## **Project Focus**

As a result of this investigation, our project is focused on connecting RDM best practices guidance to graduate students as part of their dissertation writing support.

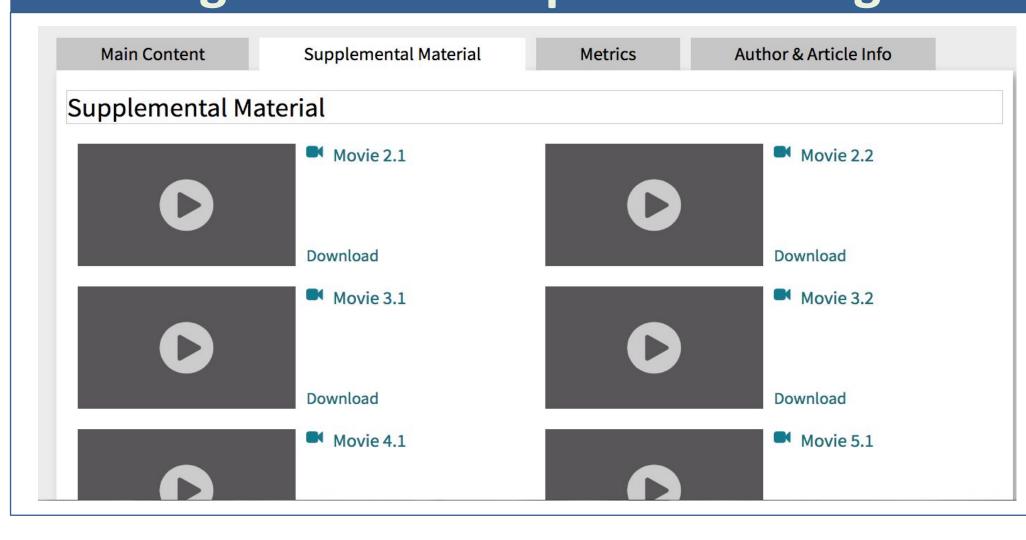
# Fig 1. Mix of Raw Data & Charts



## 2. Process

- Exported thesis & dissertation metadata from our institutional repository (eScholarship) into a spreadsheet.
- Explored the files in eScholarship and noted future reusability issues.
- Met to discuss which RDM best practices were most in need of communicating, and how/where to communicate this guidance.
- Revised our main RDM best practices page, and reused these portions on the ETD library website.
- Requested our Graduate Division link to the ETD library website.
- Added guidance link to departmental library research guides

# Fig 2. Nondescript file naming



# Fig 3. Trapped Data

Bacterial Isolate	Bases	Query coxer(%)	Max Id (%)	GenBank accession number	Bat Species Isolated From
PS_KL12VA650C	1497	97	98	AB334768.1	M. lucifugus
PS_MYLUc	1490	89	99	AB680974.1	M. lucifugus
PS_MP022A	1453	596/603	98	CP000075.1	E. fuscus
SPH_MP0022B	1464	1448/1463	98	AY253921.1	E. fuscus
PS_MP0022D	1493	547/547	100	AY850196	E. fuscus
PS_MP0050A	1471	619/619	100	CP000075.1	E. fuscus
PS_MP0014B	1457	564/564	100	D86357	E. fuscus
CHR_NYWWO1C All e-values were 0.0	1497	97	98		M. lucifugus
Table S2: Coc	1497 (1×10 <sup>-127</sup> ) efficien	ts for line	ear models o	of the influence of nin bition of P. destructan	e bacterial treatments and a sproduced by bacteria at four ta shown in Figure 3.
Table S2: Coc control on the	1497 (1x10 <sup>-127</sup> ) efficien e radius	ts for line s of the zo ions of P.	ear models ones of inhib destructan	of the influence of nin bition of P. destructan	e bacterial treatments and a s produced by bacteria at four

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# 3. Findings

The vast majority of supplemental files did not reflect RDM best practices. We noted the following commonalities with ETD supplemental files:

- Lack of ReadMe files
- Proprietary file types
- Spreadsheet practices
  - Excel files common, not many .csv
  - Mix of notes and formatting together with raw data
- PDFs or MSWord docs with trapped data instead of recombitorial options
- Images and videos common, but often not archival file types
- Code and scripts common, but software best practices not followed
- File naming best practices rarely followed
- Zip files with no explanation of contents

# 4. Looking Forward

- Current campus dissertation guidelines need revisions. The process for approving revisions is multifactorial and will take time.
- Partner with Graduate Division and graduate advisors for dissertation workshops.
- Review supplemental files of future dissertations to assess if there is better preservation of research outputs and awareness of RDM best practices.

## **Contact Us**

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