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Probabilistic Invasion Underlies Natural Gut Microbiome Stability

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# Report

# **Current Biology**

# **Probabilistic Invasion Underlies Natural Gut Microbiome Stability**

### **Graphical Abstract**



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### In Brief

Each person has a unique microbiome, like a fingerprint. Obadia et al. use a fruit fly microbiome model to show how this unique fingerprint could become established by stochastic processes. The results suggest strategies to engineer microbiome diversity.

### **Highlights**

CrossMark

- Establishment of commensal bacteria in the gut works like a lottery
- Stochastic factors generate alternate stable states of gut colonization
- Distinct spatial habitats within the gut regulate the maximal chance of colonization
- Resident species reduce the odds of subsequent colonization, promoting stability





# Probabilistic Invasion Underlies Natural Gut Microbiome Stability

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#### SUMMARY

Species compositions of gut microbiomes impact host health [1-3], but the processes determining these compositions are largely unknown. An unexplained observation is that gut species composition varies widely between individuals but is largely stable over time within individuals [4, 5]. Stochastic factors during establishment may drive these alternative stable states (colonized versus non-colonized) [6, 7], which can influence susceptibility to pathogens, such as Clostridium difficile. Here we sought to quantify and model the dose response, dynamics, and stability of bacterial colonization in the fruit fly (Drosophila melanogaster) gut. Our precise, high-throughput technique revealed stable between-host variation in colonization when individual germ-free flies were fed their own natural commensals (including the probiotic Lactobacillus plantarum). Some flies were colonized while others remained germ-free even at extremely high bacterial doses. Thus, alternative stable states of colonization exist even in this low-complexity model of host-microbe interactions. These alternative states are driven by a fundamental asymmetry between the inoculum population and the stably colonized population that is mediated by spatial localization and a population bottleneck, which makes stochastic effects important by lowering the effective population size. Prior colonization with other bacteria reduced the chances of subsequent colonization, thus increasing the stability of higher-diversity guts. Therefore, stable gut diversity may be driven by inherently stochastic processes, which has important implications for combatting infectious diseases and for stably establishing probiotics in the gut.

#### RESULTS

# Precise Oral Inoculation of Individuals Reveals that Colonization Is Probabilistic

To examine how bacteria are naturally transmitted to flies, we exposed isogenic populations of germ-free flies to two different concentrations of 16 fly commensal bacteria (representing 12 species), and we measured colonization success (the establishment of bacteria in the gut). Somewhat surprisingly, we observed variable colonization efficiencies, even between strains of the same species (Figure S1A). In accordance with the dose-response relationship of pathogen infection [8], bacteria colonized more effectively at higher doses. However, some strains colonized with high efficiency even at low doses, while others never reached high efficiency regardless of the dose. Our initial technique was limited in that individual flies could experience different doses of bacteria by eating different amounts of food.

Thus, we adapted the capillary feeder (CAFE) assay [9] to feed precise doses of bacteria to many individual germ-free flies (Figure 1A), and we measured dose-response relationships of Lactobacillus plantarum, a natural probiotic of humans and flies [10, 11]. We focused on three L. plantarum strains isolated from different sources: a wild fly gut isolate (WF), a lab fly gut isolate (LF), and a human gastrointestinal tract isolate (HS). These isolates showed differences in both the minimal dose necessary for colonization and the stable population size in the gut (the carrying capacity) (Figures S1B-S1D). Even when fed approximately ten colony-forming units (CFUs), 100% of the flies were colonized by WF, making it a strong colonizer (Figures 1B and S1B). In contrast, even when fed millions of bacteria, LF and HS colonized 70% and 50% of the flies respectively, indicating that colonization can be probabilistic even at high inoculum (Figures 1B, S1C, and S1D).

### Host-to-Host Variation Does Not Explain the Weak Colonization Phenotype

To explain this large variability in colonization, we hypothesized that certain individual flies may be colonization-resistant and, thus, that they would remain germ-free on subsequent

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#### Figure 1. Colonization Is a Probabilistic Event Generating Two Stable States over Time

(A) Schematic of the capillary feeding microplate used to feed precise doses of bacteria to individual flies. Flies were maintained with sterile agarose for hydration.

(B) Colonization efficiencies 3 days after feeding germ-free Canton-S flies with *Lactobacillus planta-rum* WF (n = 113 flies), LF (n = 238 flies), or HS (n = 521 flies). Data points represent means of groups of flies from at least three independent experiments. Solid lines are fits of the lottery model to the data (STAR Methods). Error bars indicate standard error of the proportion (SEP). See also Figures S1B–S1D. (C) Probability that initial colonization was maintained for WF (n = 159 flies), LF (n = 142 flies), and HS (n = 131 flies). Germ-free Canton-S flies were fed once on day 0 with  $1.5 \times 10^6$ ,  $2.5 \times 10^6$ , or  $3.5 \times 10^6$  CFUs of WF, LF, or HS, respectively, and individual flies were sampled over 20 days. See also Figures S1K–S1P.

(D and E) Frequency distributions of the bacterial loads of WF, LF, and HS in individual flies 1–5 days after feeding (D) and during the steady state of colonization (10–20 days after feeding) (E). Mean bacterial loads in colonized flies at steady state were  $1.1 \times 10^5$ ,  $6.1 \times 10^4$ , and  $3.1 \times 10^4$  CFUs per fly for WF, LF, and HS, respectively. Error bars indicate SEP. See also Figures S1K–S1M.

inoculation. We inoculated individual flies with  $\sim 1 \times 10^6$  CFUs of HS, and we collected flies that shed no bacteria in their frass on day 3. Upon re-inoculation with the same dose, five of seven such flies were colonized, suggesting that the flies that were not infected the first time are not inherently colonization resistant (Figure S1E). We then hypothesized that betweenfly variation could be dynamic: a fly uncolonizable on a given week could be colonizable the next week. We thus reduced the time interval between inoculations to 1 day. A population of flies inoculated with two doses of bacteria with a colonization efficiency of nearly 50% per dose should be  $\sim$ 75% colonized, which is what we observed with HS (Figure S1F), strongly suggesting that low colonization efficiency is not due to host-to-host variation.

To determine whether the colonization patterns were specific to the host genetic background, we tested the three Lactobacilli in  $w^{1118}$  flies. Overall, the same colonization patterns as in Canton-S flies were apparent in  $w^{1118}$ , with WF colonizing strongly and LF and HS colonizing weakly (Figures S1H–S1J). However,  $w^{1118}$  flies were overall less colonized than Canton-S flies, which indicates a decreased per-cell probability of colonization tied to the host genetic background that is not bacterial strain specific.

#### **Colonization Is Stable Even in Weak Colonizers**

We next hypothesized that weak colonization arises from inherently unstable populations of weak colonizers [12, 13]. Thus, we measured colonization over time, expecting that flies with weak colonizer strains would steadily lose bacteria and become germ-free. We fed hundreds of flies individually a high dose of bacteria (>10<sup>6</sup> CFUs), and we measured the bac-

terial load in individuals sampled across the population over time. In contrast to our expectation, the proportion of flies colonized after 3 days was the same as after 20 days (regardless of the bacterial strain) (Figures 1C-1E and S1K-S1M), indicating that colonization is stable even in weak colonizers (LF and HS). An initial reduction in bacterial load occurred in these two weak colonizers and was highly variable among flies (Figures 1D, S1L, and S1M), suggesting that this reduction was due to stochastic fluctuations when the population size was small [14]. During the 1-5 days after feeding, colonization by LF and HS varied from  $\sim 10^1$  to  $\sim 10^4$  CFUs per fly (Figures 1D, S1L, and S1M). This variation declined by day 10, at which point the colonized flies harbored  $\sim 10^4$  CFUs (Figures 1E, S1L, and S1M). Notably, the proportion of flies that remained germfree did not vary significantly over this period (Figures 1C-1E). Thus, the variation in microbiota composition was transient and not indicative of permanently unstable colonization [12]. The drop in bacterial load of LF and HS over the first 4 days suggests a fundamental asymmetry between the inoculum population and the stable population that occupies the gut after establishment.

# Growth Rate Measurements in the Gut Suggest a Population Bottleneck

Differences in colonization efficiency could be caused by different growth rates. We measured bacterial growth rates in the fly gut by quantifying rates of plasmid loss [15], as bacteria stochastically lose plasmids due to unequal segregation during division (Figures 2A and 2B). We measured the plasmid loss of each bacterial strain in the fly gut to determine whether differences in colonization were due to differences in growth rate



Figure 2. Bacterial Growth Rates in the Fly Gut Reveal a Colonization Bottleneck

(A) Plasmids lacking segregation machinery, when grown off selective medium, are lost stochastically so that the number of cell division cycles (or bacterial generations, *g*) can be estimated from the proportion of cells in the population that have retained the plasmid.

(B) In vitro standard curves quantifying the proportions of plasmid-containing bacteria (expressing mCherry) as a function of generations. Data points represent means of triplicates ± SD. The ultrasensitive standard curve of LF limits our ability to detect growth until 50 generations have occurred. (Inset) LF grows more slowly than WF and HS in vitro.

(C–E) Estimated growth rates as a function of inoculum dose of WF (C), LF (D), or HS (E) in colonized Canton-S flies. Black points represent the mean growth rates of bacteria in colonized flies and error bars represent the SD. See also Figure 1B.

(F–H) Frequency distribution of the growth rates of WF (F), LF (G), and HS (H) in mono-colonized Canton-S flies. See also Figure S1 and Table S2.

(Figures 2C–2E). Overall, the growth rates during colonization were in the range of the rates in rich medium (approximately seven generations per day in vitro; Figure 2B). The three bacterial strains displayed similar growth rates at steady state in flies (Figures S1N–S1P), with the exception that the LF rate was lower than the WF rate (three versus five generations per day, p value < 0.0001), consistent with in vitro culture (Figure 2B, inset).

Notably, the growth rates of all strains were higher for small invading populations (see low inoculum doses in Figures 2C–2E), which indicates density-dependent growth. However, the average growth rates appeared high for large inoculum doses for the weak colonizers only (Figures 2D and 2E), and this suggests that these bacteria experience a bottleneck, which was also apparent in the time course (Figures 1C, S1L, and S1M). Bottlenecks reflect a reduced population size [7], thus requiring

more growth to reach the carrying capacity. Variation in the bottleneck size is apparent among the strains, with LF < HS < WF because more total growth is required for a small starting population to reach the carrying capacity (Figures 2C-2H and S1N-S1P).

# Each Colonizing Bacterial Cell Has an Equal and Independent Chance of Colonizing the Gut

Another factor that could explain the weak colonization phenotype is phase variation, an epigenetic, heritable trait whereby bacteria in a population show mixed phenotypes (e.g., ability to colonize). Because phase variation is heritable, cells expressing the colonization phenotype should have a higher likelihood of colonizing a subsequent fly. We tested this hypothesis by inoculating germ-free flies with a homogenate of flies successfully colonized with HS. We observed no significant increase in



Figure 3. Microscopy Defines Spatial Colonization Differences

(A) Representation of the digestive tract of *Drosophila* (after [19]).

(B) Spatial colonization of gut regions of flies colonized with *L. plantarum* WF, LF, and HS 5 days after feeding. Positively colonized flies were dissected, and gut regions were assayed for presence/ absence (top bars) and relative colonization levels (bottom bars) (error bars indicate SEP, top bars, and SEM, bottom bars). \*p < 0.05 and \*\*\*p < 0.001 by Student's t test (Bonferroni corrected).

(C–F) Representative epifluorescence microscopy images of the spatial distribution of *L. plantarum* mCherry (red). DAPI staining (blue) indicates fly cells.

(C and D) *L. plantarum* WF was concentrated and closely associated to the cardia as shown at 5 days after feeding.

(E) L. plantarum HS was present in the lumen of the midgut 1 day after feeding. Malpighian tubules showed fluorescence but no individual bacterial cells, suggesting accumulation of mCherry from lysed cells.

(F) *L. plantarum* WF showed strong crop colonization 5 days after feeding. Background fluorescence is due to many out-of-focus cells. See also Figure S2 and Table S1.

death, and shedding rates were not equivalent for any of the strains, suggesting that the three strains employ distinct strategies to achieve stable colonization, potentially though differential resistance to host immunity. The result also suggests that WF, with its notably low shedding rate and high population size, may be able to attach within the gut. We therefore

colonization efficiency (Figure S1G), indicating that heritable bacterial traits do not explain colonization differences.

#### Physicochemical Conditions of the Fly Gut Environment Do Not Explain *Lactobacillus* Colonization Differences

Low and high pH regions [16, 17], reactive oxygen species like  $H_2O_2$  [18], and anaerobic conditions, which we detected in the fly gut (Figure S2), could affect microorganism growth. We found that all isolates had similar resistance to pH, anoxia, and  $H_2O_2$  (Table S1). We observed differences in biofilm formation, but these differences did not explain differences in fly gut colonization.

# Bacteria Employ Multiple Strategies for Stable Colonization In Vivo

Because we observed similar in vivo growth rates for all three bacterial strains at steady state (Figures 2F–2H), we hypothesized that the probability of population loss is higher for weak colonizers. Individuals are lost through both death and shedding. At steady state, growth balances losses (see the STAR Methods). We measured these rates in the fly, and we found that shedding rates were higher while death rates were lower in the weaker colonizers (LF and HS) than in WF (Table S2). However, the growth, examined the spatial localization of the strains to determine the physical basis for resistance to shedding.

#### Spatial Structure of Gut Colonization Varies among Lactobacillus Strains

We dissected and imaged strain localization in positively colonized flies 5 days after feeding (Figure 3). Overall, WF ranked higher in intensity than either LF or HS throughout the gut (p value =  $9.1405 \times 10^{-5}$ ; n = 7 gut regions; z test of proportion difference: 7/7 observed versus 1/3 expected), in line with our previous results (Figure 1B). We observed significantly more colonization of the cardia by WF and LF than by HS (Figure 3B). The cardia is a gastric valve close to the mouth that regulates the passage of food from the esophagus and the storage sac (crop) into the midgut (Figure 3A). Interestingly, bacteria colonized the esophagus and cardia in a stereotypical spatial pattern (Figures 3C and 3D), suggesting that there may be specific and stable cell attachment sites. None of the three strains was always excluded from the cardia in colonized flies, suggesting that they are all competent for making this stable attachment but that the chance of attachment is lower for the weaker colonizers (Figure 3B).

In contrast to the cardia, the crop and midgut contained relatively large populations, but they were luminally colonized



#### Figure 4. Competition Drives Stability in the Fly Gut Microbiome

(A) Starvation for 16–24 hr reduced gut bacterial populations in conventionally reared flies and in WF- or HS-associated flies. Starvation also increased the between-fly variation in conventionally reared and HS-associated flies. Boxes represent the 25th and the 75th percentiles with the median; whiskers represent the range. \*\*p < 0.01 and \*\*\*p < 0.001, unpaired Welch's t test of log-transformed data (Bonferroni corrected).

(B) HS had a reduced per-cell colonization probability in conventionally reared flies (n = 313 flies; p =  $2.6 \times 10^{-6}$ ; N =  $4.9 \times 10^{5}$ ), but WF colonization did not change in conventionally reared flies (n = 37 flies). Solid lines are fits of Equation 1 to the data in conventionally reared flies.

(C) WF pre-colonization reduced subsequent colonization while HS pre-colonization had no effect on subsequent colonization. Canton-S flies were pre-colonized with WF (circles) or HS (diamonds), then inoculated with a low or a high dose of tagged WF (orange) or tagged HS (purple).

In (B and C), as a point of reference, dashed lines indicate Equation 1 fits for germ-free flies shown in Figure 1B. In (B and C), error bars indicate SEP. \*p < 0.05 and \*\*p < 0.01, z test. LOD, limit of detection.

(D) A lottery model with a bottleneck effect predicts dose-dependent colonization efficiency (Equation 1; see also the STAR Methods).

process is fundamentally different from maintenance of colonization or whether population size solely governs the stability of colonization. We hypothesized that weak colonizers are more susceptible to perturbations than strong colonizers. To perturb the stability of the microbiota, we starved gnotobiotic flies for 16-24 hr [12, 13]. Starvation significantly decreased fly bacterial loads in HS and WF flies as well as conventionally reared flies. Starvation also increased the between-fly variation in conventionally reared and HS-associated flies (p value < 0.01 for both strains), but it decreased variation in WF flies (p value = 6 ×  $10^{-8}$ ) (Figure 4A), consistent with decreased stability of smaller populations

without apparent direct attachment (Figures 3E and 3F); for example, in the midgut, the peritrophic matrix prevents bacteria from attaching to epithelial cells [20]. The lack of tissue attachment potentially makes these luminal populations less stable within the gut.

#### **Starvation Destabilizes the Gut Microbiota**

Stochastic dynamics rule when populations are below a critical threshold size [14, 21]. We wondered whether the colonization

in conventionally reared and HS-associated flies, but not in WF-associated flies. Therefore, this perturbation suggests that the variation in colonization we observed for LF and HS (Figures S1C, S1D, S1L, and S1M) may be due to an increased influence of stochastic factors when population numbers are low, but the lack of variation for WF colonization (Figures S1B and S1K) may be due to deterministic tissue attachment. The perturbation increases variation in population size, indicating destabilization.

#### Strong Colonizers Outcompete Weak Colonizers

Prior occupancy by other species is known to affect ecosystem colonization: prior occupant bacteria (residents) can exclude later arriving species (invaders), or, conversely, residents can make the habitat more suitable [22–24]. To quantify this effect, we set up dose-response experiments for WF and HS inoculated into conventionally reared flies. We observed a rightward shift in the dose-response curve for HS while WF was unaffected (Figure 4B), indicating the per-cell colonization probability decreased for HS, but not for WF. This shift suggests that competitive exclusion restricts colonization by weak colonizers.

We then asked whether the presence of one strain of *Lactoba-cillus plantarum* impacts the others. We produced gnotobiotic flies stably colonized with either WF or HS (both untagged), and then we measured the dose the other strain (tagged) needed to colonize. We observed a dominance hierarchy, with WF out-competing HS (Figure 4C). Interestingly, WF pre-colonization reduced its own secondary colonization in apparent competitive exclusion, while HS pre-colonization had no effect on secondary WF colonization (Figure 4C), consistent with our spatial occupancy results that WF occupies the fly gut in a fundamentally different way from HS (Figure 3B).

In the context of the per-cell colonization and bottleneck parameters, the influence of other strains may be to reduce the number of available sites for stable adhesion and/or to reduce the overall amount of viable habitat, decreasing the bottleneck size. These competition experiments suggest that reduction of the per-cell colonization chance through the number of adhesion sites (shifting the dose response rightward) likely plays a larger role than decreasing the bottleneck size (shifting the dose response downward). In fact, the dose response of HS fed into conventionally reared flies additionally showed an upward shift (Figure 4B), indicating an increase in habitat (possibly due to positive interactions with Acetobacter spp.) despite the loss of adhesion sites.

#### DISCUSSION

#### Fruit Flies Are Stably Associated with Their Microbiota

Recent studies suggest that *Drosophila* may not be stably colonized by their commensal bacteria [12, 13]. Here we show that many strains of different species can maintain a stable association with the fly gut under laboratory conditions.

Comparing different bacterial isolates from *Drosophila* suggests that bacteria from wild flies colonize better than bacteria from lab flies (strains with >90% maximum colonization: five of six wild fly strains; four of ten lab fly strains; p < 0.05, two-proportion *z* test; Figure S1A). Wild bacteria may utilize the fly both as a stable habitat as well as a vector to find new food sources, whereas bacteria living in a fly vial have a stable source of fly food as habitat and require the fly as a vector only during infrequent transfers to new vials. Because the food population is much larger than the gut population, evolution in the lab should favor faster growth on the fly food, which could allow weak intestinal colonizers to persist.

# There Is More Than One Way to Stably Colonize a Fruit Fly Gut

Stable population maintenance results from the balance between bacterial growth in the gut and bacterial loss from the gut. Therefore, a strain can use several strategies to stably establish and persist, such as (1) high growth rate, (2) low death rate, (3) low defecation rate, (4) high carrying capacity, or (5) a combination. While WF appears to use stable host tissue association to lower the shedding rate, it also has a high death rate. In contrast, LF has a high defecation rate but a low death rate to offset (Table S2). The three *Lactobacillus plantarum* strains use different strategies to persist in the gut (Figures S1K–S1M).

#### Population Bottlenecks Can Make Species Invasions Probabilistic

Habitats face a constant influx of new species [25], however, not every new species introduction results in an invasion. While successful invasions are often attributed to fitness and niche differences [26], unsuccessful invasions are additionally attributed to temporal and spatial environmental variation [27] and probabilistic establishment [14, 21, 28]. Thus, the success of an invasion depends on the invader, the environment, and chance.

Here we have shown that intrinsic population bottlenecks in the fruit fly gut can make invasions both dose-dependent and probabilistic and, thus, unpredictable at the individual host level. Vega and Gore [29] recently demonstrated that stochastic events drive heterogeneous assembly of a mock community of red- and green-labeled Escherichia coli in the Caenorhabditis elegans gut. Our results corroborate their intriguing findings and show that the phenomenon occurs for colonization by natural commensal bacteria in the fly gut. Additionally, we show that a combination of stochastic colonization and bottlenecks introduces hysteresis to the colonization process, whereby a colonized host may stay stably colonized without new inoculation, or, conversely, after an extinction, reintroduction may be difficult. Our results demonstrate that bacterial strain-intrinsic traits, prior presence of other strains, strain dosage, and host genetics all contribute to this hysteresis. Importantly, a similar probabilistic hysteresis could account for the observed long-term individual stability and high inter-individual variation of human gut microbiomes [4, 5].

#### A Lottery Model Describes the Dose-Response Relationship

Theories from island colonization [14] and quantitative microbial risk assessment [8] describe a probabilistic framework for understanding colonization, where each colonizing individual has an equal chance of establishing (or infecting). We modify this framework to account for bottlenecks where the probability of colonization for an individual fly fed an individual dose of *N* bacteria is

$$P(N) = 1 - (1 - p)^{K_B \frac{DN}{1 + bN^{t_{ex}}}},$$
 (Equation 1)

where *p* is the per-cell chance of colonizing,  $K_B$  is the bottleneck size, *b* is a constant that reflects binding to stable habitat and how the inoculum dose reaches this habitat, and  $t_{ex}$  is the time for the inoculum dose to pass through the fly, which can vary by bacterial strain and fly (Figure 4D) (derivation of Equation 1 is in the STAR Methods). Thus, colonization of the host gut can be limited both by exposure to colonists (determined by both the dose and residence time) as well as by fly and bacterial traits. We used fits of the model to estimate the per-cell probability of colonization (WF 0.16, LF 0.019, and HS 6 × 10<sup>-5</sup>; Figure 1B).

# The Gut Metapopulation May Be Stabilized by Varying Regional Critical Density

In a stochastic Allee effect, populations below a critical density have uncertain survival outcomes [14, 21]. In the case of the fly gut for LF and HS, a bottleneck reduces the colonizing population below the critical density, thereby ensuring that survival outcomes are always probabilistic regardless of the inoculum size. However, our findings are consistent with different subregions of the fly gut having different critical densities (e.g., stable cardia colonization may be more likely than midgut lumen colonization, which can be shed more easily despite being a larger habitat). We hypothesize that once the cardia is colonized, it can serve as an inoculum source for future colonization of downstream gut regions (see derivation in the STAR Methods, Lumen Population Stability). In this regard, the fly gut, small as it is, may be best conceptualized as a metapopulation comprising many different subregions (Figures 3A and 3B).

#### Niche and Neutral Processes Influence Colonization

Both stochastic (neutral) and deterministic (niche) processes shape species diversity in macroecosystems [30, 31]. Stochastic gut colonization is consistent with neutral processes driving random species assemblage, but the distinct spatial colonization patterns observed, such as WF cardia colonization (Figures 3C and 3D), suggest niche processes at work as well. Here we see that stably colonizing a distinct spatial region of the gut is still a stochastic process, making niche and neutral processes interdependent.

We expected that strain-specific niche differences would arise from biochemical traits affecting growth rate; however, we found little evidence for differences in strain physiology (Table S1). Instead, our results suggest that the ability of WF to stably attach to host tissue near the anterior end of the gut may overcome stochastic population dynamics and make colonization deterministic even at extremely low inoculum (Figure 1B). Thus, as in macroecology, niche processes can overcome neutrality, particularly at local scales [32].

#### **Implications for Health**

Changing the composition of the human gut in a precise way has proved difficult in practice. Fecal transplants, whereby an entirely new community of bacteria is introduced to a gut, are effective but imprecise. Introducing specific, engineered probiotic bacteria to the human gut has the potential to revolutionize therapeutics, but establishing these strains requires precision understanding of the factors that govern commensal colonization. The present study shows how stochastic colonization dynamics and interactions with past colonizers influence colonization. The results suggest that colonization can be improved with higher doses over longer time periods and perturbations to the resident gut community.

#### **STAR**\***METHODS**

Detailed methods are provided in the online version of this paper and include the following:

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#### SUPPLEMENTAL INFORMATION

Supplemental Information includes two figures and three tables and can be found with this article online at http://dx.doi.org/10.1016/j.cub.2017.05.034.

#### **AUTHOR CONTRIBUTIONS**

Conceptualization, B.O. and W.B.L.; Methodology, B.O. and W.B.L.; Investigation, B.O., Z.T.G., V.Z., and J.A.C.-N.; Formal Analysis, B.O. and W.B.L.; Writing – Original Draft, B.O., Z.T.G., J.A.C.-N., E.L.B., W.W.J., and W.B.L.; Writing – Review & Editing, B.O. and W.B.L.; Visualization, B.O. and W.B.L.; Funding Acquisition, W.B.L.; Resources, W.W.J. and W.B.L.; Supervision, W.B.L.

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### **STAR**\***METHODS**

#### **KEY RESOURCES TABLE**

Backerial of Virus Strains         SUDRCE         IDENTIFIER           Descring and Virus Strains         Excelosation plantarum (WF) wild fly         This paper         N/A           Constraints of Virus Strains         NA         Strains         NA           Excelosation plantarum (F) bit afty (Canton-S)         This paper         N/A         Strains           Excelosation plantarum (FL) bit afty (Canton-S)         This paper         N/A         Strains           Lactobacillus plantarum (VL) lab fly         This paper         N/A         Strains           (WCSF1)         Lactobacillus plantarum (VLL) lab fly         [33]         N/A         Strains           (D. melanogaster) isolate         This paper         N/A         Strains         Strains           Lactobacillus brevis wild fly (D. immigrans) isolate         This paper         N/A         Strains           Lactobacillus brevis wild fly (D. melanogaster)         This paper         N/A         Strains           Lactobacillus brevis wild fly (D. melanogaster)         This paper         N/A         Strains           Lactobacillus brevis wild fly (D. melanogaster)         This paper         N/A         Strains           Lactobacillus brevis wild fly (D. melanogaster)         This paper         N/A         Strains           Lactobacillus brevis wild f		2011205	
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Lactobacillus plantarum (LF) lab fly (Canton-S)         This paper         N/A           Isolate         Lactobacillus plantarum (HS) human saliva isolate         National Collection of Industrial and Marine Bacteria (NCIMB)         NCIMB: 8826; ATCC: BAA-793           Lactobacillus plantarum (MJL) lab fly         This paper         N/A           (D. melanogaster) isolate         This paper         N/A           Lactobacillus plantarum (WLL) lab fly         [33]         N/A           Lactobacillus plantarum (WLL) lab fly         [33]         N/A           Lactobacillus previs tab fly (Oregon-R) isolate         This paper         N/A           Lactobacillus brevis wild fly (D. melanogaster)         This paper         N/A           Isolate         This paper         N/A           Lactobacillus brevis wild fly (D. melanogaster)         This paper         N/A           Isolate         This paper         N/A           Lactobacillus fuelom wild fly (D. melanogaster)         This paper         N/A           Solate         This paper         N/A         Acetobacter crevisiae wild fly (D. melanogaster)           Low melanogaster) isolate         This paper         N/A         Acetobacter crevisiae wild fly (D. melanogaster)           Low melanogaster) isolate         This paper         N/A         Acetobacter criotinongensis wild fly (D. me	Lactobacilius plantarum (WF) wild fly (D. melanogaster) isolate	I his paper	N/A
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	Paraformaldehyde aqueous solution	Electron Microscopy Sciences	Cat# 15710; CAS: 50-00-0
Triton X-100 Fisher Scientific Cat# BP151-500; CAS: 9002-93-1	Triton X-100	Fisher Scientific	Cat# BP151-500; CAS: 9002-93-1
Glycerol Fisher Scientific Cat# G33-500; CAS: 56-81-5	Glycerol	Fisher Scientific	Cat# G33-500; CAS: 56-81-5
MRS broth Criterion Cat# C5932	MRS broth	Criterion	Cat# C5932
APT broth BD Cat# 265510	APT broth	BD	Cat# 265510
Chloramphenicol TCI Chemicals Cat# C2255; CAS: 56-75-7	Chloramphenicol	TCI Chemicals	Cat# C2255; CAS: 56-75-7

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REAGENT or RESOURCE	SOURCE	IDENTIFIER
Tetracyline hydrochloride	IBI Scientific	Cat# IB02200; CAS: 64-75-5
Crystal violet	Acros Organics	Cat# 447570500; CAS: 548-62-9
Hydrogen peroxide solution (H <sub>2</sub> O <sub>2</sub> )	Fisher Scientific	Cat# H325; CAS: 7722-84-1
Acetic acid, glacial	Fisher Scientific	Cat# A38S-212; CAS: 64-19-7
Experimental Models: Organisms/Strains		
Drosophila melanogaster Canton-S strain: wild type	Bloomington Drosophila Stock Center (BDSC)	BDSC: 64349; FlyBase: FBst0064349
<i>Drosophila melanogaster w<sup>1118</sup></i> strain: w[1118];;	Bloomington Drosophila Stock Center (BDSC)	BDSC: 5905; FlyBase: FBst0005905
Oligonucleotides		
Full list of primers is presented in Table S3		
Recombinant DNA		
pCD256-mCherry	[35]	N/A
pCD256NS-mCherry	This paper	N/A
Other		
Pellet pestle cordless motor (tissue grinder)	Sigma-Aldrich	Cat# Z359971-1EA
Pestle (autoclavable)	VWR	Cat# 47747-358
Glass beads, 0.5mm diameter	BioSpec	Cat# 11079105
96-well tissue culture microplate, clear flat bottom, sterile	Falcon	Cat# 353072
96-well PCR plate	Thermo Fisher Scientific	Cat# AB0900
Silicone tips (autoclavable)	Drummond Scientific	Cat# 1-000-9003
Borosilicate glass capillaries (autoclavable)	World Precision Instruments	Cat# 1B100-4
Thermal bond plate sealing	4titude	Cat# 4ti-0591
96-pin microplate replicator	Boekel Scientific	Cat# 140500

#### CONTACT FOR REAGENT AND RESOURCE SHARING

Further information and requests for reagents may be directed to, and will be fulfilled by, the corresponding author William B. Ludington (will.ludington@berkeley.edu).

#### **EXPERIMENTAL MODEL AND SUBJECT DETAILS**

#### **Fly Stocks and Husbandry**

Drosophila melanogaster Canton-S (BL64349) and  $w^{1118}$  (BL5905) strains were obtained from the Bloomington Drosophila Stock Center. Flies were reared and maintained at 25°C with 60% humidity and 12 hr light/dark cycles on a sterile glucose–yeast medium (10% glucose, 5% active dry yeast, 1.2% agar, 0.42% propionic acid). Stocks were cured from *Wolbachia* by raising flies for five generations on yeast-glucose medium containing 50  $\mu$ g/mL tetracycline as previously described [36], and they were continuously monitored for *Wolbachia* by PCR. Germ-free flies were generated by sterilizing dechorionated embryos. Mated female flies were left to oviposit for up to 8 hr on grape juice–yeast medium (20% organic grape juice, 10% active dry yeast, 5% glucose, 3% agar), embryos were then harvested and successively transferred twice in 0.6% sodium hypochlorite for 2.5 min each, once in 70% ethanol for 30 s, and three times in sterile water for 10 s each. Germ-free stocks were kept for several generations and flies were regularly checked for the presence of yeasts, bacteria, and known viruses either by PCR (see below) or by plating undiluted homogenized flies onto MRS and YPD media. All manipulations of flies were performed in a laminar flow cabinet using aseptic technique. For inoculation experiments, at least three vials of ~100 flies of mixed gender were mixed to minimize any effects of an individual fly vial. Mated 5 to 7 day old females were used in all experiments unless otherwise noted. Flies that died before the time of measurement were excluded from analyses.

#### **Bacterial Strains and Culturing Conditions**

We isolated Lactobacillus plantarum WF from a single female Drosophila melanogaster caught in the Mission District of San Francisco, California, USA, in September 2015. We isolated Lactobacillus plantarum LF from our Canton-S lab flies. Lactobacillus

*plantarum* HS (strain WCFS1) was isolated from human saliva [37]. All strains were stored at  $-80^{\circ}$ C in MRS broth containing 20% glycerol. The plasmid pCD256-mCherry was kindly provided by Stefan Heinl and Reingard Grabherr from the University of Natural Resources and Life Sciences (BOKU) in Vienna, Austria. This plasmid was slightly modified by incorporating two restriction sites (Nhel and Spel), generating pCD256NS-mCherry, which facilitate the high-yield production of pCD256-mCherry- $\Delta$ Ec, which does not contain the origin of replication and the selection marker for *Escherichia coli*. *Lactobacillus plantarum* strains WF, LF, and HS were electroporated with pCD256-mCherry- $\Delta$ Ec (low copy) as described previously [35]. Transformed bacteria were grown with MRS media containing 10  $\mu$ g/mL chloramphenicol unless otherwise stated. To prepare bacteria for feeding experiments, strains were streaked onto MRS-agar and incubated at 30°C for up to 48 hr. Single colonies were used to inoculate MRS broth and incubated at 30°C for 16 hr at 200 rpm. Bacteria were washed and suspended in STE buffer (6.7% sucrose, 50 mM Tris pH8, 1 mM EDTA) after centrifugation at 4°C for 10 min at 3,000 rpm to remove antibiotics. To estimate the concentration of bacteria to be fed, we followed the equivalence O.D.<sub>660</sub> = 1.8 × 10<sup>8</sup> CFUs/mL (for WF and LF) or 5 × 10<sup>8</sup> CFUs/mL (for HS). Precise concentrations of bacteria fed were calculated a posteriori by plating and counting CFUs on MRS-agar plates.

#### **METHOD DETAILS**

#### **Probability of Colonization of Fly Bacteria**

For the initial survey of common bacterial strains (Figure S1A), groups of 12 germ-free Canton-S female flies per vial were fed for 8 hr a low or a high dose of bacterial suspension (50  $\mu$ L) that was spread directly onto sterile, solid food. After feeding, flies were transferred daily to fresh, sterile medium for 3 subsequent days before colonization was assayed. Single flies were surface-sterilized by washing them in six successive baths of 70% ethanol and rinsing them in six successive baths of sterile PBS, and then homogenized by bead beating for 1 min in a sealed 96-well PCR plate, each well containing 75  $\mu$ L 0.5-mm sterile glass beads and 100  $\mu$ L sterile PBS. The presence/absence of bacteria was monitored by pinning the 96 individual wells using a 96-pin microplate replicator. The inoculum density was determined by plating onto MRS-agar.

#### **Capillary Feeder Assays**

The capillary feeder (CAFE) assay was previously described [9] and was adapted for a higher throughput. Briefly, each well of a sterile 96-well tissue culture microplate was filled with 50  $\mu$ L 1.2% sterile agarose. A 96-well PCR plate, cut at ~5 mm from the bottom to open individual wells, was paired and sealed to the tissue culture plate with parafilm. Flies were CO<sub>2</sub>-anesthetized and carefully placed individually into wells before individual sterile silicone gaskets were used to seal each well. The gaskets were used to hold autoclaved borosilicate glass capillaries, which were filled with 1.5  $\mu$ L of bacterial suspension (in 10% (w/v) sucrose plus 0.1% (w/v) blue food coloring) forced down by 12  $\mu$ L mineral oil mix (mineral oil:dodecane 3:1 (v/v)) and gravity. Flies were starved overnight (12 h) in fly vials containing 1.2% sterile agarose prior to be placed in CAFE plates.

#### **Bacterial Load of Individual Flies**

Flies were individually surface-sterilized by washing them in six successive baths of 70% ethanol and rinsing them in six successive baths of sterile PBS. Single flies were homogenized in sterile 1.5-mL micro-centrifuge tubes containing sterile PBS using a motorized pestle pellet. The supernatants were serially diluted in sterile PBS, plated onto MRS-agar medium, and bacteria were allowed to grow at 30°C for up to 48 hr before counting single colonies (using fluorescent illumination to determine the presence or absence of pCD256-mCherry- $\Delta$ Ec).

#### **Calculation of Growth, Shedding, and Death Rates**

Groups of flies stably mono-associated with their respective bacterial strain (*Lactobacillus plantarum* WF, LF, or HS) were transferred from their rearing vials into sterile vials (6 replicate vials of 12 flies per vial for each bacterial strain). After 24 hr, flies were removed and their frass, deposited on the sidewalls and food of the vials, was collected using 1 mL of sterile PBS and a sterile cotton swab. Dilutions of the collected frass were plated onto MRS-agar medium, and bacteria were allowed to grow at 30°C for up to 48 hr before CFUs were counted. To model the bacterial population dynamics, we assumed a minimal model,  $\dot{N} = gN - sN - dN$ , where  $\dot{N}$  is the population change rate, N is the population size, g is the population growth rate constant, s is the population shedding rate constant, and d is the population death rate constant. At steady state, the population size is  $N^*$ , and  $gN^* - sN^* - dN^* = 0$ , so that growth balances shedding and death. Thus, g = s + d at steady state.

We then calculated *s* by the number of shed CFUs per fly divided by the steady state bacterial population size,  $N^*$ , which we measured by crushing and plating the flies in the experiment. Using *s* and *g* measured in vivo (Figures S1N–S1P), we calculated the death rate (*d*) as the resultant of the growth rate minus the shedding rate.

#### **Imaging and Quantification of Spatial Colonization**

Fly guts were dissected in PBS and fixed for 2 hr at room temperature in 4% paraformaldehyde. Whole guts were rinsed three times in PBST (PBS/0.1% Triton X-100), and DAPI dilactate (1 µg/mL) was added for 5 min to the final wash. Guts were then equilibrated for 30 min in PBS containing 50% glycerol before being mounted in 80% glycerol with 4% (w/v) propyl gallate, and imaged with a Zeiss Axio Imager 2 epifluorescence microscope. Compartmentalization of the anterior, middle, and posterior midgut followed the regions R1-R2, R3, and R4-R5 respectively, as previously described [38]. Intensity of colonization was assessed by visually estimating the

quantity of bacteria in each compartment on a scale from 0 to 3, with 0 = no bacteria, 1 = 10 bacteria, 2 = between 10 and 100 bacteria, and 3 = more than 100 bacteria.

#### **Oxygen Measurements**

Individual flies were individually surface-sterilized by immersion in 70% ethanol, followed by a wash in sterile PBS. Flies were dissected in PBS where the whole gut was removed, stretched out, and embedded into 1% low melting point agarose in insect Ringer's solution (111 mM NaCl, 3.3 mM KCl, 4.5 mM CaCl<sub>2</sub>, 2.8 mM Na<sub>2</sub>CO<sub>3</sub>). Oxygen levels were recorded within 10 min using Clark-type oxygen microelectrodes (OX-25; Unisense, Aarhus N, Denmark) as described previously [39]. Microelectrodes were positioned using a motorized micromanipulator (MXU2; PyroScience, Aachen, Germany). Measurements were performed radially starting at the surface of the gut wall (0  $\mu$ m) through the fly gut until the tip completely penetrated the whole tissue. The progress of the tip was followed with a digital microscope connected to a computer.

#### Hydrogen Peroxide Toxicity Assays

*Lactobacillus plantarum* strains WF, LF, and HS were subject to different concentrations of  $H_2O_2$  during growth in 96-well plates. Overnight cultures were diluted to O.D.<sub>600</sub> of 0.05 in APT broth.  $H_2O_2$  was added at the beginning of growth at the following final concentrations of 0 mM, 0.34 mM, 0.68 mM, 1.37 mM, 2.75 mM, 5.5 mM, 11 mM, or 88 mM. Turbidity was monitored every 15 min for 24 hr on a microplate reader.

#### **Anaerobic Growth Assays**

*Lactobacillus plantarum* strains WF, LF, and HS were inoculated from fresh plates in 10 mL MRS broth in test tubes and incubated overnight in an anaerobic chamber. Overnight cultures were diluted to  $O.D_{.600}$  of 0.05 in anaerobic MRS broth. Turbidity was monitored in the anaerobic chamber during 6 hr after inoculation, and doubling times were calculated, which were equivalent for the 3 strains (1.1 h<sup>-1</sup>).

#### **Biofilm Assays**

Lactobacillus plantarum strains WF, LF, and HS biofilm formation was examined in 96-well plates. Overnight cultures were diluted to  $O.D_{600}$  of 0.05 in 200  $\mu$ L MRS broth per well. 96-well plates were incubated statically at room temperature for 24 hr, 48 hr, and 72 hr. Surface attachment was quantified by the Crystal violet staining method. Briefly, after the specified times, culture supernatants were discarded and wells were washed 3 times with 250  $\mu$ L of PBS to remove unattached bacteria. To stain surface-attached bacteria, 200  $\mu$ L of 0.1% Crystal violet solution was added to each well. After 30 min incubation in the dark, the solution was removed and wells were washed by submerging plates in water. Stained bacteria were solubilized with 200  $\mu$ L of 33% acetic acid solution and absorbance was determined at O.D.<sub>595</sub>.

#### **Nucleic Acids Amplification and Sequencing**

Germ-free flies were tested for the presence of microorganisms (bacteria including Wolbachia, yeast/fungi) by PCR and common RNA viruses (*Drosophila* A, C, and X viruses, Sigma virus) by RT-PCR. The mitochondrial Cytochrome *c* oxydase subunit II gene (mt:Coll, CG34069) was sequenced to identify wild caught *Drosophila*. The 16S rDNA region of bacteria was sequenced to identify all strains. See Table S3 for the list of oligonucleotides.

#### Replication

Fly experiments involving colonization by CFU counts were performed on at least three different days, and the data were aggregated. On each day, at least 10 flies were assayed for each treatment (Figures 1, 2, 4, and S1).

Fly experiments involving microscopy were performed on flies collected from at least 3 separate days. At least 11 flies total per bacterial strain were surveyed for spatial colonization (Figure 3).

Experiments on in vitro bacterial growth were performed on two separate days with at least 3 replicates per bacterial strain per treatment (Figures 2B and Table S1).

Experiments to quantify oxygen gradients in the fly gut were performed on one day with five replicate guts. The crop was measured for each gut. The anterior midgut was measured for four guts. The posterior midgut was measured for three guts. The hindgut was measured for the same three guts (Figure S2).

#### Strategy for Randomization and/or Stratification

Strains were assayed in different orders on different days.

#### Blinding

No blinding was undertaken.

Sample Size Estimation and Statistical Method of Computation Sample size was directly measured.

#### **Exclusion Criteria of Data**

Two fly colonization experiments were excluded. One was excluded because MRS plates were contaminated with an unidentified yeast. The other was excluded because colony growth was severely impeded due to caramelization of the glucose present in the media during autoclave.

#### **QUANTIFICATION AND STATISTICAL ANALYSIS**

#### Sample Sizes

Sample sizes for all experiments can be found in the accompanying results sections and Figure legends.

#### **Tests of Normality**

Where t tests were used, we ensured that the number of observations for each sample was greater than 10 (because given this sufficiently large sample size, t tests are robust to non-normality, due to the Central Limit Theorem). Where *z* tests were used, we checked that the samples met the criterion of normality by checking that  $np_0 \ge 10$  and  $n(1 - p_0) \ge 10$ , where *n* is the sample size and  $p_0$  is the population proportion.

#### **Colonization Model Derivation**

The purposes of this Model Derivation sub-section are (i) to derive Equation 1 presented in the main text and (ii) to support the conclusions of the paper with explicit models regarding the colonization bottleneck and the lumen population stability. Overall, the model describes how an input dose of bacteria leads to colonization. We break the colonization process into three parts, (i) the input inoculum dose and its decay, (ii) the spatial habitat that defines the bottleneck population, and (iii) the stable colonization.

The assumptions for the model come both from the empirical data presented in the main text and from basic theory that has been applied in the fields of ecology and microbiomes (Lotka-Volterra [40]), infectious disease (lottery model [8]), as well as chemistry (Langmuir binding kinetics [41]). Empirically, we have determined that permanent colonization is determined by probabilistic association with distinct spatial habitat and a colonization bottleneck (Figures 1, 2, and 3). In this model, we propose a link between the colonization bottleneck and limiting physical space in the distinct spatial habitat (Figure 3). We propose that permanent physical association with host tissue is a two-step process, where the first step is the reversible binding to the bottleneck habitat changes the overall chances of stable attachment. We use ordinary differential equations to model the subpopulations in the gut as a metapopulation. Next, we calculate the bottleneck sizes for the three *Lactobacillus plantarum* strains. Finally, we consider the lumen subpopulation within the gut and show that stochastic losses from the lumen can be offset by immigration from the stably colonized habitat.

#### The Input Inoculum Dose and Its Decay

We want to calculate the number of bacteria that have a chance of colonizing the fly based on the input dose. The inoculum enters the proximal gut and passes into various distal regions, from which cells are excreted. In the simplest case, we model the changes in inoculum population in the proximal gut due to decay,

$$\dot{N} = -d_1 N, \tag{2}$$

for  $N \ge 0$ , where N is the inoculum population,  $d_1$  is the decay constant. Integrating Equation 2, we have

$$N(t) = N_0 e^{-d_1 t}$$
, (3)

and the total dose experienced by the fly is,

$$\int_{0}^{t} N(t) dt = \frac{N_{0}}{d_{1}}.$$
(4)

We call the extinction time  $t_{ex}$ , the total time that the inoculum population is above a threshold population size,  $N_{min}$ , which is

$$t_{ex} = \frac{1}{-d_1} \ln\left(\frac{N_{min}}{N_0}\right).$$
(5)

#### Additional Considerations for the Inoculum

We present a minimal model here in order to capture the basic behavior of the system. In the derivation, we additionally considered several additional factors, including (i) shedding due to flow through the gut, (ii) the exchange of bacteria the inoculum population and the bottleneck population, and (iii) additional subpopulations, such as the crop, a side compartment of the gut that stores food for later passage into the proximal gut. While we these are all legitimate factors that impact colonization, we found that the minimal model

presented here exhibits the complete range of behaviors that these additional factors emphasize. Thus, for clarity, we do not explicitly model the additional factors.

#### Spatial Habitat and the Population Bottleneck

A back of the envelope calculation suggests that the fly lumen, with a diameter of roughly 200  $\mu$ m and a length of roughly 10,000  $\mu$ m could hold on the order of 100 million bacterial cells. The crop, with a diameter of 1,000  $\mu$ m could hold on the order of 1 billion bacterial cells. However, the restricted habitat of the bottleneck drastically reduces the effective dose of bacteria that have a chance of colonizing the gut.

Physically, the bottleneck is likely located at several host tissue patches. We observed bacterial cells stably associated with host tissue (*i.e.*, not easily washed out) primarily in a region of the proximal gut known as the cardia, just distal to the connection of the crop with the esophagus. We suggest that bacteria which transiently bind this region of the gut can probabilistically become stable colonizers.

Conceptually, we think of this bottleneck as a separate subpopulation of bacteria that exchanges with the inoculum population in the proximal gut. Stable colonization can occur only by cells in this bottleneck population. Based on microscopy data (Figure 3), we propose that there is a physical limitation on how many cells can fit in the bottleneck. There are also rate-limiting steps of cell adhesion to the bottleneck, which could limit the number of inoculum cells that reach the bottleneck. Basing the derivation on standard Langmuir binding of free particles to open sites on a solid surface, we model the rate of change in the bottleneck population as

$$\dot{B} = k_1 \frac{N(t)}{N_{max}} (K_B - B) - k_2 B, \tag{6}$$

where  $N_{max}$  is the maximum inoculum population,  $K_B$  is the maximum occupancy of the bottleneck site,  $k_1$  is the binding rate of free bacteria to open sites in the bottleneck habitat, and  $k_2$  is the loss from the bottleneck site modeled as a decay rate times the number of bacteria in the bottleneck site, B.  $(N(t)/N_{max})$  is thus the relative occupancy of the gut.  $K_B - B$  is the number of available sites. The fraction of bacteria that are near the gut wall, which is proportional to the surface area to volume ratio (*i.e.*,  $\propto (1/r)$ ), is a constant fraction for a given host genetic background, and we lump this constant into the binding constant,  $k_1$ . Solving for the steady-state bottleneck population,

$$B^* = K_B \frac{K_{eq} \frac{N(t)}{N_{max}}}{1 + K_{eq} \frac{N(t)}{N_{max}}},$$
(7)

which is in accord with Langmuir binding kinetics, with  $K_{eq} = k_1/k_2$ . Substituting Equation 3 for N(t), we have

$$B^{*} = K_{B} \frac{K_{eq} \frac{N_{0} e^{-d_{1}t}}{N_{max}}}{1 + K_{eq} \frac{N_{0} e^{-d_{1}t}}{N_{max}}}$$
(8)

Because we ultimately want to know how many chances of colonization there are for a given dose of bacteria, we integrate the bottleneck population over time. If we assume that the bottleneck population equilibrates faster with the inoculum than the inoculum population decays, we can integrate the steady-state bottleneck (Equation 7) on the interval  $[0, t_{ex}]$  to find the total chances of colonization for a given inoculation dose,

$$\int_{0}^{t_{ex}} (B^*) dt = B_T = K_B \left( t - \frac{\ln\left(\frac{K_{eq}N_0}{N_{max}} + e^{-d_1 t}\right)}{d_1} \right) + K_B \left( \frac{\ln\left(\frac{K_{eq}N_0}{N_{max}} + 1\right)}{d_1} \right),$$
(9)

which quickly approaches its limit of  $K_B t_{ex}$  as  $N_0$  increases, where  $t_{ex}$  is the characteristic time for the inoculum population to decay below a threshold.

This result tells us two things. First, the bottleneck constraints the chances of colonization so that increases in dose do not increase the chances of colonization. Second, the chances of colonization can be increased by increasing the time that a given dose stays in the gut (by increasing the characteristic decay time  $(1/d_1)$ ). For example, this time could be extended by storing the dose in the crop and slowly passing it into the distal gut. Consistent with this hypothesis, we observed higher crop occupancy in the stronger colonizer bacteria than in the weak colonizers (Figure 3).

For simplicity, we can approximate the total chances of colonization as

$$B_T = (B^*)t_{ex}.$$
(10)

Simplifying further, we ignore the inoculum dynamics and approximate the inoculum population to be a fraction, a, of the dose  $N_0$ , which lets us write out

$$B_{T} = K_{B} \frac{K_{eq} \frac{aN_{0}}{N_{max}}}{1 + K_{eq} \frac{aN_{0}}{N_{max}}} t_{ex}.$$
(11)

We then define  $b = K_{eq}(a/N_{max})$  and rewrite

$$B_T = K_B \frac{bN_0}{1 + bN_0} t_{ex}.$$
(12)

#### This simple form gives the complete range of behaviors of the more explicit form of Equation 9.

#### Stable Colonization of the Gut: A Lottery Model Describes the Dose-Response

Theory from island colonization and quantitative microbial risk assessment describes a framework for describing dose-dependent colonization [8]. The key feature we add to this established framework is an expression that accounts for bottlenecks, which limit the effective dose of cells.

We model stable colonization based on the lottery model from Quantitative Microbial Risk Assessment theory. This model for the chance of becoming ill with a pathogen postulates that each cell has an equal chance of infecting, thus the overall chance that a person becomes infected, P(n), is related to the number of cells ingested, n, and the per-cell probability of infecting, p, by the "lottery equation,"

$$P(n) = 1 - (1 - p)^n, \tag{13}$$

which is based on the binomial probability mass function,

$$F(k;n,p) = \Pr(X=k) = \binom{n}{k} p^k (1-p)^{n-k},$$
(14)

where *k* is the number of bacteria that must establish in order for the fly gut to be colonized. By setting *k* to 0, we determine the chance that the fly is not colonized by a given inoculation.

The per-cell colonization probability, p, could depend on many factors such as growth rate, death rate, and colonization factors. To relate p to bacterial functional traits, we turned to macroecology theory of small populations where stochastic factors dominate dynamics. Richter-Dyn and Goel [14] used a stochastic differential equation approach to derive the probability that a population starting at size n (0 < n < K) reaches K, the carrying capacity, before going extinct:

$$P(K,n) = \frac{1 - (\mu/\lambda)^n}{1 - (\mu/\lambda)^K},$$
(15)

where  $\lambda$  is the probability that an individual is added to the population and  $\mu$  the probability that an individual is lost. If we assume  $K \gg \lambda/\mu$ , the denominator approaches one [42]. Simplifying, the probability that a given dose, *n*, leads to stable colonization is

$$P(n) = 1 - \left(\frac{\mu}{\lambda}\right)^n,\tag{16}$$

given that the carrying capacity is large and  $\lambda > \mu$ . By inspection of Equations 2 and 4, we can see that 1 - p, the per cell chance that colonization does not occur, is equivalent to  $(\mu/\lambda)$ . Thus, colonization becomes more likely as the chance of growth exceeds the chance of death and the larger the bottleneck population, which is intuitive. This expression is similar to the chance of epidemic outbreak,  $1 - R_0$ , where  $R_0$  is the ratio of the probabilities of death and transmission for an infection in an individual host. The bottleneck sets an upper limit on the chance of colonization and therefore impacts transmission dynamics.

#### Impact of the Bottleneck on the Chance of Colonization

Our primary goal in modeling the bacterial population dynamics in the gut is to determine the probability that a given inoculation produces a stably colonized fly. Using the lottery model, the two key factors that determine colonization are the per-cell chance of colonization, p, and the input dose of bacteria,  $N_0$ . The bottleneck population limits the chances of colonization by reducing the effective dose. Thus, combining Equations 12 and 13, we have

$$P(N_0) = 1 - (1 - p)^{K_B \frac{DN_0}{1 + bN_0} t_{ex}}.$$
(17)

Thus, when  $N_0$  is large, the per-fly chance of colonization depends on the bottleneck size, the extinction time, and the per-cell chance of colonization. When  $N_0$  is small, the per-fly chances of colonization additionally depend on the equilibrium binding constant with the bottleneck.

#### Lumen Population Stability is Increased by Stable Cardia Colonization

The largest population we observed was in the lumen. When comparing lumen populations between bacterial strains, there was little variation in colonized flies (Figure 3). The major difference in lumen populations was presence/absence: lumens tend to be either well-colonized or completely uncolonized. Other papers have investigated similar model gut populations that can be transiently colonized by proposing either stochastic colonization or stochastic loss of individuals. We do not propose a new model here but instead add

colonization to a general form of existing models, which is that stochastic population loss results in extinction. Here, we consider the lumen and proximal gut as a connected metapopulation, with the proximal gut supplying colonists to the lumen. We show that stable colonization in the proximal gut can maintain large populations in the lumen despite transient extinction. We use a simple Lotka-Volterra equation with a generic stochastic loss function  $\xi$ , which could for instance reflect shedding or immune activity, to model the lumen bacterial population. We additionally take into account seeding from the inoculum and the stable colonizer population to model the rate of lumen population change as

$$\dot{L} = (\lambda - \mu)L\left(1 - \frac{L}{K_L}\right) + s_1N + k_1C - \xi,$$
(18)

where *L* is the bacterial population in the lumen,  $\lambda$  is the probability of population increase,  $\mu$  is the probability of population decrease, *K*<sub>L</sub> is the maximum sustainable lumen population (a.k.a. carrying capacity), s<sub>1</sub>N is immigration from the inoculum population, *k*<sub>1</sub>C is immigration from the stable colonizer population. At steady state for the lumen population, once the inoculum population has dissipated (*N*=0), we have

$$0 = (\lambda - \mu)L^* \left(1 - \frac{L^*}{K_L}\right) + k_1 C - \xi.$$
 (19)

Solving for  $L^*$ , the lumen population size is

$$L^{*} = \frac{K_{L}}{2} \pm \frac{K_{L}}{2} \sqrt{1 - \frac{4(\xi - k_{1}C)}{K_{L}(\lambda - \mu)}}.$$
(20)

So,  $L^*$  has real roots when  $(4(\xi - k_1C)/K_L(\lambda - \mu)) < 1$ , meaning that  $\xi < k_1C$ , i.e., colonization must outweigh losses due to noise, which is intuitive.

Thus, stable colonization of the proximal gut can stabilize unstable lumen populations through metapopulation dynamics. Recolonization from the stable colonists, *C*, would serve to replenish the lumen population after a stochastic depletion event.