UC Irvine

UC Irvine Electronic Theses and Dissertations

Title

Dual Catalytic Systems with Gold(I)

Permalink

https://escholarship.org/uc/item/1304h8z0

Author

Johnson, Joel

Publication Date

2015

Peer reviewed|Thesis/dissertation

UNIVERSITY OF CALIFORNIA, IRVINE

Dual Catalytic Systems with Gold(I)

THESIS

submitted in partial satisfaction of the requirements for the degree of

MASTER OF SCIENCE

in Chemistry

by

Joel Johnson

Thesis Committee: Professor Chris D. Vanderwal, Chair Professor Suzanne A. Blum Professor Vy M. Dong

Table Of Contents

	Page
LIST OF FIGURES	iii
LIST OF TABLES	iv
ACKNOWLEDGMENTS	V
ABSTRACT OF THE THESIS	vi
INTRODUCTION	1
CHAPTER 1: Functional Group Tolerance and Silver Effect of Gold/Palladium Catalyzed	
Synthesis of 4-allylisocoumarins Introduction	2
Results and Discussion	2
References	3 5 5
Experimental	5
References for Experimental Section	12
CHAPTER 2: Mechanistic Studies of The Alkoxyboration Reaction	
Introduction	13
Results and Discussion	13
References	17
Experimental	18
References for Experimental Section	22
APPENDIX A: NMR SPECTRA	23

List Of Figures

	Page
Figure 0.1 Gold(I) catalyzed nucleophilic addition	1
Figure 1.1 Work from Blum et. al.	2
Figure 1.2 Plausible mechanism of the reaction	2
Figure 1.3 Down stream functionalization reaction	4
Figure 2.1 The proposed mechanism from the seminal publication	13
Figure 2.2A. Rate dependence on IPrAuTFA concentration	14
Figure 2.2B. Rate dependence on NaTFA concentration	14
Figure 2.2C. The change in product concentration over time using 10 mol %	
IPrAuTFA and 30 mol % NaTFA at 70 °C	14
Figure 2.3. NaCl free synthesis of boric ester	16
Figure 2.4. Change in product concentration over time with and without NaCl	16
Figure 2.5. The proposed mechanism based on the data obtained	17

List Of Tables

	Page
Table 1.1 Gold and palladium dual-catalyzed synthesis of lactones 2a–2e	3
Table 1.2. Effect of silver on the reaction	5
Table 2.1. Observed rate constants shown for comparison	15
Table 2.2. The activation parameters from the Eyring analysis	17

Acknowledgments

There have been a number of people who have influenced my career choices and my decision to become a research scientist and I believe it is worth giving thanks to them here.

Prof. Peter Beak, my organic chemistry I co-professor. Because of you, I adopted the mindset of thinking of reactions in terms of their mechanisms instead of the reagents needed to facilitate the reaction. I honestly think that because of this, I was able to develop a good understanding of organic chemistry fairly quickly.

Prof. Martin Burke, my organic chemistry II professor. Learning to think by analogy and think in terms of molecular orbital interactions have helped me rationalize chemistry I have never seen.

Dr. Jordan Axelson, my organic chemistry teaching assistant. In my opinion, you were the ideal TA. You were able to explain any concept that wasn't understood by any of your students. If there was something you didn't know, you looked it up and told us later. You were the template by which I modeled myself when it came to teaching lab sections my first year here at UCI. Thank you being a good example/role model. I know as you writing this, you probably haven't graduated yet, but close enough.

Prof. Steven Zimmerman, my undergraduate research advisor and organic chemistry I co-professor. Giving me the opportunity to work in your lab allowed me to decide if working as a research scientist would really be something I would be interested in doing for the rest of my life.

Prof. Suzanne Blum, my graduate research advisor. Thank you for giving me the opportunity to do the chemistry I was interested in doing.

Financial support was provided by the NSF for a CAREER award (CHE-0748312) to S.A.B. and the NIH (1R01GM098512-01) and the Alexander von Humboldt Foundation for funding.

Abstract Of Thesis

Dual Catalytic Systems with Gold(I)

By

Joel Johnson

Master of Science in Chemistry

University of California, Irvine, 2015

Professor Chris D. Vanderwal, Chair

Chapter 1. Described herein is a study on the functional group tolerance of the gold/palladium dual catalyzed

synthesis of 4-allylisocoumarins. A number of motifs commonly found in compounds with biological activity and

intermediates towards such compounds were prepared and tested. The effect of silver on the product distribution was

determined.

Chapter 2. A kinetic study of the alkoxyboration reaction showed the reaction is second order overall: first order

with respect to both IPrAuTFA and NaTFA. NaTFA was determined to be both a Lewis base, by enhancing the

nucleophilicity the B-O σ bond, and as a reagent needed to decrease the amount of IPrAuTFA converted to the

catalytically inactive IPrAuCl. Increasing the amount of trifluoroacetate eventually lead to a decrease in the reaction

rate. This effect is attributed to over addition of the Lewis base into the borate ester that is formed after the

cyclization step. The rate-determining step was determined to be the transmetalation step.

vi

Introduction

This introduction will present to those without an in depth knowledge of the chemical theory the information needed to understand the subsequent chapters. The subsequent chapters discuss a specific type of catalysis called *dual catalysis*, but first, a discussion of catalysis should occur. Catalysis is the field of study revolving around the development of reactions that use a reagent called a catalyst to increase the rate of the reaction. Catalysts work by either providing an alternative, lower energy pathway for a reaction to traverse or by lowering the activation energy of the rate-determining step of the reaction. The activation energy is inversely proportional to the rate of the reaction. Reactions with lower activation energies have faster rates. As the name suggests, dual catalysis involves a chemical transformation that is catalyzed by two catalysts. The advantage of a dual catalytic system is that otherwise impossible transformations and pathways become viable using this type of catalysis.

The dual catalytic system that is the main focus of research in the Blum group is one where gold(I) is one component of the dual catalytic system. Gold complexes are known to be carbophilic π acids, meaning

Figure 0.1 Gold(I) catalyzed nucleophilic addition.

| LAu^+ | Nu Nu AuL AuL

electron density can be withdrawn from a π system, enabling them to accept electron density from a nucleophile. The gold catalyst can be regenerated in a number of ways, including transmetalation with another metal or acting as a nucleophile towards an electrophile. We seek to discover and develop these types of reactions.

In addition to discovering new reactions, understanding the mechanistic underpinnings of how these reactions work is equally important. This is done by first forming a mechanistic hypothesis based on literature precedent and a thorough understanding of the principles that govern chemical reactions pathways. Determining both what reagents affect the rate and reaction intermediates are two ways in which one can aid in the determination of the true mechanism.

Chapter 1

Functional Group Tolerance and Silver Effect of Gold/Palladium Catalyzed **Synthesis of 4-allylisocoumarins**

Abstract: Described herein is a study on the functional group tolerance of the gold/palladium dual catalyzed synthesis of 4-allylisocoumarins. A number of motifs commonly found in compounds with biological activity and intermediates towards such compounds were prepared and tested. The effect of silver on the product distribution was determined.

INTRODUCTION

Dual metal catalyzed reactions can facilitate transformations that could not be reproduced otherwise.¹ The advantages of dual metal catalytic systems are usually counterbalanced by undesired redox chemistry, ligand exchange, and functional group incompatibility.² In 2009, Blum and coworkers reported the gold/palladium dual

catalyzed cyclization of allyl allenoates and benzoates to form 4-allyl butenolides and isocoumarins respectively (Figure 1.1).³ Figure 1.2 shows a plausible mechanism for the gold/palladium dual catalyzed cyclo-isomerization reaction.

Many of the intermediates in the putative mechanism can traverse alternative pathways, leading to the formation of side products. The organogold intermediates B and C can act as nucleophiles as opposed to participating in transmetalation with the palladium π allyl intermediate. This is the manner in which gold catalysts are typically regenerated, where the electrophile is a proton.⁴ Intermediate B also contains an oxocarbenium fragment capable of acting as an electrophilic allylating agent as opposed to acting as the species capable of being oxidatively added into

by palladium (0). Palladium π -allyl intermediates have also been reported to act as electrophiles, such as in the

Tsuji-Trost reaction.5

In the seminal publication, no appreciable functional group tolerance study was conducted, however, it was reported that aryl bromides were tolerated by the reaction conditions. The following report shows a subset of the functional groups tolerated, a downstream functionalization reaction, along with the effect silver(I) has on the product distribution.

RESULTS AND DISCUSSION

Table 1.1 (Gold and palladium dual-cataly	zed synthesis of lactones 2a-2ea.		
	O PPP Pd	Ch ₃ AuCl/AgOTf (5 mol%) 2dba ₃ (5 mol %) CD ₂ Cl ₂ , rt	O O R	
Entry	Starting Material	Product	Isolated Yield (%)	2:3°
1 ^b	HO O Ph	HO O Ph	24	11:1
2°	TfO O Ph	TfO O Ph	33	10:1
3^{d}	O Ph	O Ph 2c	83	Only 2
4	O O O O O O O O O O O O O O O O O O O	O O O O O O O O O O O O O O O O O O O	71	12:1
5 ^b	1e N.Ac	O O O O O O O O O O O O O O O O O O O	50	16:1

^aUnless otherwise noted, reaction conditions: substrate **1** (1.0 equiv), PPh₃AuCl (5 mol%), AgOTf (5 mol%), and Pd₂dba₃ (5 mol%) in dry CD₂Cl₂ (0.1 M substrate) were stirred at ambient temperature. ^bReaction was carried out at 60 °C in DCE-d₄. ^cReaction was carried out at 40 °C in CD₂Cl₂. ^dReaction was carried out at 50 °C in DCE-d₄. ^eThe ratio was determined via analysis of the crude ¹H NMR spectrum. The results from the functional group tolerance study are shown in Table 1.1. As stated in the previous section, each substrate has a functional group capable of undergoing an undesired chemical reaction with one of the catalysts or putative intermediates in the catalytic cycle (Figure 1.2). Substrates 1a, 1c, and 1e all contain Lewis basic atoms capable of ligating to the cationic gold catalyst, which could lead to rate retardation or complete inhibition of product formation under nominal conditions. Notably, in order for the reaction to proceed at a viable rate using these substrates, higher temperatures were necessary. Also note that substrate 1a can act as a proton source, which could lead to the protodeauration of intermediates B or C. The protodeaurated product was isolated in 10% yield. No allyl ethers were detected, suggesting that the phenol may not have acted as a nucleophile towards one of the electrophilic allyl species. The reaction with 1d, which contains a propargylic cyclopropyl group capable of rearrangement under acidic conditions, proceeded at ambient temperature, affording the product in 71% yield.⁶ Substrate 1b contains an electrophilic cross coupling partner capable of undergoing oxidative addition with palladium(0). The reaction temperature using this substrate needed to be increased to 40 °C. The temperature increase may have been necessitated by the reversible oxidative addition removing the active palladium catalyst from the reaction mixture or due to the formation of palladium black. One potential selling point of this substrate is that it is an electrophilic cross coupling partner, which creates the opportunity for downstream functionalization.

As proof of concept, downstream functionalization reactions were conducted. Starting with the compound 5, a Sonogashira Coupling with *p*-ethynylanisole produced the product, **6**, in 86% yield (Figure 1.3).

A paper published by Shi and coworkers detailed the role silver(I) can play in gold catalysis.⁷ The effect of silver on the reaction was determined (Table 1.2). It seems the product distribution is dependent on the silver content in the reaction medium.

In summary, a number of motifs are tolerated by the reaction conditions, including: Lewis basic atoms, electrophilic cross coupling partners, and motifs known to rearrange under acidic conditions, albeit, a small

Table 1.2 Effect of silver on the reaction.

Entry	Conditions ^a	¹ H NMR yield (%) ^b	Product ratio 2:3
1	Ag(I) filtered ^c	96	8:1–16:1
2	Ag(I) unfiltered	98	8:1->20:1
3	AgOTf onlyd	20	>20:1

^aPPh₃AuCl (5 mol %), AgOTf (5 mol %), and Pd₂dba₃ (5 mol %) in CD₂Cl₂ at rt. ^{b1}H NMR spectroscopy yield was determined after 24 h based on the ratio of product to mesitylene (the internal standard). ^cAgCl and AgOTf were removed via filtration through Celite or glass fiber paper. ^dIn the absence of PPh₃AuCl.

modification in temperature was needed in order for the reaction to proceed at a viable rate in a number of cases.

The tolerance of some functional groups can allow for down stream functionalization. Silver(I) also seems to have an effect on the product distribution of the reaction.

REFERENCES

- 1.) Hansmann, M.M.; Hashmi, A.S.K.; Lautens, M. Org. Lett. 2013, 15, 3226 3229.
- 2.) Weber, D.; Gagné, M. Chem. Commun. 2011, 47, 5172 5174.
- 3.) Shi, Y.; Roth, K. E.; Ramgren, S. D.; Blum, S. A. J. Am. Chem. Soc. 2009, 131, 18022 18023.
- 4.) Hashmi, A.S.K Chem. Rev. 2007, 107, 3180 3211.
- 5.) I. Ibrahem; A. Córdova Angew. Chem. Int. Ed. 2006, 45, 1952 1956.
- 6.) Olah, G. A.; Reddy, V. P.; Prakash, G. K. S. Chem. Rev. 1992, 92, 69 95.
- 7.) Wang, D.; Cai, R.; Sharma, S.; Jirak, J.; Thummanapeli, S. K.; Akhmedov, N. G.; Zhang, H.; Liu, X.; Petersen, J. L.; Shi, X. J. Am. Chem. Soc. **2012**, *134*, 9012 9019.

EXPERIEMNTAL SECTION

General Procedure for transesterification:

To a flame dried round bottom flask equipped with a stir bar and activated four angstrom molecular sieves, allyl alcohol (10 mmol) was dissolved in dry THF (10 mL). The reaction flask was cooled to -78 °C. n-butyllithium (1.6 M, 5 mmol) was added, the mixture was allowed to warm to room temperature. The methyl ester (1 mmol) was then transferred to the reaction flask with minimal amounts of THF. The reaction was allowed to stir over night at room temperature under nitrogen. The reaction was added to water (10 mL) and extracted with dichloromethane (10 mL \times 3). The combined organic layers were dried with MgSO₄ and concentrated. The compound was purified via chromatography (20% EtOAc in hexanes), unless stated otherwise. Like fractions were combined, concentrated, and dried under high vacuum.

Synthesis of 8:

HO To
$$\frac{O}{7}$$
 Tf₂O (1.2 eq), pyridine (2 eq) TfO $\frac{O}{8}$

Made according to literature precedent.¹ To a flame dried round bottom flask equipped with a stir bar, 7 (0.9653 g, 3.472 mmol, 1.000 equiv) and pyridine (0.56 mL, 6.9 mmol, 2.0 equiv) was dissolved in dry DCM (12 mL) under

nitrogen. Triflic anhydride (1.0 mL, 4.2 mmol, 1.2 equiv) was added at 0 °C. The mixture was allowed to warm to room temperature and stir for six hours. The reaction mixture was quantitatively transferred to a separatory funnel and washed with saturated NH₄Cl (aq.). The organic layer was dried with MgSO₄, which was removed via gravity filtration. The solution was concentrated. The compound was purified by column chromatography (0 to 20% EtOAc in hexanes). The product, **8** (1.0974 g, 77.1%), was isolated in analytically pure form. ¹H NMR (CDCl₃, 600 MHz) δ 8.09 (d, J = 8.7, 1H), 7.74 (d, J = 3.0 Hz, 1H), 7.10 (dd, J = 8.7 Hz, 3.0 Hz, 1H), 3.97 (s, 3H); ¹³C (CDCl₃, 125 MHz) δ 165.00, 149.17, 143.34, 136.84, 125.55, 124.13, 118.69 (q, J = 319.1 Hz, 1C), 93.55, 53.11; ¹⁹F (CDCl₃, 376 MHz) δ -72.6; HRMS m/z calcd for C₉H₁₀O₅F₃ISN (M + NH₄)⁺ 427.9276, found 427.9269.

Synthesis of 9:

To a 25 mL round bottom flask equipped with a stir bar, **8** (1.0974 g, 2.6759 mmol, 1.0000 equiv), phenylacetylene (0.33 mL, 2.9 mmol, 1.1 equiv), $PdCl_2(PPh_3)_2$ (56.3 mg, 80.3 μmol, 0.03 equiv), CuI (25.4 mg, 80.3 μmol, 0.05 equiv) was dissolved in degassed triethylamine (8.9 mL). The mixture was allowed to stir under nitrogen overnight at 55 °C. The reaction mixture was concentrated under nitrogen and purified by column chromatography (0 to 20% EtOAc in hexanes). The product, **9** (0.7388 g, 71.9%), was isolated. ¹H NMR ($CDCl_3$, 600 MHz) δ 7.90 (d, J = 2.6 Hz, 1H), 7.73 (d, J = 8.6 Hz, 1H), 7.58 (m, 2H), 7.42 (dd, J = 8.6 Hz, 2.7 Hz, 1H), 7.38 (m, 3H), 3.99 (s, 3H); ¹³C NMR (CD_2Cl_2 , 125 MHz) δ 165.32, 148.85, 136.47, 134.62, 132.40, 129.77, 129.19, 125.34, 124.86, 124.12, 123.28, 120.64, 119.27 (t, J = 318.4 Hz), 96.86, 87.14; ¹⁹F NMR ($CDCl_3$, 376 MHz) δ -72.7; HRMS m/z calcd for $C_{17}H_{11}O_5F_3SNa$ (M + Na) 4 407.0177, found 407.0172.

Synthesis of 1a:

General procedure for transesterification followed. **9** (0.7388 g, 1.922 mmol, 1.000 equiv), allylic alcohol (1.31 mL, 19.2 mmol, 10.0 equiv), *n*-butyllithium (6.0 mL, 9.6 mmol, 5.0 equiv). The product, **1a** (0.2634 g, 39.6%), was isolated in analytically pure form. 1 H (CDCl₃, 600 MHz) δ 7.59 (m, 3H), 7.52 (d, J = 2.7 Hz, 1H), 7.39 (m, 3H), 7.05 (dd, J = 8.5 Hz, 2.7 Hz, 1H), 6.10 (ddt, J = 17.2 Hz, 10.4 Hz, 5.6 Hz, 1H), 5.49 (app-dq, J = 17.2, 1.4 Hz, 1H), 5.39 (s, 1H), 5.3230 (app-dq, J = 10.4 Hz, 1.4 Hz, 1H), 4.92 (app-dt, J = 5.6 Hz, 1.4 Hz, 2H); 13 C (CDCl₃, 125 MHz) δ 167.4, 155.8, 135.8, 132.9, 131.6, 128.4, 128.3, 123.6, 119.7, 117.5, 115.8, 92.7, 88.1, 52.6; HRMS *m/z* calcd for $C_{18}H_{14}O_3$ Na (M + Na) $^+$ 301.0841, found 301.0846.

Synthesis of 1b:

Same procedure used to make **8**. **1a** (124.1 mg, 0.4459 mmol, 1.000 equiv), pyridine (70. μ L, 0.89 mmol, 2.0 equiv), triflic anhydride (0.11 mL, 0.67 mmol, 1.2 equiv), dry DCM (1.7 mL). The product, **1b** (67.0 mg, 36.6%), was isolated in analytically pure form. 1 H (CDCl₃, 600 MHz) δ 7.91 (d, J = 2.7 Hz, 1H), 7.73 (d, J = 8.6 Hz, 1H), 7.57 (m, 2H), 7.42 (dd, J = 8.6 Hz, 2.7 Hz, 1H), 7.38 (m, 3H), 6.05 (ddt, J = 17.1 Hz, 10.4 Hz, 5.8 Hz, 1H), 5.45 (app-dd, J = 17.1 Hz, 1.4 Hz, 1H), 5.30 (app-dd, J = 10.4 Hz, 1.4 Hz, 1H), 4.89 (dt, J = 5.8 Hz, 1.4 Hz, 2H); 13 C NMR (CDCl₃, 125 MHz) δ 164.2, 148.3, 136.1, 134.0, 132.0, 131.8, 129.3, 128.6, 124.9, 124.6, 123.8, 122.8, 119.3, 118.9 (t, J = 319.0 Hz), 96.8, 86.7, 66.7; 19 F NMR (CDCl₃, 376 MHz) δ -72.7; HRMS m/z calcd for C₁₉H₁₃F₃O₅SNa (M + Na)⁺ 433.0334, found 433.0326.

Synthesis of 11:

To an 5 mL one neck round bottom flask equipped a reflux condenser and stir bar, 10 (0.100 g, 0.487 mmol, 1.00 equiv), phenylacetylene (0.06 mL, 0.5 mmol, 1.1 equiv), $PdCl_2(PPh_3)_2$ (17.7 mg, 24.4 µmol, 0.050 equiv), and CuI (4.6 mg, 24 µmol, 0.050 equiv) was dissolved in NEt_3 (0.98 mL). The reaction was stirred under nitrogen at 80 °C. The reaction was allowed to cool to room temperature and then concentrated. The compound was isolated by column chromatography (0 to 10% EtOAc/hexanes). Compound 11 was purified via Prep TLC (5% EtOAc in hexanes). The product, 11 (29.0 mg, 26%), was isolated in analytically pure form. 1H NMR (CDCl $_3$, 600 MHz) δ 7.60 (m, 2H), 7.39 (m, 4H), 6.80 (d, J = 1.9 Hz, 1H) 3.91 (s, 3H); ^{13}C (CDCl $_3$, 125 MHz) δ 163.1, 143.5, 140.8, 132.0, 129.6, 128.7, 121.9, 121.3, 111.7, 98,1, 78.8, 52.0; HRMS m/z calcd for $C_{15}H_{14}O_2Na$ (M + Na)+ 249.0892, found 249.0894.

Synthesis of 1c:

General procedure for transesterification followed. **11** (29.0 mg, 0.128 mmol, 1.00 equiv), allyl alcohol (90. μ L, 1.3 mmol, 10. equiv), n-BuLi (1.6 M, 0.40 mL, 0.64 mmol, 5.0 equiv). The compound was purified via Prep TLC (2% EtOAc in hexanes). The product, **1c** (3.1 mg, 10 %) was isolated in analytically pure form. ¹H NMR (CDCl₃, 600 MHz) δ 7.59 (m, 2H), 7.38 (m, 4H), 6.82 (d, J = 1.9 Hz, 1H) 6.03 (ddt, J = 17.2 Hz, 10.5 Hz, 5.6 Hz, 1H), 5.46 (appdd, J = 17.2, 1.4 Hz, 1H), 5.28 (app-dd, J = 10.5 Hz, 1.4 Hz, 1H), 4.82 (app-d, J = 5.6 Hz, 2H); ¹³C NMR (CDCl₃, 125 MHz) δ 162.2, 143.4, 140.6, 132.1, 131.9, 129.4, 128.5, 121.7, 118.3, 111.6, 98.0, 78.8, 77.3, 65.4; HRMS m/z calcd for $C_{16}H_{12}O_3Na$ (M + Na)⁺ 275.0684, found 275.0693.

Synthesis of 12:

To a round bottom flask, methyl 2-iodobenozate (0.79 mL, 5.4 mmol, 1.0 eq), $PdCl_2(PPh_3)_2$ (113 mg, 0.161 mmol, 0.030 equiv), and CuI (30.7 mg, 0.161 mmol, 0.050 equiv) were added. The flask was capped and purged with nitrogen. Degassed triethylamine (5.4 mL) was added to dissolve the solids. Trimethylsilylacetylene (0.83 mL, 5.9 mmol, 1.1 equiv) was added slowly. The reaction mixture was allowed to stir at room temperature. The reaction was monitored by TLC until completion. The solution was concentrated under N_2 . The compound was purified and isolated via column chromatography (0 to 20% over 10 column volumes). The product **12** (1.0790 g, 86.32%) was obtained in analytically pure form. 1 H NMR (CD_2Cl_2 , 500 MHz) δ 7.87 (ddd, J = 7.9 Hz, 1.4 Hz, 0.5 Hz, 1H), 7.57 (ddd, J = 7.7 Hz, 1.3 Hz, 0.5 Hz) 7.47 (td, J = 7.5 Hz, 1.4 Hz) 7.39 (td, J = 7.8 Hz, 1.4 Hz, 1H), 3.89 (s, 3H), 0.26 (s, 9H); 13 C NMR (CD_2Cl_2 , 125 MHz) δ 167.0353, 134.7105, 133.1035, 131.8509, 130.4719, 128.6152, 123.4034, 103.5347, 99.8476, 52.2508, -0.1093; HRMS m/z calcd for $C_{13}H_{16}O_2SiNa$ (M + Na) $^+$ 255.0817, found 255.0821. In agreement with literature precedent.

Synthesis of 13:

$$\begin{array}{c} O \\ O \\ \hline \\ O \\ \hline \\ MeOH, \ rt, \ 52\% \\ \hline \\ 12 \\ \end{array}$$

Prepare according to literature precedent.³ To a 5 mL round bottom flask **12** (1.08 g, 4.64 mmol, 1.00 equiv) and K_2CO_3 (1.29 g, 9.29 mmol, 2.00 equiv) were added. MeOH (2 mL) was added. The reaction was monitored by TLC until completion. The reaction was added to water (10 mL) and extracted with DCM (10 mL × 3). The combined organic layers were dried with MgSO₄ and concentrated. The compound was purified via column chromatography (0% to 20% EtOAc in hexanes). The product, **13** (390.1 mg, 52%), was isolated in analytically pure form. ¹H NMR (CD₂Cl₂, 500 MHz) δ 7.8952 (dd, J = 7.9 Hz, 1.6 Hz, 1H), 7.60 (dd, J = 7.7 Hz, 1.1 Hz, 1H), 7.48 (app-td, J = 7.6 Hz, 1.4 Hz, 1H), 7.41 (app-td, J = 7.7 Hz, 1.3 Hz, 1H), 3.88 (s, 3H), 3.39 (s, 1H); ¹³C NMR (CD₂Cl₂, 125 MHz) δ 166.7, 135.3, 133.3, 132.1, 130.6, 129.0, 82.4, 82. 3, 52.4.

Synthesis of 14:

Prepared according to a literature source.⁴ To a flame dried round bottom flask, 4-iodoanilne (200. mg, 0.914 mmol, 1.00 equiv) and tosyl chloride (191 mg, 1.00 mmol, 1.10 equiv) were added. Pyridine (1.1 mL) was added to dissolve the solids. The reaction was stirred under nitrogen at reflux overnight. Upon cooling to room temperature the reaction mixture was added to EtOAc (5 mL) and washed with saturated NH₄Cl (aq) (3 × 5mL). The organic layer was dried with MgSO₄, which was removed via gravity filtration. The organic solution was concentrated. The compound was purified via column chromatography (0 to 30% EtOAc in hexanes over 15 column volumes). The product, **14** (266.5 mg, 78.27%), was isolated in analytically pure form. ¹H NMR (CDCl₃, 600 MHz) δ 7.70 (d, J = 8.3, 2H), 7.59 (s, 1H), 7.49 (d, J = 8.8 Hz, 2H), 7.22 (d, J = 8.2 Hz, 2H), 6.87 (d, J = 8.8 Hz, 2H) 2.37 (s, 2H); HRMS m/z calcd for C₁₃H₁₆O₂SiN₂ (M + NH₄)⁺ 390.9977, found 390.9974.

Synthesis of 15:

Compounds **14** (265.5 mg, 0.7117 mmol, 1.000 equiv), **13** (125.4 mg, 0.7829 mmol, 1.100 equiv), $PdCl_2(PPh_3)_2$ (15.0 mg, 21.4 µmol 0.030 equiv), and CuI (6.8 mg, 36 µmol, 0.050 equiv) were added to a 5 mL round bottom flask equipped with a stir bar. The flask was allowed to sit at room temperature while under dynamic nitrogen for 10 min. Degassed triethylamine (1.1 mL) was added. The reaction was heated to 55 °C and allowed to stir under nitrogen overnight. The reaction mixture was concentrated. The compound was purified and isolated via column chromatography (0 to 20% EtOAc in hexanes). The product, **15** (211.4 mg, 73.25%), was isolated in analytically pure form. ^{1}H NMR (CDCl₃, 600 MHz) δ 7.97 (dd, J = 7.9 Hz, 1.0 Hz, 1H), 7.68 (d, J = 8.3 Hz, 2H), 7.5987 (dd, J = 7.8 Hz, 0.9 Hz, 1H), 7.48 (app-td, J = 7.5 Hz, 1.3 Hz, 1H), 7.42 (d, J = 8.6 Hz, 2H), 7.37 (app-td, J = 7.7 Hz, 1.3 Hz, 1H), 7.23 (d, J = 8.0 Hz, 2H), 7.07 (d, J = 8.6 Hz, 2H), 6.97 (s, 1H), 3.9405 (s, 3H), 2.38 (s, 3H); ^{13}C NMR (CDCl₃, 125 MHz) δ 166.7, 144.2, 136.8, 135.8, 134.0, 132.9, 131.8, 131.7, 130.6, 129.8, 128.0, 127.3, 123.7, 120.8, 120.0, 93.7, 88.6, 52.3, 21.6; HRMS m/z calcd for $C_{23}H_{18}NO_4S$ (M – H) 404.0956, found 404.0948.

Synthesis of 16:

General procedure for transesterification used. **13** (211 mg, 0.520 mmol, 1.00 equiv), allyl alcohol (0.35 mL, 5.2 mmol, 10. equiv), n-BuLi (1.6 M, 1.60 mL, 2.60 mmol, 5.00 equiv). The product **16** (53.7 mg, 24%) was isolated in analytically pure form. ¹H NMR (CDCl₃, 600 MHz) δ 7.99 (dd, J = 7.9 Hz, 1.0 Hz, 1H), 7.71 (d, J = 8.3 Hz, 2H), 7.60 (m, 2H), 7.47 (app-td, J = 7.6 Hz, 1.3 Hz, 1H), 7.40 (d, J = 8.6 Hz, 2H), 7.36 (app-td, J = 7.6 Hz, 1.0 Hz, 1H), 7.22 (d, J = 8.3 Hz, 2H), 7.10 (d, J = 8.6 Hz, 1H), 6.03 (ddt, J = 17.2 Hz, 10.4 Hz, 5.6 Hz, 1H), 5.41 (dd, J = 17.2 Hz, 1.4 Hz, 1H), 5.24 (dd, J = 10.4 Hz, 1.4 Hz, 1H), 4.85 (app-dt, J = 5.6 Hz, 1.3 Hz, 2H), 2.36 (s, 3H); HRMS m/z calcd for $C_{25}H_{21}NO_4SNa$ (M + Na)⁺ 454.1089, found 454.1087.

Synthesis of 1e:

To a flame dried 5 mL round bottom flask, **16** (19.4 mg, 44.9 μ mol, 1.00 equiv) and triethylamine (12.5 μ L, 89.9 μ mol, 2.00 equiv) was dissolved in dry dichloromethane (0.45 mL). The reaction vessel was cooled to 0 °C. Acetyl chloride (14.4 μ L, 0.20 mmol, 4.5 equiv) was added via a syringe. The reaction mixture was allowed to stir under N₂ over night. The reaction was quenched with saturated NH₄Cl (0.50 mL) and transferred to a 4 mL vial. The product was extracted with dichloromethane (3 × 5 mL). The organic layers were combined, dried with MgSO₄, and concentrated in vacuo. The compound was purified via Prep TLC (25% EtOAc in hexanes). The product, **1e** (3.8 mg, 18%), was obtained in analytically pure form. ¹H NMR (CDCl₃, 600 MHz) δ 8.04 (dd, J = 7.9 Hz, 1.0 Hz, 1H), 7.91 (d, J = 8.0 Hz, 2H), 7.67 (app-d, J = 8.3 Hz, 3 H), 7.53 (app-td, J = 7.6 Hz, 1.3 Hz, 1H), 7.43 (app-td, J = 7.8 Hz, 1.3 Hz, 1H), 7.35 (d, J = 8.1 Hz, 2H), 7.24 (d, J = 8.4 Hz, 2H), 6.06 (ddt, J = 17.1 Hz, 10.3 Hz, 5.6 Hz, 1H), 5.45 (app-dq, J = 17.1 Hz, 1.4 Hz, 1H), 5.29 (app-dd, J = 10.4 Hz, 1.3 Hz, 1H), 4.88 (app-dt, J = 5.6 Hz, 1.3 Hz, 2H), 2.46 (s, 3H), 1.90 (s, 3H); HRMS m/z calcd for C₂₇H₂₃NO₅SNa (M + Na)⁺ 496.1195, found 496.1197.

Synthesis of 17:

To a 5 mL round bottom flask equipped with a stir bar, methyl 2-iodobenozate (0.37 mL, 2.5 mmol, 1.0 equiv), $PdCl_2(PPh_3)_2$ (17.5 mg, 25.0 μ mol, 0.010 equiv), and CuI (9.5 mg, 50. μ mol, 0.020 equiv) were added and allowed to sit under N_2 for 10 minutes. Degassed triethylamine (2.5 mL) was added to the reaction vessel. Cyclopropylacetylene (0.23 mL, 2.7 mmol, 1.1 equiv) was added slowly. The reaction mixture was allowed to stir at room temperature, under N_2 , over night. The reaction was concentrated under N_2 . The compound was purified by column chromatography (0% to 20% EtOAc in hexanes). The product, **17** (488.7 mg, 90%), was isolated in analytically pure form. 1H NMR (CD_2Cl_2 , 500 MHz) 7.83 (d, J = 7.9 Hz, 1H), 7.45 (d, J = 7.5 Hz, 1H), 7.41 (t, J = 7.5 Hz, 1H), 7.30 (t, J = 7.7 Hz, 1H), 3.86 (s, 3H), 1.48 (m, 1H), 0.90 (m, 2H), 0.81 (m, 2H); ^{13}C NMR (CD_2Cl_2 , 125 MHz), δ 167.1, 134.3, 132.6, 131.9, 130.5, 127.5, 124.7, 89.4, 74.7, 52.3, 9.1, 0.8; HRMS m/z calcd for $C_{13}H_{12}O_2Na$ ($M + Na)^+$ 223.0735, found 223.0736.

Synthesis of 1d:

General procedure for transesterification followed. **17** (448.7 mg, 2.240 mmol, 1.00 equiv), allyl alcohol (1.52 mL, 22.4 mmol, 10. equiv), n-BuLi (1.6 M, 7.0 mL, 11 mmol, 5.0 equiv). The product, **1d** (193.5 mg, 38%), was isolated in analytically pure form. ¹H NMR (CD₂Cl₂, 500 MHz) δ 7.87 (d, J = 7.9 Hz, 1H), 7.47 (d, J = 7.7 Hz, 1H), 7.43 (app-t, J = 7.6 Hz, 1H), 7.32 (app-t, J = 7.4 Hz, 1H), 6.06 (ddt, J = 17.2 Hz, 10.4 Hz, 5.6 Hz, 1H), 5.43 (app-d, J = 17.2 Hz, 1H), 5.29 (app-d, J = 10.4 Hz, 1H), 4.80 (app-d, J = 5.6 Hz, 2H), 1.48 (m, 1H), 0.89 (m, 2H), 0.82 (m, 2H); ¹³C NMR (CD₂Cl₂, 125 MHz) δ 166.4, 134.4, 132.8, 132.5, 131.9, 130.5, 127.5, 124.7, 118.3, 99.4, 74.8, 66.0, 9.0, 0.8; HRMS m/z calcd for C₁₅H₁₄O₂Na (M + Na)⁺ 249.0892, found 249.0884.

Synthesis of **6**:

To a 5 mL round bottom flask equipped with a stir bar, **5** (45.3 mg, 0.133 mmol, 1.00 equiv), PdCl₂(PPh₃)₂ (2.8 mg, 40. μmol, 0.030 equiv), CuI (0.5 mg, 30 μmol, 0.020 equiv) were added. Degassed DMF (1 mL) was added via syringe. p-ethynylanisole (19 μL, 0.15 mmol, 1.1 equiv) and NEt₃ (0.33 mL) were added sequentially. The reaction was allowed to stir under nitrogen at 60 °C for 24 h. The reaction mixture was added to brine (5 mL) and extracted into DCM (3 × 5 mL). The combined organic layers were washed with brine, dried with MgSO₄, and concentrated. The product was crystallized with a mixture of hexanes and DCM, then isolated. The product, **6** (42 mg, 86%), was isolated and fully characterized ¹H NMR (CD₂Cl₂, 600 MHz) δ 8.44 (d, J = 1.7 Hz, 1H), 7.84 (dd, J = 8.3 Hz, 1.8 Hz, 1H) 7.64 (m, 2H), 7.60 (d, J = 8.4 Hz, 1H), 7.51 (d, J = 8.8 Hz, 2H), 7.48 (m, 3H), 6.92 (d, J = 8.9 Hz, 2H), 6.1238 (ddt, J = 17.2 Hz, 10.4 Hz, 5.6 Hz, 1H), 5.22 (app-dq, J = 10.4 Hz, 1.3 Hz, 1H), 5.08 (app-dq, J = 17.2 Hz, 1.3 Hz, 1H), 3.83 (s, 3H), 3.46 (app-dt, J = 5.6 Hz, 1.3 Hz, 2H); ¹³C NMR (CD₂Cl₂, 125 MHz) δ 161.3, 160.1, 152.9, 137.1, 136.8, 135.6, 133.1, 133.0, 132.0, 129.7, 128.6, 128.3, 124.6, 123.4, 121.2, 116.9, 114.6, 114.1, 110.7, 91.5, 86.8, 55.4, 31.2; HRMS m/z calcd for $C_{27}H_{20}O_3$ Na (M + Na)⁺ 415.1310, found 415.1302.

Synthesis of 2a:

In a one dram vial, the active gold catalyst was generated with Ph₃PAuCl (1.9 mg, 3.8 μ mol 0.050 equiv) and AgOTf (1.0 mg, 3.8 μ mol, 0.050 equiv) in DCE (0.20 mL). The active gold catalysis solution was transferred to a one dram vial containing **1a** (21 mg, 76 μ mol, 1.0 equiv) and mixed thoroughly. The solution containing the active gold catalyst and **1a** was transferred to a one dram vial containing Pd₂dba₃ (3.5 mg, 3.8 μ mol, 0.050 equiv). The mixture was diluted to a total of 0.76 mL DCE. The reaction temperature was increased to 60 °C and the reaction was allowed to stir under an inert atmosphere for 8 h. The reaction mixture was concentrated in vacuo. The product was isolated and purified via silica gel column chromatography (0% to 40% EtOAc/hexanes). The product **2a** (5 mg, 24%) was fully characterized. ¹H NMR (CDCl₃, 600 MHz) δ 7.98 (d, J = 2.6 Hz 1H), 7.62 (m, 2H), 7.54 (d, J = 8.9 Hz, 1H), 7.44 (m, 3H), 7.35 (dd, J = 8.9 Hz, 2.2 Hz, 1H), 6.71 (s, br, 1H), 6.10 (ddt, J = 17.8 Hz, 10.1 Hz, 3.5 Hz, 1H), 5.22 (d, J = 10.1 Hz, 1H), 5.09 (d, J = 17.8 Hz, 1H), 3.45 (d, J = 3.5, 2H); ¹³C NMR (CDCl₃, 125 MHz) δ 163.3, 156.4, 150.5, 136.0, 133.2, 131.5, 129.7, 128.9, 128.5, 126.6, 124.3, 122.3, 117.4, 114.3, 111.3, 31.6; HRMS m/z calcd for $C_{18}H_{14}O_3Na$ (M + Na)+ 301.0841, found 301.0840.

Synthesis of 2b:

Ph₃PAuCl (1.4 mg, 2.8 μmol, 0.050 equiv) was dissolved in CD₂Cl₂ (0.10 mL) and thoroughly mixed with AgOTf (0.7 mg, 2.8 μmol, 0.050 equiv) to generate the active gold catalyst. The active gold catalyst was added to the **1b** (23 mg, 55 μmol, 1.0 equiv) and thoroughly mixed. The mixture was then added to Pd₂dba₃ (2.3 mg, 2.8 μmol, 0.050 equiv). The reaction was allowed to stir at room temperature for 24 h. Afterwards, the reaction temperature was raised to 40 °C, the reaction was allowed to stir for 8 h. An excess of dimethylaminoethanethiol and triethylamine was added to the reaction mixture. The reaction mixture was allowed to stir for an additional 24 h at room temperature. The product was isolated and purified via silica gel column chromatography. The product **2b** (7.6 mg, 33%) was fully characterized. ¹H NMR (CDCl₃, 600 MHz) δ 8.26 (d, J = 2.5 Hz, 1H), 7.71 (d, J = 8.9 Hz, 1H), 7.63 (m, 3H), 7.47 (m, 3H), 6.11 (ddt, J = 17.5 Hz, 10.1 Hz, 5 Hz, 1H), 5.27 (d, J = 10.1, 1H), 5.10 (d, J = 17.5 Hz, 1H), 3.47 (d, J = 5 Hz, 2H); ¹³C NMR (CDCl₃, 125 MHz) δ 160.7, 153.8, 148.2, 138.0, 135.0, 132.2, 130.1, 128.6, 128.3, 127.9, 126.9, 122.6, 122.0, 118.6 (t, J = 318 Hz, 1C), 117.7, 109.8, 31.4; ¹⁹F NMR (CDCl₃, 376 MHz) δ -72.6; HRMS m/z calcd for C₁₉H₁₃F₃O₅SNa (M + Na)⁺ 433.0334, found 433.0339.

Synthesis of 2c:

Ph₃PAuCl (1.6 mg, 3 μmol, 0.05 equiv) was dissolved in $C_2D_4Cl_2$ (0.10 mL) and thoroughly mixed with AgOTf (0.9 mg, 3 μmol, 0.05 equiv) to generate the active gold catalyst. The active gold catalyst was added to the **1c** (16.7 mg, 66 μmol, 1.0 equiv) and thoroughly mixed. The mixture was then added to Pd₂dba₃ (3.0 mg, 3 μmol, 0.05 equiv). The reaction mixture was diluted to 0.66 mL. The reaction temperature was increased to 50 °C. The reaction was allowed to stir for 6 h. The reaction was cooled to room temperature. An excess of dimethylaminoethanethiol and triethylamine was added and the reaction mixture was allowed to stir at room temperature over night. The product was isolated and purified via column chromatography (gradient from 0% to 10% EtOAc/hexanes). The product **2c** (13.9 mg, 83%) was fully characterized. ¹H NMR ($C_2D_4Cl_2$, 600 MHz) δ 7.61 (m, 3H), 7.47 (m, 3H), 6.91 (d, J = 1.8 Hz, 1H), 6.07 (ddt, J = 17.3 Hz, 11.0, 5.7 Hz, 1H), 5.16 (d, J = 11.0, 1H), 5.09 (d, J = 17.3 Hz, 1H), 3.42 (d, J = 5.7 Hz, 2H); ¹³C NMR (CDCl₃, 125 MHz) δ 162.3, 158.7, 156.1, 144.8, 135.0, 132.3, 130.1, 129.2, 128.7, 125.6, 116.6, 109.8, 107.8, 106. 5, 29.5; HRMS m/z calcd for $C_{16}H_{12}O_{3}Na$ (M + Na)⁺ 275.0684, found 275.0693.

Synthesis of 2e:

Ph₃PAuCl (1.5 mg, 3 μmol, 0.05 equiv) was dissolved in $C_2D_4Cl_2$ (0.10 mL) and thoroughly mixed with AgOTf (0.9 mg, 3 μmol, 0.05 equiv) to generate the active gold catalyst. The active gold catalyst was added to **1e** (30 mg, 64 μmol, 1 equiv) and thoroughly mixed. The mixture was then added to Pd₂dba₃ (3.0 mg, 3 μmol, 0.05 equiv). The reaction mixture was diluted to 0.64 mL. The reaction temperature was increased to 60 °C. The reaction was allowed to stir for 9 h. The reaction was cooled to room temperature. The product was isolated and purified via column chromatography (0% to 30% EtOAc/hexanes). The product **2e** (15 mg, 50%) was fully characterized. ¹H NMR (CDCl₃, 600 MHz) δ 8.40 (d, J = 7.9 Hz, 1H), 7.93 (d, J = 8.1 Hz, 2H), 7.79 (m, 3H), 7.64 (d, J = 8.1 Hz, 1H), 7.58 (app-t, J = 7.4 Hz, 1H), 7.36 (app-t, J = 7.2, 4H), 6.17 (ddt, J = 17.5 Hz, 10.1 Hz, 2.4 Hz, 1H), 5.28 (d, J = 10.1 Hz, 1H), 5.10 (d, J = 17.5 Hz, 1H), 3.53 (d, J = 2.4 Hz, 2H), 2.47 (s, 3H), 1.92 (s, 3H); ¹³C NMR (CDCl₃, 125 MHz)

δ 169.7, 162.0, 150.7, 145.2, 137.7, 137.5, 135.8, 135.3, 134.8, 134.5, 130.1, 129.9, 129.8, 129.5, 129.4, 129.2, 128.4, 124.4, 121.0, 117.5, 111.6, 31.3, 25.1, 21.7; HRMS m/z calcd for $C_{27}H_{23}NO_5SNa$ (M + Na)⁺ 496.1195, found 496.1197.

Synthesis of 2d:

Ph₃PAuCl (2.4 mg, 5 μmol, 0.05 equiv) was dissolved in CD₂Cl₂ (1 mL) and thoroughly mixed with AgOTf (1.3 mg, 5 μmol, 0.05 equiv) to generate the active gold catalyst. The active gold catalyst was added to **1d** (23 mg, 0.10 mmol, 1.0 equiv) and thoroughly mixed. The mixture was then added to Pd₂dba₃ (4.6 mg, 5 μmol, 0.05 eq). The reaction was allowed to stir at room temperature for 24 h. The products were purified by silica gel chromatography (0% to 10% EtOAc/hexanes). The product **2d** (16 mg, 71%) was fully characterized ¹H NMR (CDCl₃, 600 MHz) δ 8.28 (dd, J = 7.9 Hz, 1.1 Hz, 1H), 7.70 (app-td, J = 7.4 Hz, 1.4 Hz, 1H), 7.48 (d, J = 8.2 Hz, 1H), 7.42 (app-td, J = 7.2 Hz, 1.3 Hz, 1H), 5.99 (ddt, J = 17.1 Hz, 10.4 Hz, 5.7 Hz, 1H), 5.12 (app-dq, J = 10.5 Hz, 1.3 Hz, 1H), 5.10 (app-dq, J = 17.2, 1.4 Hz, 1H), 3.53 (app -dt, J = 5.6 Hz, 1.3 Hz, 2H), 1.97 (m, 1H), 1.18 (m, 2H), 0.94 (m, 2H); ¹³C NMR (CDCl₃, 125 MHz) δ 162.6, 154.5, 138.3, 134.9, 134.8, 130.0, 126.9, 122.6, 116.5, 109.2, 29.8, 11.1, 7.3; HRMS m/z calcd for C₁₅H₁₄O₂H (M + H)⁺ 227.1072, found 227.1076.

REFERENCES FOR EXPERIMENTAL SECTION

- 1.) Huang, Z.; Liu, Z.; Zhou, S. J. Am. Chem. Soc. 2011, 133, 15882 15885.
- 2.) Spivey, A. C.; McKendrick, J.; Srikaran, R. J. Org. Chem. 2003, 68, 1843 1851.
- 3.) Marchal, E.; Uriac, P.; Legouin, B.; Toupet, L., Weghe, P. Tetrahedron, 2007, 63, 9979 9990.
- 4.) Gioiello, A.; Rosatelli, E.; Teofrati, M.; Filipponi, P.; Pellicciari, R. ACS. Comb. Sci. 2013, 15, 235 239.

Chapter 2

Mechanistic Studies of The Alkoxyboration Reaction

Abstract: A kinetic study of the alkoxyboration reaction showed the reaction is second order overall: first order with respect to both IPrAuTFA and NaTFA. NaTFA was determined to be both a Lewis base, by enhancing the nucleophilicity the B–O σ bond, and as a reagent needed to decrease the amount of IPrAuTFA converted to the catalytically inactive IPrAuCl. Increasing the amount of trifluoroacetate eventually lead to a decrease in the reaction rate. This effect is attributed to over addition of the Lewis base into the borate ester that is formed after the cyclization step. The rate-determining step was determined to be the transmetalation step.

INTRODUCTION

In 2014, the Blum group reported a gold catalyzed alkoxyboration reaction, allowing for the synthesis of 3-borylbenzofurans. In the seminal publication, the authors proposed that the reaction was catalyzed by a bifunctional catalyst capable of activating both the B–O σ bond and C–C π bond. The proposed mechanism is shown in Figure 2.1. In this mechanism, the trifluoroacetate ion is transferred to the boric ester to form a gold borate ion pair, 2a. Upon binding of the cationic gold complex to the

Figure 2.1 The proposed mechanism from the seminal publication.

alkyne, **3**, cyclization yields a vinyl organogold intermediate, **4**, and a borate ester, **5**. Transmetalation yields the desired product, **8**. The proposed mechanism is dissimilar to other reactions that are formal 1,3-transpositions due to the migration occurring in an intermolecular fashion.^{2,3} The role of sodium trifluoroacetate (NaTFA) along with the manner in which transmetalation occurred remained unclear. The purpose of this study was to determine the mechanism of the alkoxyboration reaction.

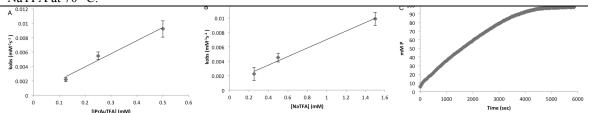
RESULTS AND DISCUSSION

Initial studies focused on determining the order with respect to IPrAuTFA, NaTFA, and 1. The kinetic data (Figure 2.2) obtained is consistent with the rate equation shown in eq 1. Note, increasing the amount of NaTFA from 15 mol % (1.5 mM) to 30 mol % (3.0 mM) showed no statistically significant increase in rate.

$$Rate = k[IPrAuTFA][NaTFA]$$
 (1)

Due to the enormous amount of literature precedent surrounding gold(I) complexes acting as carbophilic π acids, IPrAuTFA is thought to act as such.⁴ However, the manner in which NaTFA affects the rate of the reaction is not as obvious.

Figure 2.2 (A) Rate dependence on IPrAuTFA concentration. (B) Rate dependence on NaTFA concentration. (C) The change in product concentration over time using 10 mol % IPrAuTFA and 30 mol% NaTFA at 70 °C.



In order to deconvolute the role of NaTFA, mass spectrometry and NMR spectroscopy were used. Saturation of a 100 mM solution of 1 in toluene with NaTFA followed by equilibration at 50 °C showed one small peak around the -75 ppm region in the ¹⁹F NMR, a range consistent with compounds containing the TFA fragment.⁵ A saturated solution of NaTFA in toluene produces no peaks consistent with NaTFA at 50 °C, suggesting NaTFA alone is not soluble. Mass spectrometry data suggests that NaTFA can be solubilized via complexation with the boric ester. Borate 6 (Figure 2.5) was observed via ESI-MS. Since the only source of the catecholborane fragment is the boric ester, the TFA ion must react with 1 in order to produce the observed species. A m/z value of 425.1 with a isotopic distribution indicative of a boron containing compound was observed in the MS spectrum, suggesting that there may be 2 in solution, but due to the low intensity nothing can be said definitively. It is also notable that tetrakis(2,2,2-trifluoroacetoxy)borate, B(TFA)₄-, was also observed, suggesting that if the TFA concentration is too high over-addition can occur, which would lead to a decrease in yield and rate.

To test this hypothesis, tetrabutylammonium trifluoroacetate (TTFA) was synthesized and used as a substitute for NaTFA. The rate decreased dramatically in the case where TTFA was used (Table 2.1). This result is consistent with the hypothesis that there exists an ideal concentration of TFA, such that solutions with higher concentrations of TFA may open an alternative pathway where the catecholborane fragment can be removed from

the reaction medium and solutions with lower concentrations may suffer from both catalyst quenching by exogenous chloride and a lack of borate in solution. Ion pairs suspended in solution may also have a detrimental effect on the rate of the reaction. The active gold catalyst (presumably IPrAu⁺) can be quenched by NaCl to generate IPrAuCl (observed by ESI-MS). The concentration of chloride can be reduced via the common ion effect. Also, a metathesis

reaction can regenerate the precatalyst from IPrAuCl. Based on this reasoning, it is reasonable to believe that Na⁺ may have an effect on the rate.

In order to determine the rate dependence of Na⁺ on the reaction, the reaction without any

Table 2.1 Observed rate constants shown	
Conditions	$k_{obs} (mM^{-1}s^{-1}\times 10^{-3})$
5 mol % IPrAuTFA, 15 mol % NaTFA	9.9 ± 0.9
5 mo 1% IPrAuTFA, 15 mol % TTFA	0.096 ± 0.111
5 mol % IPrAuTFA, 15 mol % SHFA	0.72 ± 0.62
5 mol % IPrAuTFA	0.015 ± 0.059
5 mol % IPrAuTFA (No NaCl)	55 ± 7

additional salt (outside the NaCl generated by the reaction) was used as the control experiment for comparison. Replacing NaTFA with sodium hexafluoroantimonate (SHFA) led to a major decrease in yield relative to the case where NaTFA was present (Table 2.1). However, comparison of the control and experimental rate constants suggest that Na⁺ may have a favorable effect on the rate. Due to the low precision of the calculated rate constants, there is ambiguity that lies in whether or not the increase in rate in statistically significant. Using the T.TEST function in Microsoft Excel, the probability that the difference in the rate constants is insignificant was determined to be 0.09. To rephrase for clarity, there is a 91% chance that the differences in the rate constants are statistically significant and that Na⁺ concentration influences the rate of the reaction.

The common ion effect and a metathesis may be occurring simultaneously. The common ion effect seems to play a minor role in reducing the amount of catalyst quenching (assuming NaTFA and SHFA have similar solubility products in toluene). A metathesis reaction may account for the low rate when SHFA is used as a co-catalyst.

$$IPrAuCl + NaSbF_6 \rightarrow IPrAuSbF_6 + NaCl$$
 (2)

A metathesis reaction to produce IPrAuSbF₆ would not produce a bifunctional catalyst capable of enhancing both the electrophilicity and nucleophilicity of the substrate (eq 2).

The nature of the transmetalation step may also be discerned by analysis of the rate constants shown in Table 2.1. The experimentally determined rate constant for the reaction with 5 mol % IPrAuTFA in the presence of NaCl is 660 times slower than the reaction with 5 mol % IPrAuTFA and 15 mol % NaTFA. If the transmetalation occurred via a four centered transition state, the relative rate would not be as large because every turnover would

result in the formation of the precatalyst. A metathesis reaction with NaCl may lead to a decrease in rate due to formation of IPrAuCl, but the likelihood of a reaction with NaCl relative to a reaction with the catalyst is low due to the low solubility of NaCl in toluene. An alternative explanation is that putative organogold intermediate, **4**, may act as a nucleophile towards the borate ester, **5**, resulting in a gold borate ion pair, **7**. Dissociation of TFA would produce the desired product. This hypothesis is consistent with the data obtained from a recent study from the Blum group.⁶

The rate retardation attributed to NaCl can easily be discerned via its elimination from the reaction medium. The reaction of

chlorocatehcolborane and 2-(phenylethynyl)phenol generated the desired boric ester and hydrogen chloride gas (Figure 2.3). The container was evacuated to remove hydrogen chloride.

The kinetic studies using the boric ester synthesized in this fashion show a different kinetic profile than what is observed under nominal conditions. Using 5 mol % IPrAuTFA, the initial rate (Table 2.1, Figure 2.4) is about 5.5 times higher than the initial rate using 5 mol % IPrAuTFA and 15 mol % NaTFA in the presence of NaCl (Table 2.1, Figure 2.4). The rate quickly decreases about 40% of the initial rate after about 20% conversion to product (figure 2.4).

The rate decrease may be due to the manner in which NaCl affects the electronic nature of the solvent. When NaCl is present, ions are more stable. After the formation of complex 2, the species is persistent enough to allow the cationic gold catalyst to form the π complex, 3. When NaCl is absent, ionic species are not as stable. Upon formation of 2, disproportionation occurs to form 5

Figure 2.4 Change in product concentration over time with (red) and without NaCl (blue).

70
60
50
100
1000
1500
2000
Time (sec)

and a phenolate. The phenolate can then bind to the cationic gold complex, resulting a lower amount of gold catalyst to induce cyclization, which would result in a lower, steady rate after equilibration.

Notably, in absence of NaCl, NaTFA retards the rate. The active catalyst and precatalyst could be in equilibrium. Introduction of more TFA in solution would lead to a shift in the equilibrium resulting in less active

catalyst in solution. A greater amount of the TFA in the reaction medium could result in increased amounts of borate 6 in solution, which may not be a reactive partner in gold to boron transmetalation. It appears that doubling the concentration of TFA in the reaction medium cuts the rate in half. The current data suggest that the reaction is inverse first order with respect to TFA when NaCl is absent.

Table 2.2. The activa	ation parameters from	n the Eyring analysis.
ΔG [‡] (kcal mol ⁻¹)	ΔH [‡] (kcal mol ⁻¹)	ΔS [‡] (cal K ⁻¹ mol ⁻¹)
26.34 ± 1.47	13.44 ± 1.47	-39.96 ± 4.58

An Eyring analysis was carried out to discern the rate-determining step (RDS) of the reaction. The thermodynamic values are listed in

Table 2.2. The entropy of activation is consistent with a bimolecular RDS.⁷ There are two bimolecular steps in the proposed mechanism, substrate binding and transmetalation. The positive enthalpy of activation indicates that energy is required for the transformation to occur. In the case of substrate binding, the enthalpy of activation should be negative, also if substrate binding was the RDS, the reaction would not be zero order with respect to substrate. The data from the Eyring analysis suggests the transmetalation is the RDS of the reaction.

obtained.

The data obtained in this study is consistent with the mechanism shown in Figure 2.5, which takes into account the ability of NaTFA to act as a source of TFA for 1 and 5. The intermediate after the transmetalation step is also included. In conclusion, the alkoxyboration reaction is second order overall, first order with respect to both IPrAuTFA and NaTFA. NaTFA acts as both a species that reduces the degree of catalyst quenching and acts as a source of TFA that can produce the borate necessary for cyclization to occur. The rate of the reaction seems to be sensitive to the amount of TFA present in solution.

Figure 2.5 The Proposal mechanism based on the data

Solutions with higher concentrations of TFA had lower observed rate constants. The data obtained from the Eyring analysis suggests the RDS is the transmetalation.

observed by ESI-MS

REFERENCES

- 1.) Hirner, J. J.; Faizi, D. J.; Blum, S. A. J. Am. Chem. Soc. 2014, 136, 4740 4745.
- 2.) Nakamura, I.; Yamagishi U.; Song, D.; Konta, S.; Yamamoto Y. Angew. Chem. Int. Ed. 2007, 46, 2284 –2287.
- 3.) Tokimizu, Y.; Oishi. S.; Fujii, N.; Ohno, H. Angew. Chem. Int. Ed. 2015, 56, 7862 7866.
- 4.) Li, Z.; Brouwer, C.; He, C. Chem. Rev. 2008, 108, 3239 3265.
- 5.) Wang, F; Chen, D.; Deng, H.; Chen, O.; Liu, X.; Jian, X. Tetrahedron 2014, 70, 2582 2590.
- 6.) Hirner, J. J.; Blum, S.A. *Tetrahedron* **2015**, 71, 4445 4449.
- 7.) Denmark S. E.; Bui, T. J. Org. Chem. 2005, 70, 10393 10399.

EXPERIMENTAL

I. Synthetic Procedures

Synthesis of 2-(phenylethynyl)phenol 18 (Conducted by Darius J. Faizi)

A flame dried 1-L round bottomed flask equipped with a stir bar was charged with 2-iodophenol (14.0 g, 3.60 mmol, 1.00 equiv), copper iodide (1.09 g, 5.71 mmol, 0.08980 equiv), and bis(triphenylphosphine)palladium dichloride (1.33 g, 1.89 mmol, 0.0297 equiv). Toluene (320 mL), diisopropylamine (8.90 mL, 63.6, 1.00 equiv), and phenylacetylene (10.5 mL, 95.4 mmol, 1.50 equiv) were added sequentially. The reaction mixture was stirred for 8.5 h at 25 °C. Ethyl acetate (100 mL) was added and the mixture was washed with saturated ammonium chloride (50 mL × 1) then brine (50 mL × 1). The organic layer was isolated and dried with sodium sulfate. Upon concentration of the solution, a dark brown oil was obtained. The material was purified via column chromatography (12% EtOAc in hexanes). Like fractions were collected, concentrated and dried under high vacuum. The resultant oil was recrystallized from hexanes to afford a brown crystalline material (6.82 g, 55.3%). ¹H NMR (CDCl₃, 600 MHz): δ 7.57–7.55 (m, 2H), 7.44 (dd, J = 7.6, 1.6 Hz, 1H), 7.40–7.38 (m, 3H), 7.30–7.27 (m, 1H), 7.03 (dd, J = 8.3, 0.8 Hz, 1H), 6.96 (td, J = 7.5, 1.0 Hz, 1H), 5.90 (s, 1H). Spectral Information is in agreement with literature precedent. ¹

Synthesis of IPrAuTFA

IPrAuCl (124. mg, 0.200 mmol, 1.00 equiv) and AgTFA (44.2 mg, 0.200 mmol, 1.00 equiv) were weighed in separate vials. IPrAuCl was dissolved in DCM (1 mL). The solution was transferred to the vial with AgTFA. DCM (1 mL) was used to rinse the IPrAuCl vial, washings were transferred to the vial with AgTFA. The reaction mixture was then capped with a telfon coated cap and covered in aluminum foil and allowed to stir for 30 h in a glovebox. The reaction mixture was then filtered through glass fiber filter paper. The resultant solution was concentrated inside the glovebox. No further purification was performed, a colorless solid was isolated (110.4 mg, 79%). 1 H (PhMe- 4 8, 400 MHz) δ 7.13 (t, J = 8 Hz, 2H), 6.30 (s, 2H), 2.46 (app-sep, J = 7 Hz, 4H), 1.35 (d, J = 7 Hz, 12H), 1.02 (d, J = 7 Hz, 12H). Spectral Information is in agreement with literature precedent.

Synthesis of Tetrabutylammonium Trifluoroacetate

NBu₄Br +
$$OP(OMe)_3 \xrightarrow{60 \circ C, 75\%} NBu_4TFA$$

The product was prepared according to literature precedent.³ Trifluoroacetic acid (0.04 mL, 0.5 mmol, 1 equiv) was added to a 10 mL round bottom flask with trimethyl phosphate (0.7 mL, 6.0 mmol, 12 equiv) dropwise. While stirring tetrabutylammonium bromide (161 mg, 0.500 mmol, 1.00 equiv) was added. The reaction was allowed to stir at 60 °C overnight. Excess trimethylphosphate was removed via vacuum distillation at 85 °C. The resultant residue was dissolved in DCM (2 mL), 25 drops of a 10% (w/v) NH₄OH aqueous solution was added. The pH of the

solution was checked while stirring. Water (2 mL) was added when pH = 7. The layers were separated. The aqueous layer was extracted with DCM (2 mL \times 2). The organic fractions were combined, concentrated, and dried under high vacuum at 100 °C overnight. No further purification was performed, the product was isolated as a beige solid (133.3, 75%). 1 H (CDCl₃, 600 MHz) δ 3.30 (t, J = 8 Hz, 8H), 1.65 (app-quin, J = 8 Hz, 8H), 1.44 (app-sex, J = 8 Hz, 8H), 1.01 (t, J = 8 Hz, 12H); 19 F (CDCl₃, 376 MHz) δ 75.10.

II. General Procedure for Preparation of Kinetic Experiment Samples

2-(phenylethynyl)phenol (34.0 mg, 0.175 mmol, 1.00 equiv), 89% w/w NaH (4.7 mg, 0.18 mmol, 1.0 equiv), B-chlorocatecholborane (27.0 mg, 0.175 mmol, 1.00 equiv), Sodium trifluoroacetate (7.2 mg, 0.053 mmol, 0.30 equiv), IPrAuTFA (12.3 mg, 0.018 mmol, 0.10 equiv) were weighed in separate 4 mL vials. 2-(phenylethynyl)phenol was dissolved in toluene- d_8 (250 μ L) and transferred to the vial with NaH. The mixture was stirred by hand briefly and allowed to sit for 15 min. The mixture was then transferred to the vial with B-chlorocatecholborane. Toluene- d_8 (250 μ L × 2) was used to rinse through the first two vials. The mixture was allowed to stir for 30 min with occasional stirring by hand. Toluene- d_8 was added to NaTFA to create a suspension, which was then transferred to the reaction mixture. This process was repeated until the total volume of solvent added to the reaction container was 1400 μ L. The IPrAuTFA was dissolved in toluene- d_8 (350 μ L). From the boric ester stock solution, 400 μ L was transferred to a J-Young tube and capped with a rubber septum. This was repeated a total of three times.

III. General Procedure for Kinetic Experiments

One J-Young tube and one 250 μ L capped (with a rubber stopper) gas tight syringe with 100 μ L of the IPrAuTFA solution were removed from the glovebox. The rubber spectrum on the J-Young tube was wrapped in parafilm. The sample in the J-Young tube was immediately transported to the NMR spectrometer, which underwent temperature calibration before sample injection. The contents of the syringe were injected into the J-Young tube. The J-Young was shaken and inserted into the NMR spectrometer. Acquisition of spectra immediately followed. The acquisition time was set to 6 s. A 90° pulse was used. The line broadening was set to 1 Hz. The number of scans per experiment = 1. The number of dummy scans = 0. Fifty experiments were conducted sequentially with 34 s delays between experiments.

IV. Raw Kinetic Data

Catalyst Loadings	average rate	St. Dev.
	$(mM/s \times 10^{-3})$	$(mM/s \times 10^{-3})$
7.5 mol % IPrAuTFA, 30 mol % NaTFA	11	0.1
5 mol % IPrAuTFA, 30 mol % NaTFA	9.2	1.1
5 mol % IPrAuTFA, 15 mol % NaTFA	9.9	0.9
5 mol % IPrAuTFA, 5 mol % NaTFA	4.5	0.6
2.5 mol % IPrAuTFA, 30 mol % NaTFA	5.5	0.6
5 mol % IPrAuTFA, 15 mol % NaBArF	0	0
5 mol % IPrAuTFA, No salt	0.015	0. 059
5 mol % IPrAuTFA, 15 mol % NaSbF ₆	0. 72	0.6
5 mol % IPrAuTFA, 15 mol % NBu ₄ TFA	0.096	0.111
1.25 mol % IPrAuTFA, 30 mol % NaTFA	2.2	0.3
5 mol % IPrAuTFA, 2.5 mol % NaTFA	2.2	0.9
5 mol % IPrAuTFA, No NaTFA/NaCl	55	6
5 mol % IPrAuTFA, 5 mol % NaTFA, no NaCl	20	1

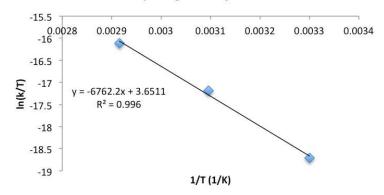
5 mol % IPrAuTFA, 10 mol % NaTFA, no NaCl	23	7
---	----	---

V. EYRING ANALYSIS DATA

Temperature (°C)	Average rate (M/s \times 10 ⁻⁵)	St. Dev. $(M/s \times 10^{-5})$
70	3.4	0.2
50	1.1	0.1
30	0.23	0.04

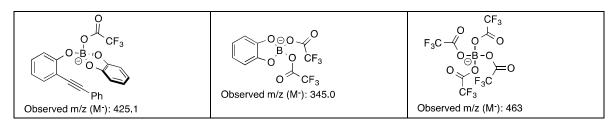
Raw Eyring Analysis Data		Activation Parameters		S
1/T (K ⁻¹)	ln(k/T)	Parameter	Value	St. Dev.
0.0029	-16.11	ΔG [‡] (kcal mol ⁻¹)	26.3	1.5
0.0031	-17.19	ΔS [‡] (cal K ⁻¹ mol ⁻¹)	-40.0	4.6
0.0033	-18.71	ΔH [‡] (kcal mol ⁻¹)	13.4	1.5

Eyring Analysis



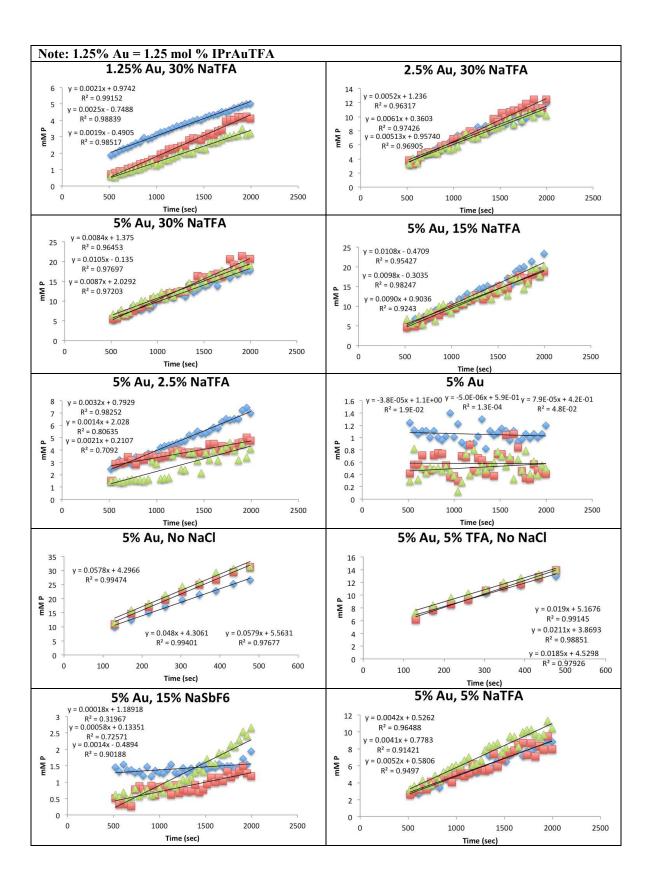
VI. Reaction Intermediates Found By High Resolution Mass Spectrometry

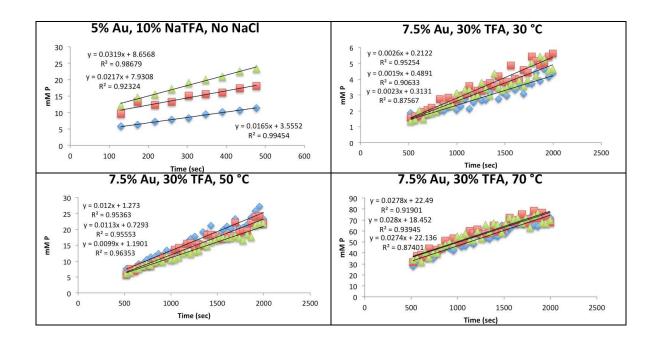
VII. Species Found in NaTFA Solubility Study by Low Resolution Mass Spectrometry



IX. Kinetic Plots

20





REFERENCES FOR EXPERIMENTAL SECTION

- 1.) Hirner, J. J.; Faizi, D. J.; Blum, S. A. J. Am. Chem. Soc. 2014, 136, 4740 4745.
- 2.) Chong, E.; Blum, S. A. J. Am. Chem. Soc. 2015, 137, 10144 10147.
- 3.) Jeon, J. Y.; Varghese, J. K.; Park, J. H.; Lee, S.; Lee, B. Y. Eur. J. Org. Chem. 2012, 2012, 3566 3569.

Appendix A: NMR Spectra

