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### Authors

Yin, Shanye  
Gambe, Rutendo G  
Sun, Jing  
[et al.](#)

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## A murine model of chronic lymphocytic leukemia based on B cell-restricted expression of *Sf3b1* mutation and *Atm* deletion

Shanye Yin<sup>1,2</sup>, Rutendo G. Gambe<sup>1</sup>, Jing Sun<sup>1</sup>, Aina Zurita Martinez<sup>3</sup>, Zachary J. Cartun<sup>1</sup>, Fara Faye D. Regis<sup>1</sup>, Youzhong Wan<sup>1,13</sup>, Jean Fan<sup>4</sup>, Angela N. Brooks<sup>5</sup>, Sarah E.M. Herman<sup>6</sup>, Elisa ten Hacken<sup>1</sup>, Amaro Taylor-Weiner<sup>3</sup>, Laura Z. Rassenti<sup>7</sup>, Emanuela M. Ghia<sup>7</sup>, Thomas J. Kipps<sup>7</sup>, Esther A. Obeng<sup>8</sup>, Carrie L. Cibulskis<sup>3</sup>, Donna Neuberg<sup>9</sup>, Dean R. Campagna<sup>10</sup>, Mark D. Fleming<sup>10</sup>, Benjamin L. Ebert<sup>1,3,11</sup>, Adrian Wiestner<sup>6</sup>, Ignaty Leshchiner<sup>3</sup>, James A. DeCaprio<sup>1</sup>, Gad Getz<sup>3</sup>, Robin Reed<sup>2</sup>, Ruben D. Carrasco<sup>11,12</sup>, Catherine J. Wu<sup>1,3,11,15,\*</sup>, and Lili Wang<sup>1,14,15,16,\*</sup>

<sup>1</sup> Department of Medical Oncology, Dana-Farber Cancer Institute, Boston, MA, USA

<sup>2</sup> Department of Cell Biology, Harvard Medical School, Boston, MA, USA

<sup>3</sup> Broad Institute of MIT and Harvard, Cambridge, MA, USA

<sup>4</sup> Department of Biomedical Informatics, Harvard Medical School, Boston, MA, USA

<sup>5</sup> University of California, Santa Cruz, CA, USA

<sup>6</sup> Hematology Branch, National Heart, Lung, and Blood Institute, National Institutes of Health, Bethesda, MD 20892, USA

<sup>7</sup> Moores Cancer Center, University of California, San Diego, La Jolla, CA 92093, USA

<sup>8</sup> St. Jude Children's Research Hospital, Memphis, TN

<sup>9</sup> Biostatistics and Computational Biology, Dana-Farber Cancer Institute, Boston, MA

<sup>10</sup> Department of Pathology, Boston Children's Hospital, Boston, MA

<sup>11</sup> Department of Medicine, Brigham and Women's Hospital, Boston, MA, USA

<sup>12</sup> Department of Oncologic Pathology, Dana-Farber Cancer Institute, Boston, MA, USA

\*Correspondence: cwu@partners.org (C.J.W), lilwang@coh.org (L.W).

### AUTHOR CONTRIBUTIONS

S.Y., C.J.W. and L.W. designed the study. L.W., R.G.G., Y.Z.W., E.T.H. and Z.J.C performed the mouse functional studies. S.Y. and Z.J.C. performed the studies related to BCR signaling, with CLL samples obtained from L.Z.R., E.G., and T.J.K. L.W., R.G.G., and F.F.R. generated and maintained animal cohorts. S.M.H. and A.W. contributed to clinical data related to ibrutinib treatment. S.Y. performed the mouse RNA-sequencing and proteome analysis, as well as human BCR signaling gene expression analysis. A.Z.M., A.T.W. and I. L., performed genomic analyses. J. S., A.N.B and J. F provided computational support for the RNA splicing analyses. D.N. performed statistical analysis. E.A.O., C.L.C., D.R.C., M.D.F., B.L.E., J. A.D., G.G., R.R., and R.D.C. provided reagents and constructive suggestions. C.J.W. and L.W. supervised the study. S.Y., C.J.W. and L.W. prepared the manuscript with help from all co-authors.

### DECLARATION OF INTERESTS

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<sup>13</sup> Present address: National Engineering Laboratory of AIDS Vaccine, School of Life Sciences, Jilin University, Changchun, Jilin, PRC

<sup>14</sup>Department of Systems Biology, Beckman Research Institute, City of Hope, Monrovia, CA, USA

<sup>15</sup>Co-senior author

<sup>16</sup>Lead Contact

## SUMMARY

*SF3B1* is recurrently mutated in chronic lymphocytic leukemia (CLL), but its role in the pathogenesis of CLL remain elusive. Here, we show that conditional expression of *Sf3b1*-K700E mutation in mouse B cells disrupts pre-mRNA splicing, alters cell development, and induces a state of cellular senescence. Combination with *Atm* deletion leads to the overcoming of cellular senescence and the development of CLL-like disease in elderly mice. These CLL-like cells show genome instability and dysregulation of multiple CLL-associated cellular processes, including deregulated B cell receptor (BCR) signaling, which we also identified in human CLL cases. Notably, human CLLs harboring *SF3B1* mutations exhibit altered response to BTK inhibition. Our murine model of CLL thus provides insights into human CLL disease mechanisms and treatment.

## Keywords

CLL; SF3B1; ATM; murine model; BCR signaling

## INTRODUCTION

Chronic lymphocytic leukemia (CLL) is a relatively indolent malignancy of mature CD19<sup>+</sup> CD5<sup>+</sup> B cells that is more prevalent in the elderly. Accumulating evidence from large-scale genetic characterizations of CLL has not only uncovered numerous recurrently somatically mutated genes in CLL (Landau et al., 2015; Puente et al., 2015; Wang et al., 2011) but has also suggested that CLL emerges from the stepwise acquisition of diverse mutations over time (Landau et al., 2015). Of these putative CLL drivers, thus far only the focal deletion of chromosome 13 [del(13q)] has been validated as a *bona fide* CLL driver based on the observation of the accumulation of pathognomonic clonal CD19<sup>+</sup>CD5<sup>+</sup> cells in a mouse model harboring deletion of the *miR-5/16* locus, contained within del(13q) in humans (Klein et al., 2010). Indeed, the functional effects of the vast majority of other individual CLL-associated events and how they cooperate together in the oncogenic process, as well as the minimum number of somatic events required to lead mature B cells towards a leukemic state, remain unknown.

*SF3B1* is among the most frequently mutated genes in CLL. Recurrent mutations in *SF3B1* commonly co-occur in CLL with focal deletion in chromosome 11 [del(11q)], a region that contains the essential DNA damage response gene *ATM* (Dohner et al., 2000). In CLL, *SF3B1* mutation is often detected as a subclonal event, indicating that it tends to arise later in leukemic development and contribute to disease progression. Other lines of evidence, however, suggest that it can be also acquired early in the disease, as it has been implicated in clonal hematopoiesis (Jaiswal et al., 2014; Xie et al., 2014) and has been detected in the

CLL precursor condition monoclonal B cell lymphocytosis (Ojha et al., 2014). To investigate the function of *SF3B1* mutation, we established a conditional knock-in mouse model with B cell-restricted expression of *Sf3b1*-K700E mutation and examined how this mutation impacts the pathogenesis of CLL.

## RESULTS

### Conditional expression of heterozygous *Sf3b1*-K700E mutation in B cells leads to alternative RNA splicing

We generated a mouse model with conditional expression of the most commonly occurring *SF3B1*-K700E mutation in CLL from the endogenous *Sf3b1* locus. To obtain B cell specific expression, the mouse line carrying the heterozygous *Sf3b1*-K700E allele (*Sf3b1<sup>fl/+</sup>*) was crossed with homozygous *Cd19*-Cre (*Cd19*-Cre<sup>+/+</sup>) mice, yielding both wild-type (WT, *Cd19*-Cre<sup>+/-</sup>*Sf3b1<sup>fl/+</sup>*) and mutant mice (*Sf3b1* MT, *Cd19*-Cre<sup>+/-</sup>*Sf3b1<sup>fl/+</sup>*) (Figure 1A). Although the floxed allele was present across tissues of the mouse (i.e. including T cells), the MT allele was specifically activated in CD19<sup>+</sup> splenic B cells (Figure 1A). Targeted allele-specific quantitative RNA sequencing by pyrosequencing revealed that the MT allele was stably detected even in 24-month old mice and was expressed at a level similar to that of the WT allele (Figure 1B). Expression of the MT allele did not affect the SF3B1 protein expression in B cells, since both WT and *Sf3b1* MT B cells (as well as non-affected T cells from the same mice) expressed equivalent levels of SF3B1 protein (Figure 1C).

We and others have previously reported that aberrant 3' splice site (ss) selection is the predominant splicing defect associated with *SF3B1* mutation (Alsafadi et al., 2016; Darman et al., 2015; Ferreira et al., 2014; Wang et al., 2016). In a re-analysis of RNA-sequencing (RNA-seq) data generated from samples from 37 CLL cases (Wang et al., 2016), we observed that the majority of alternative 3'ss events associated with MT *SF3B1* were inclusion events, with preferential use of a cryptic 3'ss ('inclusion alt 3'ss', PSI>10%), rather than exclusion events ('exclusion alt 3'ss', PSI<-10%) (Figure S1A). The inclusion alt 3'ss were enriched within the 10 to 20 nucleotides (nt) upstream of the corresponding canonical 3'ss (Figure S1B). Heightened usage of adenosine was observed upstream of the inclusion alt 3'ss (Figure S1C), suggesting the presence of motifs within branch point (BP) sequences for the corresponding canonical 3'ss and/or within alternative BP sequences for the cryptic 3'ss.

RNA-seq analysis of splenic B cells derived from WT and *Sf3b1* MT mice (n=3 each, 3-month of age) revealed altered RNA splicing in a manner similar to human CLL with *SF3B1* mutation. Specifically, the majority (161 of 192 [84%]) of mis-spliced events in *Sf3b1* MT mice (|PSI|>10%, p<0.05, per the tool JuncBASE (Brooks et al., 2011)) involved alternative 3'ss usage (Figure 1D-E and Table S1). Similar to human data, *Sf3b1* MT B cells had more inclusion (n=103) rather than exclusion (n=58) alternative 3'ss events compared to WT cells (Figure 1E). Moreover, inclusion alt 3'ss tended to cluster 10 to 20 nucleotides upstream of the canonical 3'ss (Figure 1F), with high adenosine usage in its upstream motif (Figure 1G), as observed in human CLLs. For both human and mouse, the distance between 3'ss and its BP (predicted by BPfinder (Corvelo et al., 2010)) was shorter for inclusion alt 3'ss compared to both exclusion alt 3'ss and RefGene canonical 3'ss

(Figure 1H and Figure S1D). Although sequences of the BPs were similar amongst different groups (Figure S1E), the strength of the BPs was consistently lower for inclusion alt 3' ss compared to canonical RefGene 3' ss (Figure S1F and Figure 1I). Thus, in line with prior studies (Alsafadi et al., 2016; Darman et al., 2015), mutated *Sf3b1* promotes altered recognition of BPs compared to WT cells.

RNA-seq data confirmed that the MT allele of *Sf3b1* is expressed at a similar level as the WT allele (Figure S2A). Using quantitative PCR, we validated the cryptic 3' ss detected by RNA-seq analysis in several genes (Figure S2B-C). Moreover, as shown in Figure S2D-E, we observed no discernible change in expression or subcellular localization of SF3B1 or other U2 snRNP components in *Sf3b1* MT B cells, and the assembly of functional 17S and 12S U2 snRNP complexes was normal (Figure S2F). Together, these data suggest that we have successfully generated a mouse model with B cell-restrictive expression of *Sf3b1*-K700E mutation present at physiologic levels, which functions in a manner similar to human CLL.

### Expression of *Sf3b1*-K700E causes defects of B cell function

To investigate the impact of *Sf3b1*-K700E expression on B cell development and function, we characterized the B cell compartments from the peripheral blood, marrow, peritoneal cavity and spleen of WT and *Sf3b1* MT mice using immunophenotypic markers (Shay and Kang, 2013). As shown in Figure 2A, the total number of splenocytes was significantly reduced in *Sf3b1* MT mice versus WT mice (12-month old) as a result of significant reduction in B cells but not in non-B cells (i.e. CD3<sup>+</sup> T cells). Spleen weight was also decreased in *Sf3b1* MT mice versus WT mice (Figure S3A), although the average overall body weight and the number of total bone marrow mononuclear cells (BMCs) were unaffected (Figure S3B-C). Moreover, as shown in Figure 2B, the percentages of splenic T2 and T3 transitional B cells were decreased in *Sf3b1* MT mice versus WT mice. In contrast, both the percentage and absolute number of marginal zone (MZ) B cells were increased in *Sf3b1* MT mice (Figure 2B-C). No significant difference in B cell subset composition between WT and *Sf3b1* MT mice in bone marrow (BM) fractions (Figure S3D-F) or peritoneal cavity (Figure S3G-H) was observed. With up to 24-months of observation, the percentages of T, B, and macrophage subpopulations in peripheral blood remained similar between WT and *Sf3b1* MT mice (Figure S3I), with no evidence of lymph node enlargement in *Sf3b1* MT mice (n=35).

Histological examination of spleen sections revealed consistently enlarged marginal zone B cell regions in *Sf3b1* MT mice (Figure 2D). Moreover, both the percentage and size of Ki67<sup>+</sup>-germinal centers (GC) within the spleens of the *Sf3b1* MT mice were reduced compared to WT mice (p=0.002; Figure 2E). With stimulation by immunization with sheep red blood cells (sRBCs), the sizes of the Ki67<sup>+</sup> germinal centers were much smaller in *Sf3b1* MT mice than in WT mice (Figure 2E, p=0.002), demonstrating an intrinsic defect in B cell proliferation. Consistent with this phenotype, *Sf3b1* MT mice demonstrated reduced serum isotype-specific antibody production (all p=0.03, Figure 2F), indicating defects in B cell class switch recombination within the GC in these animals. To determine whether expression of *Sf3b1*-K700E affects the proliferative capacity of B cells, we stimulated

splenic B cells with Lipopolysaccharide (LPS) and IL4 *in vitro* and examined cell proliferation and apoptosis over 4 days. We found that *Sf3b1* MT B cells underwent fewer cell divisions than WT B cells (Figure 2G), and displayed a higher rate of apoptosis ( $p=0.0003$ ) and proliferation inhibition ( $p=0.0029$ , Figure 2H).

### Expression of *Sf3b1-K700E* causes B cell cellular senescence which is overcome by *Atm* deletion

To investigate whether expression of *Sf3b1-K700E* directly induces CLL development, we routinely examined peripheral blood of *Sf3b1* MT animals for B220<sup>+</sup>CD5<sup>+</sup> CLL cells starting from 6 months of age by flow cytometry. With up to 24-month of observation, the overall survival of the WT (n=35) and *Sf3b1* MT (n=40) mice was similar, with no evidence of circulating B220<sup>+</sup>CD5<sup>+</sup> cells in animals (n=40), suggesting that *Sf3b1-K700E* alone is insufficient to induce CLL.

Since we observed a phenotype of defective cell growth and absence of leukemia development in association with this putative cancer driver alone, we examined whether MT *Sf3b1* was linked to cellular senescence, a condition associated with premalignant states (Campisi and d'Adda di Fagagna, 2007). Western blot analysis revealed enhanced levels of senescence markers p16 and p21 in *Sf3b1* MT B cells versus WT cells (Figure S3J). Furthermore, elevated levels of the cellular senescence mediators IGFBP6 and IGFBP7 was also detected in the sera of *Sf3b1* MT mice ( $p=0.02$  and  $p=0.04$ , Figure S3K). Together, these results suggested that *Sf3b1-K700E* mutation induces cellular senescence and defects in B cells proliferation, but was insufficient to cause CLL, indicating that additional genetic lesions might be required for CLL initiation.

*SF3B1* mutations in human CLL cells commonly co-occur with *del(11q)* (Landau et al., 2015; Nadeu et al., 2016; Wang et al., 2011) (Figure S4), which encompasses *ATM*. Previous studies had suggested that *ATM* plays a central role in regulating replication stress and cellular senescence through modulation of mitochondrial function and metabolic processes, and knockdown or inhibition of *ATM* has been shown to overcome cellular senescence (Aird et al., 2015; Kang et al., 2017). We therefore hypothesized that loss of *ATM* could lead to the overcoming of the cellular senescence phenotype induced by mutated *SF3B1*, and thereby synergize with MT *SF3B1* for the development of CLL-like disease. We crossed *Sf3b1<sup>fl/+</sup>Atm<sup>fl/fl</sup>* (Zha et al., 2008) and *Cd19-Cre<sup>+/+</sup>* animals to establish mouse lines with only heterozygous *Atm* deletion (*Atm* MT, *Cd19-Cre<sup>+/-</sup>Sf3b1<sup>+/+</sup>Atm<sup>fl/+</sup>*) or with both heterozygous *Sf3b1* mutation and *Atm* deletion (double mutant, or 'DM' mice, *Cd19-Cre<sup>+/-</sup>Sf3b1<sup>fl/+</sup>Atm<sup>fl/+</sup>*). Indeed, we found potent downregulation of senescence markers p16 and p21 in DM mice relative to *Sf3b1* MT mice (Figure 3A). Moreover, *Atm* deletion bypassed the proliferation inhibition induced by *Sf3b1* mutation in DM mice (Figure 3B).

The accumulation of glutamine and glutamate is a hallmark of cellular senescence in mouse models (Liu et al., 2008). Conversely, the overcoming cellular senescence by *Atm* deletion was previously noted to involve enhanced glutamine and glutamate consumption (Aird et al., 2015), which provides important nitrogen and carbon sources for an array of growth-promoting pathways. Consistent with these studies, we found marked accumulation of both glutamate and glutamine in *Sf3b1* MT B cells compared to WT B cells ( $p=0.007$  and

p=0.016, respectively; Figure 3C), indicating reduced glutamine and glutamate consumption. In contrast, *Atm* deletion resulted in reduced glutamate and glutamine levels in DM cells (both p<0.001). These results suggest that *Atm* deletion restores a metabolic profile and overcomes cellular senescence.

### Development of CLL with combined expression of *Sf3b1*-K700E and *Atm* deletion

To investigate whether *Sf3b1* mutation in combination with *Atm* deletion could lead to CLL-like disease, we established 2 additional cohorts of mice (i.e. 31 *Atm* MT and 33 DM mice) and monitored their peripheral blood for the appearance of B220<sup>+</sup>CD5<sup>+</sup> cells for up to 24 months of age. Starting from 18 months of age, we detected the appearance of B220<sup>+</sup>CD5<sup>+</sup> CLL-like cells within peripheral blood mononuclear cells (PBMCs), splenocytes and bone marrow mononuclear cells (BMCs) in 3 of 33 (9%) DM mice ('DM-CLL' mice) (Figure 4A-B). In these mice, the percentage of circulating B220<sup>+</sup>CD5<sup>+</sup> cells rose to 30–50% by 24 months of age.

We performed a detailed histopathologic analysis on 2 of 3 DM-CLL animals. H&E staining of peripheral blood smears of the DM-CLL mice confirmed the presence of cells with morphology highly similar to leukemia, and their spleens were also enlarged (Figure 4C). Immunohistochemistry analysis demonstrated the presence of B220<sup>+</sup>CD5<sup>+</sup> cells on spleen sections of DM-CLL mice (Figure 4D), while H&E staining showed infiltration of CLL-like cells in multiple tissues including spleen, bone marrow and liver (Figure 4E). We confirmed that the CLL-like cells were clonal based on Igκ expression and immunoglobulin heavy chain gene variable region (*IGHV*) mutational status (Table S2, all CLL-like cells were *IGHV*-unmutated).

We furthermore examined the transplantability of the DM-CLL cells in both immunocompetent (CD45.1) and immunodeficient (NSG) mice. Transplanted CLL were detectable in peripheral blood of all recipient animals (n=30 each) 4–6 weeks following engraftment (Figure 4F and Figure S5A), with leukemic cell infiltration in multiple tissues (Figure S5B). Examination of multiple lineage-specific markers during serial transplantation revealed preservation of the phenotype of the engrafted CLL-like cells compared to parental cells in immunodeficient recipient mice. Within the immunocompetent recipients, however, slight subclonal changes of the engrafted cells were observed (i.e. enhanced expression of Igκ), indicating the effects of immune-based selective pressure on the leukemia cells within these mice (Figure S5A). Consistent with human CLL, the engrafted DM-CLL cells were sensitive to the BCR signaling inhibitor ibrutinib, suggesting preserved signaling circuitry in these animals (Figure S5C). Our transplanted model thus provides opportunities not only for further dissection of disease mechanisms but also for the testing of therapeutic targets.

### *Sf3b1*-K700E and heterozygous *Atm* deletion are associated with increased DNA damage

To understand the molecular mechanisms underlying leukemogenesis in relationship to *Sf3b1* and *Atm* mutations, we performed RNA-seq analysis of splenic B cells derived from WT, *Sf3b1* MT, *Atm* MT, and DM mice with (DM-CLL) or without CLL-like changes. All samples were collected from aged mice (18 months), with 2–6 samples per group. As shown in Table S3, we observed that the aged *Sf3b1* MT mice accumulated a larger number of mis-

spliced events other than alternative 3'ss usage, including markedly reduced intron retention events, compared to the young (3-month old) animals (Figure 1D, Figure 5A). This profile was highly concordant with that observed in human CLL samples (Figure S1A). In contrast, over 70% of the mis-spliced events associated with heterozygous deletion of *Atm* involved increased intron retention (Figure 5A). A combined effect of the two mutations on splicing was observed, as DM and DM-CLL cells showed a hybrid of the mis-spliced profiles of the two alterations (Figure 5A and Figure S6A).

Gene Ontology (GO) analysis of the mis-spliced genes revealed high enrichment for the DNA damage response pathway in all groups (all  $p < 4.0 \times 10^{-6}$ , Figure 5B), consistent with the notion that deregulation of DNA damage repair pathways is a common mechanism for malignant transformation (Di Micco et al., 2006). Using RT-PCR, we validated splicing defects of several DNA damage response genes in DM-CLL cells (Figure S6B). As a defective DNA damage response could lead to accumulation of mutations and genomic instability, we evaluated the mouse lines for formation of DNA double-strand breaks (DSBs) in splenic B cells by immunofluorescence staining of H2AX phosphorylation ( $\gamma$ H2AX) (Figure 5C). Consistent with previous findings in human CLLs with *SF3B1* mutations (Te Raa et al., 2015; Wang et al., 2016), significantly higher levels of  $\gamma$ H2AX signal were observed in *Sf3b1* MT mice compared to WT mice (Figure 5C-D), and even higher levels of  $\gamma$ H2AX signals were detected in DM and DM-CLL cells compared to WT cells (Figure 5C-D), indicative of a synergistic effect of *Sf3b1* mutation and *Atm* deletion in inducing DNA damage.

The observed increased DNA damage and long latency of appearance of leukemic cells led us to query whether DM-CLL cells carried additional genetic alterations compared to non-CLL DM cells. We therefore performed whole-genome sequencing (WGS) analysis of splenic B cell DNA isolated from the various mouse lines ( $n=2$  per line). By analysis of single nucleotide variants (SNVs), we identified 169 and 159 clonal mutations in the two DM-CLLs (Figure S6C, Table S4), with only 0–2 nonsilent mutations in genes unlikely to be drivers of CLL. By analysis of copy number variants (CNVs), however, we observed amplification of chromosomes (Chr) 15 and 17 in both DM-CLLs, and a deletion on Chr 5 in only DM-CLL2 (Figure 5E). We further performed WGS analysis of splenocytes transplanted from DM-CLL2 cells into NGS recipient mice. The engrafted cells were found to preserve 79% (125/159) of the SNVs (Figure S6D) and all CNVs of the original CLL-like cells (Figure S6E), indicating the overall fidelity of the transplanted model to the original DM-CLL cells.

RNA-seq analysis revealed that the amplifications were associated with overexpression of 835 of 987 (85%) Chr15 and Chr17 genes detected in DM-CLL cells versus DM cells (Figure S6F). Of note, 146 genes that are the human homologs of the mouse Chr15 and Chr17 genes were overexpressed in human CLLs with *SF3B1* mutations compared to normal B cells ( $p < 0.05$ , Figure 5F and Table S5). Gene Ontology analysis revealed that these upregulated genes in human CLLs were most significantly enriched in the MAPK/ERK signaling pathway ( $p=0.0027$ , Figure 5F-red genes), which has been previously implicated in driving CLL development (Landau et al., 2015). Altogether, these results established



enhanced genome instability leading to chromosomal amplification as a potential mechanism underlying the generation of leukemia.

### **Integrated transcriptome and proteome analysis of murine CLL-like cells implicate dysregulated BCR signaling**

In parallel with the RNA-seq analysis, we performed a quantitative proteomic analysis of splenic B cells from different mouse lines (n=2 each), to identify mRNA- and protein-level changes that putatively contribute to CLL development. Globally, both mRNA (n=9,174) and protein (n=7,096) expression in the *Sf3b1* MT, *Atm* MT and DM mice were highly concordant with that of WT mice, while more extensive changes were observed in DM-CLL mice (Figure 6A, Figure S6G and Table S6). Focusing on the comparison between DM and DM-CLL cells, we observed a moderate correlation between the fold changes in mRNA and protein expression (Figure 6B, Pearson correlation coefficient R=0.33). As an example, both CD5 mRNA and protein levels were markedly elevated in DM-CLL cells (Figure 6B). Consistent with the copy number changes, Chr15 and Chr17 genes showed consistently enhanced mRNA and protein expression in DM-CLL cells versus DM cells, suggesting a strong effect of chromosome amplification on gene expression (Figure 6B).

Gene Set Enrichment Analysis (GSEA) of differentially expressed genes/proteins associated with DM-CLL versus DM B cells identified proteins involved in B cell receptor (BCR) signaling as the most dramatically downregulated in DM-CLL cells (p<0.0001, Figure 6C). BCR signaling is highly active in CLL cells, but variation in the activity of this pathway has been identified (Burger and Wiestner, 2018; Kipps et al., 2017). Our proteome observations led us to investigate the basal and induced BCR signaling activity in the different mice lines. As shown in Figure 6D-E, upon  $\alpha$ -IgM stimuli, splenic B cells from non-CLL mice exhibited potent increases in the phosphorylation (p-) of SYK, AKT and ERK1/2 without affecting the overall protein levels, indicating robust ligand-dependent BCR signaling transduction in these cells. In striking contrast, however, DM-CLL cells were defective in initiating SYK and AKT phosphorylation upon stimulation (all p<0.05, Figure 6E-F). In addition, different from non-CLL cells, DM-CLL cells showed constitutive phosphorylation of ERK1/2 even without  $\alpha$ -IgM stimuli (all p<0.01, Figure 6E-F), a phenotype previously described in self-reactive anergic B cells and subset of human CLLs (Blix et al., 2012; Duhren-von Minden et al., 2012; Herve et al., 2005; Marklin et al., 2017; Muzio et al., 2008; Yarkoni et al., 2010). Furthermore, we noted that DM-CLL cells had lower basal expression of AKT protein than the non-CLL mouse lines (Figure 6E-F, all p<0.05). Indeed, B cells from mice with *Sf3b1* mutation (*Sf3b1* MT, DM and DM-CLL) had lower AKT expression than those without *Sf3b1* mutation (WT and *Atm* MT) (Figure 6F, p=0.0003).

### **Distinct patterns of BCR signaling in CLL cells with *SF3B1* mutation**

To further investigate these findings in human CLLs, we analyzed expression of BCR signaling genes (n=74, consisting of core components and modulators of BCR signaling, curated from MSigDB gene sets) using RNA-seq data of two independent human CLL cohorts. The DFCI/Broad cohort (Landau et al., 2014) contains 107 CLL cases (96 *SF3B1* WT and 11 *SF3B1* MT, all previously untreated) and 8 normal CD19<sup>+</sup> B cell samples (Figure 7A and Table S7), while the ICGC cohort (Ferreira et al., 2014) contains 275 CLL

cases (252 *SF3B1* WT and 23 *SF3B1* MT CLLs) (Figure 7B and Table S7). As shown in Figure 7A-C, ranking samples by ‘BCR score’ (mean expression of all 74 BCR signaling genes), normal B cells generally had higher BCR scores than CLL cells, while *SF3B1* MT CLLs showed even lower expression of BCR signaling genes than *SF3B1* WT CLLs, supporting a role of *SF3B1* mutation in altering BCR signaling gene expression. Similar results were observed when we limited the analysis to 48 core components (Table S7) of BCR signaling pathway in both cohorts (Figure S7A). Of note, the two cohorts were highly correlated for changes in BCR signaling gene expression (Figure 7D, Pearson correlation coefficient  $R=0.62$ ). In line with the observations in mouse DM-CLL cells (Figure 6E-F), *AKT1* and *AKT3* were among the consistently downregulated BCR signaling genes in human *SF3B1* MT CLLs versus WT CLLs, together with their upstream regulators *CD19* and *PIK3CD* (Figure 7D).

Targeted inhibitors of BCR signaling have transformed the therapeutic landscape of CLL (Byrd et al., 2013). Given the intrinsic differences in BCR signaling gene expression between *SF3B1* WT and MT CLLs, we asked if these two patient groups respond differently to BCR signaling inhibition. To this end, we exposed *SF3B1* WT CLL patient samples ( $n=10$ ) and *SF3B1* MT CLL patient samples ( $n=6$ , MT allele frequency 33–55%) to different concentrations of ibrutinib *in vitro*. Cell viability relative to DMSO control was calculated for each group (Figure S7B). Consistent with downregulation of BCR signaling genes in *SF3B1* MT CLLs and hence a shorter ‘distance’ to B cell death when exposed to abrogation of BCR signaling (Muschen, 2018), we detected *SF3B1* MT CLL samples to be more sensitive to ibrutinib treatment than *SF3B1* WT CLLs *in vitro* ( $p=0.016$ , Figure 7E, Figure S7B). We further examined data from a genomically characterized subset of CLL cases (45 of 86 [52%] cases; 36 *SF3B1* WT, 9 *SF3B1* MT) enrolled on a clinical trial of ibrutinib monotherapy (NCT01500733) (Herman et al., 2014; Landau et al., 2017). Treatment with ibrutinib is known to commonly lead to early transient lymphocytosis (Herman et al., 2014; Wiestner, 2016; Woyach et al., 2014). We observed a delayed onset of peak absolute lymphocyte count (ALC) in cases with *SF3B1* MT ( $p<0.001$ , Figure 7F-G), suggesting altered kinetics of disease response. The observed suppressed BCR signaling gene expression in *SF3B1* MT CLLs, together with heightened *in vitro* sensitivity to the BCR signaling inhibitor and altered *in vivo* drug response kinetics, indicate an altered circuitry of this pathway in the presence of *SF3B1* mutation. Together, these data revealed a role of *SF3B1* mutation in regulating BCR signaling and ibrutinib response kinetics, highlighting our animal model as powerful tool for cancer research and drug discovery.

## DISCUSSION

Here we report that the combined B cell restricted expression of heterozygous *Sf3b1* mutation and *Atm* deletion can generate clonal expansions of mature B cells consistent with clinical pathologic features of CLL. Our results are consistent with a growing literature supporting the idea that cooperative events are needed for leukemogenesis in order to overcome key checkpoints in the clonal expansion of malignant cells. Our finding that *SF3B1* mutation is associated with cellular senescence provides mechanistic understanding of how this lesion by itself may not lead to leukemia, and yet provides numerous adaptive changes in gene expression that are impactful on essential cellular pathways. Thus, our

results point to the idea that *SF3B1* mutation creates a reservoir of cells with numerous alterations ‘in-waiting’ that do not proliferate until the occurrence of some additional event that overcomes a cancer generating checkpoint. These data further support the idea that *SF3B1* mutation is not a driver in the classical sense (i.e. absence of generation of leukemia by itself) but functions to efficiently execute numerous changes that are pro-oncogenic. In our study, that cooperating event was *Atm* deletion. We established that this alteration alone could override cellular senescence and could also alter the RNA splice isoform landscape of the cell. Indeed, previous studies have suggested that overcoming oncogene-induced senescence through regulation of the DNA damage repair pathway (e.g., *ATM*, *CHK2*, and *TP53*) is a common mechanism for malignant transformation (Bartkova et al., 2006). Altogether, our mouse model supports the notion that combination of *Sf3b1* mutation and *Atm* deletion increases genomic instability, selecting for alterations that confer heightened cellular fitness e.g. proliferative effect (MAPK/ERK pathway) and overcome separate cancer checkpoints.

Our integrated transcriptome and proteomic analyses highlighted the numerous altered pathways associated with onset of malignancy, including the BCR signaling pathway. Prior studies have noted human CLL to have a more anergic BCR signaling signature compared to normal B cells, a circuitry that was exactly reflected in our DM-CLL mouse lines, and accentuated in the mouse lines with *SF3B1* mutation. Translating forward from the mouse characterizations, we noted that the same deregulated circuitry in *SF3B1*-mutated animals was also evident in two independent human cohorts and was reflected by altered sensitivity of human CLL cells with *SF3B1* mutation to BCR-targeting inhibitors *in vitro* and *in vivo*. These findings may not be surprising, given that alternative splicing of CD79b has been implicated in altered BCR expression in CLL (Alfarano et al., 1999). Indeed, analysis of our mouse lines revealed altered-splicing of transcripts from 12 genes within the BCR signaling pathway associated with *Sf3b1* mutation or *Atm* deletion alone or combination, including *Cd22*, *Chuk*, *Cr2*, *Ikbkb*, *Inpp5d*, *Malt1*, *Pik3cd*, *Pik3r1*, *Pik3r2*, *Pik3r5*, *Syk* and *Vav2*. Our results support a ‘goldilocks’ model of BCR signaling and B cell survival, which states that B cell survival is dependent on the tuning of BCR signaling such that it is neither overly strong nor overly weak (Muschen, 2018). Within this context, cells with inherent decreased BCR signaling would be anticipated to be more sensitive to a BTK inhibitor like ibrutinib, as these cells are already closer to the minimum threshold of BCR signaling needed for cell survival. In keeping with this line of reasoning, the ‘distance’ to cell death afforded by abrogation of BCR signaling via a targeted BTK inhibitor is shorter when the target cells already have dampened BCR signaling, as we detected in the CLL samples with mutated *SF3B1*, and thereby would be more sensitive the drug. Targeting this so-called autoimmunity checkpoint has been suggested to be an attractive approach to eradicate B cell malignancies (Muschen, 2018).

Our study thus opens the possibility of using human genetic data to model subvariants of CLL, from which we anticipate opportunities for discovery of mechanistic biology and for studying the impact of diverse therapeutics. This approach is increasingly important in the age of precision medicine, which will require detailed mappings of disease sub-classifications with therapeutic vulnerabilities.

## STAR METHODS

### CONTACT FOR REAGENT AND RESOURCE SHARING

Further information and requests for resources and reagents should be directed to and will be fulfilled by the Lead Contact, Lili Wang (lilwang@coh.org).

### EXPERIMENTAL MODEL AND SUBJECT DETAILS

**Animals**—All animals were housed at Dana-Farber Cancer Institute (DFCI). All animal procedures were completed in accordance with the Guidelines for the Care and Use of Laboratory Animals and were approved by the Institutional Animal Care and Use Committees at DFCI. *Sf3b1*-K700E floxed mice (C57BL/6J × 129 hybrids) were generated as previously described (Obeng et al., 2016). *Atm* floxed mice (C57BL/6J × 129 hybrids) (Zha et al., 2008) were provided by Dr. Fred Alt (Children's Hospital, Boston). The *Sf3b1*-K700E floxed mice and *Atm* floxed were bred with C57BL/6 mice for more than 7 generations before crossed with homozygous *Cd19*-Cre mice (*Cd19*-Cre<sup>+/+</sup>) to yield both WT (*Cd19*-Cre<sup>+/-</sup>*Sf3b1*<sup>+/+</sup>) and heterozygous *Sf3b1* MT mice (*Sf3b1* MT, *Cd19*-Cre<sup>+/-</sup>*Sf3b1*<sup>fl/+</sup>). Similarly, to obtain heterozygous expression of *Sf3b1* mutation and *Atm* deletion in B cells, we crossed *Sf3b1*-K700E floxed mice with *Atm* floxed mice to generate *Sf3b1*<sup>fl/+</sup>*Atm*<sup>fl/fl</sup> mice, which were then crossed with CD19Cre (*Cd19*-Cre<sup>+/+</sup>) mice to obtain *Cd19*-Cre<sup>+/-</sup>*Sf3b1*<sup>fl/+</sup>*Atm*<sup>fl/+</sup> (*Atm* MT) and *Cd19*-Cre<sup>+/-</sup>*Sf3b1*<sup>fl/+</sup>*Atm*<sup>fl/+</sup> (*Sf3b1* and *Atm* double mutant, DM) mice.

**Primary Human CLL Samples**—Studies were approved by the Institutional Review Boards of DFCI and conducted in accordance to the Declaration of Helsinki protocol. All primary CLL samples were obtained from CLL Research Consortium. CLL cases provided samples after their informed consent and primary human de-identified CLL samples derived from peripheral blood mononuclear cells were used.

### METHOD DETAILS

**Disease blood monitoring in mouse cohorts**—100 µl of blood was collected from mice via submandibular bleeds into EDTA-containing tubes to prevent clotting. Erythrocyte lysis was carried out by incubating the blood in 1 ml of ACK buffer for 5 min and then washing with PBS supplemented with 2% FCS and 2 mM EDTA. The cells were then stained with a cocktail of antibodies against CD5, B220, CD11b, CD3 and IgKappa for 15 min at 4°C. Cells were then washed and analyzed using flow cytometry.

**Cell subpopulation analysis**—To analyze specific cell surface markers, erythrocyte lysed single cell suspensions prepared from mouse spleen, bone marrow, and peritoneal cavity were washed with PBS supplemented with 2% FCS and 2 mM EDTA and then incubated with antibodies for 15 min at 4°C. The marginal B cell population: CD93, CD23, B220, CD21, IgD and IgM (PE-Cy7). Hardy fraction in the bone marrow cells: IgM, ly-51, B220, CD43, IgD and CD24. Peritoneal cavity cells: CD5, B220 and CD11b.

**Culture and analysis of splenic B cells**—Mice were euthanized in a CO<sub>2</sub> chamber and the harvested spleens were mechanically dissociated between frosted slides to form a single

cell suspension. Erythrocyte lysis was carried out using ACK buffer and B cells were immunomagnetically selected from the resulting splenocytes. Negatively enriched splenic B cells were used to perform all experiments, and this enrichment method usually yields more than 90% CD19<sup>+</sup> B cells. Splenic B cells were cultured at a density of  $0.5 \times 10^6 - 0.8 \times 10^6$  cells/ml in RPMI media supplemented with 10% FCS, 1% penicillin/streptomycin, 50 µg/ml lipopolysaccharides from *Salmonella enterica*, 10 ng/ml murine IL-4 and 0.0572 mM beta-mercaptoethanol. Cells were cultured at 37 °C in a humidified incubator with 5% CO<sub>2</sub> for 24 – 96 hr before they were collected for analysis. Mice were alternatively stimulated with immunization with fresh sheep red blood cells (sRBCs). SRBCs were washed in sterile PBS twice and re-suspended in sterile PBS to concentration of  $200 \times 10^6$  cells/ 100µl. Mice were injected via intravenous tail vein injection with 200 µl of the cell suspension. After 10 days, mice were euthanized for analysis. Proliferation was measured using CellTrace Violet Cell Proliferation Kit and flow cytometry, per manufacturer's instruction. Dye intensity was determined every 24 hr following labelling. Cell apoptosis was measured by flow cytometric evaluation of Annexin V-PE and 7-AAD staining.

**IHC staining, quantification of Ki67 positive GC**—All spleens were fixed in 10% buffered neutral formalin overnight followed by 70% ethanol until the tissues were processed. Spleens were then paraffin embedded and sectioned into 20µm for IHC staining. Cell proliferation was estimated using Ki67 specific antibodies and a horseradish peroxidase (HRP)-conjugated secondary antibody to reveal the diaminobenzidine (DAB) staining. Slides were scanned into Aperio eSlide Manager and observed at a magnification of 10x. The diameter of each Ki67 positive germinal center (indicated by brown staining) was then measured. B220, CD5, and CD5 staining were performed on the section with standard procedure.

**Pyrosequencing**—Quantitative targeted sequencing to detect a region of genetic change within cDNA was performed, as previously described (Landau et al., 2014). In brief, biotinylated amplicons generated from PCR of transcript surrounding *SF3B1* mutation were generated. Immobilized biotinylated single stranded DNA fragments were isolated per manufacturer's protocol and sequencing undertaken using an automated pyrosequencing instrument (PSQ96; Qiagen, Valencia, CA), followed by quantitative analysis using Pyrosequencing software (Qiagen). Forward (CAGAGCACTGATGGTCCGAA) and reverse (Bio CAAGCGAGGCACACTGGTAT) primers are used to amplify the region across the K700E mutation site from the cDNA. The probe sequence is CTGATGGTCCGAACTT.

**Genome sequencing and analysis**—350 ng genomic DNA was used for library preparation. DNA libraries were constructed using the KAPA Library Prep Kits (KAPA Biosystems) using palindromic forked adapters with unique 8 base index sequences embedded within the adapter (IDT). Following sample preparation, libraries were quantified using quantitative PCR with probes specific to the ends of the adapters. Samples were then combined with HiSeq X Cluster Amp Mix 1,2 and 3 into single wells on a strip tube using the Hamilton Starlet Liquid Handling system. Cluster amplification of the templates was performed according to the manufacturer's protocol (Illumina) using the Illumina cBot. Flowcells were sequenced using the Illumina HiSeqX platform (Illumina). Whole genome

sequencing data analyses were performed as described previously (Berger et al., 2011). Briefly, sequencing output was post-processed with the Picard and GATK toolkits (Broad Institute), which includes marking duplicated reads and recalibrating base qualities, and all BAM files were aligned to Genome Reference Consortium Mouse Build 38, or mm10. From the aligned BAM files, both somatic and germline alterations were identified using a set of tools developed at the Broad Institute ([www.broadinstitute.org/cancer/cga](http://www.broadinstitute.org/cancer/cga)). Somatic single nucleotide variations (sSNVs) were detected using MuTect1 (Cibulskis et al., 2013) (version 1.1.6). Variants were annotated using Variant Effect Predictor (McLaren et al., 2016). Variants called from Mutect1 were further filtered by removing any calls that had less than 8 reads on the tumor. Furthermore, variants that belonged to lower case masked regions, as specified by the mm10 reference sequence, were discarded. The (updated) copy number analysis was conducted using the coverage-only copy number variant pipeline from GATK 4 (Broad Institute). The purity of the samples was calculated from the heterozygous site split and the copy number calls. From the rejected Mutect1 calls, sites were considered as heterozygous sites if their allelic fraction fell between 0.48–0.53 in the normal sample site. Only sites with 30 or more reads in the tumor, and between 20 and 50 reads in the normal were considered for analysis. To track the clonal trajectories between the parental DM-CLLs and engrafted CLL cells, we first measured the variant allele fraction (VAF) of all sSNVs/sIndels identified in the available WES data per mouse line. VAFs were transformed to cancer cell fractions (CCFs) using ABSOLUTE, followed by n-dimensional clustering. This procedure allowed us to infer discrete clusters of somatic mutations that define the clonal cluster and each subclone and to calculate the CCF of each subclone at a time point.

**RNA-seq analysis**—RNA-seq analyses for splicing and differential gene expression were performed as previously described (Wang et al., 2016). Alternative splicing analysis was performed using JuncBASE (Brooks et al., 2011). TPM (Transcripts Per Kilobase Million) value was used to measure gene expression and genes with poor expression (mean TPM<1) were filtered. The DFCI/Broad cohort was described in (Landau et al., 2014) and the ICGC cohort was described in (Ferreira et al., 2014).

**Quantitative Proteomics**—Tandem mass tag (TMT) 10-plex labeling was performed and peptides were fractionated via BPRP HPLC. An 1100 pump (Agilent, Santa Clara, CA) equipped with a degasser and a photodiode array (PDA) detector (ThermoFisher Scientific, Waltham, MA) was used. Peptides were subjected to a linear gradient from 3 to 25% acetonitrile in 0.125% formic acid using an Agilent 300 Extend-C18 column (Agilent, Santa Clara, CA), and were fractionated into a total of 96 fractions. Mass spectrometry was performed using an Orbitrap Fusion mass spectrometer (ThermoFisher Scientific, Waltham, MA) coupled to a Proxeon EASY-nLC 1000 liquid chromatography (LC) pump (ThermoFisher Scientific, Waltham, MA). Peptides were detected (MS1) and quantified (MS3) in the Orbitrap. MS2 spectra were searched using the SEQUEST algorithm against a Uniprot composite database derived from the mouse proteome containing its reversed complement and known contaminants. Peptide spectral matches were filtered to a 1% false discovery rate (FDR) using the target-decoy strategy combined with linear discriminant analysis. The detected proteins were filtered to a <1% FDR and were quantified only from peptides with a summed SN threshold of  $\geq 200$  and isolation specificity of 0.5. Statistical

analysis of the proteome was performed based on the normalized intensities of the TMT-reporter ions. Proteins that exhibited more than 2-fold difference between sample types were considered differentially expressed. Quantitative metabolomics profiling was performed by the Proteomics/Metabolomics Core of Beth Israel Deaconess Medical Center using a selected reaction monitoring (SRM) method as previously described (Yuan et al., 2012).

**BCR stimulation**—For analysis of BCR signaling transduction, purified splenic B cells or CLL cells ( $10 \times 10^6$ ) were stimulated in 500  $\mu$ l RPMI 1640 medium (Life Technologies, Woburn, MA) in the presence of 20  $\mu$ g/ml goat  $\alpha$ -mouse IgM for 5 min at 37°C. Cells were harvested, washed twice with cold phosphate-buffered saline (PBS), and lysed for 30 min on ice with RIPA buffer (Boston Bioproducts, Boston, MA) supplemented with a protease inhibitor mix (1 tablet in 10 ml RIPA buffer, Roche, San Francisco, CA). Cell debris was removed by centrifugation at 12,000 $\times$ g for 5 min, and cell extracts were fractionated by SDS-PAGE followed by western blot analysis of kinase activation (SYK, AKT and ERK1/2). Antibodies used in the study include:  $\alpha$ -SYK,  $\alpha$ -p-SYK (Y352),  $\alpha$ -AKT (40D4),  $\alpha$ -p-AKT S473 (D9E),  $\alpha$ -ERK1/2 (137F5) and  $\alpha$ -p-ERK1/2 (D13.14.4E).

**Immunofluorescence**—For immunofluorescence staining, purified splenic B cells or CLL cells were fixed in 4% paraformaldehyde in PBS for 15 min, washed once with PBS, and permeabilized in 0.5% Triton X-100/PBS for 15 min at room temperature. After washed once with PBS, cells were blocked in blocking buffer (1% bovine serum albumin in PBS) for 30 min. Immunostaining was performed using  $\alpha$ -SF3B1 or  $\alpha$ -SF3A1–3 antibody at 4 °C overnight, or  $\alpha$ - $\gamma$ H2AX antibody for 1 h at room temperature. After 3 $\times$  10 min wash with PBS, cells were stained with secondary antibody conjugated to FITC at room temperature for 1h. Images were captured with a Nikon TE2000U inverted microscope.

**Human CLLs treated with ibrutinib *in vitro***—CLL primary patient samples were obtained from The CLL Research Consortium (CRC). CLL samples were resuspended in RPMI1640 medium supplemented with 10% FBS and cultured in 96-well tissue culture plates (50,000 cells/100  $\mu$ L). Ibrutinib (Selleckchem, Houston, TX) was diluted serially in medium and was added to corresponding wells at final concentrations ranging from 0 to 10  $\mu$ M. After incubation for 48 hr at 37 °C with 5% CO<sub>2</sub>, cell viability was measured by CellTiter-Glo® Luminescent Assay and normalized by cells with no drug treatment.

**Mouse CLL transplantation and ibrutinib treatment**—The transplantation studies were performed on 8–12 weeks old immunocompetent CD45.1 C57BL/6 mice (pre-conditioned with 400 rads split dose irradiation) or immunodeficient recipient NSG mice using viably cryopreserved splenocytes from DM-CLL animals. Ten million DM-CLL cells/recipient were resuspended in 100 microliters PBS and injected intravenously into CD45.1 C57BL/6 or NSG. In ibrutinib single agent treatment studies, CLL burden in the peripheral blood of the transplanted recipients (NSGs) was analyzed by flow cytometry and the treatment was initiated two weeks after transplantation when 20–40% CLL cells were detectable. Vehicle control (1% HP- $\beta$ -CD in water) or 25 mg/kg ibrutinib was administered daily by oral gavage to NSG mice for 6 days, then animals were observed for survival; criteria for euthanasia included hunched posture, difficulties breathing or moving, visible

hepatosplenomegaly, weight loss equal to 15% body weight. Disease burden in the peripheral blood, spleen and bone marrow were evaluated by flow cytometry and spleen and liver sections were examined by IHC at euthanasia.

## QUANTIFICATION AND STATISTICAL ANALYSIS

**Statistical analyses**—Statistical analysis was performed using GraphPad Prism 6. *p* values were calculated with a two-sample Student *t*-test (after confirming homogeneity of variance) or Mann Whitney test. The impact of ibrutinib dosing on cell viability was modeled as a longitudinal mixed effects model which included patient sample as a random factor as well as fixed factors for SF3B1 mutation status and dose; this approach was also used for the studies of apoptosis and cell proliferation. Modeling was performed in R using package ‘nlme’. The Kruskal-Wallis test was used to assess the shift in time of peak lymphocytosis after initiation of ibrutinib treatment.

## DATA AND SOFTWARE AVAILABILITY

**Accession Codes**—Gene Expression Omnibus: The RNA-seq data reported in this paper are deposited into the GEO database (GSE122668) and whole genome sequencing data are deposited into SRA database (PRJNA509881).

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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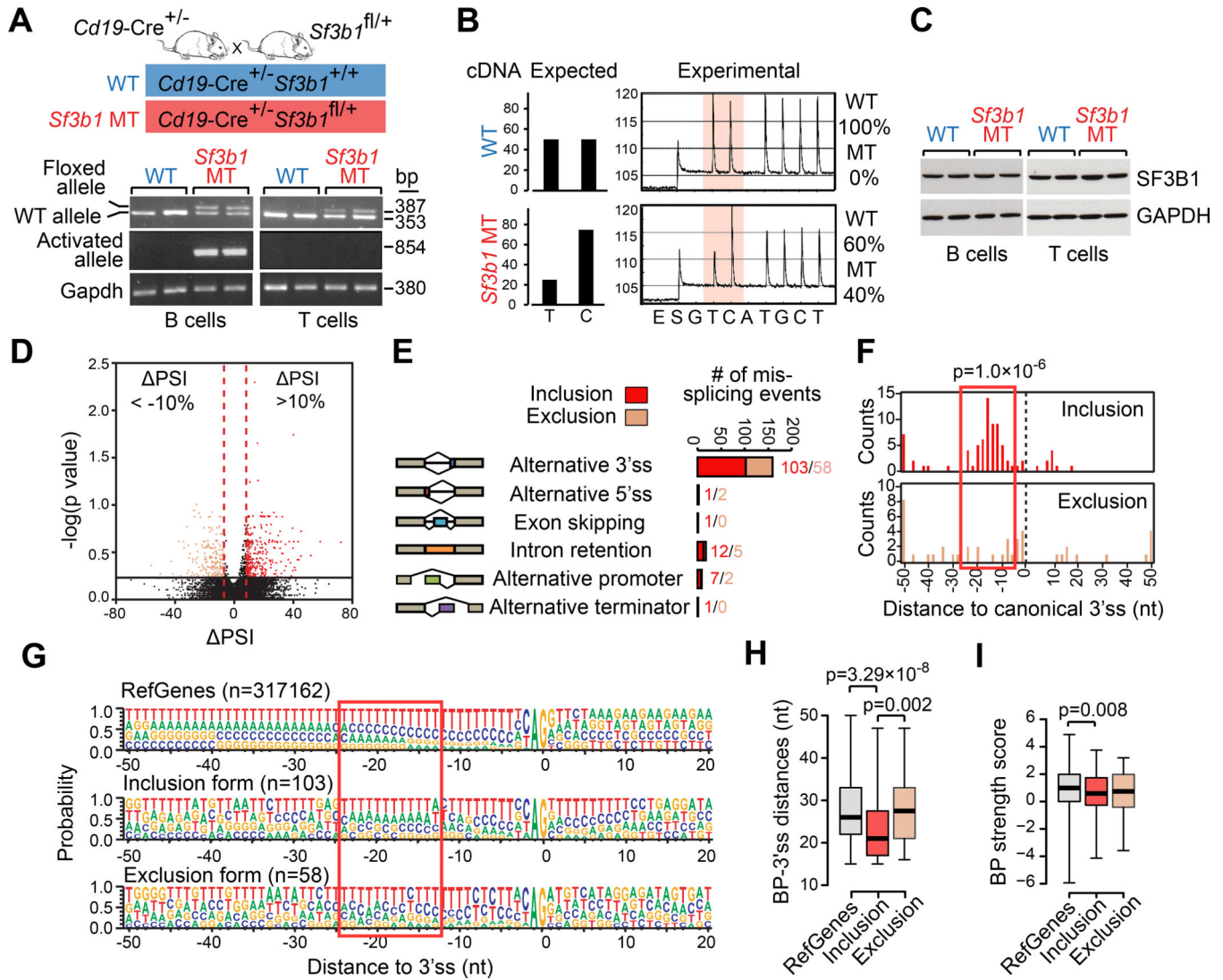
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### SIGNIFICANCE

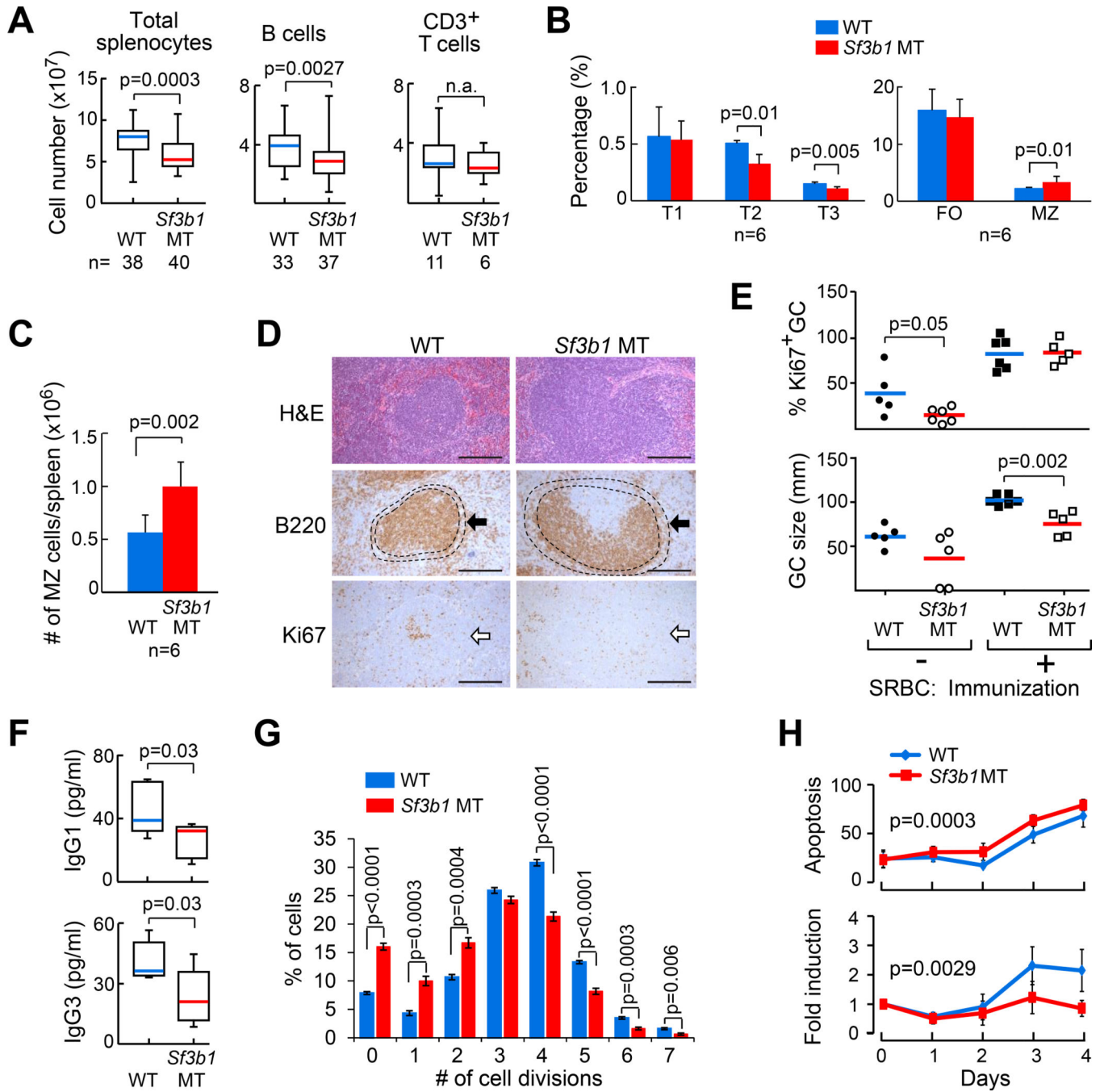
Although *SF3B1* is frequently mutated in CLL, its role in the pathogenesis of CLL remains elusive. Here we generated a murine line conditionally expresses heterozygous *Sf3b1*-K700E mutation in B cells. Expression of this mutant splicing factor disrupted B cell growth and function, and led to cellular senescence. Combining *Sf3b1* mutation with *Atm* deletion in B cells overcame cellular senescence and resulted in lowly penetrant CLL in aged mice, with clonally expanded B220<sup>+</sup>CD5<sup>+</sup> cells present in blood, bone marrow and spleen, recapitulating features of human CLL. Dissection of this murine CLL model revealed the involvement of mutant SF3B1 in BCR signaling dysregulation, leading us to discover altered response of human CLL cells with *SF3B1* mutations to ibrutinib treatment.



**Figure 1. Conditional expression of *Sf3b1*-K700E in mouse B cells.**

(A) PCR of genomic DNA from B and T cells from mice with WT or MT *Sf3b1* to detect the floxed allele and the activated *Sf3b1*-K700E allele. (B) The percentages of WT or MT *Sf3b1* alleles from pyrosequencing profiles in B cells are shown. (C) Western blot of SF3B1 in B cells and T cells with WT and MT *Sf3b1* are shown. Two biological replicates are shown for each group. (D) Volcano plot shows PSI versus  $\log_{10}$  (p value) of all splicing changes identified by JuncBASE. Events with  $|\text{PSI}| > 10\%$  and  $p < 0.05$  were considered significant. (E) Different categories of mis-splicing events in MT versus WT cells are shown. Events with  $\text{PSI} > 10\%$  were defined as inclusion and events with  $\text{PSI} < -10\%$  were defined as exclusion in MT compared to WT cells. (F) Histogram shows the distance between the alternative and canonical 3'ss. The 0 point defines the position of the canonical 3'ss. (G) Sequence motifs around all RefGene 3'ss, MT inclusion 3'ss and MT exclusion 3'ss are shown. The height of each letter indicates the probability that nucleotide is used at that position. The red box highlights the region with heightened usage of adenosine upstream of the inclusion 3'ss. (H) The distance between the predicted branch point and the

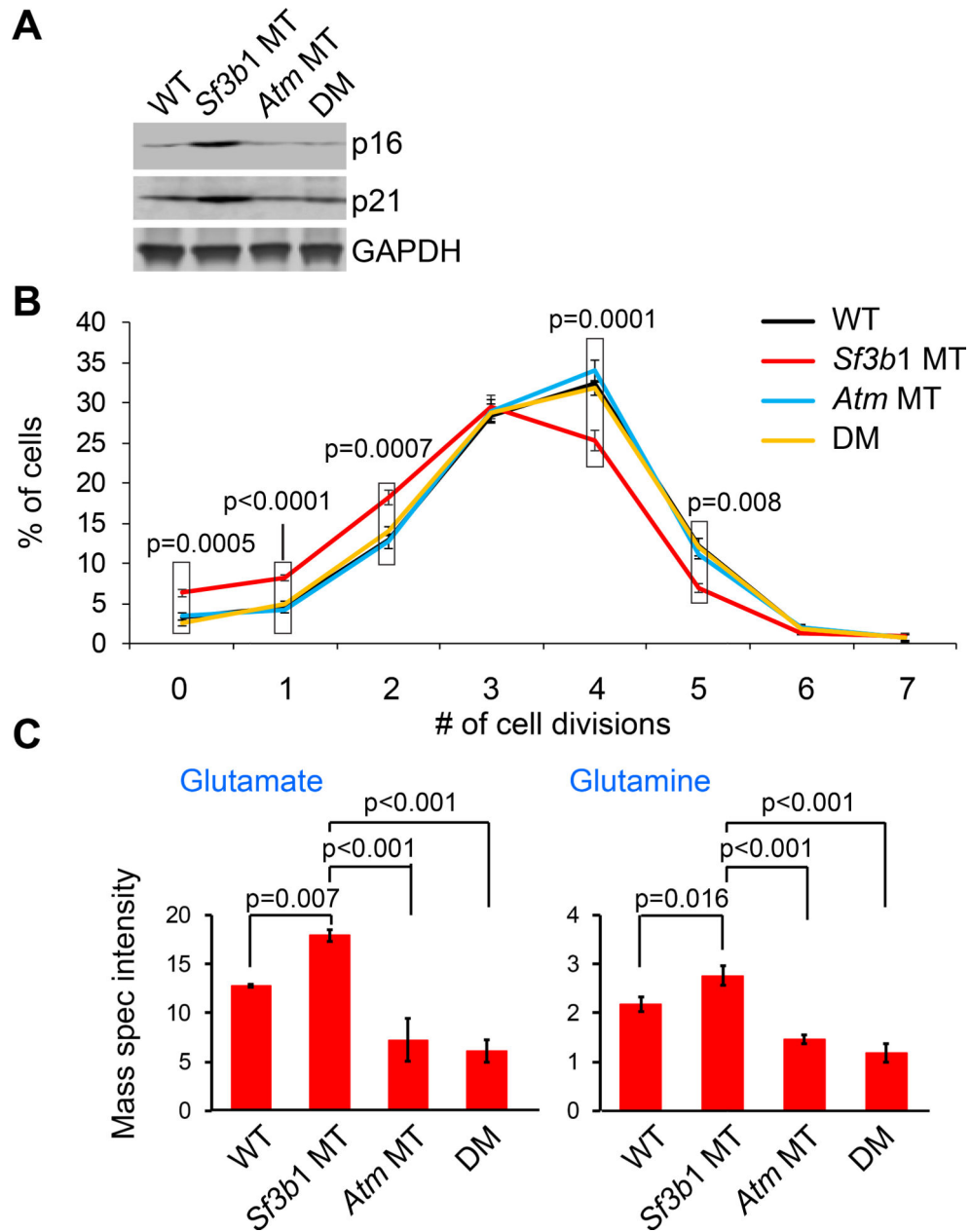
corresponding 3'ss are shown. The 0 point defines the position of the 3'ss. **(I)** The strength of the branch point associated with different groups of 3'ss are shown. In H and I, center lines show the means; box limits indicate the 25th and 75th percentiles and whiskers extend to minimum and maximum values. See also Figures S1 and S2, and Table S1.



**Figure 2. Expression of *Sf3b1*-K700E affects B cell proliferation *in vitro* and *in vivo*.** (A) The number of total splenocytes, splenic B cells and CD3<sup>+</sup> T cells in WT and *Sf3b1* MT mice are shown. Center lines indicate the means; box limits indicate the 25th and 75th percentiles; whiskers extend to minimum and maximum values. (B) The percentages of different B cell populations in the spleens of WT and *Sf3b1* MT mice are shown. T1-T3: transitional B cells, FO: follicular B cells, MZ: marginal zone B cells. (C) The absolute counts of marginal zone B cells per spleen are shown. Average and standard deviation are plotted. For both B and C, data represent Mean±SD of results derived from 6 WT and 6 MT

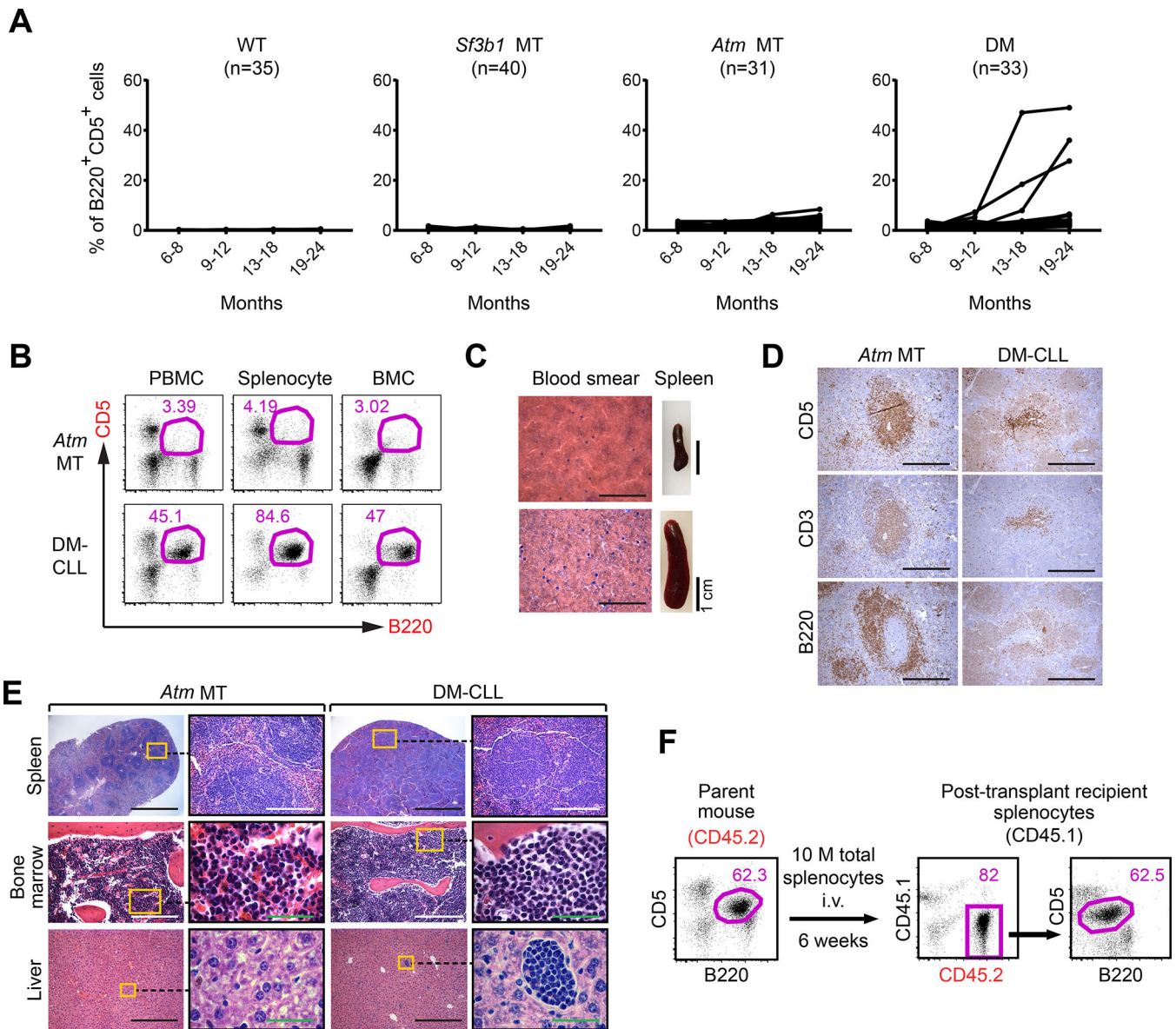
mice, and were analyzed using Student's t-test. **(D)** Hematoxylin and Eosin (HE) staining and immunohistochemical staining of spleen sections from WT and MT mice are shown. Arrow indicates marginal zone stained with an  $\alpha$ -B220 antibody. Proliferative germinal centers were stained with an  $\alpha$ -Ki67 antibody. Scale bar: 250  $\mu$ m. **(E)** The percentage and size of germinal centers identified by Ki67 staining in WT and *Sf3b1* MT mice are shown. Center lines indicate the means. **(F)** Abundance of serum IgG3 and IgG1 antibodies in WT (n=9) and *Sf3b1* MT (n=7) mice are shown. Center lines indicate the means; box limits indicate the 25th and 75th percentiles; whiskers extend to minimum and maximum values. **(G)** WT and *Sf3b1* MT cells were stimulated with LPS+IL4 for 3 days *in vitro* and multiple generations were traced using CellTrace violet dye dilution and flow cytometry. Data represent Mean $\pm$ SD of results derived from 5 WT and 5 MT mice, and were analyzed using ANOVA. **(H)** Apoptosis and proliferation of cells described in (G) are shown. Apoptosis rates were determined by Annexin V staining and flow cytometry. Data represent Mean $\pm$ SD of results derived from 6 WT and 7 MT mice. See also Figure S3.





**Figure 3. *Atm* deletion overcomes *Sf3b1* mutation induced-cellular senescence.**

(A) Western blot of senescence markers p16 and p21 in B cells from the different groups of mice are shown. (B) The percentage of B cells undergoing different numbers of cell divisions upon stimulation with LPS+IL4 were shown. Data represent Mean±SD of three replicates. The p values indicate the difference between *Sf3b1* MT and other groups in each division point, using ANOVA. (C) Abundance of glutamate and glutamine in splenic B cells from the different mice groups are shown. Data represent Mean±SD of mass spectrometry intensities of three replicates and were analyzed using a one-way ANOVA with the Scheffe correction for multiplicity of testing. See also Figures S4.



**Figure 4. Combined *Sf3b1* mutation and *Atm* deletion cause CLL.**

(A) The percentage of CLL-like cells ( $B220^{+}CD5^{+}$ ) within the lymphocyte population in the different groups of mice over time are shown. (B) Flow cytometry data identified  $B220^{+}CD5^{+}$  cells within peripheral blood mononuclear cells (PBMCs), splenocytes and bone marrow mononuclear cells (BMCs) of *Atm* MT and DM-CLL mice. (C) H&E staining of blood smears and spleen of *Atm* MT and DM-CLL mice are shown. Scale bar for blood smear images: 1 mm. (D) Immunohistochemical staining show CD5, CD3 and B220 signals on the consecutive sections of spleens from *Atm* MT and DM-CLL mice. Scale bar: 250  $\mu$ m. (E) H&E staining shows leukemia cells infiltration in the spleen, bone marrow and liver tissues in the *Atm* MT and DM-CLL mice. Black scale bar: 1 mm; White scale bar: 250  $\mu$ m; Green scale bar: 50  $\mu$ m. (F) 10 million total splenocytes and bone marrow cells from a DM-CLL mouse were engrafted into either immunodeficient (NSG) or immunocompetant

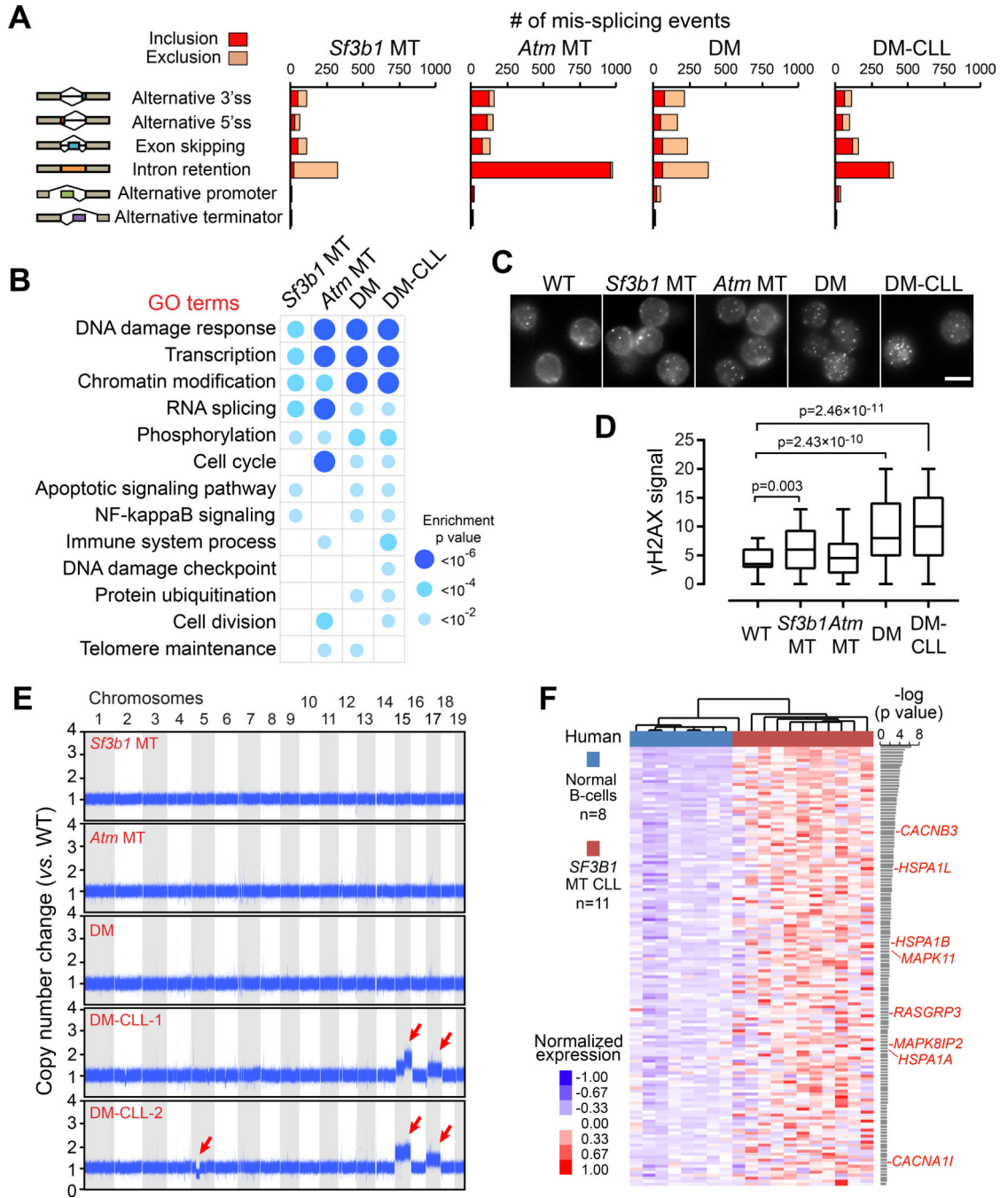
(CD45.1) mice 6 weeks after the engraftment. mice were sacrificed and B220<sup>+</sup>CD5<sup>+</sup> in the spleen were detected by flow cytometry. See also Figure S5 and Table S2.

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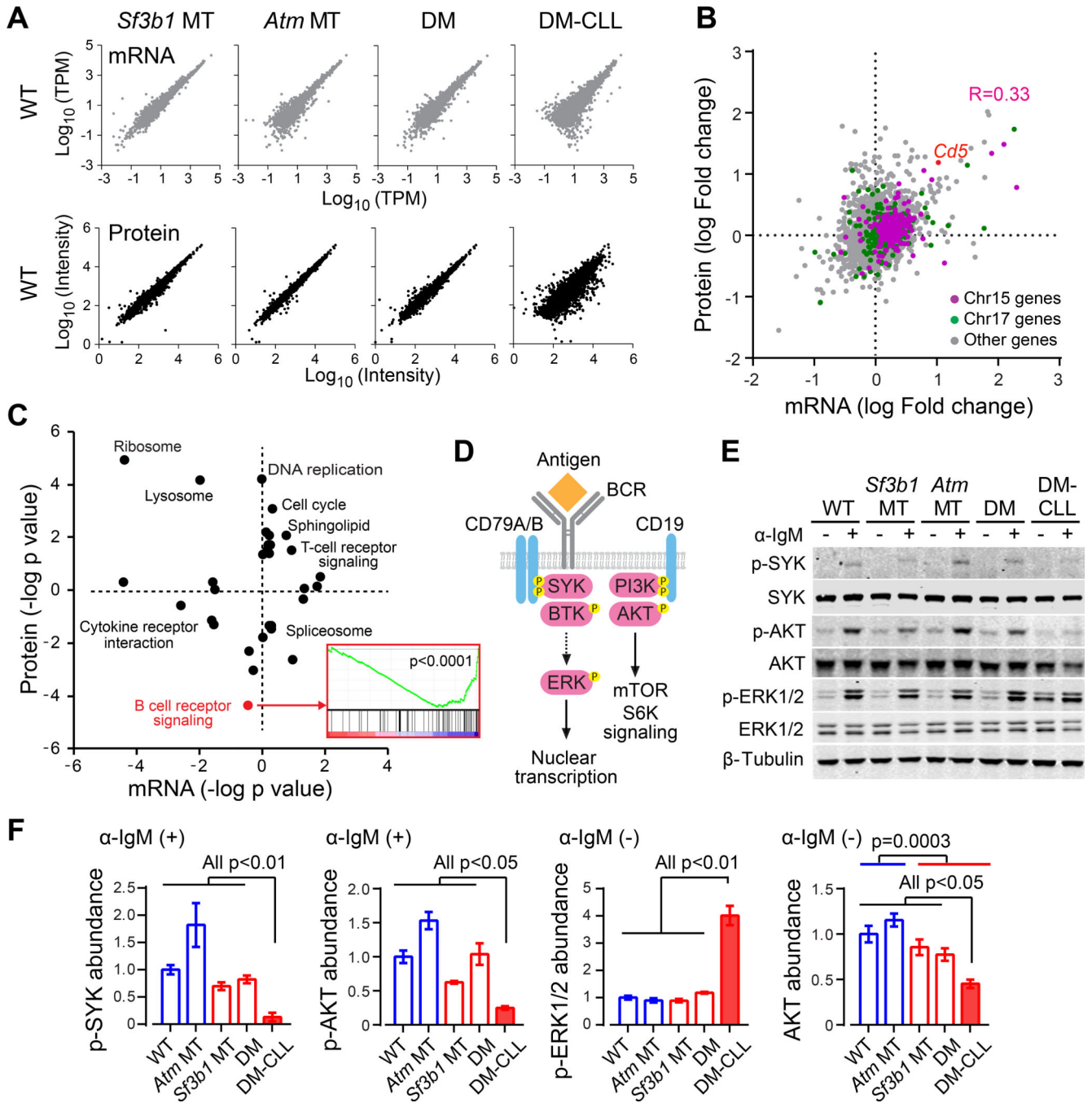
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**Figure 5. *Sf3b1* mutation and *Atm* deletion are associated with increased DNA damage.** (A) The number of alternative splicing events significantly included or excluded in MT groups versus WT are shown. (B) Top Gene Ontology categories significantly enriched for mis-spliced transcripts in different MT versus WT groups are shown. (C) Representative data of  $\gamma$ H2AX immunofluorescent staining in splenic B cells obtained from WT and different MT mice. Scale bars, 5  $\mu$ m. (D)  $\gamma$ H2AX signal intensities in WT and different MT mice. 70–91 cells were measured for each group. Center lines show the medians, box limits indicate the 25th and 75th percentiles and whiskers extend to minimum and maximum

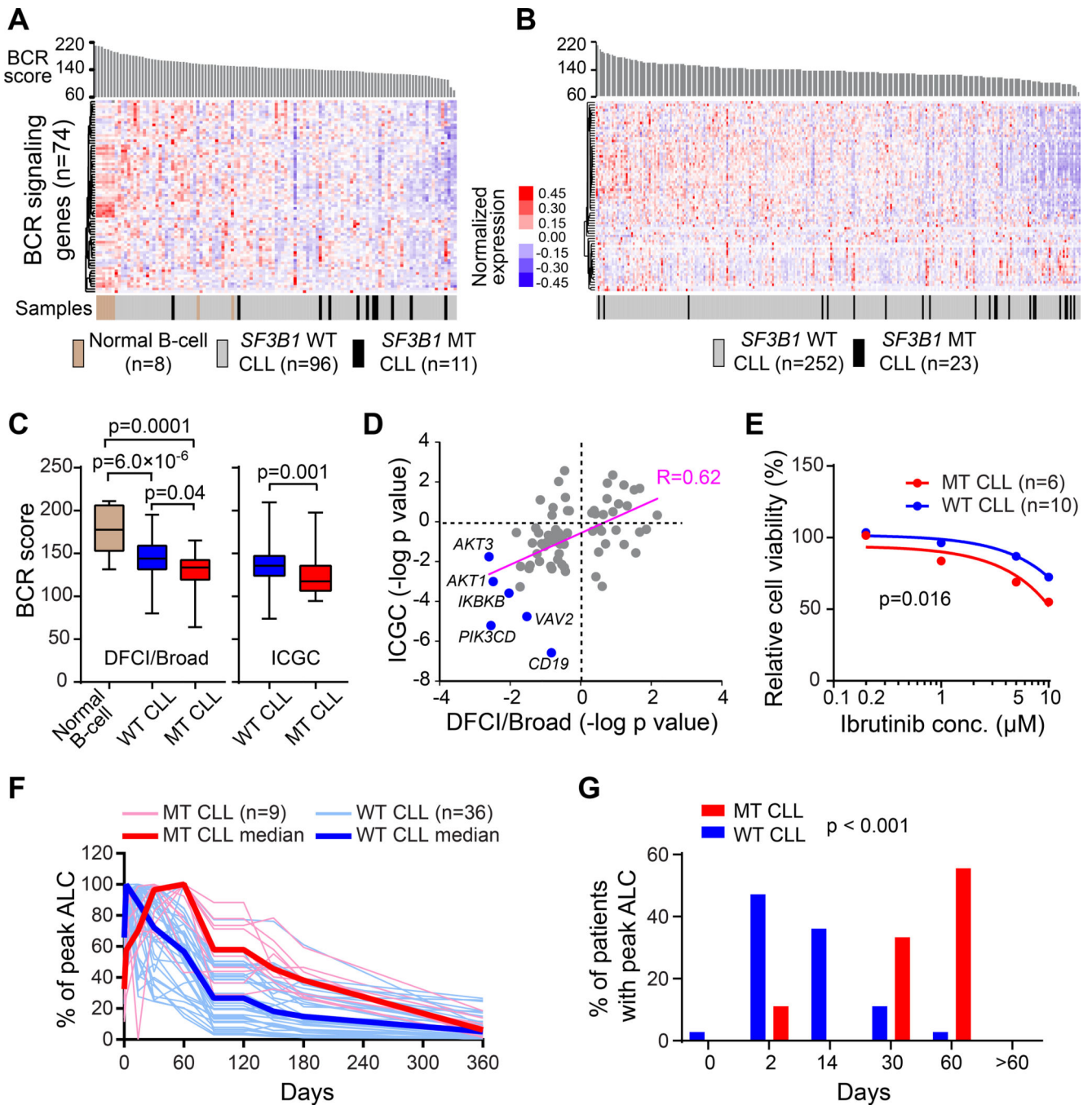
values. **(E)** Chromosomal copy number variants are detected using whole-genome sequencing. The copy number ratio to WT mice are shown. Red arrows indicate chromosome amplification and deletions. **(F)** Heatmap showing the normalized gene expression of 146 human genes (homologs of mouse Chr 15 and 17 genes) significantly upregulated ( $p < 0.05$ ) in 11 human CLL with *SF3B1* mutations versus 8 normal B cells. Genes involved in MAPK/ERK signaling are labeled red. See also Figure S6 and Tables S3–S5.



**Figure 6. Integrative transcriptome and proteomics analyses of CLL cells.**

(A) Correlations in mRNA and protein levels between different MT and WT cells are shown. Expression values were log transformed. Abundance of mRNA was measured by TPM (Transcripts Per Kilobase Million) and protein abundance was measured by Tandem mass tag (TMT) signal intensity. (B) Correlation between changes in mRNA expression and changes in protein expression between DM-CLL and DM are shown. DM-CLL/DM fold changes were log transformed, with positive values indicating upregulation and negative values indicating downregulation in DM-CLL versus DM. (C) GSEA analysis of KEGG

pathways enriched for differentially expressed genes/proteins between DM-CLL and DM. KEGG pathways were plotted by the log transformed enrichment p values, with upregulated or downregulated pathways indicated by positive or negative values, respectively. GSEA enrichment plot for BCR signaling genes is shown. **(D)** A schematic shows a simplified overview of the BCR signaling pathway. **(E)** Western blot of BCR signaling components in non-CLL or CLL cells either untreated or treated with 20  $\mu\text{g/ml}$   $\alpha\text{-IgM}$  for 5 min. **(F)** Quantification of the western blot results. Abundances of p-SYK and p-AKT upon  $\alpha\text{-IgM}$  stimuli, as well as of p-ERK1/2 and total AKT without  $\alpha\text{-IgM}$  stimuli, are shown. Data represent Mean $\pm$ SD of three independent experiments. See also Figure S6.



**Figure 7. Distinct patterns of BCR signaling in CLL cells with *SF3B1* mutation.**

(A and B) Heatmap of the expression of BCR signaling pathway genes in DFCI/Broad cohort (n=115) (A) and ICGC cohort (n=275) (B). Samples were ranked by BCR scores, which indicate the mean TPM of 74 BCR signaling genes within individual samples. (C) Statistics of the BCR scores in different groups are shown. Center lines indicate the means; box limits indicate the 25th and 75th percentiles; whiskers extend to minimum and maximum values. (D) Correlation between changes in the expression of BCR signaling pathway genes in the two CLL patient cohorts. Positive log-transformed p values indicate



upregulation and negative values indicate downregulation in *SF3B1* MT compared to WT CLLs. **(E)** 6 *SF3B1* MT and 10 WT human CLLs treated with different doses of ibrutinib for 48 hr *in vitro*, and the relative cell viability compared to the DMSO control group were calculated. The mean percentage viability of all *SF3B1* WT or MT CLL samples upon ibrutinib treatment is shown. **(F)** Changes in absolute lymphocyte count (ALC) overtime in 36 cases and 9 cases with WT and MT *SF3B1*, respectively, CLL treated with ibrutinib. ALC was measured by 2, 14 days and 1, 2, 3, 4, 5, 6 and 12 months on ibrutinib and was presented as percentage of the peak ALC for individual cases (thin red lines, MT CLLs; thin blue lines, WT CLLs). Red line - Median values of all MT CLLs; blue line – median value of all WT CLLs. **(G)** The percentage of cases reaching peak circulating ALC at each time point. All cases reached their peak by 60 days; p value was calculated using the Kruskal-Wallis test. See also Figure S7 and Table S7