## UC Riverside UC Riverside Electronic Theses and Dissertations

#### Title

Synge's Theorem, Systole, and Positive Intermediate Ricci Curvature

#### Permalink

https://escholarship.org/uc/item/0zs6k1jk

## Author

Gee, Savanna Gail

# Publication Date 2023

Peer reviewed|Thesis/dissertation

#### UNIVERSITY OF CALIFORNIA RIVERSIDE

Synge's Theorem, Systole, and Positive Intermediate Ricci Curvature

#### A Dissertation submitted in partial satisfaction of the requirements for the degree of

Doctor of Philosophy

 $\mathrm{in}$ 

Mathematics

by

Savanna Gail Gee

June 2023

Dissertation Committee:

Dr. Frederick Wilhelm, Chairperson Dr. Stefano Vidussi Dr. Bun Wong

Copyright by Savanna Gail Gee 2023 The Dissertation of Savanna Gail Gee is approved:

Committee Chairperson

University of California, Riverside

#### Acknowledgments

My Advisors — I am tremendously thankful to my dissertation advisors Fred Wilhelm and Lawrence Mouillé for providing me with years of their time, patience, encouragement, and support. Fred and Lawrence believed in me when I had no faith left in myself, and they never made me feel ashamed for needing more time and guidance to make progress with this dissertation.

**Faculty and Staff** — I am also grateful to the math department faculty and staff at UC Riverside. I thank the professors who taught me the fundamentals of graduate mathematics during my first two years in the program, I thank Yat Sun Poon for being an excellent department chair during my first five years, and I thank Margarita Roman for keeping me on track and for always being a cheerleader to me.

**Graduate Students** — I feel enormous gratitude for my fellow math graduate students. Charley Conley and Mandy Smith were the first in my cohort to welcome me. Tim McEldowney was a more senior graduate student who took me under his wing. Daniel Collister got me through qualifying exams and every excruciating moment of graduate school after that.

**Parents** — I am exceptionally grateful to my mom and dad. On multiple occasions, my mom brought groceries to my apartment so I could focus on my work. I once texted my dad, "I'm so stressed out. I want a cinnamon roll," and within an hour, there were *three* varieties of cinnamon rolls at my door. In addition to feeding me, my parents supported me with thoughtful words of encouragement and countless hugs.

**Friends** — I thank my two best friends Andrea Bholat and Gabby Tsai for their unconditional support. The stress of graduate school made me unkind at times, but Andrea was always gracious and forgave me. I could reach out to her when I felt like a failure, and she was at the ready to listen to me; when I had good news to share, she was quick to celebrate with me. Gabby, also a math graduate student at UCR, was my much-needed comrade. Her friendship drastically improved my experience as a graduate student and significantly increased my willpower to continue with the program whenever I wanted to quit.

Colby and Brie (Bella, too) — Last but by no means least, I thank my dogs Colby and Brie (Bella, too). I adopted Colby at the end of my first year of graduate school because I was so unhappy and filled with tension. He came into my life and brought with him joy that was previously absent from my heart. I later adopted Brie. Together, Colby and Brie and I were an inseparable unit of love and perfect happiness for five extraordinary years. Not long after the day that marked our fifth anniversary of becoming a family, Colby sprouted glorious angel wings and flew up to Heaven. After Colby moved to the Rainbow Bridge, Bella came into our lives and helped Brie and me cope with the intense grief we were experiencing.

Bella, I love you, and I thank you for taking care of me and for trusting me to take care of you. Brie, I love you, and I thank you for being beside me and loving me throughout every moment of this long journey. Colby, I love you, and I thank you for changing my life, for making it infinitely better. Your spirit lives on in my soul, and even without you physically here beside me, you helped me through my final year of graduate school. To Colby and Brie.

Bella, too.

#### ABSTRACT OF THE DISSERTATION

Synge's Theorem, Systole, and Positive Intermediate Ricci Curvature

by

Savanna Gail Gee

Doctor of Philosophy, Graduate Program in Mathematics University of California, Riverside, June 2023 Dr. Frederick Wilhelm, Chairperson

In 1997, Wilhelm [15] proved the following generalization of Synge's Theorem: let  $(M, g_M)$  be a compact Riemannian *n*-manifold with  $\operatorname{Ric}_k(M, g_M) \ge k$  and  $\operatorname{sys}_1(M, g_M) > \pi\sqrt{\frac{k-1}{k}}$ ; if *n* is even and *M* is orientable, then *M* is simply connected; if *n* is odd, then *M* is orientable (Theorem 1.2). Furthermore, he proved that this lower bound on  $\operatorname{sys}_1$  is optimal when k = n - 1. In 2020, Mouillé [6] proved that  $S^3 \times S^3$  admits a metric  $g_\ell$  with  $\operatorname{Ric}_2(S^3 \times S^3, g_\ell) > 0$  (Theorem 1.4).

In this dissertation, we first show that the metric  $g_{\ell}$  (which is a Cheeger deformation) is canonical variation. This follows from a more general result we prove (Theorem 1.7), which is that if  $(M, g_{\ell})$  is a Cheeger deformation by  $(G, g_{\rm bi})$  that satisfies what we call the generalized Petersen-Wilhelm hypothesis (Definition 2.16), then for all  $p \in M$ , the orbit G(p) is normal homogeneous and  $g_{\ell}|_p$  is canonical variation with respect to the Riemannian submersion  $\pi : (M, g_M) \xrightarrow{2.3} (M/G, \overline{g})$ . Moreover, if G(p) is totally geodesic for all  $p \in M$ , then  $g_{\ell}$  is canonical variation (Theorem 1.8). We then develop a technique for finding an optimal lower bound on  $\operatorname{Ric}_k$  for any Riemannian manifold  $(M, g_M)$  with dimension  $n \ge 4$ . Specifically, for any  $p \in M$ , unit vector  $x \in T_pM$ , and  $k \in \mathbb{N}$  such that  $2 \le k \le n-2$ , we prove that  $\min \operatorname{Ric}_k(x; \bullet) =$  $\operatorname{Ric}(x) - \max \operatorname{Ric}_{n-1-k}(x; \bullet)$  (Theorem 1.9).

From there, letting  $\mathbb{Z}_2$  act on  $S^3 \times S^3$  in two ways—as the antipodal map a:  $(N_1, N_2) \mapsto (-N_1, -N_2)$  and as f:  $(N_1, N_2) \mapsto (-N_1, N_2)$ —we study for each value of  $t \in (0, 1)$  the manifold  $\frac{S^3 \times S^3}{\mathbb{Z}_2}$  paired with the unique metric  $\overline{g_t}$  that makes the quotient map  $(S^3 \times S^3, g_t) \longrightarrow \left(\frac{S^3 \times S^3}{\mathbb{Z}_2}, \overline{g_t}\right)$  a local isometry. In particular, we establish *t*-independent and *t*-dependent upper bounds on the product min  $\operatorname{Ric}_k \left(\frac{S^3 \times S^3}{\mathbb{Z}_2}, \overline{g_t}\right) \cdot \left(\operatorname{sys}_1 \left(\frac{S^3 \times S^3}{\mathbb{Z}_2}, \overline{g_t}\right)\right)^2$  when k = 2, 3, 4 (Theorems 1.5 and 1.6).

Finally, we notice that our upper bounds are smaller than Wilhelm's upper bounds. We conclude that when restricted to the family  $\left\{ \left( \frac{S^3 \times S^3}{\mathbb{Z}_2}, \overline{g_t} \right) \mid 0 < t < 1 \right\}$ , we have established an improved upper bound on min  $\operatorname{Ric}_k \cdot (\operatorname{sys}_1)^2$  when k = 2, 3, 4.

# Contents

1	Introduction										
	1.1	1.1 Motivation									
	1.2	Staten	nent of Results	5							
		1.2.1	Main Theorems	5							
		1.2.2	When Cheeger Deformation and Canonical Variation Coincide	8							
		1.2.3	An Optimal Lower Bound on $\operatorname{\mathbf{Ric}}_k$	9							
2	Bac	kgrour	nd	11							
	2.1	Riema	nnian Submersions	11							
	2.2	Deform	nation of a Riemannian Manifold	12							
		2.2.1	Cheeger Deformation	12							
		2.2.2	Canonical Variation	16							
		2.2.3	Interpolating Between Cheeger and Canonical	17							
	2.3	Curva	$\operatorname{ture}$	29							
		2.3.1	Positive Intermediate Ricci Curvature	29							
		2.3.2	A-Tensor	30							
		2.3.3	Formulas	31							
3	Def	ormine	$r (S^3 \times S^3 a \pm a)$	37							
J	3 1	$\begin{array}{c} \text{Solution} \\ Solutio$									
	3.2	Canon	$\begin{array}{c} \text{ or Determination of } (S^3 \times S^3, g + g) \\ \text{ or eal Variation of } (S^3 \times S^3, g + g) \end{array}$	30							
	3.3	Cheeg	er to Canonical $(S^3 \times S^3, g+g)$	45							
4	т		a d Essentia for Constant Coloriations	4 17							
4	Lemmas and Formulas for Curvature Calculations										
	4.1	Zero C		41							
	4.2	Vertica		50							
	4.5	Nontin		51							
	4.4			00							
	4.0	I ne 3-	-1 Rules	54 56							
	4.0		l Curvatures	00 69							
	4.7	I ne I Curve	ture of Product Planes	03 64							
	4.0	Ourva		04							
5	Proofs of Main Theorems										
	5.1	Minim	al Displacement Calculations	69							
	5.2	Proofs	s of Theorem 1.5 and Theorem 1.6 $\ldots$	76							
Bi	bliog	graphy		86							
Α	Oth	er Def	finitions and Theorems Referenced	87							

# Chapter 1:

## Introduction

#### 1.1 Motivation

In 1936, Synge proved the following theorem:

**Theorem 1.1** (Theorem 6.3.6 in [10]). Let  $(M, g_M)$  be a compact Riemannian nmanifold with  $sec(M, g_M) > 0$ .

- 1) If n is even and M is orientable, then M is simply connected.
- 2) If n is odd, then M is orientable.

In 1997 publication [15], Wilhelm proved that if a lower bound on the length of the shortest noncontractible closed curve in M—called the <u>first systole</u> of M and denoted  $sys_1(M, g_M)$ —is imposed, the conclusions of Synge's Theorem hold when the assumption  $sec(M, g_M) > 0$  is replaced with the assumption  $Ric_k(M, g_M) \ge k$ :

**Theorem 1.2** (Main Theorem in [15]). Let  $(M, g_M)$  be a compact Riemannian *n*manifold with  $\operatorname{Ric}_k(M, g_M) \ge k$  and  $\operatorname{sys}_1(M, g_M) > \pi \sqrt{\frac{k-1}{k}}$ .

- 1) If n is even and M is orientable, then M is simply connected.
- 2) If n is odd, then M is orientable.

<u>Remark:</u> Theorem 1.2 is a generalization of Synge's Theorem. Indeed, when k = 1, Theorem 1.2 is Synge's Theorem.

Alternatively stated:

**Theorem 1.3** (Alternative Version of Theorem 1.2). Let  $(M, g_M)$  be a compact Riemannian n-manifold with min  $\operatorname{Ric}_k(M, g_M) \cdot \left(\operatorname{sys}_1(M, g_M)\right)^2 > (k-1)\pi^2$ .

- 1) If n is even and M is orientable, then M is simply connected.
- 2) If n is odd, then M is orientable.

<u>Remark</u>: The product min  $\operatorname{Ric}_k \cdot (\operatorname{sys}_1)^2$  is invariant under rescaling of the metric. That is, for all  $\lambda \in \mathbb{R}$ , min  $\operatorname{Ric}_k(M, \lambda^2 g_M) \cdot \left(\operatorname{sys}_1(M, \lambda^2 g_M)\right)^2 = \min \operatorname{Ric}_k(M, g_M) \cdot \left(\operatorname{sys}_1(M, g_M)\right)^2$ .

Wilhelm proved that this bound on min  $\operatorname{Ric}_k \cdot (\operatorname{sys}_1)^2$  is optimal when k = n - 1 (positive Ricci curvature). Example A in [15] proves optimality when n is even and k = n - 1:

Example A in [15]: Equip  $S^m$   $(m \ge 2)$  with its usual metric g and equip  $S^m \times S^m$  with the product metric g + g. Let  $\mathbb{Z}_2$  act as the antipodal map on both factors of  $S^m \times S^m$ . The quotient space  $\frac{S^m \times S^m}{\mathbb{Z}_2}$  is even-dimensional, compact, orientable, and not simply connected  $(\pi_1 = \mathbb{Z}_2)$ . If we equip  $\frac{S^m \times S^m}{\mathbb{Z}_2}$  with the unique metric  $\overline{g}$  that makes the quotient map  $q : (S^m \times S^m, g+g) \longrightarrow (\frac{S^m \times S^m}{\mathbb{Z}_2}, \overline{g})$  a local isometry (see Definition A.1 and Section 1.3.3 in [10]), then

$$\min \operatorname{Ric}\left(\frac{S^m \times S^m}{\mathbb{Z}_2}, \overline{g}\right) \cdot \left(\operatorname{sys}_1\left(\frac{S^m \times S^m}{\mathbb{Z}_2}, \overline{g}\right)\right)^2$$
$$= (m-1)\left(\sqrt{2}\pi\right)^2 = (2m-2)\pi^2 = \left((2m-1)-1\right)\pi^2 = (k-1)\pi^2$$

This example does not work when k = 2, 3, ..., 2m - 2. Indeed, when  $k \le m$ ,

$$\sec_{g+g}\left(\left(v,\vec{0}\right),\left(\vec{0},w\right)\right) = 0 \text{ for all } v,w \in TS^{m}$$

$$\implies \min \operatorname{Ric}_{k}(S^{m} \times S^{m},g+g) = 0 \text{ when } k \leq m$$

$$\stackrel{A.2}{\Longrightarrow} \min \operatorname{Ric}_{k}\left(\frac{S^{m} \times S^{m}}{\mathbb{Z}_{2}},\overline{g}\right) = 0$$

$$\implies \min \operatorname{Ric}_{k}\left(\frac{S^{m} \times S^{m}}{\mathbb{Z}_{2}},\overline{g}\right) \cdot \left(\operatorname{sys}_{1}\left(\frac{S^{m} \times S^{m}}{\mathbb{Z}_{2}},\overline{g}\right)\right)^{2} = 0 < (k-1)\pi^{2}$$

and when  $m+1 \le k \le 2m-2$ ,

$$\min \operatorname{Ric}_{k}(S^{m} \times S^{m}, g + g) = k - m$$

$$\stackrel{A.2}{\Longrightarrow} \min \operatorname{Ric}_{k}\left(\frac{S^{m} \times S^{m}}{\mathbb{Z}_{2}}, \overline{g}\right) = k - m$$

$$\implies \min \operatorname{Ric}_{k}\left(\frac{S^{m} \times S^{m}}{\mathbb{Z}_{2}}, \overline{g}\right) \cdot \left(\operatorname{sys}_{1}\left(\frac{S^{m} \times S^{m}}{\mathbb{Z}_{2}}, \overline{g}\right)\right)^{2}$$

$$= (k - m)(\sqrt{2}\pi)^{2} = 2(k - m)\pi^{2} \leq 2\left(k - \frac{k + 2}{2}\right)\pi^{2} = (k - 2)\pi^{2} < (k - 1)\pi^{2}.$$

A key result of Mouillé's dissertation [6] is a metric on  $S^3 \times S^3$  that admits positive intermediate Ric<sub>2</sub> curvature:

**Theorem 1.4** (Theorem A in [6]). The manifold  $S^3 \times S^3$  admits a metric  $g_{\ell}$  such that  $\operatorname{Ric}_2(S^3 \times S^3, g_{\ell}) > 0$ . The metric  $g_{\ell}$  is a Cheeger deformation of the usual product metric g + g on  $S^3 \times S^3$  with respect to the left diagonal action of  $S^3$ .

In this dissertation, we study the Riemannian manifold  $\frac{(S^3 \times S^3, g_\ell)}{\mathbb{Z}_2}$ . Equipping  $\frac{S^3 \times S^3}{\mathbb{Z}_2}$  with the unique metric  $\overline{g_\ell}$  that makes the quotient map  $q : (S^3 \times S^3, g_\ell) \longrightarrow \left(\frac{S^3 \times S^3}{\mathbb{Z}_2}, \overline{g_\ell}\right)$  a local isometry, we explore how close we can get min  $\operatorname{Ric}_k \left(\frac{S^3 \times S^3}{\mathbb{Z}_2}, \overline{g_\ell}\right) \cdot \left(\operatorname{sys}_1 \left(\frac{S^3 \times S^3}{\mathbb{Z}_2}, \overline{g_\ell}\right)\right)^2$ to  $(k-1)\pi^2$  when k = 2, 3, 4.

#### **RESEARCH QUESTION**

How close can we get  $\min \operatorname{Ric}_k \left( \frac{S^3 \times S^3}{\mathbb{Z}_2}, \overline{g_\ell} \right) \cdot \left( \operatorname{sys}_1 \left( \frac{S^3 \times S^3}{\mathbb{Z}_2}, \overline{g_\ell} \right) \right)^2$  to  $(k-1) \pi^2$  when k = 2, 3, 4?

In Lemma 3.13, we prove that this Cheeger deformation  $(S^3 \times S^3, g_\ell)$  is a canonical variation, which we denote by  $(S^3 \times S^3, g_\ell)$ . Our results are written in terms of  $g_t$  rather than  $g_\ell$ .

#### **1.2** Statement of Results

#### 1.2.1 Main Theorems

Let

- $S^3 \times S^3$  be equipped with the canonical variation metric  $g_t$ .
- $a: S^3 \times S^3 \to S^3 \times S^3$  be defined by  $(N_1, N_2) \mapsto (-N_1, -N_2)$ .
- $f: S^3 \times S^3 \to S^3 \times S^3$  be defined by  $(N_1, N_2) \mapsto (-N_1, N_2)$ .
- $\mathbb{Z}_2^a \cong H_a = \{ \mathrm{id}, a \} \subseteq \mathrm{Iso} \{ S^3 \times S^3, g_t \}.$
- $\mathbb{Z}_2^f \cong H_f = \{ \mathrm{id}, f \} \subseteq \mathrm{Iso} \{ S^3 \times S^3, g_t \}.$
- $M = \frac{S^3 \times S^3}{\mathbb{Z}_2^a}$  be equipped with the unique metric  $g_t^a$  that makes the quotient map  $\pi_t^a : (S^3 \times S^3, g_t) \longrightarrow (M, g_t^a)$  a local isometry.
- $N = \frac{S^3 \times S^3}{\mathbb{Z}_2^f}$  be equipped with the unique metric  $g_t^f$  that makes the quotient map  $\pi_t^f : (S^3 \times S^3, g_t) \longrightarrow (N, g_t^f)$  a local isometry.

We proved the following two theorems (1.5 and 1.6):

$$\begin{aligned} \text{Theorem 1.5. For all } t \in (0,1), \\ 1a') & \min \operatorname{Ric}_4(M, g_t^a) \cdot \left(\operatorname{sys}_1(M, g_t^a)\right)^2 \leq 2\pi^2. \\ 1b') & \min \operatorname{Ric}_3(M, g_t^a) \cdot \left(\operatorname{sys}_1(M, g_t^a)\right)^2 \leq 2s^4\pi^2 \approx 0.4683\pi^2. \\ 1c') & \min \operatorname{Ric}_2(M, g_t^a) \cdot \left(\operatorname{sys}_1(M, g_t^a)\right)^2 \leq s^4\pi^2 \approx 0.2341\pi^2. \\ 2a') & \min \operatorname{Ric}_4\left(N, g_t^f\right) \cdot \left(\operatorname{sys}_1\left(N, g_t^f\right)\right)^2 \leq \left(\frac{-r^4 + 3r^2 + 4}{4}\right)\pi^2 \approx 1.3176\pi^2. \\ 2b') & \min \operatorname{Ric}_3\left(N, g_t^f\right) \cdot \left(\operatorname{sys}_1\left(N, g_t^f\right)\right)^2 \leq \left(\frac{s^4 + s^2}{2}\right)\pi^2 \approx 0.359\pi^2. \\ 2c') & \min \operatorname{Ric}_2\left(N, g_t^f\right) \cdot \left(\operatorname{sys}_1\left(N, g_t^f\right)\right)^2 \leq \left(\frac{s^4 + s^2}{4}\right)\pi^2 \approx 0.1795\pi^2. \end{aligned}$$
where  $r \in (0, 1)$  satisfy  $r^6 + 6r^4 - 19r^2 + 8 = 0$   $(r \approx 0.7143)$  and  $s \in (0, 1)$  satisfy  $s^6 - 2s^4 + 9s^2 - 4 = 0$   $(s \approx 0.6956). \end{aligned}$ 

Theorem 1.6 below displays less understandable but more precise bounds on the product min  $\text{Ric}_k \cdot (\text{sys}_1)^2$  for k = 2, 3, 4:

$$\begin{aligned} \text{Theorem 1.6. For all } t \in (0,1), \\ 1a) & \min \operatorname{Ric}_4(M, g_t^a) \cdot \left(\operatorname{sys}_1(M, g_t^a)\right)^2 \leq \min \left\{\frac{4-t^2}{2}, \frac{4t^4-6t^2+6}{(t^2+1)^2}\right\} \cdot 2t^2\pi^2. \\ 1b) & \min \operatorname{Ric}_3(M, g_t^a) \cdot \left(\operatorname{sys}_1(M, g_t^a)\right)^2 \leq \min \left\{t^2, \frac{4t^4-8t^2+4}{(t^2+1)^2}\right\} \cdot 2t^2\pi^2. \\ 1c) & \min \operatorname{Ric}_2(M, g_t^a) \cdot \left(\operatorname{sys}_1(M, g_t^a)\right)^2 \leq \min \left\{\frac{t^2}{2}, \frac{2t^4-4t^2+2}{(t^2+1)^2}\right\} \cdot 2t^2\pi^2. \\ 2a) & \min \operatorname{Ric}_4\left(N, g_t^f\right) \cdot \left(\operatorname{sys}_1\left(N, g_t^f\right)\right)^2 \leq \min \left\{\frac{4-t^2}{2}, \frac{4t^4-6t^2+6}{(t^2+1)^2}\right\} \cdot \left(\frac{t^2+1}{2}\right)\pi^2. \\ 2b) & \min \operatorname{Ric}_3\left(N, g_t^f\right) \cdot \left(\operatorname{sys}_1\left(N, g_t^f\right)\right)^2 \leq \min \left\{t^2, \frac{4t^4-8t^2+4}{(t^2+1)^2}\right\} \cdot \left(\frac{t^2+1}{2}\right)\pi^2. \\ 2c) & \min \operatorname{Ric}_2\left(N, g_t^f\right) \cdot \left(\operatorname{sys}_1\left(N, g_t^f\right)\right)^2 \leq \min \left\{\frac{t^2}{2}, \frac{2t^4-4t^2+2}{(t^2+1)^2}\right\} \cdot \left(\frac{t^2+1}{2}\right)\pi^2. \end{aligned}$$

#### **RESEARCH CONCLUSION**

We improved Wilhelm's bound on min  $\operatorname{Ric}_k \cdot (\operatorname{sys}_1)^2$  restricted to the families  $\left\{ (M, g_t^a) \mid 0 < t < 1 \right\}$  and  $\left\{ \left( N, g_t^f \right) \mid 0 < t < 1 \right\}$  of Riemannian manifolds.

#### 1.2.2 When Cheeger Deformation and Canonical Variation Coincide

Under certain assumptions, Cheeger deformation and canonical variation coincide:

**Theorem 1.7.** Let  $(M, g_M)$  be a Riemannian manifold. Let G be a compact Lie group that acts isometrically on M. Equip G with a bi-invariant metric  $g_{bi}$ , and let  $g_\ell$  be the Cheeger deformed metric on M defined in Definition 2.4. Suppose the generalized Petersen-Wilhelm hypothesis is satisfied (Definition 2.16). Then for each  $p \in M$ ,

- 1) The intrinsic metric on G(p) is normal homogeneous.
- 2)  $g_{\ell}|_p$  is canonical variation with respect to  $\pi : (M, g_M) \xrightarrow{2.3} (M/G, \overline{g})$  with rescaling factor  $\frac{\ell^2}{\ell^2 + \lambda_p^2}$  where  $\lambda_p$  is as in Corollary 2.18.

When we add to Theorem 1.7 the assumption that the orbits of  $G \curvearrowright M$  are totally geodesic, we get a more impressive result:

**Theorem 1.8.** Assume the same setup as in Theorem 1.7. If the orbits of  $G \cap M$ are totally geodesic, then  $\lambda_p$  in Corollary 2.18 is independent of p. That is,  $g_\ell$  is canonical variation with respect to  $\pi : (M, g_M) \xrightarrow{2.3} (M/G, \overline{g})$  with rescaling factor  $\frac{\ell^2}{\ell^2 + \lambda^2}$ .

#### 1.2.3 An Optimal Lower Bound on $\operatorname{Ric}_k$

In our effort to calculate min  $\operatorname{Ric}_k$ , we discovered the following optimal inequality:

**Theorem 1.9.** Let  $(M, g_M)$  be a Riemannian n-manifold with  $n \ge 4$ . Let  $p \in M$ .

For all unit  $x \in T_pM$ ,  $k \in \mathbb{N}$  such that  $2 \le k \le n-2$ ,

$$\min \operatorname{Ric}_k(x; \bullet) = \operatorname{Ric}(x) - \max \operatorname{Ric}_{n-1-k}(x; \bullet)$$

where the minimum is taken over all orthonormal k-frames orthogonal to x and the maximum is taken over all orthonormal n - 1 - k frames orthogonal to x.

<u>*Remark*</u>: It is notable that M need not be be compact nor complete for the conclusion of Theorem 1.9 to hold.

Proof. Let  $p \in M$  and  $x \in T_p M$  satisfy  $|x|_{g_M} = 1$ . Then  $\operatorname{Ric}(x) = \sum_{i=1}^{n-1} \operatorname{sec}(x, e_i)$  where  $\{e_i\}_{i=1}^{n-1}$  is an orthonormal basis for the orthogonal complement of x, which we denote  $x^{\perp}$ . For  $1 \leq k \leq n-2$ ,

$$\sum_{i=1}^{n-1} \sec(x, e_i) = \sum_{j=1}^k \sec(x, v_j) + \sum_{\ell=1}^{n-1-k} \sec(x, u_\ell)$$

where  $\{v_j\}_{j=1}^k$  is any collection of k vectors from  $\{e_i\}_{i=1}^{n-1}$  and  $\{u_\ell\}_{\ell=1}^{n-1-k}$  are the remaining vectors. Thus,

$$\operatorname{Ric}(x) = \sum_{j=1}^{k} \operatorname{sec}(x, v_j) + \sum_{\ell=1}^{n-1-k} \operatorname{sec}(x, u_\ell)$$
$$= \operatorname{Ric}_k(x; v_1, v_2, ..., v_k) + \operatorname{Ric}_{n-1-k}(x; u_1, u_2, ..., u_{n-1-k})$$
$$\implies \operatorname{Ric}_k(x; v_1, v_2, ..., v_k) = \operatorname{Ric}(x) - \operatorname{Ric}_{n-1-k}(x; u_1, u_2, ..., u_{n-1-k}).$$

Fixing x, the equation above implies  $\operatorname{Ric}_k(x; v_1, v_2, ..., v_k)$  decreases as  $\operatorname{Ric}_{n-1-k}(x; u_1, u_2, ..., u_{n-1-k})$  increases. That is, for any particular x,

$$\operatorname{Ric}_k(x; v_1, v_2, ..., v_k) \ge \operatorname{Ric}(x) - \max \operatorname{Ric}_{n-1-k}(x; \bullet).$$

This bound is optimal for k = 2, 3, ..., n-2. Indeed, for all values of k,  $\operatorname{Ric}_k(x; \bullet)$  is a continuous function on the compact space  $\operatorname{Gr}_k(x^{\perp})$  (see Definition A.3 and Theorem A.4), which implies  $\max \operatorname{Ric}_{n-1-k}(x; \bullet)$  is attained by some (n-1-k)-frame  $\{w_1, w_2, ..., w_{n-1-k}\} \subseteq x^{\perp}$ (see Theorem A.5). If we complete this to an orthonormal basis

$$\{x, w_1, w_2, ..., w_{n-1-k}, y_1, y_2, ..., y_k\}$$

for  $T_pM$ , then

$$\operatorname{Ric}_{k}(x; y_{1}, y_{2}, ..., y_{k}) = \operatorname{Ric}(x) - \operatorname{Ric}_{n-1-k}(x; w_{1}, w_{2}, ..., w_{n-1-k})$$

It follows that

$$\min \operatorname{Ric}_k(x; \bullet) = \operatorname{Ric}(x) - \max \operatorname{Ric}_{n-1-k}(x; \bullet)$$

where the minimum is taken over all orthonormal k-frames orthogonal to x and the maximum is taken over all orthonormal n - 1 - k frames orthogonal to x.

# Chapter 2:

## Background

#### 2.1 Riemannian Submersions

Riemannian submersions play an important part in defining Cheeger deformation and canonical variation (see Section 2.2.1 [Step 3] and Definition 2.12).

**Definition 2.1** (page 5 in [10]). A map  $F : (M, g_M) \longrightarrow (B, g_B)$  is a <u>Riemannian</u> submersion if and only if

- 1) F is a submersion
- 2) For each  $p \in M$ ,  $dF_p|_{\ker(dF_p)^{\perp}}$  is a linear isometry.

**Definition 2.2** (9.7 in [1]). Let  $F : (M, g_M) \longrightarrow (B, g_B)$  be a Riemannian

submersion.

- 1)  $\underline{\mathcal{V}^F} = \ker(dF)$  is the vertical distribution of F.
- 2)  $\underline{\mathcal{H}^F} = \ker(dF)^{\perp}$  is the horizontal distribution of F.

<u>*Remark*</u>: Vectors in  $\mathcal{V}^F$  are tangent to the fibers of F, and vectors in  $\mathcal{H}^F$  are perpendicular to the fibers of F.

<u>*Remark:*</u> When the submersion is clear, we sometimes denote  $\mathcal{V}^F$  by  $\mathcal{V}$  and  $\mathcal{H}^F$  by  $\mathcal{H}$ .

Let G be a group acting on a Riemannian manifold  $(M, g_M)$  on the left. Recall that G <u>acts freely</u> on M if and only if ap = p for some  $p \in M$  implies a = e (see page 162 of [4]), and G <u>acts isometrically</u> on M if and only if for all  $a \in G$ ,  $f_a : (M, g_M) \longrightarrow (M, g_M)$ defined by  $p \mapsto ap$  is an isometry (see page 23 of [5]). Theorem 2.3 below is vital for defining Cheeger deformation (see Section 2.2.1 [Step 3]).

**Theorem 2.3** (Theorem 5.6.21 in [10]). Let  $(M, g_M)$  be a Riemannian manifold. If a compact Lie group G acts freely and isometrically on M, then the quotient manifold M/G can be given a Riemannian metric  $\overline{g}$  so that the quotient map  $F : (M, g_M) \longrightarrow$  $(M/G, \overline{g})$  is a Riemannian submersion.

#### 2.2 Deformation of a Riemannian Manifold

#### 2.2.1 Cheeger Deformation

Let  $(M, g_M)$  be a Riemannian manifold. Let G be a compact Lie group that acts isometrically on M. Equip G with a bi-invariant metric  $g_{\text{bi}}$  (see Theorem A.6). Let  $\ell > 0$ . The following algorithm was developed in 1973 by Cheeger in [2]:

**STEP 1:** Equip  $G \times M$  with the product metric  $\ell^2 g_{\rm bi} + g_M$ .

**STEP 2:** Let  $G \curvearrowright (G \times M)$  on the left by  $a(b,m) = (ba^{-1}, am)$ .

<u>*Remark*</u>: This action is free and isometric.

<u>Remark</u>: Every orbit of this action has a unique point of the form (e, p), so we can suppose vectors in  $T(G \times M)$  are based at (e, p) for some  $p \in M$ .

**STEP 3:** Equip the quotient space  $\frac{G \times M}{G}$  with the metric  $g_{\ell}$  that makes the quotient map  $q: (G \times M, \ell^2 g_{\rm bi} + g_M) \longrightarrow \left(\frac{G \times M}{G}, g_{\ell}\right)$  a Riemannian submersion (see Theorem 2.3). <u>Remark:</u> The quotient space  $\frac{G \times M}{G}$  is diffeomorphic to M (see Theorem A.7).

**Definition 2.4.**  $\{(M, g_{\ell}) \mid \ell > 0\}$  is a family of <u>Cheeger deformations</u> of  $(M, g_M)$ .

The following two results describe the quotient map q and its differential  $dq_{(e,p)}$ :

**Theorem 2.5.** (1.2 in [11]) The quotient map  $q: G \times M \longrightarrow M$  can be identified with the action map from  $G \curvearrowright M$ . That is, q(a, p) = ap for all  $a \in G$  and  $p \in M$ (see Theorem A.7). **Theorem 2.6.** (1.0.3 in [13]) Let  $p \in M$  and  $v \in T_pM$ . Let  $\mathfrak{g}$  be the Lie algebra of

G. Then for all  $k \in \mathfrak{g}$ ,

$$dq_{(e,p)}(k,v) = K_{M,p}(k) + v.$$

Next, we identify  $\mathcal{V}_{(e,p)}^q$ .

**Definition 2.7** (1.0.1 in [13]). Let  $p \in M$  and  $\mathfrak{g}$  be the Lie algebra of G. Define  $\underline{K_{M,p}}: \mathfrak{g} \to TM \text{ by } k \mapsto \frac{d}{dt} \exp(tk)p\Big|_{t=0}.$ 

<u>Remark</u>:  $K_{M,p}$  is linear and takes  $k \in \mathfrak{g}$  to the value at p of the Killing field generated by k.

**Theorem 2.8** (3.0.2 in [14]). Let  $p \in M$  and  $\mathfrak{g}$  be the Lie algebra of G. Then  $\mathcal{V}_{(e,p)}^{q} = \left\{ \left( -k, K_{M,p}(k) \right) \mid k \in \mathfrak{g} \right\}.$ 

To prove Lemma 4.1 later in this document, we rely on the following definitions:

**Definition 2.9** (page 22 of [14]). Let  $p \in M$  and  $v \in T_pM$ . Let  $\mathfrak{g}$  be the Lie algebra of G. Define  $\underline{\hat{v}_{\ell}} \in \mathfrak{g} \times T_pM$  to be the vector satisfying

- 1)  $\hat{v}_{\ell}$  is horizontal with respect to  $q: (G \times M, \ell^2 g_{\text{bi}} + g_M) \longrightarrow (M, g_{\ell})$
- 2)  $\widehat{v}_{\ell}$  projects to v under  $d(\operatorname{proj}_M)_{(e,p)} : \mathfrak{g} \times T_p M \longrightarrow T_p M$ .

To better understand  $\hat{v}_{\ell}$ , write  $\hat{v}_1 = (\hat{v}_{\mathfrak{g}}, \hat{v}_M)$  where  $\hat{v}_{\mathfrak{g}}$  is the  $\mathfrak{g}$ -component of  $\hat{v}_1$  and  $\hat{v}_M$  is the  $T_pM$ -component of  $\hat{v}_1$ . By Condition 2 in Definition 2.9,  $\hat{v}_M = v$ . Denote  $\hat{v}_{\mathfrak{g}}$  by  $\kappa_p(v)$ . By Condition 1 in Definition 2.9 with  $\ell = 1$ , we have for all  $k \in \mathfrak{g}$ ,

$$(g_{\mathrm{bi}} + g_M) \left( \hat{v}_1, \left( -k, K_{M,p}(k) \right) \right) \stackrel{2.8}{=} 0 \iff (g_{\mathrm{bi}} + g_M) \left( \left( \kappa_p(v), v \right), \left( -k, K_{M,p}(k) \right) \right) = 0$$
$$\iff g_{\mathrm{bi}} \left( \kappa_p(v), k \right) = g_M \left( v, K_{M,p}(k) \right).$$

**Definition 2.10** (page 22 of [14]). Let  $\mathfrak{g}$  be the Lie algebra of G. For all  $p \in M$ ,  $\underline{\kappa_p}: T_pM \longrightarrow \mathfrak{g}$  is defined implicitly by the fact that for all  $k \in \mathfrak{g}$ ,  $g_{\mathrm{bi}}(\kappa_p(v), k) = g_M(v, K_{M,p}(k)).$ 

<u>*Remark*</u>:  $\kappa_p$  is linear (see Proposition 2.1 in [13]).

Then for all 
$$\ell > 0$$
,  $\widehat{v}_{\ell} = \left(\frac{\kappa_p(v)}{\ell^2}, v\right)$  since  $g_{\mathrm{bi}}\left(\kappa_p(v), k\right) = g_M\left(v, K_{M,p}(k)\right) \iff \ell^2 g_{\mathrm{bi}}\left(\frac{\kappa_p(v)}{\ell^2}, k\right) = g_M\left(v, K_{M,p}(k)\right).$ 

**Definition 2.11** (page 22 of [14]). For  $p \in M$   $v \in T_pM$ , and  $\ell > 0$ ,  $\underline{\widehat{v}_{\ell}} = \left(\frac{\kappa_p(v)}{\ell^2}, v\right).$ 

#### 2.2.2 Canonical Variation

**Definition 2.12** (Definition 9.67 in [1]). Let  $F : (M, g_M) \longrightarrow (B, g_B)$  be a Riemannian submersion. Let  $u, v \in \mathcal{V}^F$  and  $x, y \in \mathcal{H}^F$ . Suppose  $t \in \mathbb{R}$  satisfies 0 < t < 1. The <u>canonical variation</u>  $g_t$  of the metric  $g_M$  is defined by setting 1)  $g_t(u, v) = t^2 g_M(u, v)$ 2)  $g_t(x, y) = g_M(x, y)$ 3)  $g_t(u, x) = 0$ .

Canonical variation has a number of useful properties:

**Theorem 2.13** (9.68 in [1]). Let  $F : (M, g_M) \longrightarrow (B, g_B)$  be a Riemannian submersion and  $(M, g_t)$  be the canonical variation of  $(M, g_M)$  with respect to F. Then for all  $t \in (0, 1)$ ,

- 1)  $F:(M,g_t) \longrightarrow (B,g_B)$  is a Riemannian submersion.
- 2)  $\mathcal{H}^F$  is the same (independent of t).
- 3) If the fibers of F are totally geodesic with respect to  $g_M$ , then they are totally geodesic with respect to  $g_t$ .

The following theorem describes how  $\nabla^{g_t}$  compares to  $\nabla^{g_M}$ :

**Theorem 2.14** (Lemma 2.2 in [8]). Let  $F : (M, g_M) \longrightarrow (B, g_B)$  be a Riemannian submersion and  $(M, g_t)$  be the canonical variation of  $(M, g_M)$ . Let  $U, W \in \mathcal{V}$  and  $X, Y \in \mathcal{H}$ . Then for all  $t \in (0, 1)$ , 1)  $\mathcal{V}\nabla_X^{g_t}U = \mathcal{V}\nabla_X^{g_M}U$ . 2)  $\mathcal{H}\nabla_X^{g_t}U = t^2\mathcal{H}\nabla_X^{g_M}U$ . 3)  $\nabla_X^{g_t}Y = \nabla_X^{g_M}Y$ . 4)  $\mathcal{V}\nabla_U^{g_t}W = \nabla_U^{g_M}W$ .

#### 2.2.3 Interpolating Between Cheeger and Canonical

For each  $p \in M$ , we can decompose the Lie algebra  $\mathfrak{g}$  of G as  $T_e G_p \oplus (T_e G_p)^{\perp_{g_{\mathrm{bi}}}} := \mathfrak{g}_p \oplus \mathfrak{m}_p$ (the notation here is adopted from [13]).

**Theorem 2.15** (Proposition 2.1 in [13]). For each  $p \in M$ ,  $K_{M,p}|_{\mathfrak{m}_p} : \mathfrak{m}_p \longrightarrow T_pG(p)$ 

 $is \ a \ linear \ isomorphism.$ 

**Definition 2.16.** Let  $(M, g_M)$  be a Riemannian manifold. Let G be a compact Lie group that acts on M isometrically. Equip G with a bi-invariant metric  $g_{\text{bi}}$  and let  $\mathfrak{g}$  be the Lie algebra of G. The **generalized Petersen-Wilhelm hypothesis is satisfied** if and only if for all  $p \in M$  and for all  $k_1, k_2 \in \mathfrak{m}_p$ ,  $g_{\text{bi}}(k_1, k_2) = 0 \implies g_M(K_{M,p}(k_1), K_{M,p}(k_2)) = 0$ .

<u>Remark</u>: This is a generalization of assumption (1.5) in [11], which requires for all  $p \in M$  and for all  $k_1, k_2 \in \mathfrak{g}$  that  $g_{\mathrm{bi}}(k_1, k_2) = 0 \implies g_M(K_{M,p}(k_1), K_{M,p}(k_2)) = 0$  holds. Definition 2.16 is a generalization of (1.5) in the sense that every action  $G \curvearrowright M$  satisfying (1.5) also satisfies Definition 2.16, but not the other way around.

**Lemma 2.17.** Let  $(V, \langle, \rangle_V)$  and  $(W, \langle, \rangle_W)$  be inner product spaces of dimension n. Let  $L : (V, \langle, \rangle_V) \longrightarrow (W, \langle, \rangle_W)$  be a linear isomorphism with matrix representation

$\lambda_1$	0		0
0	$\lambda_2$		0
:	0	·	:
0	0		$\lambda_n$

If for all  $v_1, v_2 \in V$ , the implication  $\langle v_1, v_2 \rangle_V = 0 \implies \langle L(v_1), L(v_2) \rangle_W = 0$  holds, then  $\lambda_1 = \lambda_2 = \cdots = \lambda_n$ . Applying Lemma 2.17 to  $K_{M,p}|_{\mathfrak{m}_p}$ , we conclude that actions satisfying (1.5) in [11] must also satisfy for all  $p \in M$  either  $G_p = G$  ( $K_{M,p} \equiv 0$ ) or  $G_p = \{e\}$  ( $K_{M,p} \equiv K_{M,p}|_{\mathfrak{m}_p}$ ). Indeed, if  $\dim(\mathfrak{g}) = n$  and  $\dim(T_pG(p)) = m < n$ , then  $K_{M,p}$  is an  $m \times n$  matrix with n - m zero columns ( $K_{M,p}|_{\mathfrak{g}_p}$ ) and an  $m \times m$  diagonal submatrix ( $K_{M,p}|_{\mathfrak{m}_p}$ ):

0	 0	λ	0		0
0	 0	0	$\lambda$		0
:	 ÷	÷	0	·	:
0	 0	0	0		$\lambda$

Then  $n \times 1$  vectors  $(\underbrace{1, 0, \cdots, 0}_{n-m}, \underbrace{1, 2, 3, \cdots, m-1, m}_{m})$  and

$$(\underbrace{-1-2-3-\cdots-(m-1)-m,0,\cdots,0}_{n-m},\underbrace{1,1,\cdots,1}_{m})$$
 are perpendicular, but their

respective images  $m \times 1$  images  $(\lambda, 2\lambda, 3\lambda, \cdots, (m-1)\lambda, m\lambda)$  and  $(\lambda, \lambda, \lambda, \cdots, \lambda, \lambda)$  under  $K_{M,p}$  are not, so (1.5) is not satisfied.

Proof. (of Lemma 2.17) (by induction) Let  $\mathcal{B}_V = \{v_1, v_2, ..., v_n\}$  be an orthonormal basis for V. By assumption,  $L(v_i) \perp L(v_j)$  for all  $i \neq j$ , so  $\{L(v_1), L(v_2), ..., L(v_n)\}$  is an orthogonal basis for W, and  $\mathcal{B}_W = \{w_1, w_2, ..., w_n\}$  where  $w_i = \frac{L(v_i)}{|L(v_i)|_W}$  is an orthonormal basis for W.

It follows that the matrix representation for L is

$$\begin{bmatrix} \lambda_1 & 0 & \cdots & 0 \\ 0 & \lambda_2 & \cdots & 0 \\ \vdots & 0 & \ddots & \vdots \\ 0 & 0 & \cdots & \lambda_n \end{bmatrix}$$

where  $\lambda_i = |L(v_i)|_W$ . We proceed by induction.

<u>Base Case</u>: Take  $v_1, v_2 \in \mathcal{B}_V$  and consider vectors  $v_1 + v_2$  and  $v_1 - v_2$ . Since  $\langle v_1 + v_2, v_1 - v_2 \rangle_V = 0$  implies  $\langle L(v_1 + v_2), L(v_1 - v_2) \rangle_W = 0$ , we get

$$\langle L(v_1) + L(v_2), L(v_1) - L(v_2) \rangle_W = 0$$

$$\implies \langle \lambda_1 w_1 + \lambda_2 w_2, \lambda_1 w_1 - \lambda_2 w_2 \rangle_W = 0$$

$$\implies \langle \lambda_1 w_1, \lambda_1 w_1 \rangle_W - \langle \lambda_2 w_2, \lambda_2 w_2 \rangle_W = 0$$

$$\implies \lambda_1^2 - \lambda_2^2 = 0$$

$$\implies \lambda_2 = \pm \lambda_1 \implies \lambda_2 = \lambda_1 \text{ because we can replace } w_2 \text{ with } -w_2 \text{ if } \lambda_2 = -\lambda_1.$$

Induction Assumption (IA): Assume  $\lambda_1 = \lambda_2 = \cdots = \lambda_{n-1} = \lambda$ .

Consider the orthonormal basis  $\mathcal{B}_V = \{v_1, v_2, ..., v_n\}$  for V. Let  $v \in \text{span}\{v_1, v_2, ..., v_{n-1}\}$ and consider vectors  $\frac{v}{|v|_V} + v_n, \frac{v}{|v|_V} - v_n \in V$ . These vectors are orthogonal, so their images  $L\left(\frac{v}{|v|_V} + v_n\right)$  and  $L\left(\frac{v}{|v|_V} - v_n\right)$  are also orthogonal by assumption. Thus,

$$\begin{split} \left\langle L\left(\frac{v}{|v|_{V}}+v_{n}\right), L\left(\frac{v}{|v|_{V}}-v_{n}\right)\right\rangle_{W} &= 0\\ \Longrightarrow \frac{1}{|v|_{V}^{2}}|L(v)|_{W}^{2}-|L(v_{n})|_{W}^{2} &= 0\\ \hline \left(\frac{|\mathrm{IA}\rangle}{\Longrightarrow} \frac{1}{|v|_{V}^{2}}\cdot\lambda^{2}|v|_{V}^{2}-|L(v_{n})|_{W}^{2} &= 0\\ \Longrightarrow \frac{1}{|v|_{V}^{2}}\cdot\lambda^{2}|v|_{V}^{2}-|\lambda_{n}w_{n}|_{W}^{2} &= 0\\ \Longrightarrow \lambda^{2}-\lambda_{n}^{2} &= 0\\ \Longrightarrow \lambda_{n} &= \pm\lambda \implies \lambda_{n} = \lambda \text{ because we can replace } w_{n} \text{ with } -w_{n} \text{ if } \lambda_{n} &= -\lambda. \end{split}$$

**Corollary 2.18.** Assume the generalized Petersen-Wilhelm hypothesis is satisfied. Then for each  $p \in M$ , there exists a constant  $\lambda_p \in \mathbb{R}^+$  such that for all  $k \in \mathfrak{m}_p$ ,  $|K_{M,p}(k)|_{g_M} = \lambda_p |k|_{g_{\mathrm{bi}}}.$ 

Proof. Apply Lemma 2.17 with  $(V, \langle, \rangle_V) = (\mathfrak{m}_p, g_{bi}), (W, \langle, \rangle_W) = (T_p G(p), g_M)$ , and  $L = K_{M,p}|_{\mathfrak{m}_p}$  (see Theorem 2.15).

We are now equipped to prove Theorems 1.7 and 1.8.

*Proof.* (of Theorem 1.7)

(1) Let  $p \in M$ . By Theorem A.8,  $F_p : G/G_p \longrightarrow G(p)$  defined by  $aG_p \mapsto ap$  is an equivariant diffeomorphism. By the definition of an equivariant map (see Definition A.9), there is a commutative diagram

where  $a \in G$ ,  $\theta_a$  is the map  $bG_p \mapsto abG_p$  corresponding to the action  $G \curvearrowright G/G_p$ , and  $\varphi_a$  is the map  $bp \mapsto abp$  corresponding to the action  $G \curvearrowright G(p)$ . Differentiating, we generate the following commutative diagram.

$$\begin{array}{ccc} (T_{[eG_p]}G/G_p, g_{\mathrm{nh},p}) & \xrightarrow{(dF_p)_{[eG_p]}} & (T_pG(p), g_M) \\ & & & & \downarrow d(\varphi_a)_p \\ (T_{[aG_p]}G/G_p, g_{\mathrm{nh},p}) & \xrightarrow{(dF_p)_{[aG_p]}} & (T_{ap}G(p), g_M) \end{array}$$

where  $g_{\mathrm{nh},p}$  is the normal homogeneous metric on  $G/G_p$  induced by the submersion  $(G, g_{\mathrm{bi}}) \longrightarrow G/G_p$ . We can identify  $(T_{[eG_p]}G/G_p, g_{\mathrm{nh},p}) \xrightarrow{(dF_p)_{[eG_p]}} (T_pG(p), g_M)$  with  $(\mathfrak{m}_p, g_{\mathrm{bi}}) \xrightarrow{K_{M,p}|\mathfrak{m}_p} (T_pG(p), g_M)$ , so Theorem 2.15 and Corollary 2.18 together imply that—after a rescaling on  $g_{\mathrm{bi}}$ — $(dF_p)_{[eG_p]}$  is a linear isometry. Since  $G/G_p$  and G(p) are homogeneous Riemannian manifolds,  $d(\theta_a)_{[eG_p]}$  and  $d(\varphi_a)_p$  are linear isometries. By the commutative diagram above,  $(dF_p)_{[aG_p]}$  is a composition of linear isometries and is hence itself a linear isometry for all  $a \in G$ . Therefore,  $F_p$  is an isometry.

(2) See (1.1) to (1.8) in [11].

Since  $q : (G \times M, \ell^2 g_{\mathrm{bi}} + g_M) \longrightarrow (M, g_\ell)$  is a Riemannian submersion,  $dq_{(e,p)}|_{\mathcal{H}^q_{(e,p)}}$  :  $\left(\mathcal{H}^q_{(e,p)}, \ell^2 g_{\mathrm{bi}} + g_M\right) \longrightarrow (T_p M, g_\ell)$  is an isometry. To understand  $\mathcal{H}^q_{(e,p)}$ , decompose  $\mathfrak{g} \times T_p M \cong \mathfrak{g} \oplus T_p G(p) \oplus (T_p G(p))^{\perp g_M} \cong \left(\mathfrak{g} \times T_p G(p)\right) \oplus \left(\left\{\vec{0}\right\} \times (T_p G(p))^{\perp g_M}\right).$ 

It follows from Theorem 2.8 that for all vectors  $x \in (T_p G(p))^{\perp_{g_M}}, (\vec{0}, x) \in \mathcal{H}^q_{(e,p)}$ . Indeed, for all  $k \in \mathfrak{g}, (\ell^2 g_{\mathrm{bi}} + g_M) ((\vec{0}, x), (-k, K_{M,p}(k))) = -\ell^2 g_{\mathrm{bi}} (\vec{0}, k) + g_M (x, K_{M,p}(k)) = 0.$ That is,  $\{\vec{0}\} \times (T_p G(p))^{\perp_{g_M}} \subseteq \mathcal{H}^q_{(e,p)}.$ 

To find  $\mathcal{H}^{q}_{(e,p)} \cap (\mathfrak{g} \oplus T_{p}G(p))$ , let  $k_{1} \in \mathfrak{m}_{p}$  and  $a, b \in \mathbb{R}$  and consider vector  $(ak_{1}, bK_{M,p}(k_{1})) \in \mathfrak{g} \oplus T_{p}G(p)$ . For all  $k \in \mathfrak{g}$ ,

$$(\ell^2 g_{\mathrm{bi}} + g_M) \Big( \Big( ak_1, bK_{M,p}(k_1) \Big), \Big( -k, K_{M,p}(k) \Big) \Big) = 0$$
$$\iff -\ell^2 g_{\mathrm{bi}} \Big( ak_1, k \Big) + g_M \Big( bK_{M,p}(k_1), K_{M,p}(k) \Big) = 0.$$

If  $k \in \mathfrak{g}_p$ , then  $-\ell^2 g_{\mathrm{bi}}(ak_1, k) + g_M(bK_{M,p}(k_1), K_{M,p}(k)) = 0$  for all  $k_1 \in \mathfrak{m}_p$ . If  $k \in \mathfrak{m}_p$  and  $k_1 \perp k$ , then  $-\ell^2 g_{\mathrm{bi}}(ak_1, k) + g_M(bK_{M,p}(k_1), K_{M,p}(k)) = 0$  by Definition 2.16. If  $k \in \mathfrak{m}_p$  and  $k_1$  is proportional to k, then

$$(\ell^2 g_{\rm bi} + g_M) \left( \left( ak_1, bK_{M,p}(k_1) \right), \left( -k_1, K_{M,p}(k_1) \right) \right) = 0$$
  
$$\iff -\ell^2 g_{\rm bi} \left( ak_1, k_1 \right) + g_M \left( bK_{M,p}(k_1), K_{M,p}(k_1) \right) = 0$$
  
$$\iff -a\ell^2 |k_1|_{g_{\rm bi}}^2 + b |K_{M,p}(k_1)|_{g_M}^2 = 0.$$

Since  $k_1 \in \mathfrak{m}_p \implies |K_{M,p}(k_1)|_{g_M}^2 > 0$ , the equation above does not hold when a = 0 (unless b is also zero, but then  $(ak_1, bK_{M,p}(k_1)) = \vec{0}$ , which is uninteresting). Thus,

$$- a\ell^{2}|k_{1}|_{g_{\text{bi}}}^{2} + b|K_{M,p}(k_{1})|_{g_{M}}^{2} = 0$$
  
$$\iff -\ell^{2}|k_{1}|_{g_{\text{bi}}}^{2} + \frac{b}{a}|K_{M,p}(k_{1})|_{g_{M}}^{2} = 0$$
  
$$\iff -\ell^{2}|k_{1}|_{g_{\text{bi}}}^{2} + \lambda|K_{M,p}(k_{1})|_{g_{M}}^{2} = 0 \text{ setting } \frac{b}{a} = \lambda$$
  
$$\iff \lambda = \frac{\ell^{2}|k_{1}|_{g_{\text{bi}}}^{2}}{|K_{M,p}(k_{1})|_{g_{M}}^{2}}.$$

So with this value of  $\lambda$ , vector  $\left(k_1, \lambda K_{M,p}(k_1)\right)$  is horizontal with respect to q. That is,  $\left\{ \left(k, \frac{\ell^2 |k|_{g_{\text{bi}}}^2}{|K_{M,p}(k)|_{g_M}^2} K_{M,p}(k)\right) \mid k \in \mathfrak{m}_p \right\} \subseteq \mathcal{H}_{e,p}^q$   $\implies \left\{ \left(|K_{M,p}(k)|_{g_M}^2 k, \ell^2 |k|_{g_{\text{bi}}}^2 K_{M,p}(k)\right) \mid k \in \mathfrak{m}_p \right\} \subseteq \mathcal{H}_{e,p}^q.$ 

Let 
$$X_1 = \left\{ \left( |K_{M,p}(k)|^2_{g_M} k, \ell^2 |k|^2_{g_{\mathrm{bi}}} K_{M,p}(k) \right) \mid k \in \mathfrak{m}_p \right\}$$
 and  $X_2 = \left\{ \vec{0} \right\} \times \left( T_p G(p) \right)^{\perp_{g_M}}$ . Then  $X_1 \oplus X_2 \subseteq \mathcal{H}^q_{e,p}$  and

 $\dim(X_1 \oplus X_2) = \dim(X_1) + \dim(X_2)$ 

$$= \dim(\mathfrak{m}_p) + \dim\left(\left(T_p G(p)\right)^{\perp_{g_M}}\right)$$
$$= \dim\left(T_p G(p)\right) + \dim\left(\left(T_p G(p)\right)^{\perp_{g_M}}\right) = \dim(M) = \dim(\mathcal{H}^q_{e,p}).$$

Therefore,

$$\mathcal{H}_{e,p}^{q} = \left\{ \left( |K_{M,p}(k)|_{g_{M}}^{2}k, \ell^{2}|k|_{g_{\mathrm{bi}}}^{2}K_{M,p}(k) \right) \mid k \in \mathfrak{m}_{p} \right\} \oplus \left( \left\{ \vec{0} \right\} \times \left( T_{p}G(p) \right)^{\perp_{g_{M}}} \right).$$

So for all  $k \in \mathfrak{m}_p$  and all  $x \in (T_p G(p))^{\perp_{g_M}}$ ,

# $\begin{aligned} \begin{bmatrix} \mathbf{Calculation A} \end{bmatrix} \\ \left| \left( |K_{M,p}(k)|_{g_M}^2 k, \ell^2 |k|_{g_{\mathrm{bi}}}^2 K_{M,p}(k) \right) \right|_{\ell^2 g_{\mathrm{bi}} + g_M}^2 &= \left| dq_{(e,p)} \left( |K_{M,p}(k)|_{g_M}^2 k, \ell^2 |k|_{g_{\mathrm{bi}}}^2 K_{M,p}(k) \right) \right|_{g_\ell}^2 \\ & \stackrel{2.6}{=} \left| K_{M,p} \left( |K_{M,p}(k)|_{g_M}^2 k \right) + \ell^2 |k|_{g_{\mathrm{bi}}}^2 K_{M,p}(k) \right|_{g_\ell}^2 \\ &= \left| \left( \ell^2 |k|_{g_{\mathrm{bi}}}^2 + |K_{M,p}(k)|_{g_M}^2 \right) K_{M,p}(k) \right|_{g_\ell}^2 \\ &= \left( \ell^2 |k|_{g_{\mathrm{bi}}}^2 + |K_{M,p}(k)|_{g_M}^2 \right)^2 |K_{M,p}(k)|_{g_\ell}^2 \end{aligned}$

$$\Rightarrow |K_{M,p}(k)|_{g_{\ell}}^{2} = \frac{\left| \left( |K_{M,p}(k)|_{g_{M}}^{2}k, \ell^{2}|k|_{g_{bi}}^{2}K_{M,p}(k) \right) \right|_{\ell^{2}g_{bi}+g_{M}}^{2}}{\left( \ell^{2}|k|_{g_{bi}}^{2} + |K_{M,p}(k)|_{g_{M}}^{2} \right)^{2}} \\ = \frac{\ell^{2}|K_{M,p}(k)|_{g_{M}}^{4}|k|_{g_{bi}}^{2} + \ell^{4}|k|_{g_{bi}}^{4}|K_{M,p}(k)|_{g_{M}}^{2}}{\left( \ell^{2}|k|_{g_{bi}}^{2} + |K_{M,p}(k)|_{g_{M}}^{2} \right)^{2}} \\ = \frac{\ell^{2}|K_{M,p}(k)|_{g_{M}}^{2}|k|_{g_{bi}}^{2} + \ell^{4}|k|_{g_{bi}}^{4}}{\left( \ell^{2}|k|_{g_{bi}}^{2} + |K_{M,p}(k)|_{g_{M}}^{2} \right)^{2}} |K_{M,p}(k)|_{g_{M}}^{2}} \\ = \frac{\ell^{2}|k|_{g_{bi}}^{2}}{\left( \ell^{2}|k|_{g_{bi}}^{2} + |K_{M,p}(k)|_{g_{M}}^{2} \right)^{2}} |K_{M,p}(k)|_{g_{M}}^{2}} \\ = \frac{\ell^{2}|k|_{g_{bi}}^{2}}{\left( \ell^{2}|k|_{g_{bi}}^{2} + |K_{M,p}(k)|_{g_{M}}^{2} \right)^{2}} |K_{M,p}(k)|_{g_{M}}^{2}} \\ = \frac{\ell^{2}|k|_{g_{bi}}^{2} + |K_{M,p}(k)|_{g_{M}}^{2}}{\left( \ell^{2}|k|_{g_{bi}}^{2} + |K_{M,p}(k)|_{g_{M}}^{2} \right)^{2}} |K_{M,p}(k)|_{g_{M}}^{2}} \\ = \frac{\ell^{2}|k|_{g_{bi}}^{2}}{\ell^{2}|k|_{g_{bi}}^{2} + |K_{M,p}(k)|_{g_{M}}^{2}} |K_{M,p}(k)|_{g_{M}}^{2}} \\ = \frac{\ell^{2}|k|_{g_{bi}}^{2}}{\ell^{2}|k|_{g_{bi}}^{2} + \lambda_{p}^{2}|k|_{g_{bi}}^{2}}} |K_{M,p}(k)|_{g_{M}}^{2} \\ = \frac{\ell^{2}}{\ell^{2} + \lambda_{p}^{2}} |K_{M,p}(k)|_{g_{M}}^{2}. \end{cases}$$

[Calculation B]

$$\begin{split} \left| \left( \vec{0}, x \right) \right|_{\ell^2 g_{\rm bi} + g_M}^2 &= \left| dq_{(e,p)} \left( \vec{0}, x \right) \right|_{g_{\ell}}^2 \\ \stackrel{2.6}{=} \left| K_{M,p} \left( \vec{0} \right) + x \right|_{g_{\ell}}^2 \\ &= \left| x \right|_{g_{\ell}}^2 \\ &\implies \left| x \right|_{g_{\ell}}^2 = \left| \left( \vec{0}, x \right) \right|_{\ell^2 g_{\rm bi} + g_M}^2 = \left| x \right|_{g_M}^2 \,. \end{split}$$

[Calculation C]
$$\begin{pmatrix} \ell^2 a & \ell & a \end{pmatrix} \begin{pmatrix} \ell & \ell & \ell \\ \ell & \ell & \ell \end{pmatrix}$$

$$\begin{aligned} \left(\ell^2 g_{\mathrm{bi}} + g_M\right) \left( \left( |K_{M,p}(k)|_{g_M}^2 k, \ell^2 |k|_{g_{\mathrm{bi}}}^2 K_{M,p}(k) \right), \left(\vec{0}, \frac{x}{\ell^2 |k|_{g_{\mathrm{bi}}}^2} \right) \right) \\ &= g_\ell \left( dq_{(e,p)} \left( |K_{M,p}(k)|_{g_M}^2 k, \ell^2 |k|_{g_{\mathrm{bi}}}^2 K_{M,p}(k) \right), dq_{(e,p)} \left(\vec{0}, \frac{x}{\ell^2 |k|_{g_{\mathrm{bi}}}^2} \right) \right) \\ &\stackrel{2.6}{=} g_\ell \left( K_{M,p} \left( |K_{M,p}(k)|_{g_M}^2 k \right) + \ell^2 |k|_{g_{\mathrm{bi}}}^2 K_{M,p}(k), K_{M,p} \left(\vec{0}\right) + \frac{x}{\ell^2 |k|_{g_{\mathrm{bi}}}^2} \right) \\ &= g_\ell \left( \left( \ell^2 |k|_{g_{\mathrm{bi}}}^2 + |K_{M,p}(k)|_{g_M}^2 \right) K_{M,p}(k), \frac{x}{\ell^2 |k|_{g_{\mathrm{bi}}}^2} \right) \\ &= \frac{\ell^2 |k|_{g_{\mathrm{bi}}}^2 + |K_{M,p}(k)|_{g_M}^2}{\ell^2 |k|_{g_{\mathrm{bi}}}^2} g_\ell (K_{M,p}(k), x) \end{aligned}$$

which implies

$$g_{\ell}(K_{M,p}(k), x) = \frac{\ell^2 |k|_{g_{\mathrm{bi}}}^2}{\ell^2 |k|_{g_{\mathrm{bi}}}^2 + |K_{M,p}(k)|_{g_M}^2} (\ell^2 g_{\mathrm{bi}} + g_M) \left( \left( |K_{M,p}(k)|_{g_M}^2 k, \ell^2 |k|_{g_{\mathrm{bi}}}^2 K_{M,p}(k) \right), \left( \vec{0}, \frac{x}{\ell^2 |k|_{g_{\mathrm{bi}}}^2} \right) \right)$$
$$= \frac{\ell^2 |k|_{g_{\mathrm{bi}}}^2}{\ell^2 |k|_{g_{\mathrm{bi}}}^2 + |K_{M,p}(k)|_{g_M}^2} g_M (K_{M,p}(k), x)$$

= 0.
It follows that for all  $p \in M$ , there is a constant  $\lambda_p \in \mathbb{R}^+$  (where  $\lambda_p$  is as in Corollary 2.18) such that

• 
$$g_{\ell}(v,w) = \frac{\ell^2}{\ell^2 + \lambda_n^2} g_M(v,w)$$
 for all  $v, w \in \mathcal{V}_p^{\pi}$  [Calculation A]

- $g_{\ell}(x,y) = g_M(x,y)$  for all  $x, y \in \mathcal{H}_p^{\pi}$  [Calculation B]
- $g_{\ell}(v, x) = g_M(v, x) = 0$  for all  $v \in \mathcal{V}_p^{\pi}$  and  $x \in \mathcal{H}_p^{\pi}$  [Calculation C].

That is,  $g_{\ell}$  is canonical variation at each point  $p \in M$  (see Definition 2.12).

Proof. (of Theorem 1.8) Let  $p \in M$  and consider  $(G(p), g_M|_{T_pG(p)})$ . Cheeger deforming  $(G(p), g_M|_{T_pG(p)})$  with respect to  $(G, g_{\text{bi}})$  gives  $\left(G(p), g_\ell|_{T_pG(p)}\right)$ . Rescaling  $g_M|_{T_pG(p)}$  by  $\frac{\ell^2}{\ell^2 + \lambda_p^2}$  (where  $\lambda_p$  is as in Corollary 2.18) gives  $\frac{\ell^2}{\ell^2 + \lambda_p^2} g_M|_{T_pG(p)}$ . Both metrics  $g_M|_{T_pG(p)}$  and  $\frac{\ell^2}{\ell^2 + \lambda_p^2} g_M|_{T_pG(p)}$  are homogeneous, and they agree at p since  $g_\ell(v, w) = \frac{\ell^2}{\ell^2 + \lambda_p^2} g_M(v, w)$  for all  $v, w \in \mathcal{V}_p^{\pi}$  by Part 2 of 1.7. Therefore, they agree on the entirety of G(p), i.e.  $\lambda_{p'} = \lambda_p$  for all  $p' \in G(p)$ . Since  $\lambda_p \stackrel{2.18}{=} \frac{|K_{M,p}(k)|_{g_M}}{|k|_{g_{\text{bi}}}}$ , it follows that for any vertical vector field V tangent to M,  $D_V|K_{M,p}(k)|_{g_M} = 0$ .

Furthermore, if  $x \in T_p M$  is  $\pi$ -horizontal and we extend x to a horizontal vector field X that is basic along an orbit of  $G \curvearrowright M$  (i.e. a fiber of  $\pi$ ), then for all  $k \in \mathfrak{g}$ ,

$$T_{K_{M,p}(k)} K_{M,p}(k) \stackrel{A.10}{=} 0$$
  

$$\implies \mathcal{H} \nabla^{g_M}_{K_{M,p}(k)} K_{M,p}(k) \stackrel{A.10}{=} 0$$
  

$$\implies 2g_M \left( \mathcal{H} \nabla^{g_M}_{K_{M,p}(k)} K_{M,p}(k), X \right) = 0$$
  

$$\implies D_X g_M \left( K_{M,p}(k), K_{M,p}(k) \right) = 0 \text{ by Kozsul's formula}$$
  

$$\implies D_X |K_{M,p}(k)|_{g_M}^2 = 0.$$

So  $D_V |K_{M,p}(k)|_{g_M} = 0$  for all vertical  $V \in TM$  and  $D_X |K_{M,p}(k)|_{g_M}^2 = 0$  for all horizontal  $X \in TM$  together imply that  $|K_{M,p}(k)|_{g_M}$  is constant so that  $\lambda_p$  from Corollary 2.18 is independent of p. Hence, the rescaling factor  $\frac{\ell^2}{\ell^2 + \lambda_p^2}$  is independent of p, so  $g_\ell$  is canonical variation with p-independent rescaling factor  $\frac{\ell^2}{\ell^2 + \lambda^2}$ .

#### 2.3 Curvature

#### 2.3.1 Positive Intermediate Ricci Curvature

**Definition 2.19.** Let  $(M, g_M)$  be a Riemannian n-manifold. Let  $k \in \mathbb{N}$  satisfy  $k \leq n - 1$ . Then M has positive kth-intermediate Ricci curvature, denoted  $\underline{\operatorname{Ric}_k(M, g_M) > 0}$ , if and only for any  $p \in M$ , any unit vector  $v \in T_pM$ , and any orthonormal (k + 1)-frame  $\{v, e_1, e_2, ..., e_k\}$  in  $T_pM$ ,  $\sum_{i=1}^k \operatorname{sec}_{g_M}(v, e_i) > 0$ .

Remark: 
$$\operatorname{Ric}_1(M, g_M) > 0 \iff \operatorname{sec}(M, g_M) > 0.$$
  
Remark:  $\operatorname{Ric}_{n-1}(M, g_M) > 0 \iff \operatorname{Ric}(M, g_M) > 0.$   
Remark:  $\operatorname{Ric}_k(M, g_M) > 0 \implies \operatorname{Ric}_{k+1}(M, g_M) > 0.$ 

Some examples of Riemannian manifolds with positive intermediate Ricci curvature: If  $(M, g_M)$  and  $(N, g_N)$  are non-negatively curved Riemannian manifolds, then  $\operatorname{Ric}_k(M \times N, g_M + g_N) > 0$  for all  $k \ge \max\{m, n\} + 1$ . Specifically, for  $m \ge 2$ ,  $\operatorname{Ric}_k(S^m \times S^m, g + g) > 0$  for all  $k \ge m + 1$  so that  $\operatorname{Ric}_4(S^3 \times S^3, g + g) > 0$ . By Theorem 1.4,  $\operatorname{Ric}_2(S^3 \times S^3, g_\ell) > 0$ .

#### 2.3.2 A-Tensor

The A-tensor is crucial to curvature calculations within a Riemannian submersion.

**Definition 2.20** (page 460 in [9]). Let  $F : (M, g_M) \longrightarrow (B, g_B)$  be a Riemannian submersion. Let  $E_1, E_2 \in TM$ . Then  $\underline{A_{E_1}E_2} = \mathcal{V}\nabla_{\mathcal{H}E_1}(\mathcal{H}E_2) + \mathcal{H}\nabla_{\mathcal{H}E_1}(\mathcal{V}E_2).$ 

The A-tensor has several useful properties:

**Theorem 2.21** (2' in [9]). Let  $F : (M, g_M) \longrightarrow (B, g_B)$  be a Riemannian submersion. For any  $E \in TM$ ,  $A_E = A_{HE}$ .

**Theorem 2.22** (Lemma 2 in [9]). Let  $F : (M, g_M) \longrightarrow (B, g_B)$  be a Riemannian submersion. If  $X, Y \in \mathcal{H}^F$ , then  $A_X Y = \frac{1}{2} \mathcal{V}[X, Y]$ .

**Theorem 2.23** (3' in [9]). Let  $F : (M, g_M) \longrightarrow (B, g_B)$  be a Riemannian submersion. If  $X, Y \in \mathcal{H}^F$ , then  $A_X Y = -A_Y X$ .

**Theorem 2.24** (9.21d in [1]). Let  $F : (M, g_M) \longrightarrow (B, g_B)$  be a Riemannian submersion. If  $V \in \mathcal{V}^F$  and  $X, Y \in \mathcal{H}^F$ , then  $g_M(A_XY, V) = -g_M(Y, A_XV)$ . The following lemma describes how the A-tensor changes under canonical variation:

**Theorem 2.25** (Lemma 9.69a in [1]). Let  $F : (M, g_M) \longrightarrow (B, g_B)$  be a Riemannian submersion and  $g_t$  be the canonical variation of  $g_M$  with respect to F. Let  $V \in \mathcal{V}^F$ and  $X, Y \in \mathcal{H}^F$ . Then 1)  $A_X^{g_t}Y = A_X^{g_M}Y$ 2)  $A_X^{g_t}V = t^2 A_X^{g_M}V$ .

#### 2.3.3 Formulas

We use several of O'Neill's curvature formulas stated in [9]. Some of these formulas experience a change in sign so they are consistent with the sign convention of the (1,3) curvature tensor as defined in Petersen's textbook [10]. Furthermore, the Riemannian submersion  $\pi$ that we study in this dissertation (see Section 3.2) has totally geodesic fibers (see Lemma 3.12), so we add this assumption to O'Neill's theorems whenever the *T*-tensor is involved  $(T \equiv \vec{0}; \text{ see Theorem A.10}).$ 

#### Vertizontal Curvature Equation

**Theorem 2.26** (Corollary 1 Part 2 in [9]). Let  $F : (M, g_M) \longrightarrow (B, g_B)$  be a Riemannian submersion with totally geodesic fibers. Let  $V \in \mathcal{V}^F$  and  $X \in \mathcal{H}^F$ . Then  $\sec_{g_M}(X, V) = \frac{|A_X^{g_M}V|_{g_M}^2}{|X|_{g_M}^2 |V|_{g_M}^2}.$ 

#### Horizontal Curvature Equation

**Theorem 2.27** (Corollary 1 Part 3 in [9]). Let  $F : (M, g_M) \longrightarrow (B, g_B)$  be a Riemannian submersion. Let  $X, Y \in TB$  have horizontal lifts  $\tilde{X}, \tilde{Y} \in TM$ . That is,  $\tilde{X}, \tilde{Y} \in \mathcal{H}$  and  $dF\left(\tilde{X}\right) = X$ ,  $dF\left(\tilde{Y}\right) = Y$ . Then  $\sec_{g_B}(X, Y) = \sec_{g_M}\left(\tilde{X}, \tilde{Y}\right) + \frac{3\left|A_{\tilde{X}}^{g_M}\tilde{Y}\right|_{g_M}^2}{\left|\tilde{X}\right|_{g_M}^2 - g_M\left(\tilde{X}, \tilde{Y}\right)^2}$ .

Mixed Curvature Equations (3-1) Theorem 2.28 (Theorem 1 Part {1} in [9]). Let  $F : (M, g_M) \longrightarrow (B, g_B)$  be a Riemannian submersion with totally geodesic fibers. Let  $U, V, W \in \mathcal{V}^F$  and  $X, Y, Z \in \mathcal{H}^F$ . Then 1)  $R_{g_M}(U, V, W, X) = 0.$ 2)  $R_{g_M}(X, Y, Z, V)$  $= -g_M \Big( \nabla_Z^{g_M}(A_X^{g_M}Y), V \Big) + g_M \Big( A_{\nabla_Z^{g_M}X}^{t}Y, V \Big) + g_M \Big( A_X^{g_M}(\nabla_Z^{g_M}Y), V \Big).$  Mixed Curvature Equations (2-2)

**Theorem 2.29** (Theorem 3 Parts {2} and {2'} in [9]). Let  $F : (M, g_M) \longrightarrow (B, g_B)$ be a Riemannian submersion with totally geodesic fibers. Let  $V, W \in \mathcal{V}^F$  and  $X, Y \in \mathcal{H}^F$ . Then

1) 
$$R_{g_M}(X, V, Y, W) = -g_M \left( \nabla_V^{g_M} \left( A_X^{g_M} Y \right), W \right) + g_M \left( A_{\left( \nabla_V^{g_M} X \right)}^{g_M} Y, W \right)$$
$$+ g_M \left( A_X^{g_M} \left( \nabla_V^{g_M} Y \right), W \right) - g_M \left( A_X^{g_M} V, A_Y^{g_M} W \right).$$

2) 
$$R_{g_M}(V, W, X, Y) = -g_M \Big( \nabla_V^{g_M} (A_X^{g_M} Y), W \Big) + g_M \Big( A_{(\nabla_V^{g_M} X)}^{g_M} Y, W \Big)$$
  
  $+ g_M \Big( A_X^{g_M} (\nabla_V^{g_M} Y), W \Big) + g_M \Big( \nabla_W^{g_M} (A_X^{g_M} Y), V \Big)$   
  $- g_M \Big( A_{(\nabla_W^{g_M} X)}^{g_M} Y, V \Big) - g_M \Big( A_X^{g_M} (\nabla_W^{g_M} Y), V \Big)$   
  $- g_M \Big( A_X^{g_M} V, A_Y^{g_M} W \Big) + g_M \Big( A_X^{g_M} W, A_Y^{g_M} V \Big).$ 

Theorem 2.29 (above) can be simplified if  $X, Y \in \mathcal{H}^F$  are assumed to be *basic*. Recall that if  $F: M \longrightarrow N$  is a smooth map between smooth manifolds, then  $X \in TM$  and  $Y \in TN$ are <u>**F**-related</u> if and only if for each  $p \in M$ ,  $dF_p(X_p) = Y_{F(p)}$  (see page 182 of [4]). Let  $F: (M, g_M) \longrightarrow (B, g_B)$  be a Riemannian submersion. A vector field X on M is <u>basic</u> if and only if  $X \in \mathcal{H}^F$  and is *F*-related to a vector field Y on B (see page 460 in [9]). **Theorem 2.30** (Theorem 2.29 with Basic Assumption). Let  $F : (M, g_M) \longrightarrow (B, g_B)$ be a Riemannian submersion with totally geodesic fibers. Let  $V, W \in \mathcal{V}^F$  and  $X, Y \in \mathcal{H}^F$  be basic. Then 1)  $R_{g_M}(X, V, Y, W) = -g_M \left( \nabla_V^{g_M}(A_X^{g_M}Y), W \right) - g_M \left( A_Y^{g_M}V, A_X^{g_M}W \right).$ 2)  $R_{g_M}(V, W, X, Y) = -g_M \left( \nabla_V^{g_M}(A_X^{g_M}Y), W \right) - g_M \left( A_Y^{g_M}V, A_X^{g_M}W \right) + g_M \left( \nabla_W^{g_M}(A_X^{g_M}Y), V \right) + g_M \left( A_Y^{g_M}W, A_X^{g_M}V \right).$ 

The proof of Theorem 2.30 uses the following fact:

**Theorem 2.31** (Proposition 4.5.1 Part (1) in [10]). Let  $F : (M, g_M) \longrightarrow (B, g_B)$  be a Riemannian submersion. Let  $V \in \mathcal{V}^F$  and  $X \in \mathcal{H}^F$  be basic. Then  $[V, X] \in \mathcal{V}^F$ .

*Proof.* (of Theorem 2.30) Since  $X, Y \in \mathcal{H}^F$  are basic,  $g_M\left(A^{g_M}_{(\nabla^{g_M}_V X)}Y, W\right)$  and  $g_M\left(A^{g_M}_X(\nabla^{g_M}_V Y), W\right)$  can be simplified as follows.

$$\begin{split} g_M \left( A^{g_M}_{(\nabla^{g_M}_V X)} Y, W \right) \stackrel{2.21}{=} g_M \left( A^{g_M}_{(\mathcal{H} \nabla^{g_M}_V X)} Y, W \right) \\ \stackrel{2.23}{=} -g_M \left( A^{g_M}_Y \left( \mathcal{H} \nabla^{g_M}_V X \right), W \right) \\ \stackrel{A.11}{=} -g_M \left( A^{g_M}_Y \left( \mathcal{H} \nabla^{g_M}_X V + \mathcal{H}[V, X] \right), W \right) \\ \stackrel{2.31}{=} -g_M \left( A^{g_M}_Y \left( \mathcal{H} \nabla^{g_M}_X V \right), W \right) \\ \stackrel{2.24}{=} g_M \left( \mathcal{H} \nabla^{g_M}_X V, A^{g_M}_Y W \right) \stackrel{2.20}{=} g_M \left( A^{g_M}_X V, A^{g_M}_Y W \right) \end{split}$$

$$\begin{split} g_M \Big( A_X^{g_M} \big( \nabla_V^{g_M} Y \big), W \Big) &= g_M \left( \mathcal{V} \left( A_X^{g_M} \left( \nabla_V^{g_M} Y \right) \right), W \right) \\ &\stackrel{2.20}{=} g_M \left( \mathcal{V} \left( A_X^{g_M} \left( \mathcal{H} \nabla_V^{g_M} Y \right) \right), W \right) \\ &= g_M \left( \mathcal{V} \left( A_X^{g_M} \left( \mathcal{H} \nabla_Y^{g_M} V \right) \right), W \right) \text{ by work above, which shows that} \\ &A_Y^{g_M} \left( \mathcal{H} \nabla_V^{g_M} X \right) = A_Y^{g_M} \left( \mathcal{H} \nabla_X^{g_M} V \right) \\ &= g_M \left( A_X^{g_M} \left( \mathcal{H} \nabla_Y^{g_M} V \right), W \right) \\ &\stackrel{2.24}{=} -g_M \left( \mathcal{H} \nabla_Y^{g_M} V, A_X^{g_M} W \right) \stackrel{2.20}{=} -g_M \left( A_Y^{g_M} V, A_X^{g_M} W \right). \end{split}$$

So 
$$R_{g_M}(X, V, Y, W) \stackrel{2.29}{=} -g_M \left( \nabla_V^{g_M} (A_X^{g_M} Y), W \right) + g_M \left( A_{(\nabla_V^{g_M} X)}^{g_M} Y, W \right)$$
  
+  $g_M \left( A_X^{g_M} (\nabla_V^{g_M} Y), W \right) - g_M \left( A_X^{g_M} V, A_Y^{g_M} W \right)$   
=  $-g_M \left( \nabla_V^{g_M} (A_X^{g_M} Y), W \right) + g_M \left( A_X^{g_M} V, A_Y^{g_M} W \right)$   
-  $g_M \left( A_Y^{g_M} V, A_X^{g_M} W \right) - g_M \left( A_X^{g_M} V, A_Y^{g_M} W \right)$   
=  $-g_M \left( \nabla_V^{g_M} (A_X^{g_M} Y), W \right) - g_M \left( A_Y^{g_M} V, A_X^{g_M} W \right)$ 

and 
$$R_{g_M}(V, W, X, Y) \stackrel{2.29}{=} -g_M \left( \nabla_V^{g_M}(A_X^{g_M}Y), W \right) + g_M \left( A_{(\nabla_V^{g_M}X)}^{g_M}Y, W \right)$$
  
+  $g_M \left( A_X^{g_M}(\nabla_V^{g_M}Y), W \right) + g_M \left( \nabla_W^{g_M}(A_X^{g_M}Y), V \right)$   
-  $g_M \left( A_{(\nabla_W^{g_M}X)}^{g_M}Y, V \right) - g_M \left( A_X^{g_M}(\nabla_W^{g_M}Y), V \right)$   
-  $g_M \left( A_X^{g_M}V, A_Y^{g_M}W \right) + g_M \left( A_X^{g_M}W, A_Y^{g_M}V \right)$   
=  $-g_M \left( \nabla_V^{g_M}(A_X^{g_M}Y), W \right) + g_M \left( A_X^{g_M}V, A_Y^{g_M}W \right)$   
-  $g_M \left( A_Y^{g_M}V, A_X^{g_M}W \right) + g_M \left( \nabla_W^{g_M}(A_X^{g_M}Y), V \right)$   
-  $g_M \left( A_X^{g_M}V, A_Y^{g_M}W \right) + g_M \left( A_X^{g_M}W, A_X^{g_M}V \right)$   
-  $g_M \left( A_X^{g_M}V, A_Y^{g_M}W \right) + g_M \left( A_X^{g_M}W, A_X^{g_M}V \right)$   
-  $g_M \left( A_X^{g_M}V, A_Y^{g_M}W \right) + g_M \left( A_X^{g_M}W, A_X^{g_M}V \right)$   
-  $g_M \left( A_X^{g_M}V, A_Y^{g_M}W \right) + g_M \left( A_X^{g_M}W, A_X^{g_M}V \right)$   
+  $g_M \left( \nabla_W^{g_M}(A_X^{g_M}Y), V \right) - g_M \left( A_Y^{g_M}W, A_X^{g_M}V \right)$ .

# Chapter 3:

# Deforming $(S^3 \times S^3, g+g)$

## 3.1 Cheeger Deformation of $(S^3 \times S^3, g+g)$

This section corresponds to Section 2.2.1. Let g be the usual metric on  $S^3$  and  $\ell > 0$ .

**STEP 1:** Equip  $S^3 \times (S^3 \times S^3)$  with the product metric  $\ell^2 g + (g+g)$ .

**STEP 2:** Let 
$$S^3 \curvearrowright \left(S^3 \times (S^3 \times S^3)\right)$$
 on the left by  $x(y, (p, m)) = (yx^{-1}, (xp, xm))$ .

**STEP 3:** Equip the quotient space  $\frac{S^3 \times (S^3 \times S^3)}{S^3} \stackrel{A.7}{\cong} S^3 \times S^3$  with the metric  $g_\ell$  that makes the quotient map  $q: \left(S^3 \times (S^3 \times S^3), \ell^2 g + (g+g)\right) \rightarrow \left(\frac{S^3 \times (S^3 \times S^3)}{S^3}, g_\ell\right) \cong (S^3 \times S^3, g_\ell)$  a Riemannian submersion (see Theorem 2.3).

**Definition 3.1** (see Definition 2.4). Then  $\{(S^3 \times S^3, g_\ell) \mid \ell > 0\}$  is a family of Cheeger deformations of  $(S^3 \times S^3, g + g)$ .

**Definition 3.2** (see Definition 2.7). Let  $\mathfrak{s} = \operatorname{Im}(\mathbb{H})$  be the Lie algebra of  $S^3$  and  $(N_1, N_2) \in S^3 \times S^3$ .  $K_{S^3 \times S^3, (N_1, N_2)} : \mathfrak{s} \to T_{(N_1, N_2)}(S^3 \times S^3)$  $\alpha \mapsto \frac{d}{dt} \exp(t\alpha)(N_1, N_2)\Big|_{t=0} = (\alpha N_1, \alpha N_2).$ 

Lemma 3.3 (see Theorem 2.8). Let 
$$(N_1, N_2) \in S^3 \times S^3$$
. Then  
 $\mathcal{V}^q_{(1,(N_1,N_2))} = \left\{ \left( -\alpha, (\alpha N_1, \alpha N_2) \right) \mid \alpha \in \mathfrak{s} = \operatorname{Im}(\mathbb{H}) \right\}.$ 

**Lemma 3.4** (see Definition 2.10). Let  $(N_1, N_2) \in S^3 \times S^3$  and  $\alpha, \beta \in \mathfrak{s} = \operatorname{Im}(\mathbb{H})$ . Then  $\kappa_{(N_1, N_2)}((\alpha N_1, \beta N_2)) = \alpha + \beta$ .

*Proof.* For  $(N_1, N_2) \in S^3 \times S^3$  and  $\alpha, \beta \in \mathfrak{s}$ ,

$$\kappa_{(N_1,N_2)}\Big((\alpha N_1,\beta N_2)\Big) = \kappa_{(N_1,N_2)}\Big(\left(\alpha N_1,\vec{0}\right)\Big) + \kappa_{(N_1,N_2)}\Big(\left(\vec{0},\beta N_2\right)\Big).$$

Let  $\gamma \in \mathfrak{s} = \operatorname{Im}(\mathbb{H})$ . Then

$$g\left(\kappa_{(N_1,N_2)}\left(\left(\alpha N_1,\vec{0}\right)\right),\gamma\right) \stackrel{2.10}{=} (g+g)\left(\left(\alpha N_1,\vec{0}\right),(\gamma N_1,\gamma N_2)\right)$$
$$\iff g\left(\kappa_{(N_1,N_2)}\left(\left(\alpha N_1,\vec{0}\right)\right),\gamma\right) = g(\alpha N_1,\gamma N_1)$$
$$\iff g\left(\kappa_{(N_1,N_2)}\left(\left(\alpha N_1,\vec{0}\right)\right),\gamma\right) = \begin{cases} |\alpha|^2 & \text{if } \gamma = \alpha\\ 0 & \text{if } \gamma \perp \alpha \end{cases}$$
$$\iff \kappa_{(N_1,N_2)}\left(\left(\alpha N_1,\vec{0}\right)\right) = \alpha.$$

Similarly,  $\kappa_{(N_1,N_2)}\left(\left(\vec{0},\beta N_2\right)\right) = \beta.$ 

**Definition 3.5** (see Definition 2.11). Let  $(N_1, N_2) \in S^3 \times S^3$  and  $\alpha, \beta \in \mathfrak{s} = \operatorname{Im}(\mathbb{H})$ . Then for all  $\ell > 0$ ,  $\underline{\hat{v}_{\ell}} \stackrel{3.4}{=} \left( \frac{\alpha + \beta}{\ell^2}, (\alpha N_1, \beta N_2) \right)$ .

# 3.2 Canonical Variation of $(S^3 \times S^3, g+g)$

This section corresponds to Section 2.2.2. Consider the left diagonal action of  $S^3$  on  $S^3 \times S^3$ given by  $p(N_1, N_2) = (pN_1, pN_2)$ . Since •  $S^3$  is a closed Lie group

• 
$$f_p: (S^3 \times S^3, g+g) \longrightarrow (S^3 \times S^3, g+g)$$
  
given by  $(N_1, N_2) \mapsto (pN_1, pN_2) = L_p((N_1, N_2))$  is an isometry for all  $p \in S^3$ , and

• the diagonal  $S^3$  action on  $S^3 \times S^3$  is free,

there is a Riemannian metric  $\overline{g}$  on the quotient manifold  $\frac{S^3 \times S^3}{\Delta S^3} \cong S^3$  that makes the quotient map  $\pi : (S^3 \times S^3, g+g) \longrightarrow \left(\frac{S^3 \times S^3}{\Delta S^3} \cong S^3, \overline{g}\right)$  a Riemannian submersion (see Theorem 2.3).

**Lemma 3.6.** Let  $\mathfrak{s} = \operatorname{Im}(\mathbb{H})$  denote the Lie algebra of  $S^3$ . Then for all  $(N_1, N_2) \in S^3 \times S^3$ ,  $\mathcal{V}^{\pi}_{(N_1, N_2)} = \left\{ (\alpha N_1, \alpha N_2) \mid \alpha \in \mathfrak{s} \right\}$ .

Proof. Let  $(N_1, N_2) \in S^3 \times S^3$ .

$$\mathcal{V}_{(N_1,N_2)}^{\pi} = \ker \left( d\pi_{(N_1,N_2)} \right)$$
$$= \left\{ v \in T_{(N_1,N_2)}(S^3 \times S^3) \mid d\pi_{(N_1,N_2)}(v) = \vec{0} \right\} \supseteq T_{(N_1,N_2)} \left( S^3(N_1,N_2) \right).$$

Since  $d\pi_{(N_1,N_2)}: T_{(N_1,N_2)}(S^3 \times S^3) \longrightarrow T_{\pi((N_1,N_2))}S^3$  is linear, the Rank-Nullity Theorem implies dim  $\left(\mathcal{V}^{\pi}_{(N_1,N_2)}\right) = 6 - 3 = 3$ . So  $T_{(N_1,N_2)}\left(S^3(N_1,N_2)\right)$  is a 3-dimensional subspace of a 3-dimensional space  $\mathcal{V}^{\pi}_{(N_1,N_2)}$ , which means  $T_{(N_1,N_2)}\left(S^3(N_1,N_2)\right) = \mathcal{V}^{\pi}_{(N_1,N_2)}$ .

**Lemma 3.7.** Let  $\mathfrak{s} = \operatorname{Im}(\mathbb{H})$  denote the Lie algebra of  $S^3$ . Then for all  $(N_1, N_2) \in S^3 \times S^3$ ,  $\mathcal{H}^{\pi}_{(N_1, N_2)} = \left\{ (\alpha N_1, -\alpha N_2) \mid \alpha \in \mathfrak{s} \right\}.$ 

*Proof.* Theorem 2.13 Part 2 tells us that  $\mathcal{H}$  is independent of t, so we will calculate  $\mathcal{H}^{\pi}_{(N_1,N_2)}$  with respect to g + g.

Let 
$$W = \left\{ (\alpha N_1, -\alpha N_2) \mid \alpha \in \mathfrak{s} \right\}$$
. Recall that  $\mathcal{V}^{\pi}_{(N_1, N_2)} \stackrel{3.6}{=} \left\{ (\beta N_1, \beta N_2) \mid \beta \in \mathfrak{s} \right\}$ . Since  $(g+g) \left( (\alpha N_1, -\alpha N_2), (\beta N_1, \beta N_2) \right) = 0$  for all  $\alpha, \beta \in \mathfrak{s}, W \subseteq \mathcal{H}^{\pi}_{(N_1, N_2)}$ .

Then dim(W) = dim( $\mathfrak{s}$ ) = 3 = dim  $\left(\mathcal{H}^{\pi}_{(N_1,N_2)}\right) \implies W = \mathcal{H}^{\pi}_{(N_1,N_2)}.$ 

**Definition 3.8** (see Definition 2.12). The canonical variation  $g_t$  of g + g on  $S^3 \times S^3$ with respect to the Riemannian submersion  $\pi$  is defined for all  $(N_1, N_2) \in S^3 \times S^3$ and  $\alpha, \beta \in \mathfrak{s} = \operatorname{Im}(\mathbb{H})$  by 1)  $g_t ((\alpha N_1, \alpha N_2), (\beta N_1, \beta N_2)) = t^2 (g + g) ((\alpha N_1, \alpha N_2), (\beta N_1, \beta N_2))$ 2)  $g_t ((\alpha N_1, -\alpha N_2), (\beta N_1, -\beta N_2)) = (g + g) ((\alpha N_1, -\alpha N_2), (\beta N_1, -\beta N_2))$ 3)  $g_t ((\alpha N_1, \alpha N_2), (\beta N_1, -\beta N_2)) = 0.$ 

Lemmas 3.9 and 3.10 below are necessary for curvature computations:

**Lemma 3.9.** Equip  $S^3$  with its usual metric g. Let  $\alpha, \beta \in \mathfrak{s} = \operatorname{Im}(\mathbb{H})$ . Consider the vector fields on  $S^3$  defined by  $V_{\alpha} : N \mapsto \alpha N$  and  $V_{\beta} : N \mapsto \beta N$ . Then  $\nabla^g_{V_{\beta}} V_{\alpha} = \nabla^g_{\beta N} \alpha N = (\alpha \times \beta) N$ . Proof.

$$\begin{aligned} \nabla_{V_{\beta}}^{g} V_{\alpha} &= \left( \nabla_{V_{\beta}}^{(\mathbb{R}^{4}, g_{\text{std}})} V_{\alpha} \right)^{TS^{3}} \\ &= \left( \nabla_{\beta N}^{(\mathbb{R}^{4}, g_{\text{std}})} \alpha N \right)^{TS^{3}} \\ &= \left( \alpha \nabla_{\beta N}^{(\mathbb{R}^{4}, g_{\text{std}})} N \right)^{TS^{3}} \\ &= \left( \alpha (\beta N) \right)^{TS^{3}} \\ \frac{A_{12}^{12}}{\left( (\alpha \beta) N \right)^{TS^{3}}} \\ &= \left( \left( \text{Re}(\alpha \beta) + \text{Im}(\alpha \beta) N \right)^{TS^{3}} \right)^{TS^{3}} \\ &= \left( \frac{\text{Re}(\alpha \beta) N}{\perp S^{3}} \right)^{TS^{3}} + \left( \underbrace{\text{Im}(\alpha \beta) N}_{\text{tan. to } S^{3}} \right)^{TS^{3}} = \vec{0} + \text{Im}(\alpha \beta) N \stackrel{A_{13}}{=} (\alpha \times \beta) N. \end{aligned}$$

Corollary 3.10. Equip 
$$S^3 \times S^3$$
 with its usual product metric  $g+g$ . Let  $\alpha, \beta, \gamma, \delta \in \mathfrak{s} =$   
Im( $\mathbb{H}$ ). Consider the vector fields on  $S^3 \times S^3$  defined by  $V_{\alpha\beta} : (N_1, N_2) \mapsto (\alpha N_1, \beta N_2)$   
and  $V_{\gamma\delta} : (N_1, N_2) \mapsto (\gamma N_1, \delta N_2)$ . Then  
1)  $\nabla^{g+g}_{V_{\gamma\delta}} V_{\alpha\beta} = \nabla^{g+g}_{(\gamma N_1, \delta N_2)}(\alpha N_1, \beta N_2) = ((\alpha \times \gamma)N_1, (\beta \times \delta)N_2)$   
2)  $[V_{\gamma\delta}V_{\alpha\beta}] = [(\gamma N_1, \delta N_2), (\alpha N_1, \beta N_2)] = (2(\alpha \times \gamma)N_1, 2(\beta \times \delta)N_2).$ 

Proof.

$$\nabla_{V_{\gamma\delta}}^{g+g} V_{\alpha\beta} = \nabla_{(\gamma N_1,\delta N_2)}^{g+g} (\alpha N_1,\beta N_2)$$
$$= \left( \nabla_{\gamma N_1}^g \alpha N_1, \nabla_{\delta N_1}^g \beta N_2 \right) \stackrel{3.9}{=} \left( (\alpha \times \gamma) N_1, (\beta \times \delta) N_2 \right).$$

$$\begin{split} [V_{\gamma\delta}V_{\alpha\beta}] &= \left[ (\gamma N_1, \delta N_2), (\alpha N_1, \beta N_2) \right] \\ \stackrel{A.11}{=} \nabla^{g+g}_{(\gamma N_1, \delta N_2)} (\alpha N_1, \beta N_2) - \nabla^{g+g}_{(\alpha N_1, \beta N_2)} (\gamma N_1, \delta N_2) \\ &= \left( (\alpha \times \gamma) N_1, (\beta \times \delta) N_2 \right) - \left( (\gamma \times \alpha) N_1, (\delta \times \beta) N_2 \right) \text{ by calculation above} \\ &= \left( 2(\alpha \times \gamma) N_1, 2(\beta \times \delta) N_2 \right). \end{split}$$

**Lemma 3.11.** The quotient  $S^3$  at the base of the Riemannian submersion  $\pi : (S^3 \times S^3, g+g) \longrightarrow \left(\frac{S^3 \times S^3}{\Delta S^3} \cong S^3, \overline{g}\right)$  has constant curvature 2.

*Proof.* Let  $v_1, v_2 \in T_p S^3$ . Then  $v_1, v_2$  have horizontal lifts  $\widetilde{v_1} = (\alpha N_1, -\alpha N_2)$  and  $\widetilde{v_2} = (\beta N_1, -\beta N_2)$  in  $T_{(N_1, N_2)}(S^3 \times S^3)$  and

$$\begin{split} & \sec_{\overline{g}}(v_{1}, v_{2}) \\ &= \sec_{\overline{g}} \left( d\pi_{(N_{1}, N_{2})} \left( (\alpha N_{1}, -\alpha N_{2}) \right), d\pi_{(N_{1}, N_{2})} \left( (\beta N_{1}, -\beta N_{2}) \right) \right) \\ & \overset{2 \geq 7}{=} \sec_{g+g} \left( (\alpha N_{1}, -\alpha N_{2}), (\beta N_{1}, -\beta N_{2}) \right) \\ & + \frac{3 \left| A_{(\alpha N_{1}, -\alpha N_{2})}^{g+g} ((\beta N_{1}, -\beta N_{2})) \right|_{g+g}^{2}}{|(\alpha N_{1}, -\alpha N_{2})|_{g+g}^{2} \cdot |(\beta N_{1}, -\beta N_{2})|_{g+g}^{2} - (g+g) \left( (\alpha N_{1}, -\alpha N_{2}), (\beta N_{1}, -\beta N_{2}) \right) \right)^{2}} \\ &= \frac{1}{4} \operatorname{curv}_{g+g} \left( (\alpha N_{1}, -\alpha N_{2}), (\beta N_{1}, -\beta N_{2}) \right) + \frac{3}{4} \left| A_{(\alpha N_{1}, -\alpha N_{2})}^{g+g} ((\beta N_{1}, -\beta N_{2})) \right|_{g+g}^{2} \\ &= \frac{1}{4} (2) + \frac{3}{4} \left| \mathcal{V} \nabla_{(-\alpha N_{2}, -\alpha N_{2})} (-\beta N_{2}, -\beta N_{2}) \right|_{g+g}^{2} \\ &= \frac{1}{2} + \frac{3}{4} \left| \left( (\beta \times \alpha) N_{1}, (\beta \times \alpha) N_{2} \right) \right|_{g+g}^{2} = \frac{1}{2} + \frac{3}{4} (2) = 2. \end{split}$$

**Lemma 3.12.** The fibers of  $\pi$  are totally geodesic with respect to  $g_t$  for all  $t \in (0,1)$ .

Proof. Since the fibers of  $\pi$  are submanifolds of  $S^3 \times S^3$  (see page 459 of [9]), we can apply Theorem A.10. Let E, F be arbitrary vector fields on  $S^3 \times S^3$ . Then for some  $\gamma, \alpha, \beta \in \mathfrak{s} = \operatorname{Im}(\mathbb{H})$  and  $(N_1, N_2) \in S^3 \times S^3$ ,  $\mathcal{V}E \stackrel{3.6}{=} (\gamma N_1, \gamma N_2)$ , and

$$F = \mathcal{V}F + \mathcal{H}F \stackrel{3.6}{=} (\alpha N_1, \alpha N_2) + (\beta N_1, -\beta N_2)$$
$$= (\alpha N_1, \alpha N_2) + ((\beta^{\alpha} + \beta^{\perp \alpha})N_1, -(\beta^{\alpha} + \beta^{\perp \alpha})N_2)$$
$$= (\alpha N_1, \alpha N_2) + (\beta^{\alpha} N_1, -\beta^{\alpha} N_2) + (\beta^{\perp \alpha} N_1, -\beta^{\perp \alpha} N_2).$$

So 
$$T_E^{g+g} F \stackrel{A.10}{=} \mathcal{H} \nabla_{\mathcal{V}E}^{g+g} (\mathcal{V}F) + \mathcal{V} \nabla_{\mathcal{V}E}^{g+g} (\mathcal{H}F)$$
  

$$= \mathcal{H} \nabla_{(\gamma N_1, \gamma N_2)}^{g+g} (\alpha N_1, \alpha N_2) + \mathcal{V} \nabla_{(\gamma N_1, \gamma N_2)}^{g+g} \left( (\beta^{\alpha} N_1, -\beta^{\alpha} N_2) + (\beta^{\perp \alpha} N_1, -\beta^{\perp \alpha} N_2) \right)$$

$$= \mathcal{H} \nabla_{(\gamma N_1, \gamma N_2)}^{g+g} (\alpha N_1, \alpha N_2) + \mathcal{V} \nabla_{(\gamma N_1, \gamma N_2)}^{g+g} (\beta^{\alpha} N_1, -\beta^{\alpha} N_2)$$

$$+ \mathcal{V} \nabla_{(\gamma N_1, \gamma N_2)}^{g+g} (\beta^{\perp \alpha} N_1, -\beta^{\perp \alpha} N_2)$$

$$\stackrel{3.10}{=} \mathcal{H} \left( (\alpha \times \gamma) N_1, (\alpha \times \gamma) N_2 \right) + \mathcal{V} \left( (\beta^{\alpha} \times \gamma) N_1, -(\beta^{\alpha} \times \gamma) N_2 \right)$$

$$+ \mathcal{V} \left( (\beta^{\perp \alpha} \times \gamma) N_1, -(\beta^{\perp \alpha} \times \gamma) N_2 \right)$$

Then by Theorem 2.13 Part 3, we get that  $T = \vec{0}$  with respect to  $g_t$  for all  $t \in (0, 1)$ .

## 3.3 Cheeger to Canonical $(S^3 \times S^3, g+g)$

This section corresponds to Section 2.2.3.

Lemma 3.13 (see Theorem 1.8). The Cheeger deformation of  $(S^3 \times S^3, g+g)$  defined in Section 3.1 is canonical variation with rescaling parameter  $t^2 = \frac{\ell^2}{\ell^2+2}$ . That is, for all  $(N_1, N_2) \in S^3 \times S^3$  and  $\alpha, \beta \in \mathfrak{s} = \operatorname{Im}(\mathbb{H})$ , 1)  $g_\ell((\alpha N_1, \alpha N_2), (\beta N_1, \beta N_2)) = \frac{\ell^2}{\ell^2+2}(g+g)((\alpha N_1, \alpha N_2), (\beta N_1, \beta N_2))$ 2)  $g_\ell((\alpha N_1, -\alpha N_2), (\beta N_1, -\beta N_2)) = (g+g)((\alpha N_1, -\alpha N_2), (\beta N_1, -\beta N_2))$ 3)  $g_\ell((\alpha N_1, \alpha N_2), (\beta N_1, -\beta N_2)) = 0.$ 

*Proof.* This follows from Theorem 1.8. The Cheeger deformation of  $(S^3 \times S^3, g+g)$  defined in Section 3.1 satisfies the generalized Petersen-Wilhelm hypothesis (Definition 2.16) since for all  $\alpha, \beta \in \mathfrak{s} = \operatorname{Im}(\mathbb{H})$  such that  $\alpha \perp_g \beta$ ,

$$(g+g)\Big(K_{S^3 \times S^3,(N_1,N_2)}(\alpha), K_{S^3 \times S^3,(N_1,N_2)}(\beta)\Big) \stackrel{3.2}{=} (g+g)\Big((\alpha N_1, \alpha N_2), (\beta N_1, \beta N_2)\Big)$$
$$= g(\alpha N_1, \beta N_1) + g(\alpha N_2, \beta N_2) = 0.$$

The fibers of  $\pi$  are totally geodesic by 3.12.

Furthermore, for all  $\gamma \in \mathfrak{s} = \text{Im}(\mathbb{H})$  satisfying  $|\gamma|_g = 1$ ,

$$|K_{S^3 \times S^3, (N_1, N_2)}(\gamma)|_{g+g}^2 \stackrel{3.2}{=} |(\gamma N_1, \gamma N_2)|_{g+g}^2$$
$$= g(\gamma N_1, \gamma N_1) + g(\gamma N_2, \gamma N_2) = |\gamma N_1|_g^2 + |\gamma N_2|_g^2 = 2.$$

# Chapter 4:

# Lemmas and Formulas for Curvature Calculations

#### 4.1 Zero Curvature

**Lemma 4.1.** Let  $\mathfrak{s} = \operatorname{Im}(\mathbb{H})$  denote the Lie algebra of  $S^3$ . Let  $(N_1, N_2) \in S^3 \times S^3$ and  $\mathcal{P}$  be a plane in  $T_{(N_1, N_2)}(S^3 \times S^3)$ . Then for all  $t \in (0, 1)$ ,  $\operatorname{sec}_{g_t}(\mathcal{P}) = 0 \iff \mathcal{P} = \operatorname{span}\left\{(\alpha N_1, \alpha N_2), (\alpha N_1, -\alpha N_2)\right\}$  for some  $\alpha \in \mathfrak{s}$ .

The proof of Lemma 4.1 requires the following result:

**Theorem 4.2** (Lemma 3.6 in [6]). Let  $(M, g_M)$  and  $(N, g_N)$  be positively curved Riemannian manifolds. A plane  $\mathcal{P}$  tangent to  $M \times N$  has curvature zero with respect to the product metric  $g_M + g_N$  if and only if it can be written as  $\mathcal{P} =$ span $\left\{ \left(v, \vec{0}\right), \left(\vec{0}, w\right) \right\}$  for some  $v \in TM$  and  $w \in TN$ . Proof. (of Lemma 4.1)  $\implies$  Recall Lemma 3.13, which states that  $(S^3 \times S^3, g_\ell)$  is a Cheeger deformation  $(S^3 \times S^3, g_\ell)$  with  $\ell^2 = \frac{2t^2}{1-t^2}$ . With this in mind, assume plane  $\mathcal{P}$  in  $T_{(N_1,N_2)}(S^3 \times S^3)$  satisfies  $\operatorname{curv}_{g_\ell}(\mathcal{P}) = 0$ . Let  $\widetilde{\mathcal{P}}_\ell$  be the horizontal lift of  $\mathcal{P}$  with respect to  $q : \left(S^3 \times (S^3 \times S^3), \ell^2 g + g + g\right) \longrightarrow (S^3 \times S^3, g_\ell)$ . Then  $dq \left(\widetilde{\mathcal{P}}_\ell\right) = \mathcal{P}$ . Decompose  $\widetilde{\mathcal{P}}_\ell =$  $\operatorname{proj}_{S^3}\left(\widetilde{\mathcal{P}}_\ell\right) + \operatorname{proj}_{S^3 \times S^3}\left(\widetilde{\mathcal{P}}_\ell\right) := \mathcal{P}_G + \mathcal{P}_M$ . Then  $\widehat{(\mathcal{P}_M)}_\ell \stackrel{2.11}{=} \widetilde{\mathcal{P}}_\ell$  and if  $\mathcal{P}_M = \operatorname{span}\{v_1, v_2\}$ , then  $\mathcal{P}_G = \operatorname{span}\left\{\kappa_{(N_1,N_2)}(v_1), \kappa_{(N_1,N_2)}(v_2)\right\}$ .

$$\operatorname{curv}_{g_{\ell}}(\mathcal{P}) = 0 \stackrel{2.27}{\Longrightarrow} \operatorname{curv}_{\ell^{2}g+g+g}\left(\tilde{P}_{\ell}\right) = 0$$
$$\implies \operatorname{curv}_{\ell^{2}g}\left(\mathcal{P}_{G}\right) + \operatorname{curv}_{g+g}\left(\mathcal{P}_{M}\right) = 0$$
$$\implies \operatorname{curv}_{\ell^{2}g}\left(\kappa_{(N_{1},N_{2})}(v_{1}), \kappa_{(N_{1},N_{2})}(v_{2})\right) = 0 \text{ and } \operatorname{curv}_{g+g}\left(v_{1},v_{2}\right) = 0$$
since  $\operatorname{curv}(S^{3},g) \geq 0$  and  $\operatorname{curv}(S^{3} \times S^{3},g+g) \geq 0$ .

$$\operatorname{curv}_{g+g}(v_1, v_2) = 0$$

$$\stackrel{4.2}{\Longrightarrow} \operatorname{span}\{v_1, v_2\} = \operatorname{span}\left\{\left(\alpha N_1, \vec{0}\right), \left(\vec{0}, \beta N_2\right)\right\} \text{ for some } \alpha, \beta \in \mathfrak{s} = \operatorname{Im}(\mathbb{H}).$$

Then 
$$\operatorname{curv}_{\ell^2 g} \left( \kappa_{(N_1,N_2)}(v_1), \kappa_{(N_1,N_2)}(v_2) \right) = 0$$
  
 $\Longrightarrow \operatorname{curv}_{\ell^2 g} \left( \kappa_{(N_1,N_2)} \left( \left( \alpha N_1, \vec{0} \right) \right), \kappa_{(N_1,N_2)} \left( \left( \vec{0}, \beta N_2 \right) \right) \right) = 0$   
 $\stackrel{3.4}{\Longrightarrow} \operatorname{curv}_{\ell^2 g}(\alpha, \beta) = 0$   
 $\Longrightarrow \beta$  is proportional to  $\alpha$   
 $\Longrightarrow \mathcal{P}_M = \operatorname{span} \left\{ \left( \alpha N_1, \vec{0} \right), \left( \vec{0}, \alpha N_2 \right) \right\}$  for some  $\alpha \in \mathfrak{s} = \operatorname{Im}(\mathbb{H})$   
 $\Longrightarrow \mathcal{P}_M = \operatorname{span} \left\{ (\alpha N_1, \alpha N_2), (\alpha N_1, -\alpha N_2) \right\}$  for some  $\alpha \in \mathfrak{s} = \operatorname{Im}(\mathbb{H}).$ 

It follows that 
$$\mathcal{P} = \operatorname{span}\{(\alpha N_1, \alpha N_2), (\alpha N_1, -\alpha N_2)\}$$
 for some  $\alpha \in \mathfrak{s} = \operatorname{Im}(\mathbb{H})$  since  $\mathcal{P} = dq\left(\widehat{\mathcal{P}_\ell}\right) = dq\left(\widehat{\mathcal{P}_M}_\ell\right) = dq\left(\widehat{(\alpha N_1, \alpha N_2)}_\ell\right) + dq\left(\widehat{(\alpha N_1, -\alpha N_2)}_\ell\right)$  where

$$dq\left(\widehat{(\alpha N_1, \alpha N_2)}_{\ell}\right) \stackrel{3.5}{=} dq\left(\frac{2\alpha}{\ell^2}, (\alpha N_1, \alpha N_2)\right)$$
$$\stackrel{2.6}{=} K_{S^3 \times S^3, (N_1, N_2)}\left(\frac{2\alpha}{\ell^2}\right) + (\alpha N_1, \alpha N_2)$$
$$\stackrel{3.2}{=} \frac{2}{\ell^2}\left(\alpha N_1, \alpha N_2\right) + (\alpha N_1, \alpha N_2) = \frac{\ell^2 + 2}{\ell^2}(\alpha N_1, \alpha N_2)$$

and 
$$dq\left(\overline{(\alpha N_1, -\alpha N_2)}_\ell\right) \stackrel{3.5}{=} dq\left(\vec{0}, (\alpha N_1, -\alpha N_2)\right)$$
  
$$\stackrel{2.6}{=} K_{S^3 \times S^3, (N_1, N_2)}\left(\vec{0}\right) + (\alpha N_1, -\alpha N_2) \stackrel{3.2}{=} (\alpha N_1, -\alpha N_2).$$

 $\leftarrow$  By Theorem 2.26,

$$\sec_{g_t} \left( (\alpha N_1, \alpha N_2), (\alpha N_1, -\alpha N_2) \right) = \frac{\left| A_{(\alpha N_1, -\alpha N_2)}^{g_t} (\alpha N_1, \alpha N_2) \right|_{g_t}^2}{|(\alpha N_1, -\alpha N_2)|_{g_t}^2 |(\alpha N_1, \alpha N_2)|_{g_t}^2} \text{ where }$$

$$\begin{aligned} A_{(\alpha N_1, -\alpha N_2)}^{g_t}(\alpha N_1, \alpha N_2) &\stackrel{2.13}{=} t^2 A_{(\alpha N_1, -\alpha N_2)}^{g+g}(\alpha N_1, \alpha N_2) \\ &\stackrel{2.20}{=} \mathcal{H} \nabla_{(\alpha N_1, -\alpha N_2)}^{g+g}(\alpha N_1, \alpha N_2) \\ &\stackrel{3.10}{=} \mathcal{H} \Big( (\alpha \times \alpha) N_1, -(\alpha \times \alpha) N_2 \Big) = \vec{0} \\ &\implies \left| A_{(\alpha N_1, -\alpha N_2)}^{g_t}(\alpha N_1, \alpha N_2) \right|_{g_t}^2 = 0 \\ &\implies \sec_{g_t} \Big( (\alpha N_1, \alpha N_2), (\alpha N_1, -\alpha N_2) \Big) = 0. \end{aligned}$$

## 4.2 Vertical Curvature

**Lemma 4.3.** Let g be the usual metric on  $S^3$  and  $(N_1, N_2) \in S^3 \times S^3$ . Let  $\mathfrak{s} = \operatorname{Im}(\mathbb{H})$ denote the Lie algebra of  $S^3$ . Let  $\alpha, \beta \in \mathfrak{s}$  satisfy  $\alpha \perp_g \beta$ . Then for all  $t \in (0, 1)$ ,  $\operatorname{sec}_{g_t}((\alpha N_1, \alpha N_2), (\beta N_1, \beta N_2)) = \frac{1}{2t^2}.$ 

*Proof.* Since the fibers of  $\pi$  are totally geodesic for all values of t (see Lemma 3.12), the intrinsic curvature computed in the fibers of  $\pi$  and the extrinsic curvature computed in the ambient manifold  $S^3 \times S^3$  are equal. For this reason, all curvature calculations in this proof are made in  $S^3 \times S^3$ .

Suppose  $|\alpha|_g = |\beta|_g = 1$ . Then

$$sec_{gt} \left( (\alpha N_1, \alpha N_2), (\beta N_1, \beta N_2) \right)$$
  
=  $\frac{1}{t^2} sec_{g+g} \left( (\alpha N_1, \alpha N_2), (\beta N_1, \beta N_2) \right)$   
=  $\frac{1}{t^2} \left( \frac{curv_{g+g} \left( (\alpha N_1, \alpha N_2), (\beta N_1, \beta N_2) \right)}{|(\alpha N_1, \alpha N_2)|_{g+g}^2 \cdot |(\beta N_1, \beta N_2)|_{g+g}^2 - g \left( (\alpha N_1, \alpha N_2), (\beta N_1, \beta N_2) \right)^2} \right)$   
=  $\frac{1}{t^2} \left( \frac{curv_{g+g} \left( (\alpha N_1, \alpha N_2), (\beta N_1, \beta N_2) \right)}{2 \cdot 2 - 0} \right)$   
=  $\frac{1}{4t^2} \left( curv_g (\alpha N_1, \beta N_1) + curv_g (\alpha N_2, \beta N_2) \right) = \frac{1}{4t^2} (2) = \frac{1}{2t^2}.$ 

## 4.3 Horizontal Curvature

**Lemma 4.4.** Let g be the usual metric on  $S^3$  and  $(N_1, N_2) \in S^3 \times S^3$ . Let  $\mathfrak{s} = \operatorname{Im}(\mathbb{H})$ denote the Lie algebra of  $S^3$ . Let  $\alpha, \beta \in \mathfrak{s}$  satisfy  $\alpha \perp_g \beta$ . Then for all  $t \in (0, 1)$ ,  $\operatorname{sec}_{g_t}\left((\alpha N_1, -\alpha N_2), (\beta N_1, -\beta N_2)\right) = 2 - \frac{3}{2}t^2$ .

*Proof.* Suppose  $|\alpha|_g = |\beta|_g = 1$ . Then

$$\sec_{g_t} \left( (\alpha N_1, -\alpha N_2), (\beta N_1, -\beta N_2) \right)$$

$$\overset{2.27}{=} \sec_{\overline{g}} \left( d\pi_{(N_1, N_2)} \left( (\alpha N_1, -\alpha N_2) \right), d\pi_{(N_1, N_2)} \left( (\beta N_1, -\beta N_2) \right) \right)$$

$$- \frac{3 |A_{(\alpha N_1, -\alpha N_2)}^{g_t} (\beta N_1, -\beta N_2)|_{g_t}^2}{|(\alpha N_1, -\alpha N_2)|_{g_t}^2 \cdot |(\beta N_1, -\beta N_2)|_{g_t}^2 - g_t \left( (\alpha N_1, -\alpha N_2), (\beta N_1, -\beta N_2) \right)^2$$

where 
$$\sec_{\overline{g}}\left(d\pi_{(N_1,N_2)}\left((\alpha N_1,-\alpha N_2)\right),d\pi_{(N_1,N_2)}\left((\beta N_1,-\beta N_2)\right)\right) \stackrel{3.11}{=} 2$$

and 
$$\frac{3 \left| A_{(\alpha N_1, -\alpha N_2)}^{q_t} (\beta N_1, -\beta N_2) \right|_{g_t}^2}{\left| (\alpha N_1, -\alpha N_2) \right|_{g_t}^2 + \left| (\beta N_1, -\beta N_2) \right|_{g_t}^2 - g_t \left( (\alpha N_1, -\alpha N_2) , (\beta N_1, -\beta N_2) \right)^2}$$
$$= \frac{3}{4} \left| A_{(\alpha N_1, -\alpha N_2)}^{g_t} (\beta N_1, -\beta N_2) \right|_{g_t}^2$$
$$= \frac{3}{4} \left| A_{(\alpha N_1, -\alpha N_2)}^{g_{+}} (\beta N_1, -\beta N_2) \right|_{g_t}^2$$
$$= \frac{3}{4} \left| \left( (\beta \times \alpha) N_1, (\beta \times \alpha) N_2 \right) \right|_{g_t}^2$$
$$= \frac{3}{4} t^2 \left| \left( (\beta \times \alpha) N_1, (\beta \times \alpha) N_2 \right) \right|_{g_{+}g}^2 = \frac{3}{4} t^2 (2) = \frac{3}{2} t^2.$$

### 4.4 Vertizontal Curvature

**Lemma 4.5.** Let g be the usual metric on  $S^3$  and  $(N_1, N_2) \in S^3 \times S^3$ . Let  $\mathfrak{s} = \operatorname{Im}(\mathbb{H})$ denote the Lie algebra of  $S^3$ . Let  $\alpha, \beta \in \mathfrak{s}$  satisfy  $\alpha \perp_g \beta$ . Then for all  $t \in (0, 1)$ ,  $\operatorname{sec}_{g_t}\left((\alpha N_1, -\alpha N_2), (\beta N_1, \beta N_2)\right) = \frac{t^2}{2}.$ 

*Proof.* Suppose  $|\alpha|_g = |\beta|_g = 1$ . Then

$$\sec_{g_{t}}\left((\alpha N_{1}, -\alpha N_{2}), (\beta N_{1}, \beta N_{2})\right) \stackrel{2.26}{=} \frac{\left|A_{(\alpha N_{1}, -\alpha N_{2})}^{g_{t}}(\beta N_{1}, \beta N_{2})\right|_{g_{t}}^{2}}{|(\alpha, -\alpha)|_{g_{t}}^{2} \cdot |(\beta, \beta)|_{g_{t}}^{2}} \\ \stackrel{2.25}{=} \frac{\left|t^{2}A_{(\alpha N_{1}, -\alpha N_{2})}^{g+g}(\beta N_{1}, \beta N_{2})\right|_{g_{t}}^{2}}{|(\alpha, -\alpha)|_{g_{t}}^{2} \cdot |(\beta, \beta)|_{g_{t}}^{2}} \\ \stackrel{2.20}{=} \frac{t^{4}\left|\mathcal{H}\nabla_{(\alpha N_{1}, -\alpha N_{2})}^{g+g}(\beta N_{1}, \beta N_{2})\right|_{g_{t}}^{2}}{|(\alpha, -\alpha)|_{g_{t}}^{2} \cdot |(\beta, \beta)|_{g_{t}}^{2}} \\ \stackrel{3.10}{=} \frac{t^{4}\left|\left((\beta \times \alpha)N_{1}, -(\beta \times \alpha)N_{2}\right)\right|_{g_{t}}^{2}}{|(\alpha, -\alpha)|_{g_{t}}^{2} \cdot |(\beta, \beta)|_{g_{t}}^{2}} \\ \stackrel{2.12}{=} \frac{t^{4}\left|\left((\beta \times \alpha)N_{1}, -(\beta \times \alpha)N_{2}\right)\right|_{g+g}^{2}}{|(\alpha, -\alpha)|_{g+g}^{2} \cdot t^{2}|(\beta, \beta)|_{g+g}^{2}} \\ = \frac{t^{4}(2)}{2 \cdot t^{2}(2)} = \frac{t^{2}}{2}.$$

## 4.5 The 3-1 Rules

**Lemma 4.6.** Let  $U, V, W \in \mathcal{V}^{\pi}$  and  $X \in \mathcal{H}^{\pi}$ . Then for all  $t \in (0, 1)$ ,  $R_{g_t}(U, V, W, X) = 0.$ 

*Proof.* This follows from Part 1 of Theorem 2.28 and Theorem 3.12.

**Lemma 4.7.** Let  $V \in \mathcal{V}^{\pi}$  and  $X, Y, Z \in \mathcal{H}^{\pi}$ . Then for all  $t \in (0, 1)$ ,  $R_{g_t}(X, Y, Z, V) = 0.$  Proof.

$$R_{g_t}(X,Y,Z,V) \stackrel{2.28}{=} -\underbrace{g_t(\nabla_Z^t(A_X^tY),V)}_{\text{Part (1)}} + \underbrace{g_t(A_{\nabla_Z^tX}^tY,V)}_{\text{Part (2)}} + \underbrace{g_t(A_X^t(\nabla_Z^tY),V)}_{\text{Part (3)}}.$$

Calculation of Part (1)

$$g_t \left( \nabla_Z^{g_t} (A_X^{g_t} Y), V \right) \stackrel{2 \ge 2}{=} g_t \left( \nabla_Z^{g_t} \left( \frac{1}{2} [X, Y]^{\mathcal{V}} \right), V \right)$$
$$= \frac{1}{2} \cdot 2g_t \left( \nabla_Z^{g_t} \left( \frac{1}{2} [X, Y]^{\mathcal{V}} \right), V \right)$$
$$= \frac{1}{2} \cdot 2t^2 (g + g) \left( \nabla_Z^{g + g} \left( \frac{1}{2} [X, Y]^{\mathcal{V}} \right), V \right) \text{ by Koszul's formula}$$
$$\stackrel{2 \ge 2}{=} t^2 (g + g) \left( \nabla_Z^{g + g} (A_X^{g + g} Y), V \right).$$

 $\underline{\text{Calculation of Part}(2)}$ 

$$\begin{split} g_t \left( A_{\nabla_Z^{g_t} X}^{g_t} Y, V \right) &\stackrel{2.21}{=} g_t \left( A_{\mathcal{H} \nabla_Z^{g_t} X}^{g_t} Y, V \right) \\ &\stackrel{2.22}{=} g_t \left( \frac{1}{2} \mathcal{V} \left[ \left( \nabla_Z^{g_t} X \right)^{\mathcal{H}}, Y \right], V \right) \\ &\stackrel{2.14}{=} g_t \left( \frac{1}{2} \mathcal{V} \left[ \left( \nabla_Z^{g+g} X \right)^{\mathcal{H}}, Y \right], V \right) \\ &\stackrel{2.12}{=} t^2 (g+g) \left( \frac{1}{2} \mathcal{V} \left[ \left( \nabla_Z^{g+g} X \right)^{\mathcal{H}}, Y \right], V \right) \\ &\stackrel{2.22}{=} t^2 (g+g) \left( A_{\mathcal{H} \left( \nabla_Z^{g+g} X \right)}^{g+g} Y, V \right) \stackrel{2.21}{=} t^2 (g+g) \left( A_{\nabla_Z^{g+g} X}^{g+g} Y, V \right). \end{split}$$

Calculation of Part (3)

$$\begin{split} g_t \left( A_X^{g_t} \Big( \nabla_Z^{g_t} Y \Big), V \right) &= g_t \Big( \mathcal{V} \Big( A_X^{g_t} (\nabla_Z^{g_t} Y) \Big), V \Big) \\ & \stackrel{2.20}{=} g_t \Big( \mathcal{V} \Big( \nabla_X^{g_t} \big( \mathcal{H} \nabla_Z^{g_t} Y \big) \Big), V \Big) \\ & \stackrel{2.14}{=} g_t \Big( \mathcal{V} \Big( \nabla_X^{g_t} \big( \mathcal{H} \nabla_Z^{g+g} Y \big) \Big), V \Big) \\ & \stackrel{2.14}{=} g_t \Big( \mathcal{V} \Big( \nabla_X^{g+g} \big( \mathcal{H} \nabla_Z^{g+g} Y \big) \Big), V \Big) \\ & \stackrel{2.12}{=} t^2 (g + g) \Big( \mathcal{V} \Big( \nabla_X^{g+g} \big( \mathcal{H} \nabla_Z^{g+g} Y \big) \Big), V \Big) \\ & \stackrel{2.20}{=} t^2 (g + g) \Big( \mathcal{V} \Big( A_X^{g+g} \big( \nabla_Z^{g+g} Y \big) \Big), V \Big) \\ &= t^2 (g + g) \Big( \mathcal{A}_X^{g+g} \big( \nabla_Z^{g+g} Y \big) \Big), V \Big) \\ &= t^2 (g + g) \Big( A_X^{g+g} \big( \nabla_Z^{g+g} Y \big) \Big), V \Big) = t^2 (g + g) \Big( A_X^{g+g} \big( \nabla_Z^{g+g} Y \big), V \Big). \end{split}$$

Let  $\alpha, \beta, \gamma, \nu \in \mathfrak{s} = \operatorname{Im}(\mathbb{H})$ . Define vector fields on  $S^3 \times S^3$  by  $X : (N_1, N_2) \mapsto (\alpha N_1, -\alpha N_2)$ ,  $Y : (N_1, N_2) \mapsto (\beta N_1, -\beta N_2), Z : (N_1, N_2) \mapsto (\gamma N_1, -\gamma N_2)$ , and  $V : (N_1, N_2) \mapsto (\nu N_1, \nu N_2)$ .

$$\begin{split} R_{g_t}(X,Y,Z,V) \\ &= -t^2(g+g) \left( \nabla_Z^{g+g}(A_X^{g+g}Y), V \right) + t^2(g+g) \left( A_{\nabla_Z^{g+g}X}^{g+g}Y, V \right) \\ &+ t^2(g+g) \left( A_X^{g+g}(\nabla_Z^{g+g}Y), V \right) \\ \\ \overset{2.28}{=} R_{g+g}(X,Y,Z,V) \\ \overset{A=14}{=} \frac{1}{4} (g+g)([X,V],[Y,Z]) - \frac{1}{4} (g+g)([X,Z],[Y,V]) \\ \\ \overset{3.10}{=} \frac{1}{4} (g+g) \left( (2(\nu \times \alpha)N_1, -2(\nu \times \alpha)N_2), (2(\gamma \times \beta)N_1, 2(\gamma \times \beta)N_2)) \right) \\ &- \frac{1}{4} (g+g) \left( (2(\gamma \times \alpha)N_1, 2(\gamma \times \alpha)N_2), (2(\nu \times \beta)N_1, -2(\nu \times \beta)N_2) \right) \\ \\ \overset{3.8}{=} 0. \end{split}$$

## 4.6 2V-2H Curvatures

Lemma 4.8. Let  $\alpha, \beta, \gamma, \nu \in \mathfrak{s} = \operatorname{Im}(\mathbb{H})$  be unit with respect to the usual metric  $g \text{ on } S^3$ . Let  $(N_1, N_2) \in S^3 \times S^3$  and define vectors in  $T_{(N_1, N_2)}(S^3 \times S^3)$  by x =  $(\alpha N_1, -\alpha N_2), y = (\beta N_1, -\beta N_2), v = (\nu N_1, -\nu N_2), and w = (\omega N_1, \omega N_2).$  Let  $\cdot$  be the usual dot product on  $\mathbb{R}^3$ . Then for all  $t \in (0, 1)$ , 1)  $R_{g_t}(x, v, y, w) = -2t^2(\alpha \times \beta) \cdot (\nu \times \omega) - 2t^4(\nu \times \beta) \cdot (\omega \times \alpha).$ 2)  $R_{g_t}(v, w, x, y) = -4t^2(\alpha \times \beta) \cdot (\nu \times \omega) - 2t^4(\nu \times \beta) \cdot (\omega \times \alpha) + 2t^4(\omega \times \beta) \cdot (\nu \times \alpha).$  *Proof.* If  $V, W \in \mathcal{V}^{\pi}$  and  $X, Y \in \mathcal{H}^{\pi}$  are basic, then

 $R_{g_t}(X, V, Y, W) \stackrel{2.30}{=} -g_t \left( \nabla_V^{g_t} (A_X^{g_t} Y), W \right) - g_t \left( A_Y^{g_t} V, A_X^{g_t} W \right) \text{ where }$ 

$$\begin{split} g_t \big( \nabla_V^{g_t}(A_X^{g_t}Y), W \big) &= g_t \Big( \mathcal{V} \big( \nabla_V^{g_t}(A_X^{g_t}Y) \big), W \Big) \\ \stackrel{2.25}{=} g_t \Big( \mathcal{V} \big( \nabla_V^{g_t}(A_X^{g+g}Y) \big), W \Big) \\ \stackrel{2.20}{=} g_t \Big( \mathcal{V} \big( \nabla_V^{g_t}(\nabla_X^{g+g}Y)^{\mathcal{V}} \big), W \Big) \\ \stackrel{2.14}{=} g_t \Big( \mathcal{V} \big( \nabla_V^{g+g}(\nabla_X^{g+g}Y)^{\mathcal{V}} \big), W \Big) \\ \stackrel{2.20}{=} g_t \Big( \mathcal{V} \big( \nabla_V^{g+g}(A_X^{g+g}Y) \big), W \Big) \\ \stackrel{2.21}{=} t^2 \big( g + g \big) \Big( \mathcal{V} \big( \nabla_V^{g+g}(A_X^{g+g}Y) \big), W \Big) = t^2 \big( g + g \big) \Big( \nabla_V^{g+g}(A_X^{g+g}Y), W \Big) \end{split}$$

and 
$$g_t \left( A_Y^{g_t} V, A_X^{g_t} W \right) \stackrel{2.25}{=} g_t \left( t^2 A_Y^{g+g} V, t^2 A_X^{g+g} W \right)$$
  
$$\stackrel{2.20}{=} t^4 g_t \left( \mathcal{H} \nabla_Y^{g+g} V, \mathcal{H} \nabla_X^{g+g} W \right) \stackrel{2.12}{=} t^4 (g+g) \left( \mathcal{H} \nabla_Y^{g+g} V, \mathcal{H} \nabla_X^{g+g} W \right).$$

Extend vectors v, w to  $\pi$ -vertical vector fields on  $S^3 \times S^3$  defined by  $V : (N_1, N_2) \mapsto (\nu N_1, \nu N_2)$  and  $W : (N_1, N_2) \mapsto (\omega N_1, \omega N_2)$  and extend vectors x, y to basic  $\pi$ -horizontal vector fields  $X : (pN_1, pN_2) \mapsto (p\alpha N_1, -p\alpha N_2)$  and  $Y : (pN_1, pN_2) \mapsto (p\beta N_1, -p\beta N_2)$ . These vector fields are basic since  $\{(p\alpha N_1, -p\alpha N_2) \mid \in S^3\}$  and  $\{(p\beta N_1, -p\beta N_2) \mid \in S^3\}$  are orbits under the left diagonal action of  $S^3$  on  $S^3 \times S^3$ , so  $d\pi$  maps each of these orbits to a single vector, making X and Y each  $\pi$ -related to constant vector fields. By Theorem A.15,  $\nabla_V^{g+g}(A_X^{g+g}Y)$  only depends on  $A_X^{g+g}Y$  along a curve  $c_V(t)$  in  $S^3 \times S^3$ that satisfies  $c_V(0) = (N_1, N_2)$  and  $c'_V(0) = (\nu N_1, \nu N_2)$ . For  $s \in [0, 2\pi)$ , define  $c_V(s) = \left( (\cos s + (\sin s)\nu)N_1, (\cos s + (\sin s)\nu)N_2 \right).$ 

We only need to understand  $A_X^{g+g}Y$  along  $c_V(s)$ , so we only need  $X|_{c_V(s)}$  and  $Y|_{c_V(s)}$ . For notational simplicity, let  $\cos s + (\sin s)\nu = p_{\nu}(s)$ . Then  $X|_{c_V(s)} : (p_{\nu}(s)N_1, p_{\nu}(s)N_2) \mapsto$  $(p_{\nu}(s)\alpha N_1, -p_{\nu}(s)\alpha N_2)$  and  $Y|_{c_V(s)} : (p_{\nu}(s)N_1, p_{\nu}(s)N_2) \mapsto (p_{\nu}(s)\beta N_1, -p_{\nu}(s)\beta N_2).$ 

$$\begin{split} A_{X|c_{V}(s)}^{g+g} Y|_{c_{V}(s)} \\ & 2 \stackrel{2}{=} \mathcal{V} \nabla_{\left(p_{\nu}(s)\alpha N_{1},-p_{\nu}(s)\alpha N_{2}\right)}^{g+g} \left(p_{\nu}(s)\beta N_{1},-p_{\nu}(s)\beta N_{2}\right) \\ & = \mathcal{V} \left( \left( \nabla_{\left(p_{\nu}(s)\alpha N_{1},-p_{\nu}(s)\alpha N_{2}\right)}^{\mathbb{R}^{4} \times \mathbb{R}^{4}} (p_{\nu}(s)\alpha N_{1},-p_{\nu}(s)\alpha N_{2}\right) \left(p_{\nu}(s)\beta N_{1},-p_{\nu}(s)\beta N_{2}\right) \right) \\ & = \mathcal{V} \nabla_{\left(p_{\nu}(s)\alpha N_{1},-p_{\nu}(s)\alpha N_{2}\right)}^{\mathbb{R}^{4} \times \mathbb{R}^{4}} (p_{\nu}(s)\alpha N_{1},-p_{\nu}(s)\beta N_{1},-p_{\nu}(s)\beta N_{2}) \\ & \text{since } \mathcal{V} \subseteq T(S^{3} \times S^{3}) \implies \text{proj}_{\mathcal{V}} \circ \text{proj}_{T(S^{3} \times S^{3})} = \text{proj}_{\mathcal{V}} \\ & A \stackrel{16}{=} \mathcal{V} \left( p_{\nu}(s) \nabla_{\left(\alpha N_{1},-\alpha N_{2}\right)}^{\mathbb{R}^{4} \times \mathbb{R}^{4}} (\beta N_{1},-\beta N_{2}) \right)^{\mathcal{V}} \text{ since left multiplication by } p_{\nu}(s) \text{ preserves } \mathcal{V} \\ & = p_{\nu}(s) \left( \nabla_{\left(\alpha N_{1},-\alpha N_{2}\right)}^{g+g} (\beta N_{1},-\beta N_{2}) \right)^{\mathcal{V}} \text{ since } \mathcal{V} \subseteq T(S^{3} \times S^{3}) \\ & \stackrel{310}{\stackrel{310}{=}} p_{\nu}(s) \left( (\beta \times \alpha) N_{1}, (\beta \times \alpha) N_{2} \right) \\ & = \left( \left( p_{\nu}(s)(\beta \times \alpha) \overline{p_{\nu}(s)} \right) p_{\nu}(s) N_{1}, \left( p_{\nu}(s)(\beta \times \alpha) \overline{p_{\nu}(s)} \right) p_{\nu}(s) N_{2} \right) \end{split}$$

where

$$p_{\nu}(s)(\beta \times \alpha)\overline{p_{\nu}(s)}$$

$$= \left(\cos s + (\sin s)\nu\right)(\beta \times \alpha)\left(\cos s - (\sin s)\nu\right)$$

$$= (\cos s)^{2}(\beta \times \alpha) + (\cos s)(\sin s)\left(\nu(\beta \times \alpha) - (\beta \times \alpha)\nu\right) - (\sin s)^{2}\nu(\beta \times \alpha)\nu$$

$$= (\cos s)^{2}(\beta \times \alpha) + (\cos s)(\sin s)\left(\nu(\beta \times \alpha) - \overline{\nu(\beta \times \alpha)}\right) - (\sin s)^{2}\nu(\beta \times \alpha)\nu$$

$$= (\cos s)^{2}(\beta \times \alpha) + (\cos s)(\sin s)\left(\nu(\beta \times \alpha) - \overline{\nu(\beta \times \alpha)}\right) - (\sin s)^{2}\nu(\beta \times \alpha)\nu$$

$$= (\cos s)^{2}(\beta \times \alpha)$$

$$+ (\cos s)(\sin s)\left(\operatorname{Re}(\nu(\beta \times \alpha)) + \operatorname{Im}(\nu(\beta \times \alpha)) - \left(\operatorname{Re}(\nu(\beta \times \alpha)) - \operatorname{Im}(\nu(\beta \times \alpha))\right)\right)\right)$$

$$- (\sin s)^{2}\nu(\beta \times \alpha)\nu$$

$$= (\cos s)^{2}(\beta \times \alpha) + 2(\cos s)(\sin s)\operatorname{Im}(\nu(\beta \times \alpha)) - (\sin s)^{2}\nu(\beta \times \alpha)\nu$$

$$\frac{A_{13}}{=} (\cos s)^{2}(\beta \times \alpha) + \sin(2s)(\nu \times (\beta \times \alpha)) - (\sin s)^{2}\nu(\beta \times \alpha)\nu.$$

To simplify notation, let  $(\nu N_1, \nu N_2) = \nu N$  and  $\left( \left( p_{\nu}(s)(\beta \times \alpha) \overline{p_{\nu}(s)} \right) p_{\nu}(s) N_1, \left( p_{\nu}(s)(\beta \times \alpha) \overline{p_{\nu}(s)} \right) p_{\nu}(s) N_2 \right)$  $= \left( p_{\nu}(s)(\beta \times \alpha) \overline{p_{\nu}(s)} \right) p_{\nu}(s) N.$ 

Then  $\nabla_V^{g+g} A_X^{g+g} Y$ 

$$= \nabla_{\nu N}^{g+g} \left( p_{\nu}(s)(\beta \times \alpha) \overline{p_{\nu}(s)} \right) p_{\nu}(s) N$$
  
$$= \nabla_{\nu N}^{g+g} \left( (\cos s)^{2} (\beta \times \alpha) + \sin(2t) (\nu \times (\beta \times \alpha)) - (\sin s)^{2} \nu (\beta \times \alpha) \nu \right) p_{\nu}(s) N$$
  
$$\stackrel{A.11}{=} \nabla_{\nu N}^{g+g} (\cos s)^{2} (\beta \times \alpha) p_{\nu}(s) N + \nabla_{\nu N}^{g+g} \sin(2t) (\nu \times (\beta \times \alpha)) p_{\nu}(s) N$$
  
$$- \nabla_{\nu N}^{g+g} (\sin s)^{2} \nu (\beta \times \alpha) \nu p_{\nu}(s) N$$

where  $\nabla_{\nu N}^{g+g} (\cos s)^2 (\beta \times \alpha) p_{\nu}(s) N$ 

$$\stackrel{A.11}{=} \left( \left( \frac{d}{ds} (\cos s)^2 \right) (\beta \times \alpha) p_{\nu}(s) N + (\cos s)^2 \nabla_{\nu N}^{g+g} (\beta \times \alpha) p_{\nu}(s) N \right) \Big|_{s=0}$$
$$= \left( (\beta \times \alpha) \times \nu \right) N$$

$$\nabla_{\nu N}^{g+g} \sin(2s) (\nu \times (\beta \times \alpha)) p_{\nu}(s) N$$

$$\stackrel{A.11}{=} \left( \left( \frac{d}{ds} \sin(2s) \right) (\nu \times (\beta \times \alpha)) p_{\nu}(s) N + \sin(2s) \nabla_{\nu N}^{g+g} (\nu \times (\beta \times \alpha)) p_{\nu}(s) N \right) \Big|_{s=0}$$

$$= 2 (\nu \times (\beta \times \alpha)) N$$

and 
$$\nabla_{\nu N}^{g+g}(\sin s)^2 \nu(\beta \times \alpha) \nu p_{\nu}(s) N$$
  

$$\stackrel{A.11}{=} \left( \left( \frac{d}{ds} (\sin s)^2 \right) \nu(\beta \times \alpha) \nu p_{\nu}(s) N + (\sin s)^2 \nabla_{\nu N}^{g+g} \nu(\beta \times \alpha) \nu p_{\nu}(s) N \right) \Big|_{s=0}$$

$$= \vec{0}.$$

Therefore,  $\nabla_V^{g+g} A_X^{g+g} Y = ((\beta \times \alpha) \times \nu) N + 2(\nu \times (\beta \times \alpha)) N$ =  $(\nu \times (\beta \times \alpha)) N = ((\nu \times (\beta \times \alpha)) N_1, (\nu \times (\beta \times \alpha)) N_2).$ 

Thus, 
$$t^2(g+g) \Big( \nabla_V^{g+g}(A_X^{g+g}Y), W \Big)$$
  

$$= t^2 g \left( (\nu \times (\beta \times \alpha)) N_1, \omega N_1 \right) + t^2 g \left( (\nu \times (\beta \times \alpha)) N_2, \omega N_2 \right)$$

$$\stackrel{A.17}{=} t^2 g_{\mathbb{R}^4} \left( (\nu \times (\beta \times \alpha)) N_1, \omega N_1 \right) + t^2 g_{\mathbb{R}^4} \left( (\nu \times (\beta \times \alpha)) N_1, \omega N_1 \right)$$

$$\stackrel{A.18}{=} 2t^2 (\nu \times (\beta \times \alpha)) \cdot \omega$$

$$\stackrel{A.19}{=} -2t^2 (\beta \times \alpha) \cdot (\nu \times \omega)$$

$$= 2t^2 (\alpha \times \beta) \cdot (\nu \times \omega).$$

Also, 
$$t^4(g+g) \left( A_Y^{g+g} V, A_X^{g+g} W \right)$$
  

$$\stackrel{2.20}{=} t^4(g+g) \left( \mathcal{H} \nabla_Y^{g+g} V, \mathcal{H} \nabla_X^{g+g} W \right)$$

$$\stackrel{3.10}{\stackrel{3.7}{=}} t^4 g \left( (\nu \times \beta) N_1, (\omega \times \alpha) N_1 \right) + t^4 g \left( (\nu \times \beta) N_2, (\omega \times \alpha) N_2 \right)$$

$$\stackrel{A.17}{=} t^4 g_{\mathbb{R}^4} \left( (\nu \times \beta) N_1, (\omega \times \alpha) N_1 \right) + t^4 g_{\mathbb{R}^4} \left( (\nu \times \beta) N_2, (\omega \times \alpha) N_2 \right)$$

$$\stackrel{A.18}{=} 2t^4 (\nu \times \beta) \cdot (\omega \times \alpha).$$

So  $R_{g_t}(x, v, y, w) = -2t^2(\alpha \times \beta) \cdot (\nu \times \omega) - 2t^4(\nu \times \beta) \cdot (\omega \times \alpha).$ 

Similarly, 
$$\begin{aligned} R_{gt}(v,w,x,y) \\ \stackrel{2.30}{=} & -g_t \Big( \nabla_V^{g_t} (A_X^{g_t}Y), W \Big) - g_t \Big( A_Y^{g_t}V, A_X^{g_t}W \Big) \\ & + g_M \Big( \nabla_W^{g_t} (A_X^{g_t}Y), V \Big) + g_t \Big( A_Y^{g_t}W, A_X^{g_t}V \Big) \\ & = -t^2 (g+g) \Big( \nabla_V^{g+g} (A_X^{g+g}Y), W \Big) - t^4 (g+g) \Big( A_Y^{g+g}V, A_X^{g+g}W \Big) \\ & + t^2 (g+g) \Big( \nabla_W^{g+g} (A_X^{g+g}Y), V \Big) + t^4 (g+g) \Big( A_Y^{g+g}W, A_X^{g+g}V \Big) \\ & = -2t^2 (\alpha \times \beta) \cdot (\nu \times \omega) - 2t^4 (\nu \times \beta) \cdot (\omega \times \alpha) \\ & + 2t^2 (\alpha \times \beta) \cdot (\omega \times \nu) + 2t^4 (\omega \times \beta) \cdot (\nu \times \alpha) \\ & = -4t^2 (\alpha \times \beta) \cdot (\nu \times \omega) - 2t^4 (\nu \times \beta) \cdot (\omega \times \alpha) + 2t^4 (\omega \times \beta) \cdot (\nu \times \alpha). \end{aligned}$$
#### 4.7 The Three Quaternion Rule

**Lemma 4.9.** Let  $\alpha, \beta, \gamma \in \mathfrak{s} = \operatorname{Im}(\mathbb{H})$  be perpendicular with respect to the usual metric g on  $S^3$ . Consider the basis

$$(\alpha N_1, \alpha N_2) \quad (\beta N_1, \beta N_2) \quad (\gamma N_1, \gamma N_2) (\alpha N_1, -\alpha N_2) \quad (\beta N_1, -\beta N_2) \quad (\gamma N_1, -\gamma N_2)$$

for  $T_{(N_1,N_2)}(S^3 \times S^3)$ . Then for all  $t \in (0,1)$ , the (0,4) curvature tensor  $R_{g_t}$  evaluated on combinations of these basis vectors such that all three of  $\alpha, \beta$ , and  $\gamma$  are included is equal to zero.

Proof. Case 1 (4V 0H) Case 1a:  $R_{g_t}((\alpha, \alpha), (\alpha, \alpha), (\beta, \beta), (\gamma, \gamma)) \stackrel{A.20}{=} 0.$ Case 1b:  $R_{g_\ell}((\alpha N_1, \alpha N_2), (\beta N_1, \beta N_2), (\gamma N_1, \gamma N_2), (\alpha N_1, \alpha N_2)) \stackrel{A.21}{=} 0.$ 

Case 2  $(3V \ 1H)$ 

These curvatures are zero by Lemma 4.6.

#### Case 3 $(2V \ 2H)$

According to Lemma 4.8, mixed curvatures of this type depend on dot products in  $\mathbb{R}^3$  of the form  $(q_1 \times q_2) \cdot (q_3 \times q_4)$  where  $q_i \in \mathfrak{s} = \operatorname{Im}(\mathbb{H})$ . Since there are four positions for quaternions

in  $(q_1 \times q_2) \cdot (q_3 \times q_4)$ , it must be the case that exactly one of  $\alpha, \beta$ , or  $\gamma$  is repeated. Suppose (WLOG) the repeated vector is  $\alpha$  and  $\gamma = \alpha \times \beta$ . <u>Case 3a:</u>  $(\alpha \times \alpha) \cdot (\beta \times \gamma) = 0$  since  $\alpha \times \alpha = 0$ . <u>Case 3b:</u>  $(\alpha \times \beta) \cdot (\alpha \times \gamma) = \gamma \cdot (\alpha \times \gamma) = 0$  since  $\gamma \perp_g (\alpha \times \gamma)$ .

Case 4  $(1V \ 3H)$ 

These curvatures are zero by Lemma 4.7.

Case 5  $(0V \ 4H)$ 

$$\underline{\text{Case 5a:}} R_{g_{\ell}} \Big( (\alpha N_1, -\alpha N_2), (\alpha N_1, -\alpha N_2), (\beta N_1, -\beta N_2), (\gamma N_1, -\gamma N_2) \Big) \stackrel{A.20}{=} 0.$$

$$\underline{\text{Case 5b:}} R_{g_{\ell}} \Big( (\alpha N_1, -\alpha N_2), (\beta N_1, -\beta N_2), (\gamma N_1, -\gamma N_2), (\alpha N_1, -\alpha N_2) \Big) \stackrel{A.21}{=} 0.$$

### 4.8 Curvature of Product Planes

Lemma 4.10. Let  $(N_1, N_2) \in S^3 \times S^3$  and g be the usual metric on  $S^3$ . Let  $\alpha, \beta \in$   $\mathfrak{s} = \operatorname{Im}(\mathbb{H}) \text{ satisfy } \alpha \perp_g \beta$ . Then for all  $t \in (0, 1)$ , 1)  $\operatorname{sec}_{g_t}\left(\left(\alpha N_1, \vec{0}\right), \left(\vec{0}, \beta N_2\right)\right) = \frac{2t^4 - 4t^2 + 2}{(t^2 + 1)^2}$ . 2)  $\operatorname{sec}_{g_t}\left(\left(\alpha N_1, \vec{0}\right), \left(\beta N_1, \vec{0}\right)\right) = \frac{2}{t^2 + 1}$ . Proof. Suppose  $|\alpha|_g = |\beta|_g = 1$ .

 $\operatorname{sec}_{g_t}\left(\left(\alpha N_2, \vec{0}\right), \left(\vec{0}, \beta N_2\right)\right)$  To use the curvature formulas we derived in the previous sections, we must write each of these vectors as a linear combination of a  $\pi$ -vertical and a  $\pi$ -horizontal vector (see Lemma 3.6 and Lemma 3.7).

$$(\alpha N_1, \vec{0}) = \frac{1}{2}(\alpha N_1, \alpha N_2) + \frac{1}{2}(\alpha N_1, -\alpha N_2) \text{ and } (\vec{0}, \beta N_2) = \frac{1}{2}(\beta N_1, \beta N_2) - \frac{1}{2}(\beta N_1, -\beta N_2).$$

 $\operatorname{So}$ 

$$\operatorname{curv}_{g_{t}}\left((\alpha N_{1}, \vec{0}), (\vec{0}, \beta N_{2})\right) = \operatorname{curv}_{g_{t}}\left(\frac{1}{2}(\alpha N_{1}, \alpha N_{2}) + \frac{1}{2}(\alpha N_{1}, -\alpha N_{2}), \frac{1}{2}(\beta N_{1}, \beta N_{2}) - \frac{1}{2}(\beta N_{1}, -\beta N_{2})\right).$$

There are  $2^4 = 16$  terms in this calculation, but half of them are zero by Lemma 4.6, Lemma 4.7, Lemma 4.1, and Theorem A.22, giving

$$\begin{split} &= \frac{1}{16} R_{g_t} \Big( (\alpha N_1, \alpha N_2), (\beta N_1, \beta N_2), (\beta N_1, \beta N_2), (\alpha N_1, \alpha N_2) \Big) \\ &\quad - \frac{1}{16} R_{g_t} \Big( (\alpha N_1, \alpha N_2), (\beta N_1, \beta N_2), (\beta N_1, -\beta N_2), (\alpha N_1, -\alpha N_2) \Big) \\ &\quad - \frac{1}{16} R_{g_t} \Big( (\alpha N_1, \alpha N_2), (\beta N_1, -\beta N_2), (\beta N_1, \beta N_2), (\alpha N_1, -\alpha N_2) \Big) \\ &\quad + \frac{1}{16} R_{g_t} \Big( (\alpha N_1, \alpha N_2), (\beta N_1, -\beta N_2), (\beta N_1, -\beta N_2), (\alpha N_1, \alpha N_2) \Big) \\ &\quad + \frac{1}{16} R_{g_t} \Big( (\alpha N_1, -\alpha N_2), (\beta N_1, \beta N_2), (\beta N_1, \beta N_2), (\alpha N_1, -\alpha N_2) \Big) \\ &\quad - \frac{1}{16} R_{g_t} \Big( (\alpha N_1, -\alpha N_2), (\beta N_1, \beta N_2), (\beta N_1, -\beta N_2), (\alpha N_1, \alpha N_2) \Big) \\ &\quad - \frac{1}{16} R_{g_t} \Big( (\alpha N_1, -\alpha N_2), (\beta N_1, -\beta N_2), (\beta N_1, -\beta N_2), (\alpha N_1, \alpha N_2) \Big) \\ &\quad + \frac{1}{16} R_{g_t} \Big( (\alpha N_1, -\alpha N_2), (\beta N_1, -\beta N_2), (\beta N_1, -\beta N_2), (\alpha N_1, \alpha N_2) \Big) \\ &\quad + \frac{1}{16} R_{g_t} \Big( (\alpha N_1, -\alpha N_2), (\beta N_1, -\beta N_2), (\beta N_1, -\beta N_2), (\alpha N_1, -\alpha N_2) \Big) \end{split}$$

$$= \frac{1}{16} \operatorname{curv}_{g_t} \left( (\alpha N_1, \alpha N_2), (\beta N_1, \beta N_2) \right) + \frac{1}{16} \operatorname{curv}_{g_t} \left( (\alpha N_1, -\alpha N_2), (\beta N_1, -\beta N_2) \right) \\ + \frac{1}{16} \operatorname{curv}_{g_t} \left( (\alpha N_1, \alpha N_2), (\beta N_1, -\beta N_2) \right) + \frac{1}{16} \operatorname{curv}_{g_t} \left( (\alpha N_1, -\alpha N_2), (\beta N_1, \beta N_2) \right) \\ - \frac{1}{8} R_{g_t} \left( (\alpha N_1, -\alpha N_2), (\beta N_1, \beta N_2), (\beta N_1, -\beta N_2), (\alpha N_1, \alpha N_2) \right) \\ - \frac{1}{8} R_{g_t} \left( (\alpha N_1, \alpha N_2), (\beta N_1, \beta N_2), (\beta N_1, -\beta N_2), (\alpha N_1, -\alpha N_2) \right)$$

$$\begin{split} &= \frac{1}{16} |(\alpha N_1, \alpha N_2)|_{g_t}^2 |(\beta N_1, \beta N_2)|_{g_t}^2 \sec_{g_t} \left( (\alpha N_1, \alpha N_2), (\beta N_1, \beta N_2) \right) \\ &+ \frac{1}{16} |(\alpha N_1, -\alpha N_2)|_{g_t}^2 |(\beta N_1, -\beta N_2)|_{g_t}^2 \sec_{g_t} \left( (\alpha N_1, -\alpha N_2), (\beta N_1, -\beta N_2) \right) \\ &+ \frac{1}{16} |(\alpha N_1, \alpha N_2)|_{g_t}^2 |(\beta N_1, -\beta N_2)|_{g_t}^2 \sec_{g_t} \left( (\alpha N_1, \alpha N_2), (\beta N_1, -\beta N_2) \right) \\ &+ \frac{1}{16} |(\alpha N_1, -\alpha N_2)|_{g_t}^2 |(\beta N_1, \beta N_2)|_{g_t}^2 \sec_{g_t} \left( (\alpha N_1, -\alpha N_2), (\beta N_1, \beta N_2) \right) \\ &- \frac{1}{8} R_{g_t} \left( (\alpha N_1, -\alpha N_2), (\beta N_1, \beta N_2), (\beta N_1, -\beta N_2), (\alpha N_1, \alpha N_2) \right) \\ &- \frac{1}{8} R_{g_t} \left( (\alpha N_1, \alpha N_2), (\beta N_1, \beta N_2), (\beta N_1, -\beta N_2), (\alpha N_1, -\alpha N_2) \right) \end{split}$$

$$= \frac{1}{16}(2t^2)(2t^2)\underbrace{\left(\frac{1}{2t^2}\right)}_{4.3} + \frac{1}{16}(2)(2)\underbrace{\left(2 - \frac{3}{2}t^2\right)}_{4.4} + \frac{1}{16}(2t^2)(2)\underbrace{\left(\frac{t^2}{2}\right)}_{4.5} + \frac{1}{16}(2)(2t^2)\underbrace{\left(\frac{t^2}{2}\right)}_{4.5} - \frac{1}{8}\underbrace{\left(2t^2\right)}_{4.8} - \frac{1}{8}\underbrace{\left(4t^2 - 2t^4\right)}_{4.8}$$

$$= \frac{1}{2}t^4 - t^2 + \frac{1}{2}.$$

$$\begin{split} \left| \left( \alpha N_1, \vec{0} \right) \right|_{g_t}^2 &= \left| \frac{1}{2} (\alpha N_1, \alpha N_2) + \frac{1}{2} (\alpha N_1, -\alpha N_2) \right|_{g_t}^2 \\ &= \frac{1}{4} \left| (\alpha N_1, \alpha N_2) \right|_{g_t}^2 + \frac{1}{4} \left| (\alpha N_1, -\alpha N_2) \right|_{g_t}^2 \\ &= \frac{1}{4} t^2 \left| (\alpha N_1, \alpha N_2) \right|_{g+g}^2 + \frac{1}{4} \left| (\alpha N_1, -\alpha N_2) \right|_{g+g}^2 \\ &= \frac{1}{4} t^2 (\sqrt{2})^2 + \frac{1}{4} (\sqrt{2})^2 \\ &= \frac{t^2 + 1}{2} = \left| \left( \vec{0}, \beta N_2 \right) \right|_{g_t}^2. \end{split}$$

So 
$$\sec_{g_t} \left( \left( \alpha N_1, \vec{0} \right), \left( \vec{0}, \beta N_2 \right) \right) = \frac{\operatorname{curv}_{g_t} \left( \left( \alpha N_1, \vec{0} \right), \left( \vec{0}, \beta N_2 \right) \right)}{\left| \left( \alpha N_1, \vec{0} \right) \right|_{g_t}^2 \left| \left( \vec{0}, \beta N_2 \right) \right|_{g_t}^2}$$
  
$$= \left( \frac{1}{2} t^4 - t^2 + \frac{1}{2} \right) \left( \frac{2}{t^2 + 1} \right) \left( \frac{2}{t^2 + 1} \right) = \frac{2t^4 - 4t^2 + 2}{(t^2 + 1)^2}.$$

# $\operatorname{sec}_{g_t}\left(\left(\alpha N_1, \vec{0}\right), \left(\beta N_2, \vec{0}\right)\right)$

We calculated  $\operatorname{curv}_{g_t}\left(\frac{1}{2}(\alpha N_1, \alpha N_2) + \frac{1}{2}(\alpha N_1, -\alpha N_2), \frac{1}{2}(\beta N_1, \beta N_2) - \frac{1}{2}(\beta N_1, -\beta N_2)\right)$ .

We need 
$$\operatorname{curv}_{g_t} \left( \frac{1}{2} (\alpha N_1, \alpha N_2) + \frac{1}{2} (\alpha N_1, -\alpha N_2), \frac{1}{2} (\beta N_1, \beta N_2) + \frac{1}{2} (\beta N_1, -\beta N_2) \right)$$

#### To make this second calculation, we can use the work we did for the first as follows:



So

$$\operatorname{curv}_{g_t}\left(\left(\alpha N_1, \vec{0}\right), \left(\beta N_1, \vec{0}\right)\right)$$
  
=  $\frac{1}{16}(2t^2)(2t^2)\left(\frac{1}{2t^2}\right) + \frac{1}{16}(2)(2)\left(2 - \frac{3}{2}t^2\right) + \frac{1}{16}(2t^2)(2)\left(\frac{t^2}{2}\right)$   
+  $\frac{1}{16}(2)(2t^2)\left(\frac{t^2}{2}\right) + \frac{1}{8}(2t^2) + \frac{1}{8}\left(4t^2 - 2t^4\right)$   
=  $\frac{2}{t^2 + 1}$ .

# Chapter 5:

## **Proofs of Main Theorems**

### 5.1 Minimal Displacement Calculations

**Definition 5.1** (page 247 of [10]). Let  $(M, g_M)$  be a Riemannian manifold and f:  $(M, g_M) \to (M, g_M)$  be an isometry. The <u>displacement of f</u> with respect to  $g_M$ , denoted  $\operatorname{Displ}_{g_M}(f) : M \to \mathbb{R}$ , is defined by  $p \mapsto \operatorname{dist}_{g_M}(p, f(p))$ .

**Definition 5.2.** Let (M, g) be a compact Riemannian manifold and  $f : (M, g_M) \to (M, g_M)$  be an isometry. The **minimal displacement of** f with respect to  $g_M$ , denoted minDispl<sub> $g_M$ </sub>(f), is defined by minDispl<sub> $g_M$ </sub> $(f) = \min_{p \in M} \left\{ \text{Displ}_{g_M}(f) |_p \right\}$ .

Lemma 5.3. Let g be the usual metric on S<sup>3</sup> and gt be the metric on S<sup>3</sup> × S<sup>3</sup> from
Definition 3.8. Define a : S<sup>3</sup> × S<sup>3</sup> → S<sup>3</sup> × S<sup>3</sup> by (N<sub>1</sub>, N<sub>2</sub>) → (-N<sub>1</sub>, -N<sub>2</sub>). Then
1) minDispl<sub>g+g</sub>(a) = √2π.
2) minDispl<sub>gt</sub>(a) = √2πt for all t ∈ (0, 1).

Proof.  $\boxed{\min \text{Displ}_{g+g}(a)}$  Let  $\gamma : [0,1] \to S^3 \times S^3$  be an arbitrary, constant speed curve in  $S^3 \times S^3$  connecting point  $(N_1, N_2)$  to its antipode  $(-N_1, -N_2)$ . Then  $\gamma(t)$  is given by  $t \mapsto (\gamma_1(t), \gamma_2(t))$  where  $\gamma_1(t), \gamma_2(t)$  are constant speed curves in  $S^3$  satisfying  $\gamma_1(0) =$  $N_1, \gamma_1(1) = -N_1, \gamma_2(0) = N_2, \gamma_2(1) = -N_2.$ 

$$\begin{split} E_{g+g}(\gamma) &= \frac{1}{2} \int_0^1 \left| \left(\gamma_1'(t), \gamma_2'(t)\right) \right|_{g+g}^2 dt \\ &= \frac{1}{2} \int_0^1 \left| \gamma_1'(t) \right|_g^2 dt + \frac{1}{2} \int_0^1 \left| \gamma_2'(t) \right|_g^2 dt \\ &= E_g(\gamma_1) + E_g(\gamma_2) \stackrel{A.23}{=} \frac{1}{2} \left( L_g(\gamma_1) \right)^2 + \frac{1}{2} \left( L_g(\gamma_2) \right)^2 \ge \frac{1}{2} \pi^2 + \frac{1}{2} \pi^2 = \pi^2 \\ &\implies L_{g+g}(\gamma) \stackrel{A.23}{=} \sqrt{2E_{g+g}(\gamma)} \ge \sqrt{2}\pi. \end{split}$$

That is, for any smooth, constant speed curve  $\gamma(t)$  in  $S^3 \times S^3$  connecting points  $(N_1, N_2)$ and  $(-N_1, -N_2)$ ,  $L_{g+g}(\gamma) \ge \sqrt{2}\pi$ . This implies  $\operatorname{dist}_{g+g}((N_1, N_2), a(N_1, N_2)) \ge \sqrt{2}\pi$ , which implies  $\operatorname{minDispl}_{g+g}(a) \ge \sqrt{2}\pi$ . If we choose  $\gamma_1(t)$  and  $\gamma_2(t)$  to be geodesics, then  $L_{g+g}(\gamma) = \sqrt{2}\pi$ . Therefore,  $\operatorname{minDispl}_{g+g}(a) = \sqrt{2}\pi$ .

 $\boxed{\text{minDispl}_{g_t}(a)} \text{ Let } \alpha \in \mathfrak{s} = \text{Im}(\mathbb{H}) \text{ satisfy } |\alpha|_g = 1. \text{ Define } \gamma : [0,\pi] \to S^3 \times S^3 \text{ by}$  $s \mapsto (\cos s)(N_1, N_2) + (\sin s)(\alpha N_1, \alpha N_2). \text{ Then } \gamma(s) \text{ is a curve in } S^3 \times S^3 \text{ connecting } (N_1, N_2)$  $\text{to } (-N_1, -N_2), \text{ and its length with respect to } g_t \text{ is}$ 

$$L_{g_t}(\gamma) = \int_0^{\pi} |\gamma'(s)|_{g_t} ds$$
  
=  $\int_0^{\pi} |-(\sin s)(N_1, N_2) + (\cos s)(\alpha N_1, \alpha N_2)|_{g_t} ds$   
=  $\int_0^{\pi} |(\alpha, \alpha)\gamma(s)|_{g_t} ds = \int_0^{\pi} t |(\alpha, \alpha)\gamma(s)|_{g+g} ds = \int_0^{\pi} t \sqrt{2} \, ds = \sqrt{2\pi}t.$ 

Curve  $\gamma(s)$  is minimal with respect to g + g since  $L_{g+g}(\gamma) = \sqrt{2\pi}$ . If we suppose c(s) is another constant speed curve in  $S^3 \times S^3$  parametrized on  $[0,\pi]$ , then  $|c'(s)|_{g+g} \ge \sqrt{2} =$  $|\gamma'(s)|_{g+g}$ . Furthermore,

$$\begin{aligned} |c'(s)|_{g_t}^2 &= |c'(s)^{\mathcal{V}} + c'(s)^{\mathcal{H}}|_{g_t}^2 \\ &= |c'(s)^{\mathcal{V}}|_{g_t}^2 + |c'(s)^{\mathcal{H}}|_{g_t}^2 \\ \frac{2.12}{=} t^2 |c'(s)^{\mathcal{V}}|_{g+g}^2 + |c'(s)^{\mathcal{H}}|_{g+g}^2 \\ &= \underbrace{t^2 |c'(s)^{\mathcal{V}}|_{g+g}^2 + t^2 |c'(s)^{\mathcal{H}}|_{g+g}^2}_{t^2 |c'(s)|_{g+g}^2} - t^2 |c'(s)^{\mathcal{H}}|_{g+g}^2 + |c'(s)^{\mathcal{H}}|_{g+g}^2 \\ &= t^2 |c'(s)|_{g+g}^2 + (1 - t^2) |c'(s)^{\mathcal{H}}|_{g+g}^2 \ge t^2 (2) + (1 - t^2) |c'(s)^{\mathcal{H}}|_{g+g}^2 \ge t^2 (2) = |\gamma'(s)|_{g_t}^2 \\ &\implies |c'(s)|_{g_t}^2 \ge |\gamma'(s)|_{g_t}^2 \implies L_{g_t}(c) \ge L_{g_t}(\gamma). \end{aligned}$$

Thus,  $\gamma(s)$  is minimal with respect to  $g_t$  for all  $t \in (0, 1)$ . Therefore,  $\min \text{Displ}_{g_t}(a) = L_{g_t}(\gamma) = \sqrt{2\pi t}$ .

**Lemma 5.4.** Let g be the usual metric on  $S^3$  and  $g_t$  be the metric on  $S^3 \times S^3$  from Definition 3.8. Define  $f: S^3 \times S^3 \to S^3 \times S^3$  by  $(N_1, N_2) \mapsto (-N_1, N_2)$ . Then 1) minDispl<sub>g+g</sub> $(f) = \pi$ . 2) minDispl<sub>gt</sub> $(f) = \pi \sqrt{\frac{t^2+1}{2}}$  for all  $t \in (0, 1)$ .

*Proof.*  $\boxed{\min \text{Displ}_{g+g}(f)}$  The proof that  $\min \text{Displ}_{g+g}(a) = \sqrt{2}\pi$  in Lemma 5.3 can easily be adapted to show  $\min \text{Displ}_{g+g}(f) = \pi$ .

 $\boxed{\text{minDispl}_{g_t}(f)} \quad \text{Let } \alpha \in \mathfrak{s} = \text{Im}(\mathbb{H}) \text{ satisfy } |\alpha|_g = 1. \text{ Define } \gamma : [0, \pi] \to S^3 \times S^3 \text{ by}$  $s \mapsto \left( (\cos s)N_1 + (\sin s)\alpha N_1, N_2 \right). \text{ Then } \gamma(s) \text{ is a curve in } S^3 \times S^3 \text{ connecting } (N_1, N_2) \text{ to}$  $(-N_1, N_2), \text{ and its length with respect to } g + g \text{ is}$ 

$$L_{g+g}(\gamma) = \int_0^\pi |\gamma'(s)|_{g+g} ds$$
  
=  $\int_0^\pi |(-(\sin s)N_1 + (\cos s)\alpha N_1, 0)|_{g+g} ds$   
=  $\int_0^\pi |(\alpha \gamma_1(s), 0)|_{g+g} ds$  where  $\gamma_1(s) = (\cos s)N_1 + (\sin s)\alpha N_1$   
=  $\int_0^\pi |\alpha \gamma_1(s)|_g ds = \pi.$ 

Curve  $\gamma(s)$  is minimal with respect to g + g since  $L_{g+g}(\gamma) = \pi$ . If we suppose c(s) is another constant speed curve in  $S^3 \times S^3$  parametrized on  $[0, \pi]$ , then  $L_{g+g}(c) \ge L_{g+g}(\gamma)$ , which implies by Theorem A.23 that  $E_{g+g}(c) \ge E_{g+g}(\gamma)$ . More specifically,

$$2E_{g+g}(c) = \int_0^\pi |c'(s)|_{g+g}^2 ds$$
  
=  $\int_0^\pi |c'(s)^{\mathcal{V}}|_{g+g}^2 ds + \int_0^\pi |c'(s)^{\mathcal{H}}|_{g+g}^2 ds$   
$$\stackrel{A.23}{\geq} 2E_{g+g}(\gamma) = \int_0^\pi |\gamma'(s)|_{g+g}^2 ds = \int_0^\pi |\gamma'(s)^{\mathcal{V}}|_{g+g}^2 ds + \int_0^\pi |\gamma'(s)^{\mathcal{H}}|_{g+g}^2 ds$$
  
$$\implies \int_0^\pi |c'(s)^{\mathcal{V}}|_{g+g}^2 ds + \int_0^\pi |c'(s)^{\mathcal{H}}|_{g+g}^2 ds \ge \int_0^\pi |\gamma'(s)^{\mathcal{V}}|_{g+g}^2 ds + \int_0^\pi |\gamma'(s)^{\mathcal{H}}|_{g+g}^2 ds$$

Consider the Riemannian submersion  $\pi : S^3 \times S^3 \to \frac{S^3 \times S^3}{\Delta S^3}$  defined in Section 3.2. The curve  $\pi(\gamma)$  in the quotient space is minimal since it is a geodesic of length  $\pi\sqrt{2}$  in a sphere with constant curvature 2 (see Lemma 3.11). Indeed,

$$\nabla_{(\pi\circ\gamma)'}^{\overline{g}}(\pi\circ\gamma)' \stackrel{A.24}{=} \nabla_{d\pi(\gamma')}^{\overline{g}} d\pi(\gamma')$$

$$\stackrel{2.1}{=} \nabla_{d\pi(\mathcal{H}\gamma')}^{\overline{g}} d\pi(\mathcal{H}\gamma')$$

$$\stackrel{A.16}{=} d\pi \left( \nabla_{\mathcal{H}\gamma'}^{g+g} \mathcal{H}\gamma' \right)$$

$$= d\pi \left( \nabla_{\frac{1}{2}(\alpha, -\alpha)\gamma_{1}(s)}^{g+g} \frac{1}{2}(\alpha, -\alpha)\gamma_{1}(s) \right)$$

$$\stackrel{3.10}{=} d\pi \left( \frac{1}{2}\alpha\gamma_{1}(s) \times \frac{1}{2}\alpha\gamma_{1}(s), \frac{1}{2}\alpha\gamma_{1}(s) \times \frac{1}{2}\alpha\gamma_{1}(s) \right) = \vec{0}$$

 $\implies \pi \circ \gamma$  is a geodesic

$$2E_{\overline{g}}(\pi \circ \gamma) = \int_{0}^{\pi} |(\pi \circ \gamma)'(s)|_{\overline{g}}^{2} ds$$

$$\stackrel{A.24}{=} \int_{0}^{\pi} |d\pi(\gamma'(s))|_{\overline{g}}^{2} ds$$

$$= \int_{0}^{\pi} |d\pi(\gamma'(s)^{\mathcal{V}}) + d\pi(\gamma'(s)^{\mathcal{H}})|_{\overline{g}}^{2} ds$$

$$\stackrel{2.2}{=} \int_{0}^{\pi} |d\pi(\gamma'(s)^{\mathcal{H}})|_{\overline{g}+g}^{2} ds$$

$$\stackrel{2.1}{=} \int_{0}^{\pi} |\gamma'(s)^{\mathcal{H}}|_{g+g}^{2} ds$$

$$= \int_{0}^{\pi} \left|\frac{1}{2}(\alpha, -\alpha)\gamma_{1}(s)\right|_{g_{t}}^{2} ds \text{ since } \gamma'(s) = \frac{1}{2}(\alpha, \alpha)\gamma_{1}(s) + \frac{1}{2}(\alpha, -\alpha)\gamma_{1}(s)$$

$$= \int_{0}^{\pi} \frac{1}{4}(2)ds = \frac{\pi}{2}$$

$$\stackrel{4.23}{\Longrightarrow} L_{\overline{g}}(\pi \circ \gamma)^{2} = \frac{\pi^{2}}{2} \implies L_{\overline{g}}(\pi \circ \gamma) = \frac{\pi}{\sqrt{2}}.$$

The fact that  $\pi(\gamma)$  is minimal implies

$$E_{\overline{g}}(\pi \circ c) \geq E_{\overline{g}}(\pi \circ \gamma)$$

$$\implies \int_{0}^{\pi} |(\pi \circ c)'(s)|_{\overline{g}}^{2} ds \geq \int_{0}^{\pi} |(\pi \circ \gamma)'(s)|_{\overline{g}}^{2} ds$$

$$\stackrel{A.24}{\Longrightarrow} \int_{0}^{\pi} |d\pi(c'(s))|_{\overline{g}}^{2} ds \geq \int_{0}^{\pi} |d\pi(\gamma'(s))|_{\overline{g}}^{2} ds$$

$$\implies \int_{0}^{\pi} |d\pi(c'(s)^{\mathcal{V}}) + d\pi(c'(s)^{\mathcal{H}})|_{\overline{g}}^{2} ds \geq \int_{0}^{\pi} |d\pi(\gamma'(s)^{\mathcal{V}}) + d\pi(\gamma'(s)^{\mathcal{H}})|_{\overline{g}}^{2} ds$$

$$\stackrel{2.2}{\Longrightarrow} \int_{0}^{\pi} |c'(s)^{\mathcal{H}}|_{\overline{g}}^{2} ds \geq \int_{0}^{\pi} |\gamma'(s)^{\mathcal{H}}|_{\overline{g}}^{2} ds$$

$$\stackrel{2.12}{\Longrightarrow} \int_{0}^{\pi} |c'(s)^{\mathcal{H}}|_{g+g}^{2} ds \geq \int_{0}^{\pi} |\gamma'(s)^{\mathcal{H}}|_{g+g}^{2} ds.$$

Thus, for some constant  $a \ge 0$ ,  $\int_0^{\pi} \left| c'(s)^{\mathcal{H}} \right|_{g+g}^2 ds \stackrel{(*)}{=} \int_0^{\pi} \left| \gamma'(s)^{\mathcal{H}} \right|_{g+g}^2 ds + a$ . Then

$$\begin{split} &\int_{0}^{\pi} \left| c'(s)^{\mathcal{V}} \right|_{g+g}^{2} ds + \int_{0}^{\pi} \left| c'(s)^{\mathcal{H}} \right|_{g+g}^{2} ds \geq \int_{0}^{\pi} |\gamma'(s)^{\mathcal{V}}|_{g+g}^{2} ds + \int_{0}^{\pi} |\gamma'(s)^{\mathcal{H}}|_{g+g}^{2} ds \\ \stackrel{(*)}{\Longrightarrow} \int_{0}^{\pi} \left| c'(s)^{\mathcal{V}} \right|_{g+g}^{2} ds + \int_{0}^{\pi} \left| \gamma'(s)^{\mathcal{H}} \right|_{g+g}^{2} ds + a \geq \int_{0}^{\pi} \left| \gamma'(s)^{\mathcal{V}} \right|_{g+g}^{2} ds + \int_{0}^{\pi} \left| \gamma'(s)^{\mathcal{H}} \right|_{g+g}^{2} ds \\ \stackrel{\Rightarrow}{\Longrightarrow} \int_{0}^{\pi} \left| c'(s)^{\mathcal{V}} \right|_{g+g}^{2} ds + a \geq \int_{0}^{\pi} \left| \gamma'(s)^{\mathcal{V}} \right|_{g+g}^{2} ds \\ \stackrel{\Rightarrow}{\Longrightarrow} t^{2} \left( \int_{0}^{\pi} \left| c'(s)^{\mathcal{V}} \right|_{g+g}^{2} ds + a \right) \geq t^{2} \int_{0}^{\pi} \left| \gamma'(s)^{\mathcal{V}} \right|_{g+g}^{2} ds \\ \stackrel{\Rightarrow}{\Longrightarrow} \int_{0}^{\pi} t^{2} \left| c'(s)^{\mathcal{V}} \right|_{g+g}^{2} ds + t^{2} a \stackrel{(\bullet)}{\geq} \int_{0}^{\pi} t^{2} \left| \gamma'(s)^{\mathcal{V}} \right|_{g+g}^{2} ds. \end{split}$$

Thus, for all  $t \in (0, 1)$ ,

$$2E_{g_{t}}(c) = \int_{0}^{\pi} |c'(s)|_{g_{t}}^{2} ds \stackrel{2.2}{=} \int_{0}^{\pi} |c'(s)^{\mathcal{V}}|_{g_{t}}^{2} ds + \int_{0}^{\pi} |c'(s)^{\mathcal{H}}|_{g_{t}}^{2} ds$$

$$\stackrel{2.12}{=} \int_{0}^{\pi} t^{2} |c'(s)^{\mathcal{V}}|_{g+g}^{2} ds + \int_{0}^{\pi} |c'(s)^{\mathcal{H}}|_{g+g}^{2} ds$$

$$\stackrel{(\bullet)}{\geq} \int_{0}^{\pi} t^{2} |\gamma'(s)^{\mathcal{V}}|_{g+g}^{2} ds - t^{2}a + \int_{0}^{\pi} |c'(s)^{\mathcal{H}}|_{g+g}^{2} ds$$

$$\stackrel{(*)}{=} \int_{0}^{\pi} t^{2} |\gamma'(s)^{\mathcal{V}}|_{g+g}^{2} ds - t^{2}a + \int_{0}^{\pi} |\gamma'(s)^{\mathcal{H}}|_{g+g}^{2} ds + a$$

$$= \int_{0}^{\pi} |\gamma'(s)^{\mathcal{V}}|_{g_{t}}^{2} ds + \int_{0}^{\pi} |\gamma'(s)^{\mathcal{H}}|_{g_{t}}^{2} ds + (1 - t^{2})a$$

$$= \int_{0}^{\pi} |\gamma'(s)|_{g_{t}}^{2} ds + (1 - t^{2})a = 2E_{g_{t}}(\gamma) + (1 - t^{2})a \ge 2E_{g_{t}}(\gamma)$$

$$\stackrel{A.23}{\Longrightarrow} L_{g_{t}}(c) \ge L_{g_{t}}(\gamma).$$

So 
$$\left(\min \operatorname{Displ}_{g_t}(\gamma)\right)^2 = \left(L_{g_t}(\gamma)\right)^2 \stackrel{A=23}{=} 2\pi E_{g_t}(\gamma) = \pi \int_0^\pi |\gamma'(s)|_{g_t}^2 ds$$
  

$$= \pi \int_0^\pi \left|\left(\alpha\gamma_1(s), 0\right)\right|_{g_t}^2 ds \quad \text{where } \gamma_1(s) = (\cos s)N_1 + (\sin s)\alpha N_1$$

$$= \pi \int_0^\pi \left(\left|\frac{1}{2}(\alpha, \alpha)\gamma_1(s)\right|_{g_t}^2 + \left|\frac{1}{2}(\alpha, -\alpha)\gamma_1(s)\right|_{g_t}^2\right) ds$$

$$= \frac{\pi}{4} \int_0^\pi \left(\left|(\alpha, \alpha)\gamma_1(s)\right|_{g_t}^2 + \left|(\alpha, -\alpha)\gamma_1(s)\right|_{g_t}^2\right) ds$$

$$= \frac{\pi}{4} \int_0^\pi \left(t^2|(\alpha, \alpha)\gamma_1(s)|_{g+g}^2 + \left|(\alpha, -\alpha)\gamma_1(s)\right|_{g+g}^2\right) ds$$

$$= \frac{\pi}{4} \int_0^\pi \left(t^2(2) + 2\right) ds = \pi^2 \left(\frac{t^2 + 1}{2}\right)$$

$$\implies L_{g_t}(\gamma) = \pi \sqrt{\frac{t^2 + 1}{2}}.$$

### 5.2 Proofs of Theorem 1.5 and Theorem 1.6

*Proof.* (of 1.6) Let g be the usual metric on  $S^3$  and  $\alpha, \beta, \gamma \in \mathfrak{s} = \text{Im}(\mathbb{H})$  be perpendicular with respect to g. Let  $(N_1, N_2) \in S^3 \times S^3$ .

— Proof of 1a and 2a —

$$\operatorname{Ric}_{4}\left((\alpha N_{1}, -\alpha N_{2}); (\alpha N_{1}, \alpha N_{2}), (\beta N_{1}, \beta N_{2}), (\gamma N_{1}, \gamma N_{2}), (\beta N_{1}, -\beta N_{2})\right)$$

$$= \underbrace{\operatorname{sec}_{g_{t}}\left((\alpha N_{1}, -\alpha N_{2}), (\alpha N_{1}, \alpha N_{2})\right)}_{4.1} + \underbrace{\operatorname{sec}_{g_{t}}\left((\alpha N_{1}, -\alpha N_{2}), (\beta N_{1}, \beta N_{2})\right)}_{4.5} + \underbrace{\operatorname{sec}_{g_{t}}\left((\alpha N_{1}, -\alpha N_{2}), (\beta N_{2}, -\beta N_{2})\right)}_{4.5} + \underbrace{\operatorname{sec}_{g_{t}}\left((\alpha N_{1}, -\alpha N_{2}), (\beta N_{2}, -\beta N_{2})\right)}_{4.4} = 0 + \frac{t^{2}}{2} + \frac{t^{2}}{2} + \left(2 - \frac{3t^{2}}{2}\right) = \frac{4 - t^{2}}{2}.$$

$$\operatorname{Ric}_{4}\left(\left(\alpha N_{1},\vec{0}\right);\left(\vec{0},\alpha N_{2}\right),\left(\vec{0},\beta N_{2}\right),\left(\vec{0},\gamma N_{2}\right),\left(\beta N_{1},\vec{0}\right)\right)$$

$$=\underbrace{\operatorname{sec}_{g_{t}}\left(\left(\alpha N_{1},\vec{0}\right),\left(\vec{0},\alpha N_{2}\right)\right)}_{4.1}+\underbrace{\operatorname{sec}_{g_{t}}\left(\left(\alpha N_{1},\vec{0}\right),\left(\vec{0},\beta N_{2}\right)\right)}_{4.10}+\underbrace{\operatorname{sec}_{g_{t}}\left(\left(\alpha N_{1},\vec{0}\right),\left(\vec{0},\gamma N_{2}\right)\right)}_{4.10}+\underbrace{\operatorname{sec}_{g_{t}}\left(\left(\alpha N_{1},\vec{0}\right),\left(\beta N_{1},\vec{0}\right)\right)}_{4.10}$$

$$=0+\frac{2t^{4}-4t^{2}+2}{(t^{2}+1)^{2}}+\frac{2t^{4}-4t^{2}+2}{(t^{2}+1)^{2}}+\frac{2}{t^{2}+1}=\frac{4t^{4}-6t^{2}+6}{(t^{2}+1)^{2}}.$$

Therefore,  $\min \operatorname{Ric}_4(S^3 \times S^3, g_t) \le \min \left\{ \frac{4-t^2}{2}, \frac{4t^4 - 6t^2 + 6}{(t^2 + 1)^2} \right\}.$ 

#### - Calculation 1a -

$$\min \operatorname{Ric}_4(S^3 \times S^3, g_t) \cdot \left(\min \operatorname{Displ}_{g_t}(a)\right)^2 \le \min \left\{\frac{4-t^2}{2}, \frac{4t^4 - 6t^2 + 6}{(t^2+1)^2}\right\} \cdot \underbrace{2t^2 \pi^2}_{5.3}$$

 $(***) \text{ The subgroup } H_a = \{\text{id}, a\} \subseteq \text{Iso}(S^3 \times S^3, g_t) \text{ acts properly discontinuously on } S^3 \times S^3.$ Thus, the quotient map  $\pi_a : S^3 \times S^3 \longrightarrow \frac{S^3 \times S^3}{H_a}$  is a covering map (see Theorem A.25). Furthermore,  $\frac{S^3 \times S^3}{H_a}$  can be equipped with a smooth structure such that  $\pi_a$  is a smooth covering map (see Theorem A.26). Finally, for each  $t \in (0, 1)$ , there is unique metric  $g_t^a$  on  $\frac{S^3 \times S^3}{H_a}$  such that  $\pi_a : (S^3 \times S^3, g_t) \longrightarrow \left(\frac{S^3 \times S^3}{H_a}, g_t^a\right)$  is a Riemannian covering map (see Section 1.3.3 in [10] and Definition A.27). Then  $\pi_a : (S^3 \times S^3, g_t) \longrightarrow \left(\frac{S^3 \times S^3}{H_a}, g_t^a\right)$  is a local isometry for all  $t \in (0, 1)$ , so curvature is preserved (see Theorem A.2). Thus, min  $\text{Ric}_4\left(\frac{S^3 \times S^3}{H_a}, g_t^a\right) =$ min  $\text{Ric}_4(S^3 \times S^3, g_t)$  for all  $t \in (0, 1)$ . Consider the curve  $\gamma : [0, \pi] \longrightarrow S^3 \times S^3$  connecting  $(N_1, N_2)$  to  $(-N_1, -N_2)$  defined by  $s \mapsto (\cos s)(N_1, N_2) + (\sin s)(\alpha N_1, \alpha N_2)$ . This  $\gamma$  is a segment in  $S^3 \times S^3$  with length  $L_{g_t}(\gamma) = \text{minDispl}_{g_t}(a) = \sqrt{2}\pi t$  (see proof of Lemma 5.3 for details). In  $\frac{S^3 \times S^3}{H_a}$ , the projection  $\pi_a(\gamma)$  is a loop since  $\pi_a(\gamma(0)) = \pi_a(N_1, N_2) =$   $\pi_a(a(N_1, N_2)) = \pi_a(-N_1, -N_2) = \pi_a(\gamma(\pi))$ . Furthermore,  $\pi_a(\gamma)$  is noncontractible (see Theorem A.28). Thus, (\*)  $\text{sys}_1\left(\frac{S^3 \times S^3}{H_a}, g_t^a\right) \leq L_{g_t^a}(\pi_a \circ \gamma) \stackrel{A=2}{=} L_{g_t}(\gamma) = \text{minDispl}_{g_t}(a)$ . Therefore, for all  $t \in (0, 1)$ ,

$$\min \operatorname{Ric}_4 \left( \frac{S^3 \times S^3}{H_a}, g_t^a \right) \cdot \left( \operatorname{sys}_1 \left( \frac{S^3 \times S^3}{H_a}, g_t^a \right) \right)^2$$
  
$$\leq \min \operatorname{Ric}_4(S^3 \times S^3, g_t) \cdot \left( \min \operatorname{Displ}_{g_t}(a) \right)^2 \leq \min \left\{ \frac{4 - t^2}{2}, \frac{4t^4 - 6t^2 + 6}{(t^2 + 1)^2} \right\} \cdot 2t^2 \pi^2.$$

— Calculation 2a —

$$\min \operatorname{Ric}_4(S^3 \times S^3, g_t) \cdot \left(\min \operatorname{Displ}_{g_t}(f)\right)^2 \le \min \left\{ \frac{4 - t^2}{2}, \frac{4t^4 - 6t^2 + 6}{(t^2 + 1)^2} \right\} \cdot \underbrace{\left(\frac{t^2 + 1}{2}\right)\pi^2}_{5.4}.$$

Adapt (\* \* \*). Use  $\gamma : [0, \pi] \to S^3 \times S^3$  defined by  $s \mapsto ((\cos s)N_1 + (\sin s)\alpha N_1, N_2)$  from the proof of Lemma 5.4. Conclude that for all  $t \in (0, 1)$ ,

$$\begin{split} \min \operatorname{Ric}_4 \left( \frac{S^3 \times S^3}{H_f}, g_t^a \right) \cdot \left( \operatorname{sys}_1 \left( \frac{S^3 \times S^3}{H_f}, g_t^f \right) \right)^2 \\ \stackrel{(\star\star)}{\leq} \min \operatorname{Ric}_4(S^3 \times S^3, g_t) \cdot \left( \operatorname{minDispl}_{g_t}(f) \right)^2 \\ \leq \min \left\{ \frac{4 - t^2}{2}, \frac{4t^4 - 6t^2 + 6}{(t^2 + 1)^2} \right\} \cdot \left( \frac{t^2 + 1}{2} \right) \pi^2. \end{split}$$

$$-$$
 Proof of 1b and 2b  $-$ 

$$\operatorname{Ric}_{3}\left((\alpha N_{1}, -\alpha N_{2}); (\alpha N_{1}, \alpha N_{2}), (\beta N_{1}, \beta N_{2}), (\gamma N_{1}, \gamma N_{2})\right)$$

$$= \underbrace{\operatorname{sec}_{g_{t}}\left((\alpha N_{1}, -\alpha N_{2}), (\alpha N_{1}, \alpha N_{2})\right)}_{4.1} + \underbrace{\operatorname{sec}_{g_{t}}\left((\alpha N_{1}, -\alpha N_{2}), (\gamma N_{1}, \gamma N_{2})\right)}_{4.5}$$

$$= 0 + \frac{t^{2}}{2} + \frac{t^{2}}{2} = t^{2}.$$

$$\operatorname{Ric}_{3}\left(\left(\alpha N_{1},\vec{0}\right);\left(\vec{0},\alpha N_{2}\right),\left(\vec{0},\beta N_{2}\right),\left(\vec{0},\gamma N_{2}\right)\right)$$

$$=\underbrace{\operatorname{sec}_{g_{t}}\left(\left(\alpha N_{1},\vec{0}\right),\left(\vec{0},\alpha N_{2}\right)\right)}_{4.1}+\underbrace{\operatorname{sec}_{g_{t}}\left(\left(\alpha N_{1},\vec{0}\right),\left(\vec{0},\beta N_{2}\right)\right)}_{4.10}$$

$$=0+\frac{2t^{4}-4t^{2}+2}{(t^{2}+1)^{2}}+\frac{2t^{4}-4t^{2}+2}{(t^{2}+1)^{2}}=\frac{4t^{4}-8t^{2}+4}{(t^{2}+1)^{2}}.$$

Therefore,  $\min \operatorname{Ric}_3(S^3 \times S^3, g_t) \le \min \left\{ t^2, \frac{4t^4 - 8t^2 + 4}{(t^2 + 1)^2} \right\}.$ 

- Calculation 1b -

$$\min \operatorname{Ric}_3(S^3 \times S^3, g_t) \cdot \left(\min \operatorname{Displ}_{g_t}(a)\right)^2 \le \min \left\{ t^2, \frac{4t^4 - 8t^2 + 4}{(t^2 + 1)^2} \right\} \cdot \underbrace{2t^2 \pi^2}_{5.3}.$$

Then for all  $t \in (0, 1)$ ,

$$\min \operatorname{Ric}_3 \left( \frac{S^3 \times S^3}{H_a}, g_t^a \right) \cdot \left( \operatorname{sys}_1 \left( \frac{S^3 \times S^3}{H_a}, g_t^a \right) \right)^2$$

$$\stackrel{(*)}{\leq} \min \operatorname{Ric}_3(S^3 \times S^3, g_t) \cdot \left( \operatorname{minDispl}_{g_t}(a) \right)^2 \leq \min \left\{ t^2, \frac{4t^4 - 8t^2 + 4}{(t^2 + 1)^2} \right\} \cdot 2t^2 \pi^2.$$

- Calculation 2b -

$$\min \operatorname{Ric}_3(S^3 \times S^3, g_t) \cdot \left(\min \operatorname{Displ}_{g_t}(f)\right)^2 \le \min \left\{ t^2, \frac{4t^4 - 8t^2 + 4}{(t^2 + 1)^2} \right\} \cdot \underbrace{\left(\frac{t^2 + 1}{2}\right) \pi^2}_{5.4}.$$

Then for all  $t \in (0, 1)$ ,

$$\min \operatorname{Ric}_3 \left( \frac{S^3 \times S^3}{H_f}, g_t^f \right) \cdot \left( \operatorname{sys}_1 \left( \frac{S^3 \times S^3}{H_f}, g_t^f \right) \right)^2$$

$$\stackrel{(**)}{\leq} \min \operatorname{Ric}_3(S^3 \times S^3, g_t) \cdot \left( \operatorname{minDispl}_{g_t}(f) \right)^2 \leq \min \left\{ t^2, \frac{4t^4 - 8t^2 + 4}{(t^2 + 1)^2} \right\} \cdot \left( \frac{t^2 + 1}{2} \right) \pi^2.$$

— Proof of 1c and 2c —

$$\operatorname{Ric}_{2}\left((\alpha N_{1}, -\alpha N_{2}); (\alpha N_{1}, \alpha N_{2}), (\beta N_{1}, \beta N_{2})\right)$$

$$= \underbrace{\operatorname{sec}_{g_{t}}\left((\alpha N_{1}, -\alpha N_{2}), (\alpha N_{1}, \alpha N_{2})\right)}_{4.1} + \underbrace{\operatorname{sec}_{g_{t}}\left((\alpha N_{1}, -\alpha N_{2}), (\beta N_{1}, \beta N_{2})\right)}_{4.5}$$

$$= 0 + \frac{t^{2}}{2} = \frac{t^{2}}{2} = \frac{1}{2}\operatorname{Ric}_{3}\left((\alpha N_{1}, -\alpha N_{2}); (\alpha N_{1}, \alpha N_{2}), (\beta N_{1}, \beta N_{2}), (\gamma N_{1}, \gamma N_{2})\right).$$

$$\operatorname{Ric}_{2}\left(\left(\alpha N_{1},\vec{0}\right);\left(\vec{0},\alpha N_{2}\right),\left(\vec{0},\beta N_{2}\right)\right)$$

$$=\underbrace{\operatorname{sec}_{g_{t}}\left(\left(\alpha N_{1},\vec{0}\right),\left(\vec{0},\alpha N_{2}\right)\right)}_{4.1}+\underbrace{\operatorname{sec}_{g_{t}}\left(\left(\alpha N_{1},\vec{0}\right),\left(\vec{0},\beta N_{2}\right)\right)}_{4.10}$$

$$=0+\frac{2t^{4}-4t^{2}+2}{(t^{2}+1)^{2}}=\frac{2t^{4}-4t^{2}+2}{(t^{2}+1)^{2}}$$

$$=\frac{1}{2}\operatorname{Ric}_{3}\left(\left(\alpha N_{1},\vec{0}\right);\left(\vec{0},\alpha N_{2}\right),\left(\vec{0},\beta N_{2}\right),\left(\vec{0},\gamma N_{2}\right)\right).$$

- Calculation 1c -

$$\begin{aligned} \min \operatorname{Ric}_2(S^3 \times S^3, g_t) \cdot \left(\min \operatorname{Displ}_{g_t}(a)\right)^2 &= \frac{1}{2} \min \operatorname{Ric}_3(S^3 \times S^3, g_t) \cdot \left(\min \operatorname{Displ}_{g_t}(a)\right)^2 \\ &\leq \frac{1}{2} \min \left\{ t^2, \frac{4t^4 - 8t^2 + 4}{(t^2 + 1)^2} \right\} \cdot \frac{2t^2 \pi^2}{5.3} \\ &= \min \left\{ t^2, \frac{4t^4 - 8t^2 + 4}{(t^2 + 1)^2} \right\} \cdot t^2 \pi^2. \end{aligned}$$

Then for all  $t \in (0, 1)$ ,

$$\min \operatorname{Ric}_2 \left( \frac{S^3 \times S^3}{H_a}, g_t^a \right) \cdot \left( \operatorname{sys}_1 \left( \frac{S^3 \times S^3}{H_a}, g_t^a \right) \right)^2$$

$$\stackrel{(****)}{\leq} \min \operatorname{Ric}_2(S^3 \times S^3, g_t) \cdot \left( \operatorname{minDispl}_{g_t}(a) \right)^2 \leq \min \left\{ t^2, \frac{4t^4 - 8t^2 + 4}{(t^2 + 1)^2} \right\} \cdot t^2 \pi^2.$$

- Calculation 2c -

$$\begin{split} \min \operatorname{Ric}_2(S^3 \times S^3, g_t) \cdot \left(\min \operatorname{Displ}_{g_t}(f)\right)^2 &= \frac{1}{2} \min \operatorname{Ric}_3(S^3 \times S^3, g_t) \cdot \left(\min \operatorname{Displ}_{g_t}(f)\right)^2 \\ &\leq \frac{1}{2} \min \left\{ t^2, \frac{4t^4 - 8t^2 + 4}{(t^2 + 1)^2} \right\} \cdot \underbrace{\left(\frac{t^2 + 1}{2}\right) \pi^2}_{5.4} \\ &= \min \left\{ t^2, \frac{4t^4 - 8t^2 + 4}{(t^2 + 1)^2} \right\} \cdot \left(\frac{t^2 + 1}{4}\right) \pi^2. \end{split}$$

Then for all  $t \in (0, 1)$ ,

$$\min \operatorname{Ric}_{2} \left( \frac{S^{3} \times S^{3}}{H_{f}}, g_{t}^{f} \right) \cdot \left( \operatorname{sys}_{1} \left( \frac{S^{3} \times S^{3}}{H_{f}}, g_{t}^{f} \right) \right)^{2}$$

$$\stackrel{(\star\star)}{\leq} \min \operatorname{Ric}_{2} (S^{3} \times S^{3}, g_{t}) \cdot \left( \operatorname{minDispl}_{g_{t}}(f) \right)^{2}$$

$$\leq \min \left\{ t^{2}, \frac{4t^{4} - 8t^{2} + 4}{(t^{2} + 1)^{2}} \right\} \cdot \left( \frac{t^{2} + 1}{4} \right) \pi^{2}.$$

Proof. (of 1.5)

— Proof of 1a' and 2a' —

By 1a and 1b in Theorem 1.6,

$$\min \operatorname{Ric}_4(M, g_t^a) \cdot \left(\operatorname{sys}_1(M, g_t^a)\right)^2 \le \min\left\{\frac{4-t^2}{2}, \frac{4t^4 - 6t^2 + 6}{(t^2+1)^2}\right\} \cdot 2t^2 \pi^2.$$
$$\min \operatorname{Ric}_4\left(N, g_t^f\right) \cdot \left(\operatorname{sys}_1\left(N, g_t^f\right)\right)^2 \le \min\left\{\frac{4-t^2}{2}, \frac{4t^4 - 6t^2 + 6}{(t^2+1)^2}\right\} \cdot \left(\frac{t^2+1}{2}\right) \pi^2.$$

$$\frac{4-t^2}{2} = \frac{4t^4 - 6t^2 + 6}{(t^2 + 1)^2} \implies t^6 + 6t^4 - 19t^2 + 8 = 0.$$

By viewing https://www.desmos.com/calculator/tj1cpczd9g, we see that the degreesix polynomial  $t^6 + 6t^4 - 19t^2 + 8$  has a real root in (0,1) approximately equal to 0.7143. Let r be this solution to the equation  $t^6 + 6t^4 - 19t^2 + 8 = 0$ .

Then, by viewing https://www.desmos.com/calculator/b0go9j32b2, we see

$$\min\left\{\frac{4-t^2}{2}, \frac{4t^4-6t^2+6}{(t^2+1)^2}\right\} \cdot 2t^2 = \begin{cases} \frac{4-t^2}{2} \cdot 2t^2 & \text{when } 0 < t \le r\\ \frac{4t^4-6t^2+6}{(t^2+1)^2} \cdot 2t^2 & \text{when } r < t < 1 \end{cases}$$
$$= \begin{cases} 4t^2-t^4 & \text{when } 0 < t \le r\\ \frac{8t^6-12t^4+12t^2}{(t^2+1)^2} & \text{when } r < t < 1 \end{cases}$$
$$\le 2$$

and by viewing https://www.desmos.com/calculator/acbt0sxvlt, we see

$$\begin{split} \min\left\{\frac{4-t^2}{2}, \frac{4t^4-6t^2+6}{(t^2+1)^2}\right\} \cdot \frac{t^2+1}{2} &= \begin{cases} \frac{4-t^2}{2} \cdot \frac{t^2+1}{2} & \text{when } 0 < t \le r \\ \frac{4t^4-6t^2+6}{(t^2+1)^2} \cdot \frac{t^2+1}{2} & \text{when } r < t < 1 \end{cases} \\ &= \begin{cases} \frac{-t^4+3t^2+4}{4} & \text{when } 0 < t \le r \\ \frac{2t^4-3t^2+3}{t^2+1} & \text{when } r < t < 1 \end{cases} \\ &\le \frac{-r^4+3r^2+4}{4} \approx 1.3176. \end{split}$$

### — Proof of 1b' and 2b' -

By 2a and 2b in Theorem 1.6,

$$\min \operatorname{Ric}_{3}(M, g_{t}^{a}) \cdot \left(\operatorname{sys}_{1}(M, g_{t}^{a})\right)^{2} \leq \min \left\{ t^{2}, \frac{4t^{4} - 8t^{2} + 4}{(t^{2} + 1)^{2}} \right\} \cdot 2t^{2}\pi^{2}.$$
$$\min \operatorname{Ric}_{3}\left(N, g_{t}^{f}\right) \cdot \left(\operatorname{sys}_{1}\left(N, g_{t}^{f}\right)\right)^{2} \leq \min \left\{ t^{2}, \frac{4t^{4} - 8t^{2} + 4}{(t^{2} + 1)^{2}} \right\} \cdot \left(\frac{t^{2} + 1}{2}\right)\pi^{2}.$$

$$t^{2} = \frac{4t^{4} - 8t^{2} + 4}{(t^{2} + 1)^{2}} \implies t^{6} - 2t^{4} + 9t^{2} - 4 = 0.$$

By viewing https://www.desmos.com/calculator/vnotpxutz7, we see that the degreesix polynomial  $t^6 - 2t^4 + 9t^2 - 4$  has a real root in (0, 1) approximately equal to 0.6956. Let s be this solution to the equation  $t^6 - 2t^4 + 9t^2 - 4 = 0$ .

Then, by viewing https://www.desmos.com/calculator/dr02mmdk5k, we see

$$\min\left\{t^{2}, \frac{4t^{4} - 8t^{2} + 4}{(t^{2} + 1)^{2}}\right\} \cdot 2t^{2} = \begin{cases}t^{2} \cdot 2t^{2} & \text{when } 0 < t \le s\\\\\frac{4t^{4} - 8t^{2} + 4}{(t^{2} + 1)^{2}} \cdot 2t^{2} & \text{when } s < t < 1\end{cases}$$
$$= \begin{cases}2t^{4} & \text{when } 0 < t \le s\\\\\frac{8t^{6} - 16t^{4} + 8t^{2}}{(t^{2} + 1)^{2}} & \text{when } s < t < 1\end{cases}$$
$$< 2s^{4} \approx 0.4683$$

and by viewing https://www.desmos.com/calculator/ms5lsqdyjf, we see

$$\min\left\{t^2, \frac{4t^4 - 8t^2 + 4}{(t^2 + 1)^2}\right\} \cdot \frac{t^2 + 1}{2} = \begin{cases} t^2 \cdot \frac{t^2 + 1}{2} & \text{when } 0 < t \le s \\ \frac{4t^4 - 8t^2 + 4}{(t^2 + 1)^2} \cdot \frac{t^2 + 1}{2} & \text{when } s < t < 1 \end{cases}$$
$$= \begin{cases} \frac{t^4 + t^2}{2} & \text{when } 0 < t \le s \\ \frac{2t^4 - 4t^2 + 2}{t^2 + 1} & \text{when } s < t < 1 \end{cases}$$
$$\le \frac{s^4 + s^2}{2} \approx 0.359.$$

— Proof of 1c' and 2c' —

$$\min \operatorname{Ric}_{2}(M, g_{t}^{a}) \cdot \left(\operatorname{sys}_{1}(M, g_{t}^{a})\right)^{2} \stackrel{1.6}{\leq} \min \left\{ t^{2}, \frac{4t^{4} - 8t^{2} + 4}{(t^{2} + 1)^{2}} \right\} \cdot t^{2} \pi^{2}$$
$$= \frac{1}{2} \min \left\{ t^{2}, \frac{4t^{4} - 8t^{2} + 4}{(t^{2} + 1)^{2}} \right\} \cdot 2t^{2} \pi^{2}$$
$$\leq \frac{1}{2} (2s^{4} \pi^{2}) \text{ for all } t \in (0, 1) \text{ by } 2a'$$
$$= s^{4} \pi^{2} \approx 0.2341 \pi^{2}$$

$$\begin{aligned} \min \operatorname{Ric}_2\left(M, g_t^a\right) \cdot \left(\operatorname{sys}_1\left(M, g_t^a\right)\right)^2 & \stackrel{1.6}{\leq} \min \left\{ t^2, \frac{4t^4 - 8t^2 + 4}{(t^2 + 1)^2} \right\} \cdot \left(\frac{t^2 + 1}{4}\right) \pi^2 \\ &= \frac{1}{2} \min \left\{ t^2, \frac{4t^4 - 8t^2 + 4}{(t^2 + 1)^2} \right\} \cdot \left(\frac{t^2 + 1}{2}\right) \pi^2 \\ &\leq \frac{1}{2} \left(\frac{s^4 + s^2}{2}\right) \pi^2 \text{ for all } t \in (0, 1) \text{ by } 2\mathbf{b}' \\ &= \left(\frac{s^4 + s^2}{4}\right) \pi^2 \approx 0.1795\pi^2. \end{aligned}$$

# Bibliography

- [1] A. Besse. *Einstein Manifolds*. Springer, 2008.
- [2] J. Cheeger. Some examples of manifolds of non-negative curvature. Differential Geometry, 8:623-628, 1973.
- [3] J. Cheeger, D. Ebin. Comparison Theorems in Riemannian Geometry. North-Holland Publishing Company, 1975.
- [4] J. Lee. Introduction to Smooth Manifolds. Springer Science+Business Media New York, 2nd Edition, 2013.
- [5] J. Lee. Introduction. to Riemannian Manifolds. Springer International Publishing AG, 2nd Edition, 2018.
- [6] L. Mouillé. Positive Intermediate Ricci Curvature with Symmetries (Doctoral dissertation). University of California, Riverside. 2020.
- [7] J.R. Munkres. *Topology*. Prentice-Hall, 2nd Edition, 2000.
- [8] J. Nash. Positive Ricci curvature on fibre bundles. J. Diff. Geom., 14:241-254, 1979.
- [9] B. O'Neill, The Fundamental Equations of a Submersion. Michigan Math, 13(4):459-469, 1966.
- [10] P. Petersen. *Riemannian Geometry*. Volume 171 of Graduate Texts in Mathematics. Springer International Publishing, 3rd Edition, 2016.
- [11] P. Petersen, F. Wilhelm. Examples of Riemannian manifolds with positive curvatures almost everywhere. Geometry and Topology, 3:331-367, 1999.
- [12] P. Petersen, F. Wilhelm. Some principles for deforming nonnegative curvature. Preprint arXiv:0908.30626v2, 2009.
- [13] C. Searle, P. Solórzano, F. Wilhelm. Regularization via Cheeger deformations. Ann. Global Anal. Geometry, 48(10): 295-303, 2015.
- [14] C. Searle, F. Wilhelm. How to lift positive Ricci curvature. Geometry and Topology, 19:1409-1475, 2015.
- [15] F. Wilhelm. On Intermediate Ricci Curvature and Fundamental Groups. Illinois Journal of Mathematics, 41:488-494, 1997.

# Appendix A:

## Other Definitions and Theorems

## Referenced

**Definition A.1** (page 12 and page 196 in [10]). A map  $f : (M, g_M) \longrightarrow (N, g_N)$  is a <u>local isometry</u> if and only if for each  $p \in M$ , there is a neighborhood  $U \subseteq M$  of p such that  $f|_p : U \longrightarrow f(U)$  is an isometry. Alternatively, f is a local isometry if and only if for all  $p \in M$ , the differential  $df_p : T_pM \longrightarrow T_{f(p)}N$  is a linear isometry.

**Theorem A.2** (Proposition 5.6.1 in [10] and Proposition 7.6 in [5]). Let f:  $(M, g_M) \longrightarrow (N, g_N)$  be a local isometry. Then

- 1) F maps geodesics to geodesics.
- 2) If f is a bijection, then f is distance preserving.
- 3) The Riemannian curvature tensor is invariant under f.

**Definition A.3** (Example 1.36 in [4]). Let V be an n-dimensional real vector space. For any integer  $0 \le k \le n$ , the **Grassmanian** is the set  $G_k(V)$  of all k-dimensional linear subspaces of V. It is a k(n-k)-dimensional smooth manifold. **Theorem A.4** (Problem 21-13 in [4]). Let V be an n-dimensional real vector space. The Grassmannian  $G_k(V)$  is compact for each integer  $0 \le k \le n$ .

**Theorem A.5** (Theorem 27.4 in [7]). Let X, Y be topological spaces. Let  $f : X \longrightarrow Y$ be continuous, where Y is an ordered set in the order topology. If X is compact, then there exists points c and d in X such that  $f(c) \leq f(x) \leq f(d)$  for every  $x \in X$ .

**Theorem A.6** (Exercise 1.6.24 in [10]). Every compact Lie group admits a biinvariant metric, i.e. both left and right translations are isometries.

**Theorem A.7** (Theorem 4.31 in [4]). Suppose  $N, N_1, N_2$  are smooth manifolds, and  $f_1: N \longrightarrow N_1$  and  $f_2: N \longrightarrow N_2$  are surjective smooth submersions that are constant on each other's fibers. Then there exists a unique diffeomorphism  $f: N_1 \longrightarrow N_2$  such that  $f \circ f_1 = f_2$ .

<u>Remark</u>: Our use of Theorem A.7 to show  $\frac{G \times M}{G} \cong M$  sets  $N = G \times M$ ,  $N_1 = \frac{G \times M}{G}$ ,  $N_2 = M$ ,  $f_1$  equal to the quotient map  $G \times M \longrightarrow \frac{G \times M}{G}$ , and  $f_2$  equal to the action map of  $G \curvearrowright M$ .

**Theorem A.8** (Theorem 2.18 in [4]). Let G be a Lie group, let M be a homogeneous space (with respect to G), and let p be any point of M. The isotropy group  $G_p$  is a closed subgroup of G, and the map  $F: G/G_p \longrightarrow M$  defined by  $F(aG_p) = a \cdot p$  is an equivariant diffeomorphism. **Definition A.9** (page 164 in [4]). Suppose G is a Lie group and M and N are smooth manifolds endowed with smooth left or right G-actions. Let  $\theta$  be the action of G on M and  $\varphi$  be the action of G on N. A map  $F : M \longrightarrow N$  is equivariant with respect to the given G-actions if and only if the following diagram commutes for each  $a \in G$ :



**Theorem A.10** (page 460 in [9] and Exercise 5.9.20 in [10]). Let  $F : (M, g_M) \longrightarrow$ ( $B, g_B$ ) be a Riemannian submersion. Let  $E, F \in TM$ . Then  $T_E F := \mathcal{H}_{\mathcal{V}E}(\mathcal{V}F) + \mathcal{V}\nabla_{\mathcal{V}E}(\mathcal{H}F)$ , and if N is a submanifold of M, then N is totally geodesic  $\iff T \equiv 0$ .

**Theorem A.11** (Theorem 2.2.2 in [10]). The assignment  $X \mapsto \nabla X$  on  $(M, g_M)$  is uniquely defined by the following properties.

- 1)  $\nabla_{\alpha v+\beta w}Y = \alpha \nabla_v Y + \beta \nabla_w Y$  and  $\nabla_X(Y_1+Y_2) = \nabla_X Y_1 + \nabla_X Y_2.$
- 2) For functions  $f: M \to \mathbb{R}, \nabla_X(fY) = (D_X f)Y + f\nabla_X Y$ .
- 3)  $\nabla_X Y \nabla_Y X = [X, Y].$
- 4)  $D_Z g_M(X, Y) = g_M(\nabla_Z X, Y) + g_M(X, \nabla_Z Y).$

**Theorem A.12** (Exercise 7-22 in [4]). Quaternionic multiplication is associative.

**Theorem A.13** (Exercise 7-22 in [4]). Let  $a_i, b_i, c_i, d_i \in \mathbb{R}$ . Quaternionic multiplication is defined by  $(a_1 + b_1i + c_1j + d_1k)(a_2 + b_2i + c_2j + d_2k) = a_1a_2 - b_1b_2 - c_1c_2 - d_1d_2 + (a_1b_2 + b_1a_2 + c_1d_2 - d_1c_2)i + (a_1c_2 - b_1d_2 + c_1a_2 + d_1b_2)j + (a_1d_2 + b_1c_2 - c_1b_2 + d_1a_2)k.$ 

**Theorem A.14** (Corollary 3.19 in [3]). Let  $(M, g_{bi})$  be a Riemannian manifold paired with a bi-invariant metric. Let  $X, Y, Z, W \in TM$ . Then

$$R_{g_{\rm bi}}(X, Y, Z, W) = \frac{1}{4}g_{\rm bi}([X, W], [Y, Z]) - \frac{1}{4}g_{\rm bi}([X, Z], [Y, W]).$$

**Theorem A.15** (Lemma 2.2.4 in [10]). Let M be a manifold and  $\nabla$  an affine connection on M. If X is a vector field on M and  $c : I \longrightarrow M$  a smooth curve with  $\dot{c}(0) = v \in T_pM$ , then  $\nabla_v X$  depends only on the values of X along c, i.e., if  $X \circ c = Y \circ c$ , then  $\nabla_{\dot{c}} X = \nabla_{\dot{c}} Y$ .

**Theorem A.16** (Exercise 2.5.12 in [10]). Let  $(M, g_M)$  be a Riemannian manifold and  $f: (M, g_M) \longrightarrow (M, g_M)$  be an isometry. Then  $df(\nabla_X Y) = \nabla_{df(X)} df(Y)$ .

**Definition A.17** (Example 1.1.3 in [10]). The standard Riemannian metric on  $S^n$  is defined for all  $p \in S^n$  by  $g_{S^n}((p, v), (p, w)) = g_{\mathbb{R}^{n+1}}((p, v), (p, w)) \stackrel{A.18}{=} v \cdot w.$  Definition A.18 (Example 1.1.1 in [10]). The standard Riemannian metric

**<u>on</u>**  $\mathbb{R}^n$  is defined for all  $p \in \mathbb{R}^n$  by  $g_{\mathbb{R}^n}((p, v), (p, w)) = v \cdot w$ .

**Theorem A.19.** Let  $a, b, c \in \mathbb{R}^3$ .

$$a \cdot (b \times c) = -a \cdot (c \times b)$$
$$= -b \cdot (a \times c)$$
$$= -c \cdot (b \times a).$$

**Theorem A.20** (Proposition 3.1.1 in [10]). The (0,4) Riemannian curvature tensor R(X, Y, Z, W) is skew-symmetric in the first two and last two entries. That is, R(X, Y, Z, W) = -R(Y, X, Z, W).

R(X, Y, Z, W) = -R(X, Y, W, Z).

**Theorem A.21** (page 84 in [10]). Let  $(M, g_M)$  be a Riemannian manifold with constant sectional curvature k. Let  $p \in M$  and  $v_1, v_2, v_3, v_4 \in T_pM$ . Then  $R(v_1, v_2, v_3, v_4) = kg_M(v_2, v_3)g_M(v_1, v_4) - kg_M(v_1, v_3)g_M(v_2, v_4).$ 

**Theorem A.22** (page 522 in [12]). Suppose (M,g) is a Riemannian manifold with  $\operatorname{curv}_g(M) \ge 0$ . If  $x, y \in TM$  such that  $\operatorname{curv}_g(x, y) = 0$ , then

$$R(y,x)x = R(x,y)x = 0.$$

**Theorem A.23** (Proposition 5.4.1 in [10]). Let  $(M, g_M)$  be a Riemannian manifold and  $c(t) : [a, b] \to M$  be a constant speed curve. Then c(t) is length minimizing if and only if it is energy minimizing. Furthermore,  $L(c) = \sqrt{2(b-a)E(c)}$ .

**Theorem A.24** (Proposition 3.24 in [4]). Let  $F : M \longrightarrow N$  be a smooth map between smooth manifolds, and let  $\gamma : I \longrightarrow M$  be a smooth curve. For any  $t_0 \in I$ , the velocity at  $t = t_0$  of the composite curve  $F \circ \gamma : I \longrightarrow N$  is given by

$$(F \circ \gamma)'(t_0) = dF(\gamma'(t_0)).$$

**Theorem A.25** (Theorem 81.5 in [7]). Let X be path connected and locally path connected. Let G be a group of homeomorphisms of X. The quotient map  $F: X \longrightarrow$ X/G is a covering map if and only if the action of G is properly discontinuous. In this case, the covering map is regular and G is its group of covering transformations.

**Theorem A.26** (Proposition 4.40 in [4]). Suppose M is a connected smooth n-manifold, and  $F: E \longrightarrow M$  is a topological covering map. Then E is a topological n-manifold and has a unique smooth structure such that  $\pi$  is a smooth covering map.

**Definition A.27** (page 12 in [10]). Let  $(M, g_M)$  and  $(N, g_N)$  be Riemannian mani-

folds. A map  $F: M \longrightarrow N$  is a **<u>Riemannian covering map</u>** if and only if

- 1) F is a smooth covering map
- 2) F is a local isometry.

**Theorem A.28** (Lemma 54.2 in [7]). Let E, B be topological spaces and  $F : E \longrightarrow B$ be a covering map. Let  $F(e_0) = b_0$ . Let the map  $F : I \times I \longrightarrow B$  be continuous with  $F(0,0) = b_0$ . There is a unique lifting of F to a continuous map  $\tilde{F} : I \times I \longrightarrow E$ such that  $\tilde{F}(0,0) = e_0$ . If F is a path homotopy, then  $\tilde{F}$  is a path homotopy.