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**1Usefulness of the Integrated Scoring Model of Treadmill Tests to Predict  
2Myocardial Ischemia and Silent Myocardial Ischemia in Community-Dwelling  
3Adults (From the Rancho Bernardo Study)**

4Running title: Predicting myocardial ischemia with integrated scoring model of treadmill tests

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27represent a conflict of interest.

## 28Abstract

29To investigate the association between analyses of sub-maximal treadmill exercise  
30test (TMT) and long-term myocardial ischemia (Mis) and silent Mis in community-  
31dwelling older adults, 898 Rancho Bernardo Study participants (mean age 55)  
32without coronary heart disease underwent TMT and were followed up to 27 years.  
33The main outcome measures are incidence of Mis and silent Mis. During follow up,  
3497 Mis and 103 silent Mis events occurred. We measured ST change, inability to  
35achieve target heart rate (iTHR), abnormal heart rate recovery (HRR), and  
36chronotropic incompetence (ChI). Each parameter was a significant predictor for Mis  
37and silent Mis. An integrated scoring model was based on these 4 parameters and  
38defined as sum of numbers of abnormal parameters. After multiple adjustments, an  
39integrated scoring model independently predicted Mis and silent Mis. The incidence  
40rates of abnormalities of parameters are 36.5% for 1 abnormality, 9.1% for 2  
41abnormalities, and 2.0% for 3 or 4 abnormalities. Compared to those with normal  
42results, participants with 1 or 2 abnormalities had significantly increased risk for Mis  
43(HR 1.79 or 2.34) and silent Mis (HR 1.80 or 2.64), respectively. Participants with 3  
44or more positive findings showed an even higher risk for Mis (HR 7.96, [3.02-21.00])  
45and silent Mis (HR 3.22, [0.76-13.60]). In conclusion, ST change, ChI, abnormal  
46HRR, iTHR, and integrated scoring model of TMT were independent predictors of  
47long-term Mis and silent Mis in an asymptomatic middle-aged population.  
48Management of ChI or abnormal HRR in an asymptomatic population may prevent  
49future ischemic heart disease and thus improve the quality of life.

50Key Words: Chronotropic incompetence; Heart Rate Recovery; Myocardial ischemia;  
51ST change; Target Heart Rate; Treadmill Exercise Test

## 52Introduction

53 It is well known that chronotropic incompetence (ChI) and abnormal heart rate  
54recovery (HRR) are independent predictors of major adverse cardiovascular events  
55and overall mortality (1-4). However, the independent value of the treadmill exercise  
56test (TMT) used as a screening tool in asymptomatic adults to predict future  
57coronary artery disease, and especially to predict silent ischemia, is not yet known  
58(5, 6). The present study was designed to assess ST change, ChI, inability to  
59achieve target heart rate (iTHR), abnormal HRR, and integrated analysis of these  
60parameters as predictors of myocardial ischemia (Mis) and silent Mis in community-  
61dwelling asymptomatic older adults followed up to 27 years.

62

## 63Methods

64 The Rancho Bernardo Study is a prospective population-based study of older  
65adults residing in a suburban southern California community. The cohort of residents  
66enrolled was quite homogeneous—they were almost entirely Caucasian and most  
67were white-collar workers. Between 1972 and 1974, a total of 1789 community-  
68dwelling adults participated in a heart disease risk factor survey, which served as the  
69baseline visit for the present study. Participants with a history of CHD (myocardial  
70infarction, angina, or coronary artery bypass surgery) were excluded from the TMT.  
71The data of 898 participants who underwent TMT at baseline are used for this  
72analysis (Figure 1). The study protocol was approved by the Human Research  
73Protection Program at the University of California, San Diego; all participants gave  
74written informed consent prior to participation. Participants were followed by annual  
75mailed questionnaires, and they returned for research clinic visits approximately  
76every four years through 1999, up to 27 years.

77 A sub-maximal TMT was administered to participants (7, 8); exclusions  
78 included aortic stenosis, congestive heart failure, severe hypertension, R-on-T type  
79 premature ventricular contractions, ventricular tachycardia, parasystolic focus, atrial  
80 flutter, congenital heart disease, second reschedule required others. The exercise  
81 test was terminated for any of the following reasons; 1) subjective response: the  
82 subject was unwilling or unable to continue exercise; 2) development of potential  
83 hazards to the subject; 3) attainment of near-maximal exercise--exercise was  
84 stopped if the subject attained age-predicted target heart rate (THR) and maintained  
85 it for one minute, if the subject maintained THR until the end of the ongoing exercise  
86 stage, or if subject's heart rate exceeded target heart rate by 8 beats/min, whichever  
87 occurred first (8, 9). A test was considered to be positive if 1) ST depression or  
88 elevation of 1 mm or more was recorded by the visual coders, 2) the ST integral fell  
89 by at least 10 diV-sec from its resting value to a value of 10 gV-sec or less, or 3) the  
90 ST integral rose by at least 10 gV-sec from its resting value.

91 Three non-electrocardiographic measures were defined as: 1) an abnormal  
92 HRR—a decrease of <22 bpm after 2 min of recovery(10); 2) ChI--the inability to  
93 achieve 80% of heart rate reserve, using a standard equation to define the  
94 percentage heart rate reserve [(maximal heart rate - resting heart rate)/ (174-0.54 x  
95 age) - (resting heart rate) x 100] (11); 3) THR was considered achieved when 90% of  
96 maximal heart rate predicted for subject's age was attained(2).

97 The primary outcomes were Mis and silent Mis. Myocardial ischemia,  
98 determined by using standard epidemiologic methods (such as annual mailed  
99 questionnaires and interviews at regular clinic visits), consisted of a history of  
100 myocardial infarction, angina pectoris, coronary revascularization, or coronary artery  
101 bypass graft history.

102 Silent Mis was defined as  $\geq 1$  ischemic resting ECG abnormalities, newly  
103 revealed at a follow-up visit with no history of myocardial infarction, angina pectoris,  
104 or chest pain not meeting the Rose algorithm.

105 i) "ECG coronary probable"--major Q or QS wave [Minnesota Code 1.1, 1.2];  
106 complete left bundle branch block [Minnesota Code 7.1.1]

107 ii) "ECG coronary possible"--small Q or QS wave [Minnesota Code 1.3]; ST  
108 depression [Minnesota Code 4.1 – 4.3]; T wave items [Minnesota Code 5.1 – 5.3]  
109 (12).

110 No Evidence of Cardiovascular Disease was defined as: no ECG changes  
111 and no history of myocardial infarction, angina pectoris, or chest pain ( $\geq 30$  min).  
112 Data on vital status was collected on all participants. More than 99% of this cohort  
113 was followed for vital status by annual mailer through 1999.

114 Death certificates were obtained for all decedents and coded for cause of  
115 death by a certified nosologist using the 9th revision of the "International  
116 Classification of Diseases, Adapted" (ICDA-9). Deaths due to coronary heart disease  
117 included coronary death, myocardial infarction, coronary insufficiency, and angina  
118 (ICD-9 codes 410.00-414.00). We classified deaths due to coronary heart disease as  
119 apparent myocardial ischemia.

120 Categorical variables are reported as numbers (percentages), and continuous  
121 variables are presented as means (standard deviation). Cox proportional hazards  
122 regression analyses were performed to obtain multivariate-adjusted hazard ratios of  
123 Mis and silent Mis of those who had abnormal test results during the TMT versus  
124 those with normal test results. Hazard ratios were adjusted for age by decade, sex,  
125 cholesterol level, history of diabetes, and smoking. We performed the supremum test  
126 for proportional hazards assumption with 1000 replications in Cox regression model.

127Although TMT had a marginal significance in the test of proportionality, we used the  
128time-dependent Cox regression model because the other exposure variables fit the  
129proportionality assumption. We restricted study subjects who had performed TMT in  
130our analyses, and there were no missing in exposure variables such as TMT and  
131target HR. There was 1 missing in the variables of HRR and ChI. Also our main  
132exposure variables such as ST change, THR, HRR, ChI were binomial scales  
133(achievement vs. no-achievement; positive vs. negative etc.), not continuous scales,  
134and so there were no outliers. There was no interaction effect between the main  
135exposure variable and the other confounders in our multivariate models. A two-tailed  
136 $p < 0.05$  was considered statistically significant. Data were analyzed using the SAS  
137statistical package (SAS institute, Chicago, Illinois).

138

## 139Results

140 The baseline characteristics of participants are provided in Table 1; 898  
141Rancho Bernardo Study participants underwent TMT and were followed for up to 27  
142years (mean age at baseline  $55.04 \pm 14.85$ , 481 were women); 218 (24.3%) were  
143current smokers, 366 (40.8%) were daily drinkers, 180 (20.0%) had metabolic  
144syndrome, and 38 (4.2%) had diabetes mellitus.

145 Fifty-three (5.9%) participants showed positive TMT (ST change). Overall, 418  
146participants (46.5%) were unable to achieve their THR. 22 participants (2.5%) had  
147abnormal HRR, and chronotropic incompetence (ChI) was detected in 56  
148participants (6.2%). In Cox proportional hazards models, after adjusting for age, sex,  
149cholesterol level, diabetes, and smoking history, positive TMT was independently  
150associated with Mis (HR 1.72, 95% CI 0.83-3.59) and silent Mis (HR 2.16, 95% CI

1511.16-4.19); iTHR was associated with Mis (adjusted HR 2.11, 95% CI 1.25-3.57) and  
152silent Mis (HR 2.16, 95% CI 1.33-3.50) regardless of causes for stopping TMT (Table  
1532, Figure 2 and 3). Abnormal HRR was also independently associated with Mis  
154(adjusted HR 5.30, 95% CI 2.14-13.15) and silent Mis (HR 1.29, 95% CI 1.18-9.37).  
155And ChI was associated with Mis (HR 1.92, 95% CI 1.01-3.65) but not silent Mis  
156(adjusted HR 0.99, 95% CI 0.40-2.47) (Table 2, Figures 2 and 3).

157 Even in the sub-analysis excluding ST segment abnormalities, iTHR was  
158persistently associated with higher Mis (adjusted HR 2.10, 95% CI 1.22-3.61) and  
159silent Mis (adjusted HR 1.74, 95% CI 1.05-2.90), and abnormal HRR remained a  
160predictor of Mis (adjusted HR 3.94, 95% CI 1.34-11.63) (Table 3).

161 The number of positive findings among these 4 measures (positive TMT,  
162iTHR, abnormal HRR, and ChI) was closely associated with higher Mis and silent  
163Mis. The incidence rates of abnormalities of parameters are 36.5% for 1 abnormality,  
1649.1% for 2 abnormalities, and 2.0% for 3 or 4 abnormalities. Compared with normal  
165findings, any one abnormal finding predicted a 1.79-fold higher risk for Mis and 1.80-  
166fold higher risk for silent Mis. Two and three or more positive findings were  
167associated with a 2.34- and 7.96-fold higher risk for Mis and 2.64- and 3.22-fold  
168higher risk for silent Mis, respectively (Table 4).

169

## 170Discussion

171 Silent Mis is defined as objective documentation of Mis in the absence of  
172angina or angina equivalents. Its clinical significance is now well established, but  
173there are few prognostic studies of silent ischemia in the general population or in  
174truly asymptomatic populations (13-15). Silent Mis is usually diagnosed when there  
175is asymptomatic ST depression during TMT or ambulatory ECG monitoring; however,



176whether ChI, iTHR, or abnormal HRR can predict future silent Mis in a community-  
177dwelling population had not been evaluated.

178 Chronotropic incompetence (ChI), broadly defined as the inability of the heart  
179to increase its rate commensurate with increased activity or demand, is common in  
180patients with cardiovascular disease, produces exercise intolerance that impairs  
181quality of life, and is predictive of increased mortality and coronary heart disease  
182risk, independent of various confounding factors, including age, gender, physical  
183fitness, traditional cardiovascular risk factors, and ST change during exercise (**2, 16,**  
184**17**). Our study showed that ChI was associated with Mis (HR 1.92, 95% CI 1.01-  
1853.65) but not silent Mis (adjusted HR 0.99, 95% CI 0.40-2.47)

186 Traditionally, the ability to reach THR was used as a signal of sufficient  
187cardiac loading during the TMT; iTHR is also considered an impaired chronotropic  
188response. In this cohort study, iTHR was associated with 2.11- and 2.16-fold  
189increased risk for Mis and silent Mis, respectively. And it was persistently associated  
190with risk for Mis and silent Mis in only GXT-negative subjects.

191 Abnormal HRR after exertion also has been associated with increased all-  
192cause mortality risk in a variety of asymptomatic and diseased populations (**18**),  
193even after adjusting for severity of cardiovascular disease, left ventricle (LV) function,  
194and exercise capacity (**19**). In alignment with earlier reports, our study confirmed that  
195abnormal HRR was a strong predictor of future Mis including silent Mis (Table 3).

196 ChI, iTHR, and abnormal HRR have a similar pathophysiologic mechanism,  
197failure of heart rate control. The mechanisms that have been proposed to explain ChI  
198and iTHR are 1) underlying autonomic nervous system imbalance; 2) reduced  
199myocardial viability; and 3) attenuated protective response to permit greater  
200myocardial perfusion in the presence of narrowed coronary arteries (**20**). The ability

201of HRR following exercise is related to the capacity of the cardiovascular system to  
202reverse autonomic nervous system and baroreceptor adaptations that occur during  
203exercise, often termed vagal (21, 22). We investigated whether integration of these  
204parameters can show an additive value of prediction for future ischemic heart  
205disease including silent Mis.

206 Strengths of this study include the well-characterized, population-based TMT  
207of community-dwelling older adults, and the long-term follow up. There are also  
208limitations. First, Mis and silent Mis were not confirmed by coronary angiogram or  
209imaging studies, which may raise questions on validity of our data. Second, these  
210results may not be applied to the general population because the cohort of residents  
211was quite homogeneous--almost entirely Caucasian. Third, TMT protocol is  
212submaximal, which would make it difficult to assess the prognostic importance of  
213exercise capacity.

214 Our study demonstrates that an integrated analysis was useful to predict Mis  
215and silent Mis in the Rancho Bernardo cohort. The higher number of abnormal  
216findings was well correlated with increased risk for Mis and silent Mis. Participants  
217with three or more abnormal findings had more than a 7-fold increased risk for Mis  
218compared to those without abnormal findings, which clearly shows that these  
219parameters provide further information to predict future Mis and silent Mis.

220 To our knowledge, this is the first paper to show the predictive value of  
221integrated analysis of sub-maximal TMT for Mis and, to a lesser degree, silent Mis, in  
222healthy, community-dwelling older adults followed for up to 27 years.

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228Pharmaceuticals. This financial support does not represent a conflict of interest.

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281

282

283Figures

284Figure 1. Summary of study population (CHD—coronary heart disease; Mis—  
285myocardial ischemia).

286Figure 2. Myocardial ischemia event free survival probability per (A) ST change, (B)  
287inability to achieve target heart rate, (C) abnormal heart rate recovery, and (D)  
288chronotropic incompetence.

289Figure 3. Silent myocardial ischemia event free survival probability per (A) ST  
290change, (B) inability to achieve target heart rate, (C) abnormal heart rate recovery,  
291and (D) chronotropic incompetence.