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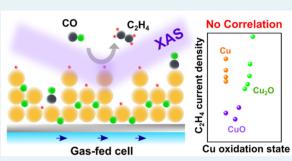
Research Article

## <sup>1</sup> Correlating Oxidation State and Surface Area to Activity from <sup>2</sup> Operando Studies of Copper CO Electroreduction Catalysts in a Gas-<sup>3</sup> Fed Device

<sup>4</sup> Soo Hong Lee,<sup>#</sup> Ian Sullivan,<sup>#</sup> David M. Larson, Guiji Liu, Francesca M. Toma, Chegxiang Xiang,\* <sup>5</sup> and Walter S. Drisdell\*



6 ABSTRACT: The rational design of high-performance electrocatalysts 7 requires a detailed understanding of dynamic changes in catalyst 8 properties, including oxidation states, surface area, and morphology 9 under realistic working conditions. Oxide-derived Cu catalysts exhibit a 10 remarkable selectivity toward multicarbon products for the electro-11 chemical CO reduction reaction (CORR), but the exact role of the oxide 12 remains elusive for explaining the performance enhancements. Here, we 13 used *operando* X-ray absorption spectroscopy (XAS) coupled with 14 simultaneous measurements of the catalyst activity and selectivity by gas 15 chromatography (GC) to study the relationship between oxidation states 16 of Cu-based catalysts and the activity for ethylene  $(C_2H_4)$  production in



17 a CO gas-fed cell. By utilizing a custom-built XAS cell, oxidation states of Cu catalysts can be probed in device-relevant settings and 18 under high current densities (>80 mA cm<sup>-2</sup>) for the CORR. By employing an electrochemical oxidation process, we found that the 19 Cu oxidation states and specific ion species do not correlate with  $C_2H_4$  production. The difference in the CORR activity is also 20 investigated in relation to electrochemical surface area (ECSA) changes. While the hydrogen evolution reaction (HER) activity is 21 positively correlated to the ECSA changes, the increased  $C_2H_4$  activity is not proportional to the ECSA. *Ex situ* characterization from 22 microscopic techniques suggests that the changes in the  $C_2H_4$  activity and selectivity may arise from a morphological transformation 23 that evolves into a more active structure. These comprehensive results give rise to the development of a cell regeneration method 24 that can restore the performance of the Cu catalyst without cell disassembly. Our study establishes a basis for the rational design of 25 highly active electrocatalysts for broad-range reactions in a gas-fed device.

26 **KEYWORDS**: operando X-ray absorption spectroscopy, electrochemical CO reduction, oxide-derived copper electrocatalyst, 27 gas diffusion electrode, oxidation state

#### 28 INTRODUCTION

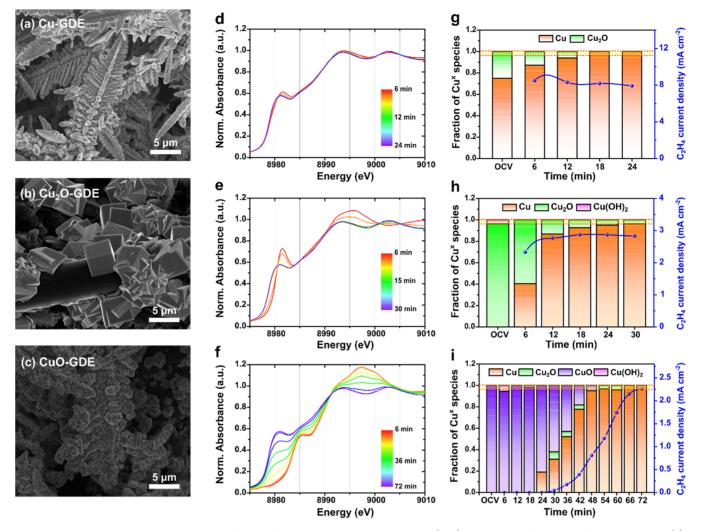
29 The electrochemical carbon monoxide and carbon dioxide 30 reduction reactions (CORRs and CO<sub>2</sub>RRs, respectively) are 31 promising strategies to convert waste emissions into valuable 32 chemical feedstocks, such as synthesis gas, hydrocarbons, and 33 oxygenates.<sup>1-4</sup> The CORR process is specifically interesting 34 because it can be part of a tandem catalysis system, in which 35 the first catalytic reaction converts CO<sub>2</sub> into CO<sub>2</sub> and the 36 second catalytic reaction converts CO into higher-order 37 reduction products such as ethanol or ethylene.<sup>5,6</sup> By 38 leveraging the efficient and selective first two-electron, two-39 proton process from CO<sub>2</sub> to CO, analyses show that the 40 optimal solar-to-fuel conversion efficiency of a tandem catalysis 41 system was higher than that of the direct CO<sub>2</sub>RR system at all 42 cathodic overpotential and faradaic efficiency (FE) combina-43 tions.<sup>7</sup> In the CORR process, copper-based materials are the 44 only electrocatalysts that can produce more reduced hydro-45 carbons and oxygenates due to the optimal Cu-CO binding strength.<sup>8,9</sup> For lower activation overpotentials and increased 46 FEs, Cu-based catalysts have been tuned via nanostructuring,<sup>10</sup> 47 modifying the electrochemical surface area (ECSA),<sup>11</sup> or 48 through the introduction of a second metal.<sup>12,13</sup> In particular, 49 oxide-derived Cu (OD-Cu) prepared from the oxidative 50 treatment of polycrystalline Cu resulted in high CO reduction 51 selectivity toward multicarbon oxygenates at modest poten-52 tials.<sup>14</sup> Although the high CO reduction activity was correlated 53 to surface sites that bind CO strongly,<sup>15,16</sup> the detailed 54 mechanism for catalytic enhancement and surface structure 55 during CORR remains elusive. 56

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**Figure 1.** Catalyst structural characterization and *operando* XANES spectra during CORR. (a-c) SEM images of the as-synthesized Cu-GDE (a), Cu<sub>2</sub>O-GDE (b), and CuO-GDE (c). (d-f) Temporal changes of Cu K-edge XANES spectra of Cu-GDE (d), Cu<sub>2</sub>O-GDE (e), and CuO-GDE (f) acquired during the CORR at -2.2 V versus Ag/AgCl. (g-i) The calculated fraction of Cu oxidation states of Cu-GDE (g), Cu<sub>2</sub>O-GDE (h), and CuO-GDE (i) from a LCF analysis with respect to the reaction time. GC measurements for the C<sub>2</sub>H<sub>4</sub> partial current density (blue traces) were synchronized with XANES measurements and collected every 6 min. The yellow shaded region represents the estimated error range of up to 4% in the LCF analysis. The data shown in panels g, h, and i are derived from XANES spectra in panels d, e, and f, respectively.

To understand the origin of the superior catalytic activity of 57 58 OD-Cu in comparison to polycrystalline Cu, previous studies 59 focused on structural transformations during oxidation and 60 subsequent in situ reduction.<sup>17,18</sup> This oxidation-reduction <sup>61</sup> process increases the surface roughness, which can create high <sup>62</sup> densities of grain-boundaries.<sup>19</sup> The grain-boundary surface 63 terminations showed a selective increase in the CO<sub>2</sub>RR activity <sup>64</sup> but not for the parasitic hydrogen evolution reaction (HER).<sup>2</sup> 65 This observation triggered a detailed mechanistic question of 66 whether the activity enhancement comes from an increase in 67 the number of active surface sites or an increase in the intrinsic 68 activity of a specific active site. An extensive effort for 69 investigating the active site has concentrated on detecting Cu<sup>+</sup> 70 and subsurface oxides while under operation, as theoretical 71 calculations suggest that these species can improve the kinetics and thermodynamics of CO dimerization.<sup>21</sup> The presence of 72 Cu<sup>+</sup> and subsurface oxygen under highly reductive conditions 73 74 was confirmed by microscopic and spectroscopic techni-75 ques,<sup>22,23</sup> but most of these studies did not run the CORR 76 or CO<sub>2</sub>RR, so it is unclear if catalytic environments during the 77 measurements are relevant to the actual catalytic reaction. The

experiments also relied on *ex situ* or quasi *in situ* tools that 78 cannot exclude the possible rapid reoxidation of OD-Cu before 79 measurement.<sup>24,25</sup> Moreover, in many studies of the highly 80 active Cu-based catalysts, the explanation for high performance 81 depends on simple confirmation of  $Cu^+$  or oxygen content, 82 with no proof of whether they are involved in the catalytic 83 reaction or not. These uncertainties hinder the development of 84 general relationships between the catalyst structure and 85 activity. 86

In order to investigate oxidation states of Cu catalysts during 87 the CORR, previous studies utilized *operando* XAS cells that 88 are modified from an existing high-performance cell 89 design.<sup>26,27</sup> These cells, however, typically operate at total 90 current densities of up to 5 mA cm<sup>-2</sup>, much lower than the 91 operating conditions for catalyst performance measurements, 92 which can exceed 100 mA cm<sup>-2</sup>.<sup>28</sup> The *operando* conditions are 93 not necessarily representative because the surface of the 94 catalyst and local environments are known to be very sensitive 95 to changes in the reaction rate and cell configurations.<sup>29</sup> The 96 structural information obtained in such controlled conditions 97 often ignores CO mass transport limitations and may not 98

99 represent the real oxidation states under practical operating 100 conditions. Although the gas-diffusion layer (GDL)-based cell 101 setup has been explored to overcome the mass transport <sup>102</sup> limitations,<sup>30</sup> a careful evaluation of the CORR activity and 103 selectivity in the modified operando cell is still needed to 104 guarantee that the observed catalyst structure represents the 105 real situation under operating conditions.<sup>31,32</sup> By considering 106 these circumstances, we set our research goals as follows. First, 107 investigating the direct relationship between oxidation states 108 and the CORR performance using a well-configured operando 109 XAS cell is required to understand the exact roles of oxide 110 phases. Of particular interest is the oxidation state of a catalyst 111 under high current densities without the depletion of CO 112 molecules. Second, we set out to determine if dynamic changes 113 in the catalyst surface area can influence C<sub>2+</sub> selectivity and 114 activity. Addressing these goals is required to formulate the 115 general design principles for active CORR electrocatalysts.

Herein, we report a time-resolved operando study on the 116 117 effect of oxidation states on the CORR performance by XAS 118 and online GC, which allows for the simultaneous monitoring 119 of the chemical valence state and product selectivity. We 120 prepared three types of Cu catalysts with different oxidation 121 states and introduced an electrochemical oxidation process, 122 which enabled us to investigate the relationship between 123 oxidation states and C-C coupling. By utilizing a modified gas-124 diffusion electrode (GDE) cell and an extensive fitting analysis, 125 we demonstrated that the oxidation states of Cu catalysts 126 during the CORR do not correlate with ethylene production 127 under high reaction rates (>80 mA  $cm^{-2}$ ). We also found that 128 an increase in the number of active sites estimated by the 129 electrochemical surface area (ECSA) was not proportional to 130 the  $C_2H_4$  yield. The post-mortem microscopic characterization 131 indicated that the increased CORR performance may be due to 132 morphological transformations during the electrochemical 133 oxidation and its subsequent reduction that generate a more 134 active Cu structure. On the basis of our findings, we applied 135 this electrochemical oxidation process to restore both the 136 activity and selectivity for C<sub>2</sub>H<sub>4</sub> production and demonstrated 137 continuous operation over 6 regeneration cycles.

#### 138 **RESULTS AND DISCUSSION**

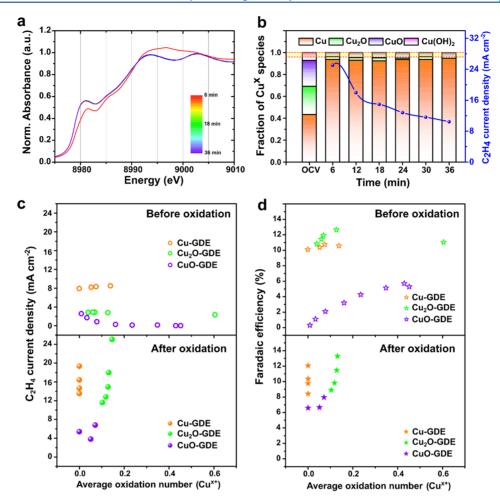
Synthesis and CORR Activity of Cu Catalysts in an 139 140 Operando XAS Cell. To determine the relationship between 141 oxidation states and the CORR performance, we carefully 142 designed synthetic protocols to synthesize three catalysts with 143 three different oxidation states of Cu. The crystalline structure 144 and morphology of the three as-prepared Cu catalysts were 145 confirmed by X-ray powder diffraction (XRD) and scanning 146 electron microscopy (SEM). The XRD analysis indicated that 147 Cu<sub>2</sub>O-GDE and CuO-GDE show good agreement with 148 characteristic Cu<sub>2</sub>O and CuO peaks, respectively (Figure 149 S1). The Cu-GDE not only had peaks that matched with 150 metallic Cu but also had a small amount of Cu<sub>2</sub>O due to the 151 spontaneous oxidation in ambient air. In SEM images, both 152 Cu-GDE and CuO-GDE show dendritic structures with sizes 153 ranging 5–10  $\mu$ m. Cu-GDE exhibited a sharper dendritic 154 structure, while CuO-GDE exhibited more rounded tips 155 (Figure 1a and 1c). The Cu<sub>2</sub>O-GDE exhibited a cubic-shaped 156 morphology with a size of less than 10  $\mu$ m (Figure 1b).

For evaluating the electronic structure and oxidation states the CORR, we used minimal modifications to an existing gas-fed cell, incorporating an X-ray transmissive window to accommodate *operando* XAS measurements (Figure

S2). The gas-fed cell is based on a hybrid catalyst-bonded 161 membrane device that exhibited a total operating current 162 density of up to 87 mA cm<sup>-2</sup> at -2.0 V (vs Ag/AgCl; hereafter, 163 all voltage is versus Ag/AgCl).<sup>33</sup> We first characterized the 164 CORR activity and selectivity of the Cu-GDE in the operando 165 XAS cell to verify the effects of cell modification on the catalyst 166 performance. The total current density reached 80 mA cm<sup>-2</sup> at 167 -2.2 V, and its trend followed an exponential increase for the 168 potential window of -1.6 V to -2.2 V, demonstrating 169 sufficient CO mass transport over these potential ranges 170 (Figure S3a and S3b). The FE for  $C_2H_4$  and  $H_2$  generation 171 reached ~11 and ~79% at -2.2 V, respectivel. (Figure S3c). 172 Compared to the CORR performance in the original gas-fed 173 cell, we found slight differences in the calculated FE for  $C_2H_4$  174 selectivity (decreased from 18 to 11%) and for H<sub>2</sub> (increased 175 from 51 to 79%) in the operando XAS cell. We ascribe these 176 changes to the modified parts that may prevent the pressure 177 buildup necessary to reduce water flooding (Figure S3d).<sup>34</sup> 178 However, the operando XAS cell maintained similar total 179 operating current densities ( $\sim$ 80 mA cm<sup>-2</sup>) compared to the 180 original cell, which allowed us to observe actual states of the 181 catalyst structure under realistic operating conditions. During 182 the operando XAS measurements, we were only able to detect 183 gas products, including H<sub>2</sub> and C<sub>2</sub>H<sub>4</sub>, because space 184 constraints in the beamline hutch did not allow us to sample 185 the liquid products in real-time. The corresponding operando 186 Cu K-edge XAS was obtained after a potential hold of 1 h. The 187 XANES analysis shows that the Cu-GDE was a metallic Cu<sup>0</sup> 188 phase after 1 h for all applied potentials except the potential at 189 -1.6 V (Figure S4a and S4b). The EXAFS analysis indicates 190 that all the samples have a prominent Cu-Cu scattering peak 191 at 2.2 Å across a range of the applied potentials, which is 192 indicative of the metallic Cu<sup>0</sup> phase. (Figure S4c). These data 193 also demonstrated that performing operando XAS on Cu 194 catalysts with different oxidation states enables us to 195 systemically validate the contribution of oxidation states to 196 the CORR catalytic activity of Cu-based materials in the gas- 197 fed cell. 198

Probing Oxidation States of Cu Catalysts by 199 Operando XAS. To investigate the Cu valence fraction in 200 real-time during the CORR, we performed time-resolved XAS 201 measurements of three Cu catalysts under the CORR 202 operating conditions. We applied the potential of -2.2 V 203 because it exhibited the highest FE for ethylene generation. 204 During the operando XAS measurements, gas products were 205 characterized by using on-line GC, and the GC and XAS 206 measurements were synchronized such that both collected data 207 every 6 min. (Figure S5). Other gaseous products such as 208 methane and ethane exhibited negligible FEs during the bulk 209 electrolysis (<0.2%). For the quantitative analysis of the Cu 210 oxidation states, a linear combination fitting (LCF) was 211 employed using a set of pure-valence references (Figure 212 S6a).<sup>35</sup> Compared to the reference spectra, the observed 213 XANES spectra presented a lower amplitude due to the 214 overabsorption effect (Figure S6b). All the spectra were 215 corrected for overabsorption by using a simple model (Table 216 S1).<sup>36</sup> By using the synchronized measurements of GC and 217 XAS with rigorous overabsorption correction and LCF, the 218 operando XAS cell and analysis provided a useful platform to 219 study the correlation between the catalyst oxidation states and 220 catalytic performance for the CORR. 221

We tracked the change of XANES spectra for three Cu  $^{222}$  catalysts overtime under the fixed potential of -2.2 V (Figure  $^{223}$ 



**Figure 2.** Operando XANES spectra during the CORR after electrochemical oxidation. (a) Temporal changes of Cu K-edge XANES spectra of Cu<sub>2</sub>O-GDE after applying an anodic potential at 1.5 V versus Ag/AgCl for 5 min. After 6 min, every spectrum overlaps with each other. (b) The calculated fraction of the Cu oxidation states of Cu<sub>2</sub>O-GDE after electrochemical oxidation. The yellow shaded region represents the estimated error range of up to 4% in the LCF analysis. (c)  $C_2H_4$  partial current density and (d) faradaic efficiency of Cu catalysts as a function of Cu oxidation states before and after electrochemical oxidation. In terms of the CORR activity ( $C_2H_4$  partial current density) and selectivity (faradaic efficiency), there is no correlation to the Cu oxidation states.

224 1d-1f). Repeated XANES spectra were collected until no 225 further changes were observed. In the case of Cu-GDE and 226 Cu<sub>2</sub>O-GDE, the Cu<sup>+</sup> reduced to metallic Cu<sup>0</sup> within 20 min, 227 and the C2H4 production was detected in the first GC measurement at 6 min (Figures 1g and 1h). Interestingly, 228 CuO-GDE exhibited no detectable  $C_2H_4$  product until the 229 230 CuO phase began to reduce to the metallic Cu<sup>0</sup> phase at 24  $_{231}$  min (Figure 1i). The trend of  $C_2H_4$  partial current densities 232 followed the evolution of the metallic Cu<sup>0</sup> phase and showed the maximum value after reducing all the residual oxide into 233 Cu<sup>0</sup>. This result suggests that CuO itself is inactive for the 234 235 reduction reaction of CO molecules, and C-C coupling occurs 236 only at the Cu<sup>0</sup> surface. Our observations were also in agreement with previously reported oxide-containing Cu 237 catalysts that exhibited high C<sub>2</sub>H<sub>4</sub> activity after a preactivation 238 239 step.<sup>30,37</sup>

It is important to note that all the Cu catalysts reduced to to the metallic Cu<sup>0</sup> states during the CORR regardless of their initial via oxidation states. Although the LCF analysis of Cu<sub>2</sub>O-GDE shows  $\sim$ 3.7% Cu<sup>+</sup> remaining in the Cu<sub>2</sub>O-GDE after 30 min, this amount of residual oxides is within the error range of a LCF analysis. To estimate the error range in the LCF analysis, we introduced an empirical method by using normalized sumsquares (NSS) as a best-fit criterion (Figure S7). In this 247 respect, we conclude that the accuracy for the determination of 248 Cu oxidation states via the LCF analysis is within 3–4%. 249

Correlation between Oxidation States and CORR 250 Performance. Previous studies have claimed efficient C-C 251 coupling during the CO2RR from surface or subsurface Cu 252 oxide species, which formed via an electrochemical oxidation 253 process.<sup>18,38,39</sup> During the CO<sub>2</sub>RR, the residual oxygen was 254 mainly located in an amorphous 1-2 nm thick layer within the 255 Cu subsurface.<sup>23</sup> Accordingly, we aimed in the next step to 256 investigate the effects of surface oxide species on the CORR 257 performance by applying an anodic potential to all the reduced 258 Cu catalysts. The generation of the surface oxide structure was 259 based on a previous study showing that copper oxide starts to 260 grow at the surface at sufficiently high positive potentials in 261 alkaline solutions.<sup>40</sup> A highly positive potential of 1.5 V was 262 applied for 5 min to the Cu catalysts that had already 263 converted into metallic Cu<sup>0</sup> states after the previous CORR. 264 After the anodic oxidation, the XANES spectra show oxide 265 features, and the LCF analysis reveals that copper oxides and 266 hydroxides were formed in all Cu catalysts up to 60% (Figures 267 f2 2 and S8). We then performed operando XANES measure- 268 f2 ments and evaluated the CORR performance simultaneously at 269

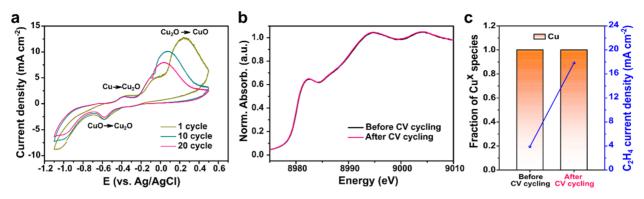


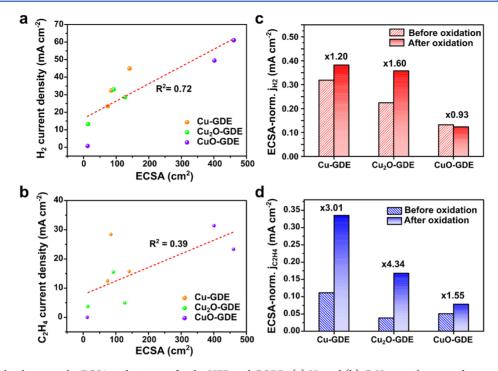
Figure 3. Electrochemical oxidation by using CV cycling. (a) CV curves of Cu-GDE recorded after electrolysis at -2.2 V versus Ag/AgCl. The anodic peaks related to the Cu oxidation into Cu(I) and Cu(II) and reduction are indicated. (b) *Operando* Cu K-edge XANES spectra of Cu-GDE before and after CV cycling. (c) The calculated fraction of Cu oxidation states before and after CV cycling and the corresponding C<sub>2</sub>H<sub>4</sub> partial current densitie.

270 a fixed potential of -2.2 V. Unlike the previous CORR results 271 before the anodic oxidation (Figure 1g-1i), most of the 272 surface oxide and hydroxide species in all Cu catalysts were 273 quickly reduced into metallic Cu<sup>0</sup> within the time resolution of 274 the XANES measurements (<6 min) (Figures 2a and S8). The 275 result suggests that the surface oxides are generally much easier 276 to reduce than the initial oxides in the Cu catalysts. Moreover, 277 similar features in the CORR selectivity and activity were observed through all the Cu catalysts. First, the total current 278 279 densities of all the catalysts were increased after the anodic 280 oxidation process, leading to significant enhancements of the partial current densities for both  $C_2H_4$  and  $H_2$  (Figure S9). In 281 282 comparison to that of the initial Cu catalysts before the anodic oxidation treatment,  $FE_{C_{2}H_{4}}$  increased and  $FE_{H_{2}}$  decreased in all 283 284 Cu catalysts. Second, the enhanced partial current densities of 285 C<sub>2</sub>H<sub>4</sub> in all the Cu catalysts rapidly declined as the CORR 286 proceeded.

Interestingly, the LCF analysis of Cu<sub>2</sub>O- and CuO-GDE 287 288 demonstrates that residual oxide species ( $\sim$ 5%) remained after 289 further reaction time (Figures 2b and S8d). Although this 290 amount of residual oxide is quite close to the error margins of 291 the LCF analysis, its presence and persistence during the 292 reaction have been regarded as the promoter for a C-C 293 coupling.<sup>24,41</sup> We hypothesize that there could be an optimal 294 oxidation state value or a linear correlation between the 295 oxidation states and CORR performance if the residual oxide 296 plays a role in the catalytic reaction. To verify this hypothesis 297 and explore the relationship between oxidation states and 298 CORR performance, we link the average oxidation number 299 calculated from the LCF analysis and the CORR activity (vs 300 C<sub>2</sub>H<sub>4</sub> partial current density, Figure 2c) and selectivity (vs 301 FE<sub>C2H4.</sub> Figure 2d) before and after the anodic oxidation 302 process. However, the oxidation states show no apparent 303 correlation with the activity or selectivity. The contribution of each Cu ion species, including Cu<sup>0</sup>, Cu<sup>+</sup>, and Cu<sup>2+</sup>, to the 304 CORR activity and selectivity was also investigated, but no 305 306 obvious trend was observed (Figure S10). Although hard XAS 307 measurements at the Cu K-edge contains bulk-sensitive information and the LCF analysis cannot exclude the possible 308 existence of residual oxides lower than 4%, this result is in 309 310 contrast to the previous studies that utilized in situ XAS 311 cells.<sup>24,42</sup> Our findings highlight the importance of a catalyst 312 performance evaluation for an operando cell and the direct 313 linkage between the observed XAS spectra and catalyst 314 performance measured simultaneously.

We also tested the steady-state CV cycling method to 315 regenerate the surface oxide on the Cu catalyst. This mild 316 oxidative-reductive process in alkaline solutions has been used 317 to induce step-wise reconstruction into a Cu(511) surface that 318 catalyzes the conversion of CO molecules into ethanol.<sup>43</sup> The 319 CV cycling method, with a cycling potential between -1.1 and  $_{320}$ 0.5 V for 20 cycles, was applied to the Cu-GDE that was 321 already reduced into metallic Cu<sup>0</sup>. The CV data indicate that 322 Cu<sup>+</sup> was formed at -0.4 V, and Cu<sup>2+</sup> was generated in the 323 range of 0.05-0.25 V, leading to the formation of oxidized  $_{324}$  copper (Figure 3a).<sup>40</sup> This formed oxide was reduced again  $_{325$  f3 between -0.6 V and -1 V, as shown by the reductive wave in 326 the CV. Operando XANES demonstrated that there was no 327 change in the spectra before and after CV cycling (Figure 3b), 328 and the LCF analysis confirmed that the two spectra indicate 329 purely metallic  $Cu^0$  states (Figure 3c). In contrast, the  $C_2H_4$  330 partial current densities were increased about 4 times higher 331 than those before the CV cycling (Figure 3c), consistent with 332 the results from the oxidative treatment method. This result 333 further supports our conclusion that the oxidation states of Cu 334 catalysts fail to explain the difference in the catalytic activity 335 and selectivity for the CORR in high current density 336 operations >80 mA cm<sup>-2</sup>. 337

Effects of Electrochemical Surface Area on CORR. The 338 lack of a correlation between the oxidation state of Cu catalysts 339 and CORR performance naturally points to another common 340 factor, ECSA. It has been previously reported that the ECSA- 341 normalized CORR activities of high and low surface area Cu 342 are comparable, leading to the conclusion that both catalysts 343 have a similar intrinsic activity.<sup>44</sup> The major difference in 344 selectivity between these Cu catalysts was attributed to the 345 lower intrinsic HER activity in high-ECSA catalysts due to 346 local elevation of the pH from the rapid consumption of 347 protons at high rates.<sup>11,45</sup> We investigated the role of the ECSA 348 on CORR performance enhancement to understand whether 349 the electrochemical oxidation can simply increase the number 350 of active sites, or create new, more efficient active sites. The 351 ECSAs of all the Cu catalysts were estimated by measuring the 352 electrochemical double-layer capacitance (EDLC, Figure S11), 353 which has been used to estimate a proxy for the wetted GDL 354 electrode area in the gas-fed CO<sub>2</sub> electrolyzers.<sup>46</sup> Note that 355 although the ECSA obtained by EDLC measurements could 356 contain contributions from both the Cu catalyst and GDL, our 357 deposition method (detailed in Experimental Section) is likely 358 to cover the majority of the electrolyte-accessible portion of 359



**Figure 4.** Relationship between the ECSA and activities for the HER and CORR. (a)  $H_2$  and (b)  $C_2H_4$  partial current densities of Cu catalysts as a function of the ECSA, estimated by EDLC. Normalized current densities by the ECSAs for each Cu catalyst for (c)  $H_2$  and (d)  $C_2H_4$  before and after electrochemical oxidation.

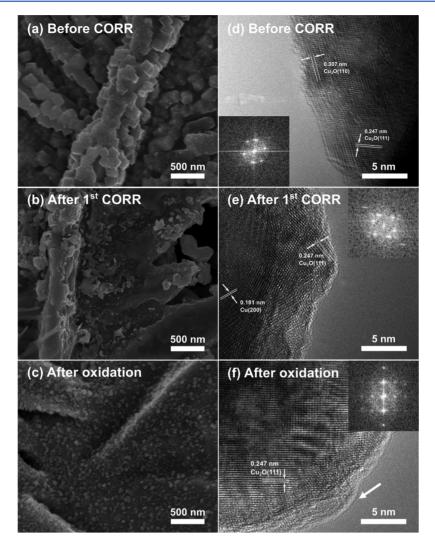
360 the GDL with the Cu catalyst. We therefore expect the EDLC 361 measurements to provide a good approximation of the catalyst 362 ECSA. The EDLC of the catalysts was measured at three 363 periods before and after CORR and after anodic oxidation in 364 the gas-fed cell (Table S2). All of the EDLCs of the Cu 365 catalysts increased during the CORR and slightly decreased 366 after anodic oxidation. The increase in EDLC during CORR 367 could arise from the accelerating electrolyte ingress into the 368 GDE. Such an increase in the EDLC is consistent with a 369 previous report, where the passage of the faradaic current was 370 found to lead to an increasing apparent hydrophilicity of a 371 GDL over time, resulting in water flooding.<sup>46</sup> Also, we 372 consistently observed salt crystallites on the catalyst layer in 373 all the Cu catalysts (Figure S12). As salt crystallites form, they 374 may draw water through the GDL via capillary forces, owing to their hygroscopic properties.47 375

On the basis of the above results, we measured partial 376 current densities for  $C_2H_4$  and  $H_2$  at the same points where the 377 ECSAs were obtained (Figure S13) and linked them to the 378 379 ECSA of the Cu catalysts. We note that the total FEs for all of 380 the Cu catalysts were less than unity because liquid products were measured from the anode side of the gas-fed cell where 381 oxidation of some products can occur.<sup>33</sup> We observe that the 382 ECSA value was positively correlated with the H<sub>2</sub> partial 383 current density ( $R^2 = 0.72$ , Figure 4a), suggesting that the 384 flooded electrolyte impeded the influx of CO gas to the catalyst 385 386 surface and promoted HER. Such enhanced water flooding and 387 higher rates of the HER were also observed in the operando 388 XAS cell compared to that of the gas-fed cell (Figure S14). 389 These results highlight that the characterization of the catalyst 390 performance in the operando XAS cell is critical in order to 391 guarantee the real operating condition of the CORR. The 392 ECSA-normalized partial current densities for H<sub>2</sub> increased 393 slightly or were similar before and after oxidation (Figure 4c), 394 indicating that the intrinsic activity for the HER was not

f4

affected by the anodic oxidation process. In contrast, there was 395 no linear correlation between the ECSA and  $C_2H_4$  partial 396 current densities ( $R^2 = 0.39$ , Figure 4b), and the ECSA- 397 normalized  $C_2H_4$  current densities significantly increased after 398 oxidation in all Cu catalysts (Figure 4d). Considering that 399 wetted surfaces estimated by the EDLC values were slightly 400 decreased after anodic oxidation, we explicitly exclude an 401 increase in the number of active sites for the CORR from the 402 reduction of the preoxidized catalyst as a cause of the increased 403 activity in the gas-fed cell. Therefore, we conclude that 404 electrochemical oxidation increases the  $C_2H_4$  activity and 405 selectivity by generating new, highly selective active sites for 406 C–C coupling. 407

Morphological Transformation of Cu Catalysts dur- 408 ing CORR. Our observations that neither the oxidation state 409 nor the ECSA are correlated with the activity and selectivity 410 suggest another catalyst property determining the CORR 411 performance in gas-fed cells. Since Cu has a small cohesive 412 energy and a high surface mobility, the surface of Cu 413 undergoes severe reconstruction when exposed to gaseous 414  $\rm CO^{48}$  or under the CORR conditions.<sup>49</sup> In particular, the 415 adsorption of CO into oxide-containing Cu catalysts invokes a 416 surface reconstruction in the form of nanoclusters or 417 fragmented structures that resulted in enhanced n-propanol 418 production during the CORR.<sup>30,37</sup> This CO-induced surface 419 reconstruction motivates us to investigate the relationship 420 between morphological changes and the CORR performance 421 of our Cu catalysts. To investigate the morphological evolution 422 during CORR, we collected post-mortem SEM (Figures 423 f5 5a-5c) and transmission electron microscopy (TEM) (Figures 424 fs 5d-5f) images of Cu-GDE before and after electrolysis and 425 electrochemical oxidation. The dendritic structure in the 426 pristine Cu-GDE was composed of nanocubes with a size 427 ranging 100–150 nm (Figure 5a) and a crystalline surface layer 428 (Figure 5d). The fast Fourier transform (FFT) pattern of the 429



**Figure 5.** Morphological transformation of Cu-GDE during the CORR. (a-c) SEM and (d-f) TEM images of Cu-GDE before and after electrolysis and subsequent electrochemical oxidation: (a and d) as-synthesized Cu-GDE before the CORR, (b and e) after first the CORR under -2.2 V versus Ag/AgCl taken at 60 min, (c and f) after applying the anodic potential of 1.5 V versus Ag/AgCl for 5 min. The white arrow indicates an amorphous surface layer on the newly formed nanoparticles after anodic oxidation. The insets of the TEM images indicate representative FFT analysis on a selected area to calculate the local *d*-spacing value.

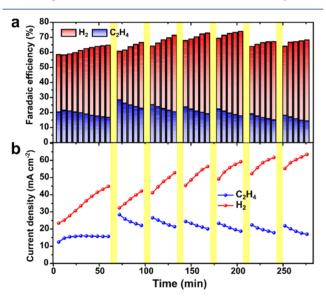
 $_{430}$  nanocube showed Cu<sub>2</sub>O(111) and (110) phases, but addi-431 tional CuO phase patterns were also observed due to the 432 surface oxidation in ambient conditions during the ex situ TEM 433 analysis (Figure S15). After the initial CORR proceeded for 60 434 min, the nanocubes were no longer observed, having coalesced 435 into a smooth surface (Figure 5b). The TEM image showed 436 that the crystalline surface was still maintained even after 437 CORR (Figure 5e). This result is in agreement with a 438 degradation mechanism induced by either H- or CO-439 adsorbates under highly negative potentials.<sup>50</sup> After applying 440 a positive potential of 1.5 V for 5 min, the Cu surfaces became 441 covered with nanoparticles ranging in size from 50 to 80 nm 442 (Figure 5c). The nanoparticles had an amorphous surface structure resulting from anodic oxidation treatment (Figure 443 444 5f). As the second period of the CORR proceeded, the newly 445 formed nanoparticles also coalesced into a flat and smooth 446 surface (Figure S16). A similar trend of coalescence during the 447 CORR and subsequent formation of nanoparticles during 448 anodic oxidation was also observed for both Cu<sub>2</sub>O- and CuO-449 GDE (Figure S17), suggesting the generality of this

morphological transformation irrespective of the initial 450 oxidation states and morphology. 451

This finding suggests a hypothesis regarding the catalyst 452 properties that determine the CORR activity and selectivity in 453 the gas-fed cell. At the early stage of the electrolysis, the lower 454 wetted surface area and larger particle size compared to those 455 after anodic oxidation are likely to hinder the generation of Cu 456 active sites by restricting the morphological transformation of 457 the catalysts. Moreover, the coalescence of nanostructures into 458 smooth surfaces as the CORR proceeds can also limit the 459 availability of active sites during CORR, leading to a decrease 460 of C<sub>2</sub>H<sub>4</sub> partial current densities as a function of time in all Cu 461 catalysts. After anodic oxidation, the enhancements of the 462 C<sub>2</sub>H<sub>4</sub> activity and selectivity may result from the formation of 463 oxide nanoparticles at the surface and their rapid reduction, 464 which could create a more active Cu structure. A reconstructed 465 Cu structure is consistent with previous studies demonstrating 466 that the electrochemical oxidative-reductive process generates 467 selective active sites for C-C coupling.<sup>43,51</sup> While we cannot 468 rule out a small fraction of the oxidized Cu below the error 469 range of our LCF analysis, these post-mortem measurements 470

471 suggest that the control over the morphological transformation 472 during catalysis may be a key parameter for achieving high 473 catalytic activities in a practical CO electrolyzer.

Restoration of Cell Performance by Electrochemical 474 475 Oxidation. Inspired by the above results, we explored the 476 possibility of restoring the cell performance through an anodic 477 oxidation process. We first measured the gaseous and liquid 478 products before and after oxidation in the gas-fed cell (Figure 479 S17). Remarkably, we note that applying 0.1 V during the 480 oxidative step was enough to enhance the C<sub>2</sub>H<sub>4</sub> activity, and  $_{\rm 481}$  only  $\rm FE_{C_{2}\rm H_{4}}$  was enhanced while the other  $\rm C_{2+}$  products were 482 similar after anodic oxidation (Table S3). The C<sub>2</sub>H<sub>4</sub> partial 483 current densities rapidly decreased over 30 min after anodic 484 oxidation, suggesting that the active sites are unstable under 485 high reaction rates with large overpotentials. We hypothesize 486 that the anodic oxidation can regenerate the stepped Cu active 487 sites from the sintered Cu surface, making it possible to 488 recover the activity periodically without any cell disassembly. 489 To this end, we operated the gas-fed cell at a fixed potential of 490 - 2.2 V for 1 h before applying 0.1 V for 5 min, followed by 491 alternating -2.2 V for 30 min and 0.1 V for 5 min (Figure 6).



**Figure 6.** Restoring the cell performance by applying anodic oxidation. (a) Faradaic efficiency and (b) partial current density for  $H_2$  (red) and  $C_2H_4$  (blue) of Cu-GDE as a function of repeated catalyst regeneration. During the catalyst regeneration periods (yellow region), the cell was treated by applying an anodic potential of 0.1 V for 5 min without cell disassembly.

<sup>492</sup> The first anodic oxidation increased the CORR activity (from <sup>493</sup> 15.7 to 28.4 mA cm<sup>-2</sup>) and selectivity (16.8 to 28.4%) toward <sup>494</sup>  $C_2H_4$  and suppressed  $H_2$  production, while the enhanced <sup>495</sup> performance degraded over 30 min. Subsequent anodic <sup>496</sup> oxidation cycles showed repeated degradation and recovery <sup>497</sup> of the  $C_2H_4$  current density. During this periodic cycling, the <sup>498</sup> initial current density and FE for  $C_2H_4$  were maintained even <sup>499</sup> after 6 h. However, both the current density and FE for  $H_2$ <sup>500</sup> increased over time. Previous studies showed that this was due <sup>501</sup> to electrolyte crossover from the anode chamber, which limited <sup>502</sup> CO transport to the flooded catalyst layer, indicating that <sup>503</sup> water management is important to suppress  $H_2$  production in <sup>504</sup> gas-fed cells.<sup>33,46</sup> To suppress the parasitic HER, strategies for <sup>505</sup> water management include integrating hydrophobic materials <sup>506</sup> (e.g., polytetrafluoroethylene) into the GDL and controlling the porous structure of both the catalyst layer and the GDL.<sup>34</sup> 507 Compared to the previous studies that include cell disassembly 508 to restore the performance,<sup>52,53</sup> *in situ* regeneration by periodic 509 anodic oxidation can provide a practical strategy to mitigate 510 gas-fed GDE cell degradation. 511

In conclusion, the effect of the oxidation state on the CORR 513 activity of oxide-derived Cu catalysts was investigated by using 514 simultaneous operando XAS and online GC measurements. 515 Combining data from the XANES fitting and synchronized 516 product analysis, we found that the C2H4 activity and 517 selectivity increased as the oxides were reduced to metallic 518 Cu<sup>0</sup>. By introducing an electrochemical oxidation process, 519 which improved the CORR performance, we successfully 520 proved that the oxidation states and specific ion species do not 521 correlate with the activity or selectivity of Cu catalysts. 522 Moreover, activities normalized by ECSA revealed that H<sub>2</sub> 523 production was proportional to the ECSA, while the 524 conversion of CO into C<sub>2</sub>H<sub>4</sub> was not affected by the ECSA 525 in the gas-fed cell. We also demonstrated that the modification 526 of the cell design to include an X-ray window for the operando 527 study could change the reaction environment, which indicates 528 the importance of the performance characterization in the 529 operando cell. Post-mortem microscopic investigations of 530 morphological changes suggest that the electrochemical 531 oxidation and its subsequent reduction may generate a more 532 active Cu structure, surpassing the original activity. This 533 electrochemical oxidation-reduction process was utilized as a 534 regeneration method that restored the original catalyst activity 535 and selectivity in the GDE cell without requiring cell 536 disassembly. On the basis of our comprehensive study, we 537 believe that our results motivate the rational design of catalysts 538 for commercial CO reduction systems. 539

#### EXPERIMENTAL SECTION

540

Synthesis of Cu Catalysts with Different Oxidation 541 States. Cu was electrodeposited on graphite-based GDLs 542 (denoted as Cu-GDE, Sigracet, 39AA) in an electrolyte 543 containing 0.15 M CuCl<sub>2</sub>·2H<sub>2</sub>O (99.99%, Alfa Aeasar), 1 M 544 HCl (37% ACS grade, Sigma-Aldrich), and 20% ethanol 545 (Koptek, 200 proof). Preferential deposition of Cu on one side 546 of the GDL was achieved by applying polyimide tape (Kapton) 547 to one side of the GDL and then immersing it in an electrolyte 548 bath. The cell consisted of the GDL as a working electrode, Cu 549 mesh as a counter electrode, and Ag/AgCl (saturated (sat.) 550 KCl) as a reference electrode. Then, -0.5 V versus Ag/AgCl 551 was applied for a total charge of 4.5 C cm<sup>-2</sup>. After deposition, 552 the resulting Cu-GDE was taken out of the solution and 553 dipped into deionized water several times to rinse the excess 554 electrolyte. After rinsing, the Kapton tape was removed from 555 the backside, and the electrode was dried in air. Cu<sub>2</sub>O was also 556 electrodeposited on GDLs (denoted as Cu<sub>2</sub>O-GDE, Sigracet, 557 39AA) in an aqueous electrolyte containing 0.2 M CuSO<sub>4</sub> and 558 3.0 M lactic acid. The pH was adjusted to 12, using a 559 concentrated NaOH solution. Depositions were performed in a 560 3-electrode configuration using the GDL as a working 561 electrode, Pt coil as a counter electrode, and Ag/AgCl (sat. 562 KCl) as a reference electrode. Then,  $-0.5 \text{ mA cm}^{-2}$  was 563 applied to the working electrode for 1.1 h at 60 °C. The rinsing 564 process was the same as that of Cu-GDE. To fabricate the 565 CuO on the GDL, Cu-GDEs were electrodeposited as above, 566 567 followed by oxidation in the air at 350 °C for 16 h in a muffle 568 furnace (denoted as CuO-GDE).

569 **Characterization.** A scanning electron microscope (SEM, 570 FEI Inc., NOVA NanoSEM 450) with an integrated energy-571 dispersive X-ray (EDX) spectrometer was used to analyze the 572 morphology and elemental composition, respectively. Images 573 were taken using an accelerating voltage of 15 kV. EDX images 574 were taken with an accelerating voltage of 15 kV. X-ray 575 diffraction (XRD) measurements were taken with a Bruker D8 576 Discover X-ray diffractometer using Cu K $\alpha$  radiation (1.54056 577 Å) in a Bragg–Brentano geometry. Diffraction images were 578 collected using a two-dimensional VÅNTEC-500 detector and 579 integrated into one-dimensional patterns using DIFFRAC.-580 SUITE EVA software. Transmission electron microscopy 581 (TEM) was performed using an F20 UT Tecnai (FEI) 582 microscope at an acceleration voltage of 200 kV.

Electrochemical Measurements. Electrochemical meas-583 584 urements were performed using a Biologic SP-300 model 585 potentiostat. The GDE served as a working electrode, Pt mesh 586 as a counter electrode, and Ag/AgCl (sat. KCl) as a reference 587 electrode. The custom GDE cell consisted of two plates that s88 sandwiched the Cu/Cu<sub>2</sub>O/CuO-GDE, anion exchange mem-589 brane (FAA-3-50, Fumatech), and Pt mesh anode and was 590 tightened with external screws. Then, 1.0 M KOH was 591 circulated through the anode chamber, which also contained 592 the reference electrode, as described earlier.<sup>33</sup> All measure-593 ments were performed at room temperature (25 °C) and pressure (1 atm). The GDE cell was allowed to equilibrate at 594 595 OCV conditions until the OCV measured -1.0 V, typically 1 596 h. EIS measurements were taken at OCV conditions using a 597 range of frequencies of 1 MHz to 0.5 Hz and an amplitude of 598 10 mV.

Product Analysis. Gas products were measured with 599 600 online gas chromatography (GC, customized SRI instruments 601 Model 8610C) every 6 min. A thermal conductivity detector  $_{602}$  (TCD) was used to detect H<sub>2</sub>, while a flame ionization 603 detector (FID) was used to detect CH<sub>4</sub>, C<sub>2</sub>H<sub>4</sub>, and C<sub>2</sub>H<sub>6</sub> 604 products. A parallel column configuration was employed using 605 a molecular sieve with a 5 Å column for H<sub>2</sub>, O<sub>2</sub>, N<sub>2</sub>, and CO 606 separation and a Haysep D column to separate CH<sub>4</sub>, CO, CO<sub>2</sub>,  $_{607}$  C<sub>2</sub>H<sub>4</sub> and C<sub>2</sub>H<sub>6</sub>. An isothermal method was used with an oven 608 temperature of 110 °C, a TCD temperature of 105 °C, a FID 609 temperature of 100 °C, and an injection valve of 60 °C. The Ar 610 carrier gas was set to 20 psi, H<sub>2</sub> methanizer gas set to 20 psi, 611 and air pump set to 5 psi. Liquid products were analyzed from 612 the anode side of the cell with high-performance liquid 613 chromatography (HPLC, Dionex UltiMate 3000). The eluent 614 was 1 mM  $H_2SO_4$  in water with a flow rate of 0.6 mL min<sup>-1</sup> 615 and a column pressure of 76 bar. The column was an Aminex 616 HPX 87-H from Biorad, held at 60 °C with an internal heater. 617 The detector was a UV detector set to 250 nm. The injection 618 volume was 10  $\mu$ L. For the determination of liquid products 619 over time, 0.5 mL of anolyte was taken from the anolyte 620 reservoir every 6 min, in conjunction with GC measurements. 621 The faradaic efficiency (FE) was calculated as follows: FE = e $622 \times F \times n/Q = e \times F \times n/(I \times t)$ , where e is the number of 623 electrons transferred, F is the Faraday constant, Q is the 624 charge, I is current, t is the running time, and n is the total 625 amount of product (in moles).

626 **Operando X-ray Absorption Spectroscopy and Data** 627 **Analysis.** Operando X-ray absorption spectroscopy (XAS) 628 measurements were conducted at the Stanford Synchrotron 629 Radiation Lightsource (SSRL) on beamline 7-3 at the Cu K edge. The operando experiments were performed under CO gas 630 conditions using a GDE cell setup identical to the one used for 631 evaluating the CORR,33 with a slight modification of a 632 polyimide (Kapton) window to allow for X-ray penetration on 633 the vapor side and with the cell positioned at 45° from the 634 incident X-ray beam. The X-ray energy was tuned by a Si 635 (220) double-crystal monochromator, and the intensity of the 636 incident X-rays  $(I_0)$  was monitored by an Ar-filled ion chamber 637 in front of the GDE cell. Data were collected as fluorescence 638 excitation spectra at room temperature using a Ge 30 element 639 detector (Canberra). The data analysis of the Cu K edge X-ray 640 absorption near-edge spectroscopy (XANES) and extended X- 641 ray absorption fine structure (EXAFS) spectra was performed 642 using the Athena software package.<sup>54</sup> Pre-edge and post-edge 643 backgrounds were subtracted from the XAS spectra, and the 644 resulting spectra were normalized by the edge height. For the 645 EXAFS spectra, three consecutive scans were averaged to 646 increase the signal-to-noise ratio, and data reduction was 647 performed with Athena software. The procedures used for the 648 overabsorption correction and linear combination fitting 649 (LCF) analysis are described in detail in Supporting 650 Information. 651

**Electrochemical Oxidation.** Potentiostatic electrochem-  $_{652}$  ical oxidation of the GDE films was performed by applying 1.5  $_{653}$  or 0.1 V for 5 min after bulk electrolysis (-2.2 V, 1 h). After 5  $_{654}$  min of oxidation, the cell was allowed to equilibrate at OCV  $_{655}$  for 1 min, followed by second bulk electrolysis at -2.2 V.  $_{656}$  Cyclic voltammetry (CV) was performed from -1.1 to 0.5 V at  $_{657}$  a scan rate of 50 mV s<sup>-1</sup> for 20 cycles, with the scan ending at  $_{658}$  0.5 V.

**Electrochemical Active Surface Area (ECSA) Measure-** 660 **ments.** The electrochemically active surface area (ECSA) was 661 determined from the double-layer capacitance of the films in a 662 non-faradaic potential range, typically  $\pm$ 50 mV of the open- 663 circuit voltage (OCV). CV scans were performed at scan rates 664 of 10, 20, 50, 100, and 200 mV s<sup>-1</sup>, with the potential held at 665 each vertex for 10 s before the next scan. The double-layer 666 capacitance (*C*<sub>dl</sub>) is given by the following equation: 667

$$i_{\rm c} = \nu C_{\rm dl}$$

where  $i_c$  is the charging current (mA) and  $\nu$  is the scan rate (V 668 s<sup>-1</sup>). The charging current was plotted as a function of the scan 669 rate, with the slope equal to  $C_{dl}$ . The ECSA was then calculated 670 using the following equation: 671

$$ECSA = \frac{C_{dl}}{C_s}$$

where  $C_s$  is the electrolyte-dependent solution capacitance. We 672 chose to assume a  $C_s$  value of 0.04 mF cm<sup>-2</sup>, as previously 673 described.<sup>55</sup> 674

#### ASSOCIATED CONTENT 675

#### **Supporting Information**

The Supporting Information is available free of charge at 677 https://pubs.acs.org/doi/10.1021/acscatal.0c01670. 678

Sample characterizations (XRD), electrochemical meas- 679 urements (electroactive surface area, faradaic efficiencies 680 for all products), and XAS analyses are provided (PDF) 681

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