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Safety Testing of Ovaprene: an Investigational Non-Hormonal Monthly Vaginal Contraceptive

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1 **Safety Testing of Ovaprene: an Investigational Non-Hormonal Monthly**  
2 **Vaginal Contraceptive**

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47 **ABSTRACT**

48 **Objective:** Evaluate safety of Ovaprene, an investigational non-hormonal vaginal  
49 contraceptive designed for monthly use.

50 **Study design:** Open-label, multicenter study enrolling heterosexually-active  
51 women with previous permanent contraception who underwent assessments during  
52 five menstrual cycles: baseline postcoital test cycle, diaphragm postcoital test  
53 cycle, Ovaprene safety cycle, and two Ovaprene postcoital test cycles. Safety  
54 outcomes included treatment emergent adverse events (TEAEs), systemic  
55 laboratory findings, pelvic examinations, colposcopies, Nugent scores,  
56 determination of community state types of vaginal microbiota, and anti-*Escherichia*  
57 *coli* activity and inflammatory markers in cervicovaginal fluids.

58 **Results:** We enrolled 38 participants. Of these, 33 used Ovaprene and completed  
59 77 Ovaprene cycles. The most common product-related urogenital TEAEs were  
60 bacterial vaginosis (BV) and vaginal odor. The frequency of transitioning from  
61 *Lactobacillus*-dominated community state type to community state type IV (not  
62 *Lactobacillus*-dominated) was similar before Ovaprene use and afterwards. Mean  
63 Nugent scores were <4 at each visit without a discernable upward trend.  
64 Inflammatory markers showed wide variation but no upward trend, and *E. coli*  
65 inhibitory activity of cervical secretions did not change. We found no  
66 *Staphylococcus aureus*, the causative agent in Toxic Shock Syndrome, on used  
67 Ovaprenes or in vaginal samples. No clinically important changes in systemic  
68 laboratory findings, pelvic examinations, or colposcopies occurred during Ovaprene  
69 use.

70 **Conclusion:** Ovaprene use did not result in cervicovaginal irritation or adverse  
71 effects on resident vaginal microbiota, and did not impact transitions from a  
72 *Lactobacillus*-dominated community state type to community state type IV.

73 **Implications:** The finding that use of Ovaprene, an investigational monthly user-  
74 controlled nonhormonal vaginal contraceptive, does not appear to result in adverse  
75 changes in vaginal health during short term use supports further evaluation of the  
76 contraceptive potential of the device.

## 77 **1 INTRODUCTION**

78 Ovaprene (Poly-Med Inc, Anderson, SC), an investigational monthly non-hormonal  
79 vaginal contraceptive, consists of a 55 mm silicone ring with a central permeable  
80 barrier (Figure 1). The barrier's pore size inhibits sperm movement while allowing  
81 fluid passage. Ferrous gluconate released from the ring causes oxidative damage to  
82 the sperm tail's lipid bilayer, causing spermiostasis [1]. Ascorbic acid is released to  
83 maintain ferrous gluconate in its ferrous state. Ovaprene is inserted at the end of  
84 one menstrual period and left until the beginning of the next, requiring no action at  
85 intercourse. It requires no clinician fitting and a new product is used each month.

86 Ovaprene has been evaluated in two postcoital test studies. The first, published by  
87 others in 2009, enrolled 20 sexually active women who used Ovaprene for one cycle  
88 [2]. No changes in vaginal mucosa or semi-quantitative cultures were seen, and wet  
89 mount examinations were normal. Subjects reported no pain, bleeding, or  
90 discharge.

91 We recently conducted a second postcoital test study, with a more complete  
92 evaluation of safety, *i.e.*, pelvic examinations, colposcopy, vaginal microbiota, and  
93 innate immunity, over multiple cycles of use. This paper reports effects on vaginal  
94 health and other safety outcomes.

## 95 **2 METHODS**

### 2.16 **DESIGN**

97 We conducted a multi-center, open-label study with the primary objective of  
98 assessing Ovaprene's ability to prevent sperm from penetrating midcycle cervical  
99 mucus, described elsewhere [3]. Here we describe the secondary objective of safety  
100 and its endpoints: 1) treatment-emergent adverse events (TEAEs) among female

101 participants; 2) urogenital, product-related, and serious TEAEs among female and  
102 male participants; 3) changes in complete blood count (CBC), serum chemistries,  
103 serum ferritin, pelvic examinations, colposcopy findings, Nugent scores, vaginal  
104 microbiota community state types, anti-*E. coli* activity and concentrations of  
105 immune proteins in cervicovaginal fluid collected from the vagina; and 4) presence  
106 of *Staphylococcus aureus* on used Ovaprenes.

107 We initiated the study at six sites: Eastern Virginia Medical School, Norfolk, VA;  
108 Oregon Health and Science University, Portland, OR; University of Pennsylvania,  
109 Philadelphia, PA; Clinical Research Prime, Idaho Falls, ID; University of California  
110 Davis, Sacramento, CA; and Segal Institute for Clinical Research Inc., Miami, FL. We  
111 consented and screened but did not enroll at the last two sites. The study followed  
112 the Helsinki Declaration of 1975, revised in 2013. It was approved by a central  
113 Institutional Review Board (Advarra, Columbia, MD) before screening began.

## 114 **2.2 ELIGIBILITY CRITERIA**

115 We recruited healthy, heterosexually-active women aged 18-50 not at risk for  
116 pregnancy due to previous permanent contraception and their male partners [3].  
117 Key inclusion criteria included having regular menstrual cycles of 24-35 days, and  
118 being able to insert, position, and remove both devices. Key exclusion criteria  
119 included having a positive test for *Trichomonas vaginalis*, *Neisseria gonorrhoea*,  
120 *Chlamydia trachomatis*, or HIV, or a Nugent score  $\geq 7$  at screening, inability to  
121 achieve adequate cervical mucus in two attempts at the baseline cycle, and  
122 inadequate sperm in endocervical aspirate during baseline testing without any  
123 device, despite adequate mucus and presence of sperm in the vaginal pool.

### 124      **2.3            STUDY VISITS**

125      Informed consent occurred at the beginning of a screening visit, where we began  
126      assessing eligibility. Eligible female participants completed 21 visits during five  
127      menstrual cycles (Table 1): one baseline postcoital test cycle with one act of  
128      unprotected intercourse at ovulation; one postcoital test cycle using the Caya  
129      diaphragm (HPSRx Enterprises, Inc., Salem, VA) with 3% nonxynol-9 (Gynol II™  
130      Vaginal Contraceptive Gel, Revive Personal Products Company, Madison, NJ); one  
131      “safety cycle” without intercourse during which safety, ferrous gluconate release,  
132      acceptability, and fit/placement of Ovaprene were assessed; and two postcoital test  
133      cycles using Ovaprene. Enrollment occurred at the fourth visit (the third visit in the  
134      baseline postcoital test cycle, or BP3 –Table 1) after all screening criteria, including  
135      a satisfactory baseline postcoital test cycle, had been met.

### 136      **2.4            STUDY DIARY, CAPTURE OF ADVERSE EVENTS**

137      We instructed participants about a web-based electronic diary (Trials.ai, San Diego,  
138      CA) through which they were prompted daily to report menses, intercourse, use of  
139      intravaginal products, whether they or their partner felt unwell or had used any  
140      medications, and any device issues. We assessed adverse events (AEs) at each  
141      visit, including nature, dates of onset and resolution, severity, seriousness, and  
142      relatedness to Ovaprene. We coded AEs using MedDRA 21.0.

### 143      **2.5            SYSTEMIC LABORATORY EXAMINATIONS**

144      To evaluate whether ferrous gluconate could result in adverse effects through  
145      systemic uptake, we measured serum ferritin, CBCs, and serum chemistries at  
146      screening and first and last visits in the safety cycle (n=3 assessments per  
147      participant).



## 148      **2.6            PELVIC EXAMINATIONS, COLPOSCOPY**

149    During each visit, we performed vaginal/cervical (pelvic) examinations (n=21  
150    assessments per participant).  At the screening visit, and at the first and fifth (final)  
151    visits of the three Ovaprene cycles (n=7 assessments per participant), we also  
152    recorded the presence of any lesions visible with and without magnification, using  
153    colposcopy developed by the World Health Organization for evaluating new vaginal  
154    products [4].

## 155      **2.7            BV DIAGNOSIS, VAGINAL MICROBIOTA**

### 156    2.7.1        **Nugent scoring, use of Amsel’s criteria**

157    We performed Nugent scoring using established protocols at screening (to  
158    determine eligibility), every visit in the safety cycle, and the first and fifth (final)  
159    visit in both Ovaprene postcoital test cycles (n=10 assessments per participant).  
160    The Nugent score is the gold standard for diagnosing bacterial vaginosis (BV) in  
161    research settings [5].  Investigators evaluated vaginal smears for Gram-positive rods  
162    (lactobacilli), and the Gram-negative and Gram-variable rods and curved Gram-  
163    negative rods associated with BV.  Values of 0-3 were considered negative, 4-6  
164    indeterminate, and 7-10 diagnostic for BV [6].  Participants with Nugent scores  $\geq 7$   
165    with or without symptoms at screening were treated for BV per standard of care;  
166    Nugent scores of  $<7$  were needed for entry into the baseline cycle.

167    After screening, however, BV diagnosis and treatment were not based on Nugent  
168    scores, but on Amsel’s criteria (discharge, abnormal pH, presence of clue cells, and  
169    positive “whiff” test) [6], assessed only if participants reported symptoms (*i.e.*,  
170    discharge, odor, or irritation).  Subjects were discontinued for symptomatic BV based  
171    on Amsel’s criteria.  Nugent scores obtained after screening became available and  
172    were also assessed after the study was completed.

### 173 2.7.2 **Vaginal microbiota**

174 We assessed composition and structure of the vaginal microbiota by amplification  
175 and sequencing of the V3-V4 regions of the 16S rRNA gene using previously  
176 validated and published laboratory and analytical procedures [7,8]. Taxonomy was  
177 assigned to each amplicon sequence variant generated by *dada2* using SpeciateIT  
178 (version 1.0), a rapid per sequence classifier [9,10]. Read counts for amplicon  
179 sequence variants assigned to the same taxonomy were summed for each sample  
180 and relative abundance of each taxa calculated. Bacterial taxa were filtered prior to  
181 analysis if observed in fewer than three samples or if present at a frequency of  $\leq$   
182  $10^{-4}$  frequency study-wide. A final taxonomic table was generated and used for  
183 community state type assignments using VALENCIA [11]. A total of five broad  
184 community state types were identified. Four community state types (I, II, III, and V)  
185 are dominated by *Lactobacillus* species, namely *L. crispatus*, *L. gasseri*, *L. iners* and  
186 *L. jensenii*, respectively. Community state type IV is comprised of a wide array of  
187 strict and facultative anaerobic bacterial species, including *Gardnerella vaginalis*,  
188 *Atopobium vaginae* and *Megasphaera* species. In community state type IV, the loss of  
189 acid-producing *Lactobacillus* (*L. crispatus*, *L. gasseri*, *L. iners*, and *L. jensenii*) and  
190 the overgrowth of anaerobes resemble the vaginal microbiota associated with BV  
191 [12]. Community state types I, II, III, and V were grouped together and presented as  
192 “Lb,” indicating *Lactobacillus* spp. dominance.

193 Microbiota were assessed at all visits in baseline and safety cycles, and the first and  
194 fifth (final) visit in both Ovaprene postcoital test cycles (n=12 assessments per  
195 participant). Changes in vaginal community state type were compared as follows:  
196 BP1 (first visit, baseline cycle) vs. OS1 (first visit, safety cycle, before first insertion  
197 of Ovaprene); OS1 vs. OS5 (last visit, safety cycle); and OP1 vs. OP5 (first and last

198 visits, Ovaprene postcoital test cycles). Differences between community state types  
199 of vaginal samples and swabs of Ovaprene at the same visit were also evaluated.

### 200 2.7.3 **Testing for *Staphylococcus aureus***

201 We assessed the presence of *S. aureus*, the causative agent of Toxic Shock  
202 Syndrome, in the vaginal samples, and also on used Ovaprenes using the  
203 ThermoFisher assay Ba04646259.s1 that targets *S. aureus* Ribonuclease P RNA  
204 gene. Results became available after study completion and did not influence  
205 diagnosis or treatment during the study.

## 206 **2.8 CERVICOVAGINAL FLUID ANTI-E. COLI ACTIVITY, SOLUBLE** 207 **MARKERS OF INFLAMMATION, SLPI**

208 Safety was also assessed by quantifying changes in cervicovaginal fluid  
209 inflammatory cytokines (Interleukin [IL]-1 $\beta$  and IL-8) and secretory leukocyte  
210 peptidase inhibitor (SLPI), an enzyme that protects epithelial tissues from serine  
211 proteases. Changes in the *ex vivo* anti-*E. coli* activity of cervicovaginal fluid, *i.e.*, its  
212 ability to inhibit *E. coli* growth, was an additional safety endpoint. Anti-*E. coli* activity  
213 reflects the cumulative action of inflammatory and antimicrobial proteins such as  
214 defensins and SLPI, which are secreted by genital epithelium and immune cells,  
215 combined with activity of lactic acid, antimicrobials, and surface proteins secreted  
216 by lactobacilli [13,14], and is considered a biomarker of female mucosal defenses  
217 [15]. A loss of anti-*E. coli* activity has been observed 2-14 hours after intercourse  
218 [9]. Inflammatory markers and anti-*E. coli* activity were assessed twice in the  
219 baseline cycle, at all five visits in the safety cycle, and at the first, third, and fifth  
220 (final) visits in both Ovaprene postcoital test cycles (n=13 assessments per  
221 participant).

## 222     **2.9           STATISTICAL ANALYSIS**

223     Statistical analysis was done using SAS Version 9.4. Summary tables and data  
224     listings were created for all TEAEs, including frequency of events, and frequency  
225     and percentage of participants, by system organ class and preferred term, and by  
226     severity and relatedness to Ovaprene. However, the primary evaluation of safety  
227     was the subpopulation of TEAEs that were urogenital, product-related, and/or  
228     serious. There were no planned statistical significance tests for this objective. For  
229     other safety endpoints, baseline and post-baseline time points were qualitatively  
230     compared. No statistical significance tests between Ovaprene and the diaphragm  
231     were planned or performed. We based our sample size of 25 on feasibility and  
232     experience with prior phase I studies, not statistical considerations.

## 233     **3   RESULTS**

234     Results of the primary objective are reported elsewhere [3]. Briefly, the definition of  
235     a successful postcoital test was met in all 49 Ovaprene postcoital test cycles.

### 236     **3.1           ENROLLMENT, SUBJECT DISPOSITION, DEMOGRAPHICS**

237     We screened 135 participants and enrolled 38 (Figure 2). The first was consented on  
238     23 May 2018 and the last contact was 15 November 2019. Most screen fails  
239     occurred due to failure to achieve midcycle cervical mucus and/or an adequate  
240     number of progressively motile sperm after intercourse in the baseline cycle.

241     Thirty-three participants used Ovaprene for at least part of a cycle and 23  
242     completed the study. Participants completed 77 Ovaprene cycles: 28 safety cycles,  
243     25 Ovaprene postcoital test Cycles A, and 24 Ovaprene postcoital test Cycles B.  
244     (One participant completed Cycle B but did not complete Cycle A.) The most

245 common reasons for discontinuation were a non-severe TEAE of BV or withdrawing  
246 consent (four participants each).

247 Demographics are shown in Table 2.

### 248 **3.2 TREATMENT-EMERGENT ADVERSE EVENTS**

249 Most participants (29/33, 87.9%) reported at least one TEAE (Table 3).

250 Approximately half (17/33, 51.5%) experienced mild TEAEs and approximately one  
251 quarter (9/33, 27.3%) experienced TEAEs unrelated to Ovaprene. All but one TEAE  
252 were mild or moderate, with approximately half (41/79, 51.9%) unrelated to  
253 Ovaprene (Table 4). There were no serious AEs.

254 There were 42 urogenital TEAEs involving 21/33 (63.6%) female participants and  
255 one male partner (Appendix 1, Supplementary Material). About three-quarters  
256 (31/42, 73.8%) were mild and the rest moderate. About three-quarters (33/42,  
257 78.6%) were at least possibly product-related.

258 The two most common product-related urogenital TEAEs were BV (see Section 3.5)  
259 and vaginal odor: nine TEAEs of BV among eight participants, and nine participants  
260 with 11 TEAEs of vaginal odor, all but one product-related. TEAEs of “odor” were  
261 recorded only when a simultaneous TEAE of BV or other condition was not recorded.

### 262 **3.3 SYSTEMIC LABORATORY EXAMINATIONS**

263 There were no clinically significant systemic laboratory findings. There was a  
264 clinically insignificant increase in mean ferritin from 33.3 ng/mL at OS1 to 41.6  
265 ng/mL at OS5. No participant’s ferritin level went from a normal level to a high one.

266

### 267 **3.4 PELVIC EXAMINATIONS, COLPOSCOPY**

268 There were five participants with a total of six pelvic examination findings: three  
269 findings of vaginal discharge associated with yeast infection or BV, which was  
270 treated, one finding of cervical petechiae, and one finding of vaginal ~~er~~ and cervical  
271 erythema. The latter was felt to be related to the device. All six findings resolved by  
272 the next visit.

273 Colposcopy was done at 200 study visits, with 23 colposcopy findings seen in 12  
274 participants. Ten product-related findings were found in six participants (Appendix  
275 2, Supplementary Material ); all resolved except for ecchymosis present at OP5B not  
276 requiring follow-up.

### 277 **3.5 NUGENT SCORES, BV, MICROBIOTA**

#### 278 3.5.1 Nugent scores

279 Mean Nugent scores were <4 at each visit, and mean changes between visits and  
280 baseline were <1.3. All nine cases of BV were determined in retrospect to be  
281 associated with a Nugent score of 7 or above with three exceptions (Appendix 3,  
282 Supplementary Material), but there was no apparent upward trend in Nugent scores  
283 during Ovaprene use (Appendix 4, Supplementary Material).

#### 284 3.5.2 Bacterial vaginosis

285 As stated in Section 3.2, there were nine TEAEs of BV among eight participants.  
286 Figure 3 shows cases of BV by participant number, visit, and prior history of BV.  
287 Narratives of BV cases are in Appendix 3, Supplementary Material. Subjects 14 and  
288 24 were asymptomatic and Subject 24 probably did not have BV.

289 3.5.2.1 *Effect of prior history of BV and BV diagnosed at the screening visit*

290 Of the 33 participants who completed OS1 (first visit, safety cycle, at which  
291 Ovaprene was inserted for the first time), 10 had a history of BV prior to screening  
292 (one additional subject, Subject 11, was uncertain). Of these, two (20%, Subjects 14  
293 and 19) developed BV during participation. Of the remaining 23 participants without  
294 prior BV history, about a quarter (6/23 or 26.1%; includes asymptomatic Subject 24)  
295 developed BV. Thus, a history of BV prior to screening did not appear to be  
296 associated with BV development.

297 Of the 33 participants who completed the OS1 visit, six were treated for BV during  
298 screening, prior to BP1. (Subjects 8 and 19 also had a history of BV prior to  
299 screening.) Of these six, three (50%) developed BV after OS1. Of the 27 participants  
300 not treated for BV at screening, only five (18.5%) developed BV. This suggests that  
301 having recently undergone BV treatment, i.e., at screening, may be associated with  
302 BV recurrence.

303 3.5.3 Vaginal microbiota

304 Figure 3 shows community state types of all 33 participants during baseline and  
305 Ovaprene cycles.

306 3.5.3.1 *Microbiota prior to Ovaprene use*

307 Prior to first Ovaprene use (baseline cycle or at OS1 prior to product insertion),  
308 community state type IV was common; 13 of 33 participants (39%) had at least one  
309 community state type IV (Figure 3). Vaginal microbiota frequently transitioned from  
310 one community state type to another. Prior to Ovaprene insertion (BP1 through  
311 OS1), 20/28 (71%) of participants who had a *Lactobacillus*-dominated community  
312 state type (Lb community state type) at BP1 maintained it, but 6/28 (21%)

313 transitioned from Lb community state type to community state type IV (Appendix 5,  
314 Supplementary Material).

315 *3.5.3.2 Microbiota after beginning Ovaprene use*

316 After beginning Ovaprene use, less than 21% transitioned from Lb community state  
317 type to community state type IV: during the safety cycle, 3/22 (14%) transitioned  
318 from Lb community state type to community state type IV, (Appendix 6,  
319 Supplementary Material); during the first Ovaprene postcoital test cycle, 3/19 (16%)  
320 transitioned from Lb community state type to community state type IV (Appendix 7,  
321 Supplementary Material); and during the second Ovaprene postcoital test cycle,  
322 1/14 (7%) transitioned from Lb community state type to community state type IV  
323 (Appendix 8, Supplementary Material).

324 *3.5.3.3 Association of BV with community state type IV*

325 As expected, BV was associated with community state type IV. Among the nine BV  
326 cases, six were associated with a community state type IV at the visit when BV was  
327 diagnosed or the previous visit (exceptions are noted in Appendix 3, Supplementary  
328 Material).

329 Thirteen participants had a community state type IV during either the baseline cycle  
330 or OS1, before beginning Ovaprene use, and of these, five (38.5%) developed BV. Of  
331 the remaining 20 participants without a community state type IV before Ovaprene  
332 use, only three (Subjects 24, 28, and 14, 15.0%) developed BV.

333 All six of the participants treated for BV at screening achieved a *Lactobacillus*-  
334 dominated community state type at BP1. However, four were unable to maintain it  
335 preceding Ovaprene use, developing a community state type IV on or before OS1.  
336 All three of the participants who developed BV during the study after being treated



337 at screening were in this group. Thus, as expected, having community state type IV  
338 appeared to be a risk factor for BV in this study.

#### 339 3.5.3.4 Association of odor with community state type IV

340 All 11 TEAEs of vaginal odor were associated with community state type IV, *i.e.*, the  
341 TEAE began during or after a visit when community state type IV was found, or the  
342 community state type that followed TEAE onset was community state type IV,  
343 indicating that odor was more likely associated with community state type than  
344 Ovaprene.

#### 345 3.5.4 Testing for *Staphylococcus aureus*

346 We did not identify *S. aureus* on used Ovaprenes or vaginal samples. Microbiota on  
347 used Ovaprenes was very similar to that in the vagina.

### 348 **3.6 CERVICOVAGINAL FLUID ANTI-*E. COLI* ACTIVITY, SOLUBLE** 349 **MARKERS OF INFLAMMATION, SLPI**

350 There was wide variation in anti-*e. coli* results (Appendix 9, Supplementary  
351 Material), but also a suggestion of a decrease after intercourse in baseline and  
352 Ovaprene cycles, consistent with the effect of intercourse [9]. It does not appear  
353 that Ovaprene use was associated with clinically significant loss of anti-*E. coli*  
354 activity.

355 IL-1 $\beta$  and IL-8 demonstrated high variability, but median cytokine values did not  
356 change post Ovaprene insertion (Appendices 10 and 11, Supplementary Material).  
357 Similarly, there was little change in SLPI during the safety cycle (Appendix 12,  
358 Supplementary Material).

#### 359 **4 DISCUSSION**

360 Ovaprene use over three consecutive cycles resulted in no important safety signals.  
361 Taken together, the 77 cycles of Ovaprene use observed in this study would equate  
362 to over four years and five months of exposure in one participant. The two most  
363 common urogenital TEAEs were BV and vaginal odor. A limitation of this study was  
364 the absence of a control group not using Ovaprene. Thus, it is not possible to  
365 estimate with certainty the effect of Ovaprene on BV risk. According to the Centers  
366 for Disease Control and Prevention (CDC), “The prevalence [of BV] in the United  
367 States is estimated to be 21.2 million (29.2%) among women ages 14–49, based on  
368 a nationally representative sample of women who participated in NHANES 2001–  
369 2004” [16]. Recurrence is common: approximately 80% have a recurrence 3 months  
370 after effective treatment [17].

371 Eight of 33 participants in this study were diagnosed with BV, giving an incidence of  
372 24.2%, similar to the national prevalence of 29%. A history of BV prior to screening  
373 did not appear associated with BV during the study, although having BV treatment  
374 at screening seemed to be associated with recurrence. As expected, community  
375 state type IV appeared to be a risk factor, but Ovaprene did not encourage  
376 development of community state type IV. There was no apparent upward trend in  
377 Nugent scores. Thus, it does not appear that Ovaprene predisposes users to BV,  
378 whether they experienced prior BV or, more importantly, not.

379 Odor affects acceptability and may indicate infection. All TEAEs of vaginal odor were  
380 associated with community state type IV, but TEAEs were recorded as “odor” only  
381 when a simultaneous TEAE of BV or other condition was not recorded. This, and the  
382 evidence that Ovaprene does not encourage transitioning to community state type

383 IV, makes odor more likely associated with community state type IV state than with  
384 Ovaprene.

385 Safety was supported by the lack of clinically important changes in laboratory  
386 findings, pelvic examinations, and colposcopies. Inflammatory markers showed wide  
387 variation but no apparent upward trend, and antibacterial activity showed no  
388 apparent trend toward loss of inhibition, suggesting preservation of vaginal innate  
389 immunity. *S. aureus* was not found on used Ovaprenes or vaginal samples,  
390 suggesting the risk of Toxic Shock Syndrome is low.

391 This study demonstrated that short-term use of Ovaprene was not associated with  
392 cervicovaginal irritation or adverse effects on vaginal microbiota. Safety will be  
393 further monitored in an upcoming pivotal study, in which participants will use  
394 Ovaprene for 13 consecutive cycles.

395

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403

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463 **5 TABLES**

464 **Table 1. Study Visits and Timing of Safety Endpoints in a 2019 United States multicenter study**  
 465 **evaluating safety of Ovaprene, an investigational vaginal contraceptive<sup>1</sup>**  
 466

Visit →	Baseline cycle			Ovaprene safety cycle					First Ovaprene postcoital test cycle			Second Ovaprene postcoital test cycle							
	Screening	BP 1	BP 2	BP 3	OS 1*	O S2	O S3	O S4	O S5	OP1 *	OP 2	OP 3	OP 4	OP 5	OP1 *	OP2	OP 3	OP 4	OP 5
Collect adverse events		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
CBC, chemistry, serum ferritin	X				X				X										
Pelvic examination	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Colposcopy	X				X				X	X				X	X				X
Nugent Score	X				X	X	X	X	X	X				X	X				X
Microbiota, vagina		X	X	X	X	X	X	X	X	X				X	X				X
Microbiota, used Ovaprenes									X					X					X
Anti- <i>e. coli</i> activity, soluble markers		X	X		X	X	X	X	X	X			X	X	X		X		X

467

1 <sup>1</sup> Participants were seen in a total of 21 visits, including a cycle in which the Caya diaphragm was used, not shown above



468 \* Ovaprene was inserted at this visit, AFTER vaginal samples were collected  
469 CBC = complete blood counts  
470 BP = Baseline Postcoital test cycle. Enrollment took place at BP3.  
471 OS = Ovaprene Safety cycle  
472 OP = Ovaprene Postcoital test cycle

473 **Table 2. Baseline demographics of participants enrolled in a 2019 United**  
 474 **States multicenter study evaluating safety of Ovaprene, an investigational**  
 475 **vaginal contraceptive**

<b>Parameter</b>	<b>All Enrolled Participants (N = 38)</b>
Age Category, n (%)	
18 - 35	23 (61)
365 - 49	15 (40)
Ethnicity	
Hispanic or Latino	3 (8)
Not Hispanic or Latino	34 (90)
Not Reported	1 (3)
Race <sup>†</sup> , n (%)	
American Indian or Alaska Native	0
Asian	2 (5)
Black or African American	6 (16)
Native Hawaiian or Other Pacific Islander	0
White	30 (79)
Other <sup>‡</sup> or does not identify with any	2 (5)
Body Mass Index	
Category, n (%)	
Underweight (<18.5)	0
Normal (18.5-24.9)	13 (34)
Overweight (25.0-29.9)	8 (21)
Obese (≥30.0)	17 (44)

476 \*SD = standard deviation

477 †Race was a “Check All that Apply” question, *i.e.*, a participant could check multiple

478 races.

479 ‡“Other” was a prespecified formal category in the database.

480

481 **Table 3. Number and Percent of Participants with Treatment-Emergent**  
 482 **Adverse Events among participants enrolled in in a 2019 United States**  
 483 **multicenter study evaluating safety of Ovaprene, an investigational**  
 484 **vaginal contraceptive**

	<b>Completed Safety Cycle (N = 33)</b>
<b>Number (%) of Participants with at</b>	<b>n (%)</b>
	29 (88)
<b>Least One TEAE</b>	
<b>Severity*</b>	
Mild	17 (51)
Moderate	11 (33)
Severe	1 (3)
Potentially Life-Threatening	0
<b>Product-relatedness†</b>	
Unrelated	9 (27)
Possibly	13 (39)
Probably	6 (18)
Definitely	1 (3)
<b>Urogenital TEAEs</b>	
(Female) Participants	21 (64)‡
Male Partners	1 (3)

485 \* Participants reporting more than one TEAE are only counted once in the greatest severity  
 486 they ever had.

487 † Participants reporting more than one TEAE are only counted once in the strongest  
 488 relatedness category they ever had.

489 ‡ One female participant and her male partner both had urogenital TEAEs. There were  
 490 20 other female participants with urogenital TEAEs.

491 **Table 4. Number of Treatment-Emergent Adverse Events by Severity and**  
 492 **Relatedness to Ovaprene among participants enrolled in a 2019 United**  
 493 **States multicenter study evaluating safety of Ovaprene, an investigational**  
 494 **vaginal contraceptive**

Relatedness	Severity				Total n (%)
	Mild	Moderate	Severe	Potentially life-threatening	
Unrelated	32	8	1*	0	41 (51.9)
Possibly	21	9	0	0	30 (38.0)
Probably	5	2	0	0	7 (8.9)
Definitely	1 <sup>†</sup>	0	0	0	1 (1.3)
Total	59	19 (24.0)	1 (1.3)	0	79 (100.0)
Events	(74.7)				

495

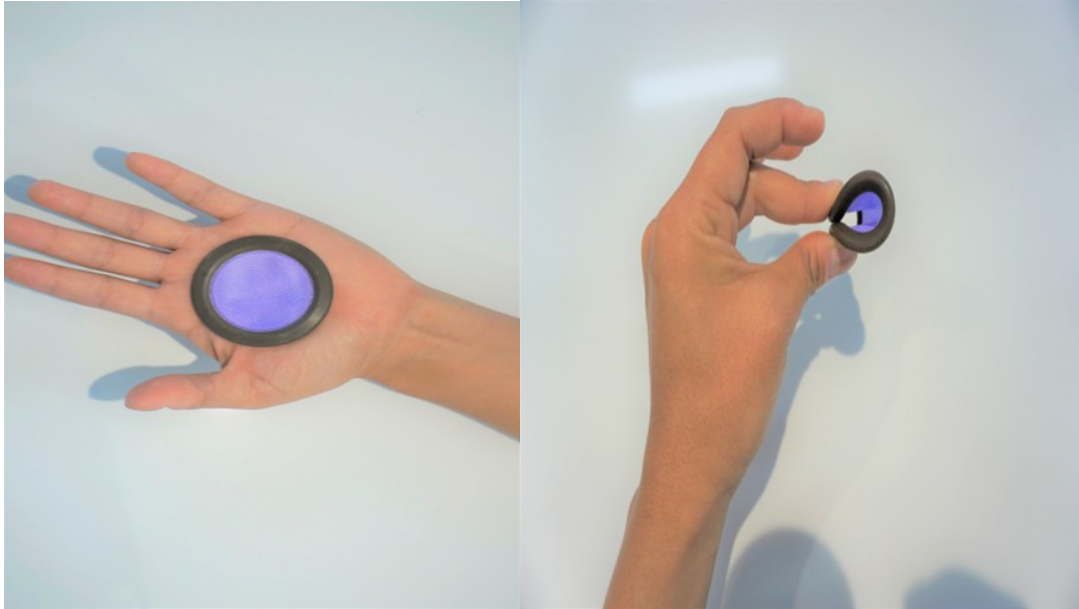
496 \* Severe abdominal pain unrelated to product

497 <sup>†</sup> Dyspareunia lasting less than one day

498

499 **6 FIGURES**

500 **Figure 1. Ovaprene, an investigational vaginal contraceptive evaluated for**  
501 **safety in a 2019 United States multicenter study**



502

503 Ovaprene consists of a silicone ring which releases the spermiostatic agent ferrous  
504 gluconate, and a central permeable barrier which inhibits movement of sperm while  
505 allowing passage of fluids. Ovaprene is inserted at the end of one menstrual period  
506 and left in place until the beginning of the next.

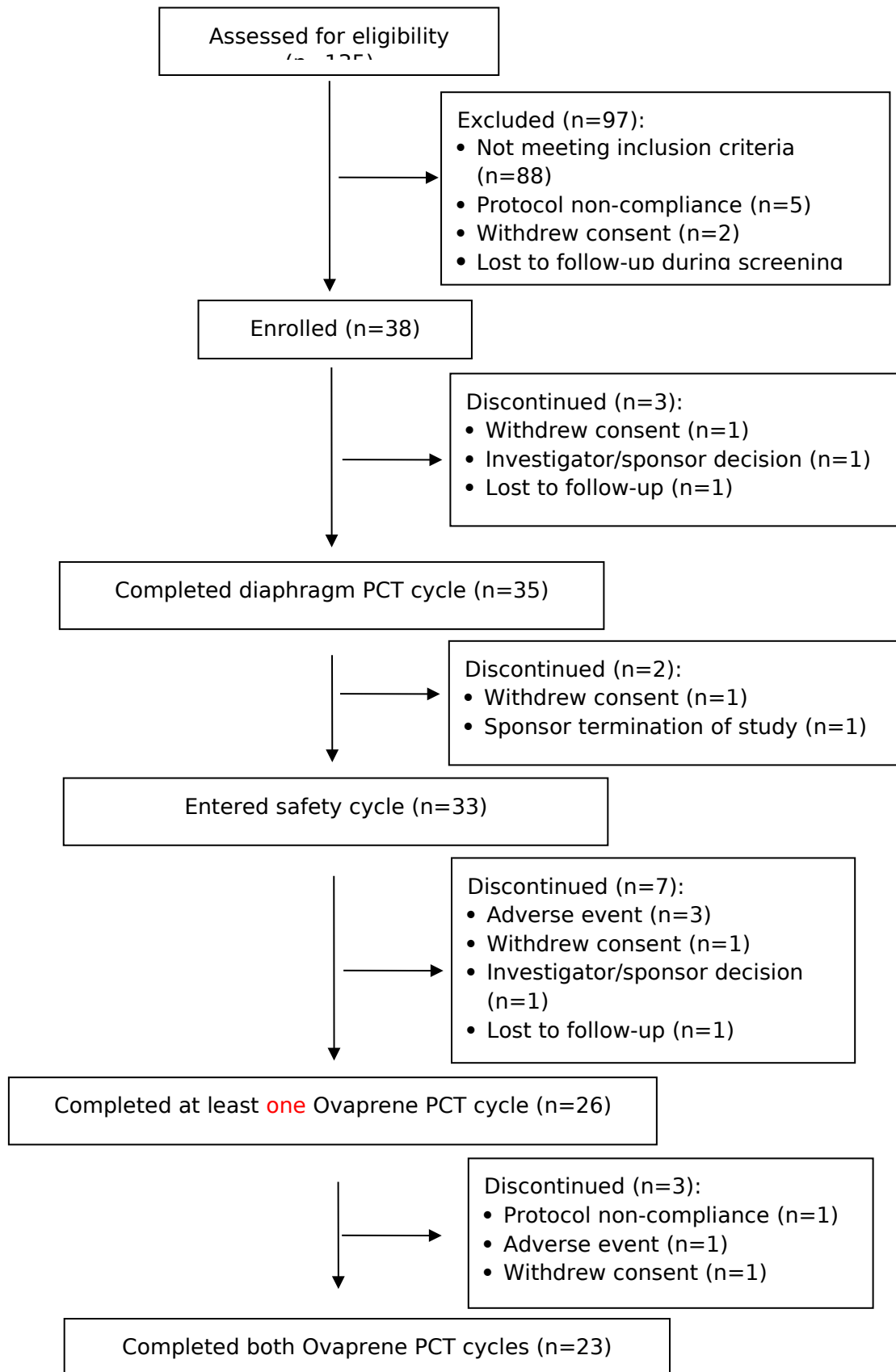
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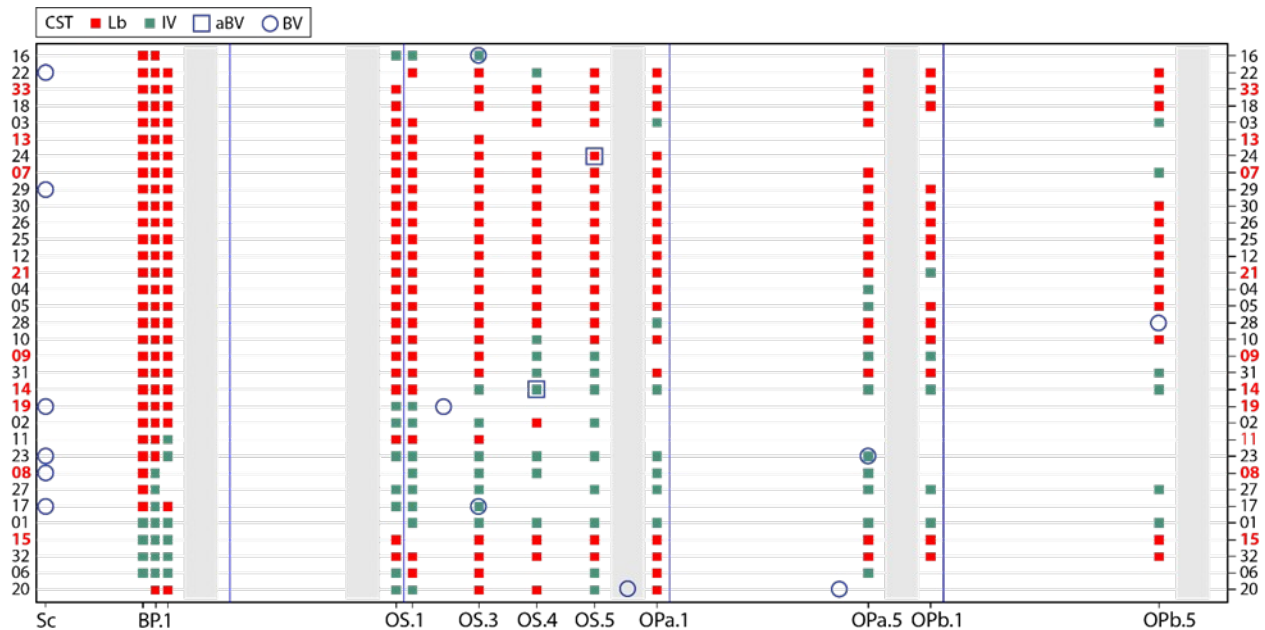
510 **Figure 2. Participant Disposition Flow Diagram in a 2019 United States**  
 511 **multicenter study evaluating safety of Ovaprene, an investigational**  
 512 **vaginal contraceptive**

513  
 514



515 **Figure 3: Two categories of community state type profiles (Lb community**  
 516 **state type, community state type IV) among participants enrolled in a**  
 517 **2019 United States multicenter study evaluating safety of Ovaprene, an**  
 518 **investigational vaginal contraceptive.**

519



520

521 Participants are ordered by community state type at baseline, from first timepoint  
 522 to third time point.

523 Participants numbers in bold red indicate those with a history of BV. Participant 11  
 524 was uncertain of her history.

525 Vertical gray bars indicate menses.

526 Vertical blue lines indicate device insertion events.

527 CST - Community State Type

528 Lb- Lactobacillus dominant

529 IV - type IV

530 aBV = asymptomatic BV

531 BV - bacterial vaginosis

532 Sc - Screening visit

533 BP.1 - Baseline Postcoital test cycle: first, second, and third visits

534 OS.1 - Safety cycle, first visit. NOTE: OS.2 (safety cycle, second visit) is not labeled  
 535 separately, but is the column to the right of the blue line following OS.1.

536 OS.3 - Safety cycle, third visit

- 537 OS.4 - Safety cycle, fourth visit
- 538 OS.5 - Safety cycle, fifth visit
- 539 OPa.1 - First Ovaprene Postcoital test cycle, first visit
- 540 OPa.5 - First Ovaprene Postcoital test cycle, fifth visit
- 541 OPb.1 - Second Ovaprene Postcoital test cycle, first visit
- 542 OPa.5 - Second Ovaprene Postcoital test cycle, fifth visit