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Hematologic, Hepatic, Renal and Lipid Laboratory Monitoring Following Initiation of Combination Antiretroviral Therapy in the United States, 2000–2010

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Abstract

We assessed laboratory monitoring following combination antiretroviral therapy (cART) initiation among 3,678 patients in a large US multi-site clinical cohort, censoring participants at last clinic visit, cART change, or three years. Median days (interquartile range) to first hematologic, hepatic, renal and lipid tests were 30 (18–53), 31 (19–56), 33 (20–59) and 350 (96–1106), respectively. At one year, approximately 80% received more than two hematologic, hepatic, and renal tests consistent with guidelines. However, only 40% received one or more lipid tests. Monitoring was more frequent in specific subgroups, likely reflecting better clinic attendance or clinician perception of higher susceptibility to toxicities.

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Authors and Contributions

ELY, BT and SN led the conception and design of this study, contributed to data acquisition and interpretation, prepared the initial draft of the manuscript, had full access to all the data in the study and take final responsibility for the decision to submit for publication. JJE, PR and SLK substantively contributed to the conception and design of this study, data acquisition and/or interpretation and provided critical revision of the manuscript. Remaining authors provided substantial contributions to the study design, data acquisition and/or interpretation of data and provided critical revision of the manuscript. All authors approved the final version of the manuscript.

Conflicts of Interest: SN has received grant support from Pfizer, Bristol-Myers Squibb and Merck. B.T. has served as an advisor and/or received research support (to Northwestern University) from Janssen, GlaxoSmithKline and ViiV. J.J.E is a consultant to Bristol Myers Squibb, GlaxoSmithKline, Merck, ViiV and Janssen, and has received research support (to UNC) from GlaxoSmithKline, Bristol Myers Squibb and Merck. All remaining authors have no conflicts of interest to declare.

Keywords

Laboratory Monitoring; Antiretroviral Therapy; Antiretroviral Toxicity

Introduction

Combination antiretroviral therapy (cART) is associated with adverse effects of variable severity. Adverse effects are among the most common reasons for antiretroviral agent changes and may be associated with end-organ damage and higher mortality^{1–3}. While symptomatic effects prompt clinical intervention, asymptomatic adverse effects may go undetected and result in cumulative toxicity. Laboratory monitoring during cART is endorsed in developed countries^{4,5}, but guidelines are flexible for resource-limited settings⁶ due in part to data suggesting that cART can be delivered safely without routine laboratory monitoring⁷.

The United States Department of Health and Human Services (DHHS) recommends laboratory monitoring throughout the course of cART⁴. This includes serum alanine transaminase (ALT), aspartate transaminase (AST), total bilirubin, creatinine, and complete blood count 2–8 weeks after initiating or modifying cART. A repeat of these tests is recommended every 3–6 months thereafter. Fasting lipid profile is recommended at least annually⁴.

Actual laboratory monitoring practices during cART in routine clinical care in the U.S are unknown. This information is critical to evaluate resource utilization and assess adherence to guidelines. We evaluated laboratory monitoring among patients who initiated their first cART regimen between 2000–2010 in a multi-site US clinical cohort.

Methods

This observational clinical cohort included antiretroviral-naïve patients participating in the Centers for AIDS Research Network of Integrated Clinical Systems (CNICS) who initiated a first modern cART regimen between 2000–2010 and had at least one laboratory test of any type after cART initiation. We defined modern cART as a regimen containing a ritonavir-boosted protease inhibitor (PI), non-nucleoside reverse transcriptase inhibitor (NNRTI), or integrase inhibitor plus 2 nucleoside/nucleotide reverse transcriptase inhibitors (NRTI). Patients were excluded if their regimen included stavudine, didanosine or unboosted-PIs, or if they had an abnormal value for the laboratory test being investigated before cART initiation. CNICS is a collaboration of eight US HIV clinical cohorts⁸. Ethical approval was obtained for CNICS from each site's Institutional Review Board.

We analyzed hematologic, hepatic, renal, and lipid indices following cART initiation. Hematologic measures included hemoglobin, absolute neutrophil count or platelet count. Hepatic measures included ALT or AST. We assessed creatinine and non-HDL cholesterol (calculated by subtracting HDL from total cholesterol) for renal and lipid assessments, respectively. Abnormal values were defined as: hematologic= hemoglobin <10 g/dL, neutrophil count <750 cells/mm³, or platelet count $<50 \times 10^9$ /L; hepatic= ALT or AST 3 times the upper limit of normal; renal= estimated glomerular filtration rate <50 mL/min/1.73m²; and lipid= non-HDL cholesterol >160 mg/dL. All analyses were stratified by laboratory category.

Patients contributed time from cART initiation to the first of: switch/discontinuation of any antiretroviral for >14 days, loss-to-follow-up (>12 months without a clinic visit), last clinic visit before Dec. 31, 2010, or 3 years post-cART initiation because $<15\%$ of patients

remained. Post-cART initiation, if an abnormality occurred for the laboratory category being assessed, patient-time was censored immediately after the abnormality (e.g., patient with hepatic abnormality was censored when assessing hepatic monitoring but not other laboratory indices). The initial abnormal test was counted as an event.

We calculated median time-to-first-test, and the cumulative number of tests through 3, 6, 12, 18, and 24 months of cART use. Poisson regression was used to estimate overall testing rates and rates by time interval following cART initiation. Bivariable and multivariable Cox regression was used to estimate hazard ratios and 95% confidence intervals in order to evaluate demographic and clinical characteristics at cART initiation as predictors of time-to-first-laboratory-test. Repeated events analyses were conducted to evaluate predictors of time-to-laboratory-test incorporating all tests an individual had during follow-up, using the Anderson-Gill model and a robust covariance estimator⁹. Multivariable models included study site and all variables predictive in bivariable analysis ($P < 0.10$). All analyses were conducted in SAS¹⁰.

Results

Between 2000–2010, 3678 patients started their initial cART regimen and had available follow-up laboratory data. We excluded patients (N) with hematologic (91), hepatic (98), renal (129), and lipid (200) abnormalities pre-cART from each respective analysis. No patients were excluded from all analyses as no patient had all four abnormalities. Overall, 82% were male, 43% white, 37% Black, 15% Hispanic, and 5% other race; 47% were men who have sex with men; and 8% reported injection drug use. At cART initiation, median age was 39 years (interquartile range [IQR]:32–45), median year was 2006 (IQR:2004–2008), median CD4 cell count was 204 cells/mm³ (IQR:64–315) and the median HIV RNA level was 4.90 log₁₀ copies/ml (IQR:4.41–5.39). Five percent were hepatitis B co-infected and 15% were hepatitis C co-infected. Initial cART regimens were predominantly NNRTI-based (63%), of which 93% included efavirenz. Additionally, 37% were boosted-PI-based; of these 56% included atazanavir and 35% lopinavir/ritonavir. Less than 1% of regimens were integrase-inhibitor-based. Most common NRTIs were emtricitabine/lamivudine (100%), tenofovir (72%), zidovudine (22%), and abacavir (10%).

A switch or discontinuation of an antiretroviral drug led to censoring of 39% of patients (N=1434), with a median time to switch/discontinuation of 8.1 months (IQR:3.0–20.2). Additionally, 26% of patients were lost-to-follow-up, and 21% were censored on December 31, 2010. By 3 years post-cART initiation, 14% of patients (N=515) remained in the study. Overall, median follow-up time was 11.1 months (IQR:3.8–24.1) with total follow-up of 5112 person-years. For hematologic, hepatic, renal, and lipid analyses, 451, 338, 114, and 553 patients, respectively, were censored earlier because of an abnormal test.

Median days (IQR) to first laboratory test were 30 (18–53), 31 (19–56), 33 (20–59) and 350 (96–1106) for hematologic, hepatic, renal and lipid, respectively. In the first 3 months of cART, most patients received 1 hematologic, hepatic and renal laboratory measure (79%, 78%, and 76%, respectively) and very few a lipid test (16%) (Figure 1). Among patients still in follow-up one year post-cART initiation, 81%, 79%, and 78% had obtained tests on 2 occasions for hematologic, hepatic, and renal, respectively, while 40% received 1 lipid test. Among patients in follow-up for two years, 51% received 1 lipid tests.

Monitoring for hematologic, hepatic, and renal tests was highest in the first 6 months of cART ($p < 0.001$) and plateaued at a lower rate thereafter. Testing rates during the first 6 months of cART were 1.46, 1.42, and 1.37 per 100 person-days for hematologic, hepatic, and renal, respectively. The corresponding rates between months 6 and 36 of cART were

0.97, 0.93, and 0.92 per 100 person-days. In contrast, lipid monitoring was consistent across time from cART initiation and less frequent than other tests at 0.30 per 100 person-days.

We assessed predictors of the rate of first testing and the rate of testing across follow-up time, stratified by laboratory category (Table 1). More recent calendar years of cART initiation were associated with shorter times to first lipid test as well as greater lipid monitoring rates during follow-up. Conversely, the frequency of hematologic, hepatic, and renal testing appeared stable throughout calendar time. Lower CD4 count and AIDS diagnosis prior to cART were consistently associated with shorter time to all laboratory tests and higher monitoring rates across time for all tests except lipids. For hematologic, hepatic, and renal tests, older age also predicted higher rates of first testing and testing across time. Hepatitis C co-infection was predictive of higher rates for repeated hematologic tests, but was not predictive for other monitoring.

Abacavir use was associated with shorter time to first testing and increased monitoring rates across follow-up for all laboratory types. For hematologic, hepatic, and renal monitoring, patients on boosted-PI regimens had shorter times to first testing and higher monitoring rates during follow-up compared to patients with NNRTI-based regimens. Tenofovir use was associated with shorter time to first hepatic and renal tests, but did not appear predictive of monitoring rates for repeated tests across time.

Hyperglycemia (diabetes mellitus diagnosis or blood glucose measure >120mg/dL prior to cART) was predictive of shorter time to first hepatic, renal, and lipid testing, but was only significantly associated with higher renal monitoring rates across follow-up. Hypertension (diagnosis or blood pressure >140/90 on at least 2 occasions >1 month apart prior to cART) was associated with shorter time to first lipid test, but was not predictive of lipid monitoring rates thereafter. Conversely, while hypertension was not significantly associated with time to first hematologic, hepatic, or renal tests, it was predictive of overall higher monitoring rates for these tests across time.

Discussion

In this clinical HIV cohort, routine laboratory monitoring was as frequent as recommended for hematologic, hepatic, and renal tests for the majority of patients initiating cART between 2000–2010, but lipid monitoring was substantially less than recommended⁴. Specific clinical characteristics predicted the relative frequency of testing. This study evaluated a national multi-site population of HIV-infected patients initiating modern cART in routine clinical care, allowing our findings to be broadly generalizable in the U.S.

Over 75% of patients received the minimum number of recommended tests for hematologic, hepatic, and renal abnormalities by one year of cART. More than 30% received more tests than recommended by one year. Because we only excluded patients based on prior abnormalities for each laboratory type, these extra tests were likely driven by preceding diagnoses, co-administration of other medications, symptoms, or abnormalities on other laboratory tests. In contrast, most patients did not have an annual lipid evaluation, and only 20% of performed tests occurred in the recommended fasting state. In fact, less than half of patients received a lipid test within the first year, and among patients followed for two years, only 51% received a lipid test. This is similar to results from a previous study within CNICS, where 59% of patients had at least one non-HDL measurement during a mean 1.7 years of antiretroviral therapy¹¹. As other monitoring tests were obtained more frequently, it is unlikely that poor lipid monitoring was driven by poor visit attendance. An alternative explanation is that clinicians were hesitant to perform lipid testing in patients who were not

fasting. Monitoring for lipid abnormalities appeared to improve in more recent years, but it remains substandard.

We observed patterns suggesting that clinicians were selective in the abnormalities monitored among patient subgroups. Specifically, hematologic, hepatic, and renal tests were obtained more frequently in those with older age, more advanced HIV disease, comorbidities, or boosted-PI use. As these tests are often obtained together, it is unsurprising that a predictor for receiving one type of test may lead to more frequent testing for all three laboratory categories. While these predictive characteristics may represent patients with better clinic attendance^{12,13}, these observations likely reflect increased clinician vigilance in subgroups perceived to have higher susceptibility to toxicities¹⁴⁻¹⁶. Consistent with this, lipids were monitored more frequently in patients with hypertension and hyperglycemia. Similarly, the association of abacavir use with lipid and renal monitoring may reflect both the tendency to channel patients with possible renal risk to abacavir, and concerns that abacavir increases cardiovascular risk, though this is controversial¹⁷.

Study limitations include the assessment of monitoring rates only among patients on their first cART without prior abnormal laboratory values; therefore findings are not generalizable to patients on subsequent cART regimens or patients with documented laboratory abnormalities. This approach also resulted in a high-rate of censoring, reflective of regimen changes and laboratory abnormalities in routine clinical practice¹⁸⁻²⁰. While current DHHS guidelines specifically recommend fasting lipid panel⁴, we considered both fasting and random non-HDL measurements in our analysis. Restriction to the recommended fasting lipids would have resulted in substantially lower estimates of compliance with lipid monitoring. While our estimates may underestimate all lipid monitoring, isolated total cholesterol values provide incomplete screening for lipid abnormalities, particularly among HIV patients who often do not exhibit classic dyslipidemic patterns. Also, patients may have obtained tests outside of the CNICS clinics, potentially leading to underestimates of monitoring rates, though this is unlikely to explain the discrepancy between monitoring of lipids and other standard labs.

In conclusion, most patients received frequent monitoring in accordance with current guidelines⁴ for hematologic, hepatic, and renal abnormalities, but not lipid abnormalities. Clinicians may be tailoring laboratory monitoring based on perceived risks, and therefore further assessments of adverse events among patients initiating cART in routine clinical care are needed. Future research should also focus on optimizing laboratory monitoring strategies in resource-rich settings, recognizing that research in resource-poor settings has challenged the benefit and cost-effectiveness of asymptomatic laboratory screening in all patient populations^{7,21}.

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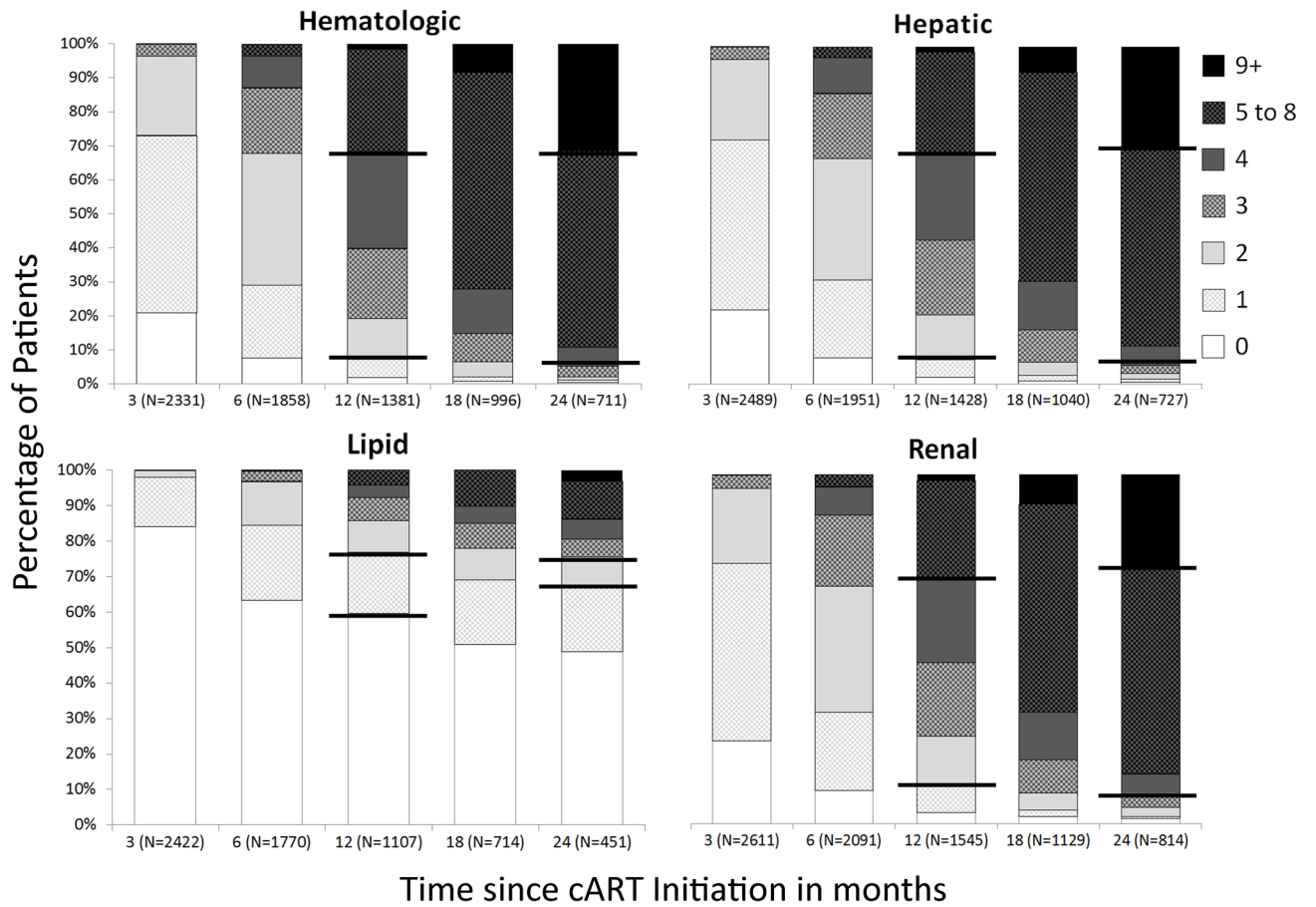


Figure 1. Cumulative number of tests by laboratory category across time since combination antiretroviral therapy (cART) initiation, CFAR Network of Integrated Clinical Systems, between 2000 and 2010. *
 *Bold horizontal lines represent range for expected number of laboratory tests based on 2010 DHHS guidelines at 1 year and at 2 years. These ranges were calculated by taking the recommended frequency of testing and calculating the minimum and maximum number of tests recommended during the time interval. For example, the minimum for hematologic, hepatic, and renal tests at one year was calculated as one test for 8 weeks post-cART initiation and one test 6 months later for a total for a total of 2 tests. Similarly, the maximum was calculated as one test 2 weeks post-cART and then one test for each 3 month interval thereafter, totaling 4 tests within the first year.

Table 1

Independent predictors of laboratory monitoring following combination antiretroviral therapy initiation by laboratory category, CFAR Network of Integrated Clinical Systems, between 2000 and 2010.

	First Laboratory Test*	Repeated Tests*
	HR (95% CI)	HR (95% CI)
Hematologic Tests		
Age (by decade increase)	1.06 (1.03, 1.10)	1.03 (1.00, 1.05)
Female Sex	-	1.02 (0.95, 1.09)
MSM	-	1.01 (0.96, 1.06)
IDU	0.73 (0.64, 0.84)	-
CD4 count (by 100 cells/mm ³ increase)	0.94 (0.92, 0.97)	0.99 (0.97, 1.01)
Viral load (by log ₁₀ increase)	1.01 (0.96, 1.07)	1.00 (0.96, 1.03)
AIDS Diagnosis	1.28 (1.18, 1.39)	1.24 (1.16, 1.31)
cART start year	1.00 (0.99, 1.02)	1.00 (0.99, 1.02)
Boosted-PI (compared to NNRTI)	1.18 (1.10, 1.26)	1.12 (1.07, 1.17)
Zidovudine Use	-	1.10 (0.97, 1.25)
Tenofovir Use	1.01 (0.91, 1.13)	1.05 (0.93, 1.19)
Abacavir Use	1.32 (1.16, 1.51)	1.27 (1.14, 1.42)
Hepatitis C	-	1.13 (1.05, 1.22)
Hypertension	-	1.11 (1.01, 1.21)
Hyperglycemia	1.13 (0.99, 1.30)	1.04 (0.99, 1.10)
Hepatic Tests		
Age (by decade increase)	1.07 (1.03, 1.11)	1.04 (1.01, 1.06)
Female Sex	-	1.07 (0.99, 1.15)
Race/Ethnicity		
White	Ref.	Ref.
Black	0.92 (0.85, 1.00)	0.93 (0.88, 0.98)
Other	1.06 (0.97, 1.16)	1.05 (0.98, 1.13)
MSM	1.12 (1.04, 1.21)	1.02 (0.97, 1.07)
IDU	0.85 (0.73, 0.98)	-
CD4 count (by 100 cells/mm ³ increase)	0.95 (0.92, 0.97)	0.97 (0.96, 0.98)
Viral load (by log ₁₀ increase)	0.97 (0.92, 1.02)	0.99 (0.95, 1.02)
AIDS Diagnosis	1.33 (1.22, 1.44)	1.22 (1.15, 1.30)
cART start year	-	1.01 (1.00, 1.02)
Boosted-PI (compared to NNRTI)	1.23 (1.14, 1.32)	1.15 (1.10, 1.21)
Zidovudine Use	1.16 (0.94, 1.43)	-
Tenofovir Use	1.38 (1.10, 1.71)	1.00 (0.93, 1.08)
Abacavir Use	1.53 (1.28, 1.82)	1.26 (1.16, 1.37)
Hepatitis B	1.10 (0.95, 1.29)	-

	First Laboratory Test*	Repeated Tests*
	HR (95% CI)	HR (95% CI)
Hepatitis C	0.95 (0.85, 1.05)	1.02 (0.93, 1.12)
Hypertension	-	1.09 (1.00, 1.18)
Hyperglycemia	1.19 (1.03, 1.36)	1.03 (0.98, 1.09)
Renal Tests		
Age (by decade increase)	1.06 (1.03, 1.10)	1.04 (1.01, 1.07)
Female Sex	-	1.02 (0.92, 1.13)
Race		
White		Ref.
Black	-	0.95 (0.88, 1.03)
Other	-	1.07 (0.97, 1.18)
MSM	-	0.96 (0.89, 1.04)
IDU	0.77 (0.67, 0.88)	-
CD4 count (by 100 cells/mm ³ increase)	0.95 (0.93, 0.97)	0.95 (0.93, 0.97)
Viral load (by log ₁₀ increase)	0.98 (0.93, 1.03)	0.96 (0.91, 1.01)
AIDS Diagnosis	1.29 (1.19, 1.40)	1.37 (1.27, 1.49)
cART start year	1.01 (1.00, 1.03)	1.01 (1.00, 1.03)
Boosted-PI (compared to NNRTI)	1.13 (1.05, 1.22)	1.14 (1.06, 1.22)
Zidovudine Use	1.14 (0.92, 1.42)	-
Tenofovir Use	1.41 (1.13, 1.75)	1.01 (0.91, 1.13)
Abacavir Use	1.58 (1.32, 1.89)	1.21 (1.07, 1.36)
Hepatitis C	-	1.03 (0.93, 1.14)
Hypertension	1.06 (0.97, 1.15)	1.08 (1.01, 1.16)
Hyperglycemia	1.21 (1.05, 1.39)	1.20 (1.04, 1.39)
Lipid Tests		
Age (by decade increase)	1.02 (0.97, 1.07)	1.02 (0.98, 1.06)
Race/Ethnicity		
White	Ref.	Ref.
Black	1.09 (0.98, 1.21)	0.90 (0.82, 0.99)
Other	0.88 (0.77, 1.01)	0.92 (0.83, 1.03)
IDU	-	1.01 (0.84, 1.21)
CD4 count (per 100 cells/mm ³ increase)	0.95 (0.93, 0.98)	-
AIDS Diagnosis	1.14 (1.02, 1.28)	-
cART start year	1.06 (1.04, 1.09)	1.02 (1.00, 1.05)
Zidovudine Use	1.09 (0.93, 1.28)	-
Tenofovir Use	-	1.07 (0.94, 1.23)
Abacavir Use	1.21 (1.03, 1.43)	1.52 (1.30, 1.77)
Hepatitis C	-	0.94 (0.83, 1.07)
Hypertension	1.14 (1.02, 1.29)	-

	First Laboratory Test[*]	Repeated Tests[*]
	HR (95% CI)	HR (95% CI)
Hyperglycemia	1.22(1.00, 1.47)	1.11 (0.96, 1.27)

* multivariable regression includes all variables predictive in bivariable analysis (all variables with results in column) as well as study site.

HR=hazard ratio; MSM=men who have sex with men; IDU=injection drug user; cART=combination antiretroviral therapy; PI=protease inhibitor; NNRTI=non-nucleoside reverse transcriptase inhibitor