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**Influence of temperature on the volume change behavior of saturated sand**

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Keywords:	energy geostructures, axial strain, volumetric strain, pore water, soil skeleton
Abstract:	The effect of temperature on the volume change of sand is rarely reported but may be relevant to the performance of energy geostructures. This study involves an investigation of the thermal volume change behavior of saturated, dense sand through a series of temperature-controlled, isotropic hollow cylinder triaxial compression tests. Variables measured during a heating stage include the volume of water expelled from the sand specimen, the temperatures at the top, bottom, and inside of the specimen, the axial and volumetric strains. The volumes were used along with thermo-elastic relationships for the pore water and soil skeleton to infer the axial and volumetric strains during drained heating. It was observed that the thermally-induced axial and volumetric strains were negative, reflecting expansion. The pore water was observed to flow out of the sand specimen during heating, reflecting differential thermal expansion of the pore water and sand particles. The thermal volume changes were observed to be independent of the mean effective stress, as the dense sand specimens were all in normally consolidated conditions. Three linear equations incorporating the effects of temperature on the volume change behavior of dense sand were proposed and match well with the experimental data. The experimental approach proposed in this study can be used in the future to evaluate the role of sand density and stress state on the parameters of these equations.

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## **Influence of temperature on the volume change behavior of saturated sand**

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4 20 **Abstract:** The effect of temperature on the volume change of sand is rarely reported but may  
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6 21 be relevant to the performance of energy geostructures. This study involves an investigation  
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8 22 of the thermal volume change behavior of saturated, dense sand through a series of  
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10 23 temperature-controlled, isotropic hollow cylinder triaxial compression tests. Variables  
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12 24 measured during a heating stage include the volume of water expelled from the sand  
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14 25 specimen, the temperatures at the top, bottom, and inside of the specimen, the axial and  
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16 26 volumetric strains. The volumes were used along with thermo-elastic relationships for the  
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18 27 pore water and soil skeleton to infer the axial and volumetric strains during drained heating. It  
19  
20 28 was observed that the thermally-induced axial and volumetric strains were negative,  
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22 29 reflecting expansion. The pore water was observed to flow out of the sand specimen during  
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24 30 heating, reflecting differential thermal expansion of the pore water and sand particles. The  
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26 31 thermal volume changes were observed to be independent of the mean effective stress, as the  
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28 32 dense sand specimens were all in normally consolidated conditions. Three linear equations  
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30 33 incorporating the effects of temperature on the volume change behavior of dense sand were  
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32 34 proposed and match well with the experimental data. The experimental approach proposed in  
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34 35 this study can be used in the future to evaluate the role of sand density and stress state on the  
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36 36 parameters of these equations.

37 **Keywords:** energy geostructures; axial strain; volumetric strain; pore water; soil skeleton  
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## 38 Introduction

39 In recent years, interest in the thermo-mechanical behavior of soils has grown due to analyses  
40 involved in the design of nuclear waste repositories (Gens et al. 2009), trenches for high  
41 voltage electric cables (Sun et al. 2011), energy piles (Brandl 2006; Knellwolf et al. 2011; Ma  
42 and Grabe 2010; Olgun and McCartney 2014), highway pavements (Bianchini et al. 2011;  
43 Kertesz and Sansalone 2014) and geothermal structures (Brandl 2006; Stewart et al. 2014).  
44 The thermal volume change of saturated or unsaturated clays and silts have been investigated  
45 in several studies (Baldi et al. 1988; Burghignoli et al. 2000; Coccia and McCartney 2016a;  
46 Kuntiwattanakul et al. 1995; Ng and Zhou 2014; Romero et al. 2005; Uchaipichat and Khalili  
47 2009; Vega and McCartney 2015; Zhou and Ng 2016). The thermal volume change of clays  
48 and silts have been observed to depend on variables such as stress history (Baldi et al. 1988;  
49 Cekerevac and Laloui 2004; Graham et al. 2001; Sultan et al. 2002; Abuel-Naga, 2006;  
50 Coccia and McCartney 2016b; Coccia and McCartney 2016c), degree of saturation  
51 (Alsherif and McCartney 2015; Uchaipichat and Khalili 2009), rate of heating (Vega et al.  
52 2012), drainage conditions (Kuntiwattanakul et al. 1995; Takai et al. 2016), cyclic heating  
53 and cooling (Ng and Zhou 2014; Vega and McCartney 2015) and anisotropy (Coccia and  
54 McCartney 2011; Shamy et al. 2013; Wang et al. 2016). However, only limited studies have  
55 been performed to evaluate the impact of different variables on the thermal volume change of  
56 sands (Agar et al. 1986; Demars and Charles 1982; Ng et al. 2016). This is an important gap  
57 in the literature as sands are frequently encountered in geotechnical applications that may  
58 undergo temperature changes.

59 An early study on the thermo-mechanical behavior of different soils including sand was

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4 60 performed by Demars and Charles (1982). Specifically, they performed a series of isotropic  
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6 61 triaxial compression tests on clays, silts, clayey silts and sand to investigate the permanent  
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8 62 volume deformations of natural marine soils undergoing a heating and cooling cycle with a  
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10 63 change in temperature of 25 °C. They found that the permanent volume deformation due to  
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12 64 the temperature cycle was independent of the mean effective stress, and ranged from no  
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14 65 measurable volumetric strain for sand to a contractile volumetric strain of 1.80% for clay. The  
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16 66 lack of measurable thermal volume change for sand may be due to the limitations of the  
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18 67 experimental instruments available at the time, which experienced temperature variations of  
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20 68  $\pm 2$  °C during testing, and the heating rate (0.7 °C/min) was relatively fast and may have led  
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22 69 to drainage issues even in dense sands. Ng et al. (2016) observed that loose specimens (i.e.,  
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24 70 relative density of  $D_r = 21\%$ ) and medium-dense specimens (i.e.,  $D_r = 70\%$ ) of Toyoura sand  
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26 71 contracted as the temperature increased from 23 °C to 35 °C, but expanded as the temperature  
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28 72 increased up to 50 °C. However, they observed that dense specimens (i.e.,  $D_r = 90\%$ ) of  
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30 73 Toyoura sand showed only expansion. The volume of water expelled out of the loose  
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32 74 specimen measured by backpressure volume control was observed to be greater than the  
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34 75 theoretical thermal expansion values of the pore water and solid skeleton of the specimen,  
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36 76 and the specimen was observed to contract volumetrically during heating. For denser sand  
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38 77 specimens, the theoretical thermal expansion values of the pore water and solid skeleton of  
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40 78 the specimens increased so that they were greater than the volume of water expelled during  
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42 79 heating. This change resulted in a transition from contractive to expansive behavior during  
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44 80 heating with increasing relative density.

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56 81 In most of the studies on the thermal volume change of different soils mentioned above,  
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4 82 the soil specimens investigated in the temperature-controlled tests are usually solid and  
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6 83 cylindrical in shape, with a maximum diameter and height of 61.8 and 125 mm, respectively.  
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9 84 These dimensions are large enough where a temperature gradient may occur between the  
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11 85 interior and surface of the specimens during transient heating or temperature cycling, and  
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13 86 may result in a inhomogeneous temperature distribution throughout the specimens if full  
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15 87 equilibrium is not reached. Although the hollow cylinder test is conventionally used to study  
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17 88 more complex stress paths than in the triaxial apparatus, the geometry of a hollow cylinder  
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19 89 soil specimen may be advantageous for thermal volume change measurements as temperature  
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21 90 changes can be applied to both the inside and outside of the specimen to reach more uniform  
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23 91 temperature distributions.  
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29 92 The main objective of this paper is to evaluate the thermal volume change behavior of  
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31 93 saturated sand specimens under isotropic stress states by conducting a number of  
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33 94 temperature-controlled hollow triaxial tests. Variables measured include the water volume  
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35 95 expelled out of the specimens as well as axial and volumetric strains during drained heating.  
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37 96 In addition, the influences of temperatures and mean effective stresses on axial and  
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39 97 volumetric strains of sand are also described.  
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#### 45 46 99 **Test apparatus**

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49 100 Fig. 1 shows a photo of the temperature-controlled hollow triaxial apparatus. The apparatus  
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51 101 includes four parts (i.e., pressure control panel, load cell, pressure cell and temperature  
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53 102 control system) and was modified from a computer-controlled hollow-cylinder triaxial testing  
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55 103 system developed to evaluate frozen soils obtained from Geotechnical Consulting and Testing  
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4 104 Systems (GCTS) of Phoenix, AZ. The major addition to this system is the addition of a  
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6 105 temperature control system that can apply temperatures to the boundaries of the soil specimen  
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9 106 ranging from -20 to +80 °C.

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11 The temperature control system consists of an oil bath, a heater, a circulating pump, a heat  
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13 108 exchanger in the metal cover of the outer cell in a spiral loop configuration, a heat exchanger  
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16 109 in the inner cell in a “U”-loop configuration, and three temperature sensors (T1, T2 and T3)  
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18 110 in different locations as shown in Figure 2. The temperature sensors are model Pt100  
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21 111 obtained from GCTS of Phoenix, AZ. To control the temperature, a heat exchange fluid is  
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23  
24 112 circulated through the closed-loop metal tubing on the outside and inside of the cell. The heat  
25  
26 113 exchange fluid is an oil that can be used at different temperatures (model thermal C5 obtained  
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28 114 from Julabo GmbH of Seelbach, Germany), and its temperature was controlled using a  
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31 115 heating unit with an internal circulating pump.

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34 116 Temperature sensors T1 and T2 were placed in the outer cell to measure the temperature  
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36 117 near the bottom and top of the specimen, respectively. The average of the values measured by  
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39 118 temperature sensors T1 and T2 was referred to as the outer temperature. Temperature sensor  
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41 119 T2 was used to provide feedback to the thermostat in the oil bath for setting the target  
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44 120 temperature applied to the specimen. The thermostat in the oil bath controls the power  
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46 121 supplied to the heating unit until thermal equilibrium between the measured and target  
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49 122 temperatures was reached. Temperature sensor T3 was used to measure the inner temperature  
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51 123 of the specimen, which can be used to evaluate the distribution in temperature throughout the  
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54 124 thickness of the hollow cylinder specimen.

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## 126 Testing program

127 Temperature-controlled hollow triaxial tests were conducted on reconstituted specimens of  
128 sand (Xiao et al. 2017a) from Fujian, China. As shown in Fig. 3, the sand has a mean grain  
129 size  $D_{50}$  of 0.60 mm, a coefficient of uniformity  $C_u$  of 7.05, a coefficient of curvature  $C_c$   
130 of 0.54. The maximum and minimum void ratios are 0.708 (ASTM 2014a) and 0.335 (ASTM  
131 2014b), respectively. This sand is a standard sand in China with more than 96%  $\text{SiO}_2$ , and is  
132 used widely in geotechnical laboratory experiments (Xiao et al. 2017b).

133 The outer diameter, inner diameter and height of the specimens are 100 mm, 60 mm, 200  
134 mm, respectively. The sand specimens were prepared by placing predetermined quantities of  
135 soil in the mold filled with de-aired water (Wanatowski and Chu 2008), and gently  
136 compacting it in eight layers using a flat-bottom tamper to reach a relative density  $D_r$  of  
137 approximately 90% corresponding to a dense state.

138 The details of the experimental program are presented in Table 1. In order to seal the  
139 neoprene membranes, two pairs of thermally resistant Viton O-rings (obtained from GCTS of  
140 Phoenix, AZ) were placed around the pedestal and top cap of the inner and outer cells,  
141 respectively.

142 Once the specimen was set up in the cell, the outer stress  $p_o$  and inner stress  $p_i$  were  
143 applied ( $p_o = p_i$ ), and it was ensured that the differences between the outer/inner stresses and  
144 the backpressure stress were below 20 kPa. Then, the backpressure stress  $u_w$  and outer/inner  
145 stresses were increased as a slow rate of 50 kPa/h until reaching an appropriate B-value  
146 reflecting saturated conditions. For all specimens, the Skempton's B-values were measured to  
147 be greater than 0.95 at the end of backpressure saturation. Figure 4 shows the stress paths for

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4 148 Fujian sand. After saturation, all specimens were isotropically consolidated to mean effective  
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6 149 stresses of  $p' = 50, 100, 200$  kPa by raising the outer and inner stresses to different values  
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8 150 ( $p_o = p_i = \sigma_3 = 350, 400, 500$  kPa) while keeping the back stress  $u_w$  constant at 300 kPa  
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11 151 under a constant temperature of 25 °C (i.e., path from 0 to 1). The axial stress was also  
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14 152 controlled to be the same as the inner and outer stresses during this process, corresponding to  
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16 153 an isotropic stress state. While maintaining the axial, outer, inner and backpressure stresses of  
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18 154 the specimens constant, the specimens were heated in drained conditions to different target  
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21 155 values at a rate of 5 °C/h. For each mean effective stress, four different temperatures ( $T = 25,$   
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23 156 35, 45, 55 °C) were applied (i.e., path from 1 to 2), which are within the range of  
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26 157 temperatures experienced in energy geostructures (Murphy and McCartney 2015).  
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### 31 **Thermal calibration test**

#### 32 **Method**

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34 160 *Method*  
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36 161 A metallic sample 100 mm in diameter and 200 mm in height was used to characterize the  
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38 162 thermal expansion of the apparatus (e.g. the drainage system, top soil cap and axial rod),  
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41 163 which was recommended by Cekerevac et al. (2005). The calibration test began at room  
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44 164 temperature (25 °C) by applying the desired mean effective stress ( $p' = 50, 100, 200$  kPa),  
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46 165 and then followed by rising the temperature at a rate of 5 °C/h, until 65 °C. Thereafter, the  
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49 166 temperature of the metallic sample was decreased to the room state (25 °C).  
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51 167 During the heating and cooling phases, the axial strain of the metallic sample is nearly  
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54 168 reversible (as shown in Figure 5), which indicates that the thermal expansion of the top soil  
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56 169 cap and axial rod can be neglected. The slight differences in the axial strain could be due to  
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4 170 slight variances in temperature distribution in the cell.

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6 171 In addition, the drainage system was open during the calibration test, and volume change  
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8 172 of the whole system  $\Delta V_{ws}$  (i.e. drainage system and metallic sample) was measured by the  
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10 173 backpressure volume controller directly, as shown in Figure 6. The results indicated that most  
11  
12 174 of the volume change were reversible (i.e.  $\Delta V_{ws}^r$ ), and these variations were caused by the  
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14 175 dilation of the metallic sample. The other volume change were irreversible (i.e.  $\Delta V_{ws}^{ir}$ ), and  
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16 176 these were the change in volume of the drainage system due to temperature change. Further,  
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18 177 these irreversible volume change were used to correct the volumetric strain of sand specimen  
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20 178 during heating.  
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### 27 28 180 *Actual thermal axial strain*

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30 181 The axial displacement is measured by a linearly-variable differential transformer (LVDT)  
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32 182 with a maximum operating range of 100 mm and an accuracy of 0.1%. The LVDT is placed at  
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34 183 the top of the load frame, and there is a distance about 1.5 m from the LVDT to the soil  
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36 184 specimen, as shown in Figure 1. With such a slow heating rate (5 °C/h) and a long distance, it  
37  
38 185 may be reasonable to expect that the impact of temperature on the LVDT device itself could  
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40 186 be very small and negligible. However, changes in temperature within the cell will result in  
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42 187 both thermal expansion of the top soil cap as well as thermal expansion of the axial rod.  
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44 188 Therefore, a calibration test mentioned above was carried out to account for thermal  
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46 189 expansion of the top soil cap and the axial rod, as shown in Figure 5. And this irreversible  
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48 190 axial strain, which is resulted from the thermal expansion of the top soil cap and axial rod, is  
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50 191 of a very small value that it can be neglected.  
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4 192 The actual thermal axial strain of the sand specimen is defined as follows:

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$$\varepsilon_a = \frac{\Delta z}{H_0} \times 100\% \quad (1)$$

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10 194 where  $\varepsilon_a$  is the axial strain of the sand specimen due to temperature changes,  $\Delta z$  is the

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12 195 axial displacement measured by a LVDT,  $H_0$  is the initial height of the sand specimen (e.g.,

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15 196 at the end of mechanical consolidation for the case of calculating thermal strains).

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20 198 ***Actual thermal volumetric strain***

21  
22 199 The actual volumetric strain for a hollow cylindrical sample is defined as (Hight et al. 1983):

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25 200 
$$\varepsilon_v = -\frac{\Delta V}{V_0} \times 100\% \quad (2)$$

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28 201 where  $\varepsilon_v$  is the actual volumetric strain,  $\Delta V$  is the change in volume of the sand specimen,

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31 202 and  $V_0$  is the initial volume of the specimen.

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34 203 During heating, the volume change of the specimen can be separated into different

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36 204 components, as follows (Campanella and Mitchell 1968; Cekerevac et al. 2005):

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$$\Delta V = \Delta V_{dr} - \Delta V_{de} - \Delta V_w - \Delta V_s \quad (3)$$

40  
41 206 where  $\Delta V_{dr}$  is the volume of water flowing out or into the specimen which can be measured

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43  
44 207 using the backpressure volume controller. The accuracy of volume controller is 0.01 cm<sup>3</sup>.

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46 208  $\Delta V_{de}$  is the thermal expansion of the drainage system, which is the irreversible component

47  
48 209 during a calibration test (i.e.  $\Delta V_{de} = \Delta V_{ws}^{ir}$ ).  $\Delta V_w$  is the thermal expansion of the pore water,

49  
50 210 and  $\Delta V_s$  is the thermal expansion of the solid skeleton. The value of  $\Delta V_w$  can be defined

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53 211 as follows:

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56 212 
$$\Delta V_w = \beta_w (T - T_0) V_w \quad (4)$$

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4 213 where  $\beta_w$  is the volumetric coefficient of thermal expansion of pore water which is assumed  
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6 214 to be a function of temperature using the relationship presented by Campanella and Mitchell  
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8 215 (1968),  $T$  is the target temperature,  $T_0$  is the initial ambient room temperature (25 °C), and  
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11 216  $V_w$  is the volume of pore water in the specimen after mechanical consolidation. The value of  
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14 217  $\Delta V_s$  can be defined as follows:

$$218 \quad \Delta V_s = \beta_s (T - T_0) V_s \quad (5)$$

17  
18 219 where  $\beta_s$  is the volumetric coefficient of thermal expansion of the solid skeleton and  $V_s$  is  
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21 220 the volume of the solid skeleton after mechanical consolidation. As the volumetric coefficient  
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24 221 of thermal expansion of the sand investigated in this study was not directly measured in this  
25  
26 222 study, a value of  $3.5 \times 10^{-5} / ^\circ\text{C}$  was assumed based on the range of values presented by  
27  
28  
29 223 Campanella and Mitchell (1968).

30  
31 224 The strain values given in Equations (1)-(5) were used to interpret the actual volume  
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34 225 changes of the hollow cylinder sand specimens during drained heating.

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## 37 38 227 **Test results**

### 39 40 228 ***Volume of water expelled during heating***

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44 229 An example of time series of temperature inside and outside of the hollow cylinder sand  
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46 230 specimen as well as the water expelled from the specimen is shown in Figure 7. The water  
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49 231 expelled from the specimen was measured by the backpressure volume controller directly.  
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51 232 The results in Figure 7 are for a sand specimen under a mean effective stress of 50 kPa. The  
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54 233 heating phase has three stages, which are 25-35 °C, 35-45 °C and 45-55 °C, and each stage  
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56 234 starts after temperature equilibrium under the former stage was achieved. For a temperature  
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4 235 increment of 10 °C in each heating stage applied at a rate of 5 °C/h, the temperature of the  
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6 236 confining fluid measured by the sensors and water volume expelled out of specimen  
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9 237 measured by the backpressure volume controller began to reach equilibrium after heating  
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11 238 about 2 hours. The volume of water expelled from the specimen was observed to increase  
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14 239 with increasing temperature due to the differential expansion of the pore fluid and the soil  
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16 240 skeleton.

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19 241 During the heating phase, the outer cell temperature, which is the average value of the  
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21 242 measurements from temperature sensors T1 and T2, is lower than the inner cell temperature  
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23 243 measured by temperature sensor T3 (as shown in Figs. 7 and 8(a)). The difference between  
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26 244 these temperatures increases with increasing target temperature as shown in Fig. 9, which can  
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29 245 be described using a linear relationship as follows:

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31 246 
$$T_i - T_o = \chi_{io} + k_{io}T \quad (6)$$

32  
33 247 where  $T_i$  and  $T_o$  are the inner and outer temperatures, respectively;  $\chi_{io}$  and  $k_{io}$  are  
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36 248 constants related to the performance of the heating system. The values of the constants are  
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39 249 listed in Table 3.

40  
41 250 In addition, the bottom temperature measured by temperature sensor T1 is observed to be  
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44 251 initially larger than the temperature at the top of the specimens measured by temperature  
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46 252 sensor T2 during heating, although they reach agreement as the temperature in the cell  
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49 253 stabilizes over time, as shown in Fig. 8(b). The difference between the bottom and top  
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51 254 temperatures after heating for 2 hours decreases with an increase in the target temperature as  
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54 255 shown in Fig. 9, which can be expressed by a linear equation as follows:

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56 256 
$$T_b - T_t = \chi_{bt} + k_{bt}T \quad (7)$$

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4 257 where  $T_b$  and  $T_t$  are the temperatures of the bottom and top of the specimen, respectively,  
5  
6 258 and  $\chi_{bt}$  and  $k_{bt}$  are constants related to the performance of the heating system. The values  
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8  
9 259 of the constants are listed in Table 3.

10  
11 260 The differences in temperature described by Equations (6) and (7) occur because a shorter  
12  
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14 261 heating path leads to lower energy losses under the same power of temperature control  
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16 262 system. Nonetheless, the differences between the temperatures of the inner and outer cells  
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19 263 and the target temperature are small enough to be assumed negligible ( $< 0.5$  °C).

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### 22 23 24 265 ***Trends in thermal strains during heating***

25  
26 266 Typical trends in the axial and volumetric strains during staged heating are shown in Figure  
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28  
29 267 10. All the corresponding values used to determine the thermal volumetric strain using  
30  
31 268 Equation (2) are listed in Table 2. As the soil temperature increases, the axial and volumetric  
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33  
34 269 strains are negative, reflecting expansion. This means that the pore water and soil skeleton  
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36 270 expand during heating, and the pore water expands more than the soil skeleton due to its  
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39 271 greater coefficient of thermal expansion. This calculation assumes that the water remains at  
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41 272 the same temperature as the inside of the specimen when it flows out of the specimen, which  
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44 273 is a reasonable assumption as most of the tubing is insulated near the experimental setup.

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46 274 In addition, the total thermal volumetric strain can be classified into four components (as  
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48  
49 275 shown in Fig. 11). These are the measured volumetric strain due to water outflow or inflow,  
50  
51 276 and the volumetric strains due to thermal expansion of the drainage system, pore water and  
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53  
54 277 solid skeleton of the sand specimen, respectively. The relationship between these four  
55  
56 278 volumetric strain components can be defined as follows:  
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$$\begin{aligned}
 \varepsilon_v &= -\frac{\Delta V}{V_0} = -\frac{\Delta V_{dr} - \Delta V_{de} - \Delta V_w - \Delta V_s}{V_0} \\
 &= -\frac{\Delta V_{dr}}{V_w} \cdot \frac{V_w}{V_0} + \frac{\Delta V_{de}}{V_w} \cdot \frac{V_w}{V_0} + \frac{\Delta V_w}{V_w} \cdot \frac{V_w}{V_0} + \frac{\Delta V_s}{V_s} \cdot \frac{V_s}{V_0} \\
 &= \varepsilon_{vdr} \cdot n + \varepsilon_{vde} \cdot n + \varepsilon_{vw} \cdot n + \varepsilon_{vs} \cdot (1-n)
 \end{aligned} \tag{8}$$

where  $\varepsilon_{vdr}$  is the measured volumetric strain due to water outflow or inflow,  $\varepsilon_{vde}$  is the volumetric strain due to the thermal expansion of the drainage system,  $\varepsilon_{vw}$  and  $\varepsilon_{vs}$  are the volumetric strains due to the thermal expansion of the pore water and solid skeleton of the specimen, respectively, and  $n$  is the initial porosity of the sand specimen (i.e., at the beginning of the heating phase).

The measured volumetric strain due to water outflow or inflow shown in Figure 11 is observed to be positive, reflecting contraction, and water is expelled out of the specimen during drained heating. The volumetric strains due to thermal deformations of the drainage system, pore water and solid skeleton of specimen are negative, reflecting expansion, and the thermal expansion of pore water is more than that of solid skeleton because of the greater volumetric coefficient of thermal expansion of the pore water than the solid skeleton.

It is also observed that the volume of water expelled from the specimen (after considering the impact of drainage system) is lower than the volume changes of the pore water and soil skeleton due to temperature changes. In this case the volumetric strain of sand specimen is less than zero, and the specimen reflects thermal expansion although the water flows out during heating.

## Discussion

The axial strain is plotted as a function of average specimen temperature in Figures 12.

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4 299 After reaching equilibrium at each stage of heating, a linear increase in the axial strain value  
5  
6 300 is observed with increasing temperature. The mean effective stress applied in  
7  
8 301 stress-controlled conditions was not observed to have a major effect on the thermal axial  
9  
10 302 strain value. Similar trends are observed in the thermal volumetric strain plotted as a function  
11  
12 303 of the average specimen temperature in Figure 13. The relationships between the thermal  
13  
14 304 axial, volumetric strains and the ratio of the current temperature to the initial temperature  
15  
16 305 (referred to as the temperature ratio), at different mean effective stress values can be  
17  
18 306 represented using the following linear equations:  
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23  
24 307 
$$\varepsilon_a = k_{at}(T/T_0 - 1) \quad (9)$$

25  
26 308 
$$\varepsilon_v = k_{vt}(T/T_0 - 1) \quad (10)$$

27  
28 309 where  $k_{at}$ ,  $k_{vt}$  are material constants. The values of the constants are listed in Table 3.  
29  
30

31 310 The relation between the axial and volumetric strains due to temperature changes is shown  
32  
33 311 in Figure 14, and can be expressed linearly, as follows:  
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36 312 
$$\varepsilon_a = k_{av}\varepsilon_v \quad (11)$$

37  
38 313 where  $k_{av}$  is material constant. The value of the constant is listed in Table 3.  
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41 314 An increase in the thermal volumetric strain will lead to an increase in the thermal axial  
42  
43 315 strain, and this reflect that the sand specimen exists isotropic expansion during drained  
44  
45 316 heating. And the simulation resulting from the current equation is in good agreement with the  
46  
47 317 experiment data of dense sand specimens during heating to different temperatures under  
48  
49 318 different mean effective stresses.  
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52  
53 319 A relationship between the thermal volumetric strain and temperature can be given as  
54  
55 320 follows:  
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4 321 
$$\varepsilon_v = \beta(T - T_0) \quad (12)$$

5  
6 322 where  $\beta$  is the bulk volumetric coefficient of thermal expansion of the sand specimen (i.e.,  
7  
8 323 the slope in Fig. 15). The value of  $\beta$  is listed in Table 3.

9  
10  
11 324 The sign of  $\beta$  in this study is negative, which indicates that the dense sand specimen  
12  
13 325 occurs expansion during heating. A series of  $\beta$  values can be obtained by the experiments  
14  
15 326 performed by Ng et al. (2016) and Cekerevac et al. (2004) in a similar way. The relationship  
16  
17 327 between the bulk volumetric coefficient of thermal expansion of soil and the relative densities  
18  
19 328  $D_r$  and overconsolidation ratio are essentially linear (as shown in Figure 16) and can be  
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21 329 expressed by the following equations:

22  
23  
24  
25  
26 330 For sand:

27  
28  
29 331 
$$\beta = a + bD_r \quad (13)$$

30  
31 332 For clay:

32  
33 333 
$$\beta = c + d(\text{OCR} - 1) \quad (14)$$

34  
35  
36 334 where OCR is the overconsolidation ratio;  $a$ ,  $b$ ,  $c$  and  $d$  are material constants. The  
37  
38 335 values of the constants are listed in Table 3.

39  
40  
41 336 It can be clear to see that with an increase in the relative density for sand (as shown in  
42  
43 337 Figure 16(a)), the bulk thermal expansion coefficient  $\beta$  increases. And the sand specimen  
44  
45 338 changes from contractive to expansive behavior. A similar relationship can be found for clay  
46  
47 339 (as shown in Figure 16(b)). These results may indicate that the thermal expansive behavior  
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49 340 increases with increasing relative density for sand or OCR for clay, and the value of  $\beta$  for  
50  
51 341 sand is lower than that for clay, which means sand is less sensitive than clay due to  
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53 342 temperature changes.  
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4 343 In addition, Ng et al. (2016) found out that a higher stress would lead to a larger change in  
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6 344 volume of sand specimen due to temperature changes. However, this behavior was not  
7  
8 345 observed in this study. This difference may be caused by the different relative density of sand.  
9  
10 346 The relative density of sand specimens for the various confining stresses ( $p'=50, 200$  kPa) in  
11  
12 347 Ng et al. (2016) was 21%, and this  $D_r$  was the value prior to the thermal cycle, not the  
13  
14 348 initial value. It could be observed that the initial void ratio of sand specimen at a higher mean  
15  
16 349 effective stress ( $p'=200$  kPa) was larger than that at a lower mean effective stress ( $p'=50$   
17  
18 350 kPa) for the same relative density before heating (i.e. at the end of the mechanical  
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20 351 consolidation phase). As we know that a looser sand always has larger contractive volumetric  
21  
22 352 strain under heating (Ng et al. (2016)). Therefore, larger thermal volumetric contraction was  
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24 353 observed when loose specimens ( $D_r=21\%$ ) were tested under higher mean effective stresses  
25  
26 354 in Ng et al. (2016).

27  
28  
29 355 Further, a larger mean effective stress may result in a larger volume of pore water expelled  
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31 356 during the mechanical consolidation phase for a loose sand specimen. Then, the expansion of  
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33 357 pore water in sand specimen during heating phase calculated by Equation (4) is smaller, and  
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35 358 will lead to a larger volume change of the sand specimen calculated by Equation (3). While  
36  
37 359 this relationship, which is the thermal volumetric strain increases with an increase in the  
38  
39 360 mean effective stress found by Ng et al. (2016), is less and less clear with an increase in the  
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41 361 initial relative density. For a dense sand specimen, especially for relative density of 90% in  
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43 362 this study, the relative densities at initial state are close to that after mechanical consolidation  
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45 363 phase as shown in Figure 17. The pore water expelled from the sand specimen during  
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47 364 mechanical consolidation phase have not evident difference at various mean effective stresses.  
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4 365 As a result is that the following thermal volumetric strains are close to each other for different  
5  
6 366 mean effective stresses.  
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8  
9 367 In all cases, the trends in the axial and volumetric strains were linear with changes in  
10  
11 368 temperature. Further, the mean effective stress was not observed to have a major impact on  
12  
13 369 the thermally-induced strains. Although some minor differences were noted in the  
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15 370 thermally-induced strains for the specimens under different mean effective stresses, these  
16  
17 371 were assumed to be due to minor differences in the preparations of the specimen rather than  
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19 372 effects of the mean effective stress.  
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#### 25 26 374 **Conclusions**

27  
28 375 A series of temperature-controlled hollow triaxial tests were carried out to investigate the  
29  
30 376 thermal volume change of saturated, dense sand. The water volume expelled from the sand  
31  
32 377 specimens along with the axial and volumetric strains were observed to be linearly dependent  
33  
34 378 on the changes in temperature. In addition, the axial and volumetric strains due to  
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36 379 temperature changes are negative, reflecting expansion. Water was observed to flow out of  
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38 380 the specimens during drained heating due to differential expansion of the pore water and the  
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40 381 soil skeleton. The relationships among axial and volumetric strains were well-represented by  
41  
42 382 fitted linear equations. Further research is needed to evaluate the impacts of sand density on  
43  
44 383 these relationships.  
45

46 384

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7  
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10 390

11  
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13 391 **Notation**

14  
15  
16 392 *The following symbols are used in this paper:*

17  
18 393  $e_0$  = Initial void ratio;

19  
20 394  $\varepsilon_a$  = Axial strain (%);

21  
22 395  $\varepsilon_v$  = Volumetric strain (%);

23  
24 396  $\varepsilon_{vdr}$  = Measured volumetric strain due to water outflow (%);

25  
26 397  $\varepsilon_{vdr}$  = Volumetric strain due to thermal expansion of drainage system (%);

27  
28 398  $\varepsilon_{vw}$  = Volumetric strain due to thermal expansion of pore water (%);

29  
30 399  $\varepsilon_{vs}$  = Volumetric strain due to thermal expansion of solid skeleton (%);

31  
32 400  $n$  = Initial porosity of the specimen (mm);

33  
34 401  $\Delta z$  = Axial displacement (mm);

35  
36 402  $H_0$  = Initial height of the specimen (mm);

37  
38 403  $V_0$  = Initial volume of the specimen (cm<sup>3</sup>);

39  
40 404  $\Delta V$  = Change in volume of the sand specimen during heating (cm<sup>3</sup>);

41  
42 405  $\Delta V_{dr}$  = Volume of water flowing out or into the specimen (cm<sup>3</sup>);

43  
44 406  $\Delta V_{dc}$  = Thermal expansion of the drainage system (cm<sup>3</sup>);

45  
46 407  $\Delta V_w$  = Thermal expansion of the pore water (cm<sup>3</sup>);

47  
48 408  $\Delta V_s$  = Thermal expansion of the solid skeleton (cm<sup>3</sup>);  
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3 409  $\Delta V_{ws}$  = Thermal volume change of the whole system (cm<sup>3</sup>);  
4  
5  
6 410  $\Delta V_{ws}^r$  = Thermal volume change of the metallic specimen (cm<sup>3</sup>);  
7  
8 411  $\Delta V_{ws}^{ir}$  = Thermal volume change of the drainage system (cm<sup>3</sup>);  
9  
10  
11 412  $\beta_w$  = Volumetric coefficient of thermal expansion of pore water;  
12  
13 413  $\beta_s$  = Volumetric coefficient of thermal expansion of solid skeleton;  
14  
15  
16 414  $V_w$  = Volume of pore water in the specimen after mechanical consolidation (cm<sup>3</sup>);  
17  
18 415  $V_s$  = Volume of the solid skeleton after mechanical consolidation (cm<sup>3</sup>);  
19  
20  
21 416  $T$  = Target temperature (°C);  
22  
23 417  $T_0$  = Initial ambient room temperature (°C);  
24  
25  
26 418  $T_i$  = Inner temperature (°C);  
27  
28 419  $T_o$  = Outer temperature (°C);  
29  
30  
31 420  $T_b$  = Bottom temperature (°C);  
32  
33 421  $T_t$  = Top temperature (°C);  
34  
35  
36 422  $D_{50}$  = Mean grain size (mm);  
37  
38 423  $C_u$  = Coefficient of uniformity;  
39  
40 424  $C_c$  = Coefficient of curvature;  
41  
42  
43 425  $p'$  = Mean effective stress (kPa);  
44  
45  
46 426  $D_r$  = Relative density (%);  
47  
48 427  $p_o$  = Outer stress (kPa);  
49  
50  
51 428  $p_i$  = Inner stress (kPa);  
52  
53  
54 429  $u_w$  = Backpressure stress (kPa);  
55  
56 430  $\sigma_3$  = Minor principal stress (kPa);  
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4 431  $t$  = Heating time (h);

5  
6 432  $\beta$  = Bulk volumetric coefficient of thermal expansion of the sand specimen (%/°C);

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8  
9 433 OCR = Overconsolidation ratio;

10  
11 434  $\chi_{io}$ ,  $k_{io}$ ,  $\chi_{bt}$ ,  $k_{io}$ ,  $k_{bt}$ ,  $k_{bt}$ ,  $\chi_{io}$ ,  $\chi_{bt}$ ,  $k_{at}$ ,  $k_{vt}$  and  $k_{av}$ ,  $a$ ,  $b$ ,  $c$  and  $d$  = Constants.

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For Review Only



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**Table Caption List:**

**Table. 1.** Details of the experimental program

**Table. 2.** Data processing procedures to determine thermal volumetric strain

**Table. 3.** Values of constants

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**Table 1.** Details of the experimental program

Number	Mean effective stress $p'$ : kPa	Applied temperature $T$ : °C	Initial void ratio $e_0$	Thermal strain: %	
				$\epsilon_a$	$\epsilon_v$
1	50	25	0.372	0.000	0.000
2		35	0.370	-0.043	-0.073
3		45	0.375	-0.086	-0.141
4		55	0.371	-0.133	-0.221
5	100	25	0.376	0.000	0.000
6		35	0.375	-0.042	-0.074
7		45	0.373	-0.086	-0.132
8		55	0.370	-0.136	-0.170
9	200	25	0.375	0.000	0.000
10		35	0.372	-0.049	-0.072
11		45	0.370	-0.092	-0.145
12		55	0.374	-0.138	-0.242



**Table 2.** Data processing procedures to determine thermal volumetric strain

$T$ : °C	$p'$ : kPa	$t$ : h	$\Delta V_{dr}$ : cm <sup>3</sup>	$\Delta V_{de}$ : cm <sup>3</sup>	$\Delta V_w$ : cm <sup>3</sup>	$\Delta V_s$ : cm <sup>3</sup>	$\Delta V$ : cm <sup>3</sup>	$\varepsilon_v$ : %
25	50	0	0.000	0.000	0.000	0.000	0.000	0.000
35		3	0.430	0.090	0.816	0.254	-0.730	-0.073
45		6	1.020	0.070	1.852	0.511	-1.413	-0.141
55		9	1.740	0.040	3.146	0.777	-2.223	-0.221
25		0	0.000	0.000	0.000	0.000	0.000	0.000
35	100	3	0.450	0.120	0.818	0.256	-0.743	-0.074
45		6	1.130	0.090	1.850	0.512	-1.323	-0.132
55		9	2.260	0.060	3.133	0.776	-1.709	-0.170
25		0	0.000	0.000	0.000	0.000	0.000	0.000
35	200	3	0.420	0.080	0.810	0.254	-0.724	-0.072
45		6	0.950	0.060	1.832	0.510	-1.452	-0.145
55		9	1.530	0.040	3.131	0.779	-2.420	-0.242
25		0	0.000	0.000	0.000	0.000	0.000	0.000

**Table 3.** Values of constants

Equation number	Symbol	Unit	Value
(6)	$\chi_{io}$	°C	-0.094
	$k_{io}$	-	0.020
(7)	$\chi_{bt}$	°C	1.519
	$k_{bt}$	-	-0.024
(9)	$k_{at}$	-	-0.112
(10)	$k_{vt}$	-	-0.176
(11)	$k_{av}$	-	0.628
(12)	$\beta$		-0.007
(13)	$a$		0.017
	$b$	%/°C	-0.021
	$c$		0.006
(14)	$d$		-0.003

**Figure Caption List:**

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- Fig. 1.** Photo of the temperature-controlled hollow triaxial apparatus
- Fig. 2.** Schematic diagram of the temperature-controlled hollow triaxial apparatus
- Fig. 3.** Particle size distribution for Fujian sand
- Fig. 4.** Stress paths for Fujian sand
- Fig. 5.** Axial displacement of the dummy sample during the heating-cooling cycle
- Fig. 6.** Volume change of drainage system during the heating-cooling cycle
- Fig. 7.** Time series of temperature and water volume expelled from specimen ( $p' = 50$  kPa)
- Fig. 8.** Time series of temperature of the sand specimen during the staged heating phases performed under a mean effective stress of  $p' = 50$  kPa: (a) Temperatures on the inside (inner) and outside (cell) of the specimen; (b) Temperatures at the top and bottom of the cell
- Fig. 9.** Differences in the spatial distribution in temperature in the specimen during heating to different target temperatures ( $p' = 50$  kPa)
- Fig. 10.** Time series of axial and volumetric strains ( $p' = 50$  kPa)
- Fig. 11.** Typical time series of the consist of the thermal volumetric strain ( $p' = 50$  kPa)
- Fig. 12.** Relationship between the thermal axial strain and temperature ratio
- Fig. 13.** Relationship between the thermal volumetric strain and temperature ratio
- Fig. 14.** Relationship between the thermal axial and volumetric strains
- Fig. 15.** Relationship between the thermal volumetric strain and temperature change

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4 with the bulk thermal expansion coefficient of sand specimen  
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6 **Fig. 16.** (a) Relationship between the bulk thermal expansion coefficient and relative  
7 densities for Toyoura sand (data from Ng et al. 2016) and Fujian sand; (b)  
8 Relationship between the bulk thermal expansion coefficient and  
9 overconsolidation ratio for Kaolin clay (data from Cekerevac and Laloui  
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**Fig. 17.** Change in relative density of the sand specimen before and after mechanical  
consolidation

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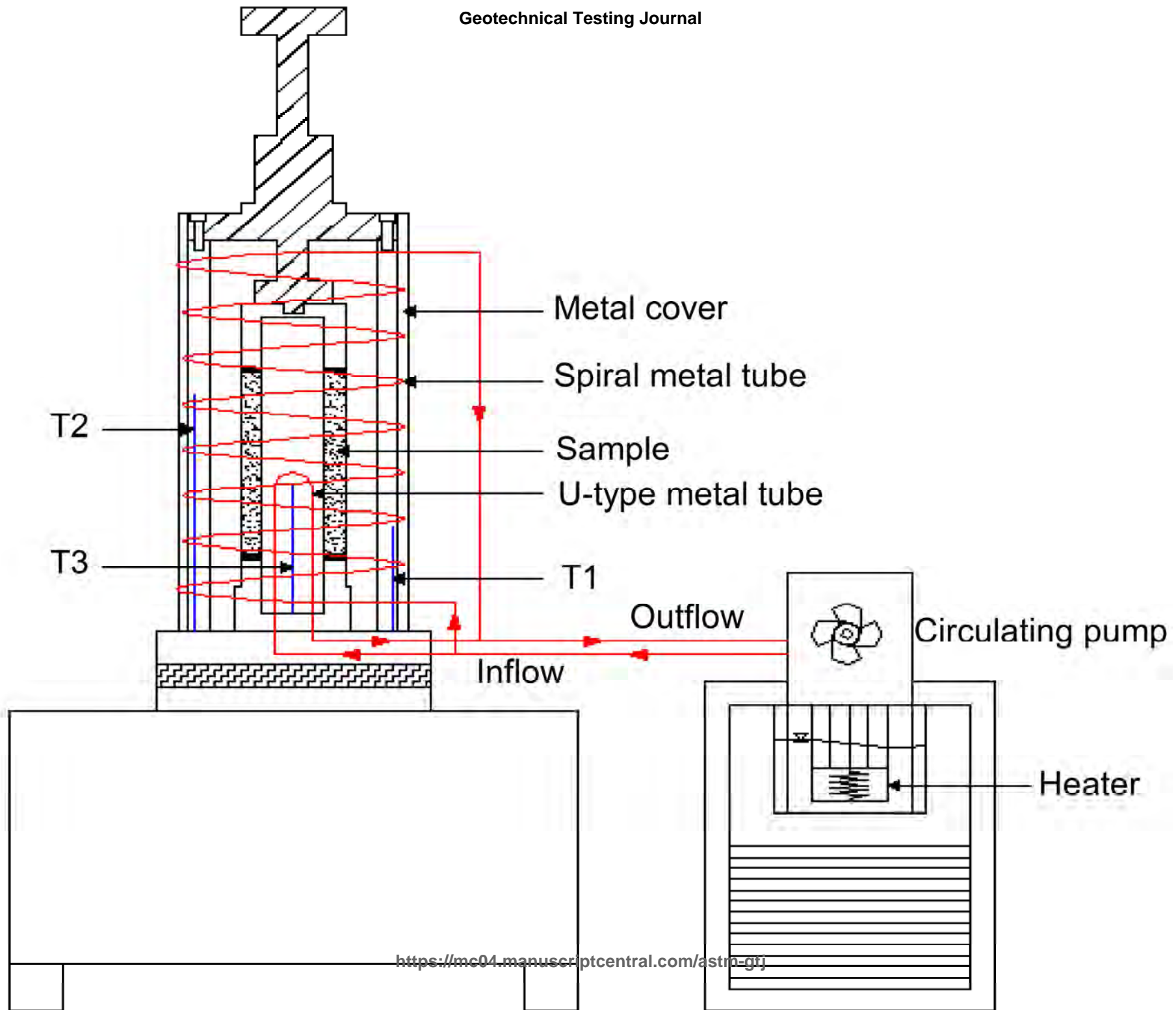
Pressure control panel

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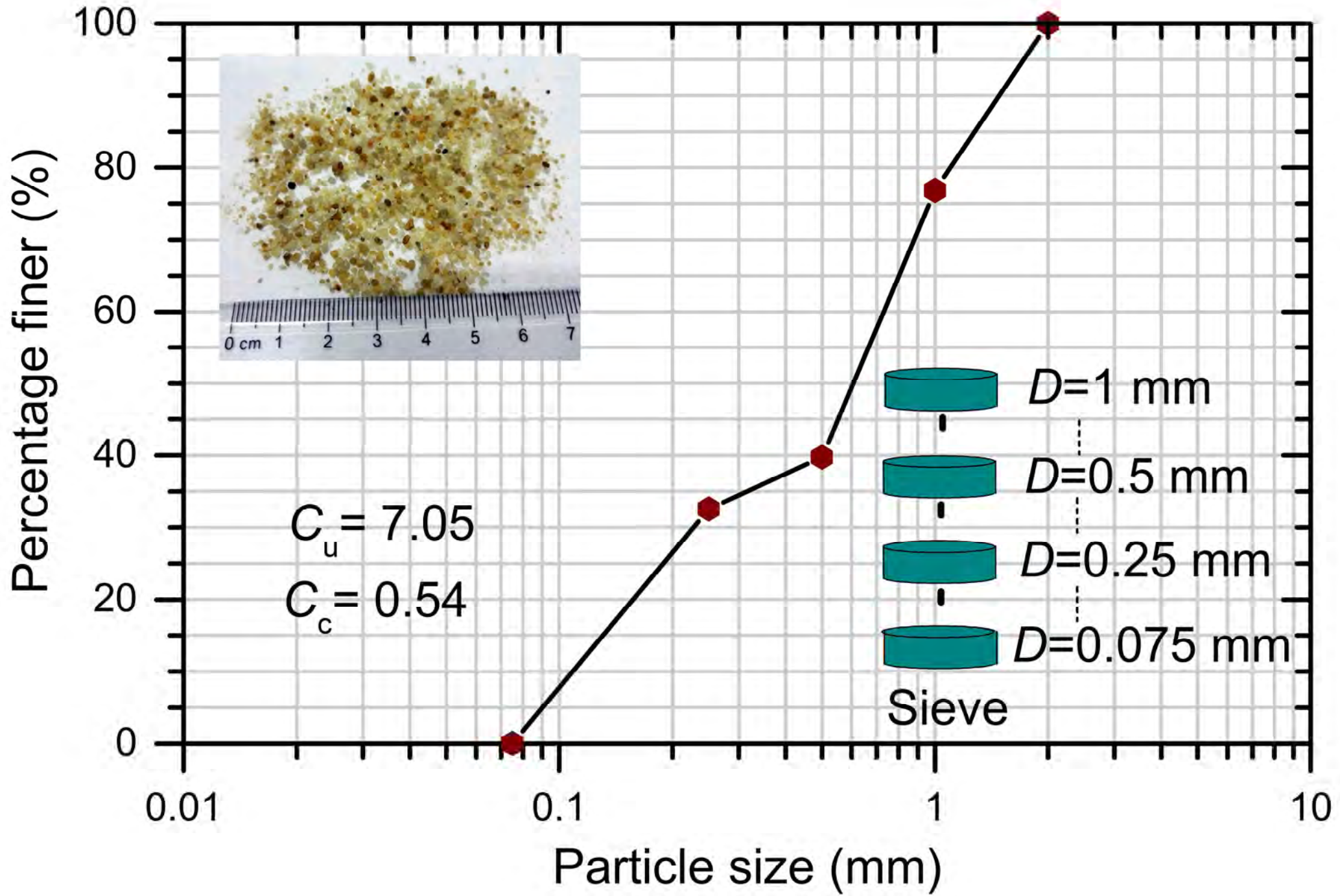
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Temperature control system



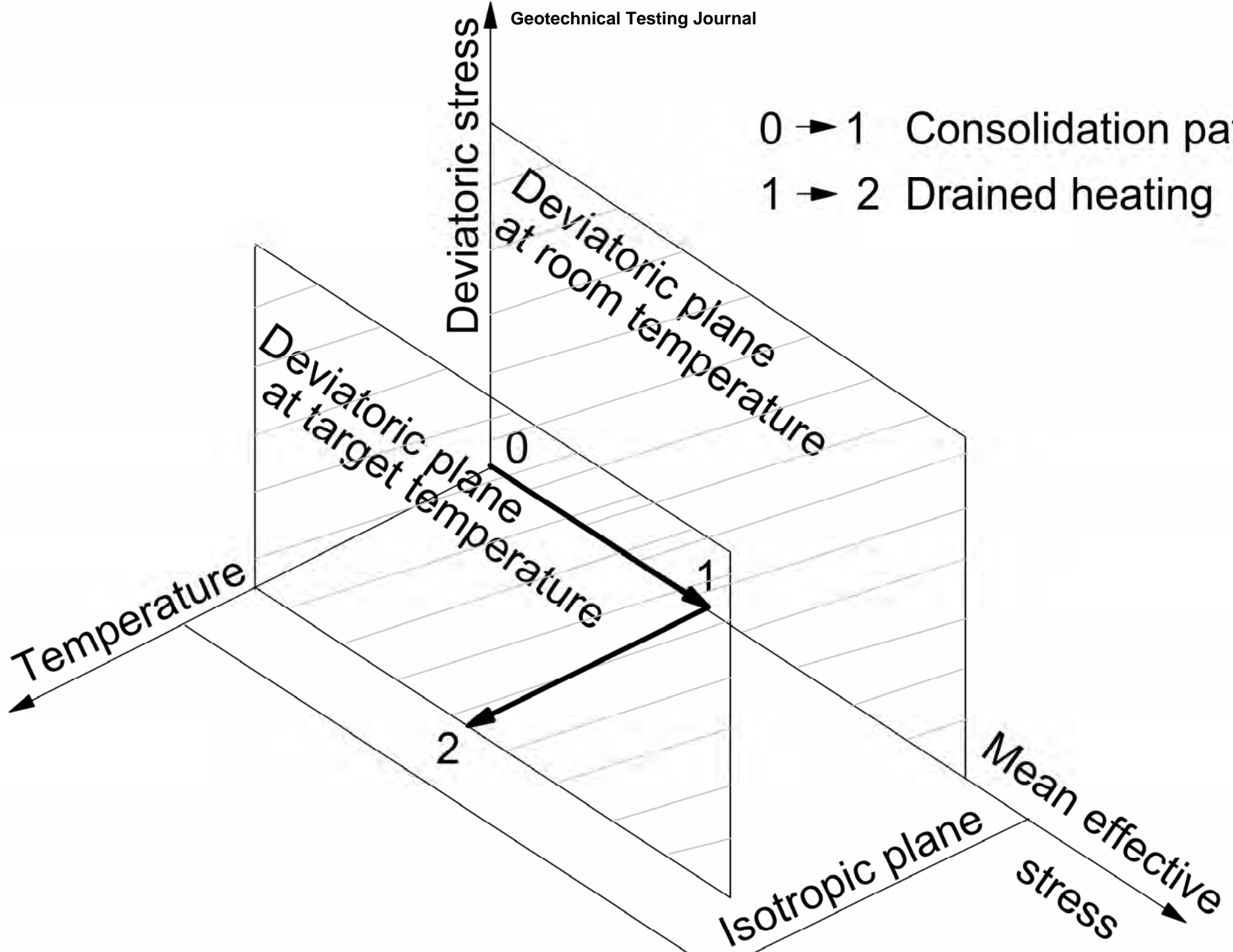


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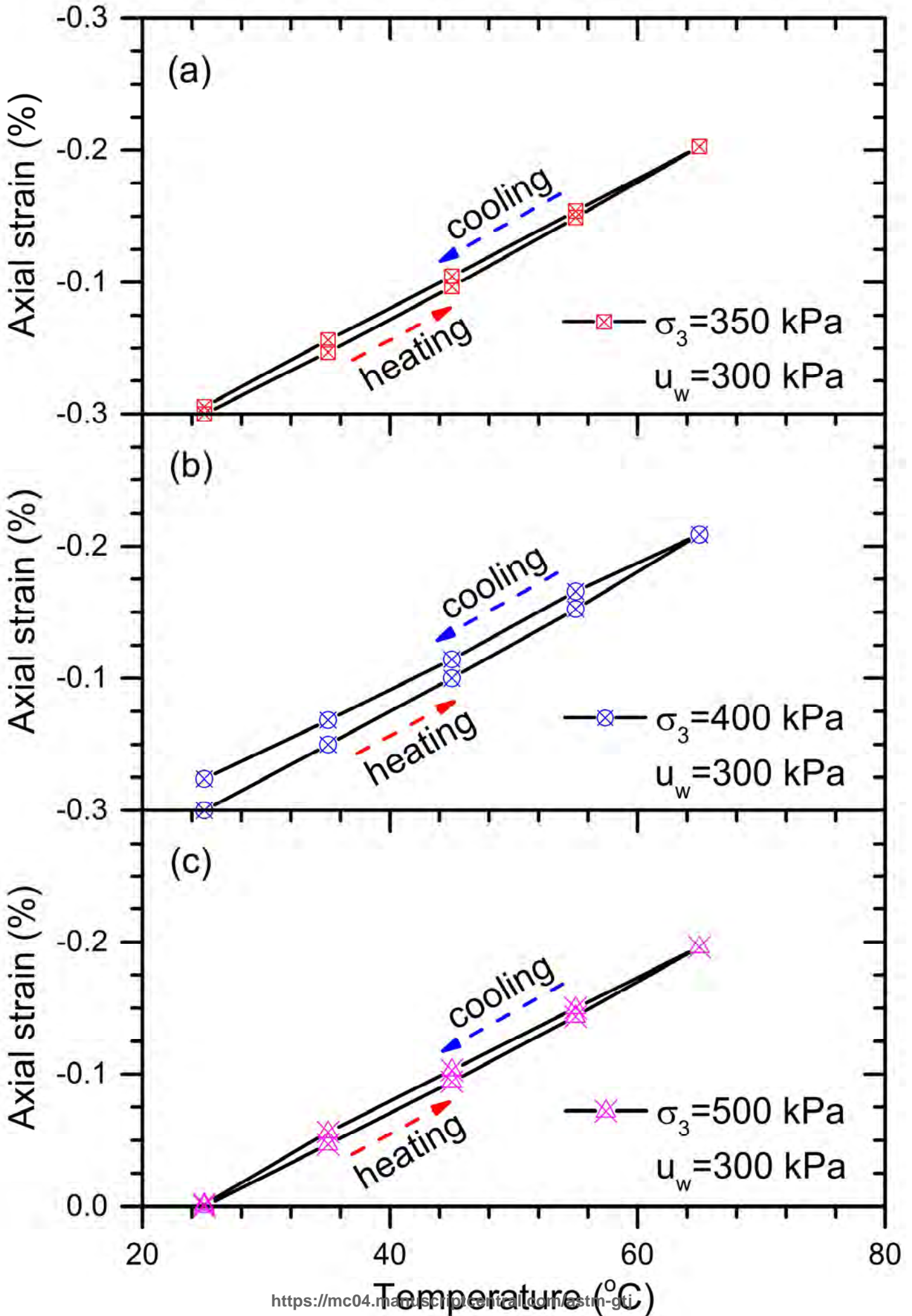


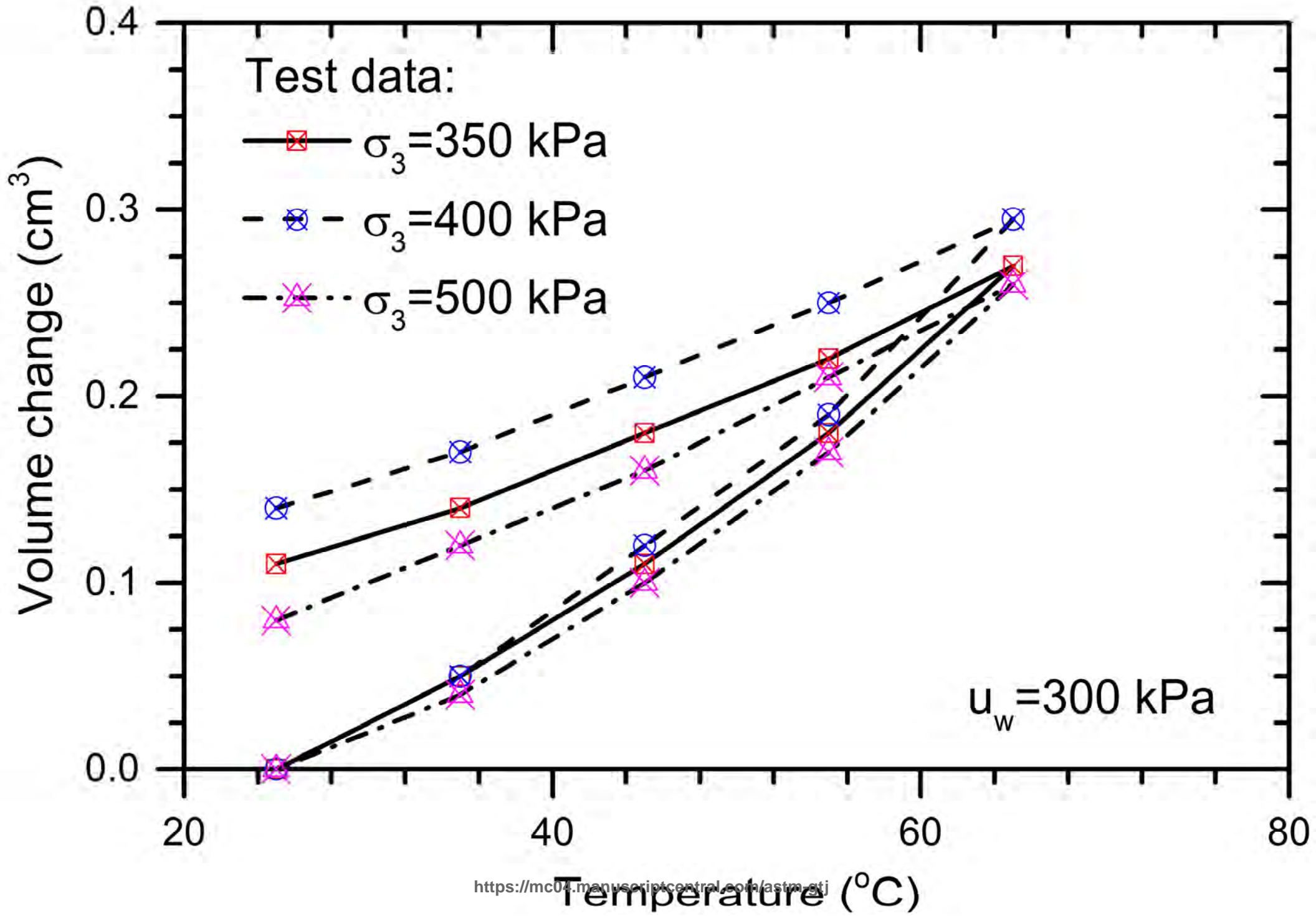
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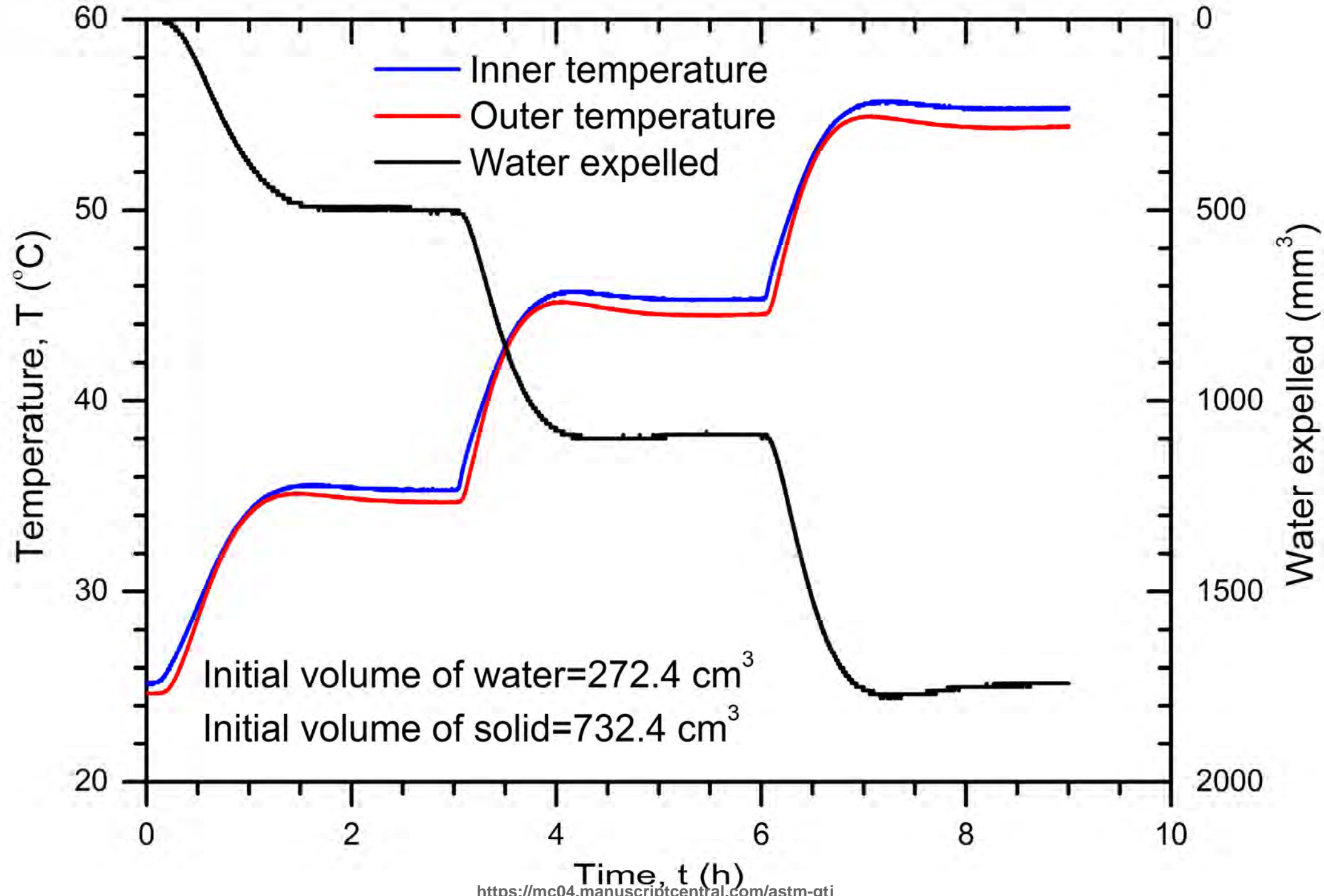
0 → 1 Consolidation path  
1 → 2 Drained heating



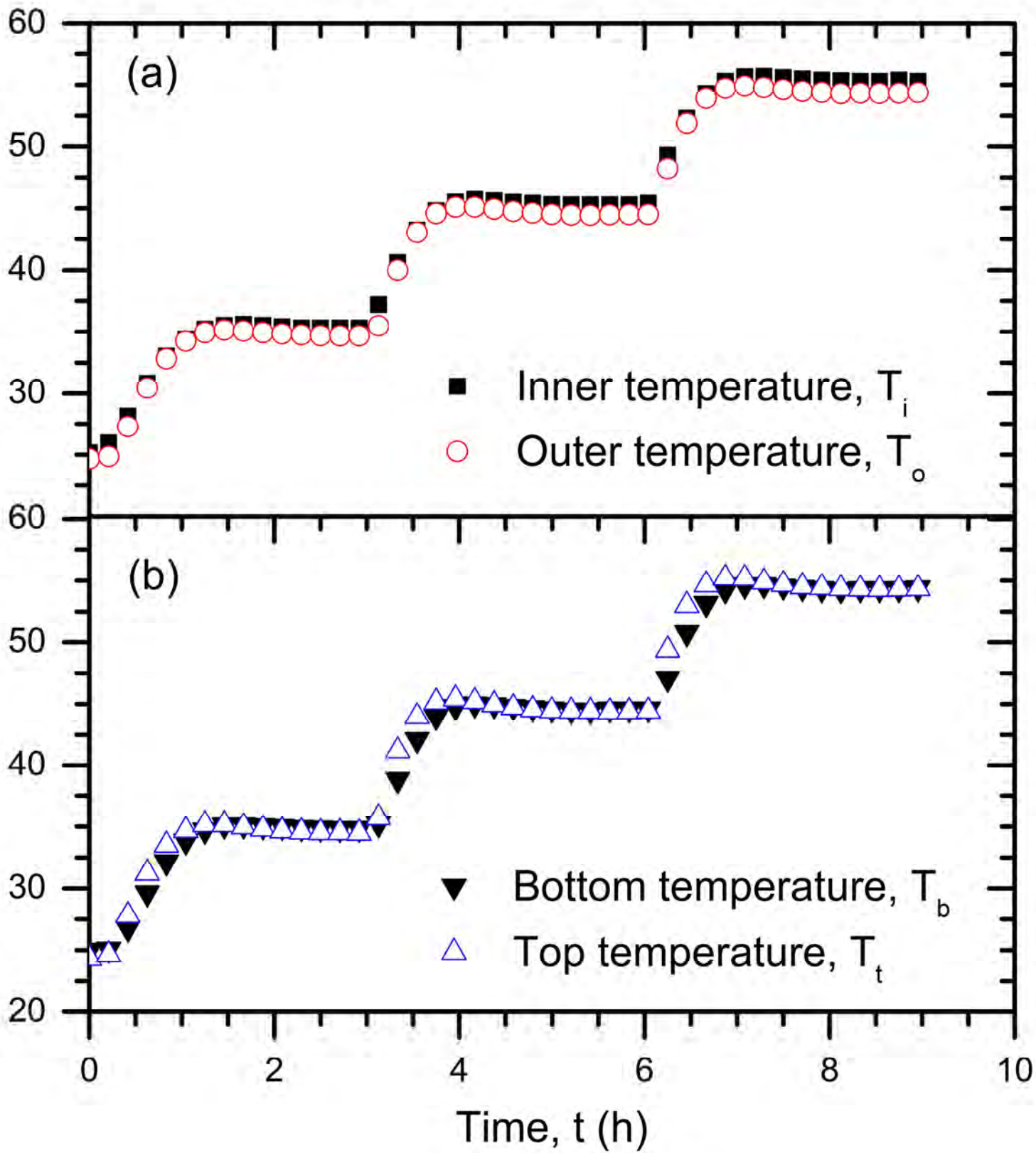


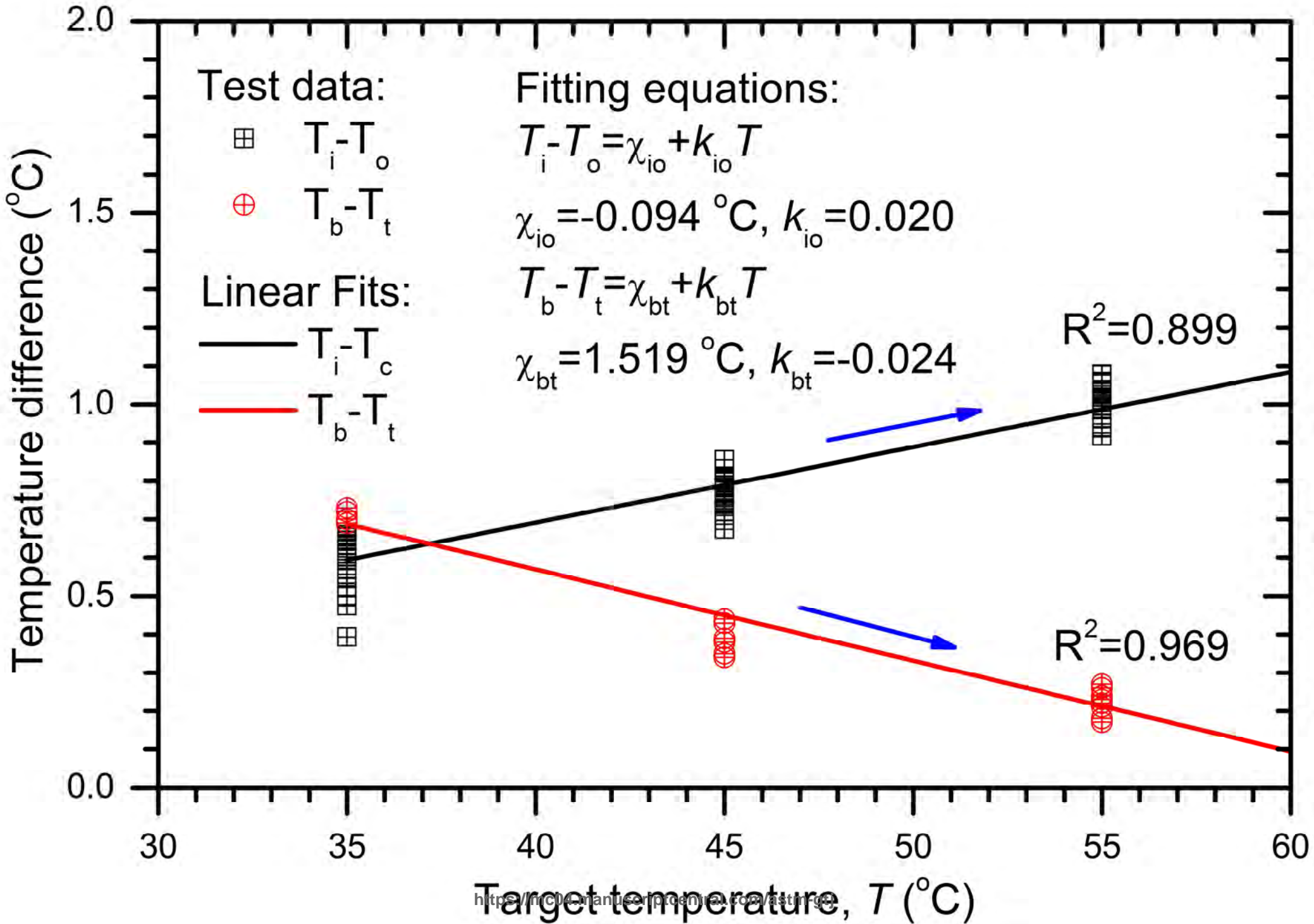




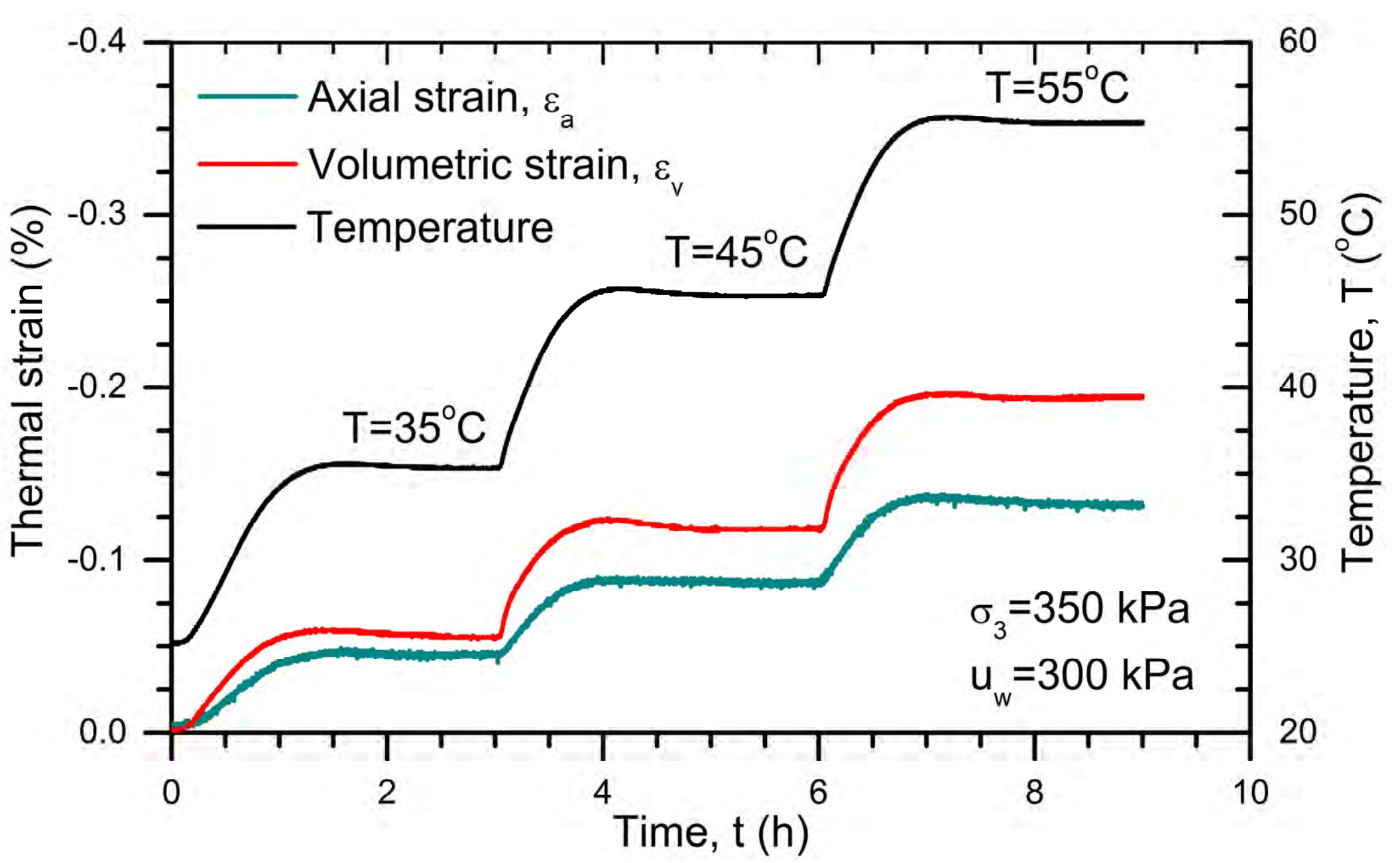


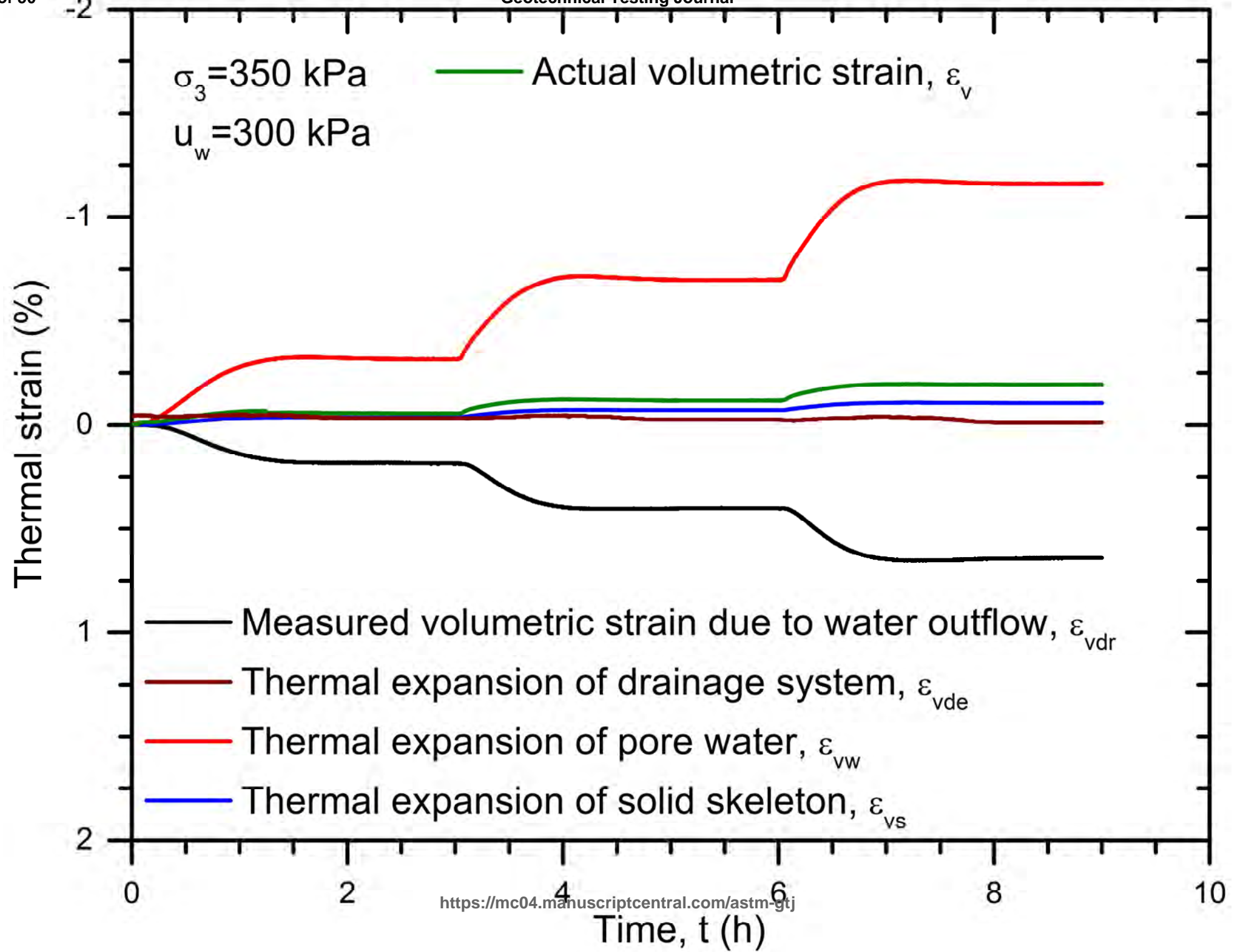
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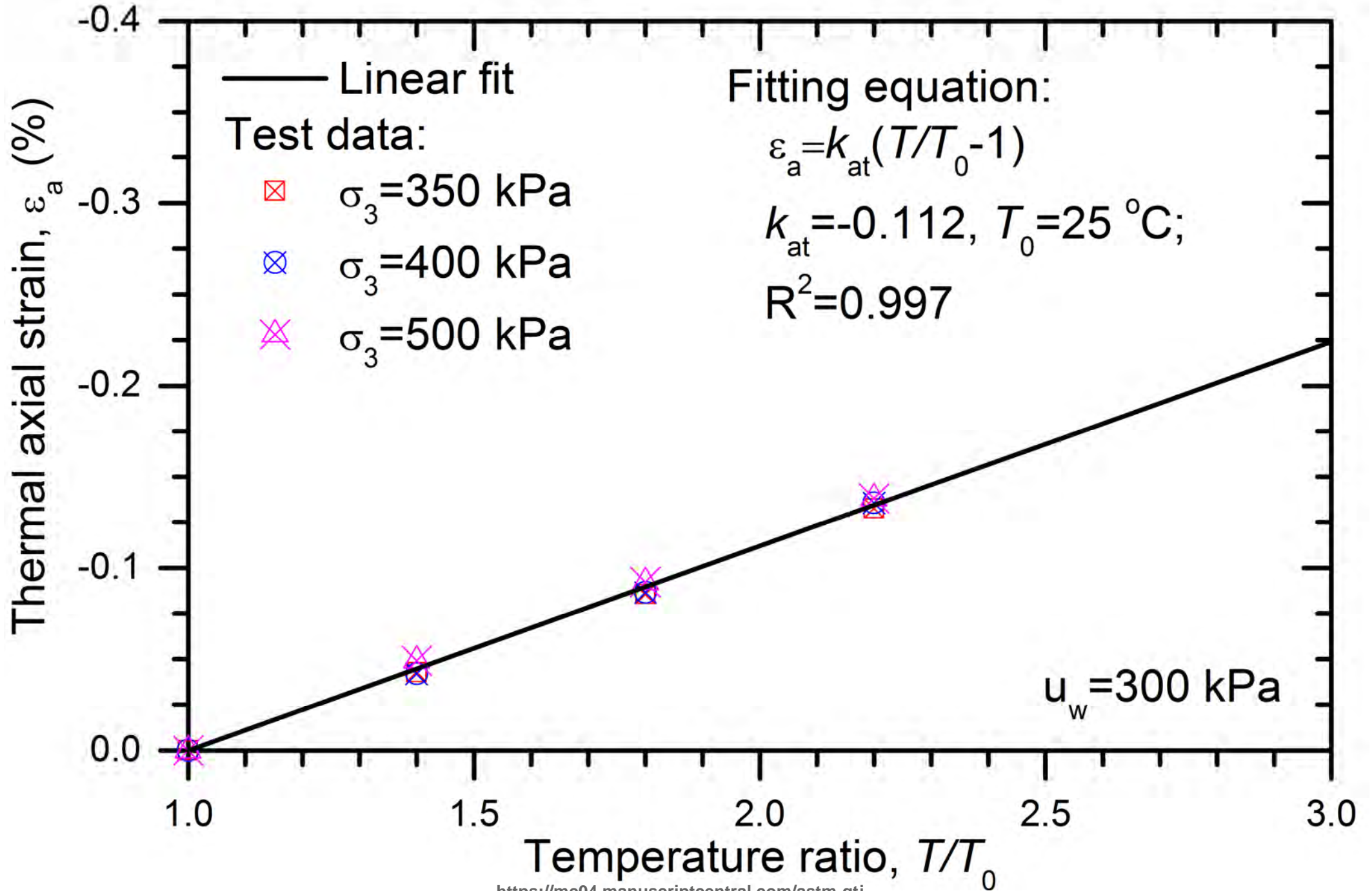


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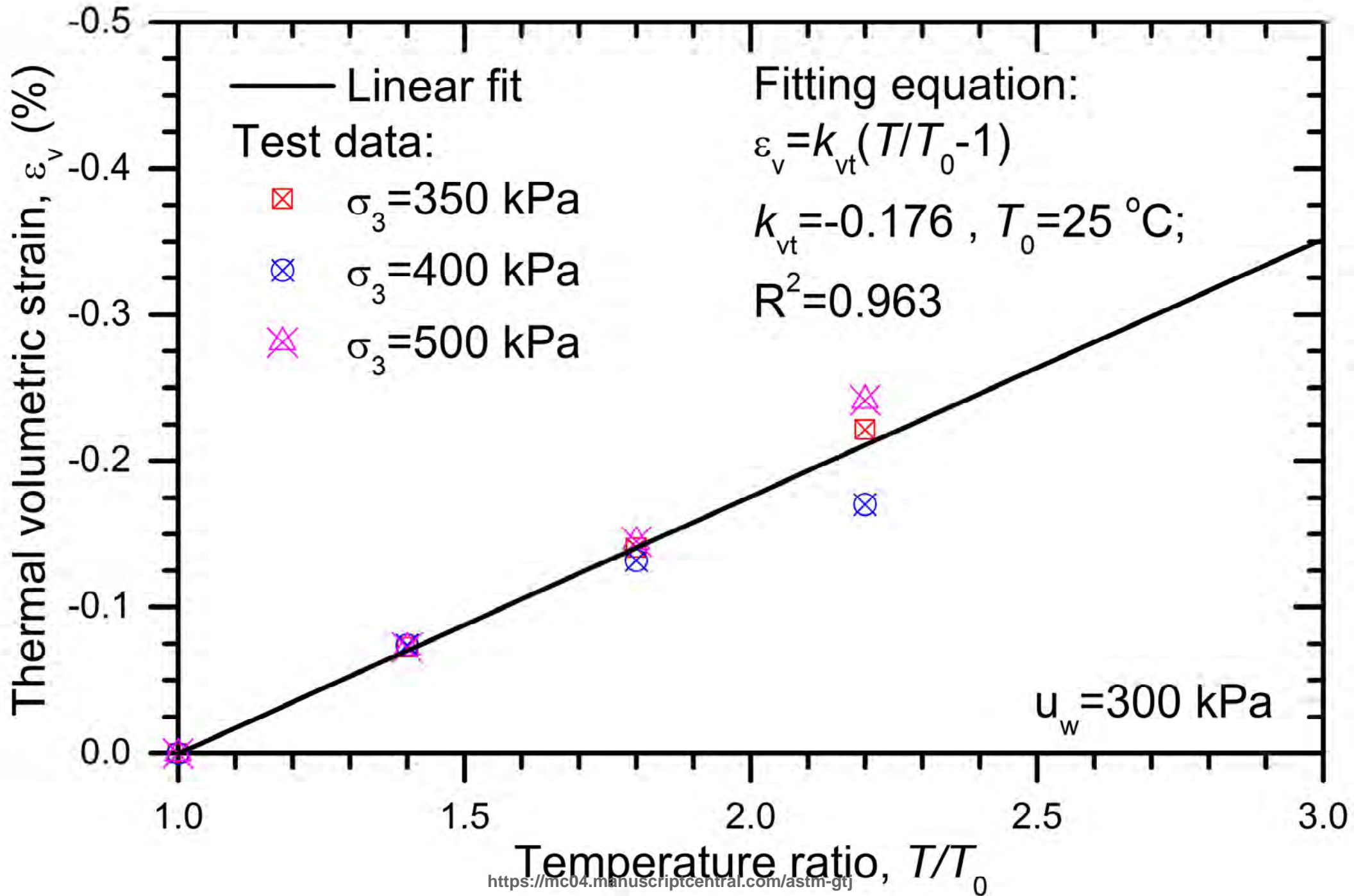


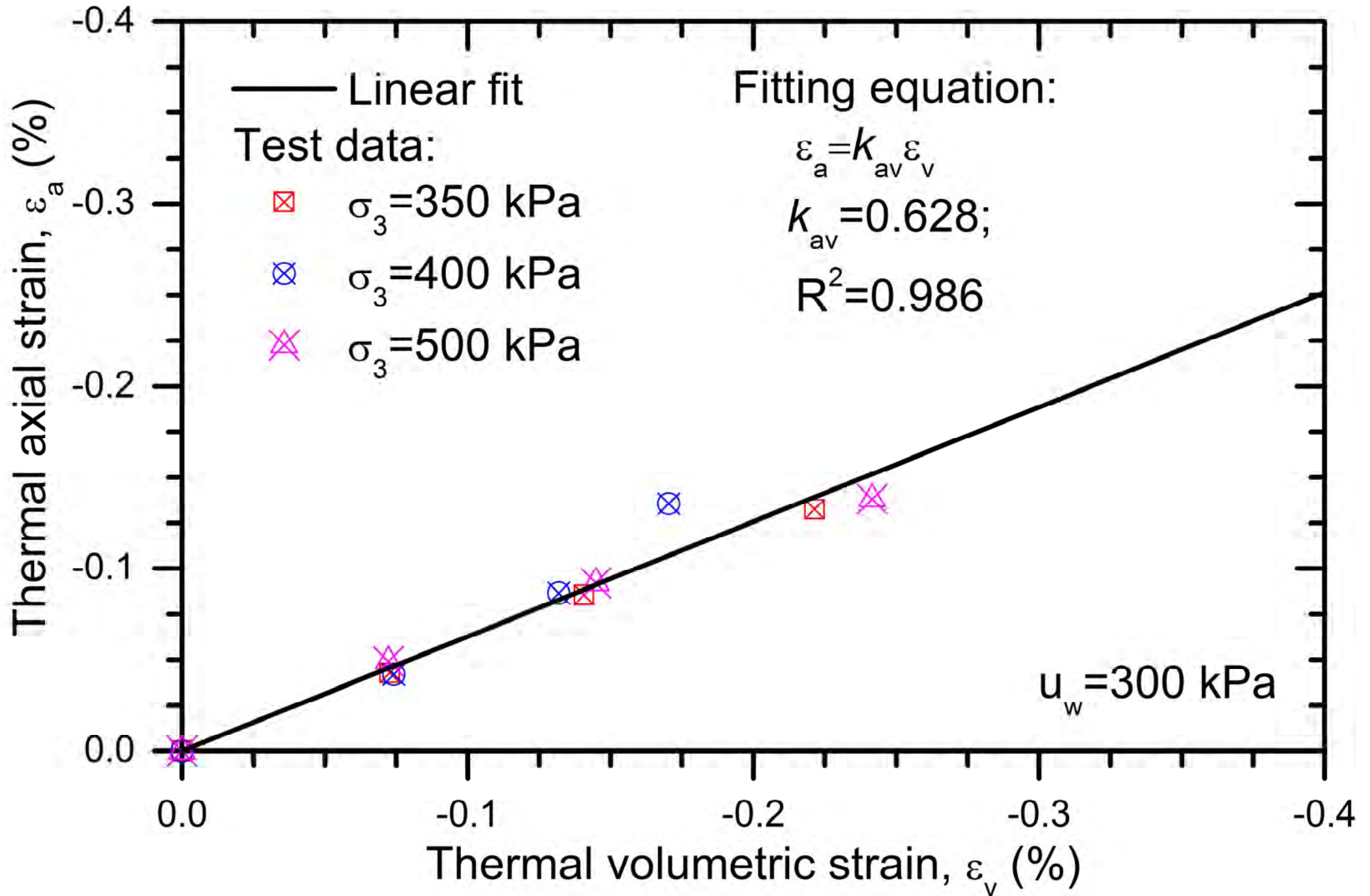
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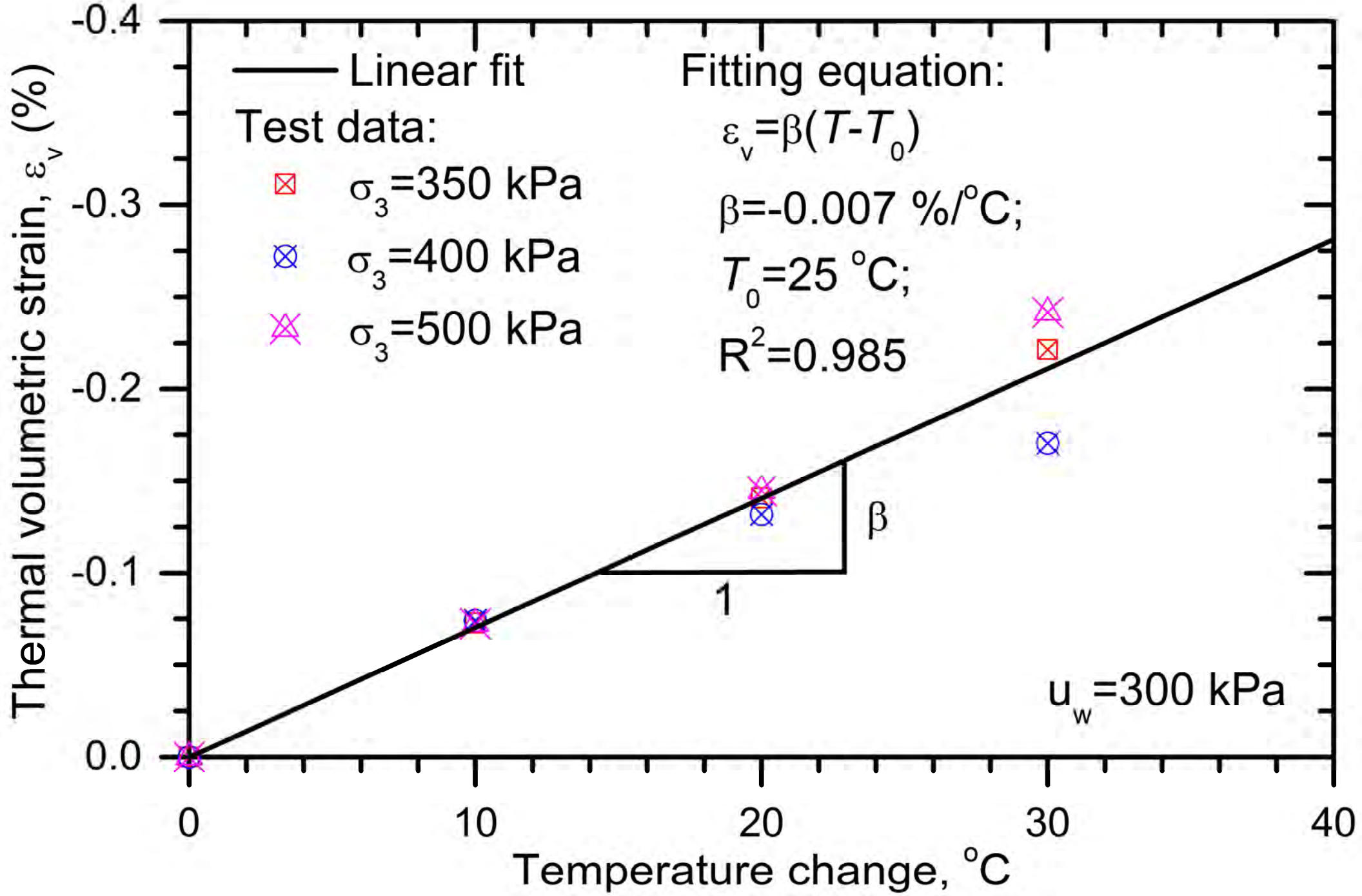
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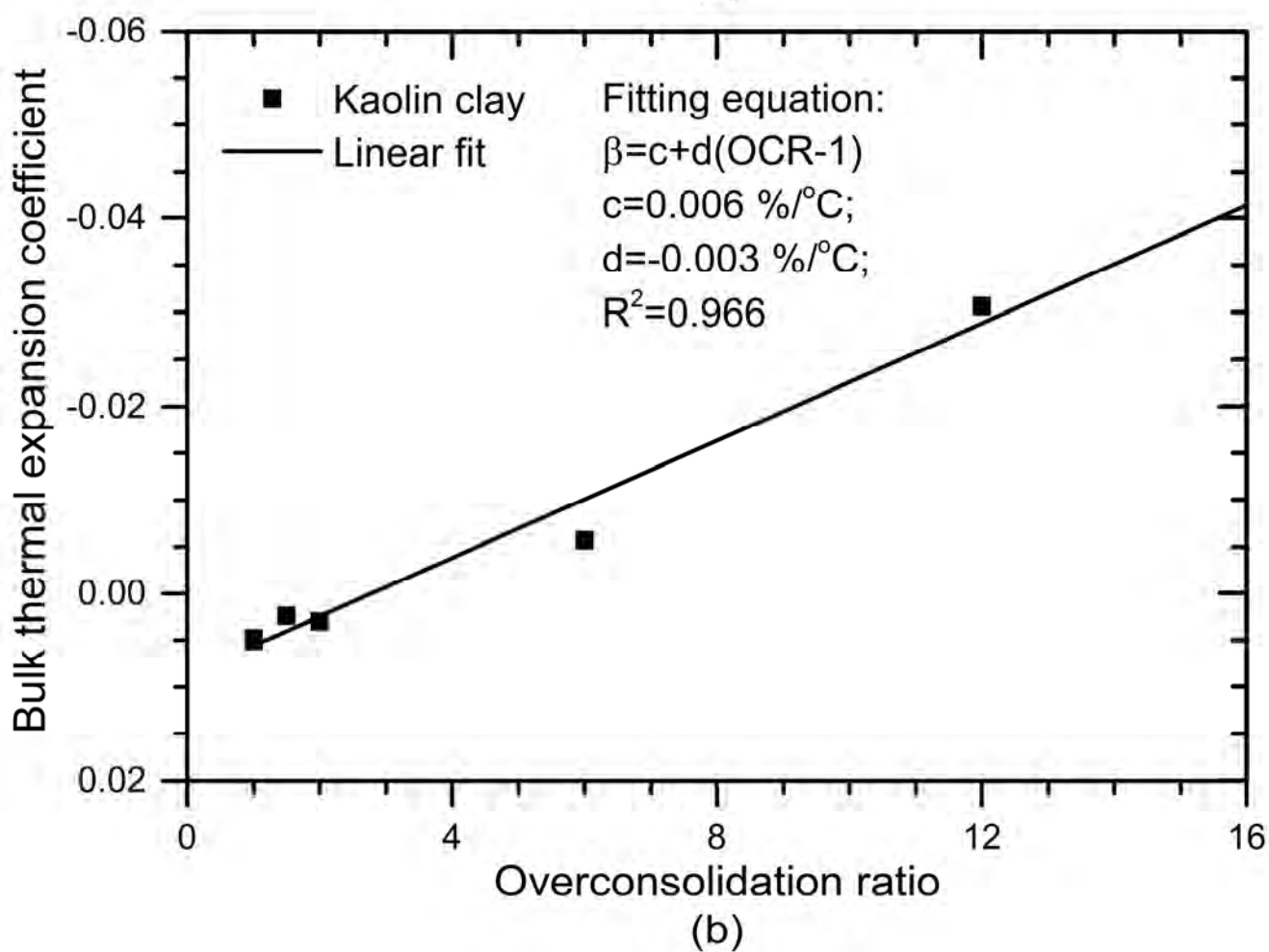
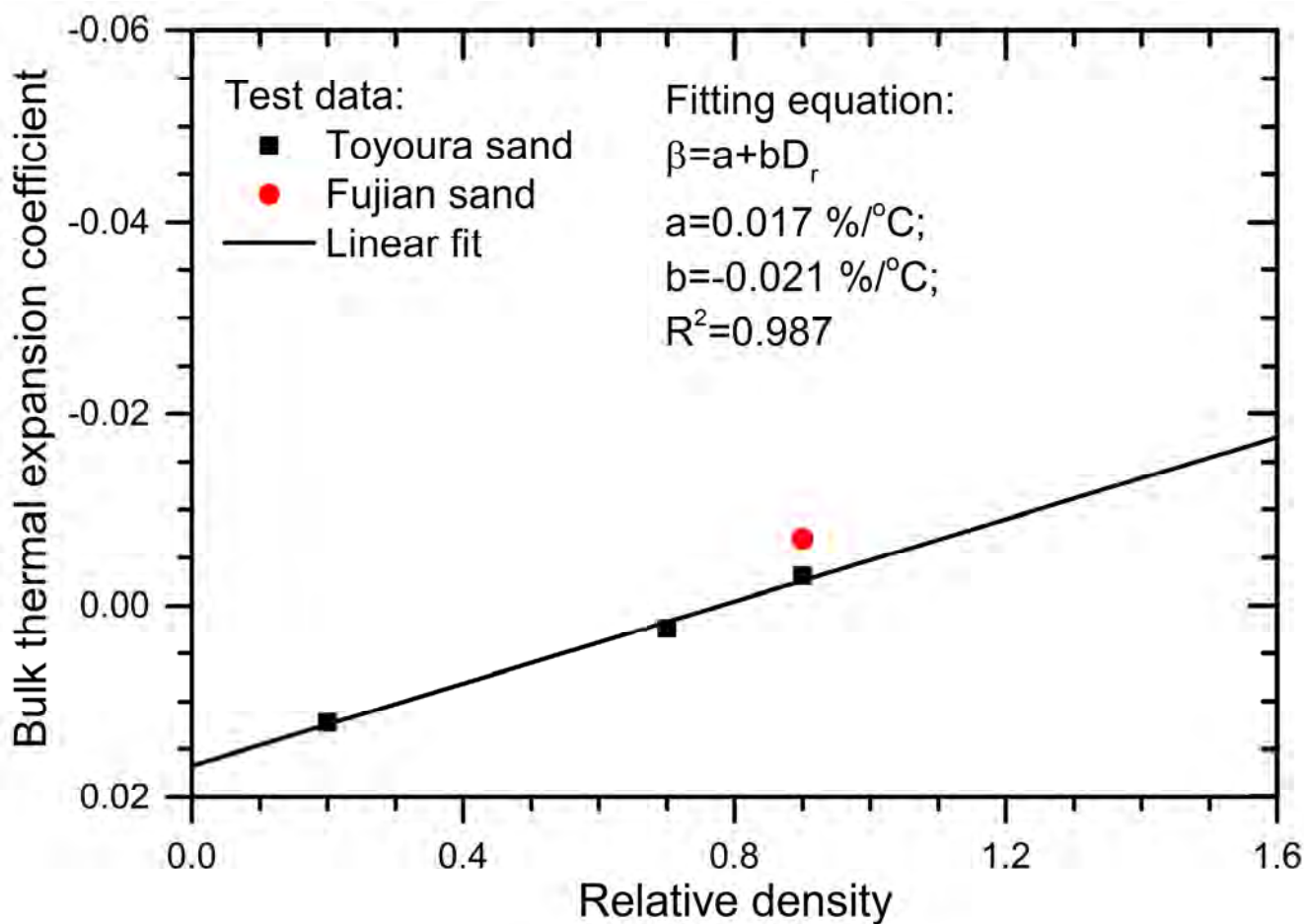


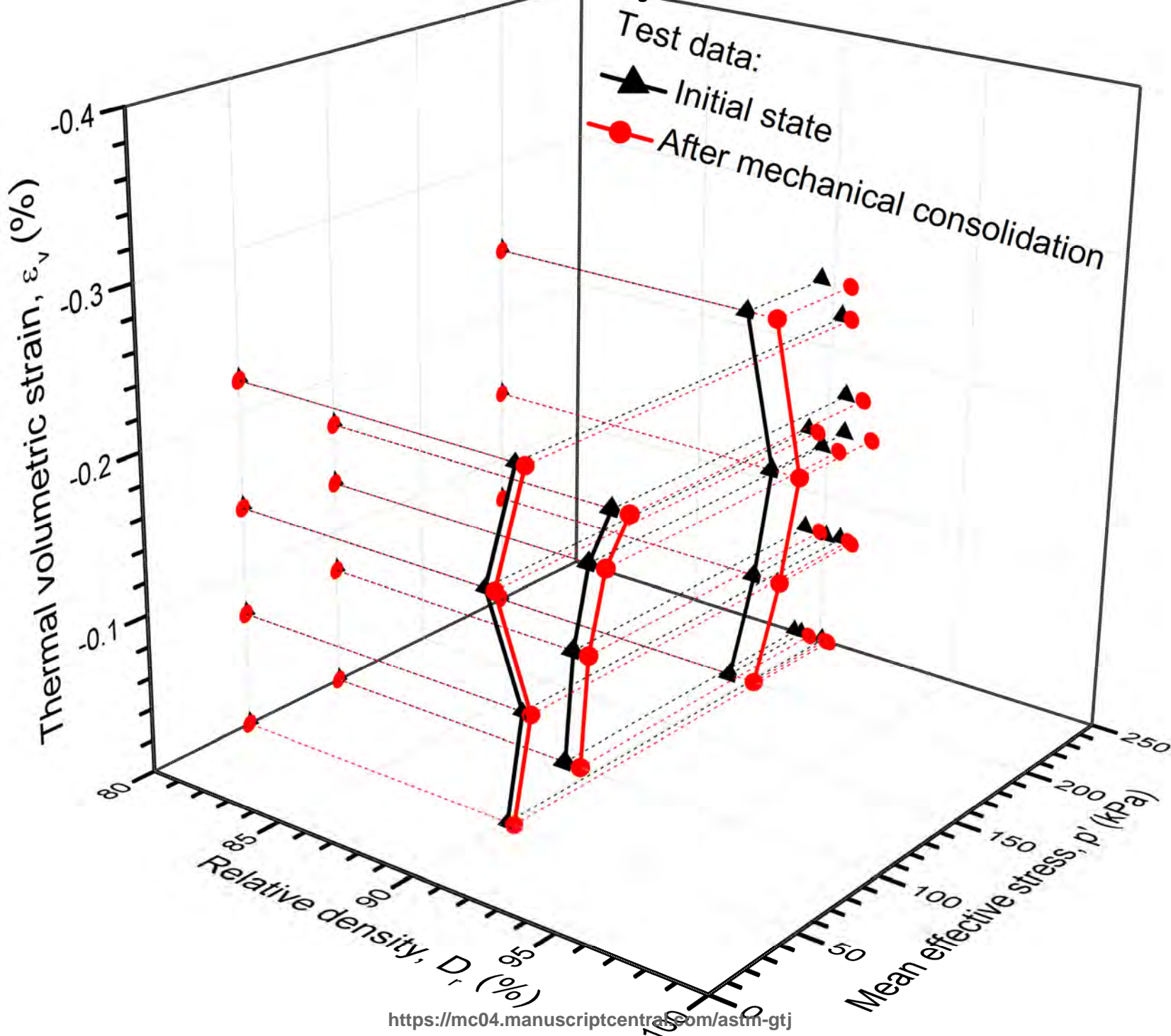


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