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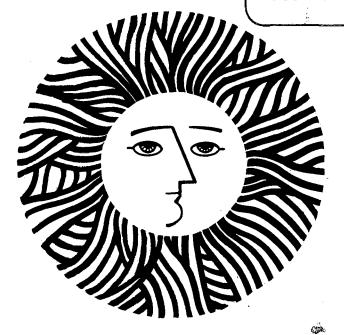
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Ralph H. Johnson, Robert Gerlach, Launey J. Thomas III, and Nabil M. Amer

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#### LOSS MECHANISMS IN RESONANT SPECTROPHONES

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#### **ABSTRACT**

We have measured quality factors and resonant frequencies of a resonant spectrophone as a function of pressure and compared the results to theoretical predictions which took into account classical surface and volumetric losses and molecular relaxation. Buffer gases investigated included the five noble gases, H<sub>2</sub>, N<sub>2</sub>, O<sub>2</sub>, N<sub>2</sub>O, and SF<sub>6</sub>. Typically 95% of the cavity losses were accounted for theoretically. Frequency shifts due to relaxational dispersion, non-ideal gas behavior and classical boundary layer effects were observed; all behaved as predicted by theory.

#### Introduction

In studies of gas phase photoacoustic spectroscopy and detection, the gas whose spectrum is being investigated is generally one of several present in the sample, and often it is only present in trace amounts. The response of the spectrophone is determined by the characteristics of the sample gas as a whole, and depends on the various thermal and molecular relaxational properties of the gases present. In particular, for an acoustically resonant spectrophone, important parameters will include the sound velocity, heat capacity, thermal conductivity, viscosity, and the energies and relaxation times of the molecular vibrations. sound velocity determines the resonant frequencies of the cavity, while the other parameters govern the loss mechanisms that determine the quality factors of the resonances, and also cause small shifts in the resonant frequencies. In an earlier study, the resonant frequencies and quality factors of acoustical resonances were determined for various buffer gases at atmospheric pressure, and the results were compared to theoretical predictions from classical acoustics. For all gases studied, the cavity losses were significantly larger than predicted, with the largest discrepancies occurring for the polyatomic gases, for which molecular relaxation is expected to be important. It is the goal of this work to investigate the damping mechanisms in the resonant spectrophone systematically, including their pressure dependence, and to incorporate molecular relaxation effects into the theoretical interpretation of the results.

#### Theory

For a cylindrical cavity the resonant frequency of mode mnp is given by  $^{2}$ 

$$f_{mnp} = \frac{c}{2} \left[ \left( \frac{\alpha_{mn}}{R} \right)^2 + \left( \frac{p}{L} \right)^2 \right]^{\frac{1}{2}}$$
 (1)

where c is the sound velocity; R and L are the cavity radius and length; m, n, and p are the azimuthal, radial, and longitudinal mode numbers, respectively; and

$$\alpha_{mn} = n'th zero of \frac{dJ_{m}(\pi\alpha)}{d\alpha}$$

Equation (1) assumes that there is no phase shift on reflection of the pressure wave from the cavity walls, either due to wall compliance or to boundary layer effects. We will retain the assumption of complete wall rigidity, but it will be seen later that the boundary layer causes significant frequency deviations from the above formula. Also, in evaluating the frequency from equation (1), one must remember that the sound velocity c may vary with frequency and pressure due to molecular relaxation effects and non-ideal behavior of the gas.

The two major categories of damping are surface damping and volumetric damping. Surface damping may be due to:  $^{3}$ 

- 1) Compliance of the chamber walls,
- 2) Dissipation at the microphone diaphragm,
- 3) Scattering at surface imperfections, and
- 4) Viscous and thermal dissipation in the boundary layer at the smooth internal surfaces.

The first three effects are minimized by suitable design of the spectrophone. The fourth loss mechanism is then dominant, and for purely radial modes its contribution to the cavity Q is given by  $\frac{3}{2}$ 

$$\frac{1}{Q_{\text{surf}}^{c1}} = \frac{1}{L} \left[ d_{\text{v}} + (\gamma - 1) d_{\text{h}} (1 + \frac{L}{R}) \right]$$
 (2)

where

$$d_{x} = (2 \eta/\rho\omega)^{\frac{1}{2}}$$

and

$$d_{h} = (2\kappa M/\rho C_{p}\omega)^{\frac{1}{2}}$$

are the viscous and thermal boundary layer thicknesses,  $^n$  is the shear viscosity,  $^{\kappa}$  is the thermal conductivity,  $^{\kappa}$  is the mass per mole,  $^{\kappa}$  is the molar heat capacity, and  $^{\kappa}$   $^{\kappa}$ 

The volumetric losses may be due to 3

- 1) Free space viscous and thermal dissipation,
- 2) Diffusion effects,
- 3) Radiation effects, and
- 4) Relaxational damping.

The damping due to free space viscous and thermal dissipation (Stokes-Kirchhoff) is small when compared to surface damping, but in some extreme cases at low pressure it can become significant. The contribution of free space viscous and thermal dissipation to the Q is given by 4

$$\frac{1}{Q_{\text{vol}}^{\text{cl}}} = \frac{\omega}{\gamma p} \left[ \frac{4}{3} \eta + (\gamma - 1) \frac{\kappa M}{C_p} \right]$$
 (3)

No bulk viscosity term was included, since bulk viscosity is synonymous with molecular relaxation effects, <sup>4</sup> which will be treated separately.

Diffusion and radiation effects are very small. 3

Relaxational effects arise because of the finite time required for the internal degrees of freedom of the molecules to come into thermal equilibrium with their environment, as expressed by the equation:

$$\frac{dE_n}{dt} = \frac{1}{\tau_n} (E_n - E_n^e)$$

where  $E_n$  is the ensemble average of the energy in the n'th mode of the molecule,  $E_n^e$  is its equilibrium value, and  $\tau_n$  is the relaxation time for that mode. A harmonic sound wave carries with it a temperature variation which causes the equilibrium energy  $E_n^e$  to vary harmonically with time; solution of the above differential equation then leads us to the dynamic heat capacity  $C_n(\omega)$  for the n'th mode,

$$C_{n} (\omega) = \frac{C_{n}}{1 + i\omega \tau_{n}}$$
 (4)

where  $C_n$  is the static heat capacity, i.e.,  $C_n(0)$ . Then, considering all degrees of freedom, we have

$$c_{v}(\omega) = c_{v} + \sum_{n} \left[ c_{n}(\omega) - c_{n} \right]$$
 (5)

and

$$c_{p}(\omega) = c_{p} + \sum_{n} \left[ c_{n}(\omega) - c_{n} \right]$$
 (6)

for the dynamic heat capacities at constant volume and pressure, respectively, where  $C_{V}$  and  $C_{p}$  are the static heat capacities. Using equations (4) - (6) we then find the dynamic specific heat ratio,

$$\gamma (\omega) = \frac{C_{p} - \sum_{n} C_{n} i\omega \tau_{n} / (1 + i\omega \tau_{n})}{C_{v} - \sum_{n} C_{n} i\omega \tau_{n} / (1 + i\omega \tau_{n})}$$
(7)

In general the resonant frequency of a mode is given by equation (1), which contains a leading factor of c, the sound velocity. Neglecting non-ideal gas effects, which can be treated separately,  $c = \left[ \gamma(\omega) \ p/\rho \right]^{\frac{1}{2}}.$  If we denote by  $\omega_0$  the frequency we would obtain for the mode by using the static specific heat ratio  $\gamma$  in place of  $\gamma(\omega)$ , then in general,

$$\omega = \omega_{o} \left[ \frac{\gamma(\omega)}{\gamma} \right]^{\frac{1}{2}} \tag{8}$$

Using equation (7), we then obtain

$$\omega = \frac{\omega_{0}}{\gamma^{\frac{1}{2}}} \frac{\left[ (A^{2} + B^{2} + R^{\dagger}B)^{2} + A^{2}R^{\dagger^{2}} \right]^{\frac{1}{2}}}{(A^{2} + B^{2})^{\frac{1}{2}}} \exp(i\Psi/2)$$
(9)

where

$$A = \sum_{n} \frac{C_{n} \sin \phi_{n}}{(1 + \omega^{2} \tau_{n}^{2})^{\frac{1}{2}}}$$

$$B = C_{v} + \sum_{n} C_{n} \left[ \frac{\cos \phi_{n}}{(1 + \omega^{2} \tau_{n}^{2})^{\frac{1}{2}}} - 1 \right]$$

$$R' = C_{p} - C_{v}$$

$$\Psi = \tan^{-1} \left[ \frac{-AR'}{A^{2} + B^{2} + R'B} \right]$$

$$\phi_n = \tan^{-1} (-\omega \tau_n)$$

Equation (9) appears to be an implicit equation for  $\omega$  in terms of itself, since A, B, etc. are functions of  $\omega$ . However, the dispersion is small enough that one may substitute  $\omega$  for  $\omega$  without significant loss of accuracy. The resonant frequency is then given by

$$\omega = \text{Re } \omega$$
 (10)

while the contribution of the relaxational losses to the Q of the resonance is given by

$$\frac{1}{Q_{\text{rel}}} = \frac{2 \text{ Im } \omega}{\omega_{\text{o}}}$$
 (11)

For many molecular gases the entire vibrational heat capacity can be considered to relax with a single relaxation time. <sup>5</sup> In that case equation (9) simplifies mainly in the elimination of summations in the definitions of A and B.

Another important special case is that of many separately relaxing levels with short relaxation times such that  $\omega_0 \tau_n <<1$ . For such a case, equation (9) simplifies to

$$\omega \cong \omega_{0} \left[1 + i \frac{\omega_{0}}{2} \left(\frac{\gamma - 1}{C_{p}}\right) \sum_{n} \tau_{n} C_{n}\right]$$
 (12)

The contribution of the relaxational losses to the Q is then given by

$$\frac{1}{Q_{rel}} \cong \omega_{o}\left(\frac{\gamma-1}{C_{p}}\right) \sum_{n} \tau_{n} C_{n}$$
 (13)

There is no dispersion to first order in  ${\omega \atop o}{\tau \atop n}$ .

In all of the above expressions, the relaxation times  $\tau_n$  appear in  $\omega$  as a product  $\omega \tau_n$ . Although there are various conjunction with effects that shift the frequency of the resonance, such as relaxational dispersion, virial shifts and boundary layer effects, it is still true that the frequency of a given resonance is nearly independent of pressure for the gases and pressure range considered here, the largest observed frequency shift being about 10%, and most others much smaller. Therefore, observation of the dependence of the Q and resonant frequency on pressure will mainly reflect the pressure dependence of  $\boldsymbol{\tau}_n$  . constant temperature, the product of pressure and relaxation time is constant, observation of the pressure dependence of the Q and resonant frequency provides a means of determining the  $p^{\tau}$  product. Although we loosely use the term "relaxation time" to denote one of the parameters of our experiment, what is always meant is the p<sup>T</sup> product, generally expressed in atm-sec.

The overall Q factor for a resonance may be found by summing all the losses for that resonance, expressed as  $1/Q_4$ :

$$\frac{1}{Q_{tot}} = \sum_{i} \frac{1}{Q_{i}}$$
 (14)

In practice we include only three contributions:  $1/Q_{\text{surf}}^{\text{cl}}$ ,  $1/Q_{\text{vol}}^{\text{cl}}$ , and  $1/Q_{\text{rel}}^{\text{cl}}$ .

The specific heat ratio appears in the expressions for  $1/Q_{surf}^{c1}$  and  $1/Q_{vol}^{c1}$ . Care must be taken to use a value of  $\Upsilon$  appropriate for the pressure and frequency being considered, namely the real part of the dynamic  $\Upsilon(\omega)$  from equation (7).

Before going on to discuss the experiment, we mention two sources of shifts in the resonant frequency in addition to the relaxational dispersion discussed above.

The losses due to the boundary layers arise from the real, or resistive, part of the boundary layer specific acoustic impedance. That impedance also has an imaginary, or reactive, part which gives rise to phase shifts on reflection. These phase shifts will give rise to changes in the frequency of a standing wave in the cavity. Such boundary layer shifts have been calculated and observed for acoustically excited spherical resonators. The physical interpretation of the thermal boundary layer's contribution to the frequency shift is easy to see. In the bulk of the gas, sound propagates adiabatically, with a velocity  $(\gamma p/\rho)^{\frac{1}{2}}$ . The gas in the boundary layer, however, is in good thermal contact with the walls, and therefore, as one approaches the wall, the sound velocity approaches its isothermal value  $(p/p)^{\frac{1}{2}}$ . This reduction in sound velocity in the boundary layer is like an increase in the dimensions of the resonator, and produces a downward shift in frequency. The viscous boundary layer shift, while less intuitively obvious, is generally of comparable magnitude. We show in the appendix that for a radial mode of a cylindrical resonator the boundary layer shift is given by

$$\left(\frac{\delta\omega}{\omega}\right)_{b.1.} = -\frac{1}{2L} \left[ d_{\mathbf{v}} + (\gamma - 1)d_{\mathbf{h}} \left(1 + \frac{L}{R}\right) \right]$$
 (15)

where we have adopted the sign convention that a positive  $\delta\omega$  represents an increase in frequency. Since  $d_V$  and  $d_h$  go as  $(\rho\omega)^{-1/2}$ , the shift is most pronounced at low pressures and for low frequency resonances. The magnitude of the shift is exactly equal to the contribution of the

boundary layer losses to the half-width of the resonance.

Another source of frequency shifts is the variation of the speed of sound with pressure due to the non-ideal behavior of the gas. This shift is given by  $^{8}$ 

$$\left(\frac{\delta\omega}{\omega}\right)_{\text{vir}} = \frac{p}{R_{g}T} \left[B + \frac{T}{h}\frac{dB}{dT} + \frac{T^{2}}{2h(h+1)} \frac{d^{2}B}{dT^{2}}\right]$$
(16)

where B is the second virial coefficient,  $h=C_{V}/R_{g}$ , and  $R_{g}$  is the gas constant.

#### Experiment

The basic experimental arrangement is shown in Figure 1. The CO<sub>2</sub> laser was grating tuned and emitted 2-4 watts per line. A standard electro-optic modulation system consisting of a CdTe Pockels cell, CdS Babinet-Soleil compensator, and ZnSe Brewster plate polarizer was employed.

The modulator was driven by a high voltage amplifier which began rolling off at about 10 kHz, but was still useful up to 25 kHz at decreased modulation depth. The input to the amplifier came from a voltage controlled oscillator whose frequency was controlled by a computer via a digital to analog converter and a variable attenuator; the latter was used so that resonances of varying width could be scanned through without varying the output range of the D/A converter. A frequency meter monitored the VCO frequency and fed it back to the computer.

The spectrophone was constructed of stainless steel in order to reduce outgassing problems. The cell was cylindrical, with a diameter

of 15.489 cm and a length of 15.446 cm; the choice of  $2R \stackrel{\sim}{=} L$  minimized the ratio of surface area to volume for a fixed volume. The diameter was uniform to within 0.0013 cm, and the ends were parallel to within 0.0013 cm. Great care was taken to minimize the perturbations to the cylindrical cavity geometry. The windows, mounted at the centers of the endplates, were nearly flush with the walls, recessed by only 0.013 cm. The Knowles BT-1759 miniature electret microphone was mounted in the cylinder wall, halfway between the ends. A 0.476 cm diameter hole was made for the microphone face, which was recessed by 0.013 cm from the wall. The valve for introducing gas into the cell was an integral part of the endplate, and the valve stem tip was flush with the wall when the valve was closed, and was visually indistinguishable from the rest of the wall. It was located at the node of the first radial mode. All internal surfaces, including the valve stem tip, were polished to a finish which was smooth to within less than the smallest boundary layer thickness to be encountered in the experiment, so that the boundary layers would have a well-defined geometry and their losses could be calculated accurately. The endplates were smooth to within  $0.2 \mu m \text{ rms}$ , while the cylinder walls were smooth to within 0.5 µm generally, but had some 20 µm scratches.

The endplates were sealed with viton o-rings. The windows and microphone were sealed with Techkits E-7, an epoxy with good vacuum properties. While the epoxy limited the bakeout temperature of the spectrophone, higher temperature epoxies we tried did not seal well to the NaCl windows.

A thermistor mounted in the wall of the spectrophone allowed tem-

perature readings accurate to ± 0.1°K.

The microphone signal was fed to a two-phase lock-in amplifier whose vector magnitude output was measured with a digital voltmeter and fed to the computer. Although it would have been preferable to measure both outputs of the lock in and let the computer calculate the vector magnitude, we did not have enough interfaceable DVM's to do this. The accuracy of the vector adder in the lock-in is estimated at 1%.

The laser power was measured with a thermopile and DVM, and was used for signal normalization.

Prior to taking data, a standard bake-out procedure was performed on the gas mixing system, while the spectrophone itself was heated to  $40^{\circ}$ C, limited by the epoxy used for the windows and microphone. When the outgassing rate decreased sufficiently the system was allowed to cool, and if the outgassing rate at room temperature was such that the impurities introduced by outgassing in the course of one set of data taking runs would be of the same order of magnitude as the impurities already present in the gas, the bake-out was considered adequate.

Gas mixing was performed in a cylindrical stainless steel chamber of volume equal to that of the spectrophone. This chamber had a dish machined in the bottom of it for a teflon covered mixing rod, which was propelled by a magnetic stirrer external to the system. Most of the remainder of the gas mixing system was constructed of stainless steel. Validyne variable reluctance pressure gauges were used to measure pressures during gas mixing, while thermocouple gauges were used for measuring outgassing rates.

The object of the gas mixing process was to produce a mixture of 50 ppm of ethylene in each of the various buffer gases investigated. The ethylene was needed to give some absorption at  ${\rm CO}_2$  laser wavelengths. (No ethylene was added to  ${\rm SF}_6$ , since it already absorbed strongly.) Mixing was accomplished with a double dilution procedure. The magnetic stirrer was used to mix the gases thoroughly. The entire mixing process generally took about two hours.

A listing of the purities of the buffer gases used appears in Table I.

A data taking run proceeded by introducing the gas mixture into the spectrophone in small increments and scanning through the resonances at each pressure, starting from typically about 10 torr and proceeding upward to atmospheric pressure, then reversing the procedure and pumping the gas out of the spectrophone in small increments and either repeating measurements at previously studied pressures, or filling in gaps at other pressures. The lowest pressure that could be studied was determined by noise considerations. Generally only the first radial mode was scanned at each pressure, but at atmospheric pressure a number of other modes were examined. It was possible to excite modes mnp having values of m (the azimuthal mode index) of 0 and 1, and all values of n (the radial mode index). The m=1 modes were much more weakly excited than those with m=0, and the fact that they were observed at all was apparently due to the beam being slightly off center. Except for the strong absorber SF, no modes having longitudinal dependence (p #0) were observed except under unusual circumstances which will be discussed later. Often a particular mode would be scanned several times at the

same pressure in order to assess the reproducibility. Measurements were made at ambient temperature, which ranged from  $294^{\circ}K$  to  $300^{\circ}K$ , but was typically around  $298^{\circ}K$ .

#### Results

Curve fitting of the signal vs. frequency data was performed on a CDC 7600 computer using a nonlinear least squares fitting program called MINUIT. The data were fit with the function

$$y(f) = A \left\{ \left[ \frac{2gf^{2}}{(f_{r}^{2} - f^{2})^{2} + 4g^{2}f^{2}} - c_{1} \right]^{2} + \left[ \frac{(f_{r}^{2} - f^{2})f}{(f_{r}^{2} - f^{2})^{2} + 4g^{2}f^{2}} - c_{2} \right]^{2} + c_{3} \right\}^{\frac{1}{2}} + c_{3}$$

where the fitted parameters were A,  $f_r$ , g,  $C_1$ ,  $C_2$ , and  $C_3$ . The parameters A,  $f_r$  and g were respectively, the amplitude, resonant frequency, and half-width. The parameters  $C_1$  and  $C_2$  were included to allow for zero-offsets in the two channels of the lock-in. The parameter  $C_3$  was included to allow for a zero offset in the output of the vector adder. The experimental Q was found from

$$Q_{exp} = f_r/2g \tag{18}$$

An example of a set of data and fitted curve for the first radial resonance of Kr at 760 torr is presented in Figure 2. The curves fit very well for the high signal, low noise cases, with a typical rms deviation of 0.5% of the maximum amplitude. For low signal cases the deviation increased, but the fitting routine was sometimes still able to obtain meaningful numbers. Curve fitting greatly reduced the random error in the Q values relative to those obtained by finding the half-

power points by interpolation.

The buffer gases studied fall into three categories: monatomic (He, Ne, Ar, Kr, Xe), diatomic (H<sub>2</sub>, N<sub>2</sub>, O<sub>2</sub>), and polyatomic gases (CO<sub>2</sub>, N<sub>2</sub>O, SF<sub>6</sub>).

#### a) Monatomic gases

The noble gases provide an excellent apportunity to test the accuracy of the classical theory alone, since molecular relaxation effects are absent. In Figure 3 we present the ratio of experimental to theoretical quality factor,  $Q_{exp}/Q_{theor}$ , for the first radial mode as a function of pressure for each of the five noble gases. Except at the lowest pressures, where the low signal to noise ratio limited the accuracy of the Q determination, most of the values of the ratio lie in the range between 0.9 and 1.0, with a typical value being 0.95. Thus the classical losses, and in fact, for all practical purposes the classical surface losses, come very close to accounting for all the losses. As one goes to higher radial modes at atmospheric pressure, the ratio decreases, with typical values for all of the noble gases being 0.95, 0.90 and 0.85 for the 1st, 2nd, and 4th radial modes, respectively. The third radial mode is not easily characterized due to a mode coupling effect to be described below.

Since for a given mode the losses not accounted for appear to be a constant fraction of the calculated boundary layer losses, independent of pressure, it appears that these losses have the same pressure dependence as the boundary layer losses, and may in fact be due to a boundary layer effect that appears in a higher order of perturbation theory. As

one goes to higher frequency resonances, the higher order effects become more important because the density of other modes to which the boundary layer can couple a mode increases.

We have also observed the pressure dependence of the resonant frequency for the first radial mode, as shown in Figure 4. Although negligible temperature variation occurred during the time required to scan through one resonance at one pressure, significant variations occurred during the time required to cover the entire range of pressures. compensate for this, each observed resonant frequency was multiplied by  $(298^{\circ}\text{K/T})^{1/2}$  to adjust it to the frequency that would have been observed for a temperature of 298°K, which was a typical median temperature. The data presented in Figure 4 have been adjusted in this way. on the right-hand side indicate the frequencies that would be predicted by equation (1) with the ideal gas sound velocity  $(\gamma p/\rho)^{\frac{1}{2}}$ . It is seen that for all the noble gases, the frequency is depressed from this value, with the greatest frequency shift occurring at low pressures. In addition, for Xe there is a considerable frequency decrease at high pressure. These effects are well explained by the boundary layer shift given by equation (18) and the virial shift given by equation (19). 11 The solid curves in Figure 4 were obtained by adding these shifts to the frequency designated by the arrow. In all frequency vs. pressure data that follow for other gases, these frequency shifts will have been subtracted out, so as to isolate the frequency shifts due to molecular relaxation.

An interesting effect was observed for the third radial mode. For our particular value of the ratio R/L, this mode is very close to the frequency of the 016 radial-longitudinal mode. A double peak is observed in this frequency neighborhood, as shown in Figure (5a). Modes having longitudinal dependence are never excited directly in our experiment when they are remote from radial resonances. We believe, however, that since the 030 and 016 modes are so close in frequency, the perturbation due to the boundary layers, especially the viscous boundary layers on the end walls, produces a strong mixing of these modes, so that both of the resultant modes contain large admixtures of the 030 mode, which can be efficiently excited by the light beam even though the power deposited by the beam per unit length is uniform. From symmetry considerations, we would only expect this boundary layer effect to couple radial modes to modes having even longitudinal mode number, a condition which is satisfied by the 016 mode.

As one goes to higher frequencies, the density of other modes to which coupling is possible increases rapidly, and very complicated looking resonances can be observed. An example is provided by Figure 5b, which shows the region of the 19th radial mode for Xe.

#### b) Diatomic gases

In Figure 6 we present the results for the three diatomic gases studied,  $H_2$ ,  $N_2$ , and  $O_2$ . For each gas we present graphs of Q vs. pressure and of frequency of the first radial mode (corrected for boundary layer and virial shifts and for temperature variations) vs. pressure.

We discuss oxygen and nitrogen first. For these gases, as for the noble gases, the quality factor is again very close to the classical prediction (solid curves in figures 6c and 6e). For low pressures ( $^{<}$ 100

torr) the ratio of the experimental to the classical theoretical Q is typically about 0.95 for both gases, the same as for the noble gases. However, as the pressure rises to atmospheric,  $Q_{exp}/Q_{theor}^{c1}$  falls off to 0.81 for  $0_2$  and to 0.89 for  $N_2$ . This is apparently due to the onset of molecular relaxation effects. Since  $0_2$  and  $N_2$  both have very long relaxation times, the effect of relaxation does not become important until high pressures, and in order to get a good handle on the relaxation times, it would have been necessary to go considerably higher than we were able. We can make estimates, however, if we are careful not to try to make the relaxational losses account for the 5% unexplained losses which are observed even for noble gases, and are apparently not relaxational in origin. We obtain relaxation times of 2 msec-atm for  $^{0}2$ and 0.3 msec-atm for  $N_2$ , both considerably shorter than others have observed. (It may seem surprising that a shorter relaxation time is obtained for  $N_2$  than for  $O_2$ , although the effects of relaxation losses are less evident. This is because the vibrational heat capacity of nitrogen is so much smaller that it is necessary to employ a much shorter relaxation time to account for the losses.) It is known that oxygen vibrationally deexcites  $\sim 10^4$  times more rapidly in collisions with methane than in collisions with other oxygen molecules,<sup>5</sup> and one might hypothesize that the ethylene is having a similar effect on both  $0_2$  and  $N_2$ . However, we varied the ethylene concentration in  $0_2$  between 0.25 ppm and 50 ppm and found no significant change, so one must suppose that some other impurity is responsible for the short relaxation times observed.

The corrected frequencies of  $0_2$  and  $N_2$  are plotted with highly expanded frequency scales in Figures 6d and 6f, respectively. The

arrows on the right-hand side indicate the frequencies calculated from equation (1) with  $c=(\gamma p/\rho)^{\frac{1}{2}}$  and  $\gamma=1.4$ , appropriate for low pressures where the vibrational heat capacity is inactive. Nearly all the  $O_2$  frequencies fall within a 1 Hz band centered at this frequency, while the  $N_2$  frequencies fall in a 1.5 Hz band which includes this frequency. The high pressure behavior of the  $N_2$  data suggests that there was a small error in the virial correction applied.

For hydrogen, classical losses alone do no explain the observed Q values (Figure 6a) at all well, especially at low pressures. Vibrational relaxation is negligible due to the extremely small vibrational heat capacity of  $\rm H_2$ . Rotational relaxation, which for most gases is too rapid to contribute much damping at audio frequencies, is unusually slow in  $\rm H_2$ , and since the first radial resonance occurs at a high frequency, the  $\omega \tau$  products are fairly large, and rotational relaxation losses are significant. We have inserted rotational relaxation times from the literature  $^{12}$  into equation (16) to calculate the relaxational contribution to the losses. When we include this, we find good agreement with the data, as shown in Figure 6a.

The  $\rm H_2$  frequency data, shown in Figure 6b, show a discrepancy between the points obtained for increasing pressure and those obtained for decreasing pressure, the latter occurring at lower frequencies. This discrepancy appears to be due to outgassing of a heavier gas, causing the sound velocity to decrease with time. (The outgassing is presumably of the last gas run before  $\rm H_2$ , namely  $\rm SF_6$ , which, due to its high molecular weight, shifts the hydrogen resonant frequency downward by 0.38 Hz/ppm; thus even a small concentration of  $\rm SF_6$  will have a

noticeable effect on the frequency.) This makes it somewhat difficult to determine whether the data show any unambiguous frequency shifts due to relaxational dispersion. Equation (12) indicates that no dispersion is predicted to first order in  $\omega^{T}$  or  $\omega/p$ , so one would have to go to lower pressures to see dispersion than to see relaxation losses, which are first order in  $\omega/p$ . However, there is some hint of dispersion for the pressures below about 50 torr, particularly for the upper (less contaminated) curve. This is not surprising, since relaxation losses are significant even at atmospheric pressure, and since the amount of dispersion expected for  $H_2$  if one could go low enough in pressure to obtain the entire dispersion curve is very large, 940 Hz, of which we are just seeing the first  $\sim 10$  Hz. Again, the arrow indicates the frequency obtained from equation (1) with  $c=(\gamma p/\rho)^{\frac{1}{2}}$  and  $\gamma=1.4028$ .

#### c) Polyatomic gases

Data for the polyatomic gases  ${\rm CO}_2$ ,  ${\rm N}_2{\rm O}$ , and  ${\rm SF}_6$  appear in Figure 7, with the same format as for Figure 6. For these gases vibrational relaxation is very important. For each gas, we assumed that the entire vibrational heat capacity relaxed with a single effective relaxation time, and we adjusted that one parameter to give the best fit to the frequency vs. pressure data. The solid curves in Figure 6b, d, and f are the fitted curves. (For  ${\rm N}_2{\rm O}$ , we could not go to low enough pressure with an adequate signal-to-noise ratio to obtain the entire dispersion curve, but enough of the curve was obtained to pin down the relaxation time very well.) The relaxation time thus obtained was then used to calculate a theoretical Q vs. pressure curve (solid curves in Figures 7 a, c and e). The agreement with the data for  ${\rm N}_2{\rm O}$  and  ${\rm SF}_6$  is very good.

For CO<sub>2</sub> the predicted Q's are higher than those observed. This is not presently understood. An underestimate of the vibrational heat capacity due to neglect of anharmonic effects would have led to an underestimate of the relaxation losses, but also would have led to an underestimate of the amount of dispersion, which is clearly not the case in Figure 7b. The assumption of a single relaxation time is also not entirely responsible. Assuming two or more relaxation times might improve the shape of the Q vs. pressure curve by broadening the minimum, but it would not bring it any closer to the data. To do so would require a higher total vibrational heat capacity.

The vibrational relaxation times arrived at by curve fitting the frequency data were 2.8  $\mu$ sec-atm for CO<sub>2</sub>, 0.94  $\mu$ sec-atm for N<sub>2</sub>O, and 0.84  $\mu$ sec-atm for SF<sub>6</sub>. The N<sub>2</sub>O and SF<sub>6</sub> values agree fairly well with previously observed relaxation times of 0.99  $\mu$ sec-atm and 0.76  $\mu$ sec-atm, respectively. Again there is a discrepancy for CO<sub>2</sub>, where a relaxation time of 7  $\mu$ sec-atm has been observed. We hypothesize that the effect of the impurities on the relatively slowly-relaxing CO<sub>2</sub> is more pronounced than on the faster-relaxing N<sub>2</sub>O and SF<sub>6</sub>.

#### Conclusions

Loss mechanisms in resonant spectrophones were first considered quantitatively by Kamm,  $^3$  who was able to account theoretically for 60% of the losses in his resonator. Later, Thomas <u>et al</u>.  $^1$  were able to account for typically  $\sim 80\%$  of the losses for non-relaxing gases, and a considerably smaller percentage for the relaxing gases, using only classical effects to account for the losses. Here we find that calculated losses account for typically 95% of the observed losses for non-relaxing

gases, with no significant deterioration in the agreement for relaxing gases, due to the inclusion of relaxation in the calculated losses. We attribute this improvement in agreement with theory to:

- Use of a more complete theoretical description of the losses, including molecular relaxation effects;
- 2) A reduction in the amount of doping gas added to give absorption of the laser light, resulting in less severe perturbation of the buffer gas properties;
- 3) An improved surface finish in the resonator, giving a betterdefined boundary layer whose behavior was more likely to agree with theory; and
- 4) A cleaner cavity geometry, which eliminated losses that are hard to account for, such as scattering losses.

In the course of the study, we have also noted factors causing shifts in the resonant frequencies of the spectrophone, due to boundary layer effects, virial shifts in the sound velocity, and dispersion due to molecular relaxation. These shifts can all be well accounted for theoretically.

The main discrepancies encountered occurred for CO<sub>2</sub>, for which the calculated losses fell short of the observed losses by a greater amount, and for which the observed relaxation time is much shorter that obtained by others.

We have gained some insight into the physics of the resonant spectrophone, and hope that it will be of some use to other users of such detectors.

#### Acknowledgments

We are grateful to Kenneth Jackson for his help in this experiment. This research was supported by the Assistant Secretary for Environment, Pollutant Characterization and Measurement Division of the U.S. Department of Energy, under Contract No. W-7405-ENG-48.

#### Appendix: Frequency shift due to boundary layer

For a resonance having an unperturbed frequency  $\omega_{_{\hbox{\scriptsize O}}}$ , the perturbation due to boundary layer effects modifies the frequency to

$$\omega = \omega_{O} + \delta \omega + i\Gamma \tag{A1}$$

where  $\delta\omega$  is the shift in resonant frequency and  $\Gamma$  is the broadening of the resonance contributed by the perturbation. The perturbation in the frequency is given by  $^6$ 

$$\delta\omega + i\Gamma = i\frac{c}{2} \int_{S} \phi_{n}^{2}(\vec{r}) \beta_{b}(\vec{r},\omega) dS / \int_{V} \phi_{n}^{2}(\vec{r}) dV$$
 (A2)

where  $\phi_n(\vec{r})$  is the velocity potential function for the n'th mode and  $\beta_b$  is the specific acoustic admittance of the boundary layer. This admittance can be written as  $^{13}$ 

$$\beta_b = \xi_b + i\sigma_b \tag{A3}$$

where  $\xi_b$  and  $\sigma_b$  are the specific acoustic conductance and susceptance, respectively. For a plane wave incident on a plane surface at angle  $\theta$  to the normal we have

$$\xi_b = \sigma_b = \frac{\omega d_v}{2c} \sin^2\theta + (\gamma - 1) \frac{\omega d_h}{2c}$$
 (A4)

For the case of a purely radial mode in a cylindrical resonator, the sound wave behaves like a normally incident plane wave along the side walls, and like a wave propagating parallel to the surface along the end walls. Therefore,

$$\sin^2 \theta = \begin{cases} 0 & \text{on side walls} \\ 1 & \text{on end walls} \end{cases}$$
 (A5)

For a purely radial mode,

$$\phi_{n} = J_{o} (\pi \alpha_{on} r/R)$$
 (A6)

Substitution of equations (A3)-(A6) into equation (A2) and integration yields

$$\delta\omega = -\Gamma = -\frac{\omega}{2L} \left[ d_{v} + (\gamma - 1) d_{h} \left( 1 + \frac{L}{R} \right) \right]$$
 (A7)

Dividing through by  $\omega$  yields equation (18). We note that by setting  $Q=\omega/2\Gamma \qquad \text{we reproduce Kamm's result for the quality factor of a radial mode.}$ 

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#### Figure captions

- Figure 1 Block diagram of the experimental setup.
- Figure 2 Example of data points and fitted curve for first radial resonance of Kr at 760 torr.
- Figure 3 Ratios of experimentally observed to theoretical quality factors vs. pressure for the noble gases.
- Figure 4 First radial resonance frequency vs. pressure for the noble gases. Arrows at the right indicate calculated frequencies assuming ideal gas with no boundary layer shift. Solid curves are calculated frequencies including virial and boundary layer shifts.
- Figure 5 Examples of mode coupling. a) Region of third radial mode of Xe at 760 torr. b) Region of 19th radial mode of Xe at 760 torr.
- Figure 6 Quality factor and first radial resonant frequency vs. pressure for the diatomic gases. Frequencies have been corrected by subtracting out virial and boundary layer shifts. Arrows indicate calculated frequencies (see text). Solid curves for N<sub>2</sub> and O<sub>2</sub> plots of Q vs. p represent classical losses only.
- Figure 7 Quality factor and first radial resonant frequency vs. pressure for the polyatomic gases. Frequencies have been corrected by subtracting out virial and boundary layer shifts.

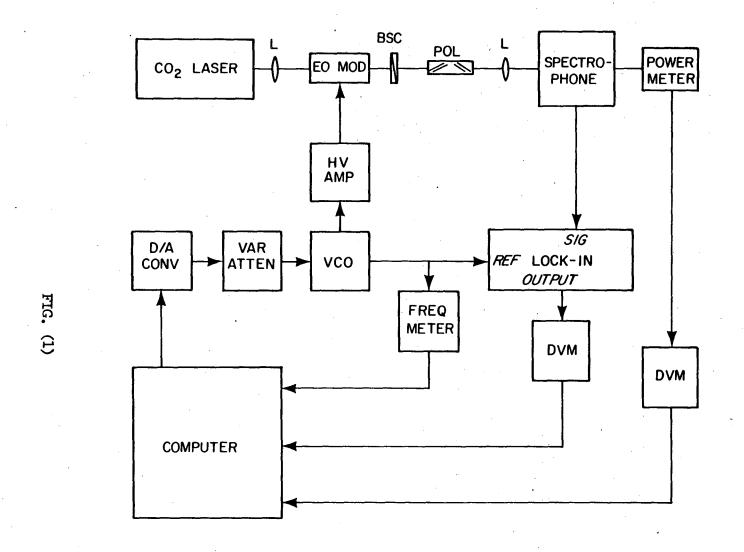
  Solid curves for frequency vs. pressure graphs were obtained by curve-fitting frequency data to obtain a single relaxation

time. Solid curves in Q vs. pressure graphs were calculated by summing all losses, including relaxational losses calculated using the relaxation times obtained from the frequency data.

#### TABLE I

### GAS PURITIES

He	99.999 %	Xe	99.9995	$co_2$	99.995
Ne	99.999	H <sub>2</sub>	99.9999	N <sub>2</sub> 0	99.998
Ar	99.998	$N_2$	99.9995	SF <sub>6</sub>	99.99
Kr	99.995	0,	99.9995	•	



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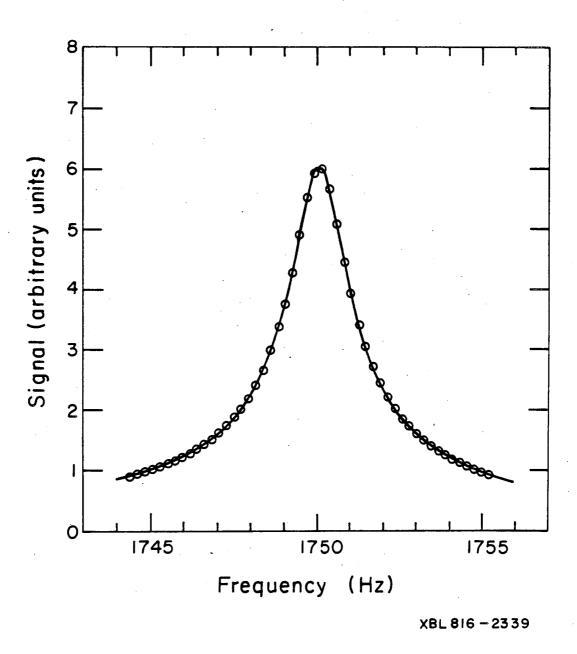
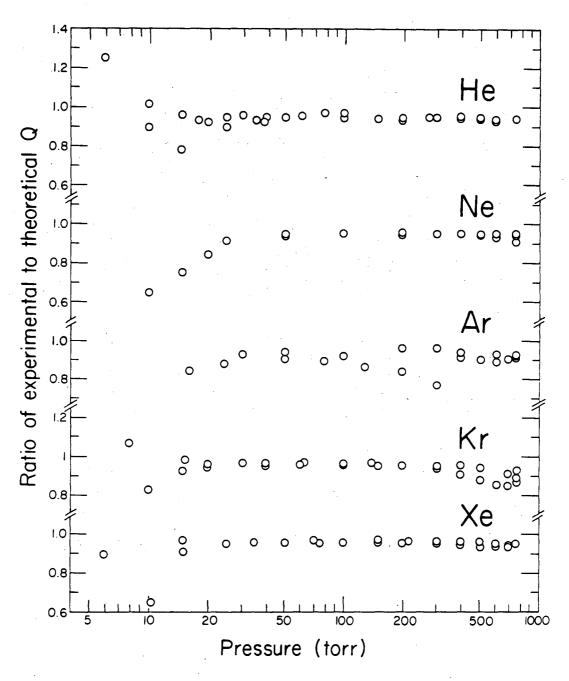


FIG. (2)



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FIG. (3)

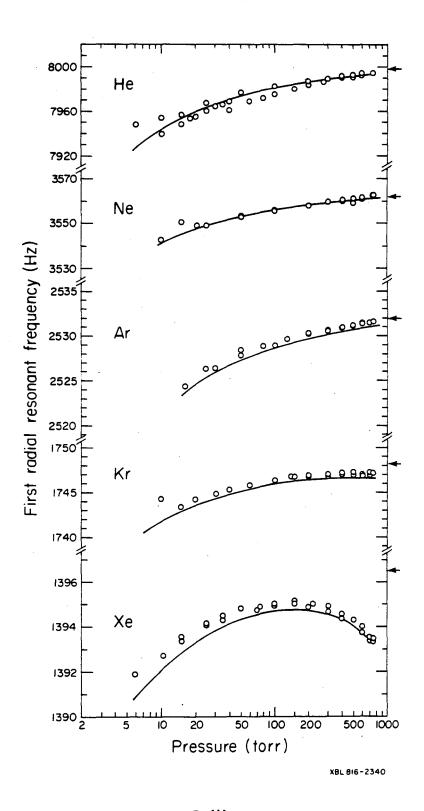
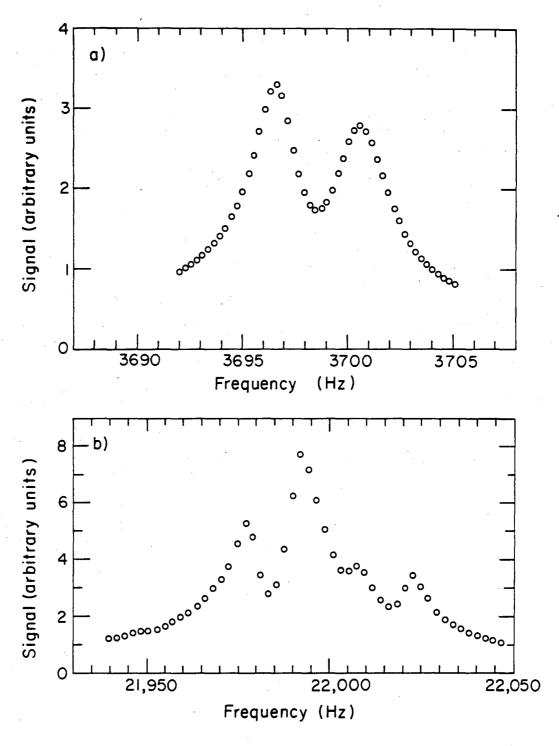
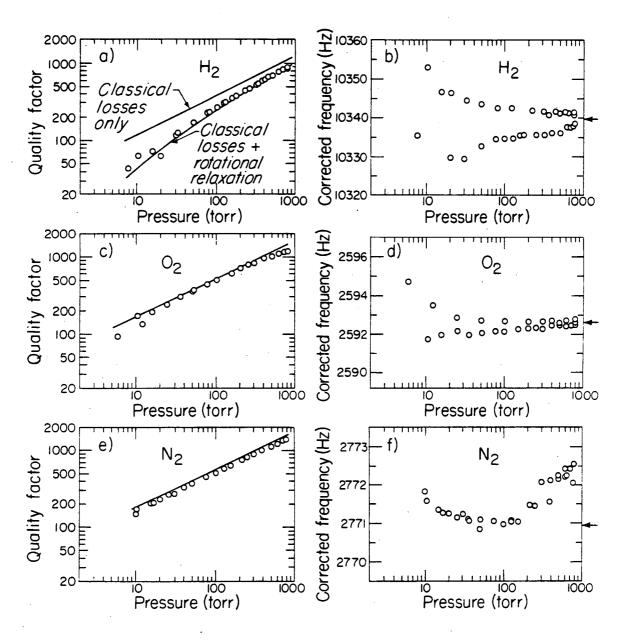


FIG. (4)



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FIG. (5)



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FIG. (6)

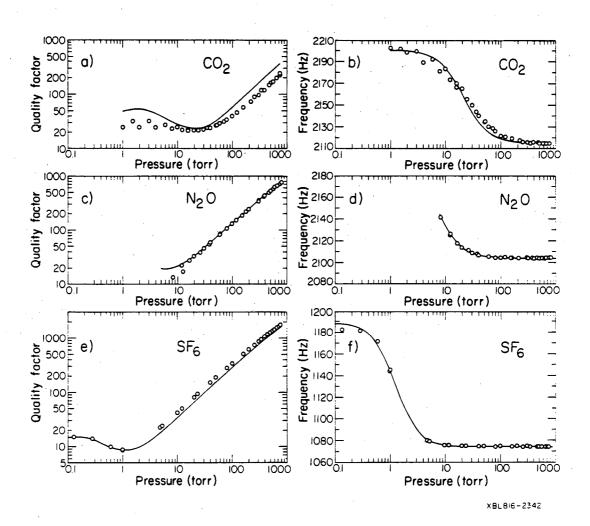


FIG. (7)

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