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Conformal High-K Dielectric Coating of Suspended Single-Walled Carbon Nanotubes by Atomic Layer Deposition

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Abstract: As one of the highest mobility semiconductor materials, carbon nanotubes (CNTs) have been extensively studied for use in field effect transistors (FETs). To fabricate surround-gate FETs which offer the best switching performance—deposition of conformal, weakly-interacting dielectric layers is necessary. This is challenging due to the chemically inert surface of CNTs and a lack of nucleation sites—especially for defect-free CNTs. As a result, a technique that enables integration of uniform high-k dielectrics, while preserving the CNT's exceptional properties is required. In this work, we show a method that enables conformal atomic layer deposition (ALD) of high-k dielectrics on defect-free CNTs. By depositing a thin Ti metal film, followed by oxidation to $TiO₂$ under ambient conditions, a nucleation layer is formed for subsequent ALD deposition of Al_2O_3 . The technique is easy to implement and is VLSI-compatible. We show that the ALD coatings are uniform, continuous and conformal, and Raman spectroscopy reveals that the technique does not induce defects in the CNT. The resulting bilayer TiO_2/Al_2O_3 thin-film shows an improved dielectric constant of 21.7 and an equivalent oxide thickness of 2.7 nm. The electrical properties of back-gated and top-gated devices fabricated using this method are presented.

Keywords: carbon nanotube; atomic layer deposition; dielectric; TiO₂; nucleation layer

1. Introduction

Atomic layer deposition (ALD) is a technique predominantly used for the deposition of high-quality dielectrics. The ALD process occurs via a self-limiting reaction where the substrate is sequentially exposed to alternating pulses of two gas-phase precursors. This technique enables the deposition of thin films with excellent step coverage, angstrom-level precision and robust mechanical properties [\[1\]](#page-9-0), making it an ideal choice for conformal coating of suspended and high aspect ratio structures. However, growth of the first few ALD layers on suspended single-walled CNTs is challenging due to the hydrophobic and inert nature of the nanotube's surface. Usually, ALD deposition on suspended low-defect single-walled CNTs results in the formation of nanospheres, originating on nanotube surface defects [\[2](#page-9-1)[,3\]](#page-9-2). The same problem exists for graphene [\[4](#page-9-3)[,5\]](#page-9-4) and 2D transition metal dichalcogenides [\[6](#page-9-5)[,7\]](#page-9-6), such as M_2 , W_2 and others. These materials do not have dangling bonds or surface groups on their basal planes and therefore no nucleation sites are available for the reaction of ALD precursors.

Currently, several surface functionalization techniques exist to promote ALD thin film growth on the surface of single-walled CNTs. Tubes can be chemically treated [\[8\]](#page-9-7) with oxidizing agents, acids, bases, or annealed in plasma [\[9\]](#page-9-8), which results in a chemical bond formation between functional groups and CNTs. Such covalent functionalization strategies generally create defects and additional scattering sites, as well as changing the carbon hybridization from sp2 to sp3. As a result of these surface

modifications, the nanotube's exceptional electronic and optoelectronic properties may degrade [\[10,](#page-9-9)[11\]](#page-9-10). Another approach is to non-covalently attach different materials, such as DNA [\[12](#page-9-11)[,13\]](#page-9-12), surfactants [\[14\]](#page-9-13), and polymers [\[15–](#page-9-14)[17\]](#page-9-15). They do not distort the CNT lattice and do not influence the carbon hybridization. However, adsorbed molecules can change the local potential at the tube surface, creating scattering centers and inducing doping effects. These phenomena also influence CNT electronic and optoelectronic properties and may alter—but not necessarily degrade—device performance. For instance, $NO₂$ functionalization can be used to prepare nanotube [\[18\]](#page-9-16) or graphene [\[19](#page-9-17)[,20\]](#page-9-18) surfaces for ALD deposition of Al_2O_3 ; one of the first CNT gate-all-around transistors [\[21\]](#page-10-0) was fabricated using this strategy. However, this method requires a $NO₂$ source inside the ALD reactor, otherwise the physisorbed $NO₂$ layer will desorb during sample transfer. It was also shown that the NO₂ layer can strongly degrade CNT and graphene mobility due to the charged impurity scattering of the functionalization layer [\[19,](#page-9-17)[21\]](#page-10-0), which can be improved to some extent by annealing [\[22\]](#page-10-1). Although the above-mentioned non-covalent approaches solve the nucleation problem and promote ALD growth for electronics applications, the utilized materials should not reduce the overall dielectric permittivity of the gate oxide stack.

Another way to non-covalently prepare the surface of CNTs for subsequent ALD deposition is to first grow metal or metal-oxide seed layers. If a metal layer is used, it can later be oxidized in air at room temperature [\[23\]](#page-10-2) or at elevated temperatures [\[24\]](#page-10-3). TEM measurements have shown that among commonly available metals, only Ti, Y, Ni and Pd show good wetting behavior on CNTs and result in continuous layers [\[25\]](#page-10-4). It was also shown that yttria, deposited by physical vapor deposition, can be used as both nucleation layer and high-k dielectric, delivering high transistor on/off ratio and an ideal subthreshold slope of 60 mV/dec [\[23](#page-10-2)[,24\]](#page-10-3). The same strategy was used to prepare graphene $[19,26-28]$ $[19,26-28]$ $[19,26-28]$ and MoS₂ $[29-31]$ $[29-31]$ surfaces. For graphene, it was also shown that TiO₂ can be used as a buffer layer, improving the carrier mobility of the nanomaterial [\[28\]](#page-10-6), whereas Al_2O_3 buffer layer may have an inverse effect [\[32\]](#page-10-9). It is also important to mention that non-covalent and covalent pretreatment strategies developed for graphene or other 2D materials cannot always be extended to CNTs, since the former represent planar structures with grain boundaries and edges—which makes both pretreatment and ALD deposition an easier task.

In this work, we present a method that enables the uniform and conformal coating of high-k dielectrics on defect-free single-walled CNTs by ALD. The method utilizes a Ti metal seed layer that is deposited by physical vapor deposition and oxidized to TiO₂. The seed layer, attached to the defect-free CNTs enables subsequent conformal deposition by ALD. The ALD deposited Al_2O_3 dielectric coating on top of titania buffer layer, which is a high-k dielectric itself [\[33\]](#page-10-10), results in a high-quality pure-oxide insulator. The study of the resulting thin film, as well as its influence on the CNT electronic and phonon properties are presented. The process is easy to implement, very large scale integration (VLSI) compatible, and results in deposition of high quality continuous ALD layers.

2. Results and Discussion

To enable ALD deposition of A_1O_3 on defect-free CNTs, a TiO₂ nucleation layer was formed by deposition of Ti metal, which was oxidized to $TiO₂$ under ambient conditions. To determine the effectiveness of the TiO₂ pretreatment in promoting continuous, conformal coating by ALD, samples were prepared with and without the pretreatment and analyzed by SEM and TEM. Figure [1a](#page-3-0) shows SEM images of CNTs coated with 10 nm of Al_2O_3 by ALD without (left) and with (right) TiO₂ pretreatment. Without the pretreatment, the CNTs were inconsistently and discontinuously coated, while with the TiO² pretreatment, CNTs were consistently and continuously coated along their entire length. TEM analysis was carried out to further investigate the detailed structure of the coatings. Figure [1b](#page-3-0) shows a TEM image of a single-walled carbon nanotube (SWCNT) nominally coated with 10 nm Al_2O_3 by ALD without any surface pretreatment. As expected, the resulting thin film was discontinuous, with the deposited material most likely formed around rare surface defects or starting from the substrate and extended along the nanotube. When a titanium seed layer was deposited at a nominal thickness of 3 nm and oxidized, the TiO₂ coating provided sufficient nucleation sites for subsequent ALD of 10 nm

of $\rm Al_2O_3$ (Figure [1c](#page-3-0)). The resulting coverage was continuous, but not uniform. To increase uniformity, a 5 nm Ti seed layer was deposited and oxidized. When coated with 10 nm of alumina by ALD, the coating was continuous, conformal, and the texture was smooth (Figure [1d](#page-3-0)). although the resulting thin film still shows some thin figure 1e). Figure 1g, h shows some 1 H_2O_3 (rigure 1c). The resulting coverage was continuous, but not uniform. To increase uniform

To gain further insight into the morphology and uniformity of the pretreatment layer, further TEM analysis was carried out on CNTs coated with just Ti converted to TiO $_2$. For the Ti layer, deposited by thermal evaporation in high vacuum, the mean free path (i.e., distance, which the evaporated material travels inside the chamber without colliding with gas molecules) is much larger than the metal target to substrate distance, making it a highly directional technique. This directionality results in the carbon nanotube shadowing itself and growth of thicker films on the part of the wall facing the evaporation front. As a result, a $TiO₂$ layer with non-uniform thickness is formed and varies from about a nanometer on the shadowed side to a few nanometers. The sample with an initial 3 nm thick Ti layer (Figure [1e](#page-3-0)) resulted in a higher variation of film thickness in the longitudinal direction (pearling along the nanotube) when oxidized. However, slightly increasing the buffer layer thickness to 5 nm, resulted in higher TiO₂ uniformity and continuous coverage of the nanotubes, although the resulting thin film still shows some thickness irregularities (Figure [1e](#page-3-0)). Figure [1g](#page-3-0),h show the same Al2O3-coated nanotubes from Figure [1c](#page-3-0),d, respectively—rotated ~60° along the nanotube's longitudinal axis, which confirms that the CNTs are conformally coated. A high-magnification image of the CNT in Figure [1h](#page-3-0) is shown in Figure [1i](#page-3-0), showing the uniform, amorphous ALD coating. \mathbb{R}^n are \mathbb{R}^n are observed.

Figure 1. Morphology and coverage of CNT dielectric coatings. (a) SEM images of CNTs without TiO₂ pretreatment (left) and with TiO₂ pretreatment (right); (b-i) TEM images of CNTs with (b) 10 nm of Al₂O₃ only; (**c**) 3 nm of Ti converted to TiO₂ and covered with 10 nm of Al₂O₃; (**d**) 5 nm of Ti converted to TiO² and covered with 10 nm of Al2O³ ; (**e**) 3 nm Ti converted to TiO² only; (**f**) 5 nm Ti converted to TiO₂ only; (g) 3 nm of Ti converted to TiO₂ with 10 nm of Al_2O_3 , rotated over 60 \textdegree along the nanotube axis; (**h**) 5 nm Ti converted to TiO₂ with 10 nm of Al₂O₃, three different projections of the CNT rotated over 59◦ along the nanotube axis; (**i**) a corresponding high-resolution image of the CNT shown in (**h**).

Although the cause for the increased uniformity of the pretreatment layer from 3 to 5 nm is not completely understood, both nucleation layers showed complete coverage when coated with ALD deposited alumina. Continuous coating with $TiO₂$ and later with $Al₂O₃$ can be explained as follows: due to a high binding energy, the initially deposited Ti layer has good wetting behavior on the nanotube surface [\[34\]](#page-10-11), forming continuous (but not always uniform) layers. When oxidized, it grows significantly in size due to a high Pilling-Bedworth ratio (PBR $_{Ti}$ = 1.6) [\[35\]](#page-10-12). As a result, enough nucleation sites for subsequent ALD reaction are provided to cover the entire nanotube. Some theoretical calculations show

strong interaction between titanium and carbon, resulting in covalent bond formation between Ti and CNTs or graphene [36-[38\]](#page-10-14), which contradicts the idea of minimizing interaction between nanotubes and coatings. However, according to Density Functional Theory calculations, Ti is very reactive with O₂ and oxidizes rapidly in its presence, significantly weakening Ti-C interaction upon oxidation [\[39](#page-10-15)[,40\]](#page-10-16). Such theoretical considerations allow us to speculate that after oxidation, TiO₂ only weakly interacts with the nanotubes. This is supported by Raman and the electrical measurements presented below, which do not show any degradation of the CNTs, although some changes are observed.

Raman spectroscopy was used to determine the effect of the coating on the phonon properties of the nanotube. Figure [2a](#page-4-0) shows Raman spectra obtained from the same nanotube after each step of the coating process: pristine CNT, after TiO₂ deposition, and after Al₂O₃ coating. Raman spectroscopy shows no D-mode (or at the noise level) for all three experiments. This shows that initial nanotubes shows no D-mode (or at the noise level) for all three experiments. This shows that initial nanotubes are low defect, as no defects were created during the pretreatment or ALD processes, and suggests are low defect, as no defects were created during the pretreatment or ALD processes, and suggests that the technique does not degrade the quality of the CNTs. However, the G mode, responsible for that the technique does not degrade the quality of the CNTs. However, the G mode, responsible for carbon atom vibrations in circumferential (G[−] mode) or parallel to nanotube (G⁺ mode) directions, carbon atom vibrations in circumferential (G− mode) or parallel to nanotube (G+ mode) directions, underwent noticeable changes. Figure [2](#page-4-0) shows that the peak of the G^+ mode shifts toward the blue, relative to the pristine nanotube, for the TiO₂ and TiO₂/Al₂O₃ coated samples. The extent of the peak shift, determined by a Lorentzian fitting to the data, is shown in Figure 2c a[nd](#page-4-0) summarized in the table in Figure [2d](#page-4-0). This shift can be attributed to charge transfer and doping $[41]$ or mechanical stress [\[42\]](#page-10-18) induced as a result of TiO₂ deposition. Subsequent coating with alumina showed a further small G-mode shift toward the blue. It is important to mention that such G peak position changes, with regard to pristine tubes, had a very large spread with both blue and red shifts across 20 tubes regard to pristine tubes, had a very large spread with both blue and red shifts across 20 tubes measured (results not shown here). We hypothesize that this can be attributed to mechanical stress measured (results not shown here). We hypothesize that this can be attributed to mechanical stress induction, as nanotubes with different chirality show different Raman response at the same mechanical induction, as nanotubes with different chirality show different Raman response at the same stress, demonstrating both blue (e.g., for uniaxial stra[in\)](#page-11-0) and red (e.g., for torsional strain) shifts [43]. More research needs to be done to study deposition induced Raman modes shifts, which will help to understand the underlying phenomena and decouple different effects.

Figure 2. Effect of CNT coating analyzed by Raman spectroscopy before and after each deposition **Figure 2.** Effect of CNT coating analyzed by Raman spectroscopy before and after each deposition step: step: (**a**) as obtained G and D modes; and (**b**) G-mode region normalized and fitted with two (**a**) as obtained G and D modes; and (**b**) G-mode region normalized and fitted with two Lorentzian line

shapes for G[−] and G⁺ modes, separately shown in (**c**) for each spectrum; (**d**) A table showing G[−] and G+ modes' peak positions and shift values (in parentheses) extracted from Lorentzian fits for each g+ modes' peak positions and shift values (in parentheses) extracted from Lorentzian fits for each $\frac{1}{2}$ and the position and start cancel (a) particles of less than $\chi^2 = 0.03$ was obtained for all Lorentzian fits.

To verify that Ti is indeed converted to $TiO₂$, X-ray photoemission spectroscopy (XPS) measurements were performed using a monochromatic Al Kα X-ray (hv = 1486.7 eV) source. To attain adequate XPS signal, larger samples were required and therefore carried out on a 5 nm Ti thin-film adequate XPS signal, larger samples were required and therefore carried out on a 5 nm Ti thin-film deposited on Si/SiO2, which was oxidized under the same ambient conditions as the CNT samples. deposited on Si/SiO2, which was oxidized under the same ambient conditions as the CNT samples. Complete conversion to the oxide is important for transistor applications to suppress source-to-drain Complete conversion to the oxide is important for transistor applications to suppress source-to-drain eduction conversion to the state to imperium for that state up products to suppress source to that leakage currents via a metallic conduction pathway. In Figure [3a](#page-5-0) we see clear peaks at 458.5 and teaming exercision that a meaning conduction painting. In Figure on the secondary peaks at 150.6 and 464.3 eV, that correspond to Ti $2p_{3/2}$ (458.66 eV) and Ti $2p_{1/2}$ (464.31 eV), respectively [\[44\]](#page-11-1), which have been identified as Ti^{4+} and correspond to stoichiometric TiO_2 . No peak was observed around 453.86 \pm $\frac{1}{2}$ eV, which would have been expected for metallic Ti $[44]$, and is strong evidence that complete title and is strong evidence that complete titanium oxidation was successful. oxidation was successful.

Figure 3. Chemical composition and dielectric properties. (a) XPS spectra of titanium layer oxidized in air for 24 h, confirming complete oxidation of the seed layer; (**b**) dielectric constant of pure ALD thin film (15 nm Al₂O₃, red curve) and compound dielectric (5 nm TiO₂ + 10 nm Al₂O₃, blue curve) and their frequency response.

metal-insulator-metal capacitors and performing capacitance-frequency measurements in the frequency range from 1 kHz to 1 MHz. Two capacitors with total oxide thickness of 15 nm were measured: first with 15 nm ALD Al_2O_3 and second with 5 nm TiO₂ (oxidized Ti) plus 10 nm ALD Al_2O_3 . Figure [3b](#page-5-0) shows the resulting capacitance-frequency characteristics. Despite having the same thickness and electrode area, the titania-alumina stack shows over two times higher capacitance (45.8 \pm 0.3 pF) compared to the pure alumina capacitor (20.3 \pm 0.1 pF). From a parallel-plate capacitor geometry, the alumina and average alumina-titania stack's dielectric constants of 9.4 and 21.7 (at 1 MHz), respectively, can be extracted. Such an increase in k value can be explained by a high dielectric permittivity of TiO₂. However, using only TiO₂ as an insulator is not favorable, since the material has a relatively small band gap, which may result in thermionic emission and direct current tunneling [\[33\]](#page-10-10). Thus, for few-nanometers-thick dielectric layers, TiO₂ should be used together with another high-k dielectric and a balance between these materials sought to optimize the overall thickness while maximizing the dielectric constant, and keeping leakage current low. The dielectric quality of titania and alumina layers was also evaluated by fabricating thin-film

Another important metric in electronic device design, is the equivalent (silicon) oxide thickness (EOT). The EOT of our devices was calculated using the following equation: EOT = $\varepsilon_0 \varepsilon_{SiO2} A/C_{ox}$; where ε_0 is a vacuum permittivity, ε_{SiO2} is a dielectric constant of SiO₂, and A and C_{ox} are the area and capacitance of the capacitor, respectively. For 15 nm thick films, an EOT of 6.2 nm was extracted for the pure-alumina device, whereas an EOT of 2.7 nm was extracted for the titania-alumina device t_{lower} is better). Such a scale down of the EOT makes the proposed compound dielectric a promising (lower is better). Such a scale down of the EOT makes the proposed compound dielectric a promising (lower is better). Such a scale down of the EOT makes the proposed compound dielectric a promising

candidate for future high-k dielectrics used in CNT- and other nanomaterials-based electronic devices. The quality of the interface between oxides, ratio of their thickness, as well as a combination of titania with other high-k dielectrics (e.g., HfO₂, ZrO₂) are subjects of future studies towards further improved EOT scaling.

Both back-gated and top-gated CNT field effect transistor (FET) device geometries were employed Both back-gated and top-gated CNT field effect transistor (FET) device geometries were to probe the electrical transport properties of the CNTs. Back-gated devices on degenerate Si with $SiO₂$ as the gate dielectric were employed to compare the TiO₂/Al₂O₃ coated CNTs with uncoated-pristine CNTs, while the top-gated device allowed us to directly evaluate the TiO₂/Al₂O₃ coatings as the gate dielectric. Back gated FET measurements confirmed that the TiO₂ pretreatment technique does not degrade nanotube properties, nor does the subsequent Al₂O₃ coating. Figure [4a](#page-6-0) shows transport characteristics recorded from a pristine nanotube—a nanotube coated with TiO₂—and a nanotube coated with TiO₂ and Al₂O₃. The electrical measurement of the FET shows an on/off ratio of $\sim 10^4$, with no degradation in conductance after TiO₂ deposition and a slightly improved on/off ratio after the Al₂O₃ ALD deposition. The latter can be associated with annealing of the contacts during ALD processing at 300 °C. Device characteristics shift to negative gate voltages, becoming more p-type with each deposition step.

Figure 4. Electrical transport properties of CNT FETs. (a) Back-gated transport measurements of CNT devices, uncoated (pristine-green), with $TiO₂$ only (red), and with $TiO₂$ and $Al₂O₃$ (blue). A schematic illustration of the device geometry is shown (center), and a false-colored SEM image (right); (**b**) hole illustration of the device geometry is shown (center), and a false-colored SEM image (right); (**b**) hole transport in top-gated CNT transistor. Insets in shows the leakage current. A schematic illustration of transport in top-gated CNT transistor. Insets in shows the leakage current. A schematic illustration of the device geometry is shown (center), and a false-colored SEM image (right). the device geometry is shown (center), and a false-colored SEM image (right).

The possibility of using titania-alumina compound oxide as a high-k dielectric gate stack was The possibility of using titania-alumina compound oxide as a high-k dielectric gate stack was evaluated by fabricating a top-gated CNT FET device. Figure [4b](#page-6-0) shows transport characteristics of the the device. The observed differences in the threshold voltage between top and back-gated FETs is device. The observed differences in the threshold voltage between top and back-gated FETs is due to the difference in gate oxide thickness and dielectric constant: 15 nm thick $\text{TiO}_2/\text{Al}_2\text{O}_3$ and 100 nm thick $SiO₂$, respectively. As expected, both devices behave as p-type transistors due to the use of high work-function electrodes [\[45\]](#page-11-2). The electrical measurements of the top-gated device show an on/off ratio of \sim 10⁴ and the field effect mobility of the device was calculated using following equation: μ *FE* $= g_m \times L^2/C \times 1/V_{ds}$, where g_m is the transconductance, L and C are device length and capacitance respectively [\[46\]](#page-11-3). Capacitance per unit length was calculated as follows: $C/L = 2\pi\varepsilon_0\varepsilon_{ox}/2t_{ox}$, where ε_0 is

a vacuum permittivity, ε*ox* is a dielectric constant of the gate oxide (extracted from C-f measurements), t_{ox} is its thickness, and *r* is the radius of the nanotube. The obtained field effect mobility of μ_{FE} = 226 cm 2 /Vs is comparable with those reported in literature, but far less than some of the "champions" in the field [\[47\]](#page-11-4). However, it is important to mention that field effect mobility is device-specific and many other effects have an impact on it, such as contact resistance, surface roughness, the quality of interfaces, measurement parameters, etc. The inset of I_{sd} -V_g characteristics in Figure [4b](#page-6-0) shows low gate leakage current, which was limited by the sensitivity of measurement setup, and was observed at the noise level—at least an order of magnitude lower than the source-drain current. Such low leakage-current behavior, together with the extracted dielectric constant and EOT, show that the titania-alumina stack is a promising all-oxide dielectric. Further, in the top-gated FET, the bottom side of the tube was not covered with TiO₂/Al₂O₃. In this configuration, the CNT was in contact with the hydrophilic SiO₂ substrate, and water molecules on the surface likely interact with the nanotube, adversely impacting the CNT transistor performance [\[48\]](#page-11-5). Therefore, the fabricated transistor does not achieve its full potential benefit from the TiO_2/Al_2O_3 high-k dielectric, and can be further improved by fabricating a surround-gate structure

3. Methods

3.1. Synthesis

The single-walled CNTs presented in this work were synthesized by chemical vapor deposition in a 1" quartz tube Lindberg/Blue M furnace reactor (Thermo Fisher Scientific, Waltham, MA, USA). A 2Å to 4Å thick Fe layer, was deposited on the substrate by thermal evaporation, then heated from room temperature to 675 °C in 30 min in air to calcinate the iron, followed by 2 min N_2 purge to remove residual oxygen. After the purge, samples were further heated in 50 sccm flow of H_2 for 20 min to reduce the iron and form catalyst nanoparticles. Once the CNT growth temperature of 875 ◦C was reached, CH⁴ at flow rate of 500 sccm was introduced. Nanotubes were synthesized for 30 min and then cooled to room temperature in H_2 atmosphere. To verify the effectiveness of various coating methods and conditions, initial studies were carried on CNTs grown across ~3 µm trenches etched in Si/SiO₂ wafers and analyzed by scanning electron microscopy (SEM). For TEM investigation, the nanotubes where grown over 1 or 1.5 μ m circular holes directly on TEM support films. This process flow resulted in fabrication of single-walled carbon nanotubes, as evidenced by TEM.

Following the CNT growth, the suspended nanotubes were covered with Al_2O_3 both with and without titanium surface pretreatment. The titanium pretreatment consisted of thermal evaporation of titanium metal at a deposition rate of 0.1 Å/s and a pressure of 5×10^{-6} mbar or better; the titanium was oxidized to $TiO₂$ by exposing samples to air for 24 h at room temperature. Native oxide is known to grow on titanium surface quickly—even at low temperatures [\[49\]](#page-11-6). Alumina layers were deposited using trimethylaluminum (TMA) and water precursors (Sigma Aldrich, St. Louis, MO, USA) at 300 ◦^C in Oxford FlexAl ALD system (Oxford Instruments, Oxfordshire, UK).

To study the impact of the TiO₂ layer or a TiO₂/Al₂O₃ stack on the electronic properties of the CNTs, field effect transistors with bottom and top gates were fabricated on degenerately doped Si (p-type, R < 0.001 Ohm*cm) with 100 nm thermal oxide (UniversityWafer Inc, Boston, MA, USA). Standard UV photolithography was used to pattern device structures. Cr markers were deposited by thermal evaporation as alignment markers. Fe catalyst was deposited using thermal evaporation to define CNT growth areas. Nanotubes were grown using the synthesis methods discussed above. Lithography was again used to define source, drain and gate electrodes. Cr (2 nm) and Pt (60 nm) were deposited using an electron-beam evaporator. For the top-gated device $TiO₂/Al₂O₃$ was deposited, followed by Cr/Pt gate electrode.

Dielectric properties of the TiO₂ and TiO₂/Al₂O₃ were evaluated by fabricating metal-insulatormetal capacitor stacks. This was done by synthesizing oxides, as described above, on a degenerately doped prime Si wafer that served as bottom electrode, and fabricating Cr/Au top electrodes using standard lithography and lift-off process.

3.2. Characterization

To verify the continuity of the dielectric coatings over relatively long tube lengths, SEM was performed using a Zeiss Ultra 60 SEM (Carl Zeiss AG, Oberkochen, Germany) at an accelerating voltage of 2 kV. To study the morphology of the titania coating and the interface with the nanotube, TEM measurements were performed using a JEOL 2100F TEM (JEOL Ltd., Tokyo, Japan) at an accelerating voltage of 120 or 200 kV. The quality of the CNTs after each fabrication step was verified by Raman spectroscopy on Horiba Jobin Yvon LabRAM ARAMIS (Horiba Ltd., Kyoto, Japan) confocal microscope, with 532 nm Nd:YAG laser, focused by a 100× objective of 0.9 numerical aperture. X-ray photoemission spectroscopy (XPS) measurements were performed on a Thermo Scientific K-Alpha X-ray Photoelectron Spectrometer System (Thermo Fisher Scientific, Waltham, MA, USA), using a monochromatic Al Kα X-ray (hv = 1486.7 eV). The spectrum was obtained by integrating the Ti2p region 10 times, with a spot size of 400 um. A flood gun was used for charge compensation. The C1s carbon peak was used as an internal reference to compensate for charging effects. Peaks were fit using Avantage software (Thermo Scientific). The field effect transistor performance measurements were done using an Agilent 4156 Precision Semiconductor Parameter Analyzer (Agilent Technologies, Santa Clara, CA, USA) by recording the change in Source-Drain current while changing gate voltage at a constant source-drain voltage. A source-drain voltage of $V_{ds} = 50$ mV was used to avoid damaging the nanotubes during electrical measurements. Increasing V_{ds} to higher values resulted in nanotubes being burned in some devices. With a lower V_{ds} , we were able to increase the probability that the devices survive the entire process, allowing electrical characterization before and after deposition of the dielectric. A Keysight E4990A Impedance analyzer (Keysight Technologies, Santa Rosa, CA, USA) was used to obtain capacitance-frequency characteristics and to study dielectric properties of the oxides in the frequency range from 1 kHz to 1 MHz at an amplitude of 0.01 V.

4. Conclusions

To summarize, we have demonstrated a method for achieving uniform ALD of high-k dielectric on low-defect suspended CNTs without degrading their properties. A few nanometer thick Ti layer, oxidized in ambient conditions to $TiO₂$, was used to prepare the surface of inert single-walled CNTs for subsequent ALD coating of AI_2O_3 . TEM measurements confirmed that the coatings were continuous and conformal, and Raman spectroscopy was used to show that the technique does not induce defects. We show that for thin-film structures, the $TiO₂/Al₂O₃$ stack has a higher gate oxide dielectric constant relative to Al_2O_3 alone and exhibits a low EOT. FET devices were fabricated and showed the TiO₂/Al₂O₃ dielectric stack to be an effective insulating layer with a low leakage current, and that the coatings do not degrade the properties of the CNTs. The process uses standard synthetic tools and is VLSI compatible. We believe that this conformal coating methodology will prove to be effective in the fabrication of surround-gate CNT FETs. This method may also find applications in a variety of difficult to coat nanoscale materials and devices, and the exploration of other ALD oxide materials could lead to dielectrics with further improved properties.

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Conflicts of Interest: The authors declare no conflict of interest.

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