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# Collaboration of Human Pickers and Crop-transporting Robots during Harvesting - Part I: Model and Simulator Development

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## ABSTRACT

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Some specialty crops, such as strawberries and table grapes, are harvested by large crews of pickers who spend significant amounts of time carrying empty and full (with the harvested crop) trays. A step toward increasing harvest automation for such crops is to deploy harvest-aid robots that transport the empty and full trays, thus increasing harvest efficiency by reducing pickers' non-productive walking times. To that end, this work addresses human-robot collaboration modeling in a harvesting context. First, a modeling framework for all-manual and robot-aided harvesting was developed, which can be used for off-line simulation by system designers, but also as a representation model for robot control, during real-time operation. To serve both functions, the framework utilizes hybrid systems to model picker and robot activities. Finite state machines model discrete operating states, and difference equations describe motion and mass transfer within each discrete state. To capture the variability in human behavior and performance during harvesting, the human activity model utilizes stochastic parameters (e.g., picking time, walking speed) that can be estimated by measurements during harvesting. The stochastic model does not require direct yield measurements, which are not available for most specialty crops. Second, a stochastic simulator was developed based on the developed model. For a given field and crew size, the simulator samples all stochastic parameters to generate many instances of the harvest operation, and estimates metrics such as pickers' non-productive time and harvest operation efficiency. Part II of this work presents the calibration and evaluation of the simulator based on field data, and a case study that evaluates the effect of various robot scheduling algorithms on harvest efficiency.

**Keyword:** Specialty crops harvest mechanization; human-robot collaboration; stochastic modelling; harvest simulation.

## 1 INTRODUCTION

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Mechanizing the hand harvesting of fresh market crops constitutes one of the biggest challenges to the sustainability of the fruit and vegetable industries. Depending on the commodity, labor for manual harvesting can contribute up to 60% of the yearly operating costs per acre (e.g. Bolda, Tourte, Murdock & Sumner, 2016). Additionally, recent studies indicate that the farm labor supply cannot keep up with demand in many parts of the world as a result of socioeconomic, structural and political factors (Bloomberg News, 2018; Z. Guan, Wu, Roka, & Whidden, 2015). Despite recent progress on shake-catch approaches for mechanical harvesting of apples (He et al., 2017) and cherries (Zhou et al., 2016), fruit quality and collection efficiency are still not adequate to justify adoption of these technologies for harvesting tree fruits. Shake-catch is also not applicable to the harvest of high-value crops like fresh strawberries, raspberries, blackberries, table grapes and tomatoes. These crops are very frail and must be harvested selectively, based on ripeness criteria, without inflicting damage. Robotic harvester prototypes

45 are being developed and field-tested for high-volume, high-value crops such as apples (Silwal et al.,  
46 2017), kiwifruit (Williams et al., 2019), sweet pepper (Bac et al., 2017) and strawberries (Xiong, Ge, &  
47 Grimstad, 2019). However, the developed robots have not successfully replaced yet the judgment,  
48 dexterity and speed of experienced pickers at a competing cost; the challenges of achieving high fruit  
49 picking efficiency and throughput remain still largely unsolved (Bac, Henten, Hemming, & Edan, 2014;  
50 Silwal et al., 2017; Williams et al., 2019).

51  
52 The manual harvesting operations for crops like strawberries, raspberries, blackberries, table grapes and  
53 tomatoes share a common feature: pickers spend significant amounts of (non-productive) time walking, to  
54 carry full and empty containers for the crops they pick. More specifically, during harvesting, each picker  
55 selects and picks the desired crops and places them in a small container (e.g., a basket, tray, bag or  
56 wheelbarrow). Once the container is full, the picker walks to a loading-inspection station at the edge of  
57 the field, waits in a queue, delivers the container with the harvested crops for inspection and  
58 compensation purposes, takes an empty container and walks back to resume picking. As a short or  
59 medium-term alternative to complete mechanization, teams of harvest-aiding robots are being developed  
60 that supply pickers with empty trays and transport full trays to collection stations (Vougioukas,  
61 Spanomitros, & Slaughter, 2012). Such robots can reduce walking, which often takes place on slippery  
62 ground, and consequently increase picker and harvest operation efficiency and safety.

63



*Figure 1. a) Workers picking and transporting trays; b) robot prototype transporting a tray. Photos taken in a strawberry field in Salinas, CA, on August 1, 2017.*

64 It is important to note that manual harvesting with robot-based transportation and the associated robot  
65 scheduling problem, resemble agricultural field operations, where several machines (Primary Units - PUs)  
66 perform the main field task (e.g., spraying, fertilizing, harvesting), and other machines (Service Units -  
67 SUs) provide in-field logistics support, by transporting working materials (crop, seeds, chemicals)  
68 between PUs and other units stationed outside the field (DD Bochtis & Sørensen, 2010). For example,  
69 pickers can be considered as grain harvesters (PUs), personal crop containers (tray, bag) as harvester  
70 grain tanks, and robots as transport trucks (SUs); of course, manual harvesting rates vary among workers  
71 in non-deterministic ways and are very difficult to measure in the field. Simulation models have been  
72 developed to study field machine operations. Arjona, Bueno, and Salazar (2001) used a discrete event  
73 simulation model to study the processes of harvesting and transporting sugarcane. De Toro and Hansson  
74 (2004) simulated in-field machinery performance for a series of years using a discrete events approach.  
75 Dionysis Bochtis, Vougioukas, Ampatzidis, and Tsatsarelis (2007) developed a hierarchical modeling  
76 framework for field operations planning of a fleet of machines. S. Guan, Nakamura, Shikanai, and  
77 Okazaki (2008) introduced hybrid modelling to simulate farm work planning and applied it to sugarcane  
78 farming. Hameed, Bochtis, Sørensen, and Vougioukas (2012) developed an object-oriented simulation  
79 model to evaluate machinery activities that involve transport and application of inputs (e.g., seeds,

80 fertilizers, chemicals) in fields. Zhou, Jensen, Bochtis, and Sørensen (2015) modeled the sequential  
81 operations of rotation farming (e.g., planting, spraying and harvesting) to predict the performance of  
82 potato production operations.

83  
84 Although machine field activity modelling has been pursued by many researchers, the modelling of  
85 manual harvesting has not received as much attention. Researchers have shown that simulation models  
86 can improve greenhouse operations (Van Henten, Bac, Hemming, & Edan, 2013). Bechar, Yosef,  
87 Netanyahu, and Edan (2007) modelled manual tomato trellising and harvesting operations in greenhouses  
88 using an event-based approach; simulated changes in work practices yielded up to 32% improvements.  
89 Van't Ooster, Bontsema, van Henten, and Hemming (2012) developed a discrete event simulator to model  
90 worker actions in a rose cultivation system inside greenhouses. They used the model to determine the best  
91 system settings at given rose yield levels and increase labor efficiency. The model was also used for static  
92 cut rose cultivation system (van't Ooster, Bontsema, van Henten, & Hemming, 2015) and sweet pepper  
93 harvesting operations (Elkoby, van't Ooster, & Edan, 2014). All the work cited above addressed protected  
94 cultivation environments – not open fields- and yield distribution was assumed to be a known input to the  
95 models, because measurements of yield were possible using greenhouse worker tracking systems (e.g.,  
96 rose stems per m<sup>2</sup>). Unfortunately, yield distributions are not available for open-field, manually harvested  
97 specialty crops. Also, the above works modeled only manual labor and did not incorporate any machine  
98 operations, or human-machine interactions. A few works have modeled workers actions during open-field  
99 harvest operations. Ampatzidis, Vougioukas, Whiting, and Zhang (2014) adopted a queueing model from  
100 operations research to describe the fruit picking process in sweet cherry harvest and the bin loading  
101 process in table grape harvest. Mesabbah, Mahfouz, Ragab, and Arisha (2016) developed a hybrid model,  
102 consisting of discrete event simulation and agent-based modeling to study the effect of varying  
103 performance of human harvesters in the productivity and operational cost of vineyard harvesting  
104 operations. Again, the researches cited above modelled manual activities only, and did not incorporate  
105 machine operations or collaboration between pickers and machines. Also, all cited modeling approaches  
106 could be used only for simulation and were not suitable as task models for robot control purposes, in the  
107 context of human-robot collaboration. As identified by Sheridan (2016) and others, humans need a mental  
108 model of the robot's capabilities and vice versa—also robots need to understand human actions and  
109 reactions.

110  
111 Hence, to the best of our knowledge, models that describe the collaboration of robots and humans during  
112 open-field specialty crop harvesting without explicit knowledge of yield spatial distribution, and that can  
113 be used for simulation and robot control purposes have not been studied yet. Motivated by the above  
114 mentioned challenges and limitations, the major contribution of this work is the development of a novel  
115 approach that utilizes hybrid automata with stochastic parameters to model the all-manual and robot-aided  
116 harvest and crop-transport operations for specialty crops that involve picking and walking. The developed  
117 models are suitable for simulation and robot control, and are based on parameters which can be estimated  
118 from measurements that can be made in real harvesting conditions; knowledge of crop yield maps (which  
119 are actually not available for manually harvested crops) and human picking rates (which are stochastic,  
120 time varying and extremely difficult to measure) are not required. Additionally, based on the presented  
121 methodology, a simulator was developed to predict picking efficiencies of a picking crew in harvesting  
122 operations for specialty crops and to evaluate various scheduling and dispatching policies for robot teams  
123 of different sizes serving the picking crew.

124  
125 The rest of this paper is organized as follows: Section 2 describes the manual and robot-aided harvesting  
126 processes for specialty crops that require picking and transport. In Section 3 and 4, a methodology is  
127 presented that uses multiple interacting stochastic hybrid automata to model manual picking and robot  
128 crop transport operations, and their interactions. Then the implementation of a simulator is presented that  
129 is based on the developed methodology. Lastly, the work is concluded, in Section 5, by discussing how  
130 useful the developed model can be for in harvest-aid robotics.

131 **2 MANUAL HARVESTING WITHOUT AND WITH CROP-**  
132 **TRANSPORTING ROBOTS**

---

133 In California and other parts of the world, soft-fruit crops such as strawberries, raspberries, table grapes  
134 and fresh tomatoes are typically planted in equally spaced parallel rows with furrows/aisles between them  
135 that accommodate human and machine traffic (Figure 2).



Figure 2. Layout of a typical raised-bed strawberry field (left) and vineyard (right) (AFP, 2013).

136 The field headlands are reserved for collection/packing/inspection stations and traffic of people, forklifts  
137 and trucks involved in the handling and transportation of the harvested crop. Strawberry harvesting will  
138 be used as an example in the rest of this paper; however, the methods and approaches are applicable for  
139 any manually harvested crops that require picking and transport.

140 The size of the picking crew ranges from 15-20 pickers (for smaller fields) to 35-40 pickers (for larger  
141 fields). Furrows can be quite long (up to 100 m). Picking starts from one corner of a field block, and  
142 advances towards the other corner. Each picker enters a furrow and starts picking strawberries selectively  
143 from the plants on the raised beds on each side of that furrow. Plucked strawberries are placed in a carton  
144 container called a ‘tray’ or ‘flat’; fresh-market strawberries are actually placed in small plastic containers  
145 (aka clamshells) inside the tray. The tray lies on a small ‘picking cart’ that is essentially a wheelbarrow  
146 made of wire (Figure 3).



147  
148 *Figure 3. A picker is picking and placing strawberry into a tray, carried by a cart, while in a furrow. Photo taken in*  
149 *a strawberry field in Salinas, CA, on August 1, 2017.*

150 At any time during picking, the worker may pause due to fatigue or the need for personal time. The picker  
151 moves forward and continues picking until the tray is full. This may happen inside the furrow the picker  
152 has been working in, or, if all fruit reachable from that furrow has been picked, in another furrow. In the  
153 former case, the picker leaves the cart where (s)he stopped picking, and walks to carry the tray to the  
154 collection station. In the latter case, the picker exits the current furrow, walks along the field’s headland,

155 carrying the cart with them, and enters the next empty furrow (no one else has used it) to harvest the next  
156 non-harvested bed. Once a tray is full it must be transported to the collection station. To reduce transport  
157 time, each block is split into two sections which also mark the center of furrows; so pickers typically start  
158 picking from the beginning of a section (the center of each furrow) and advance towards the headland  
159 where the collection station is located. After one section of the field is harvested, the collection station is  
160 moved to the opposite headland and the other section is harvested. Additionally, in some cases (when a  
161 field is very wide) there may be more than one collection stations dispersed along the headland that are  
162 manned – and become active – progressively as the crew sweeps the field from left to right, or vice versa.  
163 If crop-transport automation is not available, pickers must walk and bring their filled trays to a collection  
164 station, wait for quality inspection, register their tray for compensation, and get an empty tray to return to  
165 the field and resume picking (Figure 4).  
166



167  
168 *Figure 4. Pickers wait in a queue at a collection station to register their tray.*

169 In the proposed robot-aided harvesting scheme, a team of identical small robots brings empty trays to  
170 pickers and transports their full trays to the collection station. Robot are small, so they can carry only one  
171 tray, and tray loading and unloading is done manually. At any given time, given a set of tray-transport  
172 requests and a number of idle robots at the collection station, a scheduling/dispatching module schedules  
173 and dispatches robots to pickers. A dispatched robot departs from the collection station and carries an  
174 empty tray to the designated picker; when the robot arrives, the picker exchanges the filled tray with the  
175 empty tray and resumes picking. The robot carries the filled tray back to the collection station where  
176 someone takes the full tray and loads an empty one. Given that the number of robots will be small, this  
177 work assumes that robots don't wait in a queue at the collection station. The job cycle continues until all  
178 trays have been harvested and transported. Hence, non-productive walking time (traveling to a collection  
179 station) and waiting in a queue is eliminated. However, since robots are shared, pickers may have to wait  
180 for a robot to arrive.  
181

### 182 **3 MODELLING CHALLENGES AND OVERVIEW OF PROPOSED** 183 **APPROACH**

---

184 To reduce cost, each robot should serve multiple pickers. That is, the robot team is a shared resource; so  
185 the robot deployment will introduce a *waiting time*,  $t_{wait}$ , for each transported container. This is non-  
186 productive time,  $\Delta t_{fe}$ , during which a picker waits for a robot to arrive, after (s)he has filled their  
187 personal container. Clearly, reducing non-productive walking will cause  $\Delta t_{fe}$  to decrease, but the waiting

188 time will cause it to increase. Therefore, proper/optimal scheduling of robot teams, in real-time, is  
189 essential to minimize waiting times and equivalently maximize labor savings and efficiency, in a cost-  
190 effective manner.

191  
192 Computing the distributions of waiting times and efficiencies of different robot scheduling algorithms,  
193 and comparing them with all-manual harvesting, for different harvest scenarios (field size, crop load,  
194 picking crew size and characteristics) and robot teams (size, operating speeds, and capacities) is  
195 extremely important for designing cost-effective robotic crop-transport systems. Such prediction requires  
196 validated models and simulators of all-manual and coupled human-robot operations. More specifically,  
197 the location and time when each picker fills up each of their container and the time it takes to transport it  
198 manually and return must be computed, along with the waiting time a picker waits for a robot to arrive, in  
199 robot-aided operation. Therefore, the goals of this paper are: to present a modelling framework for the  
200 coupled operations of manual harvesting and robot-aided crop transport, for specialty crops whose harvest  
201 requires workers to pick and deliver; and to present a stochastic simulator based on the proposed model  
202 that can be used to predict picking efficiencies for a crew of pickers, and evaluate various scheduling  
203 strategies for teams of harvest-aid transport robots.

204  
205 At the very core of the manual harvesting model lies the calculation of the position of a picker and the  
206 amount of crop harvested by the picker as functions of time. A picker's current path,  $c$ , depends on what  
207 (s)he is doing, i.e., an operating state/mode: when picking, the path is a straight line inside a furrow; when  
208 delivering a tray it is typically a straight line segment from the exit point of the current furrow to the  
209 collection station; when moving to the next unharvested row, it is the line segment between the current  
210 furrow's exit and the next furrow's entry point. Hence, it is assumed that all travel paths are known in  
211 advance. A picker's position  $d(t)$  on a predefined path  $c$ , is the line integral of the picker's instantaneous  
212 moving speed,  $v(t)$  ( $\text{m s}^{-1}$ ), along this path:

$$d(t) = \oint_{t_0}^{t_0+\Delta t} v(\tau) d\tau \quad (1)$$

213 The moving speed depends on the individual picker and on what (s)he is doing (e.g., picking, carrying a  
214 tray, waiting); it will also typically vary with time, and depend on other random factors. From the above,  
215 it becomes clear that a harvesting model must have a *discrete* aspect, which represents different  
216 'operating states', and a *continuous* aspect, for worker position integration. It would also not be practical  
217 to develop models that rely on knowledge of workers' moving speed profiles along paths to calculate  
218 worker positions.

219  
220 The picking operating state is of particular importance. When picking fruit from plants along a straight-  
221 line path  $c$  inside a furrow, the moving speed depends strongly on the yield,  $y(c)$  ( $\text{kg m}^{-1}$ ), along the path,  
222 and on the worker picking rate,  $p(t)$ , ( $\text{kg s}^{-1}$ ). High yields result in slow moving speeds because the picker  
223 must collect a lot of fruit at the same or nearby locations, whereas high picking rates result in high  
224 moving speeds, since all harvestable fruit at the same or nearby locations is picked quickly. If yield  
225 distribution and picking rate were known, moving speed could be calculated as:

$$v(t) = \frac{p(t)}{y(c(t))} \quad (2)$$

226 However, yield distributions are not available for specialty crops – even from historical data - because  
227 they are harvested manually, and harvest monitors that measure yield are not available. Also, picking  
228 rates vary among workers (e.g., due to age, physical ability); are time-varying (e.g., due to fatigue,  
229 psychological condition); stochastic (e.g., sudden pauses for personal reasons) and very difficult to  
230 measure in the field. Calculating the weight of harvested fruit in the tray at time  $t$ ,  $W(t)$ , also requires that  
231 the picking rate is known:

$$W(t) = \oint_0^t p(\tau) d\tau \quad (3)$$

232 Furthermore, knowing the amount of time required for a tray to fill up,  $\Delta t_{ef}$ , is necessary for modelling  
 233 purposes, because it specifies the time and location of the next tray transport request (upper limit of  
 234 integration in equation (3)). If a worker starts filling up an empty tray at some position inside a furrow at  
 235 time 0, one could compute the time  $\Delta t_{ef}$  by solving the equation shown above for  $w(\Delta t_{ef}) = W_{full} - W_{empty}$ ,  
 236 i.e., when the tray becomes full (since the tray's capacity and empty weight are known). Unfortunately,  
 237 the picking rate is not known and therefore  $\Delta t_{ef}$  cannot be calculated.

238  
 239 For all the above reasons, manual harvesting models that assume that the yield and picking rate  
 240 parameters are available are not practical. To address this problem, the following approach is adopted in  
 241 this work.

- 242 1) Hybrid automata are used to model the discrete and continuous activities taking place during manual  
 243 and robot-aided harvesting.
- 244 2) The picker mean moving speeds during all different harvest activities, the time needed to fill-up trays  
 245  $\Delta t_{ef}$ , and the idle time spent waiting at the collection station,  $\Delta t_{iq}$ , are modelled as stochastic parameters  
 246 with distributions that are approximated from frequency histograms identified from measurements of  
 247 these parameters during the corresponding field harvest activities.
- 248 3) Monte-Carlo simulation is used to sample the corresponding stochastic parameters for each picker and  
 249 for each harvested and delivered tray, and to estimate the distributions of waiting times  $t_{wait}$ , and non-  
 250 productive times  $\Delta t_{fe}$ , so that efficiency metrics can be predicted.

251  
 252 Since the picking time  $\Delta t_{ef}$  is known (from measurements) the mean picking rate that is consistent with  
 253 equation (3) is computed from the mean value theorem and used in equation (3) to simulate fruit picking,  
 254 assuming constant picking rate. The mean moving speed  $\bar{v}$  during picking is modelled directly, without  
 255 the need to know picking rates and yields. Again, because of the mean value theorem,  $\bar{v}$  and  $\Delta t_{ef}$  can be  
 256 used in equation (1) to update worker position and compute the location along the furrow  $d(\Delta t_{ef}) = \bar{v} \Delta t_{ef}$   
 257 where the tray will fill-up. Next, the developed modelling framework will be presented in detail.  
 258

## 259 4 METHODOLOGY

---

### 260 4.1 WORKSPACE MODELLING

261 In a field with  $F$  furrows, each furrow is given a unique number/index,  $f$  ( $1 \leq f \leq F$ ). The point in the  
 262 middle of furrow  $f$  - along its length - is the 'split-point' ( $x_s(f)$ ,  $y_s(f)$ ). The line through the split-points  
 263 of all furrows divides the field block into two sections, which are harvested in sequence. Each section has  
 264 an index, denoted as  $sec$ , that is equal to one or zero for 'upper' or 'lower' block section, respectively.  
 265 The end-point of a furrow,  $f$ , in section,  $sec$ , is represented by coordinates  $x_e(f, sec)$ ,  $y_e(f, sec)$ , and  
 266 represents the border between the furrow and the headland: pickers and robots enter and exit the furrow  
 267 via the end-point. The point inside a furrow where a picker stops picking because the tray filled up (and  
 268 from where the picker will resume harvesting) is denoted as  $x_b(f, sec)$ ,  $y_b(f, sec)$ . For brevity, the  
 269 dependence on  $(f, sec)$  will not be shown in the rest of the text, except where necessary. Finally, the  
 270 position of the collection station is denoted as  $(x_{CS}, y_{CS})$ . All the above points are used as nodes in a graph  
 271 that models field coverage as graph traversal (DD Bochtis, Vougioukas, & Griepentrog, 2009;  
 272 Seyyedhasani & Dvorak, 2017).  
 273

### 274 4.2 MODELLING OF MANUAL AND ROBOT-AIDED HARVESTING

275 Discrete-time hybrid systems/automata are adopted as a unified approach to model the activities, motions  
 276 and interactions of all agents - human pickers and robots - involved in harvesting. Finite State Machines



277 (FSMs) are used to model the discrete operating states/modes of the agents and the transitions between  
 278 states, whereas difference equations describe motion and mass (crop) transfer inside each operating state.  
 279 Hybrid automata were originally proposed as an approach to the control of complex motion control  
 280 systems and robots (Brockett, 1993; Huber & Grunen, 1996), because of their ability to implement  
 281 reactive control (continuous domain) in a task-dependent fashion (discrete domain). It is also known that  
 282 complex robotic “behavioral procedures” (Arkin, 1998) can be modeled formally using hybrid automata  
 283 (Egersted, 2000). Discrete-time dynamic systems offer a powerful and flexible approach that has been  
 284 used for more than 60 years to describe and analyze the dynamics of human–machine systems, when a  
 285 human operator acts as manual controller (Rouse and Gopher, 1977). Hybrid automata have been  
 286 proposed to model more complex human behavior in the context of dynamically coupled man-machine  
 287 cooperative systems such as power-assist mechanisms (Okuda et al., 2007). In this work, hybrid  
 288 automata were used to model both human and robotic “behaviors” during harvest. There are, of course,  
 289 other ways to model/program behaviors (e.g., arbitrating or fusing independent reactive control actions or  
 290 rules (Arkin, 1998)). However, in the context of harvesting, picker “behavior” and interaction with robots  
 291 via tray exchange depends on yield and other factors, such as picker physical and psychological state,  
 292 random work interruption for personal time. Hybrid automata enable the modeling of human pickers’  
 293 actions using stochastic parameters and variables (e.g., walking speed, time to fill a tray) that can be  
 294 measured in the field, so that the model and simulator can be calibrated and validated. Furthermore,  
 295 hybrid automata models can be used for simulation - off-line - and for robot control, online.  
 296

297 At any discrete time  $k$ , each agent  $\alpha$  ( $\alpha \in \{p, r\}$  for picker and robot) involved in the harvesting process is  
 298 in a discrete operating mode/state  $s_a^i$ . The agent has also a continuous state,  $\mathbf{X}_{a,k} = (x_{a,k}, y_{a,k}, W_{a,k}, T_{a,k})$   
 299 with known initial conditions  $\mathbf{X}_{a,0}$ . The continuous state variables are: the agent’s position coordinates  $x$ ,  
 300  $y$  in the world frame; the weight  $W$  of the agent’s tray, and the elapsed time  $T$  inside the current state  
 301  $s_a^i$ . In state  $s_a^i$  the continuous state is governed by discrete state-dependent difference equations of the  
 302 form:  
 303

$$x_{a,k+1} = x_{a,k} + \Delta t \cdot V_{s_a^i} \cos(\theta), \quad (4)$$

$$y_{a,k+1} = y_{a,k} + \Delta t \cdot V_{s_a^i} \sin(\theta), \quad (5)$$

$$W_{a,k+1} = W_k + \Delta t \cdot p_{s_a^i}, \quad (6)$$

$$T_{a,k+1} = T_{a,k} + \Delta t. \quad (7)$$

304  $V_{s_a^i}$  is the agent’s moving speed,  $\theta$  is the direction of motion,  $p_{s_a^i}$  is the agent’s picking rate, and  $\Delta t$  is a  
 305 discrete time step; all quantities with a subscript  $s_a^i$  depend on the operating state. The initial conditions  
 306 are  $\mathbf{X}_{a,0} = [x_{s_a^i,0}, y_{s_a^i,0}, W_{s_a^i,0}, t]$ . In this work, the agents are assumed to have single-integrator dynamics  
 307 for position (Eqs. 4 and 5) and zero-order dynamics for speed  $V$  and direction  $\theta$  (they can change  
 308 instantaneously). Such simplified modeling of dynamics is common for planning and analyses of teams of  
 309 agents (Gazi et al., 2015). Furthermore, during manual harvesting in long rows (like our scenarios), the  
 310 large majority of motions are straight-line motions along furrows – and fewer motions in headlands - at  
 311 constant speeds. Therefore, changes in speed and direction take place only at the (short-lasting) transitions  
 312 when an agent crosses furrow and headland. Therefore, any differences in the simulated tray transport  
 313 times from the simplified dynamics are not expected to be significant. At the next time step the agent may  
 314 remain in  $s_a^i$  or transition to another discrete state  $s_a^j$ ; the transition is denoted as  $(\mathbf{X}_{a,k}, s_a^i, s_a^j)$ . State  
 315 transitions are either deterministic or stochastic. Next, models are presented for the operations of a single  
 316 picker, a group of pickers, a group of independent robots, and collaborating pickers and robots.  
 317

318 **4.2.1 Single Picker Manual Picking Model**

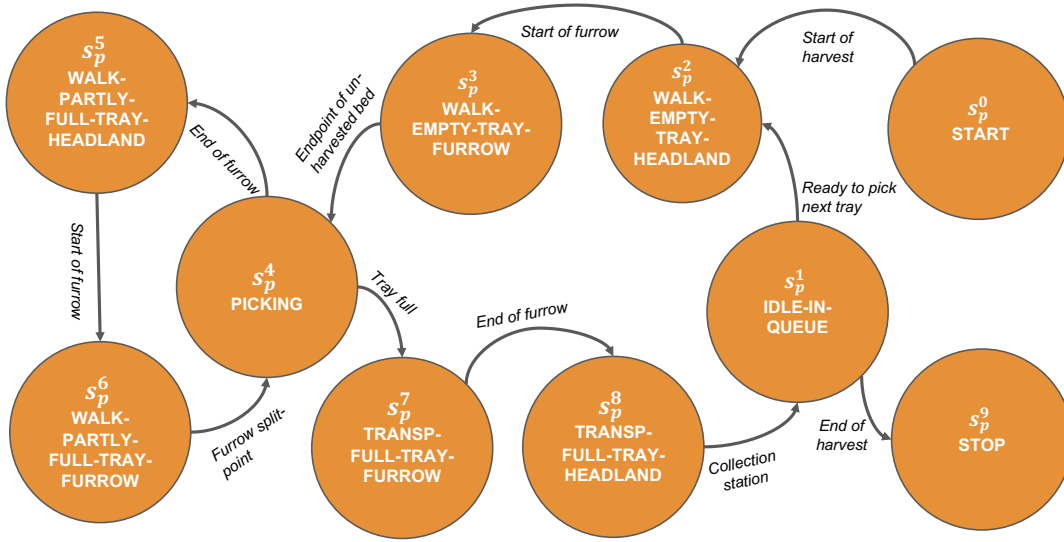
319 ***Finite State Machine for picker operating modes***

320 The activities of a picker during manual harvesting can be grouped in nine *discrete operating states*  
 321 (Table 1). These states are:  $s_p^i \in \{\text{START, IDLE-IN-QUEUE, WALK-EMPTY-TRAY-HEADLAND,}$   
 322  $\text{WALK-EMPTY-TRAY-FURROW, PICKING, WALK-TO-NEXT-FURROW, SETUP, TRANSP-}$   
 323  $\text{FULL-TRAY-FURROW, TRANSP-FULL-TRAY-HEADLAND, STOP}\}$ .

324  
 325 *Table 1. States defined to represent a picker's actions during manual harvesting.*

$s_p$	State	Action
$s_p^0$	START	A picker with an empty tray in hand starts harvesting.
$s_p^1$	IDLE-IN-QUEUE	A picker waits in a line at the collection station to deliver her/his full tray, and receive an empty tray.
$s_p^2$	WALK-EMPTY-TRAY-HEADLAND	A picker walks in the headland - toward a furrow - carrying an empty tray, to continue harvesting.
$s_p^3$	WALK-EMPTY-TRAY-FURROW	A picker with an empty tray walks inside a furrow toward its split-point to either harvest the furrow for the first time or continue harvesting in it.
$s_p^4$	PICKING	A picker is picking inside a furrow, with direction from its split-point toward the headland.
$s_p^5$	WALK-PARTLY-FULL-TRAY-HEADLAND	After finishing a bed, if the tray is still not full, the picker walks in the headland toward an empty furrow (no one has used it) to harvest the next non-harvested bed.
$s_p^6$	WALK-PARTLY-FULL-TRAY-FURROW	A picker with a partially full tray walks inside an empty furrow – until its split-point is reached – to continue harvesting from an unharvested bed.
$s_p^7$	TRANSP-FULL-TRAY-FURROW	A picker walks inside a furrow toward the headland to transport the full tray to the collection station.
$s_p^8$	TRANSP-FULL-TRAY-HEADLAND	A picker walks in headland to transport the full tray to the collection station.
$s_p^9$	STOP	A picker stops picking after delivering the last tray.

326  
 327 The states and possible transitions are shown in Figure 5. For brevity, same-state transitions are not  
 328 shown, as all the states continuously transition to themselves until a transition condition to another state is  
 329 satisfied.  
 330



331

332 *Figure 5. State diagram of a picker's operating states/modes ( $s_p^i$ ) during manual strawberry harvesting.*

333 **START**

334 In state  $s_p^0$ , the picker with an empty tray in hand starts the harvesting operation. The state initial  
 335 conditions are  $\mathbf{X}_{p,0} = [x_{cs}, y_{cs}, W_{empty}, 0]$ , where  $(x_{cs}, y_{cs})$  is the position of the collection station, and  
 336  $W_{empty}$  is the weight of an empty tray. The transition “*Start of harvest*” takes place immediately, i.e., no  
 337 integration is performed.

338  
 339 **IDLE-IN-QUEUE**

340 In state  $s_p^1$ , the picker waits in the queue at the collection station, delivers the full tray and gets an empty  
 341 tray. The picker stays in this state for  $\Delta t_{iq}$  (s). The pdf of  $\Delta t_{iq}$  is approximated from a frequency  
 342 histogram identified from measurements. The initial condition for the continuous states is  $\mathbf{X}_{p,0} =$   
 343  $[x_{cs}, y_{cs}, W_{full}, t]$ , where  $W_{full}$  is the weight of a full tray (including the empty tray's weight). The fact  
 344 that the picker leaves with an empty tray is modeled by using a ‘picking rate’  $p_{s_p^1} = (W_{empty} -$   
 345  $W_{full})/\Delta t_{iq}$ . The picker moving speed is  $V_{s_p^1} = 0$ . As soon as  $T_{p,k} - T_{p,0} \geq \Delta t_{iq}$ , if there are still  
 346 unharvested beds the “*Ready to pick next tray*” transition,  $s_p^1$  to  $s_p^2$ , takes place; otherwise, the “*End of*  
 347 *harvest*” transition to the “STOP” state  $s_p^9$  takes place.

348  
 349 **WALK-EMPTY-TRAY-HEADLAND**

350 In state  $s_p^2$ , the picker walks in the headland, from the collection station toward the ‘end-point’ of a  
 351 furrow. This furrow is the closest un-occupied furrow that has not been traversed yet for harvesting (see  
 352 section 4.2.2 for the furrow selection process), or the furrow the picker was already working in. The travel  
 353 angle  $\theta$  is defined by the straight line connecting the collection station and the target furrow's end-point  
 354  $x_e, y_e$ , and corresponds to a direction away from the collection station. The state initial conditions are:  
 355  $\mathbf{X}_{p,0} = [x_{cs}, y_{cs}, W_{full}, t]$ . The picker walking speed  $V_{s_p^2} = V_W$ , a random variable whose pdf is  
 356 approximated from a frequency histogram identified from measurements, and the picking rate  $p_{s_p^2} = 0$ .  
 357 The “*Start of furrow*” transition,  $s_p^2$  to  $s_p^3$ , takes place when a picker reaches the end-point of the furrow.

358

359 **WALK-EMPTY-TRAY-FURROW**

360 In state  $s_p^3$ , a picker with an empty tray walks inside a furrow toward its middle to either harvest it for the  
361 first time or continue harvesting in it. The initial conditions are  $\mathbf{X}_{p,0} = [x_e, y_e, W_{empty}, t]$ . The picker's  
362 walking speed is  $V_{s_p^4} = V_W$  and the travel angle  $\theta$  is the heading of the furrow with direction toward its  
363 split-point  $(x_s, y_s)$ . The picking rate is  $p_{s_p^4} = 0$ . The transition “*Endpoint of un-harvested bed*” from  $s_p^3$   
364 to  $s_p^4$  (picking state) takes place as soon as the picker reaches the split-point (if the furrow is entered for  
365 the first time) or the location in the furrow where the previous tray had been filled, i.e., the boundary  
366 between harvested and un-harvested crop  $(x_b, y_b)$ .

367  
368 **PICKING**

369 In state  $s_p^4$ , the picker picks inside a furrow, with direction from its split-point toward the headland. If this  
370 furrow was entered for the first time the initial coordinates are the furrow's split-point  $(x_s, y_s)$ , and if the  
371 previous state was  $s_p^3$  (carrying an empty tray), then the initial weight is  $W_{s_p^4,0} = W_{empty}$ ; otherwise, the  
372 previous state was  $s_p^6$  (carrying a partially full tray), and the initial weight is the weight of partially full  
373 tray,  $W_{s_p^4,0} = W_{partial}$ . If the picker was picking in this furrow before, the initial position is  $(x_b, y_b)$  and  
374 corresponds to the point where the previous tray had filled up, and  $W_{s_p^4,0} = W_{empty}$ . The picker walking  
375 speed is  $V_{s_p^5} = V_P$ , a random variable whose pdf is approximated by a frequency histogram identified  
376 from measurements during picking from a furrow. The travel angle  $\theta$  is the heading of the furrow with  
377 direction toward the headland. The process of filling one tray lasts  $\Delta t_{ef}$  seconds. This time interval is a  
378 random variable (Anjom et al., 2018) whose pdf is approximated by a frequency histogram identified  
379 from measurements. The picking rate is  $p_{s_p^5} = (W_{full} - W_{empty})/\Delta t_{ef}$ . If the time spent picking  $(T_{p,k} -$   
380  $t)$  exceeds  $\Delta t_{ef}$  before the picker reaches the end-point of the furrow, the transition “*Tray full*” takes  
381 place from  $s_p^4$  to  $s_p^8$ , and the picker begins transporting the full tray. However, if the end of the furrow is  
382 reached before  $\Delta t_{ef}$  is exceeded, the “*End of furrow*” transition occurs from  $s_p^4$  to  $s_p^5$ , and the picker  
383 walks to the next empty furrow to continue picking and filling the same tray.

384  
385 **WALK-PARTLY-FULL-TRAY-TO-NEXT-FURROW**

386 After harvesting a bed, if the tray is partly full, the picker enters state  $s_p^5$ , where (s)he walks in the  
387 headland toward the closest un-occupied furrow that has not been used yet. During walking, the picker  
388 carries the partly full tray and the picking cart. The initial conditions are  $\mathbf{X}_{p,0} = [x_{fe}, y_{fe}, W_{partial}, t]$ .  
389 The picker walking speed is  $V_{s_p^6} = V_T$ , a random variable whose pdf is approximated by the frequency  
390 histogram identified from measurements. The travel direction  $\theta$  is defined from  $(x_e(f, sec), y_e(f, sec))$   
391 of the current furrow,  $f$ , to the end-point of the next furrow,  $f'$ ,  $(x_e(f', sec), y_e(f', sec))$ ; the picking rate  
392 is  $p_{s_p^6} = 0$ . The “*Start of furrow*” transition,  $s_p^5$  to  $s_p^6$ , occurs when a picker reaches  $(x_e(f', sec),$   
393  $y_e(f', sec))$ .

394  
395 **WALK-PARTLY-FULL-TRAY-FURROW**

396 In state  $s_p^6$ , a picker carrying a partly-full tray walks in a furrow toward – and until - its split-point. The  
397 initial condition for the continuous states is  $\mathbf{X}_{p,0} = [(x_e(f', sec), y_e(f', sec)), W_{partial}, t]$ . The picker  
398 walking speed is  $V_{s_p^6} = V_T$  and the travel angle  $\theta$  is the heading of the furrow with direction toward the  
399 headland. The picking rate is  $p_{s_p^7} = 0$ . The transition from  $s_p^6$  to  $s_p^4$  occurs once the picker reaches the  
400 furrow's split-point  $(x_s, y_s)$ .

401  
402 **TRANSP-FULL-TRAY-FURROW**

403 In state  $s_p^7$  the picker walks inside the furrow toward the collection station to deliver the full tray. The  
 404 state initial conditions are  $\mathbf{X}_{p,0} = [x_b, y_b, W_{full}, t]$ . The walking speed is  $V_{s_p^7} = V_W$  and the travel angle  $\theta$   
 405 is the heading of the furrow with direction toward the headland. The picking rate is  $p_{s_p^8} = 0$ . The “*End of*  
 406 *furrow*” transition,  $s_p^7$  to  $s_p^8$ , occurs when the picker reaches the furrow’s end-point  $(x_e, y_e)$ .

407  
 408 **TRANSP-FULL-TRAY-HEADLAND**

409 In state  $s_p^8$ , the picker walks in headland to transport the full tray to the collection station. The state initial  
 410 conditions are  $\mathbf{X}_{p,0} = [x_e, y_e, W_{full}, t]$ . The walking speed is  $V_{s_p^8} = V_W$ , and the angle  $\theta$  is the travel  
 411 direction in the headland. The picking rate is  $p_{s_p^8} = 0$ . The “*Collection station*” transition  $s_p^8$  to  $s_p^1$ , occurs  
 412 when the picker arrives at the collection station, at the point  $(x_{cs}, y_{cs})$ .

413  
 414 **STOP**

415 In state  $s_p^9$ , the picker stops harvesting, after having delivered the last tray to the collection station. The  
 416 state initial conditions are  $\mathbf{X}_{p,0} = [x_{cs}, y_{cs}, 0, t]$  and the time clock stops. This may happen before a lunch  
 417 break, at the end of the day, or for other reasons.

418  
 419 **4.2.2 Multi-Picker Crew Operation Model**

420 Harvest crews consist of large numbers of pickers (15-30). Pickers harvest independently of each other;  
 421 however, each furrow is typically traversed/occupied by only one picker. When harvest begins, each  
 422 picker selects the closest furrow to that is unoccupied as the first furrow to work in; let its index be  $f(1)$ .  
 423 When the picker finishes harvesting from furrow  $f(1)$ , (s)he moves to the next closest unoccupied furrow  
 424 that corresponds to an unharvested bed (the index is  $f(2)$ ). This process continues ( $f(3)$ ,  $f(4)$ ...), until the  
 425 entire field section is harvested; then, the whole crew transitions to the other section of the field. Through  
 426 this process, a picker’s choice of the next bed to harvest – and the corresponding furrow to walk in –  
 427 restricts the selection of furrows by other pickers. The simulator models this interaction (i.e., coordination  
 428 among the crew) by implementing for each picker the furrow transition pattern described above, and  
 429 ensuring that a furrow visited by a picker is not available for another picker.

430 There are, however, a few exceptions to the typical traversal pattern: 1) if a tray becomes full while only a  
 431 small length of the bed remains unharvested, a picker may harvest the remaining distance by overfilling  
 432 the clamshells in the tray; 2) when a tray is almost full at the end of a bed/furrow, a picker may pick some  
 433 strawberries from neighboring beds; and 3) if the tray is more than half-full when a picker enters a new  
 434 furrow, the picker may choose to start harvesting from the entry point of the furrow rather than walking to  
 435 its center first and then start picking. Including these exceptions in the model is possible, albeit at the cost  
 436 of increased complexity. However, estimating their statistics is very difficult, as they don’t happen often  
 437 and depend on picker random/subjective decisions, habits, fatigue. Extensive observation of pickers  
 438 confirmed that these exceptions are sporadic and as such would not affect the calculation of picking  
 439 efficiency. Hence, they were not modeled in the developed system.

440  
 441 **4.2.4 Integrated Human-Robot Harvesting Model**

442 During human-robot collaborative harvesting, the pickers don’t walk to the collection station to deliver  
 443 full trays; they do so only once, for their last tray of the day. The operation of the transport-robots  
 444 introduces two new picker states (Table 2). The state diagram of pickers and robots carrying trays is  
 445 shown in Figure 6. Pairs of robot and picker state transitions that are mutually dependent have underlined  
 446 text and same color.

447  
 448 *Table 2. New picker states introduced for collaboration with crop-transport robots.*

$S_p$	State	Action
-------	-------	--------

$s_p^{10}$	WAITING-FOR-ROBOT	A picker with a full tray waits (idle) for a robot to arrive.
$s_p^{11}$	TRAY-LOADING	A picker takes the empty tray brought by the robot and places a full tray on the robot.

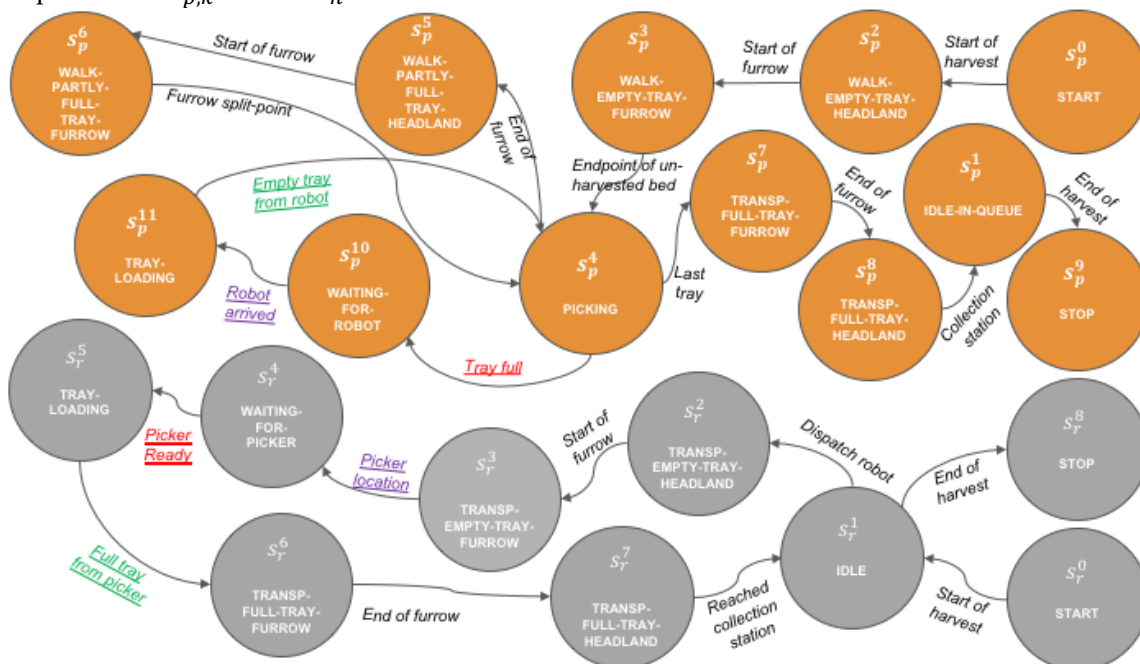
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### WAITING-FOR-ROBOT

In state  $s_p^{10}$ , the picker has filled a tray and waits for a robot to arrive. The initial conditions are  $\mathbf{X}_{p,0} = [x_{fb}, y_{fb}, W_{full}, t]$ . The picking rate  $p_{s_p^{10}}$  and picker moving speed  $V_{s_p^{10}}$  are zero. The “Robot arrived” transition,  $s_p^{10}$  to  $s_p^{11}$ , takes place when a robot arrives, i.e., the robot’s “Picker location” condition (and transition) becomes true. Obviously, if the robot is already there, the picker exits this state immediately.

### TRAY-LOADING

In state  $s_p^{11}$ , the picker takes the empty tray brought by the robot and places a full tray on the robot. The initial conditions are  $\mathbf{X}_{p,0} = [x_b, y_b, W_{full}, t]$ . The picking rate  $p_{s_p^{10}}$  and picker moving speed  $V_{s_p^{10}}$  are zero. The duration of this state is assumed constant and equal to some ‘handling time’  $\Delta t_h$ . Tray handling is modeled with a picking rate  $p_{s_r^4} = (W_{full} - W_{empty})/\Delta t_h$ , which results in switching from a full to an empty tray ( $W_{r,k} = W_{full}$ ) when the state is exited. The “Empty tray from robot” transition,  $s_p^{11}$  to  $s_p^4$ , takes place once  $T_{p,k} \geq t + \Delta t_h$ .



463  
464  
465

Figure 6. State diagram of picker states ( $s_p^i$ ) and transport robot states ( $s_r^i$ ) during human-robot collaborative harvesting, where robots carry empty and full trays between pickers and the collection station.

466 As with picker actions, the operations of a crop-transport robot can be grouped in discrete operational  
467 states (Table 3):  $s_r^i \in \{\text{START, IDLE, TRANSP-EMPTY-TRAY-HEADLAND, TRANSP-EMPTY-}$   
468  $\text{TRAY-FURROW, TRAY-LOADING, TRANSP-FULL-TRAY-FURROW, TRANSP-FULL-TRAY-}$   
469  $\text{HEADLAND, STOP}\}$ .

470  
471

Table 3. States defined to represent robot actions during the tray transportation

$s_r$	State	Action
-------	-------	--------

$s_r^0$	START	A robot at the collection station starts operation with no tray on it.
$s_r^1$	IDLE	Robot remains idle until dispatched to a picker.
$s_r^2$	TRANSP-EMPTY-TRAY-HEADLAND	Robot travels in the headland - carrying an empty tray - toward the end-point of the furrow where the service request originated.
$s_r^3$	TRANSP-EMPTY-TRAY-FURROW	Robot travels inside a furrow - carrying an empty tray - toward the location where the transport request originated.
$s_r^4$	WAITING-FOR-PICKER	The robot waits until the picker fills her/his tray.
$s_r^5$	TRAY-LOADING	The robot is still while the picker exchanges the empty tray with a full tray.
$s_r^6$	TRANSP-FULL-TRAY-FURROW	Robot travels inside a furrow - carrying a full tray - toward the collection station.
$s_r^7$	TRANSP-FULL-TRAY-HEADLAND	Robot travels in the headland - carrying a full tray - toward the collection station.
$s_r^8$	STOP	The robot at the collection station stops its harvest-aid operation after transporting the last tray.

472

473 A robot's operating modes are described next:

474 **START**

475 In state  $s_r^0$ , the robot is at the collection station with an empty tray on it, and starts its operation. The  
476 initial conditions are  $\mathbf{X}_{p,0} = [x_{cs}, y_{cs}, W_{empty}, 0]$ . The transition “*Start of harvest*” takes place  
477 immediately.

478

479 **IDLE**

480 In state  $s_r^1$ , the robot remains idle (waits) at the collection station. If this state is entered for the first time  
481 from the START state, the initial tray weight is  $W_{init} = W_{empty}$ ; otherwise,  $W_{init} = W_{full}$ . The initial  
482 conditions are  $\mathbf{X}_{r,0} = [x_{cs}, y_{cs}, W_{init}, t]$ . The picking speed is  $p_{s_r^1} = (W_{empty} - W_{init})/\Delta t$ , and its  
483 moving speed is zero. If there are still requests for tray transportation the “*Dispatch robot*” transition,  $s_r^1$   
484 to  $s_r^2$  is initiated by the scheduling/dispatching module; otherwise, the “*End of harvest*” transition to the  
485 “STOP” state  $s_r^8$  takes place.

486

487 **TRANSP-EMPTY-TRAY-HEADLAND**

488 In state  $s_r^2$ , the robot travels in the headland - carrying an empty tray – toward the end-point of the next  
489 furrow,  $(x_e, y_e)$ , which is specified by some scheduling algorithm. The initial conditions are  $\mathbf{X}_{r,0} =$   
490  $[x_{cs}, y_{cs}, W_{empty}, t]$ . The robot speed is constant and pre-set at  $V_{s_r^2} = V_r$ ;  $\theta$  is defined by the collection  
491 station and furrow end-point locations, with direction toward the furrow. The picking rate is zero. The  
492 “*Start of furrow*” transition,  $s_r^2$  to  $s_r^3$ , takes place when the robot reaches the end-point of the furrow  
493  $(x_e, y_e)$ .

494

495 **TRANSP-EMPTY-TRAY-FURROW**

496 In state  $s_r^3$ , the robot travels inside a furrow - carrying an empty tray - toward the location where the  
497 transport request originated. The initial conditions are  $\mathbf{X}_{r,0} = [x_e, y_e, W_{empty}, t]$ . The robot speed is  
498  $V_{s_r^3} = V_r$ , and the travel angle  $\theta$  is the heading of the furrow with direction toward the position where the  
499 tray becomes full  $(x_b, y_b)$ ; the picking rate is zero. The “*Picker location*” transition,  $s_r^3$  to  $s_r^4$ , occurs  
500 once the robot arrives at the picker position (within a small fixed distance before it).

501

502 **WAITING-FOR-PICKER**

503 In state,  $s_r^4$ , the robot is idle and waits for the picker to finish. Depending on the operation scenario, if  
504 robots respond to requests the time spent in this state will be zero; however, if some form of predictive

505 scheduling is performed, the robot may arrive early. The initial conditions are  $\mathbf{X}_{r,0} = [x_b, y_b, W_{empty}, t]$ ,  
506 and the robot speed and picking rate are both zero. The “*Picker ready*” transition,  $s_r^4$  to  $s_r^5$ , takes place  
507 when the picker’s “*Tray full*” condition (and transition from  $s_p^4$  to  $s_p^{10}$ ) becomes true.

508

#### 509 **TRAY-LOADING**

510 In state,  $s_r^5$ , the robot is idle, while a picker takes its empty tray and places a full tray on it. The initial  
511 conditions are  $\mathbf{X}_{r,0} = [x_b, y_b, W_{empty}, t]$ , and the robot speed is zero. The “handling time” for this state is  
512 assumed constant and equal to  $\Delta t_h$ . Tray handling is modeled with a picking rate  $p_{s_r^5} = (W_{full} -$   
513  $W_{empty})/\Delta t_h$ , which results in a fully loaded robot ( $W_{r,k} = W_{full}$ ) at the end of the state. The “*Full tray*  
514 *from picker*” transition,  $s_r^5$  to  $s_r^6$ , takes place when the picker’s “*Empty tray from robot*” condition ( $T_{p,k} \geq$   
515  $t + \Delta t_h$ ) and corresponding transition becomes true.

516

#### 517 **TRANSP-FULL-TRAY-FURROW**

518 In state  $s_r^6$ , the robot travels inside a furrow – carrying a full tray – toward the furrow’s end-point. The  
519 initial conditions are  $\mathbf{X}_{r,0} = [x_b, y_b, W_{full}, t]$ , and the robot speed is  $V_{s_r^6} = V_r$ , and the travel angle  $\theta$  is the  
520 heading of the furrow with direction toward the headland; the picking rate is zero. The “*End of furrow*”  
521 transition,  $s_r^6$  to  $s_r^7$ , takes place reaches the end-point of the furrow ( $x_e, y_e$ ).

522

#### 523 **TRANSP-FULL-TRAY-HEADLAND**

524 In state  $s_r^7$ , the robot travels in the headland – carrying a full tray – toward the collection station. The  
525 initial conditions are  $\mathbf{X}_{r,0} = [x_e, y_e, W_{full}, t]$ , the robot speed is  $V_{s_r^7} = V_r$ , and  $\theta$  is defined by the furrow  
526 end-point and collection station point, with direction toward the furrow.; the picking rate is zero. The  
527 “*Reached collection station*” transition from  $s_r^7$  to  $s_r^1$ , takes place once the robot reaches the collection  
528 station at ( $x_{cs}, y_{cs}$ ).

529

#### 530 **STOP**

531 In state  $s_r^8$ , the robot stops its operation, after all harvesting and transporting is done. The state initial  
532 conditions are  $\mathbf{X}_{r,0} = [x_{cs}, y_{cs}, W_{empty}, t]$  and the time clock stops .

533

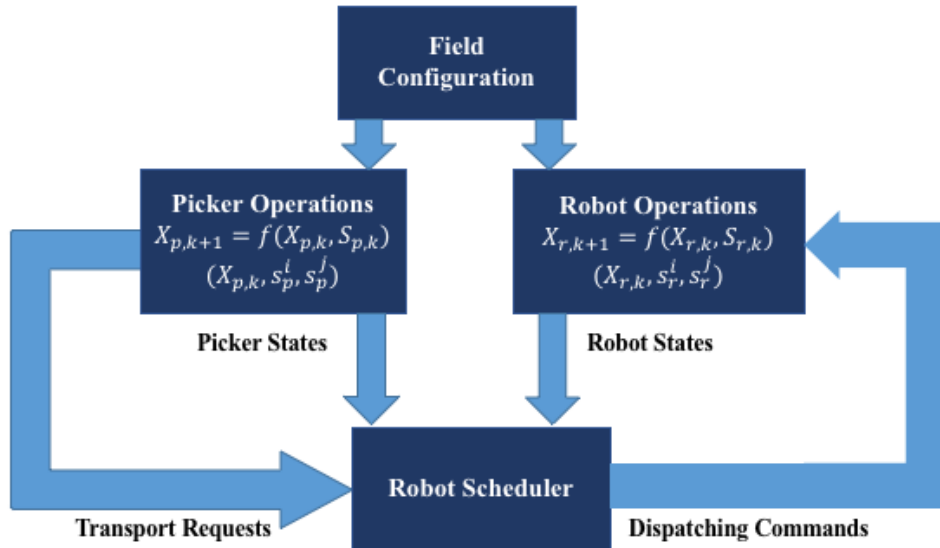
### 534 **4.3 DEVELOPMENT OF A ROBOT-AIDED HARVEST SIMULATOR**

#### 535 **4.3.1 Simulation Platform Architecture**

536 A simulator for manual and robot-aided strawberry harvesting was developed based on the hybrid system  
537 models presented above using the Python programming language. Figure 7 shows the simulator’s  
538 architecture. The *Pickers Operation* module implements the picker hybrid model during manual or robot-  
539 aided harvesting. The *Robots Operation* module implements the hybrid model of the robots’ operations.  
540 The picker and robot states and transport requests are fed to the *Robot Scheduler* module, which  
541 schedules robot operations and issues dispatching commands to the robots.

542





543 *Figure 7. The architecture of the all-manual and robot-aided harvesting simulator. The picker and robot operations*  
 544 *modules integrate the continuous picker and robot states  $X_{p,k}$  and  $X_{r,k}$  respectively, and execute the transitions of*  
 545 *the respective discrete states,  $s_p^i$  and  $s_r^i$ .*

546 The simulator has a global “clock”, i.e., a global time variable,  $t$ , that represents the current time of the  
 547 harvesting activity; time starts at  $t = 0$  s and increases by  $\Delta t$  (0.5 s was used). The state updates are  
 548 computed at every step and the simulation terminates when the entire field block is harvested, or after a  
 549 pre-set harvest time has elapsed. During each execution of the simulator, the stochastic  
 550 variables  $V_p, V_T, V_W, \Delta t_{ef}$ , and  $\Delta t_{iq}$  are sampled randomly from their respective non-parametric  
 551 distributions. The random samples are stored, so that different scheduling algorithms can be compared for  
 552 identical harvest conditions (yield, picker activity). A Monte-Carlo approach is adopted to estimate the  
 553 mean harvest operation efficiency (Eq. 8). As a result, multiple runs of the harvest simulation are  
 554 executed.

556 The simulation platform does not adopt a specific scheduling algorithm, as its purpose is to enable  
 557 experimentation with various scheduling algorithms and optimization criteria. Instead, it defines the  
 558 inputs and outputs of the *Robot Scheduler* module. The scheduler has access to the picker and robot  
 559 continuous and discrete states, and to the set of all issued and yet-unserved tray transport requests at step  
 560  $k$  (each request contains its location and time). The output of the scheduler at step  $k$ , is the set of  
 561 dispatching commands, where each dispatching command assigns a specific robot to a tray-transport  
 562 location. For example, if only idle robots are dispatched, non-preemptive scheduling can be implemented;  
 563 otherwise, if a robot that is on its way to an unserved request is assigned another request, preemptive  
 564 scheduling can be performed. The scheduler module may contain a request prediction module, so that  
 565 predictive scheduling is implemented; otherwise, reactive scheduling will be performed.

567 Figure 8 shows a visualization of simulated manual and robot-aided harvest with nine pickers and nine  
 568 pickers and three robots respectively. In the manual harvesting mode (Figure 8a) as a picker fills up a tray  
 569 (gold diamond), (s)he transports the filled tray to the active collection station (gold circle). Whereas, in  
 570 the robot-aid harvesting mode (Figure 8b), as a picker fills up a tray, (s)he waits for a robot (colored  
 571 circles) to arrive.

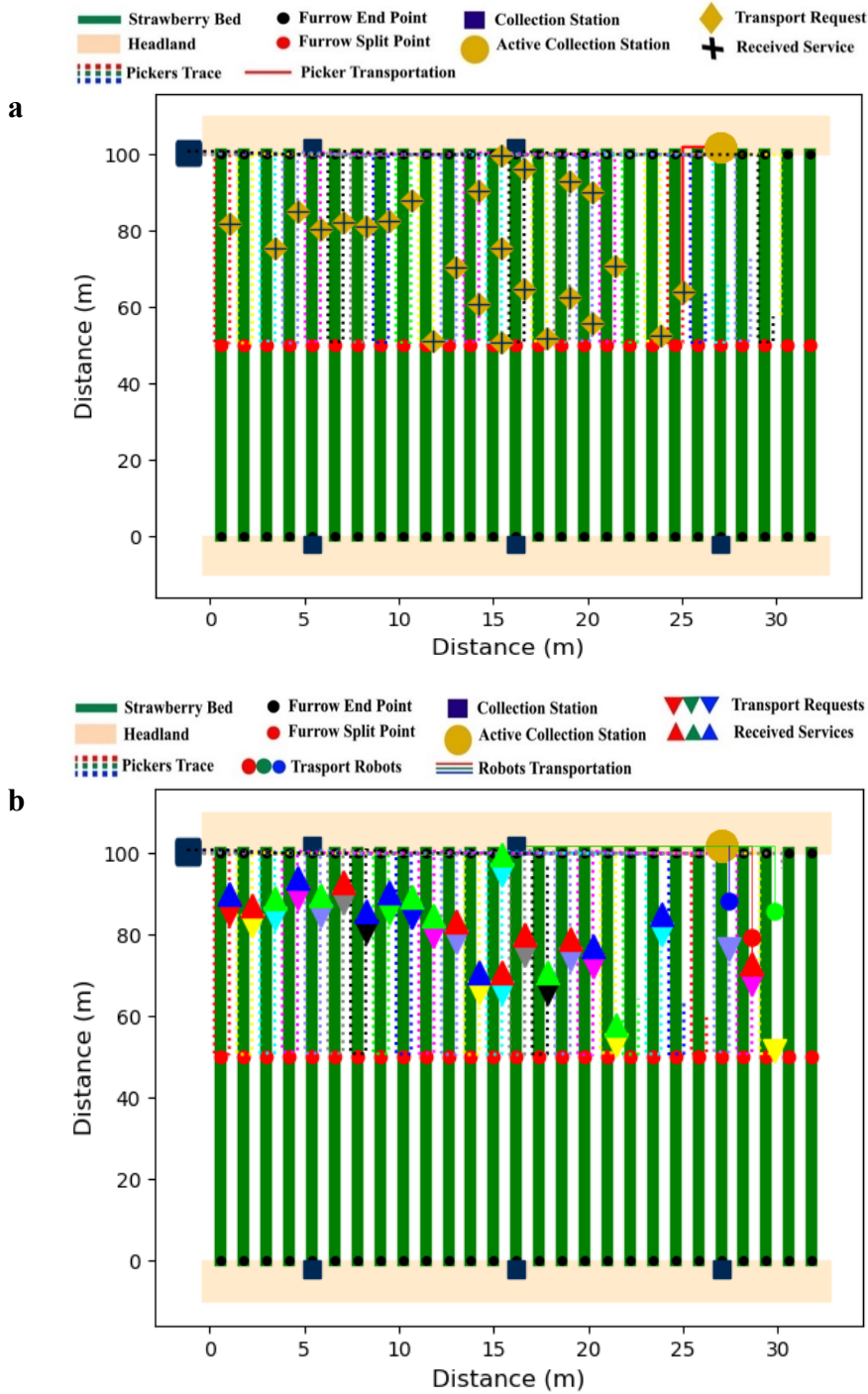


Figure 8. Snapshots of the simulator's visual outputs after 36 minutes of harvesting, in: a) manual harvesting mode, with nine pickers; b) robot-aided harvesting mode, with nine pickers and three robots. (Dimensions are not scaled for the purpose of illustration.)

#### 572 4.3.2.3 Simulator Evaluation Metrics

573 Let the (productive) picking time required to fill an empty container be  $\Delta t_{ef}$ ; also let the non-productive  
 574 time be  $\Delta t_{fe}$  (due to picker's walking to the collection station, waiting in a queue, delivering harvested  
 575 crops and receiving an empty container, and walking back to resume picking). If the size of the harvesting  
 576 crew is  $P$ , and the number of containers harvested by picker  $i$  during a work shift is  $n_i$ , the harvest

577 operation efficiency,  $\bar{E}$ , can be defined as the ratio of the sum of all productive times over the sum of all  
 578 productive and non-productive times:  
 579

$$\bar{E} = \frac{\sum_{i=1}^P \sum_{j=1}^{n_i} (\Delta t_{ef})_{ij}}{\sum_{i=1}^P \sum_{j=1}^{n_i} (\Delta t_{ef})_{ij} + \sum_{i=1}^P \sum_{j=1}^{n_i} (\Delta t_{fe})_{ij}} \quad (8)$$

580 The primary goal of the simulator is to predict the harvest operation efficiency,  $\bar{E}$ , under various operating  
 581 scenarios. Since the distribution of  $\Delta t_{ef}$  is the result of measurements and it used (sampled) directly in the  
 582 simulator, the actual metric of the simulator's performance must quantify how well  $\Delta t_{fe}$  is predicted. The  
 583 simulator-predicted nonproductive time  $\hat{\Delta t}_{fe}$  is computed by summing the times spent in non-productive  
 584 states, and is used as the simulator evaluation metric:

$$\hat{\Delta t}_{fe} = \sum_{k=1}^3 \Delta t_{s_p^k} + \sum_{k=7}^8 \Delta t_{s_p^k} \quad (9)$$

## 585 **5 SUMMARY AND DISCUSSION**

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586 In this work, hybrid automata with stochastic parameters were used to model and simulate all-manual  
 587 harvesting and machine aided harvesting using crop-transport robots. The discrete operating states of the  
 588 pickers and robots, and their transitions and interactions, were modelled using finite state machines. The  
 589 continuous states, including agent motions and mass transfer during both harvesting and tray-exchanges,  
 590 were modeled using difference equations with stochastic parameters. Based on this methodology, a  
 591 Monte-Carlo harvesting simulator was developed. The simulator samples the picker stochastic parameters  
 592 and executes the hybrid automata which represents pickers, robots and their interactions. The robot  
 593 scheduler module of the simulator enables the integration of various schedulers (such as reactive, pre-  
 594 emptive, or predictive) for efficient robot dispatching.  
 595

596 The model and simulator can be used for the off-line evaluation of harvest-aid robots, by predicting the  
 597 efficiency of harvest operations performed by a crew of human pickers and a team of crop-transport  
 598 robots under various operating scenarios. Due to its foundation on hybrid automata, the model was  
 599 developed to be used for harvesting simulation, but also to serve as an executable task model for robots to  
 600 represent human actions, in the context of human-robot collaboration. The current model assumes that  
 601 robots can carry only one tray, and hence must return to the collection station after serving one picker.  
 602 This is not a structural limitation, and robot tray-carrying capacity can increase. The corresponding finite  
 603 state machine would need to change slightly, so that the robot is dispatched to a new picker, until its tray  
 604 carrying capacity is reached and it has to return to the collection station. The model and simulator were  
 605 developed using commercial strawberry harvesting in mind; however, similar hybrid automata can be  
 606 used to model manual and robot-aided harvesting in different settings, even with different crops – such as  
 607 table grapes, tomatoes, blackberries - which are picked similarly to strawberries. The accompanying paper  
 608 (Part II) of this work utilizes data gathered in two commercial strawberry fields during harvesting, to  
 609 estimate the stochastic parameters involved in modeling pickers, and evaluate the prediction accuracy of  
 610 the simulator for all-manual picking. Then, as a case study, the effects of different picker-robot ratios and  
 611 priority-based reactive dispatching policies are reported on non-productive time and harvest efficiency.  
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