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Trans-ocular Electric Current *In Vivo* Enhances AAV-Mediated Retinal Gene Transduction after Intravitreal Vector Administration

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Adeno-associated virus (AAV) vector-mediated gene delivery is a promising approach for therapy, but implementation in the eye currently is hampered by the need for delivering the vector underneath the retina, using surgical application into the sub-retinal space. This limits the extent of the retina that is treated and may cause surgical injury. Vector delivery into the vitreous cavity would be preferable because it is surgically less invasive and would reach more of the retina. Unfortunately, most conventional, non-modified AAV vector serotypes penetrate the retina poorly from the vitreous; this limits efficient transduction and expression by target cells (retinal pigment epithelium and photoreceptors). We developed a method of applying a small and safe electric current across the intact eye *in vivo* for a brief period following intravitreal vector administration. This significantly improved AAV-mediated transduction of retinal cells in wild-type mice following intravitreal delivery, with gene expression in retinal pigment epithelium and photoreceptor cells. The low-level current had no adverse effects on retinal structure and function. This method should be generally applicable for other AAV serotypes and may have broad application in both basic research and clinical studies.

INTRODUCTION

Recombinant adeno-associated viral (AAV) vectors show promise for ocular gene therapy, with positive safety and efficacy results demonstrated in preclinical studies and clinical trials.^{1–8} Successful clinical application of AAV-based gene therapies requires efficient transduction and expression by target cells. Because many inherited retinal degenerations involve genes expressed in photoreceptors and retinal pigment epithelium (RPE),^{9,10} these are important cells for therapeutic gene expression.

The route of gene administration affects the efficacy of retinal AAV-mediated gene therapy. Commonly used AAV serotypes 1, 2, 5, 8, and 9 efficiently transduce the RPE and/or photoreceptors in the wild-type (WT) adult rodent retina only when given by subretinal injection.^{11–18} Unfortunately, this limits vector distribution primarily to the region surrounding the site of subretinal administration.^{19,20} This approach may also cause injury particularly for degenerative and surgically vulnerable retinal conditions.^{2,7,17,21,22} Intravitreal in-

jection is more desirable as a less invasive way to deliver AAV vectors to the retina.²³ Following intravitreal injection, AAV vectors diffuse through the vitreous humor and distribution theoretically reaches the entire retina. However, the extensive laminated structure of the vertebrate retina further limits AAV vectors from reaching cells in outer retina after vitreous application, and these RPE and photoreceptor cells often show limited transduction.^{11,12,15–17,24,25} Approaches to overcome such tissue barriers (e.g., diffusion and membranes) include mild enzymatic digestion of the inner limiting membrane (ILM),^{26,27} vitrectomy,²⁸ and surgical ILM peeling.²⁹ This sometimes yields enhanced AAV transduction, but a more efficient and convenient method would be useful.

We explored the use of low electric current stimulation applied across the eye *in vivo* to enhance retinal delivery of therapeutic AAV vector constructs administered into the vitreous. Several points are worth noting in support of this strategy: AAV is an icosahedral non-enveloped single-stranded (ss) DNA virus that is relatively thermostable and resistant to mild proteolytic digestion and nonionic detergents. It has an overall net negative charge in a neutral environment.³⁰ Mobility and migration of norovirus, an ssRNA virus having a structure similar to AAV, is enhanced across a membrane barrier by applying an electric field.³¹ Trans-ocular electric current (iontophoresis) is known to facilitate tissue and cellular penetration of oligonucleotide and plasmid DNA,^{32–38} and low-level electrical stimulation (ES) *in vivo* has been shown to be safe and potentially even neuroprotective to animal and human eyes with retinal degeneration.^{39–41}

In this study, we developed and tested a novel, non-invasive approach of electric-current vector mobility (ECVM) with intravitreal vector injection and showed that this strategy significantly improves the transduction efficiency of AAV8 vectors in WT mouse retina. Although only AAV8 was tested in the current study, this approach

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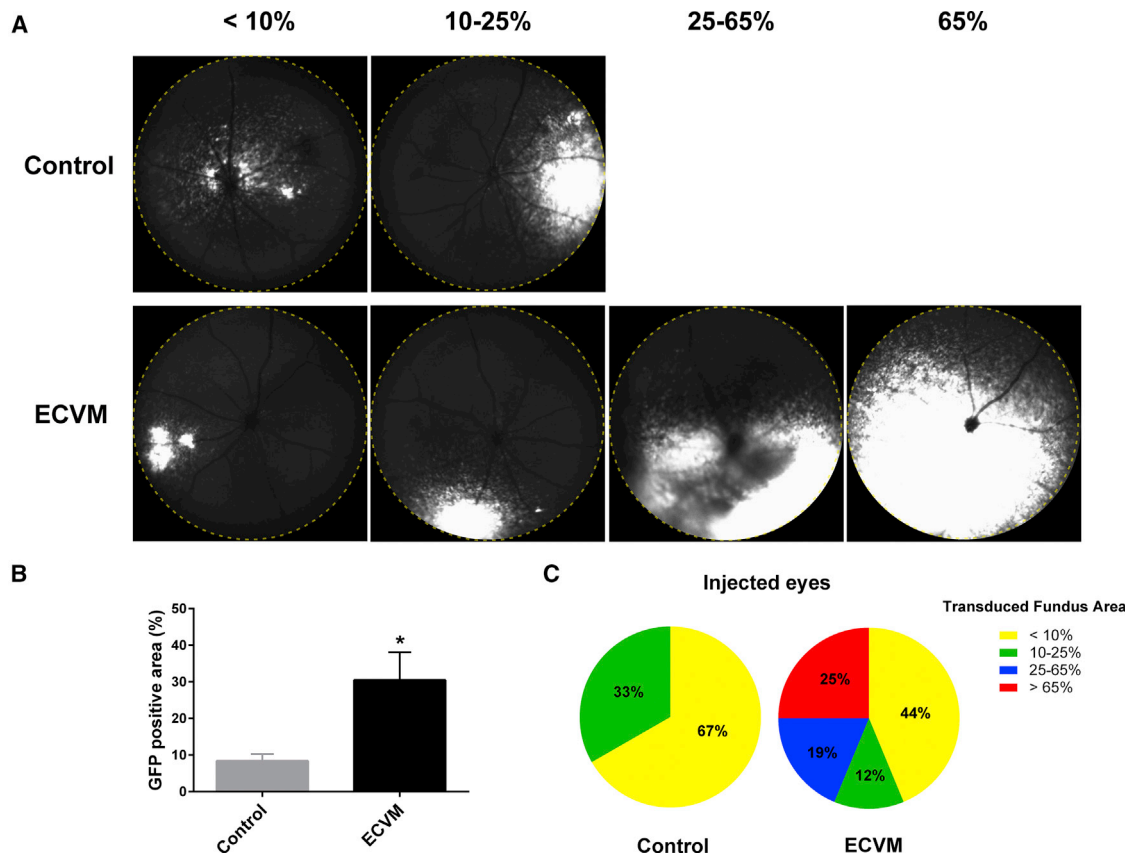


Figure 1. The Approach of Electro-Current Vector Mobility Significantly Enhances AAV8-Mediated Gene Transduction in Wild-Type Retinas following Intravitreal Delivery (1×10^9 vg/eye)

WT mouse eyes were treated with ECVM (continuous direct current 10 μ A/20 min) immediately after intravitreal injection of AAV8-CMV-EGFP. Vector-injected WT eyes without applying ECVM served as controls. Fundus images were taken at 6 weeks post-injection (PI). (A) Representative fundus images of injected-ECVM treated eyes (ECVM) and injected eyes without applying current (control) are shown from samples with different GFP expression (<10%, 10%–25%, 25%–65%, >65%) in terms of fundus area transduced. Dashed circle outlines the central retina. (B) GFP-positive areas of fundus images were quantified and represented as an average percentage of the fundus area showing GFP expression (mean \pm SEM) for control (n = 21) and ECVM (n = 16) groups, respectively. *p < 0.05, Mann-Whitney test. (C) Pie graphs show the probability of injected eyes having a GFP-positive area coverage more than 65%, between 25% and 65%, between 10% and 25%, and less than 10%.

is expected to work with other AAV serotypes and may have broad applications in both basic research and clinical studies.

RESULTS

Our preliminary studies indicated that 10 μ A direct current for 20 min did not adversely affect ocular structure or function (see Figures S1 and S2, described in the Materials and Methods). We did not observe whitening of the cornea or the lens for 10 μ A current applied for 20 min. Compared with baseline, retinal electroretinography (ERG) function of rod and cone responses showed no change to amplitude or timing after 10 μ A direct current (DC), and the intensity-response curves of a- and b-wave responses were unchanged. We then evaluated whether this would enhance the retinal transduction efficiency of AAV8-CMV (cytomegalovirus)-GFP when applied immediately after 1×10^9 vector genomes (vg) were injected into the vitreous of the mouse eye. Control eyes were injected with the GFP vector, but no current was applied. GFP expression was eval-

uated at 6 weeks post-injection (PI) by *in vivo* fundus imaging. The extent of the retinal area showing GFP expression (expressed in percent; Figure 1A) was used to indicate efficiency of AAV8 vector transduction.

The mean GFP-expressed retinal area was significantly larger in eyes that were treated by the approach of ECVM (30.5%) than for the control group (8.4%; p = 0.038, Mann-Whitney test; Figure 1B). Most control eyes (14 of 21, 67%) showed GFP expression across less than 10% of the central retina, and no control eyes showed more than 25% of the central retinal area transduced (Figures 1A and 1C). By comparison, 7 of 16 (44%) injected eyes that received trans-ocular current showed more than 45% of the central retinal area transduced, and 4 of 16 (25%) eyes had GFP expression covering more than 65% of the central retina. These results indicate that ECVM significantly promotes the transduction efficiency of AAV8-CMV-GFP in WT mouse retina following intravitreal injection.

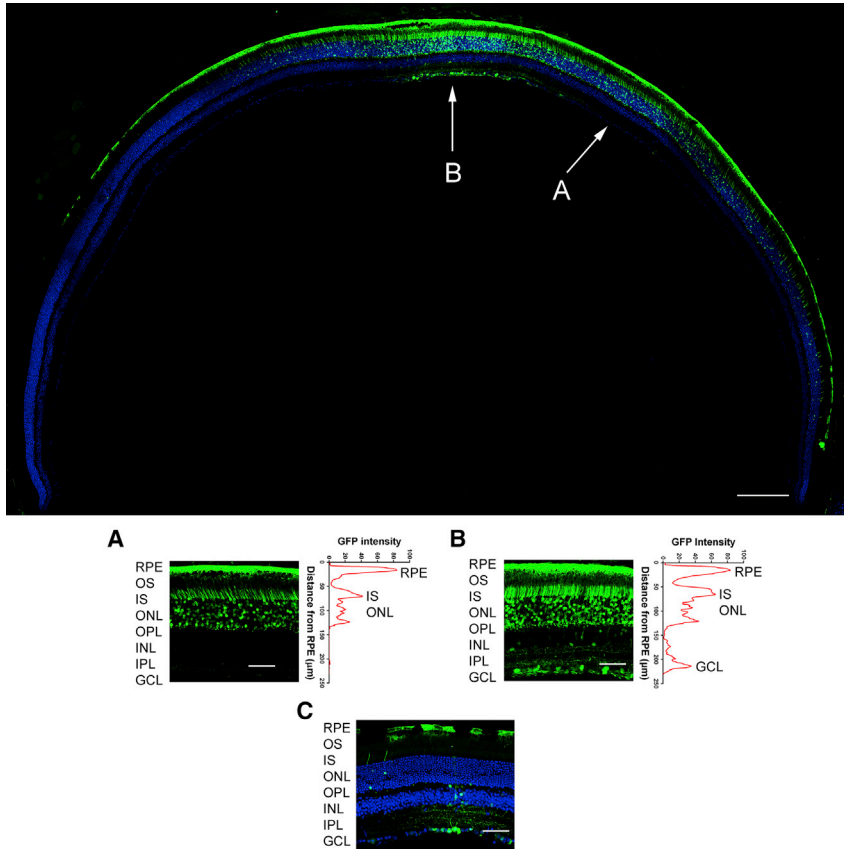


Figure 3. AAV8-GFP Vectors Penetrate All the Retinal Layers from the Vitreous with ECV Application

WT mouse eyes were treated with ECV (continuous direct current, 10 μ A/20 min) immediately after intravitreal delivery of AAV8-CMV-EGFP (5×10^8 vg/eye) and collected 5 weeks after injection. Vector-injected WT eyes without ECV application served as controls. The representative large image of the immunostained retina in cross-section of vector-injected ECV-treated eyes illustrates distribution of transduced cells in the retina, showing original GFP fluorescence throughout the retinal layers. This image shows the GFP signal without using an anti-GFP antibody. In this example, about three-quarters of the entire length of the RPE and ONL regions was transduced to some degree by the AAV8-GFP vector. The large image of control section injected with AAV8-EGFP vector but without current is shown in the Supplement Information (Figure S3). Scale bar: 200 μ m. (A and B) Higher magnification images show fluorescence profiles across the retinal thickness. GFP signals were observed in RPE and ONL (photoreceptors) (A) or in nearly all retinal layers (B). Scale bar: 50 μ m. (C) Higher magnification image of control section injected with AAV8-EGFP vector but without current. GCL, ganglion cell layer; INL, inner nuclear layer; IPL, inner plexiform layer; IS, inner segments; ONL, outer nuclear layer; OPL, outer plexiform layer; OS, outer segments; RPE, retinal pigment epithelium.

layers of ECV-treated eyes (Figure 3). Most retinal sections showed greatest GFP fluorescence by deeper retinal cells, in the RPE and photoreceptors (Figure 3A). Some sections showed transduction of ganglion cells in addition (Figure 3B). This was confirmed by the retinal distribution profile of GFP intensity. In contrast, control sections from eyes that did not receive trans-ocular current showed much less GFP expression and less extent of GFP signals across retina (Figure 3C; Figure S3).

DISCUSSION

This study demonstrates a safe, efficient, and non-invasive method to enhance gene transduction efficacy in the retina following intravitreal administration of AAV vectors. We found that applying an electric micro-current across the eye *in vivo*, ECV, with intravitreal vector dosing augments vector penetration of the internal limiting membrane (ILM) at the retinal surface and increases transduction of cells in the outer retina. The continuous 10 μ A DC for 20 min was safe for the adult mouse eye. This approach has the potential for human application, because trans-ocular current has been used safely in other contexts.^{39,40,42}

Intravitreal injection is favored over the subretinal vector administration because it is less invasive for vector delivery. Human clinical intravitreal injection of drugs is now quite common in using anti-VEGF compounds to treat neovascular complications of age-related

macular degeneration⁴³ and diabetic retinopathy.⁴⁴ Currently, however, AAV delivery by intravitreal injection has limited capacity to transduce retinal cells, especially the RPE and photoreceptors. This proof-of-concept study provides a rationale for a novel and promising delivery approach that combines ECV with intravitreal injection of AAV vectors, and it has the potential for broad transduction to enhance retinal penetration.

Vector Stability and Mobility in an Electric Field

There is limited knowledge of how an electric field affects stability and mobility of AAV vectors. High voltages are known to affect norovirus stability.³¹ Noroviruses cause foodborne illnesses and are similar to AAV viruses, because both have icosahedral geometries, no envelope, are 20–38 nm in diameter, and carry negative surface charge in a neutral buffer. For electro-separation to isolate noroviruses from samples *in vitro* using electric current as the driving force, effects of currents and buffers were evaluated on norovirus stability and mobility. Continuous 18 mA DC from 20 V for 30 min did not affect virus stability, and this caused movement of the virus in solution. Based on this, it is unlikely that our ECV conditions of continuous 10 μ A DC for 20 min would affect AAV stability. In addition, the mammalian vitreous has low ionic strength,⁴⁵ which should assist mobility.

Electric fields for iontophoresis enhance penetration of molecules including drugs, oligonucleotides, and plasmid DNA in the eyes, but our study is the first to use low-level electric current to facilitate

AAV-mediated gene transduction in the retina. We do not know the mechanisms involved. Intravitreal vectors must circumvent physical and biological barriers to reach the outer retina. Because the AAV virus carries a net negative charge in the vitreous (pH 7.4–7.52),⁴⁶ one mechanism to enhance mobility may be electro-repulsion.^{47,48} Applying negative voltage at the cornea might enhance AAV vector diffusion toward the ILM. In our study, the fundus images showed that AAV8-mediated retinal transduction was not limited to the injection site in the ECVm group. Electro-repulsion, however, may account for only part of the effect, because iontophoresis also facilitates diffusion of noncharged molecules,⁴⁹ indicating that vector penetration by ECVm may work by mechanisms beyond charge attraction or repulsion.

AAV Retinal Transduction following Intravitreal Administration

Efficient gene delivery to retinal cells after intravitreal AAV administration likely involves multiple vector-host interactions, in the vitreous, in binding to cell surface receptors at the ILM, other extracellular barriers, and a traversing complex layering of retinal cells before reaching the target.^{26,50} Dalkara et al.²⁶ showed that AAV2, AAV8, and AAV9 viruses accumulate at the vitreoretinal junction and attach at the ILM, but that only AAV2 efficiently transduced ganglion cells in the retina following intravitreal injection. This indicates that ILM accumulation of AAV vectors itself is not sufficient to circumvent extracellular barriers. Other studies demonstrated that modifying one or more tyrosines in the AAV8 and AAV9 capsids resulted in decreased ubiquitination and proteasome-mediated degradation,^{51,52} and allowed these vectors to transduce retinal ganglion cells more efficiently after intravitreal delivery,⁵³ consistent with multiple barriers limiting AAV8 vector movement and transduction. Consequently, even if our ECVm method facilitates AAV movement toward the retinal ILM surface, this itself is not fully efficient for cellular intravitreal AAV transduction.

Other factors may also enhance AAV8-mediated retinal transduction following intravitreal delivery with ECVm. Reports indicate that applying electric current causes transient but reversible structural changes in the INL and outer nuclear layer (ONL), including increasing internuclear spaces,³⁶ which could make the retina permeable to AAV particles. Of interest, retinal electric stimulation promotes expression and release of neurotrophins from Müller glial cells,⁵⁴ including basic fibroblast growth factor (bFGF, also known as fibroblast growth factor 2).^{55,56} bFGF enhances AAV-mediated gene transduction in rat brain,⁵⁷ whereas Müller glial cell alterations are implicated in improving AAV retinal transduction in the degenerating rat retina.⁵⁸ Because retinal structural changes³⁶ and change in gene expression⁵⁶ are transient and recover within 24 h, effects from a single period of ECVm treatment may be short-lived. Similarly, effects of electric current applied to transfer oligonucleotides or plasmid DNA to the retina,^{37,38} or to protect from retinal degeneration⁵⁹ are enhanced by repeated application. We are exploring whether repeating ECVm application further improves the consistency of results. We observed sample-to-sample variation in AAV8-EGFP retinal transduction when applying ECVm current. Some of this is

inevitable from manipulation of the small mouse eye, but it warrants study to optimize conditions that maximize AAV transduction and/or penetration by this ECVm method.

Many labs are developing novel AAV capsids that increase retinal transduction efficiency after intravitreal delivery. The AAV2-7m8 variant, identified by *in vivo* directed evolution, gives better transduction of photoreceptors and RPE after vitreous application,⁶⁰ and tyrosine mutant AAV also enhances retinal transduction following intravitreal injection.^{53,61–65} Our approach of combining intravitreal delivery with trans-ocular ECVm potentially can further augment retinal transduction efficiency from the vitreous of these evolved vector capsids.

In summary, we explored a safe and non-invasive approach by trans-ocular electric micro-current application to enhance AAV8-mediated retinal transduction after intravitreal injection. This indicates that combination of ECVm and intravitreal injection could be safe and useful for efficient transduction of AAV vectors. With the encouraging results shown in this study, it is reasonable to test this approach in large-animal models for translational studies.

MATERIALS AND METHODS

Animals

Adult WT C57BL/6J mice 8–12 weeks old (Jackson Laboratory, Bar Harbor, ME, USA) were used in all the experiments. Mice were housed in nominally 60 lux dim white fluorescent lighting on a 12-h/12-h light and dark cycle. Experimental protocols were approved by the NIH Animal Care and Use Committee and adhered to the Association for Research in Vision and Ophthalmology (ARVO) Statement for the Use of Animals in Ophthalmic and Vision Research. For all procedures, anesthesia was performed with intraperitoneal ketamine (92.6 mg/kg)/xylazine (5.6 mg/kg) solution, and pupils were dilated with topical ocular 0.5% tropicamide (Alcon Laboratories) and 0.5% phenylephrine hydrochloride (Bausch & Lomb) after topical 0.5% tetracaine was applied to the eye.

Adeno-Associated Virus Serotype 8 Vector

The adeno-associated virus serotype 8 (AAV8)-CMV-EGFP vector has a CMV promoter, a chimeric CMV/human β -globin intron, the EGFP gene, and a human β -globin polyadenylation (PolyA) site. Recombinant AAV was produced by the triple-transfection method and purified by polyethylene glycol precipitation followed by cesium chloride density-gradient fractionation, as previously described.⁶⁶ The purified AAV vectors were formulated in 10 mM Tris-HCl, 180 mM NaCl (pH 7.4), and 0.001% Pluronic F-68 (pH 7.4), and stored at -80°C . Quantification of vectors was done by real-time PCR using linearized plasmid standards.

Intravitreal Injection and Ocular ECVm Application

AAV8-CMV-EGFP vector dilutions 5×10^8 or 1×10^9 vg/eye were administered by intravitreal injection to adult WT mice. Mice were first anesthetized and pupils dilated. Animals were positioned under a dissecting microscope with the injected eye facing upward.

AAV8-EGFP 1 μL suspension was injected into the vitreous through the nasal sclera approximately 1 mm posterior to the limbus using a 35G beveled-tip needle attached to a 10- μL Nanofil syringe (World Precision Instruments, Sarasota, FL, USA). Triple-antibiotic ophthalmic ointment of neomycin, polymyxin B, and bacitracin was applied to the eye. Injected eyes then received ECVM application immediately (~ 5 min) after injection. Animals were kept on a warming pad at 32°C–33°C. Injected eyes without electric current application served as controls.

Due to the very small size of the mouse eye and vitreous cavity, retinal injury sometimes occurred by touching the retina with the needle tip opposite the entry site. When we observed evident retinal damage on OCT, some of these eyes showed widespread GFP expression. We excluded those eyes from our analysis. This occurred in 6 eyes of 65 eyes injected.

Trans-ocular ECVM Application

Mice were anesthetized, and a gold wire ring electrode was placed on the center of cornea with a thin layer of GONAK Hypromellose (Akorn, Lake Forest, IL, USA) or PBS to maintain corneal moisture. Care was taken to use minimal fluid on the cornea so as not to electrically short-circuit the cornea to the lid. A subdermal needle electrode was inserted subcutaneously on the forehead above the same eyelid. The two electrodes were separated by approximately 1 cm. Previous studies of trans-ocular electric current for neuroprotection used biphasic 1.5–300 μA for 30–60 min, which was safe for the rodent eye with retinal degeneration.³⁹ We initially tested 10 and 50 μA continuous DC for 20 min with the negative electrode on the cornea using a constant current stimulus isolator (A365; World Precision Instruments, Sarasota, FL, USA). These currents were achieved with less than 3 or 4 V applied. A 50- μA current application caused cornea scarring adjacent to the electrode ring and occasional cataract, whereas a 10- μA current caused no complications. To evaluate retinal safety, baseline electroretinogram (ERG) recordings (methods provided previously^{67,68}; also see below) were made in seven mice, and 10 μA DC was applied to the cornea 1 week later for 20 min; ERG function was tested again 3 weeks after current application. Compared with baseline, retinal ERG function of rod and cone responses showed no change to amplitude or timing after 10 μA DC, and the intensity-response curves of a- and b-wave responses were unchanged. Eyes were removed 3 days later for retinal histology (methods provided previously⁶⁹; also see below), and both morphology and ONL thickness were unaffected compared with untreated C57BL/6J mice, which served as controls. The results of retinal ERG, morphology, and ONL thickness are provided in the [Supplemental Information \(Figures S1 and S2\)](#). We selected the 10- μA current for further testing in mice.

GFP Expression by *In Vivo* Fundus Imaging

Four to six weeks after intravitreal AAV8-EGFP application, GFP expression was evaluated by fundus imaging *in vivo* (Spectralis fundus camera; Heidelberg Engineering, Heidelberg, Germany). Mice were anesthetized and pupils dilated. Blue fluorescence fundus

images were captured with an ultra-wide-field (102 degrees) lens. The GFP-positive area of the central retina was measured using an intensity threshold method with ImageJ software (<https://imagej.nih.gov/ij/>). In brief, the analysis used photographs with the optic disc at the center, and an intensity threshold was applied to select GFP-positive areas from background signals. The same threshold setting was used for all analyses. The area percentage of GFP expression in the graph was calculated by dividing the area of GFP signal in the central retina by the total area of the central retina.

GFP Intensity and Distribution Determined on Retinal Immunohistochemistry

Retinal immunohistochemistry was performed as described by Song et al.⁶⁹ In brief, eyes were fixed, cryoprotected, embedded, snap-frozen in Tissue-Tek O.C.T. compound (Sakura Finetek USA, Torrance, CA, USA), and cryo-sectioned at 10 μm thickness. For the analysis of the GFP intensity, cryo-sections were blocked in blocking buffer and incubated with mouse anti-GFP (1:1,000; Cell Signaling Technology, Danvers, MA, USA) primary antibody overnight at 4°C, and then incubated with anti-mouse Alexa Fluor 488 secondary antibody (1:1,000; Invitrogen, Eugene, OR, USA). Retinal nuclei were counterstained with DAPI, and sections were mounted in Fluoro-Gel buffer (Electron Microscopy Sciences, Hatfield, PA, USA) for imaging. The images were generated and analyzed by the Nikon C2 confocal microscope (Nikon, Tokyo, Japan) with NIS-elements AR software, and further edited using Adobe Photoshop CS4, Version 11.0 (Adobe Systems, San Jose, CA, USA). The same imaging setting was used for analysis of all samples. For each eye, the retinal section with the strongest GFP signal was selected. Pixel intensity and area of GFP signals were measured along the entire length of the GFP-positive ONL using a method with ImageJ software (<https://imagej.nih.gov/ij/>), as previously described.⁷⁰ GFP intensity was expressed and plotted as pixel per area (mean \pm SEM) for each group (N = 11 for each group). To analyze GFP distribution in retinas, we used micrographs of representative retinal sections without immunostaining showing average intensity Z projection of original GFP signals and plotted GFP intensity against distance from RPE using the Plot Profile function in ImageJ.

Histology

For retinal histologic analysis, cryosections were stained with H&E and photographed using a Nikon C2 confocal microscope with DS-Ri2 digital camera (Nikon, Tokyo, Japan). The thickness was evaluated by counting rows of nuclei across the ONL width at 200- μm intervals in the region between 200 and 1,000 μm from the optic nerve head, in the inferior and superior halves of the retinal sections. In a single retinal section, the nuclei counts at each point in the defined regions were averaged to give an overall estimate of the ONL thickness for that retina. Nuclei were counted in ECVM-treated (n = 12) and untreated (n = 4) mice. For each group, two sections per sample were counted.

Electroretinography

Full-field Ganzfeld scotopic and photopic ERGs were recorded using an Espion E2 Electrophysiology System with a ColorDome Ganzfeld

stimulus (Diagnosys, Lowell, MA, USA) after 12-h dark adaptation. Animals were anesthetized and pupils dilated. Measurements were made on seven animals before and after ECVM application. Measurements before ECVM application served as the baseline. Responses were plotted as intensity-response functions for analysis. These ERG methods and analyses have been fully described for our lab previously.^{67,68}

Statistical Analysis

Quantitative data are presented as mean \pm SEM. Statistical comparisons of ERG a- and b-wave amplitudes and implicit time were done across a range of stimulus intensities using two-way ANOVA and correcting for multiple comparisons using the Holm-Sidak method in GraphPad Prism 6.07 for Windows (GraphPad Software, La Jolla, CA, USA). To compare means between two groups, we first did a D'Agostino & Pearson omnibus normality test. For datasets that passed normality test, we used Student's t test. If not, we used the Mann-Whitney test.

SUPPLEMENTAL INFORMATION

Supplemental Information includes three figures and can be found with this article online at <https://doi.org/10.1016/j.omtm.2018.12.006>.

AUTHOR CONTRIBUTIONS

H.S., R.A.B., and P.A.S. contributed to the trial design. H.S., R.A.B., and Y.Z. performed ocular injections, collected data, and conducted immunoassays. H.S., R.A.B., H.Q., Y.Z., and P.A.S. contributed to the data analysis. H.S., R.A.B., Y.Z., and P.A.S. wrote the manuscript, drafted figures, tables, and supplementary information, and edited the manuscript. Y.Z. and Z.W. were responsible for the vector design and production of clinical material. All authors approved the final manuscript.

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