

# UCSF

## UC San Francisco Previously Published Works

### Title

Conformational plasticity of the ClpAP AAA+ protease couples protein unfolding and proteolysis

### Permalink

<https://escholarship.org/uc/item/0bh0d37v>

### Journal

Nature Structural & Molecular Biology, 27(5)

### ISSN

1545-9993

### Authors

Lopez, Kyle E  
Rizo, Alexandra N  
Tse, Eric  
[et al.](#)

### Publication Date

2020-05-01

### DOI

10.1038/s41594-020-0409-5

Peer reviewed



Published in final edited form as:

*Nat Struct Mol Biol.* 2020 May ; 27(5): 406–416. doi:10.1038/s41594-020-0409-5.

## Conformational Plasticity of the ClpAP AAA+ Protease Couples Protein Unfolding and Proteolysis

Kyle E. Lopez<sup>1,2,†</sup>, Alexandra N. Rizo<sup>2,3,†</sup>, Eric Tse<sup>2</sup>, JiaBei Lin<sup>4</sup>, Nathaniel W. Scull<sup>5</sup>, Aye C. Thwin<sup>2</sup>, Aaron L. Lucius<sup>5</sup>, James Shorter<sup>4</sup>, Daniel R. Southworth<sup>2,\*</sup>

<sup>1</sup>Graduate Program in Biophysics, University of California, San Francisco, CA, USA

<sup>2</sup>Department of Biochemistry and Biophysics, Institute for Neurodegenerative Diseases, University of California, San Francisco, CA, USA

<sup>3</sup>Graduate Program in Chemical Biology, University of Michigan, Ann Arbor, MI, USA

<sup>4</sup>Department of Biochemistry and Biophysics, Perelman School of Medicine at the University of Pennsylvania, Philadelphia, PA, USA

<sup>5</sup>Department of Chemistry, The University of Alabama at Birmingham, Birmingham, AL, USA

### Abstract

The ClpAP complex is a conserved bacterial protease that unfolds and degrades proteins targeted for destruction. Two ClpA AAA+ hexamer rings power substrate unfolding and translocation into the ClpP proteolytic chamber. Here, we determined high-resolution structures of wild-type *Escherichia coli* ClpAP undergoing active unfolding and proteolysis. A spiral of pore loop-substrate contacts spans both ClpA AAA+ domains. Protomers at the spiral seam undergo nucleotide-specific rearrangements supporting substrate translocation. IGL loops extend flexibly to bind the planar, heptameric ClpP surface with the empty, symmetry-mismatched IGL pocket maintained at the seam. Three different structures identify a binding-pocket switch by the IGL loop of the lowest-positioned protomer, involving release and re-engagement with the clockwise pocket. This switch is coupled to a ClpA rotation and a network of conformational changes across the seam, suggesting that ClpA can rotate around the ClpP apical surface during processive steps of translocation and proteolysis.

### Introduction

The Hsp100 (Clp) AAA+ family of proteins, widely present in bacteria and eukaryotes, function as protein unfoldases and disaggregases<sup>1,2</sup>. Conserved members ClpX and ClpP

\*Correspondence to: D.R.S. (daniel.southworth@ucsf.edu).

†These authors contributed equally to this work

#### Author Contributions

K.E.L. and A.N.R. carried out all experiments, refinement, and modeling procedures for structure determination, developed figures and wrote and edited the manuscript. E.T. operated Krios microscope and helped with data collection. J.B.L. performed biochemical substrate binding experiments. N.W.S expressed and purified protein components; A.C.T. performed degradation assays; A.L.L. and J.S. wrote and edited the manuscript; D.R.S. designed and supervised the project and wrote and edited the manuscript.

#### Competing Interests

The authors declare no competing interests.

assemble into large proteolytic machines with the serine protease ClpP and serve critical roles in targeted protein degradation and quality control<sup>3-7</sup>. Proteolysis requires substrate recognition and ATP hydrolysis-driven unfolding by the AAA+ machine, which unfolds and translocates the substrate into the proteolytic chamber of ClpP<sup>8-12</sup>. The ClpP chamber is formed by a double ring of heptamers<sup>13,14</sup>, which partner with 1-2 ClpX or ClpA AAA+ hexamers in bacteria, assembling into single and double-capped complexes<sup>15-17</sup>. To promote client degradation, ClpXP and ClpAP are aided by SspB<sup>18,19</sup> and ClpS<sup>20,21</sup>, specificity adaptors that promote recognition of substrates including those containing the ssrA degron<sup>22,23</sup> and N-end rule substrates<sup>24</sup>, respectively. Other substrates, such as the RepA DNA-binding protein, recognized by ClpA, are remodeled or degraded in support of specific cellular functions<sup>3,25</sup>.

Hsp100 interactions with ClpP involve a hexamer-heptamer symmetry-mismatch, which is a conserved feature among some proteolytic machines such as the 26S and PAN proteasomes<sup>3,6</sup>. Contacts are mediated by IGF/L-motif loops in ClpX or ClpA and hydrophobic binding pockets on the apical surface of ClpP<sup>6,26</sup>. Engagement of these loops triggers an open-gate conformational change of adjacent N-terminal loops on ClpP, facilitating substrate transfer to proteolytic sites<sup>27-29</sup>. Indeed, the acyldepsipeptide class of antibiotics (ADEPs) compete for binding to these pockets and stabilize an open-gate conformation, thereby converting ClpP to an uncontrolled, general protease<sup>30-33</sup>. How these Hsp100-ClpP interactions are coordinated during active unfolding and translocation is unknown.

ClpA contains two nucleotide-binding AAA+ domains (D1 and D2) per protomer which power unfolding<sup>34</sup>. Structures of related disaggregases, Hsp104 and ClpB, identify the substrate-bound hexamer adopts a right-handed spiral in which conserved, Tyr-bearing pore loops across both AAA+ domains contact and stabilize the polypeptide substrate via backbone interactions spaced every two amino acids<sup>35-38</sup>. Distinct substrate-bound states reveal a ratchet-like mechanism defined by the spiral arrangement, in which the ATP hydrolysis cycle drives substrate release at the lower position and rebinding to the topmost position along the substrate<sup>1,36,39</sup>. A similar spiral architecture and array of substrate contacts has now been identified for many AAA+ machines, supporting a universal rotary translocation mechanism<sup>40-43</sup>. However, for this Hsp100 family it is unclear how the dynamic substrate translocation steps are coupled to proteolysis, or how interactions are maintained at the hexamer:heptamer interface during processive steps of unfolding.

Here, we sought to determine the structural basis for coupled protein unfolding and proteolysis by the ClpAP complex. Using ATP and a RepA-tagged GFP substrate we determined cryo-EM structures of intact, wildtype ClpAP from *E. coli* to ~3.0 Å resolution which reveal three distinct substrate translocation states. Comparison of these state reveals the ClpP-connecting IGL loop of the protomer in lowest substrate-bound position undergoes release and rebinding to the clockwise pocket on ClpP. This IGL switch movement coincides with a ClpA rotation that is supported by conformational plasticity of 5 IGL loops which are bound to the apical surface of ClpP. Nucleotide-specific rearrangements in the AAA+ domains are identified which support a two amino acid-step translocation cycle. Together, these results reveal a model in which IGL-loop rearrangements enable ClpA to rotate its

position on ClpP consecutively with substrate translocation steps thereby coupling substrate unfolding with ClpP activity.

## Results

### Architecture of Active, Substrate-Bound ClpAP

Structures of wildtype ClpAP undergoing active substrate unfolding and proteolysis were desired in order to capture functional states. RepA-GFP constructs are proteolyzed by ClpAP and can be used to monitor unfolding by ClpA<sup>10,44,45</sup>. Therefore, RepA-GFP containing the first 25 residues of RepA (RepA<sup>1-25</sup>-GFP) was tested for proteolysis and complex formation (Extended Data Fig. 1a-c). While the slowly-hydrolysable analog, ATP $\gamma$ S, enables stable formation of AAA+ complexes containing translocated substrates<sup>36,37</sup>, the reduced hydrolysis impairs function<sup>10</sup> and may limit the ClpAP conformational cycle. Indeed, substantial degradation of RepA<sup>1-25</sup>-GFP occurs within 15 minutes in the presence of saturating (10 mM) ATP while little degradation is observed with ATP $\gamma$ S (Extended Data Fig. 1a). Therefore, in order to achieve active ClpAP for cryo-EM, incubations were carried out initially with ATP $\gamma$ S to promote assembly then 10mM ATP was added to initiate unfolding prior to vitrification. Assembly with ATP $\gamma$ S and mixtures with ATP have been previously established to support ClpA function<sup>44,46</sup> and we identify robust degradation occurs under these ATP $\gamma$ S-ATP conditions, indicating ClpAP is active prior to vitrification (Extended Data Fig. 1b,c).

In reference-free 2D class averages, side and top views of ClpP particles double-capped with ClpA predominate (Fig. 1a and Extended Data Fig. 1d). Typically one ClpA hexamer of the double-capped complex showed well-resolved features, indicating preferred alignment likely due to flexibility across the double-capped complex. 3D classification yielded three distinct ClpAP conformations which refined to high-resolution (2.7-3.2 Å), hereafter referred to as the Engaged-1 (ClpAP<sup>Eng1</sup>), Disengaged (ClpAP<sup>Dis</sup>) and Engaged-2 (ClpAP<sup>Eng2</sup>) states based on the binding states of the IGL loops (Extended Data Fig. 1e, f). As with 2D analysis, one ClpA hexamer showed improved features over the other. Therefore, the final models included one ClpA hexamer and two ClpP heptamers. In all states the D1 and D2 AAA+ rings of the ClpA hexamer adopt a right-handed spiral with the D2 ring contacting the planar, heptameric surface of ClpP via the IGL loops (residues 611-623) (Fig. 1b). ClpA is comprised of protomers P1-P6 with P1 at the lowest and P5 at the highest position of the spiral, while P6 is asymmetric and positioned at the seam interface (Fig. 1b). This architecture is similar to related ClpB and Hsp104 double-ring disaggregases in their substrate-bound states<sup>35-37</sup>. Resolution is the highest for ClpP (~2.5 Å), while ClpA is more variable (~2.5-4.5 Å for ClpAP<sup>Eng1</sup>, ~3-6 Å for ClpAP<sup>Dis</sup>, and ~3-6 Å for ClpAP<sup>Eng2</sup>), with the spiral seam protomers (P1, P5 and P6) at lower resolutions due to their flexibility (Extended Data Fig. 1g-i). The high-resolution of the maps permitted accurate atomic models to be built for ClpAP (Fig. 1c, Extended Data Fig. 1j-k; Table 1). Density for the flexible N-terminal (NT) domain of ClpA (residues 1-168) was not well-resolved, and thus was not modeled.

Density corresponding to an unfolded polypeptide substrate is identified spanning the D1 and D2 domains in all three structures and modeled as a 24-residue poly-Ala chain (Fig. 1d,

2a-c). Substrate is not observed in the ClpP pore or chamber potentially due to flexibility and the absence of substrate-interacting residues. In low-passed filtered maps of the final reconstruction, globular density at the entrance to the ClpA channel is visible at a reduced threshold that approximately corresponds to a GFP molecule (Extended Data Fig. 1j). These data together with SEC and proteolysis analysis above indicate that these ClpAP structures, determined under active conditions using ATP, contain RepA-GFP substrate and likely represent conformational states associated with processive translocation and proteolysis.

### Structures Reveal ClpA IGL Loop Switches to Engage the ClpP Symmetry-Mismatched Pocket

Following multiple rounds of 3D classification three distinct conformations of substrate-bound ClpAP refined to high resolution (Fig. 2a-c, Extended Data Fig. 1e-f). The major conformational differences involve ClpA and include changes in substrate interactions and nucleotide states (discussed below), and changes in the IGL loops and orientation across the ClpA-P interface. No substantial conformational differences are identified for ClpP between the different states (RMSD < 1 Å). In the ClpAP<sup>Eng1</sup> structure, well-resolved density for the IGL loops from all 6 ClpA protomers is identified in the pockets around the ClpP apical surface (Fig. 2a, d). One remaining empty pocket on ClpP, which results from the symmetry mismatch of the heptamer, is positioned at the ClpA spiral seam between protomers P1 and P6 (Fig. 2a, d). In the ClpAP<sup>Dis</sup> structure, density for the IGL loop of protomer P1, which is at the lowest position along the substrate, is no longer observed in the ClpP pocket, resulting in two neighboring empty pockets at the ClpA seam (Fig. 2b, d). Remarkably, in the ClpAP<sup>Eng2</sup> structure, density for the P1 IGL loop is instead observed in the clockwise adjacent pocket, revealing that the loop has switched position in comparison with ClpAP<sup>Eng1</sup>, and the empty, symmetry-mismatched pocket now resides between protomers P1 and P2 compared to P6 and P1 for the ClpAP<sup>Eng1</sup> (Figure 2c, d). Difference maps of the ClpA-P interface region further validate the position of the P1 IGL loop in these structures (Extended Data Fig. 2). If these structures represented a mix of states then the difference maps would show positive density in both IGL pockets. Importantly, however, positive density for the IGL loop only appears from P1 in the correct ClpP pocket corresponding to the ClpAP<sup>Eng1</sup> or ClpAP<sup>Eng2</sup> states, thereby verifying that these structures represent distinct states of the P1 IGL loop. Notably, for ClpAP<sup>Dis</sup>, density for the P1 IGL loop is not observed in either pocket, indicating this loop is indeed unbound from ClpP and in an intermediate state (Extended Data Fig. 2).

The ClpA channel and bound polypeptide substrate are offset between ~14° and 16°, from the ClpP pore in the different structures (Fig. 2a-c). Upon alignment of the structures, ClpA is identified to be in three distinct positions relative to ClpP. These differences appear to occur through a pivot across ClpP and clockwise twist around the substrate channel axis which coincides with the binding site-switch of the P1 IGL loop (Fig. 2a-c, Supplementary Video 1). Going from the Engaged-1 to Disengaged states, ClpA pivots towards the P5-P6 side of the hexamer, shifting by approximately 10 Å across ClpP. From the Disengaged to Engaged-2 states ClpA twists clockwise, resetting the orientation of the channel relative to ClpA but with an overall rotation of ~10° compared to ClpAP<sup>Eng1</sup>. The ClpA rotation is visualized in a morph between these states, revealing how protomers P4-P6 tilt towards

ClpP, compressing the interface in this region and then expand through a clockwise rotation around the axial channel in the ClpA<sup>Eng2</sup> state (Supplementary Video 1).

In addition to these structures, we determined structures of ATP $\gamma$ S-stabilized ClpA bound to RepA<sup>1-25</sup>-GFP in which ATP was not added prior to vitrification (Extended Data Fig. 3). Following similar data classification and refinement procedures, we determined two ClpA structures at 3.0 and 3.1 Å resolution which match the ClpA<sup>Eng1</sup> and ClpA<sup>Dis</sup> states described above (Extended Data Fig. 3a-g and Table 2). Notably, the ClpA<sup>Eng2</sup> state was unable to be classified as a distinct conformation despite similar-sized datasets. This could be due to changes in the conformational equilibrium resulting from the ATP $\gamma$ S-stabilized conditions compared to active conditions with ATP. Nonetheless, these structures further establish that P1 IGL loop undergoes engaged and disengaged conformational changes under conditions in which substrate binding and processing occurs.

### IGL-Loop Plasticity Enables ClpP Engagement by the ClpA Spiral

Previous crystal structures of ClpA were unable to resolve the IGL loops due to flexibility, but biochemical data for ClpX IGF loops suggest that they make static interactions with ClpP and all 6 IGF loops are required for optimal activity<sup>47</sup>. In the ClpA structures, density for the ClpA IGL loops is well-defined, enabling atomic modeling for nearly all loop residues in each pocket (Extended Data Fig. 4a). The IGL-loop region extends from residues N606 and T637 in the base of the D2 large subdomain as two short  $\alpha$ -helices. Residues 616-620 form the flexible loop, which extends into the hydrophobic binding pocket on ClpP, resulting in  $\sim 600$  Å<sup>2</sup> of buried surface area compared to the empty pocket (Fig. 3a, left). The IGL-loop binding pocket is formed by the interface of two ClpP protomers and includes  $\alpha$ -helices B and C from one protomer and a 3-strand  $\beta$ -sheet (strands 1, 2, and 3) and the C-terminal (CT) strand from the adjacent protomer (Fig. 3a). The loop residues I617, G618, L619, and I620 bind a hydrophobic region in the pocket comprised of A52, L48, F49, and F82 in  $\alpha$ -helices of one protomer and L23, Y60, Y62, I90, M92, F112, L114, L189 in the adjacent protomer (Fig. 3a, middle). Additional electrostatic contacts likely stabilize the loop as well, including R192 in the CT strand, and E26, which appear to interact with H621 and R614 and Q622, respectively (Fig. 3a, right).

While the IGL loops all make identical contacts with ClpP, flexibility of the connecting helices (residues 608-615 and 624-635) enables the loops to extend from ClpA in a number of orientations around the hexamer and between the different states (Extended Data Fig. 4b). The largest changes occur with the P1 loop, which switches binding pockets on ClpP between the three states, as discussed above (Fig. 3b-d, Supplementary Video 2). The loop is largely well-resolved in the ClpA<sup>Eng1</sup> and ClpA<sup>Eng2</sup> states, however residues 609-624 were unable to be modeled for ClpA<sup>Dis</sup> due to weak density in the unbound, disengaged conformation. By comparison of the P1 IGL loop position in the different states, the binding-pocket switch is identified to result from two changes: an overall clockwise rotation of ClpA hexamer (Fig. 2a-c) and a large, 80° rotation of the loop around residues T604 and T637 in the connecting helices (Fig. 3c,d). Surprisingly, the P5 IGL loop is also identified to contract and extend between the states through a partial unfolding of both connecting helices (residues 609-613 and 614-629) (Fig. 3e and Supplementary Video 2). In the Engaged-1

state, the loop is extended by  $\sim 5$  Å compared to the Disengaged state, whereas in the Engaged-2 state the P5 loop is partially extended by  $\sim 3$  Å. Notably, this loop extension is only observed at the P5 position and appears to correlate with the orientation of ClpA in the different states. Overall, these results reveal a remarkable conformational plasticity of the IGL loops which likely functions to support consistent interactions with ClpP around the variable hexamer-heptamer interface during substrate translocation and enable the binding-pocket switch movement of the P1 loop.

### ClpP Structure and N-terminal Gating

The flexible N-terminal loop residues of ClpP (1-18) form a pore on the apical surface that functions as a substrate gate which is allosterically controlled by engagement of the adjacent IGF/L-binding pockets by ClpX/A or ADEP compounds<sup>33</sup>. In all three ClpAP structures, the ClpP NT loops from each protomer are well-resolved and adopt an extended configuration resulting in an open gate conformation that is positioned adjacent the ClpA translocation channel,  $\sim 30$  Å away from where substrate is resolved (Fig. 4a and Extended Data Fig. 5a,b). This is distinct from crystal structures showing the NT loops adopt an asymmetric open-gate arrangement<sup>48</sup>, but similar to ADEP-bound structures where all the loops are in an extended conformation<sup>31,33</sup>. Additionally, no contact is observed between the NT loops and ClpA (Fig. 4a), which may be distinct compared to ClpXP, in which NT loops have been identified to contact the ClpX pore-2 loops<sup>47</sup>.

We identify two specific interactions: one across the ClpP NT loops and one with an adjacent helix A in the IGF/L pocket, which have not been previously characterized and appear to stabilize the open gate conformation (Fig. 4b). A salt-bridge contact between residues R15 in one loop and E14 in the clockwise loop is identified in each protomer (Fig. 4b and Extended Data Fig. 5c). Additionally, a potential salt-bridge contact involving E8 and K25 is also observed which may additionally stabilize the loop orientation (Fig. 4b and Extended Data Fig. 5d). Notably, K25 is located in a helix that comprises part of the hydrophobic, IGL-binding pocket (Fig. 4b). Thus, this interaction may be involved in the allosteric gating mechanism.

For the three structures both ClpP pores (top and bottom) adopt an open gate conformation due to the double-capped configuration of the complex. However, in an initial dataset of ATP $\gamma$ S-stabilized ClpAP, we identified a population of single-capped complexes which resolved into one 3D class (Fig. 4c and Extended Data Fig. 5e,f), enabling us to characterize the open and closed-gate conformations in one structure. While the resolution of the NT loops was not sufficient to model the closed conformation, at lower threshold values, density for the loops on the unbound end of ClpP appears to extend  $\sim 8$  Å from ClpP, while density for the ClpA-bound end NT loops extends  $\sim 16$  Å (Fig. 4d). Additionally, the pore diameter is identified to be  $\sim 25$  Å for the ClpA-bound end of ClpP, which is substantially wider compared to the unbound end, which is  $\sim 15$  Å (Fig. 4e). Thus, we identify the NT loop gating mechanism is specifically triggered by engagement of the cis-bound ClpA IGL loops, which may allosterically regulate the NT loops potentially through specific salt bridges which stabilize the extended loop arrangement.



## ClpA Substrate Contacts and Translocation States

To improve the resolution of the ClpA pore loop interactions and the seam protomers, particle subtraction and focused refinement of the ClpA hexamer was performed (Extended Data Fig. 6a). This resulted in an estimated resolution of 3.0 Å and 3.1 Å and 3.4 Å for the ClpA<sup>Eng-1</sup>, ClpA<sup>Dis</sup> and ClpA<sup>Eng-2</sup> focused maps, respectively (Extended Data Fig. 6b). While the overall resolution did not increase compared to the full map containing ClpP, improvements in the map density for the seam protomers and substrate contacts is observed, particularly for the Engaged-1 state (Extended Data Fig. 6 c-e). Nonetheless, the seam protomers remain at a lower resolution (~3.5-6 Å) compared to the rest of the map, due to their flexibility. Models were further refined using these maps in order to characterize the substrate interactions and conformational changes between the states. Similar to other AAA + structures, the conserved Tyr-pore loops in the D1 and D2 of ClpA extend into the channel and form a double spiral of substrate interactions spaced every two amino acids along a 24 amino acid-long polypeptide (Fig. 5a). For all states, the D1 stabilizes a 9-residue segment through direct contact by Y259 from protomers P1-P4, which intercalates between the substrate side chains and contacts the backbone (Fig. 5b, Extended Data Fig. 6f). The conserved flanking residues, K258 and R260, extend laterally to make electrostatic contacts with the upper and lower adjacent pore loops (D262 and E264), similar to ClpB D1<sup>37,38</sup>. Notably, in the ClpA<sup>Eng-1</sup> structure the P5 and P6 D1 pore loops are disconnected from the substrate, with Y259 positioned ~18 Å and ~17 Å away, respectively (Fig. 5a and Extended Data Fig. 6g and Supplemental Video 3). This 4-bound, 2-unbound configuration of the D1 pore loops is distinct from previous structures of ClpB and Hsp104<sup>36-38</sup>. The D2 similarly shows well-defined pore loop-substrate contacts for protomers P1-P4 in both states (Fig. 5c, Extended Data Fig. 6f). These interactions stabilize a longer, 11 residue polypeptide segment and are primarily mediated by Y540 and V541, which form a Y-shaped clamp around the substrate backbone. Additional, pore-2 loops<sup>49,50</sup>, conserved in ClpB and Hsp104<sup>36-38</sup>, are present in both the D1 (residues: 292-302) and D2 (residues: 613-625), and line the channel, likely making additional contributions to stabilizing the polypeptide. Notably, residues E526, R527 and H528 from protomers P1-P5 contact the substrate and together form an “exit pore” which is adjacent the ClpP gating loops and thus may serve to facilitate transfer to the ClpP chamber (Extended Data Fig. 6h,i).

As with previous Hsp100 structures<sup>36-38</sup>, protomers P2-P4 show no substantial conformational changes between the states. Therefore, in order to compare conformational changes of the seam protomers (P1, P5 and P6), protomer P3 was used for alignments of the ClpA hexamer. The largest changes occur for these protomers between ClpA<sup>Eng-1</sup> and ClpA<sup>Dis</sup>, and between ClpA<sup>Eng-1</sup> and ClpA<sup>Eng-2</sup>, (RMSD ≈ 5.1 Å and 3.5 Å, respectively), while changes between ClpA<sup>Dis</sup> and ClpA<sup>Eng-2</sup> are more modest (RMSD ≈ 2.3 Å). For simplification, comparisons between ClpA<sup>Eng-1</sup> and ClpA<sup>Eng-2</sup> are shown (Fig. 5b, c). Overall, the pore loops for P5 and P6 shift closer to the polypeptide substrate and move up the channel axis going from ClpA<sup>Eng-1</sup> to the ClpA<sup>Dis</sup> and ClpA<sup>Eng-2</sup> states (Fig. 5b, c and Supplementary Video 3). Notably, the P5 pore loop moves up by ~4 Å and towards the substrate by ~8 Å (Extended Data Fig. 6g). This positions P5 Y259 adjacent the substrate two residues above the P4 Y259 position supporting the two-amino acid translocation step, however direct contact is not identified. The largest changes occur with



D2 pore loop of protomer P6, which moves up the channel axis by  $\sim 7$  Å, corresponding to a two-residue shift in the substrate position, but remains unbound to substrate in all three states (Fig. 5c). Together these changes reveal protomer movements up channel axis and appear on-path to a translocation step through engagement of the next contact site along the substrate by the D1 in protomer P5 (Extended Data Fig. 6j). In order to identify how these changes are connected to the IGL loop movement, the C- $\alpha$  deviation between the three states was mapped onto the hexamer model (Figure 5d). As expected, the IGL loops of the seam protomers show the greatest variability while protomers P2-P4 show little change. Remarkably, connected regions of variability are identified at the spiral seam across the subdomains and protomer interfaces, revealing a path of conformational changes that extend from the C- to N-termini for P1, P6 and P5, respectively. The greatest variability occurs in the IGL loop and D2 small subdomain of P1, the D2 large subdomain of P6 and the D1 large subdomain of P5 (Fig. 5e and Supplementary Video 4). Remarkably, these changes reveal an 80 Å-long allosteric communication network which appears to connect IGL-loop movement in P1 to translocation steps that occur in P5 and P6.

### Nucleotide States Support Hydrolysis-Driven Translocation

Similar to Hsp104 and ClpB, ATP hydrolysis activity in D1 and D2 is required for ClpA substrate translocation steps<sup>51</sup>. All three structures show well resolved nucleotide pockets and the nucleotide state of each pocket was assessed based on the density for ATP and the position of the trans-activating Arg-finger residues (R339-R340 in the D1 and R643 in D2) (Extended Data Fig. 7). For the substrate bound protomers P2, P3 and P4, the D1 and D2 nucleotide pockets are largely identical across the three states and in an ATP, active configuration (Fig. 6a and Extended Data Fig. 7a). The D2 of protomer P2 is an exception and appears to be bound to ADP and in a post-hydrolysis state in ClpA<sup>Eng2</sup>. For the seam protomers P1, P5 and P6 the nucleotide states vary, but are similar to previous Hsp100 structures, and thus support models for consecutive hydrolysis during processive translocation previously described<sup>36-38</sup> (Fig. 6a and Extended Data Fig. 7b). The P5-D1 appears to switch from an ADP state in ClpA<sup>Eng-1</sup> to an ATP state in ClpA<sup>Dis</sup> and ClpA<sup>Eng-2</sup>, indicating nucleotide exchange may occur between these states. Notably, this coincides with the conformational changes that bring the P5-D1 pore-loop towards with the next contact position along the substrate after P4 (Figure 5b), supporting models proposing that the translocation step occurs upon ATP re-binding<sup>1</sup>. Conversely, the P5-D2 is in an ATP state and bound to substrate in all three structures (Fig. 6a and Extended Data Fig. 7b). Protomer P6, which is at the spiral seam and unbound to substrate, is in a post-hydrolysis, ADP state for both the D1 and D2 across all three structures. For protomer P1, which is at the lowest substrate-contact position and undergoes IGL-loop switching between the states, the D1 appears bound to ATP in ClpA<sup>Eng-1</sup> and bound to ADP in ClpA<sup>Dis</sup> and ClpA<sup>Eng-2</sup>, indicating hydrolysis likely occurs between these states. However, the P1-D2 appears bound to ADP and inactive based on the distal position of Arg finger all states.

Together, the changes in nucleotide states between the three structures indicate ATP hydrolysis occurs at the spiral seam and likely proceeds counter-clockwise around the hexamer, supporting the rotary substrate translocation cycle in which protomers toward low position in the spiral (P1 and P2) undergo ATP hydrolysis and substrate release, then re-bind

substrate at the top position (P5) with ATP binding<sup>1</sup> (Fig. 6a and Extended Data Fig. 7a). Based on the different D1-D2 nucleotide states within protomers P1, P2 and P5, hydrolysis may be asynchronous, and possibly initiate in the D2 ring based on the ATP-ADP change identified for P2 between the ClpA<sup>Dis</sup> and ClpA<sup>Eng-2</sup> structures. This finding is similar to what is identified for ClpB<sup>37</sup> and indicates the D1 and D2 regulate distinct steps of translocation and coordination with ClpP. Surprisingly, certain conformational changes, including release of substrate, movement of the P1 IGL loop, and changes in P6, do not appear to directly correlate with changes in the cis nucleotide pocket. Allosteric communication and distinct functional roles have been described for the D1 and D2 of ClpB<sup>52,53</sup>. Thus, hydrolysis at adjacent sites, either across the D1 and D2 or between protomers connected by the Arg finger, may allosterically drive the conformational changes identified in the different structures. Indeed, the P1 IGL loop switching may be supported by hydrolysis at P1-D1 during disengagement (ClpA<sup>Eng-1</sup> to ClpA<sup>Dis</sup>) and at P2-D2 during engagement of the next pocket (ClpA<sup>Dis</sup> to ClpA<sup>Eng-2</sup>) (Fig. 6a and Extended Data Fig. 7b).

## Discussion

For the conserved class of AAA+ protease complexes such as ClpXP and ClpAP, it has been unclear how dynamic steps of ATP hydrolysis-driven substrate translocation could occur in coordination with the attached heptameric protease. The structures presented here reveal a dynamic ClpA-P interface, in which the connecting IGL loops undergo large conformational changes that may enable the ClpA hexamer to rotate on the ClpP apical surface during processive translocation steps (Fig. 2). Most notably, the IGL loop of the protomer in the lowest substrate-bound site (P1) is observed in three different positions that together reveal a clockwise binding-pocket switch movement. This IGL loop movement appears coordinated with conformational changes associated with the substrate translocation steps, based on the large allosteric communication path we identify across the seam protomers which connects ClpP interactions with the pore loop-substrate contacts (Fig. 5d).

The conformational differences between the three structures suggest a model for substrate translocation by ClpAP in which hexamer-heptamer symmetry mismatch is continually maintained with an empty IGL binding pocket aligned at the spiral seam of ClpA. During consecutive translocation steps, the IGL loop of the adjacent protomer (P1) at the lowest substrate contact site, disengages from ClpP (ClpAP<sup>Eng-1</sup> to ClpAP<sup>Dis</sup>, step 1) then re-binds to the clockwise empty pocket (ClpAP<sup>Dis</sup> to ClpAP<sup>Eng-2</sup>, step 2) in a manner that is regulated by ATP hydrolysis and conformational changes associated with substrate release and re-binding (Fig. 6b and Supplementary Video 5). Based on the path of conformational variability (Fig. 5d) and changes in nucleotide state (Fig 6a) across the seam protomers, ATP hydrolysis and translocation movements by neighboring protomers likely regulate the P1-IGL loop movement, coordinating the binding-pocket switch with translocation steps.

The nucleotide states of the protomers and pore-loop spacing along the substrate are consistent with a rotary, two amino-acid step translocation mechanism proposed in previous studies<sup>35-37,43</sup>. This step size is smaller than what has been reported for ClpA and ClpX by single molecule<sup>54,55</sup> and transient state kinetic methods<sup>56</sup>. However, recent single molecule studies of ClpB identify rapid modes of consecutive translocation in which 6-7Å steps could

occur but are not resolvable due to the high translocation rate<sup>57</sup>. For a processive cycle<sup>2,58,59</sup>, we propose that these steps could continue with IGL-loop switching at each translocation step, rotating the position of empty IGL pocket around ClpP with the spiral seam (Fig. 6b). This rotation of ClpA relative to ClpP would enable the hexamer to shift by one clockwise binding position on the ClpP apical surface per 6 substrate translocation steps down the axial channel. Other models involving larger translocation step sizes<sup>55</sup> or alternate hydrolysis mechanisms<sup>60</sup> would likely confer different coordination with IGL-loop switching. Nonetheless, we suggest that the functional significance of the hexamer:heptamer mismatch is that the 7<sup>th</sup> binding pocket on ClpP is available for the IGL loops to sequentially switch position with the substrate translocation steps, allowing processivity by ClpA without altering contact with ClpP. Additionally, this rotation may be substrate-specific and perhaps more critical for proteolysis of stable, folded substrates compared to labile structures.

While other mechanisms may support substrate translocation and proteolysis by ClpAP, we note that IGL-loop switching between the same sites, stochastically or counterclockwise would result in an offset between the empty IGL pocket and the spiral seam of ClpA hexamer. None of these potential configurations of ClpAP were observed in any of the 3D classes for our ATP $\gamma$ S and ATP datasets. Additionally, recent structures of the related ClpXP complex bound to substrate identify conformations which are similar to the Engaged-1 and Disengaged states determined here and a complimentary rotary mechanism is proposed<sup>61</sup>. The additional Engaged-2 state structure determined here further supports these models by identifying that the P1 IGL loop indeed switches position and ClpA rotates clockwise relative to ClpP with an apparent substrate translocation step. The discovery of this additional state in our study may have resulted from the use of WT enzyme and ATP, allowing an additional active state of substrate translocation to be captured.

The IGL-loop interactions with the ClpP hydrophobic pockets are identical at all positions, while flexibility of the helices that connect the loops to the D2 base of ClpA enables substantial variability in the ClpA position relative to ClpP. This flexibility is likely critical for maintaining ClpP binding during ratcheting conformational changes associated with substrate translocation and the rotations in ClpA between the different states (Fig. 2a-c). Furthermore, the extension and unfolding of the P5 IGL-loop helices in the Engaged-1 state is striking and may also provide energetic constraints that could facilitate release and clockwise switch of the P1 IGL loop during the conformational change to the Disengaged and Engaged-2 states (Fig. 3c).

Binding by IGF/L loops is well-understood to trigger gate-opening in ClpP<sup>27-29</sup> and the conformational plasticity and asymmetric binding interactions we identify reveal new insight into how these loops facilitate allosteric regulation between ClpA and ClpP<sup>56,62</sup>. A number of proteolytic machines, including the 26S proteasome, operate as hexamer:heptamer assemblies<sup>3,4</sup>. Notably, assembly of the eukaryotic Rpt and archaeal PAN AAA+ with its respective 20S core, involves interaction with flexible C-terminal HbYX motifs and gate-opening of the 20S<sup>63,64</sup>. While the HbYX interactions are distinct and likely operate differently during translocation, recent structures reveal a conserved spiral staircase arrangement of 26S<sup>41,65</sup> and PAN<sup>66</sup> bound to substrates and a sequential rotation of the ATPase ring has been proposed for PAN<sup>66</sup>. For the Clp protease system the symmetry

mismatch and IGF/L loop binding pocket switch likely serves a critical role in processivity by coordinating the rotary ATPase cycle and directional translocation steps with substrate transfer and proteolysis by ClpP.

## Online Methods

### Purification and analysis of ClpA, ClpP and RepA(1-25)-GFP

ClpA and ClpP were purified as previously described<sup>1,2</sup>. RepA 1-25 protein was expressed with a C-terminal His6-tag construct from the pDS56/RBSII plasmid. Transformed BL21 cells were inoculated in LB media with 100 ug/mL Ampicillin and grown at 37°C to OD<sub>600nm</sub> = ~0.6–0.8. The cell culture was induced with 1 mM IPTG for ~4 h at 30°C. Cell pellet was resuspended in 40 mM HEPES pH 7.4, 2 mM β-mercaptoethanol, and 10% glycerol with protease inhibitors (EDTA-free) (Roche) and then lysed by sonication. Following centrifugation (16,000 x g, 20 min, 30°C), the supernatant was applied to a Ni-NTA column (GE Healthcare) followed by a gradient elution from 20 mM imidazole to 500 mM imidazole. Purity was verified by SDS-PAGE and fractions were combined and concentrated into a storage buffer (40 mM HEPES pH 7.4, 500 mM KCl, 20 mM MgCl<sub>2</sub>, 10% glycerol (v/v), and 2 mM β-mercaptoethanol).

The RepA(1-25)-GFP degradation assay (Extended Data Fig. 1a-b) was performed in triplicate and consisted of 6 μM ClpA, 7 μM ClpP or ClpP-S98A, 1 μM RepA(1-25)-GFP and 2 mM nucleotide incubated in buffer at 20° for 15 min containing 50 mM Tris-HCl pH 7.5, 150 mM KCl, 10 mM MgCl<sub>2</sub>, and 1 mM DTT. For the assay with spiked nucleotide, 10 mM nucleotide was added after the initial incubation. Aliquots of the reaction were separated from the reaction at the specified time points and quenched in 2% SDS buffer, heated for 10 min and ran onto an acrylamide gel. The bands were visualized using silver staining (Sigma-Aldrich). Size exclusion chromatography (SEC) analysis and purification was performed by incubating 36 μM ClpA, 42 μM ClpP, 30 μM RepA(1-25)-GFP and 2 mM ATPγS in 50 mM Tris-HCl pH 7.5, 150 mM KCl, 10 mM MgCl<sub>2</sub>, 1 mM DTT for 15 minutes at 20°. The complex incubation reaction was then injected onto a Superose 6 Increase 3.2/300 column (GE Healthcare) and the eluted peaks were analyzed using SDS-PAGE.

### Cryo-EM Data Collection and Processing

The fraction corresponding to the largest molecular weight complex from SEC (Extended Data Fig. 1b) was isolated and incubated with 1 mM ATPγS. Before freezing, proper dilutions were made and 10 mM ATP was added to the dilution. After a 30 s. incubation, a 3.5 uL drop was applied to glow discharged holey carbon grid (R 1.2/1.3; Quantifoil), in which sample was then blotted for 2.5 s. at 4° and 100% humidity with Whatman No. 1 filter paper before being plunge frozen liquid ethane using a vitrobot (Thermo Fischer Scientific). The sample was then imaged on a Titan Krios TEM (Thermo Fischer Scientific) operated at 300 keV and equipped with a Gatan BioQuantum imaging energy filter using a 20eV zero loss energy slit (Gatan Inc). Movies were acquired in super-resolution mode on a K3 direct electron detector (Gatan Inc.) at a calibrated magnification of 58,600X corresponding to a pixel size of 0.4265 Å/pixel. A defocus range of .8 to 1.2 μm was used with a total exposure

time of 2 seconds fractionated into 0.2s subframes for a total dose of  $68 \text{ e}^-/\text{\AA}^2$  at a dose rate of  $25 \text{ e}^-/\text{pixel/s}$ . Movies were subsequently corrected for drift using MotionCor2 (10.1038/nmeth.4193) and were Fourier-cropped by a factor of 2 to a final pixel size of  $0.853 \text{ \AA}/\text{pixel}$ .

A total of ~18,000 micrographs were collected over two different datasets. The two datasets were processed separately and then were combined at the end. All the data-processing was performed in cryosparc2<sup>3</sup>. For particle picking, templates were generated from 100 particles, in which only side-views were selected. After inspecting the particles picked, approximately 1.6 million particles were extracted. Two rounds of 2D classification were performed to remove contamination and junk particles, which amounted to ~54% of the dataset. A five-class ab-initio reconstruction was performed from the particle set and was used for initial classification steps.

To identify different conformations, heterogenous refinement was performed with 4 different classes (Extended Data Fig. 1f). Following this first round, maps showing high resolution features, which accounted for ~54% of the 739,000 particles going into 3D, were kept and grouped together. Another round of heterogenous refinement with 5 different classes was then performed. Following this second round, two unique states, ClpAP<sup>Eng1</sup> (24%, ~176,000 particles) and ClpAP<sup>Dis</sup> (24%, ~176,000 particles), were identified. The ClpAP<sup>Dis</sup> particles underwent another 5 class heterogenous refinement to further identify any more conformations. Following this third round, two unique states, ClpAP<sup>Dis</sup> (8%, 58,000 particles) and ClpAP<sup>Eng2</sup> (5%, 40,000 particles), were identified. Particles associated with each unique class were combined and homogenous refinement was performed separately on each state. To better improve the resolution of the mobile protomers following Non-Uniform refinement, the particles from each state underwent particle subtraction. Particle subtraction was performed in which the bottom half of ClpP was subtracted. A local-refinement was then performed, in which the fulcrum position was set to the center of ClpA. The same procedure was completed on all the states.

The final resolution of ClpAP<sup>Eng1</sup> was  $2.8 \text{ \AA}$ , ClpAP<sup>Dis</sup> was  $3.2 \text{ \AA}$ , and ClpAP<sup>Eng2</sup> was  $3.4 \text{ \AA}$  (Extended Data Fig. 6b). After completing local CTF refinement on of the final refinement runs the resolutions were improved to  $2.7 \text{ \AA}$  for ClpAP<sup>Eng1</sup>,  $3.0 \text{ \AA}$  for ClpAP<sup>Dis</sup> and  $3.2 \text{ \AA}$  for ClpAP<sup>Eng2</sup> (Extended Data Fig. 1e).

## Molecular Modeling

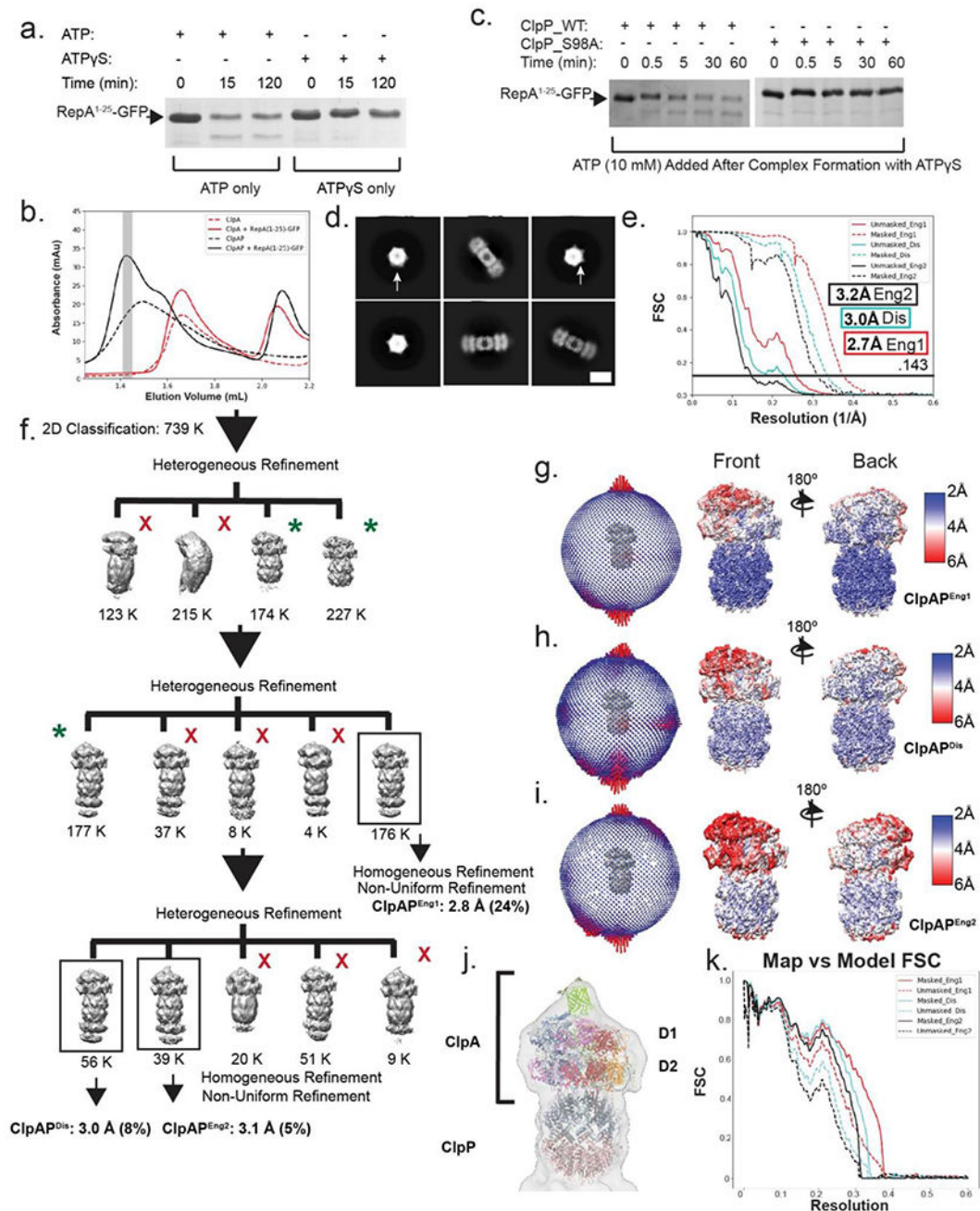
An initial model for ClpA was obtain by using a ClpB structure (PDB 5of0)<sup>4</sup> and generated in SWISS-MODEL<sup>5</sup> and the initial model for ClpP was taken directly from a ClpP crystal structure (PDB 1yg6)<sup>6</sup> previously solved. Both initial models were docked into the EM maps using the UCSF chimera's function *fit in map*<sup>7</sup>. Initial refinement was performed using Phenix<sup>8</sup> with 1 round of simulated annealing and morphing and 5 rounds of real-space refinement that included *minimization\_global*, *rigid\_body*, *adp*, *local\_grid\_search*, secondary structural restraints and non-crystallographic symmetry (NCS) restraints. The resulting model then underwent real space refinement in Coot<sup>9</sup>. Nucleotides were added in manually using Coot and real space refinement using cif files generated for ADP and ATP $\gamma$ S in Phenix eLBOW<sup>10</sup>.

Density for the ClpA focus refinement was higher quality than the full map, therefore was used to model individual protomers using Rosetta Comparative Modeling (RosettaCM)<sup>11,12</sup>. The structures for ClpA (PDB 1r6b)<sup>13</sup>, Hsp104 (PDB 5d4w and 5vjh)<sup>14</sup>, ClpB BAP form (PDB 5og1)<sup>4</sup> and PTEX (PDB 6e10)<sup>15</sup> were determined as homology models with HHpred<sup>16</sup> and used to constrain model refinement in Rosetta CM with *template\_weight=0* and the initial model with *template\_weight=1*. The lowest energy models were examined by eye to ensure the model fit into the density, the protomer was placed into the context of the whole structure and the Rosetta Relax protocol was run on the full complex.

Rosetta Enumerative Sampling (Rosetta ES) was used to de novo build in the IGL loops and NT loops for each protomer<sup>17</sup>. The ClpA residues 612 to 628 were deleted from each protomer and Rosetta ES was run to rebuild the loops with a beamwidth of 32. The resulting model with rebuilt IGL loops was added into the full model and the Rosetta Relax protocol was run. Residues 16 to 32 from ClpP were deleted from each protomer and the same RosettaES parameters were used to build in the NT loops, followed by the Rosetta Relax protocol.



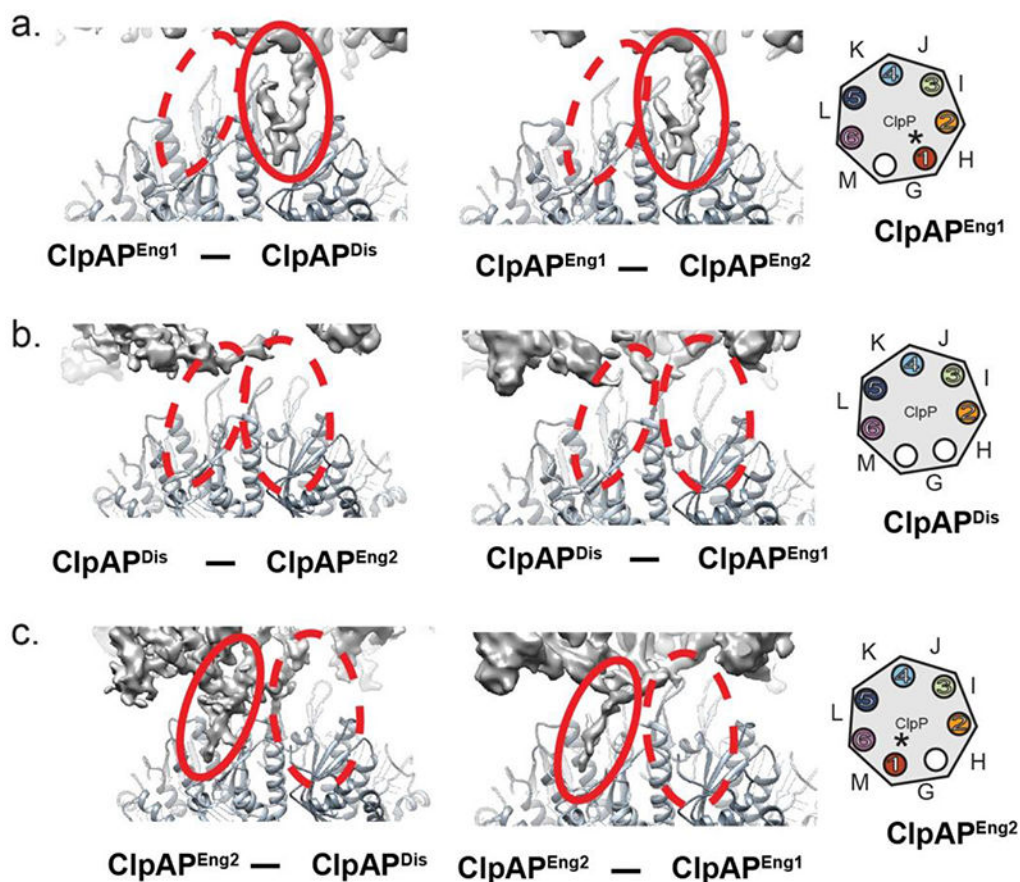
## Extended Data



Extended Data Fig. 1. ClpAP complex formation with RepA(1-25)-GFP and cryoEM data analysis.

**a** RepA<sup>1-25</sup>-GFP degradation assay in the presence of either ATPγS or ATP along with ClpA and ClpP. The assay was performed at 20°. Arrow represents RepA degradation product. **b** Size exclusion chromatography (SEC) trace of the components and formed ClpAP complex following incubation with RepA<sup>1-25</sup>-GFP and ATPγS. The 280 absorbance traces are shown for ClpA alone (red, dashed), ClpA with RepA<sup>1-25</sup>-GFP (red, solid), ClpAP alone (black, dashed) and ClpAP with RepA<sup>1-25</sup>-GFP (black, solid). **c** RepA<sup>1-25</sup>-GFP degradation assay in

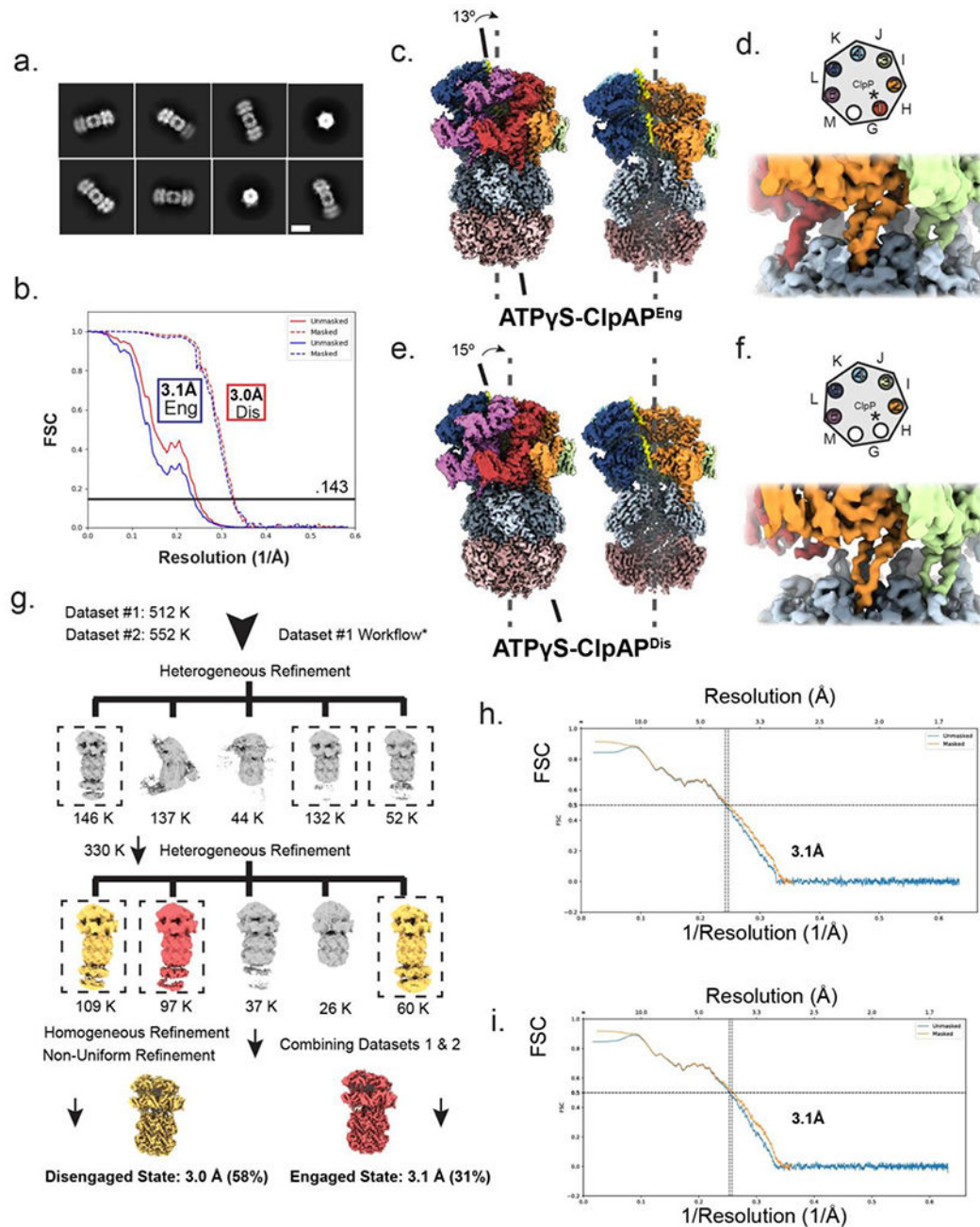
the presence of ATP $\gamma$ S with both ClpP WT and ClpP\_S98A. ATP was spiked into the reaction at 10 mM after the initial complex formation for 15 min was completed with ATP $\gamma$ S. The zero-time point is before spiking ATP into the reaction. The assay was performed at 20°. **d** Reference-free 2D class averages of ClpAP bound to RepA<sup>1-25</sup>-GFP. The scale bar equals 125 Å. **e** Gold standard FSC-curves for the final refinement of ClpAP<sup>Eng-1</sup>(red), ClpAP<sup>Dis</sup>(cyan), ClpAP<sup>Eng-2</sup>(black) of the ClpAP-RepA(1-25)-GFP complex. **f** 3D classification scheme used to identify the two different states in the ClpAP-RepA<sup>1-25</sup>-GFP dataset. Green asterisk represents the classes in which the particles were pooled together for further classification and refinement. The local resolution map of ClpAP<sup>Eng-1</sup> (**g**), ClpAP<sup>Dis</sup> (**h**) and ClpAP<sup>Eng-2</sup> (**i**). **j** Low-pass filtered map showing globular density docked with GFP (PDB 1GFL) and additional N-terminal ClpA density (NTD). **k** Map vs. Model FSC of ClpAP<sup>Eng-1</sup>(red), ClpAP<sup>Dis</sup>(cyan), ClpAP<sup>Eng-2</sup> (black) of the ClpAP-RepA(1-25)-GFP complex following atomic modeling in Rosetta. Uncropped gel images are available as source data online.



**Extended Data Fig. 2. Difference maps of the ClpAP interface.**

Difference maps of the cryo-EM maps of **a** ClpAP<sup>Eng1</sup> vs. ClpAP<sup>Dis</sup> and ClpAP<sup>Eng-2</sup>, **b** ClpAP<sup>Dis</sup> vs. ClpAP<sup>Eng-1</sup> and ClpAP<sup>Eng-2</sup>, **c** ClpAP<sup>Eng-2</sup> vs. ClpAP<sup>Dis</sup> and ClpAP<sup>Eng-1</sup>. The IGL pockets are encompassed by red circle, open pocket (dashed) and occupied pocket (solid). Schematic (right) shows occupancy of the ClpA IGL-loops (circles, colored and numbered by protomer) around the ClpA hexamer, with the empty IGL pockets (white

circles) and ClpA protomers indicated (letters) for the different states. Asterisk represents the IGL-loop that is engaging in that state.

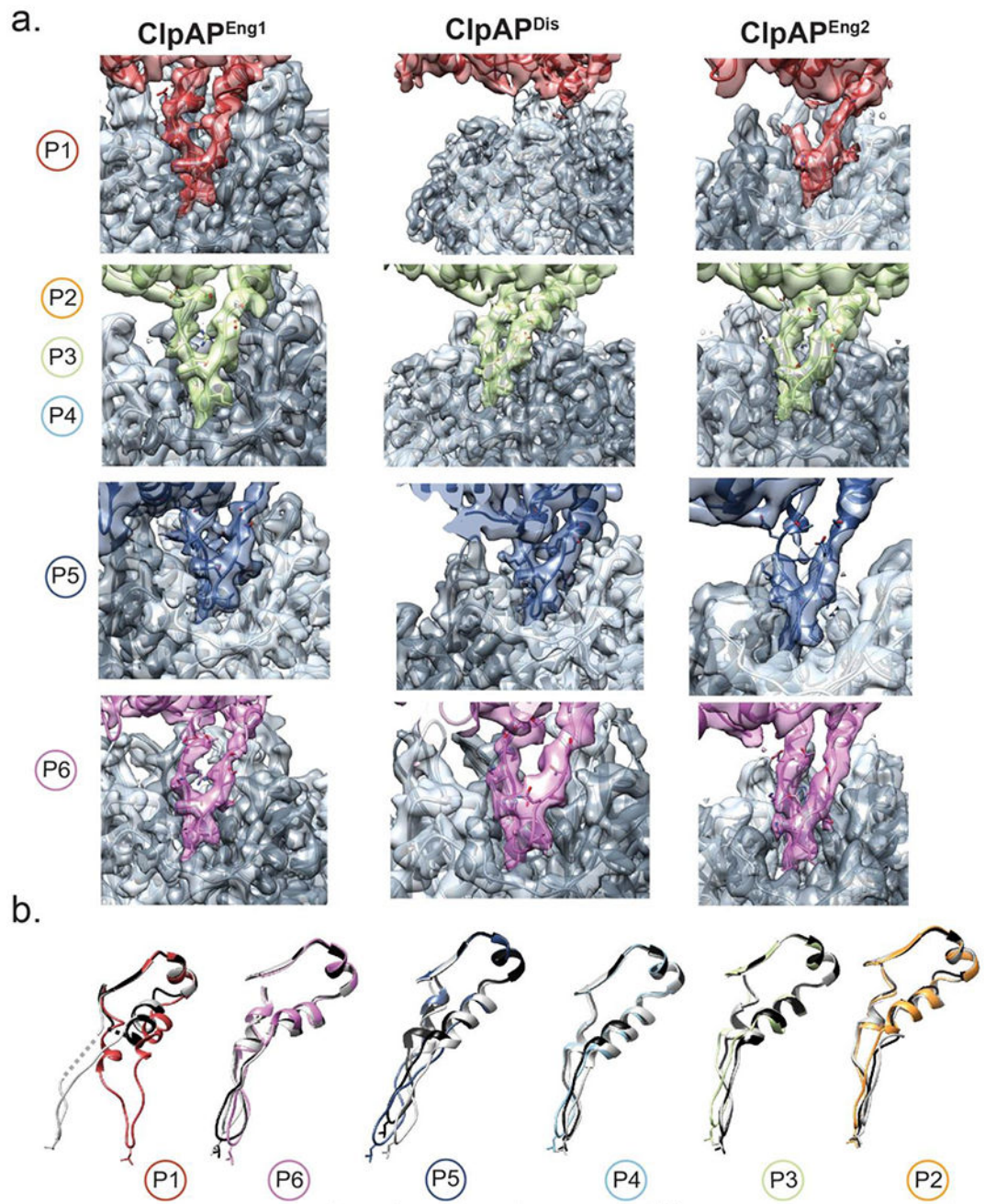


**Extended Data Fig. 3. ATP $\gamma$ S-ClpAP cryoEM data analysis.**

**a** Reference-free 2D class averages of ClpAP- $\gamma$ S bound to RepA<sup>1-25</sup>-GFP. The scale bar equals 125 Å. **b** Gold standard FSC-curves for the final refinement of ATP $\gamma$ S-ClpAP<sup>Eng</sup> (blue) and ATP $\gamma$ S-ClpAP<sup>Dis</sup> (red) of the ClpAP-RepA(1-25)-GFP complex. ATP $\gamma$ S-ClpAP<sup>Eng-1</sup> (c) and ATP $\gamma$ S-ClpAP<sup>Dis</sup> (d) cryo-EM maps showing degree offset (arrow) of the ClpA channel axis (solid line) and substrate position (yellow density) compared to the

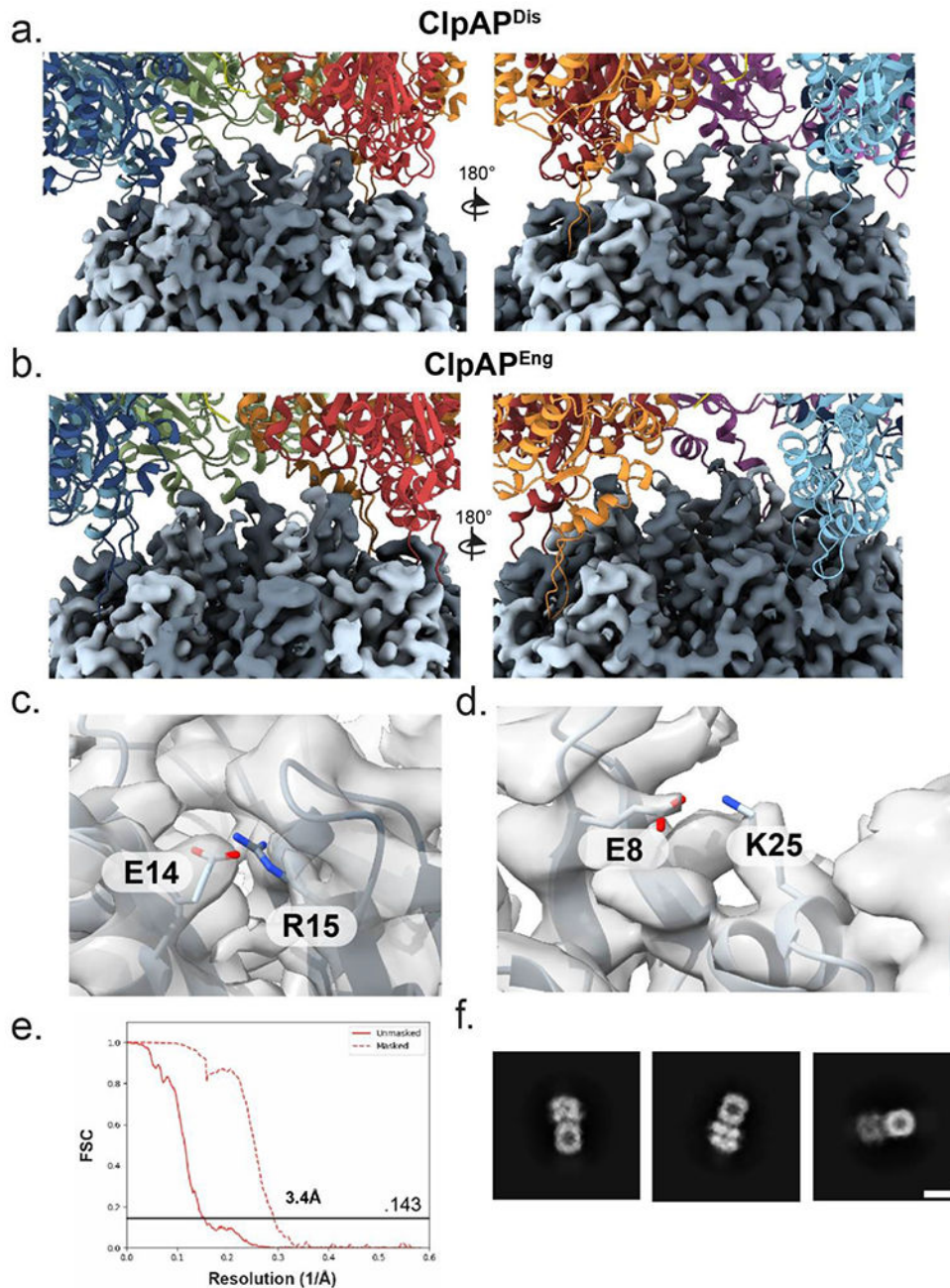


ClpP pore and proteolytic chamber (dashed line). Schematic (below, left) shows occupancy of the ClpA IGL-loops (circles, colored and numbered by protomer) around the ClpA hexamer, with the empty IGL pockets (white circles) and ClpA protomers indicated (letters) for the different states. **e** 3D classification scheme used to identify the two different states in the ATP $\gamma$ S-ClpAP-RepA<sup>1-25</sup>-GFP dataset. Dotted boxes represent the classes in which the particles were pooled together for further classification and refinement. The maps for ClpAP<sup>Eng</sup> (red) and ClpAP<sup>Dis</sup> (yellow) are colored accordingly. Map vs. Model FSC of ATP $\gamma$ S-ClpAP<sup>Eng</sup>(f) and ATP $\gamma$ S-ClpAP<sup>Dis</sup>(g) following atomic modeling in Rosetta.



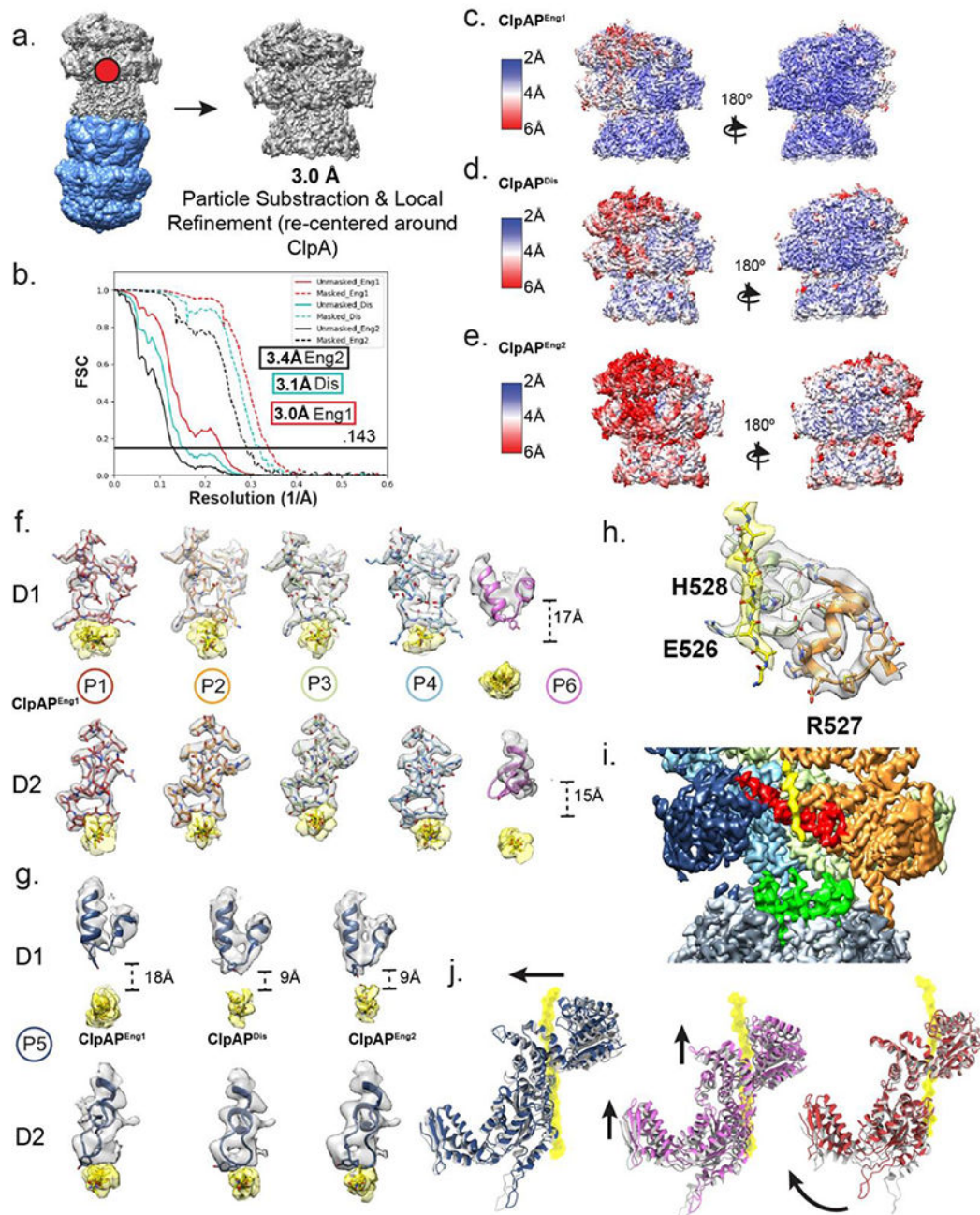
**Extended Data Fig. 4. Comparison of IGL loops between the different states.**

**a** EM map and model of the IGL-loop in the hydrophobic pocket of P1 (top), P2-P4 (middle, top), P5 (middle, bottom) and P6 (bottom) for ClpAP<sup>Eng-1</sup>(left), ClpAP<sup>Dis</sup> (middle) and ClpAP<sup>Eng-2</sup> (right). **b** Overlay of IGL-loops of ClpAP<sup>Eng-1</sup> (colored by protomer) vs. ClpAP<sup>Dis</sup> (black) vs. ClpAP<sup>Eng-2</sup> (grey) laid out after alignment to the residues (638-649) above the IGL-loop. The dotted loop in P1 represents the missing loop in ClpAP<sup>Dis</sup> and ClpAP<sup>Eng-2</sup>.

**Extended Data Fig. 5. Single capped ClpAP structure and ClpP N-terminal loop interactions.**



a Map of the ClpP N-terminal gating loops and the model for ClpA with substrate for ClpAP<sup>Dis</sup> (b) ClpAP<sup>Eng</sup>. Map and model view of ClpP residues E14 and R15 (c) and E8 and K25 (d). e Gold standard FSC curve and (f) 2D reference-free class averages of the single capped ClpAP structure.

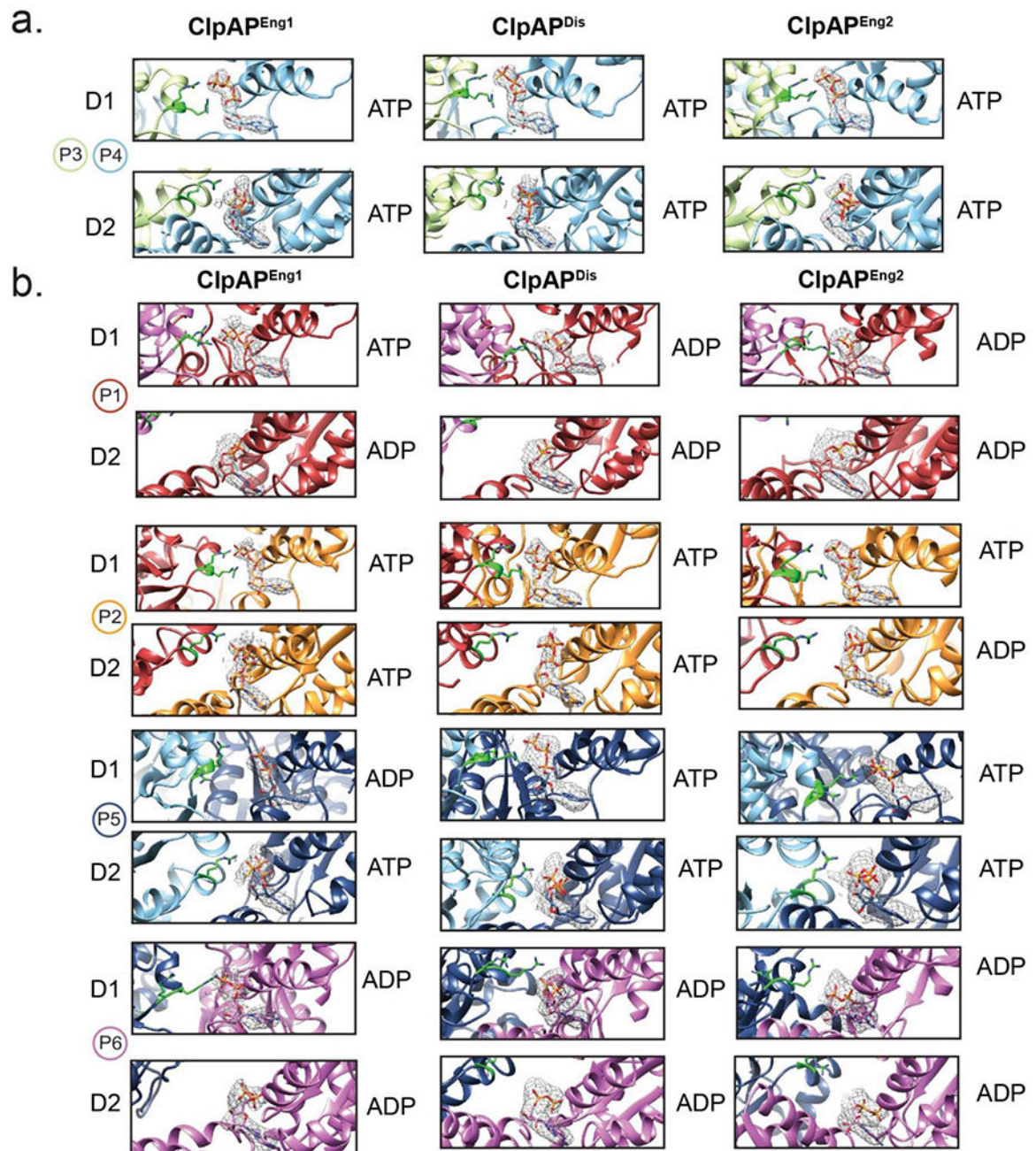


**Extended Data Fig. 6. Particle Subtraction and Focus Refinement of ClpAP<sup>Eng-1</sup>, ClpAP<sup>Eng-2</sup> and ClpAP<sup>Dis</sup>.**

a EM map with mask (grey) used for particle subtraction of ClpA. Red dot represents the point in which particles were shifted to. b Gold standard FSC curve of both focus maps for ClpAP<sup>Eng-1</sup> (red), ClpAP<sup>Dis</sup> (cyan), and ClpAP<sup>Eng-2</sup> (black). The local resolution map of



ClpAP<sup>Eng-1</sup> (**c**), ClpAP<sup>Dis</sup> (**d**) and ClpAP<sup>Eng-2</sup> (**e**). **f** EM map and model of each Tyr-containing pore loop in ClpAP<sup>Eng-1</sup> for both D1 (top) and D2 (bottom), the substrate channel density is colored yellow. **g** EM map and model of each Tyr-containing pore loop in P5 for ClpAP<sup>Eng-1</sup> (left), ClpAP<sup>Dis</sup> (middle), and ClpAP<sup>Eng-2</sup> (right) for both D1 (top) and D2 (bottom), the substrate channel density is colored yellow. The distance between the Tyr and the substrate is represented by dotted line. **h** EM map and model of ClpAP<sup>Eng-1</sup> (colored by protomer) with the D2 secondary pore loops residues interacting with substrate. **i** ClpAP<sup>Eng-1</sup> EM map colored by protomer with D2 secondary pore loops (red) and ClpP NTD-loops (green). **j** Overlay of the seam protomers P5 (left), P1 (middle), and P6 (right) for ClpAP<sup>Eng-1</sup> (grey) and ClpAP<sup>Eng-2</sup> (colored) showing conformational shifts (arrows) supporting translocation step.



**Extended Data Fig. 7. Nucleotide States of ClpAP<sup>Eng-1</sup>, ClpAP<sup>Eng-2</sup> and ClpAP<sup>Dis</sup>.**

**a** Difference map density for P4 D1 and D2 ATP with Arg finger residues displayed in green. There are no differences between P3 and P4, therefore P3 ATP density is not shown.

**b** Difference map density for P1, P2, P5 and P6 for both D1 and D2 and Arg finger residues colored green.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgements

We thank K. Mack, Z. March, and R. Cupo, T. Pospiech and J. Braxton for feedback on the manuscript. We thank the UCSF BACEM Facility for assistance with data collection. This work was supported by an Alzheimer's Association Research Fellowship (to J.B.L.), an NSF Graduate Research Fellowship DGE-0822 (to L.M.C.), a GAANN fellowship (to A.N.R.), NSF grant MCB-1412624 (to A.L.L.), and NIH grants R01GM099836 (to J.S.), and R01GM110001 (to D.R.S.).

## Data Availability

ClpAP cryo-EM maps and atomic coordinates have been deposited in the EMDB and PDB with accession codes EMDB-21519 and PDB-6W1Z for ClpAP<sup>Eng1</sup>, EMDB-21520 and PDB-6W20 for ClpAP<sup>Dis</sup>, EMDB-21521 and PDB-6W21 for ClpAP<sup>Eng2</sup>, EMDB-21522 and PDB-6W22 for ClpAP<sup>Eng1</sup> focus, EMDB-21523 and PDB-6W23 for ClpAP<sup>Dis</sup> focus, EMDB-21524 and PDB-6W24 for ClpAP<sup>Eng2</sup> focus, EMDB-20851 and PDB-6UQO for ATP $\gamma$ S-ClpAP<sup>Eng</sup>, and EMDB-20845 and PDB-6UQE for ATP $\gamma$ S-ClpAP<sup>Dis</sup>. The source data underlying Extended Data Fig. 1a and 1c are provided as a Source Data file. Other data are available from the corresponding author upon reasonable request.

## References

- Shorter J & Southworth DR Spiraling in Control: Structures and Mechanisms of the Hsp104 Disaggregase. *Cold Spring Harb Perspect Biol* (2019).
- Duran EC, Weaver CL & Lucius AL Comparative Analysis of the Structure and Function of AAA+ Motors ClpA, ClpB, and Hsp104: Common Threads and Disparate Functions. *Front Mol Biosci* 4, 54 (2017). [PubMed: 28824920]
- Olivares AO, Baker TA & Sauer RT Mechanistic insights into bacterial AAA+ proteases and protein-remodelling machines. *Nat Rev Microbiol* 14, 33–44 (2016). [PubMed: 26639779]
- Sauer RT & Baker TA AAA+ proteases: ATP-fueled machines of protein destruction. *Annu Rev Biochem* 80, 587–612 (2011). [PubMed: 21469952]
- Sauer RT et al. Sculpting the proteome with AAA(+) proteases and disassembly machines. *Cell* 119, 9–18 (2004). [PubMed: 15454077]
- Kessel M et al. Homology in structural organization between E. coli ClpAP protease and the eukaryotic 26 S proteasome. *J Mol Biol* 250, 587–94 (1995). [PubMed: 7623377]
- Sousa MC et al. Crystal and solution structures of an HslUV protease-chaperone complex. *Cell* 103, 633–43 (2000). [PubMed: 11106733]
- Baker TA & Sauer RT ClpXP, an ATP-powered unfolding and protein-degradation machine. *Biochim Biophys Acta* 1823, 15–28 (2012). [PubMed: 21736903]
- Reid BG, Fenton WA, Horwich AL & Weber-Ban EU ClpA mediates directional translocation of substrate proteins into the ClpP protease. *Proc Natl Acad Sci U S A* 98, 3768–72 (2001). [PubMed: 11259663]
- Hoskins JR, Pak M, Maurizi MR & Wickner S The role of the ClpA chaperone in proteolysis by ClpAP. *Proc Natl Acad Sci U S A* 95, 12135–40 (1998). [PubMed: 9770452]
- Weber-Ban EU, Reid BG, Miranker AD & Horwich AL Global unfolding of a substrate protein by the Hsp100 chaperone ClpA. *Nature* 401, 90–3 (1999). [PubMed: 10485712]
- Ishikawa T et al. Translocation pathway of protein substrates in ClpAP protease. *Proc Natl Acad Sci U S A* 98, 4328–33 (2001). [PubMed: 11287666]
- Wang J, Hartling JA & Flanagan JM The structure of ClpP at 2.3 Å resolution suggests a model for ATP-dependent proteolysis. *Cell* 91, 447–56 (1997). [PubMed: 9390554]
- Yu AY & Houry WA ClpP: a distinctive family of cylindrical energy-dependent serine proteases. *FEBS Lett* 581, 3749–57 (2007). [PubMed: 17499722]

15. Grimaud R, Kessel M, Beuron F, Steven AC & Maurizi MR Enzymatic and structural similarities between the Escherichia coli ATP-dependent proteases, ClpXP and ClpAP. *J Biol Chem* 273, 12476–81 (1998). [PubMed: 9575205]
16. Ortega J, Lee HS, Maurizi MR & Steven AC ClpA and ClpX ATPases bind simultaneously to opposite ends of ClpP peptidase to form active hybrid complexes. *J Struct Biol* 146, 217–26 (2004). [PubMed: 15037252]
17. Ortega J, Singh SK, Ishikawa T, Maurizi MR & Steven AC Visualization of substrate binding and translocation by the ATP-dependent protease, ClpXP. *Mol Cell* 6, 1515–21 (2000). [PubMed: 11163224]
18. Levchenko I, Seidel M, Sauer RT & Baker TA A specificity-enhancing factor for the ClpXP degradation machine. *Science* 289, 2354–6 (2000). [PubMed: 11009422]
19. Bolon DN, Grant RA, Baker TA & Sauer RT Nucleotide-dependent substrate handoff from the SspB adaptor to the AAA+ ClpXP protease. *Mol Cell* 16, 343–50 (2004). [PubMed: 15525508]
20. Erbbe A et al. ClpS is an essential component of the N-end rule pathway in Escherichia coli. *Nature* 439, 753–6 (2006). [PubMed: 16467841]
21. Wang KH, Roman-Hernandez G, Grant RA, Sauer RT & Baker TA The molecular basis of N-end rule recognition. *Mol Cell* 32, 406–14 (2008). [PubMed: 18995838]
22. Keiler KC, Waller PR & Sauer RT Role of a peptide tagging system in degradation of proteins synthesized from damaged messenger RNA. *Science* 271, 990–3 (1996). [PubMed: 8584937]
23. Gottesman S, Roche E, Zhou Y & Sauer RT The ClpXP and ClpAP proteases degrade proteins with carboxy-terminal peptide tails added by the SsrA-tagging system. *Genes Dev* 12, 1338–47 (1998). [PubMed: 9573050]
24. Mogk A, Schmidt R & Bukau B The N-end rule pathway for regulated proteolysis: prokaryotic and eukaryotic strategies. *Trends Cell Biol* 17, 165–72 (2007). [PubMed: 17306546]
25. Wickner S et al. A molecular chaperone, ClpA, functions like DnaK and DnaJ. *Proc Natl Acad Sci U S A* 91, 12218–22 (1994). [PubMed: 7991609]
26. Kim YI et al. Molecular determinants of complex formation between Clp/Hsp100 ATPases and the ClpP peptidase. *Nat Struct Biol* 8, 230–3 (2001). [PubMed: 11224567]
27. Effantin G, Maurizi MR & Steven AC Binding of the ClpA unfoldase opens the axial gate of ClpP peptidase. *J Biol Chem* 285, 14834–40 (2010). [PubMed: 20236930]
28. Bewley MC, Graziano V, Griffin K & Flanagan JM Turned on for degradation: ATPase-independent degradation by ClpP. *J Struct Biol* 165, 118–25 (2009). [PubMed: 19038348]
29. Jennings LD, Bohon J, Chance MR & Licht S The ClpP N-terminus coordinates substrate access with protease active site reactivity. *Biochemistry* 47, 11031–40 (2008). [PubMed: 18816064]
30. Brotz-Oesterhelt H et al. Dysregulation of bacterial proteolytic machinery by a new class of antibiotics. *Nat Med* 11, 1082–7 (2005). [PubMed: 16200071]
31. Lee BG et al. Structures of ClpP in complex with acyldepsipeptide antibiotics reveal its activation mechanism. *Nat Struct Mol Biol* 17, 471–8 (2010). [PubMed: 20305655]
32. Gersch M et al. AAA+ chaperones and acyldepsipeptides activate the ClpP protease via conformational control. *Nat Commun* 6, 6320 (2015). [PubMed: 25695750]
33. Li DH et al. Acyldepsipeptide antibiotics induce the formation of a structured axial channel in ClpP: A model for the ClpX/ClpA-bound state of ClpP. *Chem Biol* 17, 959–69 (2010). [PubMed: 20851345]
34. Wendler P, Ciniawsky S, Kock M & Kube S Structure and function of the AAA+ nucleotide binding pocket. *Biochim Biophys Acta* 1823, 2–14 (2012). [PubMed: 21839118]
35. Deville C et al. Structural pathway of regulated substrate transfer and threading through an Hsp100 disaggregase. *Sci Adv* 3, e1701726 (2017). [PubMed: 28798962]
36. Gates SN et al. Ratchet-like polypeptide translocation mechanism of the AAA+ disaggregase Hsp104. *Science* 357, 273–279 (2017). [PubMed: 28619716]
37. Rizo AN et al. Structural basis for substrate gripping and translocation by the ClpB AAA+ disaggregase. *Nat Commun* 10, 2393 (2019). [PubMed: 31160557]
38. Yu H et al. ATP hydrolysis-coupled peptide translocation mechanism of Mycobacterium tuberculosis ClpB. *Proc Natl Acad Sci U S A* 115, E9560–E9569 (2018). [PubMed: 30257943]



39. Gates SN & Martin A Stairway to translocation: AAA+ motor structures reveal the mechanisms of ATP-dependent substrate translocation. *Protein Sci* 29, 407–419 (2020). [PubMed: 31599052]
40. Han H, Monroe N, Sundquist WI, Shen PS & Hill CP The AAA ATPase Vps4 binds ESCRT-III substrates through a repeating array of dipeptide-binding pockets. *Elife* 6(2017).
41. de la Pena AH, Goodall EA, Gates SN, Lander GC & Martin A Substrate-engaged 26S proteasome structures reveal mechanisms for ATP-hydrolysis-driven translocation. *Science* 362(2018).
42. Ho CM et al. Malaria parasite translocon structure and mechanism of effector export. *Nature* 561, 70–75 (2018). [PubMed: 30150771]
43. Puchades C et al. Structure of the mitochondrial inner membrane AAA+ protease YME1 gives insight into substrate processing. *Science* 358(2017).
44. Hoskins JR, Singh SK, Maurizi MR & Wickner S Protein binding and unfolding by the chaperone ClpA and degradation by the protease ClpAP. *Proc Natl Acad Sci U S A* 97, 8892–7 (2000). [PubMed: 10922051]
45. Hoskins JR & Wickner S Two peptide sequences can function cooperatively to facilitate binding and unfolding by ClpA and degradation by ClpAP. *Proc Natl Acad Sci U S A* 103, 909–14 (2006). [PubMed: 16410355]
46. Miller JM & Lucius AL ATPgammaS competes with ATP for binding at Domain 1 but not Domain 2 during ClpA catalyzed polypeptide translocation. *Biophys Chem* 185, 58–69 (2014). [PubMed: 24362308]
47. Martin A, Baker TA & Sauer RT Distinct static and dynamic interactions control ATPase-peptidase communication in a AAA+ protease. *Mol Cell* 27, 41–52 (2007). [PubMed: 17612489]
48. Bewley MC, Graziano V, Griffin K & Flanagan JM The asymmetry in the mature amino-terminus of ClpP facilitates a local symmetry match in ClpAP and ClpXP complexes. *J Struct Biol* 153, 113–28 (2006). [PubMed: 16406682]
49. Hinnerwisch J, Fenton WA, Furtak KJ, Farr GW & Horwich AL Loops in the central channel of ClpA chaperone mediate protein binding, unfolding, and translocation. *Cell* 121, 1029–41 (2005). [PubMed: 15989953]
50. Martin A, Baker TA & Sauer RT Diverse pore loops of the AAA+ ClpX machine mediate unassisted and adaptor-dependent recognition of ssrA-tagged substrates. *Mol Cell* 29, 441–50 (2008). [PubMed: 18313382]
51. Kress W, Mutschler H & Weber-Ban E Both ATPase domains of ClpA are critical for processing of stable protein structures. *J Biol Chem* 284, 31441–52 (2009). [PubMed: 19726681]
52. Mogk A et al. Roles of individual domains and conserved motifs of the AAA+ chaperone ClpB in oligomerization, ATP hydrolysis, and chaperone activity. *J Biol Chem* 278, 17615–24 (2003). [PubMed: 12624113]
53. Fernandez-Higuero JA et al. Allosteric communication between the nucleotide binding domains of caseinolytic peptidase B. *J Biol Chem* 286, 25547–55 (2011). [PubMed: 21642426]
54. Olivares AO, Nager AR, Iosefson O, Sauer RT & Baker TA Mechanochemical basis of protein degradation by a double-ring AAA+ machine. *Nat Struct Mol Biol* 21, 871–5 (2014). [PubMed: 25195048]
55. Aubin-Tam ME, Olivares AO, Sauer RT, Baker TA & Lang MJ Single-molecule protein unfolding and translocation by an ATP-fueled proteolytic machine. *Cell* 145, 257–67 (2011). [PubMed: 21496645]
56. Miller JM, Lin J, Li T & Lucius AL E coli ClpA catalyzed polypeptide translocation is allosterically controlled by the protease ClpP. *J Mol Biol* 425, 2795–812 (2013). [PubMed: 23639359]
57. Avellaneda MJ et al. Processive extrusion of polypeptide loops by a Hsp100 disaggregase. *Nature* (2020).
58. Lee C, Schwartz MP, Prakash S, Iwakura M & Matouschek A ATP-dependent proteases degrade their substrates by processively unraveling them from the degradation signal. *Mol Cell* 7, 627–37 (2001). [PubMed: 11463387]
59. Olivares AO, Kotamarthi HC, Stein BJ, Sauer RT & Baker TA Effect of directional pulling on mechanical protein degradation by ATP-dependent proteolytic machines. *Proc Natl Acad Sci U S A* (2017).

60. Cordova JC et al. Stochastic but highly coordinated protein unfolding and translocation by the ClpXP proteolytic machine. *Cell* 158, 647–58 (2014). [PubMed: 25083874]
61. Ripstein ZA, Vahidi S, Houry WA, Rubinstein JL & Kay LE A processive rotary mechanism couples substrate unfolding and proteolysis in the ClpXP degradation machinery. *Elife* 9(2020).
62. Rajendar B & Lucius AL Molecular mechanism of polypeptide translocation catalyzed by the *Escherichia coli* ClpA protein translocase. *J Mol Biol* 399, 665–79 (2010). [PubMed: 20380838]
63. Rabl J et al. Mechanism of gate opening in the 20S proteasome by the proteasomal ATPases. *Mol Cell* 30, 360–8 (2008). [PubMed: 18471981]
64. Smith DM et al. Docking of the proteasomal ATPases' carboxyl termini in the 20S proteasome's alpha ring opens the gate for substrate entry. *Mol Cell* 27, 731–44 (2007). [PubMed: 17803938]
65. Dong Y et al. Cryo-EM structures and dynamics of substrate-engaged human 26S proteasome. *Nature* 565, 49–55 (2019). [PubMed: 30479383]
66. Majumder P et al. Cryo-EM structures of the archaeal PAN-proteasome reveal an around-the-ring ATPase cycle. *Proc Natl Acad Sci U S A* 116, 534–539 (2019). [PubMed: 30559193]

## Methods References

1. Miller JM, Lin J, Li T & Lucius ALE coli ClpA catalyzed polypeptide translocation is allosterically controlled by the protease ClpP. *J Mol Biol* 425, 2795–812 (2013). [PubMed: 23639359]
2. Veronese PK, Stafford RP & Lucius AL The *Escherichia coli* ClpA molecular chaperone self-assembles into tetramers. *Biochemistry* 48, 9221–33 (2009). [PubMed: 19650643]
3. Punjani A, Rubinstein JL, Fleet DJ & Brubaker MA cryoSPARC: algorithms for rapid unsupervised cryo-EM structure determination. *Nat Methods* 14, 290–296 (2017). [PubMed: 28165473]
4. Deville C et al. Structural pathway of regulated substrate transfer and threading through an Hsp100 disaggregase. *Sci Adv* 3, e1701726 (2017). [PubMed: 28798962]
5. Waterhouse A et al. SWISS-MODEL: homology modelling of protein structures and complexes. *Nucleic Acids Res* 46, W296–W303 (2018). [PubMed: 29788355]
6. Bewley MC, Graziano V, Griffin K & Flanagan JM The asymmetry in the mature amino-terminus of ClpP facilitates a local symmetry match in ClpAP and ClpXP complexes. *J Struct Biol* 153, 113–28 (2006). [PubMed: 16406682]
7. Pettersen EF et al. UCSF Chimera--a visualization system for exploratory research and analysis. *J Comput Chem* 25, 1605–12 (2004). [PubMed: 15264254]
8. Afonine PV et al. Real-space refinement in PHENIX for cryo-EM and crystallography. *Acta Crystallogr D Struct Biol* 74, 531–544 (2018). [PubMed: 29872004]
9. Emsley P, Lohkamp B, Scott WG & Cowtan K Features and development of Coot. *Acta Crystallogr D Biol Crystallogr* 66, 486–501 (2010). [PubMed: 20383002]
10. Moriarty NW, Grosse-Kunstleve RW & Adams PD electronic Ligand Builder and Optimization Workbench (eLBOW): a tool for ligand coordinate and restraint generation. *Acta Crystallogr D Biol Crystallogr* 65, 1074–80 (2009). [PubMed: 19770504]
11. DiMaio F et al. Atomic-accuracy models from 4.5-Å cryo-electron microscopy data with density-guided iterative local refinement. *Nat Methods* 12, 361–365 (2015). [PubMed: 25707030]
12. Song Y et al. High-resolution comparative modeling with RosettaCM. *Structure* 21, 1735–42 (2013). [PubMed: 24035711]
13. Xia D, Esser L, Singh SK, Guo F & Maurizi MR Crystallographic investigation of peptide binding sites in the N-domain of the ClpA chaperone. *J Struct Biol* 146, 166–79 (2004). [PubMed: 15037248]
14. Gates SN et al. Ratchet-like polypeptide translocation mechanism of the AAA+ disaggregase Hsp104. *Science* 357, 273–279 (2017). [PubMed: 28619716]
15. Ho CM et al. Malaria parasite translocon structure and mechanism of effector export. *Nature* 561, 70–75 (2018). [PubMed: 30150771]
16. Zimmermann L et al. A Completely Reimplemented MPI Bioinformatics Toolkit with a New HHpred Server at its Core. *J Mol Biol* 430, 2237–2243 (2018). [PubMed: 29258817]



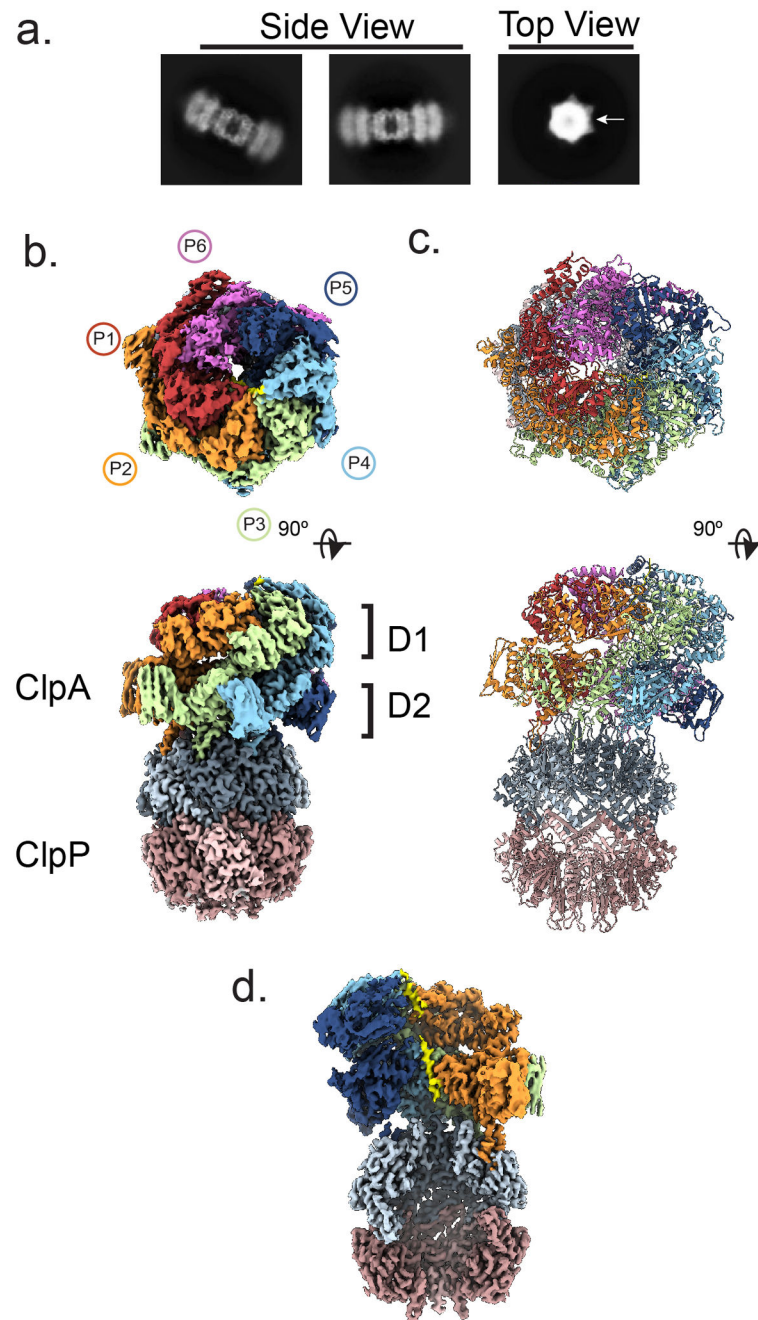
17. Frenz B, Walls AC, Egelman EH, Veesler D & DiMaio F RosettaES: a sampling strategy enabling automated interpretation of difficult cryo-EM maps. *Nat Methods* 14, 797–800 (2017). [PubMed: 28628127]

Author Manuscript

Author Manuscript

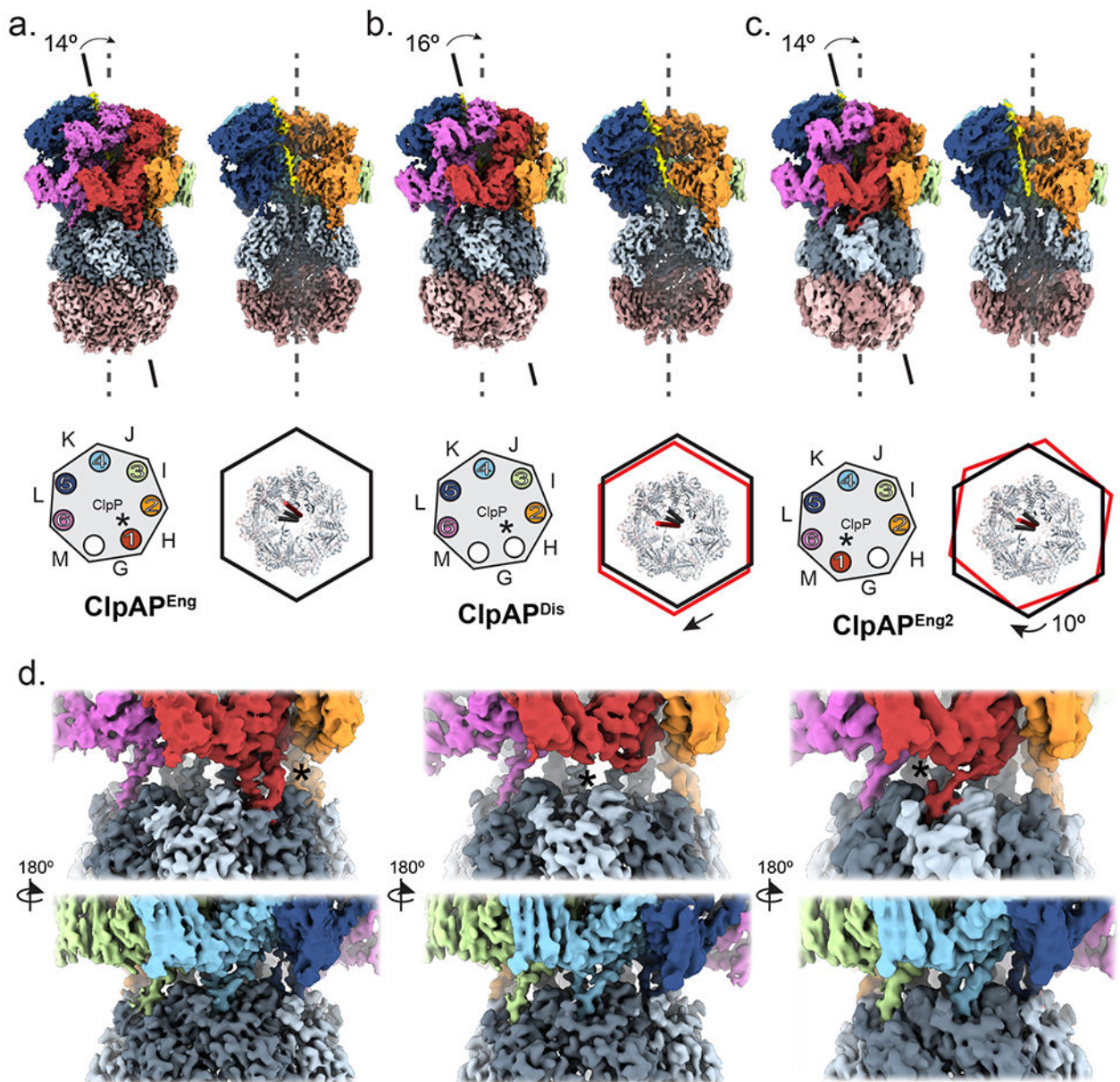
Author Manuscript

Author Manuscript



**Fig. 1: Architecture of the substrate-bound ClpAP complex**

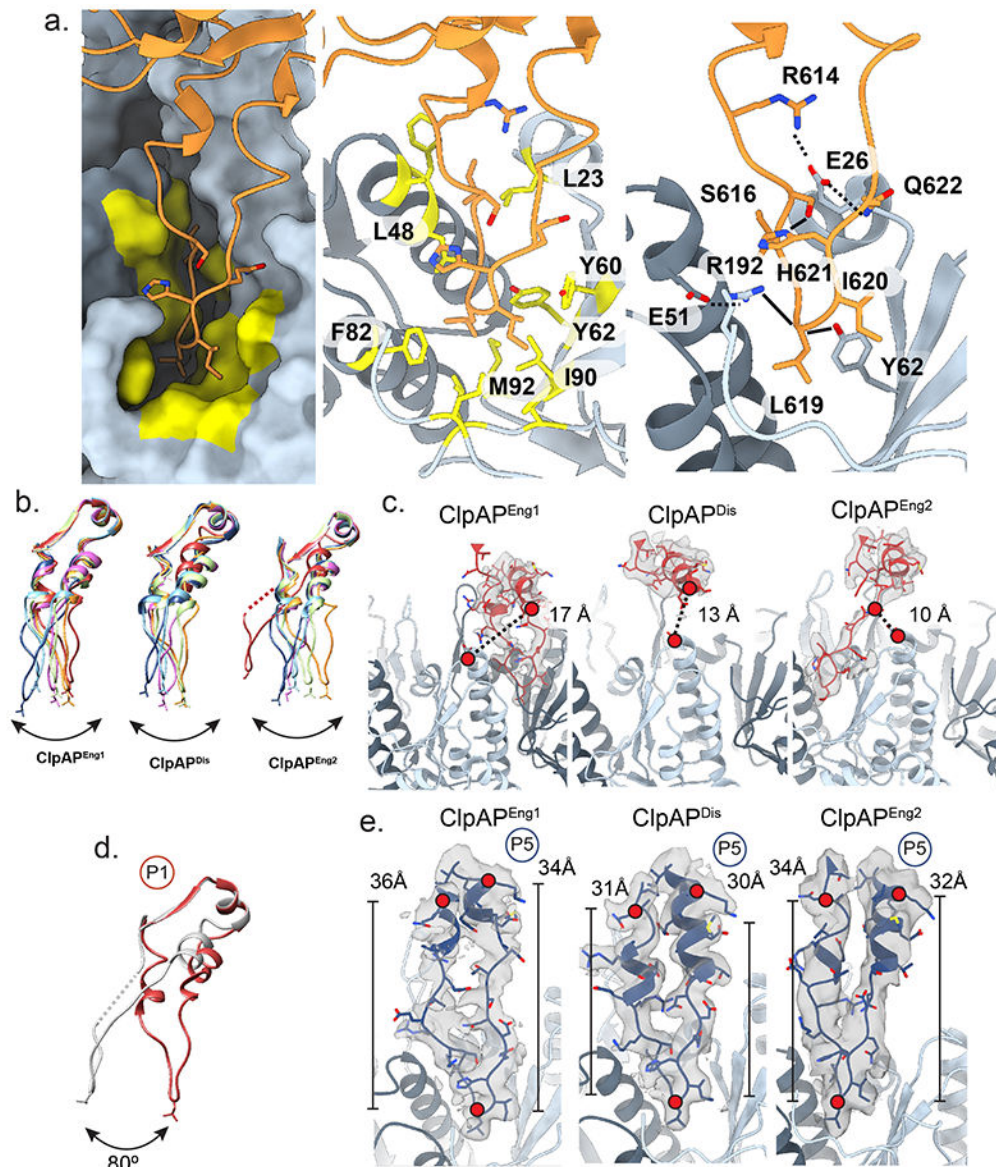
**a**, Side and top view 2D class averages of double-capped ClpAP. Rings corresponding to ClpA (arrow) and ClpP rings identified in top views. **b**, Top and side views of the final map and **c**, model of ClpAP<sup>Eng</sup>. ClpA is colored by individual protomer, as indicated. **d**, Channel view showing substrate peptide bound to ClpA (yellow).



**Fig. 2: Three distinct structures of ClpAP showing IGL loop rearrangement**

**a**, ClpAP<sup>Eng-1</sup>, **b**, ClpAP<sup>Dis</sup>, and **c**, ClpAP<sup>Eng-2</sup> cryo-EM maps showing degree offset (arrow) of the ClpA channel axis (solid line) and substrate position (yellow density) compared to the ClpP pore and proteolytic chamber (dashed line). Schematic (lower left) shows occupancy of the ClpA IGL-loops (circles, colored and numbered by protomer) around the ClpA hexamer, with the empty IGL pockets (white circles) and ClpA protomers indicated (letters) for the different states. Schematic (lower right) shows top view of ClpP with ClpA as a hexagon overlay (red: current state, black: previous state), and colored cylinders indicating substrate positions (red: current state). **d**, Cryo-EM density of the ClpA-P interface showing IGL loop interaction with ClpP in ClpAP<sup>Eng-1</sup> (left), ClpAP<sup>Dis</sup> (center), and ClpAP<sup>Eng-2</sup> (right).





**Fig. 3: IGL loop interactions and conformational flexibility**

**a**, Representative view of a bound IGL loop (orange, ribbon view) positioned in the binding pocket of ClpP shown in surface view with hydrophobic residues colored in yellow (left), and shown in ribbon views with hydrophobic interactions (middle) and electrostatic contacts (right) labeled. **b**, Overlay of IGL loops (colored by protomer) of ClpAP<sup>Eng-1</sup> (left), ClpAP<sup>Dis</sup> (middle) and ClpAP<sup>Eng-2</sup> (right). IGL loops are aligned to connecting residues 638-649. Dotted line represents missing residues not present in the density. **c**, Map and model showing P1 IGL-loop density extends into the IGL pocket for ClpAP<sup>Eng-1</sup> (left) and ClpAP<sup>Eng-2</sup> (right) but is disengaged for ClpAP<sup>Dis</sup> (middle), contacting the adjacent apical ClpP surface (right). The distances between ClpP E67 and ClpA-P1 S625 in the three states are shown to indicate the shift in the position of the P1 IGL loop relative to ClpP. **d**, Overlay of IGL loops of P1 for ClpAP<sup>Eng-1</sup> (red) and ClpAP<sup>Eng-2</sup> (grey). **e**, Map and model of the P5 IGL-loop for ClpAP<sup>Eng-1</sup> (left), ClpAP<sup>Dis</sup> (middle) and ClpAP<sup>Eng-2</sup> (right) showing

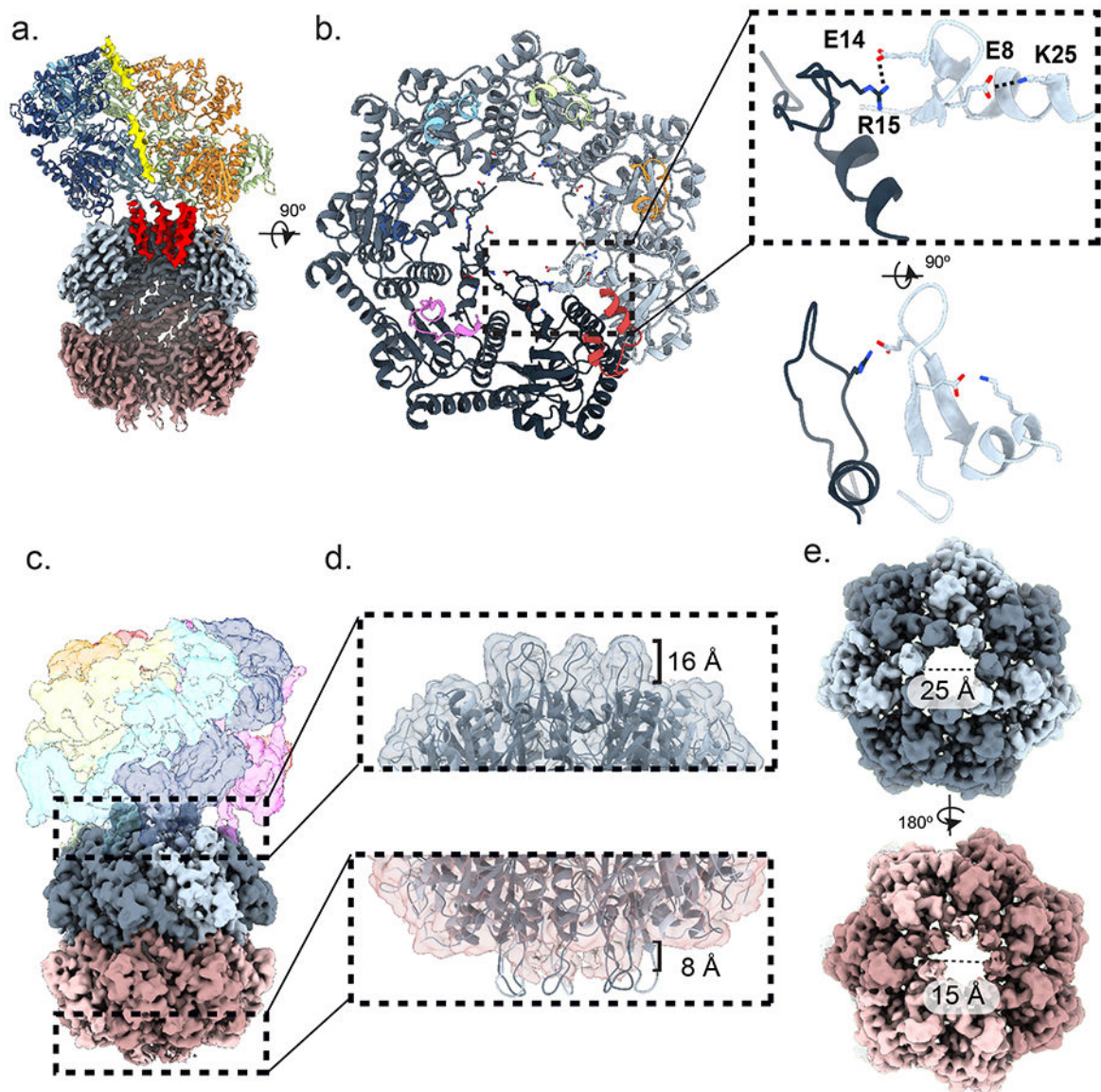
extended and compact conformations, respectively, based on distance measurements between loop residues 605-619 and 633-619 (red dots).

Author Manuscript

Author Manuscript

Author Manuscript

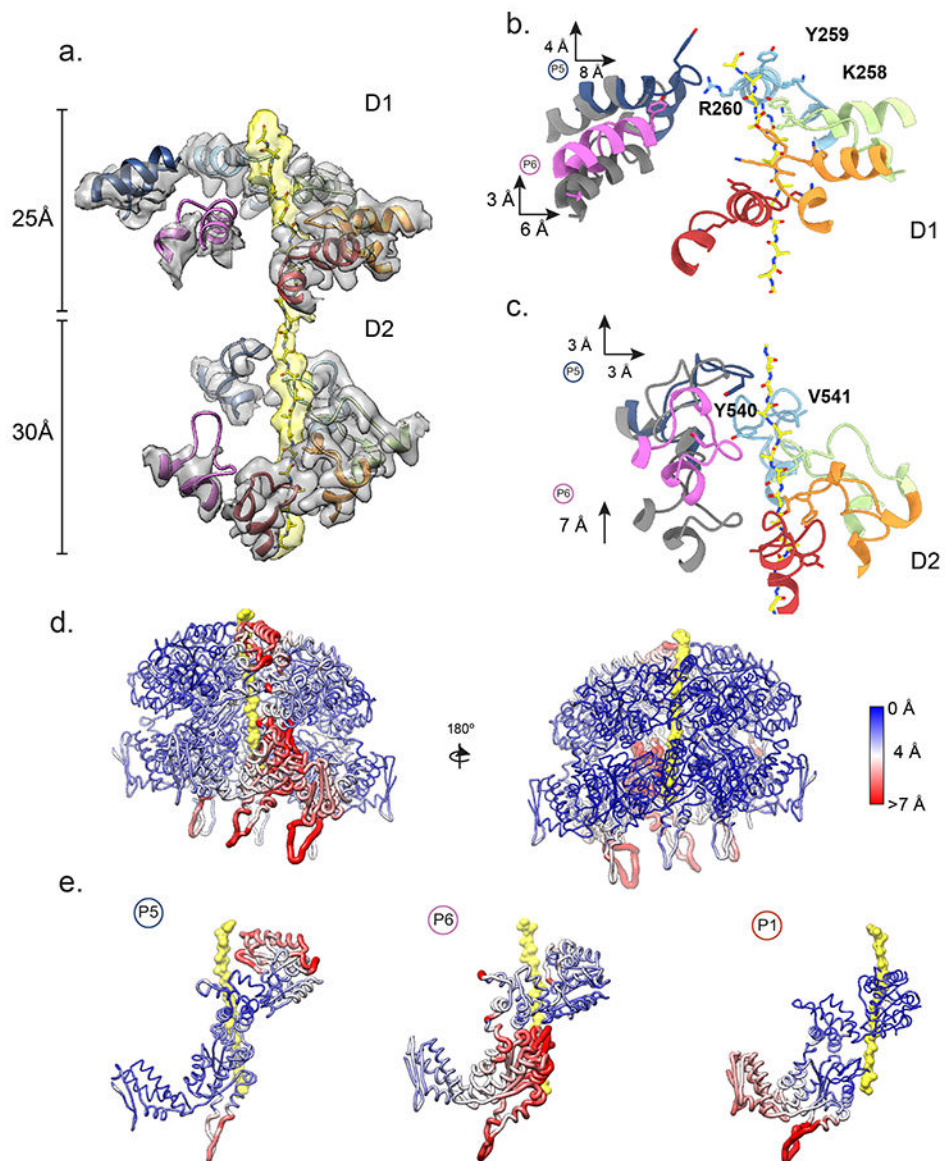
Author Manuscript



**Fig. 4: Structure of ClpP and NT gating loops**

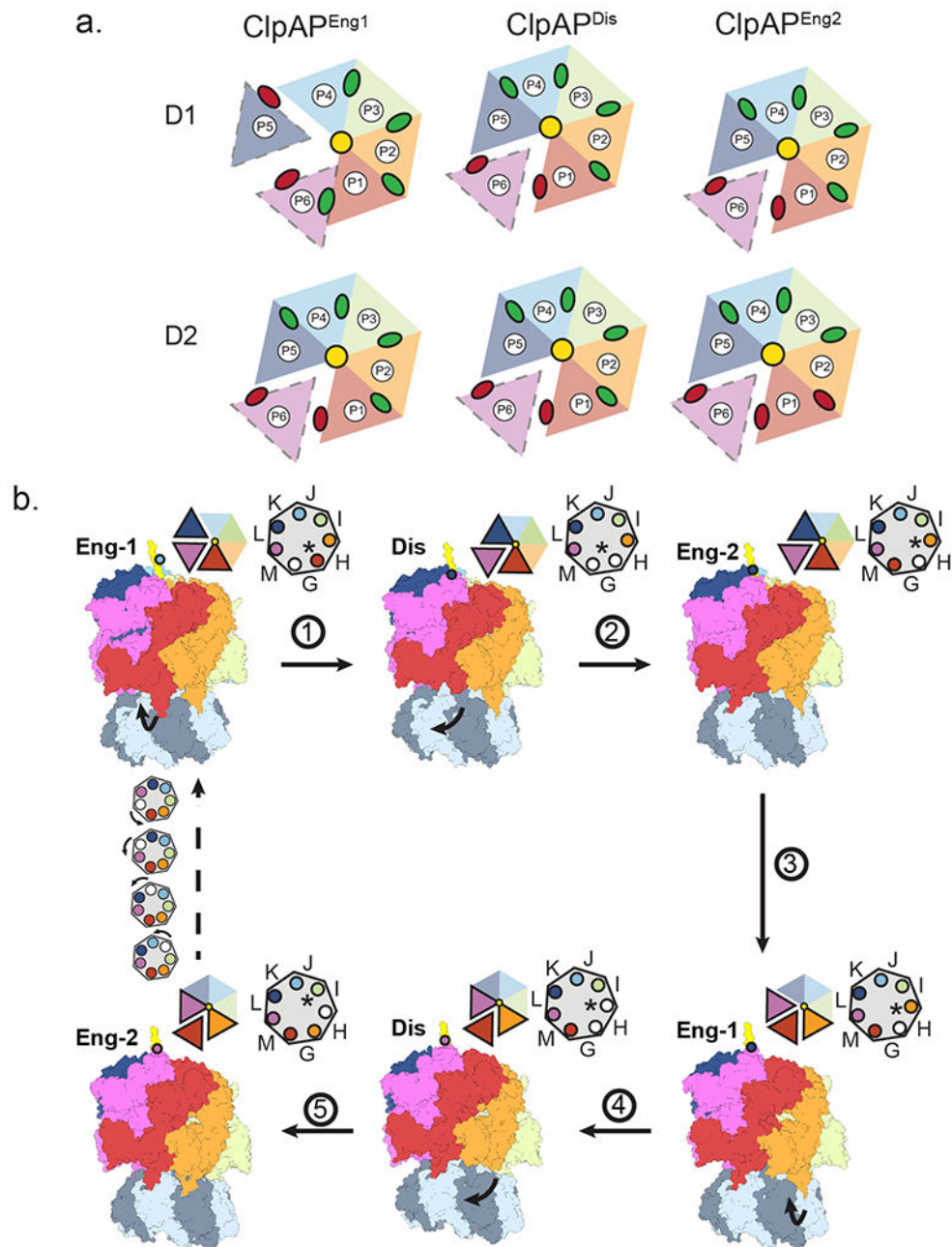
**a**, Channel view of ClpAP highlighting the ClpP NT gating loops (red) relative to substrate density (yellow). **b**, (left) top view of ClpP NT loops with ClpA IGL loops (colored by protomer). **c**, Expanded view of an NT loop pair with cis (E8-K25) and trans (R15-E14) salt-bridge contacts. **d**, Side-view map of single-capped ClpAP complex. **e**, Expanded views of the ClpP pore for the ClpA-bound, and -unbound surfaces showing open- and closed-gate conformations, respectively. The open-gate conformation was modeled into both sites to show differences compared to the closed-gate density. **f**, Top views showing ClpP pore diameter for the (top) open- and (bottom) closed-gate conformations.





**Fig. 5: ClpA pore loop-substrate contacts and translocation states**

**a**, Segmented map and model of the substrate-bound P1-P6 pore loops, colored by protomer, with substrate (yellow) for ClpAP<sup>Eng-1</sup>. Distances shown indicating length of substrate interactions for the the D1 and D2. Model of the **b**, D1 and **c**, D2 pore loops and substrate for ClpAP<sup>Eng-2</sup> (colored by protomer) and overlaid with ClpAP<sup>Eng-1</sup> (grey). Substrate-contacting residues are indicated and shifts in the position of the pore loops protomers, P5 and P6 between states are shown. **d**, ClpAP<sup>Eng-2</sup> model is displayed showing alpha carbon RMSD between the three states, determined by alignment to protomer P3. Large changes >7 Å are indicated in red with wider tubes, intermediate changes (~6.0 Å) are colored in white, and small/no changes are colored in blue. **e**, Individual seam protomers shown with alpha carbon rmsd mapped as in **d** for P5(left), P6 (middle), and P1(right).



**Fig. 6: Nucleotide states and ClpA rotation model for processive unfolding and proteolysis.**  
**a,** Schematic showing nucleotide states and substrate contact for the D1 and D2 of ClpAP<sup>Eng-1</sup> (left), ClpAP<sup>Dis</sup> (middle), and ClpAP<sup>Eng-2</sup> (right) determined based on difference maps (Extended Data Fig. 7). Protomer nucleotide states are denoted by colored circles (green for ATP and red for ADP). **b,** Model for ClpAP processive substrate translocation cycle. Two translocation steps are depicted and coupled to IGL-loop disengagement (step 1 and 4) and engagement to the next clockwise IGL pocket on ClpP (step 2 and 5), indicated by arrows. Top view schematics show rotary cycle of substrate

binding by ClpA (left) and occupancy ClpP IGL pockets (right). The protomer at the lowest substrate contact site, which releases the IGL loop is indicated (\*).

Author Manuscript

Author Manuscript

Author Manuscript

Author Manuscript

**Table 1**Cryo-EM data collection, refinement and validation statistics of ClpAP<sup>Eng-1</sup>, ClpAP<sup>Dis</sup>, and ClpAP<sup>Eng-2</sup>

	ClpAP <sup>Eng1</sup>	ClpAP <sup>Dis</sup>	ClpAP <sup>Eng2</sup>	Focus ClpAP <sup>Eng1</sup>	Focus ClpAP <sup>Dis</sup>	Focus ClpAP <sup>Eng2</sup>
	EMDB 21519 PDB 6W1Z	EMDB 21520 PDB 6W20	EMDB 21521 PDB 6W21	EMDB 21522 PDB 6W22	EMDB 21523 PDB 6W23	EMDB 21524 PDB 6W24
<b>Data collection and processing</b>						
Microscope	Titan Krios					
Camera	K3					
Magnification	58,600					
Voltage (kV)	300					
Data acquisition software	Serial EM					
Exposure navigation	Image Shift					
Electron exposure (e-/Å <sup>2</sup> )	68					
Defocus range (µm)	.8-1.2					
Pixel size (Å)	.853					
Symmetry imposed	C1	C1	C1	C1	C1	C1
Initial particle images (no.)	739,000	739,000	739,000	739,000	739,000	739,000
Final particle images (no.)	176,232	57,848	39,177	176,232	57,848	39,177
Map resolution (Å)	2.7	3.0	3.2	3.0	3.1	3.4
FSC threshold	.143	.143	.143	.143	.143	.143
Map resolution range (Å)						
<b>Refinement</b>						
Model resolution (Å)	3.0	3.1	3.2	2.8	3.0	3.3
FSC threshold	.143	.143	.143	.143	.143	.143
Map sharpening <i>B</i> factor (Å <sup>2</sup> )	-73.0	-68.5	-60.8	-80.3	-65.6	-55.8
<b>Model composition</b>						
Non-hydrogen atom	48,556	48,252	48,346	27,542	27,238	27,332
Protein residues	6,180	6,134	6,147	3,492	3,446	3,459
Ligands	12	12	12	12	12	12
<b><i>B</i> factors (Å<sup>2</sup>)</b>						
Protein	33.4	125.4	177.4	0.5	153.0	210.8
Ligand	20.0	151.4	210.6	20.0	151.4	210.6
<b>R.m.s. deviations</b>						
Bond lengths (Å)	.03	.01	.011	.026	.014	.013
Bond angles (°)	1.76	.60	0.58	1.81	.95	0.61
<b>Validation</b>						
MolProbity score	1.72	1.96	1.78	1.62	2.26	1.9
Clashscore	9.43	13.39	13.92	8.42	15.9	14.4
Poor rotamers (%)	.90	0.20	.10	0.55	.03	.1



**Table 2**Cryo-EM data collection, refinement and validation statistics of ATP $\gamma$ S-ClpAP

	<b>Disengaged State</b>	<b>Engaged State</b>
	<b>EMDB 20845 PDB 6UQE</b>	<b>EMDB 20851 PDB 6UQO</b>
<b>Data collection and processing</b>		
Microscope	Titan Krios	
Camera	K3	
Magnification	58,600	
Voltage (kV)	300	
Data acquisition software	SerialEM	
Exposure navigation	Image Shift	
Electron exposure (e-/Å <sup>2</sup> )	68	
Defocus range (μm)	1.2-2	
Pixel size (Å)	.853	
Symmetry imposed	C1	C1
Initial particle images (no.)	1,800,000	1,800,000
Final particle images (no.)	314,000	169,000
Map resolution (Å)	3.0	3.1
FSC threshold	.143	.143
Map resolution range (Å)		
<b>Refinement</b>		
Model resolution (Å)	3.1	3.1
FSC threshold	.143	.143
Model resolution range (Å)		
Map sharpening <i>B</i> factor (Å <sup>2</sup> )		
Model composition	-112.9	-103.2
Non-hydrogen atom	48,402	48,522
Protein residues	6,161	6,174
Ligands	12	12
<i>B</i> factors (Å <sup>2</sup> )		
Protein	33.7	33.4
Ligand	44.1	20.0
R.m.s. deviations		
Bond lengths (Å)	.025	.026
Bond angles (°)	1.82	1.84
Validation		
MolProbity score	1.37	1.25
Clashscore	3.52	2.58
Poor rotamers (%)	.37	.08