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# Title

Genome-wide meta-analysis of problematic alcohol use in 435,563 individuals yields insights into biology and relationships with other traits

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#### 55 Abstract

Problematic alcohol use (PAU) is a leading cause of death and disability worldwide. Although 56 57 genome-wide association studies (GWASs) have identified PAU risk genes, the genetic architecture of this trait is not fully understood. We conducted a proxy-phenotype meta-analysis 58 59 of PAU combining alcohol use disorder and problematic drinking in 435,563 European-ancestry individuals. We identified 29 independent risk variants, 19 of them novel. PAU was genetically 60 61 correlated with 138 phenotypes, including substance use and psychiatric traits. Phenome-wide polygenic risk score analysis in an independent biobank sample (BioVU, *n*=67,589) confirmed 62 63 the genetic correlations between PAU and substance use and psychiatric disorders. Genetic heritability of PAU was enriched in brain and in conserved and regulatory genomic regions. 64 Mendelian randomization suggested causal effects on liability to PAU of substance use, 65 66 psychiatric status, risk-taking behavior, and cognitive performance. In summary, this large PAU 67 meta-analysis identified novel risk loci and revealed genetic relationships with numerous other 68 traits.

#### 69 Introduction

Alcohol use and alcohol use disorder (AUD) are leading causes of death and disability 70 worldwide [1]. Genome-wide association studies (GWAS) of AUD and problematic drinking 71 72 measured by different assessments have identified potential risk genes primarily in European 73 populations [2-5]. Quantity-frequency measures of drinking, for example the Alcohol Use 74 Disorders Identification Test-Consumption (AUDIT-C), which sometimes reflect alcohol 75 consumption in the normal range, differ genetically from AUD and measures of problematic drinking (e.g., the Alcohol Use Disorders Identification Test-Problems [AUDIT-P]), and show a 76 divergent set of genetic correlations [3, 4]. The estimated SNP-based heritability ( $h^2$ ) of AUD 77 ranges from 5.6% to 10.0% [2-5]. To date, more than 10 risk variants have been significantly 78 associated with AUD and AUDIT-P ( $p < 5 \times 10^{-8}$ ). Variants that have been mapped to several 79 80 risk genes in multiple studies include ADH1B (Alcohol Dehydrogenase 1B (class I), Beta 81 Polypeptide), ADH1C (Alcohol Dehydrogenase 1C (class I), Gamma Polypeptide), ALDH2 (Aldehyde Dehydrogenase 2 Family Member, only in some Asian samples), SLC39A8 (Solute 82 Carrier Family 39 Member 8), GCKR (Glucokinase Regulator), and CRHR1 (Corticotropin 83 Releasing Hormone Receptor 1). In the context of the known extensive polygenicity underlying 84 85 AUD and AUDIT-P, we anticipate that additional significant risk loci can be identified by increasing sample size; this is the pattern for GWAS of heterogenous complex traits in general 86 also. We characterize both AUD itself and AUDIT-P, as "problematic alcohol use" (PAU). To 87 identify additional risk variants and enhance our understanding of the genetic architecture of 88 89 PAU, we conducted genome-wide meta-analysis of AUD and AUDIT-P in 435,563 individuals of 90 European ancestry. Our understanding of the genetic architecture of PAU in African populations lags far behind that in Europeans; the largest sample of African ancestry individuals published 91 92 so far is 56,648 in the Million Veteran Program (MVP) [3] and results have not moved beyond a 93 single genomic region that includes ADH1B. We limited the focus here to European samples

94 because we could not achieve a substantial increment in African-ancestry subjects over95 previous studies.

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#### 98 Results

Figure 1 provides an overview of the meta-analysis of the 4 major datasets. The first is 99 100 the GWAS of AUD in European Americans (EA) from MVP [6] (herein designated "MVP phase1"), comprised of 202,004 individuals phenotyped for AUD ( $n_{case} = 34,658, n_{control} =$ 101 102 167,346,  $n_{\text{effective}} = 114,847$ ) using International Classification of Diseases (ICD) codes [3]. The second, MVP Phase2, included an additional 65,387 EA individuals from MVP ( $n_{case} = 11,337$ , 103  $n_{\text{control}}$  = 54,050,  $n_{\text{effective}}$  = 37,485) not previously analyzed. The third dataset is a GWAS of 104 DSM-IV alcohol dependence (AD) from the Psychiatric Genomics Consortium (PGC), which 105 included 46,568 European participants ( $n_{case} = 11,569, n_{control} = 34,999, n_{effective} = 26,853$ ) [2]. 106 The fourth dataset is a GWAS of Alcohol Use Disorders Identification Test-Problems (AUDIT-P; 107 108 a measure of problematic drinking) scores from a UK Biobank sample (UKB) [7] that included 121,604 European participants [4]. 109

110 The genetic correlation ( $r_0$ ) between MVP phase1 AUD and PGC AD was 0.965 (se = 0.15, p =  $1.21 \times 10^{-10}$  [3]. The  $r_a$  between the entire MVP (meta-analysis of phase1 and phase2) 111 and PGC was 0.98 (se = 0.11,  $p = 1.99 \times 10^{-19}$ ), justifying the meta-analysis of AUD across the 112 three datasets ( $n_{\text{effective}} = 179,185$ ). We detected 24 risk variants in 23 loci in this intermediary 113 meta-analysis (Figure 2a, Supplementary Table 1). The rg between UKB AUDIT-P and AUD 114 (MVP+PGC) was 0.71 (se = 0.05, p =  $8.15 \times 10^{-52}$ ), and the polygenic risk score (PRS) of AUD 115 was associated with AUDIT-P in UKB (best p-value threshold  $PT_{best} = 0.001$ ,  $R^2 = 0.25\%$ , p = 116 3.28 × 10<sup>-41</sup>, Supplementary Table 2, Supplementary Figure 1), justifying the proxy-phenotype 117

meta-analysis of problematic alcohol use (PAU) across all four datasets. (AUD and AUDIT-P, though highly correlated genetically, are not identical traits). The total sample size was 435,563 in the discovery analysis ( $n_{\text{effective}} = 300,789$ ).

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### 122 Association results for PAU

123 Of 42 lead variants (mapping to 27 loci, Figure 2b, and Supplementary Table 3) that were genome-wide significant (GWS) for PAU, 29 were independently associated after 124 125 conditioning on lead SNPs in the regions (see below and Table 1). Ten variants were previously identified through the same index SNPs or tagged SNPs, located in or near the following genes: 126 127 GCKR, SIX3, KLB, ADH1B, ADH1C, SLC39A8, DRD2, and FTO [2-5]. Thus, 19 variants reported here are novel, of which 11 were located in gene regions, including PDE4B 128 129 (Phosphodiesterase 4B), THSD7B (Thrombospondin Type 1 Domain Containing 7B), CADM2 130 (Cell Adhesion Molecule 2), ADH1B (different from the locus identified previously), DPP6 131 (Dipeptidyl Peptidase Like 6), SLC39A13 (Solute Carrier Family 39 Member 13), TMX2 (Thioredoxin Related Transmembrane Protein 2), ARID4A (AT-Rich Interaction Domain 4A), 132 C14orf2 (Chromosome 14 Open Reading Frame 2), TNRC6A (Trinucleotide Repeat Containing 133 Adaptor 6A), and FUT2 (Fucosyltransferase 2). A novel rare ADH1B variant, rs75967634 (p = 134  $1.07 \times 10^{-9}$ , with a minor allele frequency of 0.003), which causes a substitution of histidine for 135 arginine, is in the same codon as rs2066702 (a well-known variant associated with AUD in 136 137 African populations [3, 8], but not polymorphic in European populations). This association is independent of rs1229984 in ADH1B and rs13125415 (a tag SNP of rs1612735 in MVP phase1 138 139 [3]) in ADH1C. The identification of rs75967634 demonstrates the present study's greater power to detect risk variants in this region, beyond the frequently reported ADH1B\*rs1229984. 140

141

Moderate genetic correlation between AUD and alcohol consumption and pervasive

142 pleiotropic effects of SNPs were demonstrated previously [2-4]. Some of the novel variants (10 143 of 19) identified in this study were also associated with other alcohol-related traits, including AUDIT-C score [3], total AUDIT score [4], and drinks per week (DrnkWk) from the GSCAN 144 (GWAS & Sequencing Consortium of Alcohol and Nicotine use) study [9] (described below and 145 146 in Supplementary Table 3). Rs1402398, close to VRK2, was associated with AUDIT-C score (tagged by rs2683616) [3]; rs492602 in FUT2 was associated with DrnkWk [9] and total AUDIT 147 score [4]; and rs6421482, rs62250713, rs2533200, rs10717830, rs1783835, rs12296477, 148 rs61974485, and rs72768626 were associated with DrnkWk directly or through tag SNPs in high 149 linkage disequilibrium (LD) [9]. Analysis conditioned on DrnkWk shows that 11 of the 29 150 independent variants were independently associated with PAU (i.e., not mediated by DrnkWk) 151 (Supplementary Table 3). 152

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154 Gene-based association analysis identified 66 genes that were associated with PAU at GWS ( $p < 2.64 \times 10^{-6}$ , Supplementary Table 4). *DRD2*, which has been extensively studied in 155 156 many fields of neuroscience, was among these genes and was previously reported in both UKB [4] and MVP phase1 [3]. Among the 66 genes, 46 are novel, including ADH4 (Alcohol 157 Dehydrogenase 4 (class II), Pi polypeptide), ADH5 (Alcohol Dehydrogenase 5 (class III), Chi 158 159 Polypeptide), and ADH7 (Alcohol Dehydrogenase 7 (class IV), Mu or Sigma Polypeptide), 160 extending alcohol metabolizing gene associations beyond the well-known ADH1B and ADH1C; SYNGAP1 (Synaptic Ras GTPase Activating Protein 1), BDNF (Brain-Derived Neurotrophic 161 162 Factor), and others. Certain genes show associations with multiple traits including previous 163 associations with AUDIT-C (4 genes in MVP phase1, 12 genes in UKB), total AUDIT score (19 genes in UKB), and DrnkWk (46 genes in GSCAN, which includes results for DrnkWk after 164 MTAG (multi-trait analysis of GWAS) [10] analysis). 165

166

Examination of the 66 associated genes for known drug-gene interactions through the

Drug Gene Interaction Database v3.0.2 [11] showed 327 interactions between 16 genes and 325 drugs (Supplementary Table 5). Of these 16 genes with interactions, *DRD2* had the most drug interactions (n = 177), followed by *BDNF* (n = 68) and *PDE4B* (n = 36).

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## 171 SNP-based $h^2$ and partitioning heritability enrichment

We used LD Score Regression (LDSC) [12] to estimate SNP-based  $h^2$  in the different datasests and the meta-analyses (Figure 3). Because of the unbalanced case/control ratio, we used effective sample size instead of actual sample size in MVP (following the PGC AD GWAS [2]). The  $h^2$  of PAU (the meta result) was 0.068 (se = 0.004). The  $h^2$  of AUD in the MVP metaanalysis (phases 1 and 2) was 0.095 (se = 0.006) and 0.094 (se = 0.005) in the meta-analysis that combined MVP and PGC.

Partitioning heritability enrichment analyses using LDSC [13, 14] showed the most 178 significantly enriched cell type group to be central nervous system (CNS,  $p = 3.53 \times 10^{-9}$ ). 179 followed by adrenal and pancreas ( $p = 1.89 \times 10^{-3}$ ), and immune and hematopoietic ( $p = 3.82 \times 10^{-3}$ ) 180 10<sup>-3</sup>, Supplementary Figure 2). Significant enrichments were also observed in six baseline 181 annotations, including conserved regions, conserved regions with 500bp extended (ext), fetal 182 183 DHS (DNase I hypersensitive sites) ext, weak enhancers ext, histone mark H3K4me1 ext, and 184 TSS (transcription start site) ext (Supplementary Figure 3). We also investigated heritability 185 enrichments using Roadmap data, which contains six annotations (DHS, H3K27ac, H3K4me3, H3K4me1, H3K9ac, and H3K36me3) in a subset of 88 primary cell types and tissues [14, 15]. 186 Significant enrichments were observed for H3K4me1 and DHS in fetal brain, and H3K4me3 in 187 fetal brain and in brain germinal matrix (Supplementary Table 6). Although no heritability 188 189 enrichment was observed in tissues using gene expression data from GTEx [16], the top 190 nominally enriched tissues were all in brain (Supplementary Figure 4).

191

### 192 Functional enrichments

MAGMA tissue expression analysis [17, 18] using GTEx showed significant enrichments in several brain tissues including cerebellum and cortex (Supplementary Figure 5). Although no enrichment was observed via MAGMA gene-set analysis using gene-based p-values of all protein-coding genes, the 152 genes prioritized by positional, expression quantitative trait loci (eQTL), and chromatin interaction mapping were enriched in several gene sets, including ethanol metabolic processes (Supplementary Table 7).

199

#### 200 Genetic correlations with other traits

201 We estimated the genetic correlations between PAU and 715 publicly available sets of GWAS summary statistics, which included 228 published sets and 487 unpublished sets from the UK 202 Biobank. After Bonferroni correction ( $p < 6.99 \times 10^{-5}$ ), 138 traits were significantly correlated 203 with PAU (Supplementary Table 8). Among the 26 published correlated traits, drinks per week 204 showed the highest correlation with PAU ( $r_q = 0.77$ , se = 0.02, p = 3.25 × 10<sup>-265</sup>), consistent with 205 the overall quantity of alcohol consumed being a key domain of PAU [5, 19]. Several smoking 206 207 traits and lifetime cannabis use were positively genetically correlated with PAU, consistent with the high comorbidity between alcohol and other substance use disorders in the general 208 population [20]. Among psychiatric disorders, major depressive disorder (MDD,  $r_{\rm q}$  = 0.39, se = 209 0.03,  $p = 1.43 \times 10^{-40}$ ) showed the highest genetic correlation with PAU, extending the evidence 210 for a shared genetic contribution to MDD and alcohol-related traits [21, 22]. PAU was positively 211 212 correlated with risk-taking behavior, insomnia, CYP2A6 activity, and other traits, and negatively 213 correlated with cognitive traits and parents' age at death. These findings are in line with the 214 known adverse medical, psychiatric, and social consequences of problem drinking (Figure 4).

215

### 216 Transcriptomic analyses

217 We used S-PrediXcan [23] to predict gene expression and the mediating effects of variation on 218 gene expression on PAU. Forty-eight tissues from GTEx [16] release v7 and whole blood 219 samples from the Depression Genes and Networks study (DGN) [24] were analyzed as 220 reference transcriptomes (Supplementary Table 9). After Bonferroni correction, 103 gene-tissue 221 associations were significant, representing 39 different genes, some of which were identified in multiple tissues (Supplementary Table 10). For example, C1QTNF4 (C1q and TNF Related 4) 222 223 was detected in 18 tissues, including brain, gastrointestinal, adipose, and liver. None of the four 224 significant alcohol dehydrogenase genes (ADH1A, ADH1B, ADH4, and ADH5) was associated with expression in brain tissue, but they were associated with expression in other tissues --225 226 adipose, thyroid, gastrointestinal and heart. These cross-tissue associations indicate that there 227 are widespread functional consequences of PAU-risk-associated genetic variation at the 228 expression level.

Although the sample size for tissues used for eQTL analysis limits our ability to detect 229 associations, there are substantial common eQTLs across tissues [16]. Integrating evidence 230 231 from multiple tissues can increase power to detect genes relative to the tissues tested 232 individually, at least for shared eQTLs. We applied S-MultiXcan [25] to the summary data for 233 PAU using all 48 GTEx tissues as reference transcriptomic data. The expression of 34 genes 234 was significantly associated with PAU, including ADH1B, ADH4, ADH5, C1QTNF4, GCKR, and DRD2 (Supplementary Table 11). Among the 34 genes, 27 overlapped with genes detected by 235 236 S-PrediXcan.

237

#### 238 PAU PRS for phenome-wide associations

239 We calculated PRS for PAU in 67,589 individuals of European descent from the Vanderbilt University Medical Center's biobank, BioVU. We conducted a phenome-wide association study 240 (PheWAS) of PRS for PAU adjusting for sex, age (calculated as the median age across an 241 individual's medical record), and the top 10 principal components of ancestry. We standardized 242 243 the PRS so that the odds ratios correspond to a standard deviation increase in the PRS. After Bonferroni correction, 31 of the 1,372 phenotypes tested were significantly associated with PAU 244 PRS, including alcohol-related disorders (OR = 1.46, se = 0.03, p =  $3.34 \times 10^{-40}$ ), alcoholism 245  $(OR = 1.33, se = 0.03, p = 3.85 \times 10^{-28})$ , tobacco use disorder (OR = 1.21, se = 0.01, p = 2.71 × 10^{-28}) 246 10<sup>-38</sup>), 6 respiratory conditions, and 17 additional psychiatric conditions (Figure 5, 247 Supplementary Table 12). 248

249

#### 250 **PAU PRS with AD in independent samples**

251 We tested the association between PAU PRS and alcohol dependence in 3 independent 252 samples: the iPSYCH group ( $n_{case} = 944$ ,  $n_{control} = 11,408$ ,  $n_{effective} = 3,487$ ); University College London (UCL) Psych Array ( $n_{case} = 1,698$ ,  $n_{control} = 1,228$ ,  $n_{effective} = 2,851$ ); and UCL Core 253 Exome Array ( $n_{case} = 637$ ,  $n_{control} = 9,189$ ,  $n_{effective} = 2,383$ ). The PAU PRSs were significantly 254 associated with AD in all three samples, with the most variance explained in the UCL Psych 255 Array sample, which includes the most alcohol dependence cases ( $PT_{hest} = 0.001$ ,  $R^2 = 2.12\%$ , 256  $p = 8.64 \times 10^{-14}$ ). In the iPSYCH group and UCL Core Exome Array samples, the maximal 257 variance explained was 1.61% ( $PT_{best} = 0.3$ ,  $p = 1.87 \times 10^{-22}$ ), and 0.77% ( $PT_{best} = 5 \times 10^{-8}$ ,  $p = 1.87 \times 10^{-22}$ ) 258  $1.65 \times 10^{-7}$ ), respectively (Supplementary Table 13). 259

260

### 261 Mendelian Randomization

262 We tested the bi-directional causal effects between other traits and AUD (MVP+PGC), rather

263 than PAU; the UKB AUDIT-P GWAS sample was excluded to minimize overlap with other 264 GWAS for putative exposures. (When we refer to exposure having causal effect on outcome, 265 this should be understood to mean susceptibility or liability to exposure having causal effect on susceptibility or liability to outcome.) We limited the exposures to those genetically correlated 266 267 with PAU, and which yielded >10 available instruments to have a robust causal estimate. Among the 15 tested exposures on AUD, seven showed evidence of a causal effect on liability 268 269 to AUD (Table 2). DrnkWk and ever smoked regularly have a positive causal effect on AUD risk 270 by all four methods, without violating MR assumptions through horizontal pleiotropy (MR-Egger intercept p > 0.05). General risk tolerance was causally related to AUD risk, and the estimate 271 was robust after correction for horizontal pleiotropy. The "worry" sub-cluster of neuroticism and 272 number of sexual partners show evidence of positive causal effects on liability to AUD with at 273 274 least one method, while cognitive performance and educational attainment show evidence of 275 negative causal effects. As an exposure, AUD has a positive causal effect on DrnkWk, and a negative causal effect on educational attainment, indicating bi-directional causality. There is no 276 evidence of a causal effect of AUD on other traits (Table 3). 277

278

#### 279 Joint Analysis of PAU and DrnkWk Using MTAG

280 We conducted a joint analysis of PAU and DrnkWk using MTAG, which can increase the power for each trait without introducing bias from sample overlap [10]. MTAG analysis increased the 281 282 GWAS-equivalent sample size ( $n_{Eq}$ ) for PAU to 514,790, i.e., a 71.1% increase from the original effective sample size ( $n_E$  = 300,789, n = 435,563). In this analysis, we observed an increase in 283 284 the number of independent variants for PAU to 119, 76 of which were conditionally independent 285 (Supplementary Figure 6a, Supplementary Table 14). For DrnkWk, the MTAG analysis increased the  $n_{Eq}$  to 612,968 from 537,352, which yielded 141 independent variants, 86 of which 286 were conditionally independent (Supplementary Figure 6b, Supplementary Table 15). 287

288	The MTAG analysis also increased the power for the functional enrichment analysis.
289	MAGMA gene set analysis for PAU after MTAG analysis detected 10 enriched Gene Ontology
290	terms, including 'regulation of nervous system development' ( $p_{Bonferroni} = 8.80 \times 10^{-4}$ ),
291	'neurogenesis' ( $p_{Bonferroni} = 0.010$ ), and 'synapse' ( $p_{Bonferroni} = 0.046$ ) (Supplementary Table 16).
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293	
294	
295	Discussion
296	We report here a genome-wide meta-analysis of PAU in 435,563 individuals of European
297	ancestry from the MVP, PGC, and UKB datasets. MVP is a mega-biobank that has
298	enrolled >750,000 subjects (for whom genotype data on 313,977 subjects were used in this
299	study), with rich phenotype data assessed by questionnaires and from the EHR. Currently, MVP
300	is the largest single cohort available with diagnostic information on AUD [3, 6]. PGC is a

301 collaborative consortium that has led the effort to collect smaller cohorts with DSM-IV AD [2].

302 UKB is a population-level cohort with the largest available sample with AUDIT-P data [4].

303 Our discovery meta-analysis of PAU yielded 29 independent variants, of which 19 were novel, with 0.059 to 0.113 of the phenotypic variance explained in different cohorts or meta-304 analyses. The  $h^2$  in the Phase1-Phase2 MVP meta-analysis was 0.095 (se = 0.006), which was 305 306 higher than MVP phase1: 0.056 (se = 0.004, in MVP phase1 where only the actual (as opposed to effective) sample size was used) [3]. The  $h^2$  of AD in PGC was 0.098 (se = 0.018). 307 comparable to the reported liability-scale  $h^2$  (0.090, se = 0.019) [2]. Functional and heritability 308 analyses consistently showed enrichments in brain regions and gene expression regulatory 309 310 regions, providing biological insights into the etiology of PAU. Variation associated with gene 311 expression in the brain is central to PAU risk, a conclusion that is also consistent with our

previous GWASs in MVP of both alcohol consumption and AUD diagnosis [3]. The enrichments
in regulatory regions point to specific brain tissues relevant to the causative genes; the specific
interactions between 16 genes and 325 drugs may provide targets for the development of
medications to manage PAU. Potential targets identified include the D<sub>2</sub> dopamine receptor
(encoded by *DRD2*) and phosphodiesterase 4B (encoded by *PDE4B*). The presence of risk
variation at these loci also suggests that they may be "precision medicine" targets as well.

318 We also found that PAU was significantly genetically correlated with 138 other traits. The 319 top correlations were with substance use and substance-related disorders, MDD, schizophrenia, 320 and several other neuropsychiatric traits. In a conceptually similar analysis, we performed a PheWAS of PAU PRS in BioVU, which confirmed in an independent sample the genetic 321 correlations between PAU and multiple substance use disorders, mood disorders, and other 322 323 psychiatric traits. We also used MR to infer causal effects of the above traits on liability to AUD 324 (we tested AUD excluding UKB samples to avoid sample overlap) using selected genetic instruments. We found evidence of positive causal relationships from DrnkWk (bi-directional), 325 326 ever smoked regularly, worry sub-cluster, and number of sexual partners, while cognitive 327 performance and educational attainment (bi-directional) showed protective effects on liability to 328 AUD. In comparison, we detected few causal effects from AUD to other traits, possibly because 329 of lack of power since there are fewer instrumental variants for AUD available in our study than for many comparison GWAS. 330

The study has other limitations. First, only European populations were included; therefore, the genetic architecture of PAU in other populations remains largely unknown. To date, the largest non-European sample to undergo GWAS for alcohol-related traits is African American (AA), which was reported in the MVP phase1 sample (17,267 cases; 39,381 controls, an effective sample size of 48,015), with the only associations detected on chromosome 4 in the ADH gene locus (where several ADH genes map) [3]. The collection of substantial numbers of

337 non-European subjects will require a concerted effort by investigators in our field. Second, 338 despite the high genetic correlation between AUD and AUDIT-P, they are not identical traits. We 339 conducted a meta-analysis of the two traits to increase the power for the association study of 340 PAU, consequently, associations specific to AUD or AUDIT-P could have been attenuated. 341 Third, there was no opportunity for replication of the individual novel variants. Because the variants were detected in more than 430,000 subjects and have small effect sizes, a replication 342 sample with adequate power would also have to be very large, and no such sample is currently 343 available. To validate the findings, we conducted PRS analyses in three independent cohorts, 344 345 which showed strong association with AUD. Although this indicates that our study had adequate power for variant detection, it does not address the validity of the individual variants discovered. 346

This is the largest GWAS study of PAU so far. Previous work has shown that the genetic 347 architecture of AUD (and PAU) differs substantially from that of alcohol consumption [2-4]. 348 349 There have been larger studies of alcohol quantity-frequency measures [9, 26]; alcohol consumption data are available in many EHRs, thus they were included in many studies of other 350 351 primary traits, like cardiac disease. AUD diagnoses are collected much less commonly. The 3-352 item AUDIT-C is a widely used measure of alcohol consumption that is often available in EHRs, 353 but the full 10-item AUDIT, which allows the assessment of AUDIT-P, is not as widely available. 354 Despite the high genetic correlation between, for example, PAU and DrnkWk ( $r_0$ =0.77), very different patterns of genetic correlation and pleiotropy have been observed via LDSC and other 355 356 methods for these different kinds of indices of alcohol use [2-5]. PAU captures pathological 357 alcohol use: physiological dependence and/or significant psychological, social or medical consequences. Quantity/frequency measures may capture alcohol use that is in the normal, or 358 anyway nonpathological, range. As such, we argue that although quantity/frequency measures 359 360 are important for understanding the biology of habitual alcohol use, PAU is the more clinically 361 important trait. Thus, we did not meta-analyze PAU with DrnkWk directly, but used MTAG

362 analysis instead, recognizing that they are different traits. These circumstances underscore the 363 need to assemble a large GWAS sample of PAU to inform its biology, and our study moves 364 towards this goal via the identification of numerous previously-unidentified risk loci – we increased known PAU loci from 10 to 29, nearly tripling our knowledge of specific risk regions. 365 366 Similarly, we identified 66 gene-based associations, of which 46 were novel – again roughly tripling current knowledge. MTAG analysis increased locus discovery to 119, representing 76 367 independent loci, by leveraging information from DrnkWk [9]. By the same token, we provide a 368 major increment in information about the biology of PAU, providing considerable fodder for 369 future studies that will be required to delineate the biology and function associated with each 370 371 risk variant. We anticipate that knowledge of the functional effects of the variants will contribute eventually to personalized treatment of PAU, facilitating identification of individuals with PAU 372 373 who may be most treatment responsive or for whom a specific medication may be most 374 efficacious. 375

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- the first draft and prepared all drafts for submission; JG supervised and HZ accomplished
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- 419 statistics or results; R.P., R.L.K., R.V.S., J.H.T., M.Y.M., S.R.A., M.R.T., M.N., M.M., A.D.B.,
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## 531 Figure legend

Figure 1. Overview of the analysis. The four datasets that were meta-analyzed as the 532 discovery sample for problematic alcohol use (PAU) included MVP phase1, MVP phase2, PGC, 533 534 and UK Biobank (UKB). MVP phase1 and phase2 were meta-analyzed, and the result was used 535 to test the genetic correlation with PGC alcohol dependence. An intermediary meta-analysis (AUD meta) combining MVP phase1, phase2, and PGC was then conducted to measure the 536 genetic correlation with UKB AUDIT-P. Due to the sample overlap between UKB and GSCAN, 537 538 we used the AUD (intermediary) meta-analysis for Mendelian Randomization (MR) analysis rather than the PAU (i.e., from the final) meta-analysis. MTAG, which used the summary data 539 from PAU and DrnkWk (drinks per week) in GSCAN (without 23andMe samples, as those data 540 541 were not available) as input to increase the power for each trait without introducing bias from 542 sample overlap, returned summary results for PAU and DrnkWk separately.

543

Figure 2. Association results for AUD and PAU meta-analyses. a. Manhattan and QQ plots for AUD (MVP+PGC),  $n_{case}$ =57,564,  $n_{control}$ =256,395,  $n_{effective}$ =179,185; b. Manhattan and QQ plots for PAU, n=435,563,  $n_{effective}$ =300,789. Effective sample size weighted meta-analyses were performed using METAL. Red lines indicate GWS after correction for multiple testing (p < 5×10<sup>-</sup> 548 <sup>8</sup>).

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**Figure 3. Estimated SNP-based**  $h^2$ .  $h^2$  results for single datasets or meta-analysis between datasets, from published studies or analyzed here. MVP is the phase1-phase2 MVP metaanalysis, PAU is the discovery meta-analysis. Effective sample sizes ( $n_E$ ) were used in LDSC. Center values are the estimated  $h^2$  and error bars indicate 95% confidence intervals.

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**Figure 4. Genetic correlations with published traits.** LDSC was applied to test genetic correlation between PAU and 715 traits. Of 228 published traits, 26 were genetically correlated with PAU after Bonferroni correction ( $p < 6.99 \times 10^{-5}$ ). MDD, major depressive disorder; ADHD, attention deficit hyperactivity disorder. Center values are the estimated genetic correlation and error bars indicate 95% confidence intervals.

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Figure 5. Phenome-Wide associations with PAU PRS in BioVU. Polygenic score for PAU was calculated in 67,588 participants in BioVU (Vanderbilt University Medical Center's biobank) using PRS-CS. 1,372 phenotypes were tested and Bonferroni correction ( $p < 3.64 \times 10^{-5}$ ) was applied.

# 566 **Table 1. Genome-wide significant associations for PAU.** The total sample size is 435,563,

- 567 effective sample size from each cohort was used for sample size weighted meta-analyses
- 568 (n<sub>effective</sub>=300,789) using METAL.

Chr	Pos (hg19)	rsID	Gene	A1	A2	EAF	Z	Р	Direction
1	66419905	rs6421482	PDE4B <sup>a</sup>	А	G	0.4363	-6.315	2.7×10 <sup>-10</sup>	
1	73848610	rs61767420	[]	А	G	0.3999	5.714	1.11×10 <sup>-8</sup>	++++
2	27730940	rs1260326	<b>GCKR</b> <sup>a</sup>	Т	С	0.4033	-9.296	1.45×10 <sup>-20</sup>	+-
2	45141180	rs494904	SIX3 <sup>b</sup>	Т	С	0.5961	-7.926	2.26×10 <sup>-15</sup>	
2	58042241	rs1402398	VRK2 <sup>b</sup>	А	G	0.6274	7.098	1.27×10 <sup>-12</sup>	++++
2	104134432	rs9679319	[]	Т	G	0.4797	-6.01	1.86×10⁻ <sup>9</sup>	
2	138264231	rs13382553	THSD7B <sup>a</sup>	А	G	0.766	-6.001	1.97×10⁻ <sup>9</sup>	
2	227164653	rs2673136	IRS1 <sup>b</sup>	А	G	0.6387	-5.872	4.31×10 <sup>-9</sup>	
3	85513793	rs62250713	CADM2 <sup>a</sup>	А	G	0.368	6.049	1.46×10 <sup>-9</sup>	++++
4	39404872	rs13129401	<i>KLB</i> <sup>b</sup>	А	G	0.4532	-8.906	5.29×10 <sup>-19</sup>	
4	100229016	rs75967634	$ADH1B^{a}$	Т	С	0.003	-6.098	1.07×10 <sup>-9</sup>	?-
4	100239319	rs1229984	ADH1B <sup>a</sup>	Т	С	0.0302	-22	2.9×10 <sup>-107</sup>	?
4	100270452	rs13125415	ADH1C <sup>a</sup>	А	G	0.5849	-9.073	1.16×10 <sup>-19</sup>	
4	103198082	rs13135092	SLC39A8ª	А	G	0.9192	11.673	1.75×10 <sup>-31</sup>	++++
7	153489074	rs2533200	DPP6 <sup>ª</sup>	С	G	0.5163	-5.631	1.79×10 <sup>-8</sup>	
8	57424874	rs2582405	PENK <sup>b</sup>	Т	С	0.237	5.751	8.86×10⁻ <sup>9</sup>	++++
10	72907951	rs7900002	UNC5B <sup>b</sup>	Т	G	0.6012	-5.503	3.74×10 <sup>-8</sup>	+-
10	110537834	rs56722963	[]	Т	С	0.2551	-6.374	1.85×10 <sup>-10</sup>	
11	47423920	rs10717830	SLC39A13 <sup>a</sup>	G	GT	0.674	6.422	1.34×10 <sup>-10</sup>	++++
11	57480623	rs576859	TMX2 <sup>a</sup>	А	С	0.3272	5.67	1.43×10 <sup>-8</sup>	+++?
11	113357710	rs138084129	DRD2 <sup>b</sup>	А	AATAT	0.6274	7.824	5.13×10 <sup>-15</sup>	++++
11	113443753	rs6589386	DRD2 <sup>b</sup>	Т	С	0.4323	-7.511	5.88×10 <sup>-14</sup>	
11	121542923	rs1783835	SORL1 <sup>b</sup>	А	G	0.4569	-5.979	2.24×10 <sup>-9</sup>	
12	51903860	rs12296477	SLC4A8 <sup>b</sup>	С	G	0.5469	5.484	4.15×10 <sup>-8</sup>	++++
14	58765903	rs61974485	ARID4Aª	т	С	0.2646	5.506	3.67×10⁻ <sup>8</sup>	++++
14	104355883	rs8008020	C14orf2 <sup>ª</sup>	т	С	0.4175	6.062	1.35×10 <sup>-9</sup>	++++
16	24693048	rs72768626	TNRC6Aª	А	G	0.9448	5.591	2.26×10 <sup>-8</sup>	++++
16	53820813	rs9937709	FTO <sup>a</sup>	А	G	0.585	6.602	4.06×10 <sup>-11</sup>	++++
19	49206417	rs492602	FUT2 <sup>a</sup>	А	G	0.5076	-6.143	8.08×10 <sup>-10</sup>	

569 Listed are the 29 independent variants that were genome-wide significant. Variants labeled in

570 bold are novel associations with PAU. A1, effect allele; A2, other allele; EAF, effective allele

571 frequency. Directions are for the A1 allele in MVP phase1, MVP phase2, PGC, and UKB

572 datasets.

- 574
- <sup>a</sup>Protein-coding gene contains the lead SNP, <sup>b</sup>Protein-coding gene nearest to the lead SNP.

# **Table 2. Causal effects on AUD (MVP+PGC) by MR.**

Exposure (#instruments)	Ref	IVW [27]		Weighted median [28]		MR-Egger [29]		MR-Egger	MR-PRE	ESSO [30]		GSMR [31]		
		β (se)	p	β (se)	р	β (se)	р	intercept p	#outlier	β (se)	р	#HEIDI-	β (se)	р
												outlier		
DrnkWk (58)	[9]	0.89 (0.06)	1.80×10 <sup>-46</sup>	0.89 (0.08)	2.89×10 <sup>-26</sup>	0.91 (0.20)	3.80×10 <sup>-6</sup>	0.898	0	0.89 (0.06)	1.58×10 <sup>-20</sup>	2	0.92 (0.05)	6.37×10 <sup>-79</sup>
Ever smoked regularly (199)	[9]	0.32 (0.02)	8.72×10 <sup>-51</sup>	0.33 (0.02)	4.20×10 <sup>-43</sup>	0.26 (0.08)	1.21×10 <sup>-3</sup>	0.471	3	0.33 (0.02)	1.34×10 <sup>-37</sup>	6	0.34 (0.01)	1.84×10 <sup>-11</sup>
Current vs former smoker (12)	[9]	0.04 (0.09)	0.678	0.00 (0.06)	0.978	-0.33 (0.22)	0.140	0.078	5	0.02 (0.04)	0.692	0	0.04 (0.03)	0.292
Cigarettes per day (33)	[9]	0.04 (0.06)	0.475	-0.10 (0.04)	0.010	-0.18 (0.09)	0.034	1.27×10 <sup>-3</sup>	5	0.09 (0.06)	0.151	4	0.01 (0.03)	0.643
MDD (78)	[32]	0.14 (0.03)	8.42×10 <sup>-6</sup>	0.14 (0.03)	2.79×10 <sup>-6</sup>	-0.17 (0.20)	0.390	0.113	5	0.14 (0.03)	3.73×10 <sup>-6</sup>	1	0.15 (0.02)	1.65×10 <sup>-18</sup>
Schizophrenia (110)	[33]	0.04 (0.01)	2.47×10 <sup>-6</sup>	0.04 (0.01)	4.96×10 <sup>-6</sup>	-0.05 (0.04)	0.202	0.016	4	0.04 (0.01)	6.03×10 <sup>-8</sup>	5	0.06 (0.01)	4.65×10 <sup>-26</sup>
Bipolar disorder (23)	[34]	0.03 (0.01)	0.012	0.03 (0.02)	0.049	-0.05 (0.07)	0.423	0.120	0	0.03 (0.01)	0.020	0	0.03 (0.01)	6.56×10⁻³
Depressed affect sub-cluster (56)	[35]	0.19 (0.06)	1.75×10 <sup>-3</sup>	0.24 (0.05)	5.44×10⁻⁵	-0.20 (0.28)	0.462	0.147	7	0.23 (0.04)	1.12×10⁻⁵	5	0.26 (0.04)	6.80×10 <sup>-13</sup>
Neuroticism (131)	[35]	0.20 (0.04)	1.10×10 <sup>-7</sup>	0.20 (0.04)	1.10×10 <sup>-7</sup>	-0.26 (0.16)	0.097	2.64×10 <sup>-3</sup>	6	0.19 (0.03)	5.83×10 <sup>-8</sup>	4	0.17 (0.02)	3.44×10 <sup>-12</sup>
Worry sub-cluster (61)	[35]	0.13 (0.06)	0.020	0.17 (0.05)	8.06×10⁻⁴	0.04 (0.26)	0.890	0.702	7	0.19 (0.04)	8.64×10⁻⁵	5	0.21 (0.03)	7.40×10 <sup>-11</sup>
Number of sexual partners (64)	[36]	0.31 (0.04)	3.27×10 <sup>-12</sup>	0.36 (0.05)	9.00×10 <sup>-16</sup>	0.51 (0.20)	0.011	0.309	4	0.33 (0.04)	1.14×10 <sup>-12</sup>	3	0.34 (0.03)	6.13×10 <sup>-28</sup>
General risk tolerance (64)	[36]	0.26 (0.06)	7.37×10 <sup>-</sup> ⁵	0.28 (0.07)	5.93×10⁻⁵	0.88 (0.25)	3.69×10⁻⁴	9.62×10 <sup>-3</sup>	0	0.26 (0.06)	3.18×10⁻⁵	0	0.28 (0.05)	1.91×10 <sup>-9</sup>
Insomnia (159)	[37]	0.05 (0.01)	1.90×10⁻⁵	0.03 (0.01)	5.31×10 <sup>-3</sup>	-0.00 (0.05)	0.993	0.288	7	0.04 (0.01)	3.89×10⁻⁴	8	0.04 (0.01)	3.51×10⁻⁵
Cognitive performance (134)	[38]	-0.08 (0.02)	1.03×10 <sup>-3</sup>	-0.05 (0.03)	0.044	-0.21 (0.12)	0.086	0.282	4	-0.08 (0.02)	4.21×10 <sup>-3</sup>	3	-0.09 (0.02)	6.20×10 <sup>-8</sup>
Educational attainment (570)	[38]	-0.22 (0.02)	1.32×10 <sup>-25</sup>	-0.21 (0.02)	1.45×10 <sup>-17</sup>	-0.24 (0.08)	2.21×10 <sup>-3</sup>	0.781	4	-0.21 (0.02)	1.37×10 <sup>-23</sup>	16	-0.23 (0.02)	1.69×10 <sup>-51</sup>

- 577 P-values labeled in bold are significant after multiple testing correction ( $p < 1.32 \times 10^{-3}$ ). Traits labeled in bold are those having a
- 578 causal effect on AUD by at least one method and consistent for the direction of effect by all 5 methods. IVW: inverse-variance
- 579 weighted (IVW) linear regression. #outlier: number of pleiotropic variants which are removed from the MR estimate. #HEIDI-outlier:
- 580 number of pleiotropic variants which are removed from the MR estimate. DrnkWk: drinks per week. MDD: major depressive disorder.
- 581 Depressed affect sub-cluster: depressed affect sub-cluster of neuroticism. Worry sub-cluster: worry sub-cluster of neuroticism.
- 582 Outliers are variants showing evidence of horizontal pleiotropy, which were removed before the causal estimate was made.

# **Table 3. Causal effects of AUD (MVP+PGC) on other traits by MR.**

Outcome (#instruments)		IVW [27]		Weighted median [28]		MR-Egger [29]		MR-Egger	MR-PRE	ESSO [30]		GSMR [31]			
		β (se)	р	β (se)	р	β (se)	р	intercept p	#outlier	β (se)	р	#HEIDI-	β (se)	р	
												outlier			
DrnkWk (17)	[9]	0.34 (0.05)	3.16×10 <sup>-10</sup>	0.31 (0.04)	1.62×10 <sup>-12</sup>	0.61 (0.39)	0.117	0.479	2	0.30 (0.04)	1.31×10⁻⁵	1	0.28 (0.03)	1.72×10 <sup>-25</sup>	
Ever smoked regularly (20)	[9]	0.08 (0.04)	0.021	0.04 (0.03)	0.186	-0.04 (0.06)	0.544	0.032	4	0.07 (0.03)	0.028	2	0.08 (0.02)	6.94×10 <sup>-6</sup>	
Lifetime cannabis use (21)	[39]	0.05 (0.17)	0.763	-0.32 (0.13)	0.013	-0.44 (0.27)	0.100	0.027	3	0.17 (0.17)	0.320	2	-0.07 (0.08)	0.345	
Current vs former smoker (24)	[9]	0.05 (0.03)	0.113	0.03 (0.03)	0.374	0.01 (0.07)	0.917	0.482	1	0.04 (0.03)	0.197	1	0.04 (0.02)	0.061	
Cigarettes per day (23)	[9]	0.06 (0.04)	0.125	0.05 (0.04)	0.185	-0.06 (0.08)	0.431	0.073	0	0.06 (0.04)	0.139	0	0.06 (0.02)	0.011	
Age of initiation of smoking (24)	[9]	-0.05 (0.03)	0.065	-0.06 (0.04)	0.109	0.07 (0.05)	0.147	0.004	1	-0.11 (0.03)	0.001	0	-0.05 (0.02)	0.027	
MDD (23)	[32]	0.11 (0.11)	0.320	0.04 (0.09)	0.646	-0.81 (0.51)	0.112	0.064	10	0.14 (0.08)	0.118	5	0.00 (0.05)	0.914	
Depressive symptom (23)	[40]	0.01 (0.05)	0.794	-0.04 (0.05)	0.402	-0.26 (0.21)	0.207	0.177	1	-0.02 (0.04)	0.673	0	0.01 (0.04)	0.736	
PGC Cross-disorder (22)	[41]	0.31 (0.18)	0.086	0.16 (0.19)	0.382	-2.28 (1.10)	0.038	0.017	0	0.31 0.18	0.100	0	0.31 (0.12)	0.010	
ADHD (24)	[42]	0.25 (0.17)	0.132	-0.14 (0.16)	0.405	-0.44 (0.29)	0.122	0.005	1	0.18 (0.14)	0.220	1	0.18 (0.11)	0.101	
Schizophrenia (21)	[33]	0.45 (0.20)	0.026	0.21 (0.10)	0.045	0.00 (0.29)	0.999	0.047	6	0.24 (0.08)	0.009	6	0.24 (0.08)	0.004	
Bipolar disorder (22)	[34]	-0.06 (0.18)	0.732	-0.03 (0.14)	0.812	-0.20 (0.31)	0.511	0.569	2	-0.02 (0.14)	0.893	2	-0.01 (0.11)	0.931	
Depressed affect sub-cluster (22)	[35]	0.02 (0.04)	0.650	-0.02 (0.03)	0.594	-0.08 (0.08)	0.313	0.131	4	0.02 (0.03)	0.508	1	0.00 (0.02)	0.845	
Neuroticism (22)	[35]	0.01 (0.04)	0.840	-0.01 (0.03)	0.641	-0.06 (0.07)	0.388	0.234	4	-0.02 (0.03)	0.591	3	-0.03 (0.02)	0.112	
Worry sub-cluster (24)	[35]	0.03 (0.04)	0.393	0.01 (0.03)	0.754	-0.04 (0.07)	0.591	0.239	4	0.01 (0.03)	0.820	3	-0.01 (0.02)	0.777	
Subjective well-being (22)	[40]	-0.02 (0.05)	0.70	-0.05 (0.05)	0.264	0.03 (0.27)	0.921	0.860	3	-0.06 (0.04)	0.132	1	-0.05 (0.03)	0.092	
Number of sexual partners (23)	[36]	0.09 (0.05)	0.058	-0.00 (0.03)	0.941	-0.00 (0.09)	0.966	0.219	7	0.05 (0.04)	0.225	4	0.02 (0.02)	0.266	
General risk tolerance (24)	[36]	0.05 (0.03)	0.096	-0.03 (0.03)	0.323	-0.06 (0.06)	0.251	0.015	3	0.07 (0.03)	0.053	0	0.05 (0.02)	0.002	
Insomnia (24)	[37]	0.08 (0.06)	0.157	0.06 (0.06)	0.367	-0.04 (0.11)	0.744	0.196	1	0.12 (0.06)	0.050	2	0.10 (0.04)	0.020	
Cognitive performance (22)	[38]	-0.03 (0.0)	0.460	-0.08 (0.03)	0.021	-0.09 (0.09)	0.295	0.440	3	-0.08 (0.04)	0.054	1	-0.05 (0.02)	0.030	

Educational attainment (20)	[38]	-0.06 (0.03)	0.055	-0.10 (0.02)	7.38×10 <sup>-</sup> ⁵	-0.12 (0.06)	0.024	0.152	3	-0.07 (0.02)	6.04×10⁻³	5	-0.08 (0.02)	3.12×10 <sup>-7</sup>
Mothers age at death (24)	[43]	-0.03 (0.04)	0.424	-0.02 (0.06)	0.692	-0.01 (0.08)	0.886	0.764	0	-0.03 0.03	0.342	0	-0.03 (0.04)	0.424
Fathers age at death (24)	[43]	-0.05 (0.05)	0.352	-0.09 (0.06)	0.113	-0.08 (0.10)	0.408	0.671	1	-0.03 (0.05)	0.523	0	-0.05 (0.04)	0.206

P-values labeled in bold are significant after multiple testing correction ( $p < 1.32 \times 10^{-3}$ ). Traits labeled in bold are those having a causal effect from AUD by at least one method and consistent for the directions of effect by all 5 methods. 

#### 586 Methods

**MVP datasets.** The MVP is a mega-biobank supported by the U.S. Department of Veterans 587 Affairs (VA), enrollment for which began in 2011 and is ongoing. Phenotypic data were collected 588 589 using questionnaires and the VA electronic health records (EHR), and a blood sample was 590 obtained from each participant for genetic studies. Two phases of genotypic data have been 591 released and were included in this study. MVP phase1 contains 353,948 subjects, of whom 592 202,004 European Americans (EA) with AUD diagnoses were included in a previous GWAS and the summary statistics were used in this study [3]. MVP phase2 released data on another 593 594 108,416 subjects, of whom 65,387 EAs with AUD diagnosis information were included in this study. Following the same procedures as for MVP phase1, participants with at least one 595 inpatient or two outpatient alcohol-related ICD-9/10 codes from 2000 to 2018 were assigned a 596 597 diagnosis of AUD.

598 Ethics statement: The Central VA Institutional Review Board (IRB) and site-specific IRBs 599 approved the MVP study. All relevant ethical regulations for work with human subjects were 600 followed in the conduct of the study and informed consent was obtained from all participants.

601 Genotyping for both phases of MVP was performed using a customized Affymetrix 602 Biobank Array. Imputation and quality control methods for MVP phase1 were described in detail 603 in Kranzler et al. [3]. Similar methods were used for MVP phase2. Before imputation, phase2 604 subjects or SNPs with genotype call rate < 0.9 or high heterozygosity were removed, leaving 605 108,416 subjects and 668,324 SNPs. Imputation for MVP phase2 was done separately from phase1; both were performed with EAGLE2 [44] and Minimac3 [45] using 1000 Genomes 606 607 Project phase 3 data [46] as the reference panel. Imputed genotypes with posterior probability  $\geq$ 608 0.9 were transferred to best-guess genotypes (the rest were treated as missing genotype calls). 609 A total of 6,635,093 SNPs with INFO scores > 0.7, genotype call rates or best guess rates > 0.95, Hardy-Weinberg Equilibrium (HWE) p value >  $1 \times 10^{-6}$ , minor allele frequency (MAF) > 610

611 0.001 were remained for GWAS.

We removed subjects with mismatched genotypic and phenotypic sex and one subject 612 randomly from each pair of related individuals (kinship coefficient [47] threshold = 0.0884), 613 614 leaving 107,438 phase2 subjects for subsequent analyses. We used the same processes as 615 MVP phase1 to define EAs. First, we ran principal components analysis (PCA) on 74,827 common SNPs (MAF > 0.05) shared by MVP and the 1000 Genomes phase 3 reference panels 616 617 using FastPCA [48]. Then we clustered each participant into the nearest reference population 618 according to the Euclidean distances between the participant and the centers of the 5 reference 619 populations using the first 10 PCs. A second PCA was performed for participants who were clustered to the reference European population (EUR), and outliers were removed if any of the 620 first 10 PCs were > 3 standard deviations from the mean, leaving 67,268 EA subjects. 621

Individuals < 22 or > 90 years of age and those with a missing AUD diagnosis were
removed from the analyses, leaving 65,387 phase2 EAs (11,337 cases; 54,050 controls).
GWAS was then performed on the MVP phase2 dataset. We used logistic regression
implemented in PLINK v1.90b4.4 [49] for the AUD GWAS correcting for age, sex, and the first
10 PCs. The mean age is 63.2 (SD=13.4) in the entire MVP sample and 92.5% are males. Data
collection and analysis were not performed blind to the conditions of the experiments.

628

PGC summary statistics. We used the 46,568 European ancestry subjects (11,569 cases and 34,999 controls) from 27 cohorts that were analyzed by the Psychiatric Genomics Consortium (PGC). The phenotype was lifetime DSM-IV diagnosis of alcohol dependence (AD). The summary data were downloaded from the PGC website (<u>https://www.med.unc.edu/pgc/</u>) with full agreement to the PGC conditions. Allele frequencies were not reported in the summary data. We used allele frequencies from the 1000 Genome European sample as proxy measures in

635 PGC for some downstream analyses.

636

UK Biobank summary statistics. The UK Biobank (UKB) included 121,604 White-British 637 unrelated subjects with available AUDIT-P scores. Past-year AUDIT-P was assessed by 7 638 639 questions: 1). Frequency of inability to cease drinking; 2). Frequency of failure to fulfil normal 640 expectations due to drinking alcohol; 3). Frequency of needing a morning drink of alcohol after a 641 heavy drinking session; 4). Frequency of feeling guilt or remorse after drinking alcohol; 5). Frequency of memory loss due to drinking alcohol; 6). Been injured or injured someone else 642 through drinking alcohol; 7). Had a relative, friend, or health worker who was concerned about 643 644 or suggested a reduction in alcohol consumption. The AUDIT-P was log<sub>10</sub>-transformed for GWAS (see ref [4] for details). We removed SNPs with INFO < 0.7 or call rate < 0.95. 645

646

Meta-analyses. Meta-analyses were performed using METAL [50]. The meta-analysis within MVP (for the purpose of genetic correlation analysis with PGC AD) was conducted using an inverse variance weighted method because the two subsets were from the same cohort. The meta-analyses for AUD (MVP+PGC) and PAU (MVP+PGC+UKB) were performed using the sample size weighted method. Given the unbalanced ratios of cases to controls in MVP samples, we calculated effective sample sizes for meta-analysis following the approach used by the PGC:

654 
$$n_{effective} = \frac{4}{\frac{1}{n_{case}} + \frac{1}{n_{control}}}$$

The calculated effective sample sizes in MVP and reported effective sample sizes in PGC were used in meta-analyses and all downstream analyses. AUDIT-P in UKB is a continuous trait, so

we used actual sample sizes for that trait. For the AUD meta-analysis, variants present in only one sample (except MVP phase1 which is much larger than the others) or with heterogeneity test p-value  $< 5 \times 10^{-8}$  were removed, leaving 7,003,540 variants. For the PAU meta-analysis, variants present in only one sample (except MVP phase1 or UKB) or with heterogeneity test pvalue  $< 5 \times 10^{-8}$  and variants with effective sample size < 45,118 (15% of the total effective sample size) were removed, leaving 14,069,427 variants.

663

AUD polygenic risk score in UKB. We calculated AUD polygenic risk scores (PRS) for each of 664 the 82,930 unrelated subjects in UKB (application number 41910) who had non-missing AUDIT-665 666 P information [7]. A PRS was calculated as the sum of the number of effective alleles with pvalues less than a given threshold, weighted by the effect sizes from AUD meta-analysis 667 (MVP+PGC). We analyzed 10 p-value thresholds:  $5 \times 10^{-8}$ ,  $1 \times 10^{-7}$ ,  $1 \times 10^{-6}$ ,  $1 \times 10^{-5}$ ,  $1 \times 10^{-4}$ , 668 0.001, 0.05, 0.3, 0.5, and 1, and clumped the AUD summary data by LD with  $r^2 < 0.3$  in a 500-kb 669 window. Then we tested the association between AUD PRS and AUDIT-P, corrected for age, 670 sex, and 10 PCs. The analysis was performed using PRSice-2 [51]. 671

672

**Independent variants and conditional analyses.** We identified the independent variant (p < 5673 × 10<sup>-8</sup>) in each locus (1 Mb genomic window) based on the smallest p value and  $r^2 < 0.1$  with 674 675 other independent variants and assigned these variants to the independent variant's clump. Any two independent variants less than 1 Mb apart whose clumped regions overlapped were 676 merged into one locus. Given the known long-range LD for the ADH gene cluster on 677 chromosome 4, we defined chr4q23–q24 (~97.2 Mb – 102.6 Mb) as one locus. When multiple 678 independent variants were present in a locus, we ran conditional analyses using GCTA-COJO 679 680 [52] to define conditionally independent variants. For each variant other than the most significant

681 one (index), we tested the marginal associations conditioning on the index variant using Europeans (n = 503) from the 1000 Genomes as the LD reference sample. Variants with 682 significant marginal associations ( $p < 5 \times 10^{-8}$ ) were defined as conditionally independent 683 variants (i.e., independent when conditioned on other variants in the region) and subject to 684 685 another round of conditional analyses for each significant association.

For the conditionally independent variants for AUD or PAU, we also conducted a multi-686 687 trait analysis conditioning on GSCAN drinks per week [9] using GCTA-mtCOJO [31] to identify variants associated with AUD or PAU, but not drinks per week, i.e., not alcohol consumption 688 689 alone. Europeans from the 1000 Genomes were used as the LD reference. For variants missing in GSCAN, we used proxy variants ( $p < 5 \times 10^{-8}$ ) in high LD with the locus for analyses. 690 Whereas conditional analyses require the beta (effect size) and standard error, we calculated 691 692 these using Z-scores (z), allele frequency (p) and sample size (n) from the meta-analyses [53]:

693  
$$beta = \frac{2}{\sqrt{2p(1-p)(n+1)^2}}$$
$$SE = \frac{1}{\sqrt{2p(1-p)(n+1)^2}}$$

$$beta = \frac{z}{\sqrt{2 p(1-p)(n+z^{2})}}$$
$$SE = \frac{1}{\sqrt{2 p(1-p)(n+z^{2})}}$$

694

Gene-based association analysis. Gene-based association analysis for PAU was performed 695 696 using MAGMA implemented in FUMA [17, 18], which uses a multiple regression approach to detect multi-marker effects that account for SNP p-values and LD between markers. We used 697 default settings to analyze 18,952 autosomal genes, with  $p < 2.64 \times 10^{-6}$  (0.05/18,952) 698 699 considered GWS.

700

701 **Drug-gene interaction.** For the genes identified as significant by MAGMA, we examined drug-702 gene interaction through Drug Gene Interaction Database (DGldb) v3.0.2 [11]

(<u>http://www.dgidb.org/</u>), a database of integrated drug–gene interaction information based on 30
 sources.

705

**SNP-based**  $h^2$  and partitioning heritability enrichment. We used LDSC [12] to estimate the SNP-based  $h^2$  for common SNPs mapped to HapMap3 [54], with Europeans from the 1000 Genomes Project [46] as the LD reference panel. We excluded the major histocompatibility complex (MHC) region (chr6: 26–34Mb).

We conducted portioning  $h^2$  enrichment analyses for PAU using LDSC in different 710 models [13, 14]. First, we analyzed a baseline model consisting of 52 functional categories that 711 712 included genomic features (coding, intron, UTR etc), regulatory annotations (promoter, enhancer etc), epigenomic annotations (H3K27ac, H3K4me1, H3K3me3 etc) and others (see 713 ref [13] for details, Supplementary Figure 3). We then analyzed cell type group  $h^2$  enrichments 714 715 with 10 cell types: central nervous system (CNS), adrenal and pancreas, immune and 716 hematopoietic, skeletal muscle, gastrointestinal, liver, cardiovascular, connective tissue and 717 bone, kidney, and other (see ref [13] for details, Supplementary Figure 2). Third, we used LDSC 718 to test for enriched heritability in regions surrounding genes with the highest tissue-specific expression using 53 human tissue or cell type RNA-seg data from the Genotype-Tissue 719 720 Expression Project (GTEx) [16], or enriched heritability in epigenetic markers from 396 human 721 epigenetic annotations (six features in a subset of 88 primary cell types or tissues) from the 722 Roadmap Epigenomics Consortium [15] (see ref [14] for details, Supplementary Figure 4, Supplementary Table 6). For each model, the number of tested annotations was used to 723 724 calculate a Bonferroni corrected p-value < 0.05 as a significance threshold.

725

726 Gene-set and functional enrichment. We performed gene-set analysis for PAU for curated

gene sets and Gene Ontology (GO) terms using MAGMA [17, 18]. We then used MAGMA for
gene-property analyses to test the relationships between tissue-specific gene expression
profiles and PAU-gene associations. We analyzed gene expression data from 53 GTEx (v7)
tissues. We also performed gene-set analysis on the 152 prioritized genes using MAGMA. Gene
sets with adjusted p-value < 0.05 were considered as significant.</li>

732

**Genetic correlation.** We estimated the genetic correlation ( $r_g$ ) between traits using LDSC [55]. For PAU, we estimated the  $r_g$  with 218 published traits in LD Hub [56], 487 unpublished traits from the UK Biobank (integrated in LD Hub), and recently published psychiatric and behavioral traits [9, 32, 34-39, 42, 57, 58], bringing the total number of tested traits to 715 (Supplementary Table 8). For traits reported in multiple studies or in UKB, we selected the published version of the phenotype or used the largest sample size. Bonferroni correction was applied and correlation was considered significant at a p-value threshold of 6.99 × 10<sup>-5</sup>.

740

741 S-PrediXcan and S-MultiXcan. To perform transcriptome-wide association analysis, we used 742 S-PrediXcan [23] (a version of PrediXcan that uses GWAS summary statistics [59]) to integrate 743 transcriptomic data from GTEx [16] and the Depression Genes and Networks study (DGN) [24] to analyze the summary data from the PAU meta-analysis. Forty-eight tissues with sample size > 744 745 70 from GTEx release v7 were analyzed, totaling 10,294 samples. DGN contains RNA 746 sequencing data from whole blood of 992 genotyped individuals. The transcriptome prediction 747 model database and the covariance matrices of the SNPs within each gene model were downloaded from the PredictDB repository (http://predictdb.org/, 2018-01-08 release). Only 748 749 individuals of European ancestry in GTEx were analyzed. S-PrediXcan was performed for each 750 of the 49 tissues (48 from GTEx and 1 from DGN), for a total of 254,345 gene-tissue pairs.

751 Significant association was determined by Bonferroni correction ( $p < 1.97 \times 10^{-7}$ ).

Considering the limited eQTL sample size for any single tissue and the substantial sharing of eQTLs across tissues, we applied S-MultiXcan [25], which integrates evidence across multiple tissues using multivariate regression to improve association detection. Forty-eight tissues from GTEx were analyzed jointly. The threshold for condition number of eigenvalues was set to 30 when truncating singular value decomposition (SVD) components. In total, 25,626 genes were tested in S-MultiXcan, leading to a significant p-value threshold of 1.95 × 10<sup>-6</sup> (0.05/25,626).

759 PAU PRS for phenome-wide associations. Polygenic scores were generated using PRS-CS 760 [60] on all genotyped individuals of European descent (n = 67,588) in Vanderbilt University Medical Center's EHR-linked biobank, BioVU. PRS-CS uses a Bayesian framework to model 761 762 linkage disequilibrium from an external reference set and a continuous shrinkage prior on SNP 763 effect sizes. We used 1000 Genomes Project Phase 3 European sample [46] as the LD reference. Additionally, we used the PRS-CS-auto option, which allows the software to learn the 764 765 continuous shrinkage prior from the data. Polygenic scores were constructed from PRS-CS-auto adjusted summary statistics containing 811,292 SNPs. All individuals used for polygenic scoring 766 were genotyped on the Illumina Multi-Ethnic Global Array (MEGA). Genotypes were filtered for 767 768 SNP (95%) and individual (98%) call rates, sex discrepancies, and excessive heterozygosity. 769 For related individuals, one of each pair was randomly removed (pi\_hat > 0.2). SNPs showing 770 significant associations with genotyping batch were removed. Genetic ancestry was determined 771 by principal component analysis performed using EIGENSTRAT [61]. Imputation was completed 772 using the Michigan Imputation Server [45] and the Haplotype Reference Consortium [62] as the reference panel. Genotypes were then converted to hard calls, and filtered for SNP imputation 773 auality (R<sup>2</sup> < 0.3), individual missingness (>2%), SNP missingness (>2%), MAF (<1%) and HWE 774

(p < 1 ×  $10^{-10}$ ). The resulting dataset contained 9,330,483 SNPs on 67,588 individuals of European ancestry.

777 We conducted a phenome-wide association study (PheWAS) [63] of the PAU PRS by 778 fitting a logistic regression model to 1,372 case/control phenotypes to estimate the odds of each 779 diagnosis given the PAU polygenic score, controlling for sex, median age across the medical record, top 10 principal components of ancestry, and genotyping batch. We required the 780 781 presence of at least two International Classification of Disease (ICD) codes that mapped to a PheWAS disease category (Phecode Map 1.2) to assign "case" status. A phenotype was 782 783 required to have at least 100 cases to be included in the analysis. PheWAS analyses were run using the PheWAS R package [64]. Bonferroni correction was applied to test for significance (p 784 < 0.05/1,372). 785

786

PAU PRS in independent samples. We calculated PAU PRS in three independent samples,
where we tested the association between PAU PRS and AD, corrected for age, sex, and 10
PCs. Ten p-value thresholds were applied in all samples.

*iPSYCH Group.* DNA samples for cases and controls were obtained from newborn bloodspots

linked to population registry data [65]. Cases were identified with the ICD-10 code F10.2 (AD; *n* 

= 944); controls were from the iPSYCH group (n = 11,408;  $n_{\text{effective}} = 3,487$ )). The iPSYCH

sample was genotyped on the Psych Array (Illumina, San Diego, CA, US). GWAS QC,

imputation against the 1,000 Genomes Project panel [46] and association analysis using the

Ricopili pipeline [66] were performed. The current study is part of a general study in iPSYCH

investigating the comorbidity of alcohol misuse and psychiatric disorders.

797 *UCL Psych Array.* Cases were identified with ICD-10 code F10.2 (n = 1,698) and comprised 492 798 individuals with a diagnosis of alcoholic hepatitis who had participated in the STOPAH (Steroids

or Pentoxifylline for Alcoholic Hepatitis) trial (ISRCTN88782125; EudraCT Number: 2009-

013897-42) and 1,206 subjects recruited from the AD arm of the DNA Polymorphisms in Mental

801 Health (DPIM) study; controls were UK subjects who had either been screened for an absence

of mental illness and harmful substance use (n = 776), or were random blood donors (n-452;

total n = 1,228;  $n_{\text{effective}} = 2,851$ ). The sample was genotyped on the Psych Array (Illumina, San

Diego, CA, US). GWAS QC was performed using standard methods and imputation was done

using the haplotype reference consortium (HRC) panel [67] on the Sanger Imputation server

806 (https://imputation.sanger.ac.uk/). Association testing was performed using Plink1.9 [49].

807 UCL Core Exome Array. Cases had an ICD-10 diagnosis of F10.2 (n = 637), including 324 individuals with a diagnosis of alcoholic hepatitis who had participated in the STOPAH trial and 808 313 subjects recruited from the AD arm of the DPIM study; controls were unrelated UK subjects 809 from the UK Household Longitudinal Study (UKHLS; n = 9,189;  $n_{\text{effective}} = 2,383$ ). The sample 810 811 was genotyped on the Illumina Human Core Exome Array (Illumina, San Diego, CA, US). GWAS QC was performed using standard methods and imputation was done using the HRC 812 813 panel [67] on the Sanger Imputation server (https://imputation.sanger.ac.uk/). Association 814 testing was performed with Plink1.9 [49].

815

816 Mendelian Randomization. We used Mendelian Randomization (MR) to investigate the bi-817 directional causal relationships between PAU liability and traits that were significantly genetically correlated ( $p < 6.99 \times 10^{-5}$ ). However, all or most of the published traits in recent large GWAS 818 include UKB data. To avoid biases caused by overlapping samples in MR analysis, we only 819 820 tested the relationship between published traits and AUD (MVP+PGC). For robust causal effect 821 inference, we limited the traits studied to those with more than 10 available instruments (association  $p < 5 \times 10^{-8}$ ). For causality on AUD, 15 exposures were analyzed (Table 2), and for 822 823 causality from AUD on others, 23 traits were tested. We applied Bonferroni correction for the 38

hypotheses, interpreting p-values  $< 1.32 \times 10^{-3}$  (0.05/38) as significant.

Four methods, weighted median [28], inverse-variance weighted (IVW, random-effects 825 model) [27], and MR-Egger [29], implemented in the R package "MendelianRandomization 826 v0.3.0" [68], MR-PRESSO [30], and GSMR [31] were used for MR inference. Evidence of 827 828 average pleiotropic effects was examined by the MR-Egger intercept test, where a non-zero 829 intercept indicates horizontal pleiotropy [29]. Individual variants with horizontal pleiotropy were 830 detected by MR-PRESSO, and an outlier test was applied to correct horizontal pleiotropy via outlier removal. Pleiotropic variants were also detected by the HEIDI test in GSMR, and 831 832 removed from causal inference. Instrumental variants that are associated with outcome ( $p < 5 \times$ 10<sup>-8</sup>) were removed. For instrumental variants missing in the outcome summary data, we used 833 the results of the best-proxy variant with the highest LD ( $r^2 > 0.8$ ) with the missing variant. If the 834 MAF of the missing variant was < 0.01, or none of the variants within 200 kb had LD  $r^2$  > 0.8, we 835 836 removed the instrumental variant from the analysis. Palindromic SNPs (A/T or G/C alleles) with MAF [0.4, 0.5], which can introduce ambiguity into the identity of the effect allele, were also 837 838 removed.

839

MTAG between PAU and drinks per week. Multiple trait analysis between PAU and drinks per
week (DrnkWk) from GSCAN was performed on summary statistics with multi-trait analysis of
GWAS (MTAG) v1.0.7 [10]. The summary data of DrnkWk were generated from 537,352
subjects, excluding the 23andMe samples that were not available to us for inclusion. We
analyzed variants with a minimum effective sample size of 80,603 (15%) in DrnkWk and a
minimum effective sample size of 45,118 (15%) in PAU, which left 10,613,246 overlapping
variants.

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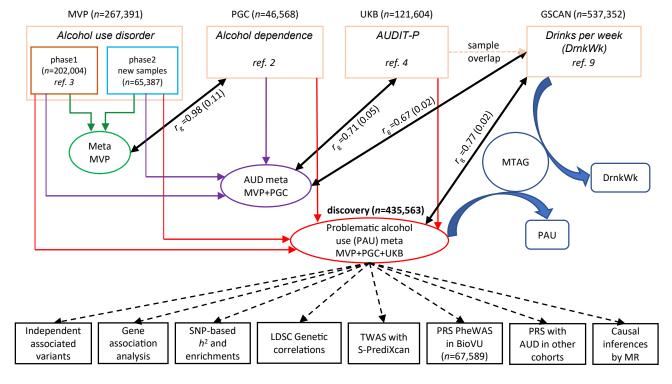
- 848 Data Availability: The full summary-level association data from the meta-analysis are available
- 849 through dbGaP: [https://www.ncbi.nlm.nih.gov/projects/gap/cgi-
- bin/study.cgi?study\_id=phs001672.v3.p1] (accession number phs001672.v3.p1).
- 851
- 852
- 853 **Reporting Summary.** Further information on research design is available in the Nature
- 854 Research Reporting Summary linked to this article.
- 855
- 856 Code availability: Kinship analysis was performed using KING
- 857 (<u>http://people.virginia.edu/~wc9c/KING/</u>); principal component analyses were performed using
- 858 EIGENSOFT (<u>https://data.broadinstitute.org/alkesgroup/EIGENSOFT/</u>); imputation was
- 859 performed using EAGLE2 (<u>https://data.broadinstitute.org/alkesgroup/Eagle/</u>), Minimac3
- 860 (https://genome.sph.umich.edu/wiki/Minimac3), Sanger imputation server
- 861 (https://imputation.sanger.ac.uk/), or RICOPILI (https://data.broadinstitute.org/mpg/ricopili/),
- depends on the sample; GWAS was performed using PLINK (https://www.cog-
- 863 <u>genomics.org/plink2</u>); meta-analyses was performed using METAL
- 864 (https://genome.sph.umich.edu/wiki/METAL Documentation); polygenic risk score analyses
- 865 were performed using PRSice-2 (<u>https://www.prsice.info/</u>) or PRS-CS
- 866 (https://github.com/getian107/PRScs); GCTA
- 867 (https://cnsgenomics.com/software/gcta/#Overview) was used for identifying independent loci
- 868 (GCTA-COJO), multi-trait conditional analysis (GCTA-mtCOJO), and Mendelian Randomization
- 869 (GCTA-GSMR); LDSC (<u>https://github.com/bulik/ldsc</u>) was used for heritability estimate, genetic
- 870 correlation analysis (also used LD-Hub, <u>http://ldsc.broadinstitute.org/</u>), and heritability
- 871 enrichment analyses; FUMA (<u>https://fuma.ctglab.nl/</u>) was used for gene association, functional

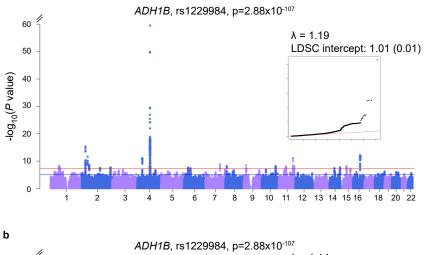
- enrichment, and gene-set enrichment analyses; transcriptomic analyses were performed using
- 873 S-PrediXcan and S-MultiXcan (<u>https://github.com/hakyimlab/MetaXcan</u>); PheWAS analyses
- 874 were run using the PheWAS R package (<u>https://github.com/PheWAS/PheWAS</u>); Mendelian
- 875 Randomization R Package (https://cran.r-
- 876 project.org/web/packages/MendelianRandomization/index.html) and MR-PRESSO
- 877 (https://github.com/rondolab/MR-PRESSO) were used for MR analyses; MTAG
- 878 (<u>https://github.com/omeed-maghzian/mtag</u>) was used for Multiple trait analysis.

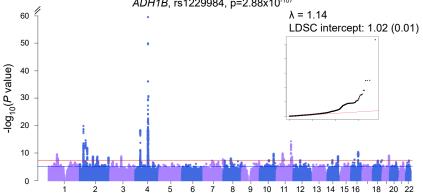
### 879 Reference

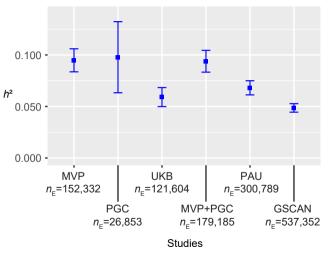
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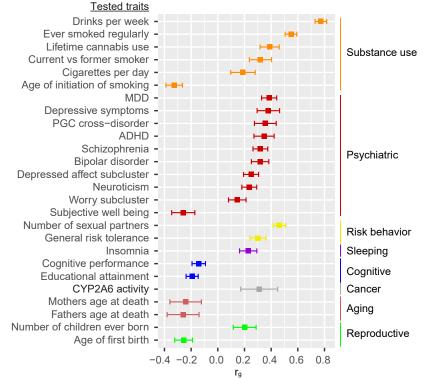
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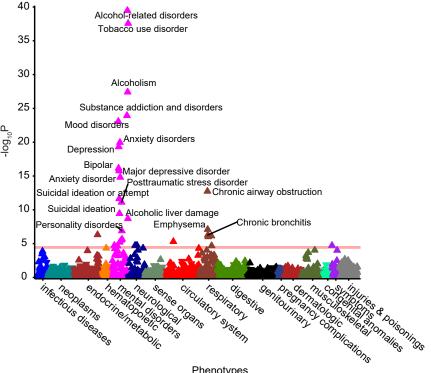












Phenotypes