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**1 HIV RNA, CD4+ Percentage, and Risk of Hepatocellular Carcinoma by
2 Cirrhosis Status**

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39ABSTRACT

40**Background:** Despite increasing incidence of hepatocellular carcinoma (HCC) among
41HIV-infected patients, it remains unclear if HIV-related factors contribute to
42development of HCC. We examined if higher or prolonged HIV viremia and lower CD4+
43cell percentage were associated with HCC.

44

45**Methods:** We conducted a cohort study of HIV-infected individuals who had HIV RNA,
46CD4+, and CD8+ cell counts and percentages assessed in the Veterans Aging Cohort
47Study (1999-2015). HCC was ascertained using Veterans Health Administration
48cancer registries and electronic records. Cox regression was used to determine
49hazard ratios (HR [95% confidence interval]) of HCC associated with higher current
50HIV RNA, longer duration of detectable HIV viremia (≥ 500 copies/mL), and current
51CD4+ cell percentage $< 14\%$, adjusting for traditional HCC risk factors. Analyses were
52stratified by previously validated diagnoses of cirrhosis prior to start of follow-up.

53

54**Results:** Among 35,659 HIV-infected patients, 302 (0.8%) developed HCC over
55281,441 person-years (incidence rate, 107.3/100,000 person-years). Among
56patients without baseline cirrhosis, higher HIV RNA (1.25 [1.12-1.40] per 1.0 \log_{10}
57copies/mL) and ≥ 12 months of detectable HIV (1.47 [1.02-2.11]) were
58independently associated with higher risk of HCC. CD4+ percentage $< 14\%$ was not
59associated with HCC in any model. Hepatitis B and C coinfection were each
60significant predictors of HCC regardless of baseline cirrhosis status.

61

62**Conclusion:** Among HIV-infected patients without baseline cirrhosis, higher HIV
63RNA and longer duration of HIV viremia increased risk of HCC, independent of

64traditional HCC risk factors. This is the strongest evidence to date that HIV viremia
65contributes to risk of HCC in this group.

66

67INTRODUCTION

68 Hepatocellular carcinoma (HCC) is a growing cause of cancer death among
69people living with HIV infection.¹ Driven largely by hepatitis C virus (HCV) coinfection,
70hepatitis B virus (HBV) coinfection, and alcoholic liver disease, the incidence of HCC
71among HIV-infected persons in North America has risen more than 4-fold from 1995-
722009.² Moreover, HIV-infected individuals have a 4-fold higher risk of HCC than
73uninfected persons.³

74 Despite the rising incidence of HCC among HIV-infected individuals, the
75determinants of this malignancy remain largely unknown in this group.⁴ Three prior
76studies found no association between HIV suppression and risk of HCC,⁵⁻⁷ but these
77studies did not evaluate the effects of longer durations or higher levels of HIV viremia,
78nor did they account for cirrhosis. Moreover, previous studies among predominantly
79HIV/HCV-coinfected patients reported that lower absolute CD4+ cell counts increased
80the risk of HCC.^{6,8-12} However, absolute CD4+ cell count may decrease during cirrhosis
81due to portal hypertension-induced splenic sequestration.¹³ Thus, studies evaluating
82associations between absolute CD4+ cell count and HCC risk cannot determine
83whether observed associations are driven by HIV-related immunosuppression or
84progression of liver disease. Consequently, it remains unclear if higher HIV RNA levels,
85longer duration of detectable HIV, and HIV-related immunosuppression contribute to
86development of HCC independent of traditional determinants. Identifying such factors
87could help define the mechanisms for the high rate of HCC among HIV-infected
88persons.

89 Cirrhosis, which represents the late stage of progressive hepatic fibrosis due
90to chronic liver disease, is an important step in the causal pathway towards HCC
91(**Figure 1**).¹⁴ Cirrhosis promotes HCC through telomere dysfunction and alterations

92of the liver milieu (e.g., increased production of toxic hepatic metabolites,
93cytokines, growth factors, and products of oxidative stress).¹⁵ Since cirrhosis
94increases the risk of HCC substantially, studies evaluating the factors associated
95with HCC must account for baseline cirrhosis status.

96 We evaluated HIV-related and traditional risk factors for HCC among HIV-
97infected patients. We hypothesized that higher HIV RNA levels, longer duration of
98HIV viremia, and lower CD4+ cell percentage, which is not affected by liver disease
99progression,¹³ were significant determinants of HCC. We also examined the risk of
100HCC with traditional risk factors in the general population, including older age, black
101race, overweight/obesity, diabetes mellitus, alcohol dependence/abuse, tobacco
102use, and HBV and HCV coinfection.¹⁶ To account for the role of cirrhosis in the
103development of HCC and the possibility that risk factors for HCC might vary by
104presence of cirrhosis, we stratified our analysis by baseline cirrhosis status.

105

106**METHODS**

107 **Study Design and Data Source**

108 We conducted a retrospective cohort study among HIV-infected individuals in
109the Veterans Aging Cohort Study (VACS) between October 1, 1999 and September 30,
1102015.¹⁷ The VACS consists of electronic medical record data from HIV-infected
111patients receiving care at Veterans Health Administration (VA) facilities across the
112United States (US). Data include demographics, hospital and outpatient diagnoses
113(recorded using International Classification of Diseases, Ninth Revision [ICD-9] codes),
114procedures, laboratory results, and dispensed medications. Death date was
115determined from the VA Vital Status File. The study was approved by the Institutional
116Review Boards of the University of Pennsylvania, Corporal Michael Crescenz VA

117Medical Center in Philadelphia, VA Connecticut Healthcare System, and Yale
118University.

119

120 **Study Patients**

121 HIV-infected patients were included if they had: 1) HIV RNA, CD4+, and CD8+
122count/percentage simultaneously assessed (which occurs routinely as part of HIV care
123in the VA system) between October 1, 1999 and September 30, 2015, and 2) at least
124180 days of observation after determination of these laboratory results. We defined
125the start of follow-up as 180 days after the date that HIV RNA, CD4+, and CD8+
126results were assessed. The 180 days prior to start of follow-up represented the
127baseline period, during which baseline comorbidities and laboratory results were
128collected. Patients were excluded if they had HCC diagnosed prior to start of follow-
129up. Follow-up continued until HCC, death, or last VA visit before September 30, 2015.

130

131 **Main Study Outcomes**

132 The primary outcome was incident HCC diagnosis. HCC diagnoses were
133determined from the VA national cancer registry by topography code C22.0 (liver) and
134morphology codes 8170-8180 (HCC) from the International Classification of Diseases
135for Oncology, Third Edition (ICD-O-3),¹⁸ consistent with Surveillance, Epidemiology, and
136End Results coding algorithms.¹⁹ The VA cancer registry records cancers diagnosed
137and/or treated within the VA.⁷ ICD-O-3 codes validly identify cancer diagnoses,
138including HCC.²⁰ To account for lags in reporting diagnoses in the cancer registry and
139minimize the likelihood of missing HCC events, we supplemented HCC case finding with
140ICD-9 diagnoses for HCC (155.0, 155.1, and 155.2) recorded in the VA electronic
141medical record. Prior research has shown that use of both VA cancer registry records

142and ICD-9 diagnoses have 90% sensitivity for incident cancer diagnosis when
143compared to chart review; however, positive predictive value varied (96% for VA
144cancer registry; 63% for ICD-9 diagnosis).^{20,21} Consequently, HCC diagnoses from the
145registry and claims were confirmed by medical record review by trained adjudicators.
146For all confirmed HCC cases, we determined the presence of cirrhosis by review of
147medical records within one year prior to HCC diagnosis. Details on cirrhosis
148adjudication appear in **Appendix 1**.

149

150 **Data Collection**

151 Baseline data included: age; sex; race/ethnicity; body mass index (BMI);
152diabetes (defined by random glucose ≥ 200 mg/dL, hemoglobin A1c $\geq 6.5\%$, or anti-
153diabetic drug use²²); alcohol dependence/abuse; injection/non-injection drug use;
154tobacco use (ever); HBV coinfection (ever positive HBV surface antigen); HCV
155coinfection (ever detectable HCV RNA or genotype); cirrhosis; HIV RNA; absolute
156CD4+ and CD8+ counts and percentages; and antiretroviral therapy (ART) use.
157Cirrhosis was defined by a hospital discharge diagnosis or outpatient diagnosis for
158cirrhosis or hepatic decompensation (**Appendix 2**). Prior studies validated this
159determination within the VA system, with $\geq 90\%$ of these diagnoses confirmed by
160medical records.^{23,24} Alanine aminotransferase (ALT), aspartate aminotransferase
161(AST), and platelet count were collected from dates closest, but within 360 days
162prior, to start of follow-up. FIB-4, a non-invasive measure of hepatic fibrosis, was
163calculated by: $(\text{age [years]} \times \text{AST [U/L]}) / (\text{platelet count [10}^9\text{/L]} \times (\text{ALT [U/L]})^{1/2})$.²⁵

164 Time-varying variables were assessed on a monthly basis and included HIV
165RNA, CD4+ and CD8+ counts/percentages, and diabetes. When multiple results of the
166same test were measured within a month, in order to be most conservative, we used

167the highest HIV RNA and CD8+ result, and lowest CD4+ result. When a test was not
168updated during a month, we carried forward the value from the previous month until
169the next available result. HIV RNA, CD4+, and CD8+ results were updated at the
170beginning of each 30-day interval from baseline but were lagged by 180 days
171(approximate mean doubling time of HCC tumors <5 centimeters in length²⁶) to
172reduce the possibility that the presence of HCC influenced these variables (i.e.,
173reverse causality).

174

175 **Statistical Analysis**

176 We determined unadjusted incidence rates (IR) of HCC (events/100,000
177person-years), overall and by HBV and HCV status. We used multivariable Cox
178regression to determine adjusted hazard ratios (HR [95% confidence interval]) of HCC
179for risk factors of interest. HIV-related factors included higher time-updated HIV RNA
180level, longer duration of detectable HIV (≥ 500 copies/mL), and lower time-updated
181CD4+ percentage. Traditional HCC risk factors examined included older age, black
182race, overweight/obesity, diabetes, alcohol dependence/abuse, ever use of tobacco,
183HBV coinfection, and HCV coinfection.¹⁶ Given the importance of cirrhosis on
184development of HCC, analyses were stratified by baseline cirrhosis status.

185 To evaluate the effects of HIV viremia on HCC risk, we created four separate
186models that examined HIV as a time-updated: 1) continuous variable by 1.0 \log_{10}
187increments (model #1), 2) categorical variable defined by detectable HIV (≥ 500
188copies/mL; model #2), 3) categorical variable classified by increasing categories of
189HIV RNA (<500 copies/mL; 500-9,999 copies/mL; $\geq 10,000$ copies/mL; model #3),
190and 4) categorical variable classified by increasing consecutive months of
191detectable HIV (compared to those with undetectable HIV; model #4). For model

192#4, detectable HIV was evaluated as a monthly time-updated variable. Once a
193patient was classified with HIV viremia, consecutive months were counted until a
194viral load <500 copies/mL was identified. If detectable HIV recurred, the count of
195consecutive months of viremia was restarted at one month. We determined HRs of
196HCC associated with increasing consecutive months of detectable HIV (1-11 months;
197 ≥ 12 months) compared to persons whose HIV RNA was suppressed throughout
198follow-up, adjusting for all other risk factors.

199 We performed five sensitivity analyses to assess the robustness of our
200results. First, we repeated the analysis accounting for competing risk of death.²⁷
201Second, we repeated analyses, lagging HIV RNA and CD4+ cell percentages by 360
202and 540 days. Third, we evaluated risk factors for HCC separately among persons
203with HBV coinfection, HCV coinfection, and without viral hepatitis. Fourth, to explore
204the potential impact of hepatic steatosis on HCC risk, we classified patients with
205possible fatty liver disease prior to start of follow-up based on the presence of both
206obesity (BMI ≥ 30 kg/m²) and diabetes mellitus, since these act synergistically to
207promote steatosis,²⁸ and examined associations with HCC. Fifth, since duration of
208HIV-related immunosuppression might affect HCC risk, we determined whether
209longer consecutive months with CD4+ percentage <14% increased risk of HCC.
210Finally, in an exploratory analysis, we examined the risk of HCC with lower time-
211updated CD4+/CD8+ ratio, which indicates dysfunctional immune activation,²⁹ by
212cirrhosis status.

213 Proportionality of hazards was assessed by log-log plots and Schoenfeld
214residuals.³⁰ To address the potential bias of missing data among covariates, we
215implemented multiple imputation using chained equations by means of 10
216imputations using all variables in **Table 1**.³¹ Results across the 10 datasets were

217combined to arrive at confidence intervals that accounted for within- and across-
218dataset variances. Data were analyzed using SAS 9.4 (SAS Institute Inc., Cary, NC).

219

220**RESULTS**

221 **Patient Characteristics**

222 We identified 37,946 HIV-infected individuals in the VACS who had HIV RNA,
223CD4+, and CD8+ results measured simultaneously between October 1, 1999 and
224September 30, 2015. After exclusions, 35,659 remained in the final sample.

225 Patients in the cohort had a median age of 46 years at baseline and were
226predominantly male, black, and overweight/obese (**Table 1**). Tobacco use, alcohol
227dependence/abuse, and injection/non-injection drug use were common. At the start of
228follow-up, 56.7% had HIV RNA \geq 500 copies/mL, 23.9% had CD4+ percentage $<$ 14%,
229and 88.5% had a CD4+/CD8+ ratio $<$ 1.0. A total of 31.9% had HCV coinfection, and
2305.6% were HBV-coinfected. Only 11.9% of HCV-coinfected persons received anti-HCV
231therapy at baseline or during follow-up.

232 Overall, 68.7% received ART during the baseline period. ART regimens
233prescribed reflected the antiretrovirals used at the time of study entry (**Table 1**).
234Among 1,981 HBV-coinfected individuals, 1,211 (61.1%) were on ART at baseline. Of
235these, 689 (56.9%) received HBV-active ART with lamivudine or emtricitabine alone,
236374 (30.9%) with tenofovir plus emtricitabine or lamivudine, and 27 (2.2%) with
237tenofovir alone; 121 (10.0%) were on ART without an HBV-active antiretroviral. By the
238end of follow-up, 1,840 (92.9%) received HBV-active ART.

239 A total of 773 (2.2%) patients had a baseline diagnosis of cirrhosis. These
240patients were older and more commonly had diabetes, alcohol dependence/abuse,
241and HCV or HBV coinfection compared to those without cirrhosis (**Table 1**).

242

243 **Incidence Rates of HCC**

244 Overall, 302 medical record-confirmed HCC diagnoses were identified over
245 281,441 person-years (IR, 107.3/100,000 person-years) with median duration of follow-
246 up of 7.4 (interquartile range, 3.3-12.8) years. Rates were particularly high among the
247 1,981 HBV-coinfected (IR, 350.4/100,000 person-years) and 11,392 HCV-coinfected (IR,
248 224.6/100,000 person-years) individuals.

249 Patients diagnosed with HCC had a high prevalence of HCV coinfection
250 (82.8%), alcohol dependence/abuse (63.2%), overweight/obesity (47.7%), and HBV
251 coinfection (18.9%; **Table 2**). Notably, 99 (32.8%) did not have cirrhosis at HCC
252 diagnosis (**Table 2**).

253

254 **Determinants of HCC, by Baseline Cirrhosis Status**

255 Among individuals without baseline cirrhosis, higher HIV RNA in model #1
256 (1.25 [1.12-1.40] per 1.0 log₁₀ copies/mL increase), detectable HIV in model #2 (1.46
257 [1.07-1.99]), HIV RNA ≥10,000 copies/mL in model #3 (1.63 [1.11-2.40]), and ≥12
258 months of detectable HIV viremia in model #4 (1.47 [1.02-2.11]) increased the risk
259 of HCC (**Table 3**). Absolute CD4+ counts <200 cells/mm³ increased HCC risk
260 (**Appendix 3**); however, lower CD4+ percentage was not associated with an
261 increased risk of HCC (**Table 3**). Additionally, in all models, older age, diabetes, HBV
262 coinfection, HCV coinfection, history of alcohol dependence/abuse, and ever use of
263 tobacco increased the risk of HCC (**Table 3**). Among individuals with baseline
264 cirrhosis, only HBV and HCV coinfection were associated with HCC (**Appendix 4**).

265 Results were similar in analyses accounting for the competing risk of death
266 (data not shown) and using 360-day and 540-day lags for HIV RNA and CD4+ cell
267 percentage (**Appendix 5**). Similar findings were observed when analyses were
268 restricted to HBV-coinfected (**Appendix 6**) and HCV-coinfected (**Appendix 7**)

269 individuals, though results for some risk factors did not achieve statistical
270 significance given the smaller sample sizes in these groups. There were too few HCC
271 events (n=17) among HIV-infected patients without viral hepatitis to permit analysis.
272 When possible fatty liver disease was evaluated, patients who had both baseline
273 obesity and diabetes had a higher risk of HCC than those who did not (2.32 [1.19-
274 4.52]; **Appendix 8**). Longer consecutive months with CD4+ percentage <14% did
275 not increase HCC risk (≥ 12 months: 1.02 [0.69-1.51]; 1-11 months: 1.15 [0.65-2.05])
276 compared to those with $\geq 14\%$ throughout follow-up.

277 In exploratory analyses, after adjustment for HIV RNA and traditional risk
278 factors, the risk of HCC was not increased with lower time-updated CD4+/CD8+
279 ratio among either individuals with baseline cirrhosis (1.000 [0.999-1.001] per 0.1
280 unit decrease) or without cirrhosis (0.991 [0.981-1.002] per 0.1 unit decrease).

281

282 **DISCUSSION**

283 To our knowledge, this is the largest study to evaluate HIV-related and
284 traditional risk factors for HCC among HIV-infected patients, and the first to
285 examine such determinants by baseline cirrhosis status. We stratified our analyses
286 by baseline cirrhosis status to account for the possibility that risk factors for HCC
287 might vary by the presence of cirrhosis. Among patients without baseline cirrhosis,
288 time-updated detectable HIV (≥ 500 copies/mL), higher HIV RNA (particularly
289 $\geq 10,000$ copies/mL), and ≥ 12 months of detectable HIV increased risk of HCC,
290 independent of traditional risk factors. Older age, HBV, HCV, diabetes, alcohol
291 dependence/abuse, and tobacco use, which are traditional risk factors for HCC, also
292 increased HCC risk in this group. The risk of HCC was particularly high in those with
293 both obesity and diabetes. Among patients with baseline cirrhosis, only HBV and
294 HCV coinfection remained associated with HCC. Notably, lower CD4+ cell

295percentage was not associated with increased risk of HCC regardless of cirrhosis
296status.

297 Our study is the first to find that higher level and longer duration of HIV
298viremia contribute to HCC risk. HIV viremia could contribute to HCC by accelerating
299hepatic fibrosis progression to cirrhosis or by directly promoting
300hepatocarcinogenesis via immune dysregulation, oxidative stress, hepatocyte
301apoptosis, and/or depletion of CD4+ cells in the gastrointestinal tract with resultant
302microbial translocation.³²⁻³⁴ We have previously shown that suppression of HIV
303viremia can delay onset of cirrhosis.²¹ Our findings suggest that achieving and
304maintaining HIV suppression could mitigate the risk of HCC.

305 Three prior studies found no association between HIV RNA and risk of HCC, but
306none stratified analyses by baseline cirrhosis status. One study of 31,576 HIV-
307infected patients in the VA HIV Clinical Case Registry from 1985-2010 found that
308longer percentage of time with undetectable HIV RNA (<500 copies/mL) did not
309decrease HCC risk.⁵ A follow-up study among 8,563 HIV/HCV-coinfected patients in
310this registry similarly found no association between duration of undetectable HIV
311RNA and HCC.⁶ However, both analyses included cirrhosis as a covariate in
312multivariable models. Since cirrhosis is in the causal pathway to HCC, controlling for
313cirrhosis could have adjusted away associations between HIV suppression and HCC.
314A third study among 42,441 HIV-infected patients in the VACS from 1999-2015 found
315that neither early (≤ 2 years) nor long-term (> 2 years) HIV suppression decreased
316rates of HCC compared to 104,712 demographically similar uninfected persons.⁷
317However, this analysis did not stratify results by baseline cirrhosis status.

318 Contrary to previous studies,^{6,8-12} we found that HIV-related
319immunosuppression, as measured by CD4+ cell percentage, was not associated

320with an increased risk of HCC. Notably, those prior studies evaluated the risk of HCC
321associated with lower absolute CD4+ count. Indeed, when we evaluated
322associations between absolute CD4+ count and HCC in our cohort, CD4+ counts
323<200 cells/mm³ increased HCC risk. However, absolute CD4+ count may decrease
324during cirrhosis as a result of portal hypertension-induced splenic sequestration, but
325CD4+ percentage remains unchanged during cirrhosis.¹³ Our results suggest that
326HIV-related immunosuppression is not an important contributor to HCC risk and that
327the findings of prior analyses likely reflected the effect of liver fibrosis progression
328on absolute CD4+ count.

329 Interestingly, 32.8% of patients with HCC in our study did not have evidence
330of cirrhosis based on review of medical records within one year prior to cancer
331diagnosis. HCC can develop in the absence of advanced hepatic fibrosis in chronic
332HBV infection or non-alcoholic fatty liver disease.^{35,36} Further research is needed to
333determine how frequently HCC occurs in the absence of cirrhosis in HIV and if this
334differs from uninfected persons.

335 The study has several potential limitations. First, we might have
336underestimated cirrhosis, since this condition is clinically silent. However, cirrhosis
337was identified using validated diagnoses, and the negative predictive value of this
338definition exceeded 99%.^{23,24} Second, we were unable to determine fatty liver
339disease, since this diagnosis requires liver imaging or biopsy to confirm. We classified
340possible fatty liver disease by baseline presence of both obesity and diabetes. Future
341studies should evaluate the effect of fatty liver disease on HCC in HIV. Third, we did
342not evaluate the risk of HCC with antiretroviral drugs, particularly those associated
343with hepatotoxicity,³⁷ or viral hepatitis treatments. Additional research should
344evaluate the effects of these medications on incidence of HCC. Finally, our sample

345was predominantly comprised of male US Veterans, but the study included 867 HIV-
346infected women.

347 In conclusion, among HIV-infected patients without cirrhosis, higher HIV RNA
348and longer duration of HIV viremia, in addition to HBV and HCV coinfection, were
349important determinants of HCC, independent of traditional risk factors. HIV-related
350immunosuppression, determined by CD4+ cell percentage, was not associated with
351increased risk of HCC. This study provides the strongest evidence to date that HIV
352viremia contributes to the risk of HCC in this group.

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356

357**NOTES**

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486

Figure 1. Pathway to development of hepatocellular carcinoma (HCC). The figure shows the contributions of the key modifiable determinants of HCC, including traditional risk factors (blue) and the hypothesized HIV-related determinants in this study (red). Both chronic hepatitis B virus (HBV) infection and HIV viremia could increase the risk of HCC by inducing hepatic fibrosis and cirrhosis or by directly promoting development of HCC outside of the liver cirrhosis pathway.

493

494 **Table 1.** Baseline characteristics of study patients, stratified by cirrhosis diagnosis.

Characteristic*	Overall (n=35,659)	Baseline No Cirrhosis† (n=34,886)	Baseline Cirrhosis† (n=773)
Median age (years, IQR)	46 (39-53)	46 (39-53)	49 (44-55)
Male sex	34,792 (97.6)	34,032 (97.6)	760 (98.3)
Race/ethnicity			
Black	17,069 (47.9)	16,750 (48.0)	319 (41.3)
Caucasian	13,859 (38.9)	13,522 (38.8)	337 (43.6)
Hispanic	2,720 (7.6)	2,632 (7.5)	88 (11.4)
Other/Unknown	2,011 (5.6)	1,982 (5.7)	29 (3.8)
Body mass index			
Underweight (<18.50 kg/m ²)	837 (2.3)	819 (2.3)	18 (2.3)
Normal (18.50-24.99 kg/m ²)	14,083 (39.5)	13,768 (39.5)	315 (40.8)
Overweight (25.00-29.99 kg/m ²)	11,415 (32.0)	11,184 (32.1)	231 (29.9)
Obesity (30.00-34.99 kg/m ²)	3,882 (10.9)	3,789 (10.9)	93 (12.0)
Morbid obesity (≥35.00 kg/m ²)	1,323 (3.7)	1,299 (3.7)	24 (3.1)
Missing weight and/or height	4,119 (11.6)	4,027 (11.5)	92 (11.9)
Diabetes mellitus	3,308 (9.3)	3,150 (9.0)	158 (20.4)
History of alcohol dependence/abuse	10,538 (29.6)	10,064 (28.8)	474 (61.3)
History of injection/non-injection drug use	16,235 (45.5)	15,781 (45.2)	454 (58.7)
Tobacco use			
Never	9,533 (26.7)	9,393 (26.9)	140 (18.1)
Ever†	24,707 (69.3)	24,158 (69.2)	549 (71.0)
Unknown	1,419 (4.0)	1,335 (3.8)	84 (10.9)
Hepatitis C virus coinfection[§]			
Detectable HCV RNA or genotype	11,392 (31.9)	10,940 (31.4)	452 (58.5)
<i>Ever treated with HCV antiviral</i>	1,354 (11.9)	1,304 (11.9)	50 (11.1)
HCV antibody+/HCV RNA-	1,055 (3.0)	1,020 (2.9)	35 (4.5)
HCV antibody-	21,472 (60.2)	21,247 (60.9)	225 (29.1)
Never tested	1,740 (4.9)	1,679 (4.8)	61 (7.9)
Hepatitis B virus coinfection			
HBsAg+	1,981 (5.6)	1,873 (5.4)	108 (14.0)
<i>Ever treated with HBV-active antiretroviral</i>	1,840 (92.9)	1,748 (93.3)	92 (85.2)
HBsAg-	31,712 (88.9)	31,096 (89.1)	616 (79.7)
Never tested	1,966 (5.5)	1,917 (5.5)	49 (6.3)
HIV RNA			
Median (log ₁₀ cells/mm ³ , IQR)	3.2 (1.7-4.6)	3.2 (1.7-4.6)	3.0 (1.7-4.6)
≥500 copies/mL	20,216 (56.7)	19,791 (56.7)	425 (55.0)
CD4+ cell percentage			
Median (% , IQR)	22 (14-31)	22 (14-31)	22 (14-31)
≥28%	11,776 (33.0)	11,530 (33.1)	246 (31.8)
14-27.99%	14,798 (41.5)	14,456 (41.4)	342 (44.2)
<14%	8,513 (23.9)	8,337 (23.9)	176 (22.8)
Unknown	572 (1.6)	563 (1.6)	9 (1.2)
CD4+/CD8+ ratio			
Median (IQR)	0.40 (0.21-0.69)	0.40 (0.21-0.69)	0.42 (0.21-0.70)
<1.0	31,553 (88.5)	30,879 (88.5)	674 (87.2)
Median alanine aminotransferase (U/L)	31 (21-47)	30 (21-47)	41 (27-71)
Not assessed at baseline	2,362 (6.6)	2,338 (6.7)	24 (3.1)
Median aspartate aminotransferase (U/L)	30 (23-44)	29 (22-43)	55 (32-94)

Characteristic*	Overall (n=35,659)	Baseline No Cirrhosis† (n=34,886)	Baseline Cirrhosis† (n=773)
Not assessed at baseline	1,987 (5.6)	1,964 (5.6)	23 (3.0)
Platelet count (x 10⁶/L)			
≥150,000	30,570 (85.7)	30,194 (86.6)	376 (48.6)
<150,000	4,812 (13.5)	4,420 (12.7)	392 (50.7)
Not assessed at baseline	277 (0.8)	272 (0.8)	5 (0.6)
Median baseline FIB-4 (IQR)	1.18 (0.81-1.78)	1.17 (0.81-1.74)	3.00 (1.58-5.82)
Unable to be calculated at baseline	3,325 (9.3)	3,290 (9.4)	35 (4.5)
On antiretroviral therapy	24,506 (68.7)	23,980 (68.7)	526 (68.0)
Most common baseline antiretroviral regimens[¶]			
Efavirenz/tenofovir/emtricitabine	3,639 (14.8)	3,565 (14.9)	74 (14.1)
Efavirenz/zidovudine/lamivudine	1,764 (7.2)	1,738 (7.2)	26 (4.9)
Nelfinavir/zidovudine/lamivudine	1,177 (4.8)	1,156 (4.8)	21 (4.0)
Indinavir/zidovudine/lamivudine	1,033 (4.2)	1,015 (4.2)	18 (3.4)
Atazanavir/tenofovir/emtricitabine	965 (3.9)	950 (4.0)	15 (2.9)
Nelfinavir/stavudine/lamivudine	888 (3.6)	863 (3.6)	25 (4.8)
Indinavir/stavudine/lamivudine	725 (3.0)	708 (3.0)	17 (3.2)
Nevirapine/zidovudine/lamivudine	663 (2.7)	652 (2.7)	11 (2.1)
Efavirenz/stavudine/lamivudine	611 (2.5)	590 (2.5)	21 (4.0)

495 Abbreviations: HBsAg=hepatitis B surface antigen; HBV=hepatitis B virus; HCV=hepatitis C virus;

496 HIV=human immunodeficiency virus; IQR=interquartile range; RNA=ribonucleic acid

497* Results reported as n (%) unless otherwise specified.

498† Cirrhosis defined as any diagnosis of compensated or decompensated cirrhosis prior to the start of follow-up.

500‡ Ever tobacco use includes current and prior tobacco use.

501§ Hepatitis C virus coinfection defined as positive quantitative HCV RNA (absolute value determinable or not), positive qualitative HCV RNA, or quantifiable HCV genotype at baseline or during follow-up.

503|| Hepatitis B virus coinfection defined as positive HBV surface antigen at baseline or during follow-up.

504¶ Percentages represent proportion of antiretroviral use among patients prescribed therapy.

505

506**Table 2.** Characteristics of patients with incident hepatocellular carcinoma, stratified by
 507cirrhosis as determined by review of medical records within one year prior to diagnosis.

Characteristic*	Overall Incident HCC (n=302)	No Evidence of Cirrhosis (n=99)	Evidence of Cirrhosis (n=203)
Median age at diagnosis (years, IQR)	56.4 (51.3-61.1)	57.5 (51.3-61.8)	56.0 (51.3-60.9)
Median time to diagnosis (years, IQR)	7.2 (3.9-10.6)	6.3 (3.5-10.3)	7.4 (4.2-11.1)
Male sex	299 (99.0)	99 (100.0)	200 (98.5)
Race/ethnicity			
Black	159 (52.6)	62 (62.6)	97 (47.8)
Caucasian	97 (32.1)	24 (24.2)	73 (36.0)
Hispanic	37 (12.3)	10 (10.1)	27 (13.3)
Other/Unknown	9 (3.0)	3 (3.0)	6 (3.0)
Body mass index			
Underweight (<18.50 kg/m ²)	25 (8.3)	16 (16.2)	9 (4.4)
Normal (18.50-24.99 kg/m ²)	128 (42.4)	47 (47.5)	81 (39.9)
Overweight (25.00-29.99 kg/m ²)	102 (33.8)	25 (25.3)	77 (37.9)
Obesity (30.00-34.99 kg/m ²)	34 (11.3)	9 (9.1)	25 (12.3)
Morbid obesity (≥35.00 kg/m ²)	8 (2.6)	2 (2.0)	6 (3.0)
Missing weight and/or height	5 (1.7)	0 (0.0)	5 (2.5)
Diabetes mellitus	94 (31.1)	24 (24.2)	70 (34.5)
History of alcohol dependence/abuse	191 (63.2)	61 (61.6)	130 (64.0)
History of injection/non-injection drug use	246 (81.5)	81 (81.8)	165 (81.3)
Tobacco use			
Never	40 (13.2)	10 (10.1)	30 (14.8)
Ever†	262 (86.8)	89 (89.9)	173 (85.2)
Hepatitis C virus coinfection‡			
Detectable HCV RNA or genotype	250 (82.8)	79 (79.8)	171 (84.2)
HCV antibody+/HCV RNA-	5 (1.7)	1 (1.0)	4 (2.0)
HCV antibody-	39 (12.9)	15 (15.2)	24 (11.8)
Never tested	8 (2.6)	4 (4.0)	4 (2.0)
Hepatitis B virus coinfection§			
HBsAg+	57 (18.9)	17 (17.2)	40 (19.7)
HBsAg-	236 (78.1)	79 (79.8)	157 (77.3)
Never tested	9 (3.0)	3 (3.0)	6 (3.0)
Median HIV RNA (log₁₀ copies/mL, IQR)	1.7 (1.7-2.6)	1.7 (1.7-2.6)	1.7 (1.7-2.7)
CD4 percentage			
Median (% , IQR)	26 (17-35)	26 (14-35)	26 (18-35)
≥28%	135 (44.7)	42 (42.4)	93 (45.8)
14-27.99%	120 (39.7)	35 (35.4)	85 (41.9)
<14%	47 (15.6)	22 (22.2)	25 (12.3)
CD4:CD8 ratio			
Median (IQR)	0.57 (0.31-0.93)	0.52 (0.26-0.98)	0.59 (0.33-0.91)
<1.0	239 (79.1)	77 (77.8)	162 (79.8)
Median alanine aminotransferase (U/L, IQR)	54 (36-79)	52 (35-74)	57 (36-79)
Not assessed within 360 days prior to HCC diagnosis	2 (0.7)	0 (0.0)	2 (1.0)
Median aspartate aminotransferase (U/L, IQR)	68 (44-101)	61 (38-95)	73 (47-104)
Not assessed within 360 days prior to HCC diagnosis	1 (0.3)	0 (0.0)	1 (0.5)
Platelet count <150,000 x 10⁶/L	172 (57.0)	42 (42.4)	130 (64.0)

Characteristic*	Overall Incident HCC (n=302)	No Evidence of Cirrhosis (n=99)	Evidence of Cirrhosis (n=203)
FIB-4			
<1.45	27 (8.9)	18 (18.2)	9 (4.4)
1.45-3.25	99 (32.8)	39 (39.4)	60 (29.6)
>3.25	173 (57.3)	42 (42.4)	131 (64.5)
Insufficient data to calculate FIB-4	3 (1.0)	0 (0.0)	3 (1.5)
On antiretroviral therapy	229 (75.8)	77 (77.8)	152 (74.9)
Ever dideoxynucleoside analogue use[¶]	207 (68.5)	74 (74.7)	133 (65.5)

508 Abbreviations: HBsAg=hepatitis B surface antigen; HCC=hepatocellular carcinoma; HCV=hepatitis C virus;

509 HIV=human immunodeficiency virus; IQR=interquartile range; NRTI=nucleoside reverse transcriptase

510 inhibitors; RNA=ribonucleic acid

511* Results reported as n (%) unless otherwise specified.

512[†] Ever tobacco use includes current and prior tobacco use.

513[‡] Hepatitis C virus coinfection defined as positive quantitative HCV RNA (absolute value determinable or not),

514 positive qualitative HCV RNA, or quantifiable HCV genotype at baseline or during follow-up.

515[§] Hepatitis B virus coinfection defined as positive HBV surface antigen at baseline or during follow-up.

516^{||} FIB-4 was calculated using current age and most recent alanine aminotransferase, aspartate

517 aminotransferase, and platelet count within 360 days prior to HCC diagnosis.

518[¶] Included didanosine, stavudine, zalcitabine, and zidovudine.

519**Table 3.** Factors associated with incident hepatocellular carcinoma among HIV-infected patients in the Veterans
520Aging Cohort Study (October 1, 1999-September 30, 2015) without a baseline diagnosis of cirrhosis (n=34,886; 270
521hepatocellular carcinoma events).

Characteristic	Unadjusted HR (95% CI)	Model #1* Adj. HR (95% CI)	Model #2† Adj. HR (95% CI)	Model #3‡ Adj. HR (95% CI)	Model #4§ Adj. HR (95% CI)
Age (per 10 years)	1.33 (1.17-1.51)	1.48 (1.26-1.73)	1.44 (1.23-1.69)	1.45 (1.23-1.70)	1.44 (1.23-1.69)
Male sex	2.30 (0.74-7.19)	1.38 (0.44-4.31)	1.37 (0.44-4.30)	1.37 (0.44-4.29)	1.37 (0.44-4.30)
Race					
White	Reference	Reference	Reference	Reference	Reference
Black	1.50 (1.15-1.97)	0.97 (0.73-1.28)	0.97 (0.74-1.29)	0.97 (0.74-1.29)	0.97 (0.74-1.29)
Hispanic	1.77 (1.17-2.68)	1.24 (0.82-1.89)	1.23 (0.81-1.87)	1.23 (0.81-1.88)	1.23 (0.81-1.87)
Other	1.40 (0.68-2.89)	1.72 (0.83-3.58)	1.72 (0.83-3.56)	1.71 (0.83-3.55)	1.72 (0.83-3.56)
Baseline body mass index					
Underweight (<18.50 kg/m ²)	0.63 (0.18-2.15)	0.59 (0.17-2.08)	0.59 (0.17-2.09)	0.59 (0.17-2.09)	0.59 (0.17-2.09)
Normal (18.50-24.99 kg/m ²)	Reference	Reference	Reference	Reference	Reference
Overweight (25.00-29.9 kg/m ²)	0.88 (0.67-1.17)	0.96 (0.72-1.27)	0.96 (0.72-1.27)	0.96 (0.72-1.27)	0.96 (0.72-1.27)
Obesity (30.00-34.9 kg/m ²)	0.92 (0.61-1.40)	1.01 (0.65-1.56)	1.01 (0.65-1.56)	1.01 (0.65-1.56)	1.01 (0.65-1.56)
Morbid obesity (≥35.00 kg/m ²)	0.84 (0.39-1.80)	0.98 (0.45-2.14)	0.98 (0.45-2.13)	0.98 (0.45-2.13)	0.98 (0.45-2.13)
	1.70 (1.32-2.20)	1.46 (1.12-1.91)	1.45 (1.11-1.90)	1.45 (1.11-1.90)	1.45 (1.11-1.90)
Time-updated diabetes mellitus	Reference				
Hepatitis B virus coinfection	3.65 (2.68-4.97)	Reference	Reference	Reference	Reference
HBsAg-	1.01 (0.48-2.15)	3.92 (2.87-5.35)	3.91 (2.86-5.34)	3.91 (2.86-5.35)	3.91 (2.86-5.34)
HBsAg+		1.12 (0.52-2.42)	1.13 (0.52-2.44)	1.13 (0.52-2.44)	1.13 (0.52-2.44)
Never tested					
Hepatitis C virus coinfection					
HCV antibody-	Reference	Reference	Reference	Reference	Reference
Detectable HCV RNA or genotype	9.25 (6.53-13.11)	7.65 (5.35-10.94)	7.68 (5.36-10.98)	7.68 (5.37-11.00)	7.68 (5.36-10.98)
HCV antibody+/HCV RNA-	4.83 (1.90-12.28)	3.73 (1.46-9.52)	3.81 (1.49-9.72)	3.80 (1.49-9.71)	3.81 (1.49-9.72)

Characteristic	Unadjusted HR (95% CI)	Model #1* Adj. HR (95% CI)	Model #2† Adj. HR (95% CI)	Model #3‡ Adj. HR (95% CI)	Model #4§ Adj. HR (95% CI)
Never tested	4.85 (2.16-10.88)	4.64 (2.04-10.55)	4.68 (2.06-10.65)	4.68 (2.06-10.64)	4.68 (2.06-10.65)
Time-updated CD4+ cell percentage	Reference	Reference	Reference	Reference	Reference
≥28%	1.05 (0.81-1.37)	0.86 (0.66-1.12)	0.89 (0.68-1.16)	0.88 (0.68-1.16)	0.89 (0.68-1.16)
14%-27.99%	1.52 (1.08-2.14)	0.97 (0.66-1.43)	1.12 (0.78-1.62)	1.09 (0.75-1.59)	1.12 (0.78-1.62)
<14%	2.38 (1.85-3.05)	1.45 (1.11-1.89)	1.46 (1.12-1.90)	1.46 (1.12-1.90)	1.46 (1.12-1.90)
History of alcohol abuse					
Tobacco use					
Never	Reference	Reference	Reference	Reference	Reference
Ever	2.58 (1.81-3.67)	1.65 (1.14-2.38)	1.66 (1.15-2.39)	1.66 (1.15-2.40)	1.66 (1.15-2.39)
Time-updated HIV RNA (per 1.0 log₁₀ copies/mL)	1.26 (1.14-1.39)	1.25 (1.12-1.40)	-	-	-
Current HIV RNA ≥500 copies/mL	1.58 (1.19-2.10)	-	1.46 (1.07-1.99)	-	-
Current HIV RNA categories	Reference			Reference	
<500 copies/mL	1.41 (0.94-2.11)	-	-	1.31 (0.87-1.97) [¶]	-
500-9,999 copies/mL	1.73 (1.22-2.47)	-	-	-	-
10,000+ copies/mL		-	-	1.63 (1.11-2.40)[¶]	-
Consecutive months of HIV RNA ≥500 copies/mL					
HIV RNA always <500 copies/mL	Reference	-	-	-	Reference
1-11 months of HIV RNA ≥500 copies/mL	1.63 (1.07-2.49)	-	-	-	1.46 (0.94-2.26)
≥12 months of HIV RNA ≥500 copies/mL	1.55 (1.10-2.18)	-	-	-	1.47 (1.02-2.11)

522Abbreviations: CI=confidence interval; HBsAg=hepatitis B surface antigen; HBV=hepatitis B virus; HCV=hepatitis C virus; HIV=human

523immunodeficiency virus; HR=hazard ratio; RNA=ribonucleic acid

524* Model #1 includes continuous values of HIV RNA as log₁₀ copies/mL

525† Model #2 includes current HIV RNA ≥500 copies/mL

526‡ Model #3 includes current categories of HIV RNA ≥500 copies/mL

527§ Model #4 includes consecutive months of HIV RNA ≥500 copies/mL

528|| Ever tobacco use includes current and prior tobacco use

529¶ p for trend=0.01.