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## Induced pluripotent stem cell technology: a decade of progress

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### Abstract

Since the advent of induced pluripotent stem cell (iPSC) technology a decade ago, enormous progress has been made in stem cell biology and regenerative medicine. Human iPSCs have been widely used for disease modeling, drug discovery, and cell therapy development. Novel pathological mechanisms have been elucidated, new drugs originating from iPSC screens are in the pipeline, and the first clinical trial using human iPSC-derived products has been initiated. In particular, the combination of human iPSC technology with recent developments in gene editing and three-dimensional organoids makes iPSC-based platforms even more powerful in each area of their application, including precision medicine. In this overview, we will discuss the progress in applications of iPSC technology that are particularly relevant to drug discovery and regenerative medicine, in light of the remaining challenges and the emerging opportunities in the field.

### Introduction

In 2006, a major technological breakthrough in science and medicine was made with the report that cells with gene expression/epigenetic profile and developmental potential that are similar to embryonic stem cells (ESCs) can be generated from somatic cells (such as fibroblasts) in mice by using a cocktail of four transcriptional factors<sup>1</sup>. These cells were termed induced pluripotent stem cells (iPSCs) and the four factors — Oct4, Sox2, Klf4 and c-Myc — were named “Yamanaka factors”. Just one year later, the generation of iPSCs from human fibroblasts was reported from two laboratories simultaneously<sup>2,3</sup>.

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Conflict of Interest

J.C.W. is a co-founder of Stem Cell Theranostics. S.Y. is a scientific advisor of iPS Academia Japan without salary. The other authors declare no conflict of interest.

Human iPSC technology, which has evolved rapidly since 2007 (Box 1), has ushered in an exciting new era for the fields of stem cell biology and regenerative medicine, as well as disease modeling and drug discovery. Soon after the development of the technology, human iPSCs were rapidly applied to generate human ‘disease-in-a-dish’ models and used for drug screening for both efficacy and potential toxicities. Such approaches are now becoming increasingly popular, given the surge of interest in phenotypic screening and the advantages of human iPSCs in disease modeling, compared with traditional cellular screens. These advantages include their human origin, easy accessibility, expandability, ability to give rise to almost any cell types desired, avoidance of ethical concerns associated with human ESCs, and the potential to develop personalized medicine using patient-specific iPSCs.

Furthermore, recent advances with gene-editing technologies — in particular the CRISPR/Cas9 technology — are enabling the rapid generation of genetically defined human iPSC-based disease models. iPSCs are also a key component of an emerging generation of more physiologically representative cellular platforms incorporating three dimensional (3D) architectures and multiple cell types.

iPSC technology has also attracted considerable interest in its potential applicability for regenerative medicine. The first clinical study using human iPSC-derived cells was initiated in 2014, which used human iPSC-derived retinal pigment epithelial (RPE) cells to treat macular degeneration<sup>4</sup>, and was reported to have improved the patient’s vision<sup>5</sup>. Although the clinical study was subsequently put on hold due to the identification of two genetic variants in iPSCs of the patient, the trial is expected to resume<sup>6</sup>.

Clearly, human iPSC technology holds great promise for human disease modeling, drug discovery, and stem cell-based therapy, and this potential is only beginning to be realized. In this article, we overview the progress in each of the main applications of iPSCs in the decade since the discovery of the technology, featuring key illustrative examples, discussing remaining limitations and approaches to address them, and highlighting emerging opportunities.

## iPSC-based disease modeling

Identifying pathological mechanisms underlying human diseases has a key role in discovering novel therapeutic strategies. Animal models have provided valuable tools for modeling human diseases, allowing the identification of pathological mechanisms at distinct developmental stages and in specific cell types in an *in vivo* setting. Moreover, in mice it is possible to develop *in vitro* iPSC-based disease models and the corresponding *in vivo* models in parallel. Comparing the phenotypes observed with corresponding *in vitro* and *in vivo* mouse models could provide a better understanding of the strength and limitations of *in vitro* human iPSC-based models.

However, significant species differences could prevent the recapitulation of full human disease phenotypes in animals such as mice, which are the most commonly used animal models. For example, although many transgenic mouse models have been created for Alzheimer’s disease, none has captured the entire spectrum of the human disease pathology, including considerable neuronal loss<sup>7,8</sup>. This is likely due to fundamental species differences

between mouse and human neural cells. Thus, there is an urgent need to establish human disease modeling platforms to complement studies in animal models for biomedical research.

Disease modeling using primary patient-derived cells is helpful for studying the etiology of human diseases and developing therapeutic strategies for these diseases. However, the unavailability of expandable sources of primary cells from patients, especially hard-to-access cells such as brain cells and heart cells, is a critical limitation. Human iPSCs are therefore an attractive alternative because of the ease with which human diseases (particularly those with defined genetic causes) could in principle be modeled using iPSCs derived from easily accessible cell types, such as skin fibroblasts and blood cells from diverse patients. Because of their intrinsic properties of self-renewal and potential to differentiate into nearly any cell types in the body, patient-specific iPSCs could provide large quantities of disease-relevant cells and a variety of different cell types that were previously inaccessible, such as neurons and cardiomyocytes. Furthermore, because iPSCs can be derived from the relevant patients themselves, they could enable personalized disease modeling that would be a central part of precision medicine.

Both human ESCs and iPSCs have been used for modeling human genetic diseases. The earlier models were developed using ESCs<sup>9</sup>, but following the advent of human iPSC technology, human iPSCs have become the preferred option because of their availability and lack of potential ethical concerns associated with human ESCs. Human iPSCs are very similar to human ESCs. Both types of cells express human pluripotent factors and ESC surface markers, and exhibit developmental potential to differentiate into three germ layers<sup>2,3</sup>. Residual epigenetic memory of somatic cells could occur in iPSCs<sup>10-12</sup>, which may affect the differentiation potential of these cells<sup>13</sup>. Although the persistence of epigenetic memory of parental cells has been reported in iPSCs<sup>10-12</sup>, similar phenotypes have been reported in disease modeling using human ESCs and iPSCs in most cases<sup>13</sup>, validating the effectiveness of disease modeling using patient-derived iPSCs.

Disease modeling using human iPSCs starts by deriving iPSCs containing the disease-causing mutation(s) (Fig. 1). These cells are then differentiated into disease-relevant cell types. The resultant cells are used to reveal disease etiology and identify pathological mechanisms. In early studies of iPSC-based disease modeling, iPSCs derived from non-disease-affected individuals were used as controls for patient-derived iPSCs. However, like other cells, iPSCs have exhibited line-to-line variations, which complicates data interpretation because one has to distinguish the line-to-line variation from the true disease-relevant phenotypes.

Rapidly developing genome editing technologies now enable the introduction of genetic changes into iPSCs in a site-specific manner, including correction of disease-causing gene mutations in patient-derived iPSCs and introduction of specific mutations into non-disease affected wild type (WT) iPSCs. These approaches allow the generation of genetically matched, isogenic iPSC lines with the introduced mutation as the sole variable, ensuring the reliable identification of the true pathology while avoiding the confusion with any disparities in genetic background or epiphenomena resulting from possible line-to-line variations. The

isogenic iPSC controls will be especially important when modeling sporadic or polygenic diseases, in which phenotypic differences are expected to be small<sup>14</sup>.

The development of programmable site-specific nucleases, including the zinc-finger nuclease (ZFN)<sup>15,16</sup>, transcription activator-like effector nucleases (TALENs)<sup>17–19</sup>, and the CRISPR/Cas9 system<sup>20–22,23</sup> (Table 1), has improved gene editing efficiency in human ESCs and iPSCs substantially by inducing DNA double-strand breaks at the site of gene modification. The CRISPR/Cas9 technology in particular has attracted much attention and gained wide usage in gene editing of human ESCs and iPSCs due to its simplicity in design and ease of use. This gene editing technology allows researchers to introduce disease-causing mutations to WT iPSCs and eliminate such mutations in patient iPSCs to create isogenic controls for iPSC-based disease modeling (Fig. 1).

However, a major challenge in applications using the CRISPR/Cas9 technology is the possibility of off-target effects. Nevertheless, although relatively high levels of off-target gene modifications by CRISPR/Cas9 have been described in cancer cell lines<sup>24</sup>, recent studies from multiple laboratories using whole genome sequencing (WGS) indicate that off-target gene modifications are rare in normal human cells, including human iPSCs and ESCs<sup>25–29</sup>. WGS using genomic DNAs isolated from the original iPSCs and corresponding gene-edited iPSCs, coupled with comprehensive bioinformatic analysis<sup>25,27–29</sup>, is useful for detecting off-target effects such as single nucleotide variants (SNVs) and insertions or deletions (indels), especially for cells that will be used for clinical applications. At present, WGS is expensive, but it is expected that the price will go down with continuous development of the technology. Alternative approaches for detecting off-target effects include exome sequencing<sup>30</sup> and targeted deep sequencing<sup>29</sup>. For targeted deep sequencing, one can search for potential off-target sites that are different from the on-target sites in the human genome using Cas-OFFinder (<http://www.rgenome.net>)<sup>31</sup>, an algorithm for identifying off-target sites, including off-target SNVs or indels.

Gene editing tools are also being continuously improved and refined, which may help address the issue of off-target effects. Originally CRISPR/Cas9 edits a genomic locus by inducing DNA double-strand breaks using a single guide RNA-directed wild type Cas9 nuclease. The nickase version of Cas9 (D10A mutant) directed by paired guide RNAs or the engineered Cas9 nuclease variants with enhanced specificity (eSpCas9) is now being used increasingly for genome editing<sup>32–34</sup>, because both have been shown to reduce off-target effects substantially while retaining rigorous on-target cleavage<sup>34,35</sup>. Furthermore, catalytically dead Cas9 (dCas9) fused with transcriptional activator or suppressor has been used to modulate transcription of endogenous genes (so-called CRISPRi or CRISPRa) or image genomic loci by fusing with a fluorescent protein<sup>32–34,36</sup>. Modifications of the CRISPR/Cas9 system also enable explicit introduction of DNA sequence changes in a precise mono-allelic or bi-allelic manner with high efficiency<sup>37</sup>. A recent development in base editing takes advantage of the fusion of CRISPR/Cas9 and a cytidine deaminase enzyme to allow direct conversion of cytidine to uridine without the need of double strand DNA break<sup>38</sup>. This new approach enhances gene editing efficiency and will further facilitate gene editing in human ESCs and iPSCs.

iPSC-based disease modeling is widely used for studying disorders caused by a single gene mutation (monogenic disorders) that have an early onset<sup>39,40</sup>, as the approach is ideally suited to such disorders — because iPSCs can be easily derived from patients with these disorders and differentiated into disease-relevant cells, such as neurons. Furthermore, given the relative immaturity of cells differentiated from iPSCs<sup>41</sup>, there is greater confidence that the phenotypes of cells differentiated from iPSCs provide a good model for diseases with an early onset versus late onset, for which cellular aging may be important in disease pathology<sup>41</sup>. For example, neurons differentiated from patient iPSCs were used to model spinal muscular atrophy (SMA), an early-onset disease caused by mutations in the gene encoding the survival motor neuron 1 (*SMN1*)<sup>39</sup>. Mutations in the *SMN1* gene led to degeneration of motor neurons and subsequent muscular atrophy. Type 1 SMA patients usually show symptoms at 6 months from birth, with a rapid disease progression that kills them by the age of two<sup>42</sup>. In an initial iPSC-based disease modeling study<sup>39</sup>, iPSCs were derived from fibroblasts of a type 1 SMA patient and differentiated into a disease-relevant cell type, motor neurons. Reduced survival of motor neurons differentiated from patient iPSCs was observed, compared to that of motor neurons derived from an unaffected control. Moreover, the SMA patient-derived iPSCs were able to respond to valproic acid and tobramycin, two compounds known to induce SMN protein levels, by increasing SMN protein levels and SMN protein-containing ‘gems’<sup>39</sup>. This study provides a proof-of-principle that patient-derived iPSCs could be used to model early-onset genetic diseases and serve as potential drug-screening platforms.

Modeling diseases that have a late onset is more challenging, because cells differentiated from human iPSCs in general exhibit fetal-like properties<sup>41</sup>. However, induced cellular aging has been used to aid in successful modeling of late-onset diseases<sup>43–46</sup>. One way to induce aging in cells differentiated from human iPSCs is to treat cells with cellular stressors, including compounds that target mitochondrial function or protein degradation, such as pyraclostrobin and MG-132<sup>43,44,46,47</sup>. Another way to induce cellular aging is to ectopically express gene products that induce premature aging, such as progerin<sup>45</sup>. However, whether cellular stressors or progerin expression can elicit cellular aging through a mechanism that is similar to normal aging remains to be determined<sup>41</sup>. Moreover, recent studies indicate that cellular maturation and aging may be distinct events<sup>41,48</sup>. It remains unclear whether the cellular aging inducers can promote both cellular maturation and aging, as opposed to triggering cellular aging in immature cells<sup>48</sup>. Alternatively, the direct reprogramming approach that involves direct conversion of human fibroblasts into other lineage-specific cells, such as neurons, does not erase cellular aging markers<sup>49</sup>. Indeed, neurons derived from aged fibroblasts through direct reprogramming have been shown to maintain cellular age<sup>50</sup>, therefore offering an alternative cellular model to study age-related disorders. It is worth noting that there has also been success in promoting cellular maturation, such as by using improved formulation of cultured medium<sup>51</sup> and neuron-astrocyte co-culture system<sup>52,53</sup>.

iPSCs also offer a new way to study sporadic diseases (the causes of which have not been identified in patients’ family histories or genetic mutations), which is important as the majority of patients with many diseases have sporadic forms of the disease. For example, in Alzheimer’s disease, 95% of patients fall under the sporadic category. Interestingly, analysis of iPSC-derived nerve cells from patients with sporadic Alzheimer’s disease identified

several sporadic cases that exhibited the same phenotypes as familial Alzheimer's disease with a specific gene mutation<sup>54</sup>, which indicated that it may be possible to re-classify the sporadic condition using iPSCs. However, modeling sporadic diseases using iPSCs is generally more difficult than monogenic disorders because the phenotypic changes in such diseases are often thought to be induced by multiple small-effect genetic risk variants, in combination with environmental factors. Although iPSCs derived from patients with such diseases would contain disease-relevant risk variants, using iPSCs to model such diseases is complicated by line-to-line variation in genetic and epigenetic background. Such variation is more problematic for modeling sporadic diseases, because the phenotypes of the sporadic disease iPSC-derived cells are expected to be more subtle than for those derived from monogenic disease iPSCs.

Thus, a key question for human iPSC-based modeling of sporadic diseases is how to generate paired isogenic cell lines that only differ at relevant risk variants<sup>14</sup>. Recently, the CRISPR/Cas9 gene editing approach has been used to generate isogenic iPSC lines that differ at a PD-associated risk variant<sup>55</sup>. The ability to generate genetically controlled isogenic iPSC lines in which specific disease-associated genetic risk variants are the sole variable creates a well-controlled system, which in combination with an allele-specific assay has enabled robust dissection of a genetic risk variant for Parkinson's disease<sup>55</sup>. This experimental paradigm could be applied to studying genetic risk factors associated with other diseases.

To date, many diseases have been studied using a single disease-relevant cell type derived from iPSCs. For example, iPSC-derived neurons have been used to model Alzheimer's disease<sup>54,56-68</sup> (Table 2) and Parkinson's disease (Table 3)<sup>43-45,55,69-84</sup>. However, more than one cell type may be required to effectively model some diseases. Indeed, comparable efforts have been devoted to model schizophrenia using patient iPSC-derived neurons<sup>85-87</sup> and neural progenitor cells<sup>88-92</sup>. To better recapitulate disease phenotypes, co-culture of more than one cell types may also be needed to study the interaction of different cell types. For example, astrocyte/neuron co-cultures have been used to model the pathology of amyotrophic lateral sclerosis (ALS)<sup>93-96</sup>. The co-culture system allowed the investigation of non-cell-autonomous aspects of disease pathology, which would otherwise be impossible with single cell types, such as neurons. Moreover, these studies enabled the identification of astrocytes as a critical cellular component contributing to motor neuron degeneration in ALS and provided a drug screening platform for ALS using patient iPSC-derived astrocytes<sup>93-96</sup>.

The interactions between different cell types can be better modeled using 3D organoids. Organoids have been generated for multiple organs, including the brain, retina, intestine, kidney, liver, lung, and stomach, using both tissue stem cells and pluripotent stem cells from mice and humans<sup>97</sup>. Human iPSC-derived organoids have been developed for a variety of applications due to their resemblance to endogenous cell organization and organ structure, and are particularly useful because they allow the possibility to study cell-cell interactions in a cellular context that mimics human physiology and development. The 3D organoids have been used in modeling human organ development and diseases, testing therapeutic compounds, and cell transplantation<sup>98-114</sup> (Table 4). Multiple cell types that are physiologically relevant can be generated in organoids following a spatial-temporal order.



Moreover, cells generated in organoids can be functionally more mature than cells derived using directed differentiation protocols, due to the interaction of different cell types, such as neurons and astrocytes, in the 3D structure. Therefore, 3D organoids allow dissection of disease pathology in a developmentally relevant spatial-temporal context and have the potential to offer a drug response at the level of an organ, rather than at the level of individual cells.

While 3D organoids provide highly promising tools for iPSC-based disease modeling, the organoid technology has limitations. One challenge is to create an organoid platform with increased efficiency and reproducibility as compared to traditional two dimensional cultures<sup>115</sup>. The recent application of miniaturized spinning bioreactors with 3D design has allowed the generation of forebrain organoids with high reproducibility<sup>110</sup>. The development of more standardized organoid culture medium, together with a more defined extracellular matrix, would further facilitate the generation of a highly reproducible organoid system that is more applicable for accurate disease modeling, drug discovery, and therapeutic development<sup>116</sup>. Another challenge is the lack of vascularization in the current organoid system<sup>97</sup>. Accordingly, organoids exhibit limited growth and maturation due to the lack of continuous nutrient supply. Spinning bioreactors and shaking culture platforms have been shown to provide better nutrient supply and improve the growth of organoids<sup>110,117</sup>. Co-culture with endothelial cells has allowed generation of vascular-like network in organoids<sup>99</sup>. Moreover, transplantation of *in vitro* generated human organoids into relevant sites of animal hosts facilitates vascularization and maturation of organoids. This transplantation approach may be applied when organoids with increased size and improved maturation are needed for the study.

## iPSC-based drug discovery

### Screening for efficacy.

Many drug screens are based on targets that are considered to be relevant to the disease mechanisms. However, the low success rates of compounds originating from target-based screening have led to greater interest in phenotypic screening<sup>118</sup>. This revival in phenotypic screening has been aided by the discovery of iPSCs for numerous reasons, including the scalability of iPSC production, which facilitates assay development, and their pluripotency, which allows differentiation into multiple disease-relevant cell types (especially those that are otherwise hard to access, such as neurons)<sup>119</sup>. Patient-derived iPSC models make it possible to recapitulate disease phenotypes and pathologies in a culture dish. Cells differentiated from patient-derived iPSCs could present molecular and cellular phenotypes. Whether the phenotype that is selected as readout for drug screen is truly relevant to the disease can be confirmed by gene editing approach if the gene responsible for disease phenotypes is known, and can be further validated in patient samples and/or animal models<sup>120</sup>. In addition to phenotypic screening, iPSCs can also be used for target-based screening. Using human iPSC models, many drug screens have been conducted and potential drug candidates have been identified using either phenotypic or target-based screening.

To obtain target cells with high purity on a large scale, purification and enrichment technologies using specific cell surface markers<sup>121,122</sup>, cell-specific promoters<sup>123</sup> and



microRNAs<sup>124</sup> have been established. In the first report of large-scale drug screening using an iPSC-based disease model, neural crest precursors for autonomic neurons were sorted and purified from iPSCs derived from patients with familial dysautonomia, a monogenic early-onset disease that is characterized by degeneration of neurons in the sensory and autonomic nervous systems<sup>121</sup>. It is caused by mutations in the gene coding for the I $\kappa$ B kinase complex-associated protein (*IKBKAP*) that result in a splicing defect and production of a dysfunctional truncated protein. The screening was conducted using 6,912 compounds, and a compound known as SKF-86466 was found to improve disease-specific aberrant splicing. Interestingly, SKF-86466 was not effective in non-target cells, including iPSCs, fibroblasts, and lymphocytes. These results illustrated the advantage of iPSC-based drug screening to explore cell type-specific pathogenesis.

Burkhardt et al. performed disease modeling and drug screening using sporadic ALS patient-derived iPSCs<sup>125</sup>. The authors identified *de novo* aggregation of TAR DNA-binding protein 43 (TDP-43) in motor neurons of sporadic ALS patients, using TDP-43 aggregation as readout for a high-content drug screen to identify compounds that reduce TDP-43 aggregation<sup>125</sup>. The same research team also made effective use of patient-derived iPSC model of Alzheimer's disease<sup>126</sup>. The authors identified a disease-relevant protein, extracellular tau (eTau), in the conditioned medium of cortical neurons derived from the iPSCs of an Alzheimer's disease patient, generating a therapeutic antibody against eTau<sup>126</sup>. This disease-relevant protein would not have been discovered without using the human iPSC model. eTau causes neuronal hyperactivity and increases amyloid beta (A $\beta$ ) production. Using human iPSC models as a tool to identify disease-relevant targets could be a critical component for future drug development. Naryshkin et al.<sup>127</sup> found that an SMA patient-derived iPSC model could be used to validate human- and disease-specific drug responsiveness after initial screening using a HEK293 cell line<sup>127</sup>. These compounds were then validated in patient-specific fibroblasts, and in motor neurons differentiated from patient-derived iPSCs that serve as a patient-specific and disease-relevant cellular model<sup>127</sup>. Finally, the hit compound was evaluated in a mouse model for *in vivo* activity<sup>127</sup>. This drug discovery approach includes a patient-derived iPSC model as one of the validation steps by taking advantage of the patient-specific and disease-relevant properties of motor neurons derived from patient iPSCs.

Overall, iPSC-based drug screening has been used to evaluate more than 1,000 compounds for several diseases (Table 5)<sup>121,125,128,129</sup>, and several clinical candidates have been identified (Table 6)<sup>126,127,130</sup>. However, these studies require considerable time (several weeks or more) to differentiate iPSCs into disease-relevant cell types. Although this may not seem long for phenotypic screening, a shorter differentiation period is preferable to avoid variation in cell quality. Therefore, faster and more stable differentiation methods that result in higher maturity and purity are being sought. An alternative approach is to perform drug screen using cells derived from direct conversion<sup>131,132</sup>. Direct conversion forces the target somatic cells (e.g. fibroblasts) to express cell-specific transcription factors and reprogram one somatic cell state to another somatic cell state without passing through the iPSC state<sup>49,132</sup>. Direct conversion has been used to reprogram myocardial cells, liver cells, neural cells, or other type of somatic cells from a different type of somatic cells, such as fibroblasts. As an advantage of direct conversion, authentic human neurons that reflect important aspects

of cellular aging can be generated<sup>50</sup>. However, the non-renewable source of cells provided by this approach may not be applicable for large-scale drug screening. The forced expression of transcription factors also offers the potential to differentiate patient iPSCs much more rapidly. In a recent study, forced expression of MYOD1 (myogenic differentiation gene), a master regulator of skeletal muscle differentiation, was used to produce new cellular models of intractable muscle disease pathologies such as Miyoshi myopathy<sup>133</sup> and Duchenne-type muscular dystrophy<sup>134</sup>.

An important point in pathology research using iPSCs is the nature of the control group. For genetic disorders, a control group can be created by conducting gene correction of the mutant allele in patient iPSCs. Comparisons between various groups of iPSCs (healthy, patient, and gene-corrected patient iPSCs) can be conducted to validate the results of drug screening<sup>119</sup>. iPSCs also make invaluable models in the case of sporadic diseases. In these cases, because no causal mutation is known, the nature of a control group is difficult to establish, but disease-relevant single-nucleotide polymorphisms (SNPs) can be considered instead<sup>65</sup>. As described in the “Perspective” section below, for future drug screening, sporadic disease iPSCs should allow for investigation of whether the disease is caused by genetic factors such as SNPs, somatic mutation/mosaicism, or epigenetic factors. These developments could further open the door to personalized drug screening using iPSCs<sup>135</sup>.

Another application is drug repositioning using disease-specific iPSCs. In drug repositioning, existing drugs already approved for specific diseases are tested to find new applications for other diseases. For example, a human iPSC model derived from achondroplasia patients with fibroblast growth factor receptor 3 (FGFR3) mutations showed that patient iPSCs did not differentiate well into cartilage tissue<sup>136</sup>. Using this model, a screen for molecules that rescue chondrogenically differentiated iPSCs from the defective cartilage phenotype identified several statins, which are approved drugs for cardiovascular disease. The same study found that statins could promote the growth of shortened limbs in a mouse model of *FGFR3*-linked disease. These results indicate that statins may be repositioned as candidate drugs for achondroplasia<sup>136</sup>. As another example of drug repositioning, the anti-epileptic drug ezogabine was found to be effective in an iPSC model of the motor neuron disease amyotrophic lateral sclerosis (ALS) and is now undergoing clinical trial<sup>137</sup>. In this study, the authors showed the effect of ezogabine on an iPSC model derived from not only ALS patients with mutations in the superoxide dismutase 1 (*SOD1*) gene, but also ALS patients with mutations in other genes linked to ALS, such as *C9orf72* and *FUS*. It has also been demonstrated that ALS patient-derived iPSC motor neurons initially exhibit a hyper-excitable state, followed by a decrease in excitability<sup>138</sup>, suggesting that early intervention with ezogabine treatment may be required for the treatment of ALS patients. The observation of similar drug response in different patient groups allowed generalizing the drug responsiveness across ALS patient types. Drug discovery using patient iPSCs derived from multiple genetic forms is of great value, because it allows testing the drug responsiveness in a broad patient population. In contrast, it is hard to analyze the effect of a drug on multiple mouse models simultaneously.

### Screening for toxicity.

The development of new drugs is enormously costly, mostly because of failures, particularly those in late-stage clinical trials, which are in part due to unanticipated side effects<sup>139,140</sup>. Many unpredicted adverse effects of new candidate drugs can occur, with cardiac and liver toxicity being of special concern. Consequently, there is considerable interest in approaches that could more effectively predict the likelihood of candidate drugs to cause serious side effects, thereby enabling the selection of candidates that are less likely to fail due to toxicity in late-stage trials.

Lethal arrhythmias with a QT prolongation account for 21% of total cardiac toxicities<sup>141</sup>. QT prolongation is an adverse effect related to human Ether-a-go-go Related Gene (hERG) channels. Cardiac safety testing has been mainly dependent on the hERG assay, because blocking the hERG current is considered to be associated with the deadly ventricular arrhythmia named torsades de pointes (or TdP). It has been discovered that 40–60% of drugs that inhibit hERG channel current do not cause QT prolongation<sup>142,143</sup>. These false positive results from the hERG assay have hindered the development of promising drugs. Preclinical strategies have been proposed to detect drug-induced electrophysiological cardiotoxicity using *in vitro* human ion channel assays, human-based *in silico* reconstructions, and human stem cell-derived cardiomyocytes<sup>144</sup>. Recent efforts have shown that multi-electrode arrays (MEA) assays using human iPSC-derived cardiomyocytes may offer a reliable, cost-effective surrogate for preclinical *in vitro* testing<sup>145</sup> that could be used to assess pro-arrhythmic risk<sup>146</sup>.

For hepatotoxicity, hepatocyte cell lines or human primary hepatocytes are widely used. However, there are limitations to these models too, including cell resources, loss of function due to freezing-thawing, and lot-to-lot variation. Recently, human ESC/iPSC-derived hepatic cells were generated that express functional molecules such as CYP3A4 and uptake Indocyanine Green<sup>147</sup> responding to known hepatotoxic drugs<sup>148</sup>. Functional 3D liver organ buds have also been reported, which may result in better drug screening<sup>99</sup>.

Finally, regarding the nervous system, a platform that assesses adverse drug effects using pluripotent stem cells is now being developed. To conduct such an assessment, the analysis of alterations in the gene expression of cells in the nervous system, such as neuronal cells, mesenchymal stem cells, and vascular endothelial cells derived from human ESCs in a culture dish has been proposed<sup>149</sup>.

### Clinical applications using human iPSC products

The potential of regenerative medicine based on the use of stem cells to promote endogenous regenerative processes or replace damaged tissues after cellular transplantation has attracted considerable interest. Since the discovery of human ESCs in 1998<sup>150</sup> and of human iPSCs in 2007<sup>2,3</sup>, the stem cell research community has continued to identify more suitable sources for exploring cell therapy and endogenous repair in humans. A general approach to develop iPSC-based cell therapy products is summarized in Fig. 2. Of the 13 clinical trials with stem cell therapy products being conducted currently, 8 are for ESC- and 1 for iPSC-derived retinal pigment epithelial (RPE) cells to treat macular degeneration,

which causes the progressive deterioration of light-sensing photoreceptors in the eye (<https://clinicaltrials.gov>)<sup>151</sup>. In 2014, the first clinical study using human iPSC products was initiated by transplanting RPE sheets derived from the patient's own iPSCs. The therapy has resulted in positive results, stopping macular degeneration and improving the vision of the patient. Although the trial was subsequently put on hold due to mutations observed in a second patient's iPSCs<sup>4</sup>, it is expected to resume<sup>6</sup>. In addition, a recent study has demonstrated the feasibility of transplanting human ESC-derived cardiac progenitor cells embedded in a fibrin scaffold to patients with severe heart failure<sup>152</sup>.

However, there are several obstacles associated with iPSC-based therapy that will need to be addressed before routine clinical applications can begin<sup>153</sup>. One concern is the risk of tumorigenicity from ESCs and iPSCs<sup>154</sup>. Because pluripotent cells are maintained in culture for prolonged periods of time, they can accumulate karyotypic abnormalities, copy number variants, and loss of heterozygosity<sup>155</sup>. Hence prior to clinical use, iPSC-derived products need to be carefully screened for the lack of potentially risky genetic alterations<sup>155</sup> and rigorously tested to ensure their purity, quality, and sterility. Increased knowledge on the basic biology of pluripotency induction and maintenance will also help us to reduce the risk of mutation development and genetic instability associated with human iPSC derivation and maintenance.

Although the products differentiated from iPSCs have not been shown to generate teratomas, it is critical to ensure the final product does not contain undifferentiated cells that have the potential to generate teratomas. Accordingly, improved protocols for differentiating human iPSCs into desired cell types with precise identity and cellular functions are needed. To this end, small molecule inhibitors that have been shown to induce selective and complete cell death of undifferentiated human pluripotent stem cells without affecting their differentiated derivatives have been identified<sup>156,157</sup>. Treatment of the iPSC-derived cellular product with these inhibitors may reduce the potential tumorigenicity. Another potential solution is to sort the iPSC-derived cells before transplantation through positive selection for desired cell types and negative selection against human ESC markers using fluorescence-activated cell sorting (FACS). Lastly, the risk of tumorigenicity can be tested in animal models prior to transplant. However, this approach may not be applicable to patients with rapid disease progression due to the long period of time associated with animal tests.

Compliance with good manufacturing practice (GMP) is mandatory before human transplantation of cell therapies. Once cells are safely delivered, ideally patients should be monitored for the development of potential tumors and activation of the immune system<sup>158</sup>. One approach for tumor monitoring may be to assess the enhanced angiogenesis that often accompanies teratoma formation, which can be detected using <sup>64</sup>Cu-labeled cyclic arginine-glycine-aspartic acid tetramer (<sup>64</sup>Cu-DOTA-RGD4) radiotracer with positron emission tomography (PET) imaging<sup>159</sup>. Another approach may be to use a combination of serum biomarkers (e.g., carcinoembryonic antigen,  $\alpha$ -fetoprotein, or human chorionic gonadotropin) and magnetic resonance imaging (MRI) screening as described recently<sup>160</sup>. However, it is worth noting that these approaches would be mostly useful at the preclinical stage, especially if they are already part of the imaging procedure required for evaluating an endpoint. Their feasibility and necessity for future human trials remain to be determined.

The lack of an effective method of inducing immune tolerance is a major roadblock for human ESC-based therapies. ESCs were once considered immune-privileged due to the low expression of major histocompatibility complex (MHC) class I, MHC II, and costimulatory molecules<sup>161</sup>. Although undifferentiated ESCs might be immune-privileged, their differentiated derivatives can trigger cellular and humoral immune responses<sup>162</sup>. By contrast, autologous iPSCs may avoid the high cost and serious side effects associated with lifelong immunosuppression required for allogeneic cell transplantation<sup>163</sup>. Despite some controversy over the immunogenicity of undifferentiated iPSCs<sup>164</sup>, recent studies demonstrate that differentiation of iPSCs could result in loss of immunogenicity<sup>165–167</sup>.

The application of cells derived from individual patients' own iPSCs or iPSCs from matched donors may become a cornerstone of precision medicine, and has the important advantage that there should be no need for long-term immune suppression to preserve the transplanted cells. Indeed, the first iPSC clinical trial used RPEs from autologous iPSCs derived from the patient. Using autologous iPSC products for personalized cell therapy seems ideal for orphan diseases, as massive cell banking is not required. However, for more common diseases, especially acute common diseases such as cerebrovascular accident (CVA) or myocardial infarction (MI), autologous iPSC therapy may not be practical for large number of patients given the high cost and lengthy period of time needed for careful validation of each cell line. For these reasons, the second phase of the iPSC-RPE trial in Japan will be employing allogeneic products<sup>168</sup>.

The allogeneic iPSC approach could also bring down the cost for iPSC-based cell therapy. Excluding high startup cost, each iPSC line costs ~\$10,000–20,000 to produce<sup>169</sup>. Meeting cGMP requirements increases this cost substantially<sup>170</sup>. Costs are even higher, by approximately \$800,000<sup>169</sup>, to generate an iPSC-derived tissue product suitable for clinical use (e.g., differentiation of iPSC-neuronal cells for CVA, iPSC-cardiomyocytes for MI, or iPSC-RPE cells for macular degeneration). Banking iPSCs for allogeneic transplant has the potential to reduce cost because one production may be used for multiple patients. To facilitate allogeneic transplant, the effectiveness of conventional immunosuppressive protocols and newer regimen of co-stimulatory blockers for inducing immunotolerance will need to be improved in preclinical and clinical settings<sup>171,172</sup>. Moreover, understanding how pluripotent stem cells interact with the immune system and why they may be more tolerance-inducing than other transplanted cells may lead to the identification of new immunosuppressive mechanisms and strategies<sup>163</sup>. Furthermore, transplantation to immune-privileged sites may serve as a possible strategy to overcome immune rejection. Incorporating recent advances in genome editing strategies to create universally accepted donor cells could be another alternative approach<sup>173</sup>.

The combination of the human iPSC platform with the recently developed gene editing and 3D organoid technologies could make human iPSCs an even more powerful cellular resource for stem cell-based cell therapy development. As a proof-of-principle, mouse iPSCs corrected through gene editing have been used to generate hematopoietic progenitors for successful treatment of sickle cell anemia in a mouse model<sup>174</sup>. Furthermore, the integration of genetically corrected human iPSCs with 3D organoids could allow tissues to be generated as sources for organ replacement therapies<sup>97</sup>. Indeed, human iPSC-derived liver organoids

have been shown to successfully generate functional human liver-like tissues in transplanted mice in a proof-of-principle study<sup>99</sup>. However, there are still challenges to overcome for such approaches to become applicable in human cell therapy. For example, the potential off-target effects associated with gene editing need to be addressed, as do the limitations of organoids, as described in the section of “iPSC-based disease modeling”.

## Perspectives

The discovery of iPSCs has provided a revolutionary new research platform for the study of diseases. In the ten years since the first iPSC report, great progress has been made in investigating disease mechanisms and potential treatments by combining human iPSCs with other new technologies, but several important issues remain to be addressed.

iPSC clones show variations in differentiation efficiency, including clones derived from the same person<sup>119</sup>. These variations are important to consider when selecting control groups for disease modeling studies. Applying CRISPR/Cas9 technology may help address this issue, as discussed above. Multiple reports have now shown that gene correction of an iPSC mutation improves the disease phenotype of differentiated cells<sup>175–178</sup>. In addition to correcting gene mutations in disease iPSCs, researchers have also successfully introduced gene mutations into healthy iPSCs<sup>87,88</sup>. Although several challenges for the combination of CRISPR/Cas9 technology with iPSC technology remain, including the off-target effects of CRISPR/Cas9 editing, the high cost of assaying for them, and the limited application of gene editing to genetic diseases with unknown disease-causing mutations or risk variants<sup>14</sup>, the potential of this combination to dissect disease mechanisms and to develop novel cell therapies is high. Moreover, CRISPR/Cas9 or CRISPRi-based genome-wide genetic screening<sup>179,180</sup> in human iPSCs could open a new avenue for understanding basic biological mechanisms underlying human iPSC pluripotency, maintenance and differentiation.

Unlike mouse ESCs and iPSCs that represent the ‘naïve’ state and are homogeneous, human ESCs and iPSCs represent the ‘primed’ state and are heterogeneous in both cell population and differentiation potential<sup>181,182</sup>. Moreover, the reprogramming process can result in not only fully reprogrammed iPSCs but also partially reprogrammed cells, which may have different differentiation potential<sup>183,184</sup>. Therefore, human iPSCs need to be carefully selected and thoroughly characterized for their pluripotency before clinical applications<sup>185</sup>. Further basic research on reprogramming mechanisms may help researchers to develop methods that allow generation of a standardized human iPSC state, which would lead to reduced technical variability and enable the identification of true biological phenotypes. Besides clonal variation in iPSC differentiation efficiency, another obstacle for disease modeling is line-to-line variation in the maturation of differentiated cells. The acquisition of mature cells requires improved culture conditions and the use of fate conversion with gene regulation<sup>119</sup>. A recent technology, the microRNA switch<sup>124</sup>, is expected to increase the maturation quality of iPSC-differentiated cells and reduce clonal variation.

In conventional disease-modeling studies, cells are seeded in a two-dimensional plane. However, *in vitro* models with a 3D structure are closer to the physiological condition and



thus may be better suited for the study of the disease pathology. Using disease-specific iPSCs, technologies for inducing the differentiation of several 3D structures, including those similar to the cortex, optic cup, Rathke's pouch, cerebellum, and hippocampus, have been reported<sup>186–191</sup>. By taking advantage of the dynamic patterning and structural self-formation of complex organ buds, the construction of 3D structures and their corresponding networks has become a reality. Such a strategy is already being used in disease modeling for brain abnormalities<sup>117</sup> and mental illness<sup>108</sup>. Similar to the self-organization of ectodermal tissue structures, endodermal tissue formation in 3D stem cell culture<sup>99</sup> has been developed and applied to gastrointestinal disease modeling<sup>192</sup>. Physiological interactions among complex tissues from different lineages but with the same genetic background, such as the blood-brain barrier<sup>193</sup> or the immune system<sup>194,195</sup> within the organoid, could add new insights into normal physiology and diseases<sup>196,197</sup>.

Although several limitations exist in current 3D technology as detailed in the section of “human iPSC-based disease modeling”<sup>198,199</sup>, combining disease-specific iPSCs with 3D technology allows the examination of spatiotemporal cellular interactions that could reveal the physiological disease status, thus providing an unprecedented drug-screening platform and offering a new option for tissue-replacement therapy. However, transplantation of human stem cell-derived organoids into animals to derive human tissues or organs may bring new issues in biomedical ethics that warrant further attention<sup>200</sup>, such as the potential of transplanted human stem cells to mix with host cells and development in the nervous system and germlines of host animals.

iPSCs also provide a new way to study sporadic diseases. Prior to the development of iPSC technology, it was impossible to analyze sporadic diseases in cellular models, but now several studies have successfully modeled sporadic neurological diseases<sup>54,57,65,73,85,108,125,135,201,202</sup>. It has been hypothesized that the pathological mechanisms of sporadic diseases might be the same as familial ones. However, sporadic and familial diseases have significant differences, such as the age of onset and severity, as well as the pathology.

iPSC models suggest that even if the effect of each individual genetic risk is small, the combined effect may initiate and accelerate the development of the pathology of sporadic diseases. In addition, even if SNP genotyping only indicates a small risk factor, it could be an important one, and modeling the pathological phenotype with iPSC technology could lead to a re-classification of sporadic diseases. Such re-classifications could have important implications for drug development. iPSC modeling has the potential to identify drug-responsive patient subgroups, including those with sporadic diseases, which should improve the quality of clinical trials<sup>119</sup>. A large cohort analysis with medical records and genome information combined with patient iPSCs is also expected and so iPSC-derived cells could provide a far more precise analysis of the individual genes and proteins involved in the disease.

Accumulating information from disease iPSC research, in combination with patients' personalized clinical experience, will aid “disease repositioning”, in which diseases are defined not by clinical but by cellular phenotypes. If analysis of the cellular phenotypes of *in*



*vitro* iPSC models of clinically different diseases indicates that the phenotype is the same or similar, then a treatment that is effective in one condition may be effective in the others. For example, an iPSC model of bipolar disorder identified hyperexcitable neuronal cells<sup>201</sup>. Similar hyperexcitability was found in iPSC-derived motor neurons from a patient with amyotrophic lateral sclerosis<sup>203</sup>. Therefore, the same therapeutic agent may be effective for these clinically disparate but cellularly similar diseases. Accumulating data of cellular phenotypes of iPSC models from a cross-sectional variety of diseases may contribute to new stratifications and understanding of different diseases, which could also lead to new cross-sectional treatment approaches.

The development of iPSC technology has generated a powerful new way to both define and treat diseases. iPSCs represent a paradigm shift because they now allow us to directly observe and treat relevant patient cells. In particular, they have revealed new relationships in gene expression, which have broadened and deepened our understanding of disease development in patients with sporadic disease. Progress with other technologies, such as CRISPR/Cas9, 3D organoids, and microRNA switches, will further advance the already rapid pace of iPSC-based disease modeling and therapeutic development.

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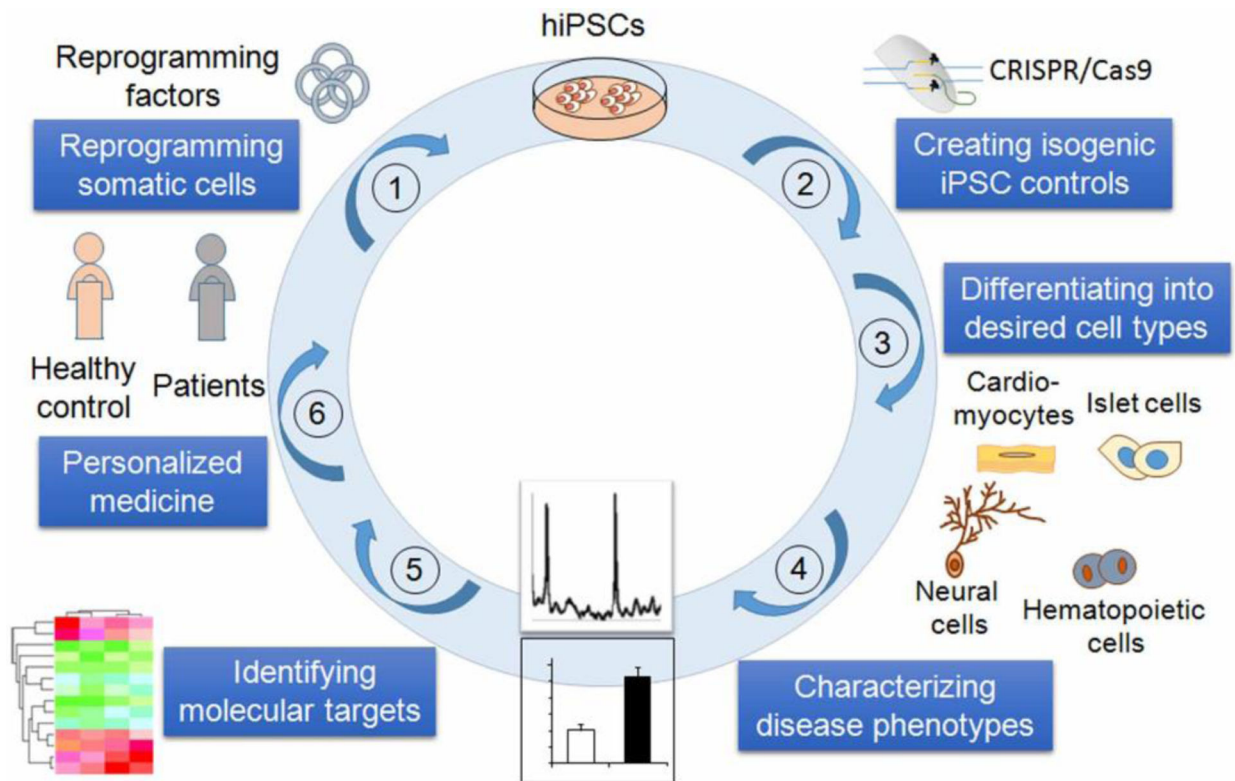
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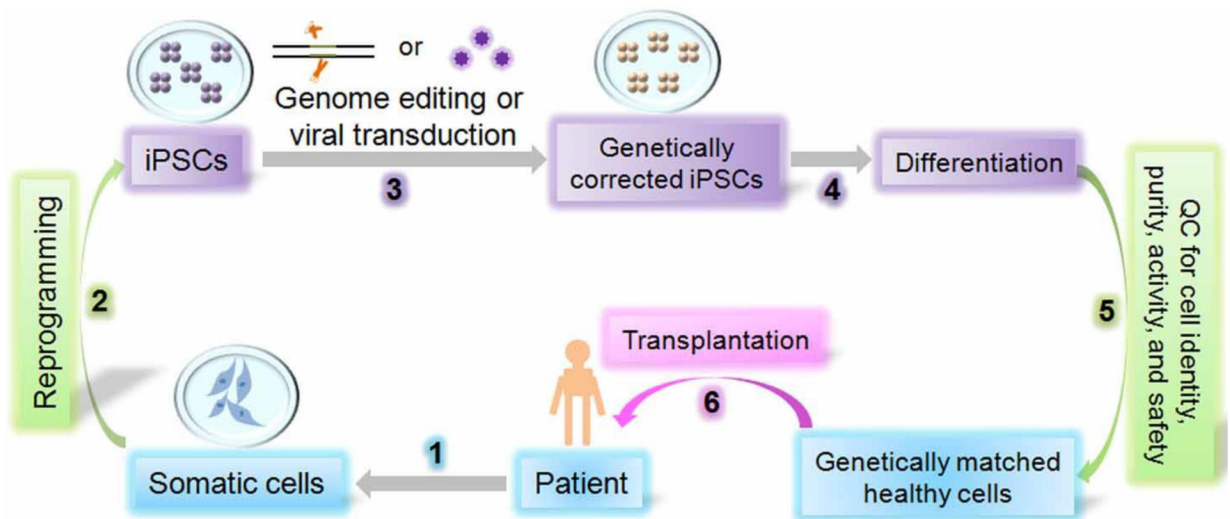
**Box 1 |****Evolution of human iPSC technology**

Since its beginning in 2006, iPSC technology has evolved rapidly. Because iPSCs were initially generated by introducing reprogramming factors using integrating viral vectors, such as retrovirus or lentivirus, there is a concern about clinical application of these iPSCs due to potential insertional mutagenesis that might be caused by integration of transgenes into the genome of host cells<sup>204</sup>. To make iPSCs clinically applicable, a variety of non-integrating methods have been developed to circumvent the risk of insertional mutagenesis and genetic alterations associated with retroviral and lentiviral transduction-mediated introduction of reprogramming factors<sup>205</sup>. These non-integrating methods include reprogramming using episomal DNAs<sup>206,207</sup>, adenovirus<sup>208</sup>, Sendai virus<sup>209</sup>, PiggyBac transposons<sup>210</sup>, minicircles<sup>211</sup>, recombinant proteins<sup>212</sup>, synthetic modified mRNAs<sup>213</sup>, microRNAs<sup>214,215</sup>, and small molecules<sup>216</sup>, although the small molecule approach is not applicable to human iPSC derivation yet. Among these approaches, episomal DNAs, synthetic mRNAs and sendai virus are commonly applied to derive integration-free iPSCs due to their relative simplicity and high efficiency<sup>185</sup>. The use of non-viral methods or non-integrating viruses could avoid genomic insertions, thus reducing the risk for translational application of iPSCs. Human iPSCs derived using these non-integrating approaches provide a cellular resource that is more relevant for clinical applications.



**Figure 1.**

A schematic for human iPSC-based disease modeling. Human iPSCs are derived from individual patients and differentiated into specific cell types. To develop new therapies, the resultant cells are used to observe disease-specific phenotypes and identify novel pathological mechanisms. Human iPSC-based disease modeling with patient-specific cells now provides an exciting new approach for the development of personalized diagnosis and medicine.



**Figure 2.**

A schematic for human iPSCs-based cell therapy. Human iPSC-based cell therapy development usually includes the following steps: 1) Collect somatic cells from patients and culture somatic cells from affected patients; 2) Reprogram patient somatic cells into iPSCs; 3) Use genome editing technology or viral transduction method to repair patient iPSCs and turn them into genetically corrected iPSCs; 4) Differentiate the corrected iPSCs into desired cell types to serve as genetically matched healthy donor cells; 5) Perform quality control test for cell identity, purity, activity, and safety; and 6) Transplant the genetically matched healthy cells into patients for cell therapy.

**Table 1**

Technology for gene editing of human ESCs and iPSCs

System	Enzyme	Mode of action	References
ZFN	Zinc-finger nucleases	Custom zinc-finger protein DNA binding modules fused to the cleavage domain of the bacterial endonuclease FokI to induce site-specific DNA double-strand breaks (DSBs), followed by DNA repair through NHEJ to create small insertion and deletion mutations (Indels) or HDR to introduce precise nucleotide modifications.	Urnov et al. 2005 <sup>217</sup> ; Lombardo et al. 2007 <sup>218</sup> ; Hockemeyer et al. 2009 <sup>15</sup> ; Zou et al. 2009 <sup>16</sup>
TALEN	Transcription activator-like effector nucleases	Custom TALE protein DNA binding modules fused to the bacterial endonuclease FokI to induce site-specific DSBs, followed by DNA repair through NHEJ or HDR to introduce Indels or specific DNA mutations.	Hockemeyer et al. 2011 <sup>18</sup>
CRISPR/Cas9	WT Cas9, Cas9 nickase	RNA-guided site-specific DNA cleavage triggers NHEJ to create Indels or HDR to introduce precise DNA modifications.	Cho et al. 2013 <sup>219</sup> ; Cong et al. 2013 <sup>20</sup> ; Jinek et al. 2013 <sup>220</sup>
CRISPR/Cas9	Cas9 nickase	This approach combines a Cas9 nickase with paired sgRNA to create targeted DSBs. The paired nicking reduces off-target activity substantially (50 to 1,500-fold).	Ran et al. 2013 <sup>34</sup>
CRISPR/Cas9	eSpCas9	Structure-guided protein engineering was used to create spCas9 variants with reduced off-target effect but robust on-target activity.	Slaymaker et al. 2016 <sup>35</sup>
CRISPR/Cas9	Cas9-VRER variant	This platform, called 'CORRECT', allows introduction of DNA modification in a precise mono-allelic or bi-allelic manner	Paquet et al. 2016 <sup>37</sup>
CRISPR/Cas9/cytidine deaminase	Fusions of CRISPR/Cas9 and a cytidine deaminase	The base editing approach allows direct conversion of cytidine to uridine without the need for DSB and donor DNA template.	Komor et al. 2016 <sup>38</sup>

ZFN: Zinc-Finger nucleases; TALEN: Transcription activator-like effector nucleases; TALE: Transcription activator-like effector; NHEJ: Non-homologous end joining; HDR: homology-directed repair; sgRNA: small guide RNA; spCas9: *Streptococcus pyogenes* Cas9; eSpCas9: enhanced specificity spCas9; CORRECT: consecutive re-guide or re-Cas steps to erase CRISPR/Cas9-blocked targets; NgArgo: *Natronobacterium gregoryi* Argonaute; PAM: protospacer-adjacent motif (PAM).

**Table 2**

Patient iPSC-based modeling of Alzheimer's disease (AD)

AD patients for iPSC derivation	Gene mutation	Cell types analyzed	Phenotypes in the dish	References
fAD	PS1 (A246E) PS2 (N1411)	Neurons	Increased amyloid $\beta$ ( $A\beta$ ) 42 secretion.	Yagi et al. 2011 <sup>56</sup>
fAD and sAD	APP <sup>Dp</sup>	Neurons	Elevated $A\beta$ 40 secretion; elevated tau phosphorylation; increased active GSK3 $\beta$ ; increased number of endosomes.	Israel et al. 2012 <sup>57</sup>
fAD and sAD	APP (E693 ) APP (V717L)	Cortical neurons	Increased $A\beta$ 42 secretion; elevated $A\beta$ oligomers; reduced survival; vulnerability to oxidative stress.	Kondo et al. 2013 <sup>54</sup>
fAD	PS1 (A79V) APP (K724N)	Neurons	Elevated $A\beta$ 42/ $A\beta$ 40 ratio.	Mertens et al. 2013 <sup>59</sup>
fAD	PS1 ( E9)	Neurons	Elevated $A\beta$ 42/ $A\beta$ 40 ratio; reduced $\gamma$ -secretase activity.	Woodruff et al. 2013 <sup>58</sup>
sAD	APOE (E3/E4)	Forebrain cholinergic neurons	Elevated $A\beta$ 42/ $A\beta$ 40 ratio; increased vulnerability to glutamate-mediated cell death; increased intracellular calcium levels upon glutamate stimulation.	Duan et al. 2014 <sup>61</sup>
fAD	PS1 mutation	Neurons	Increased $A\beta$ 42 secretion; impaired autophagic function	Lee et al. 2014 <sup>60</sup>
fAD	PS1 (A246E) PS1 (H163R) PS1 (M146L)	Neurons	Elevated $A\beta$ 42/ $A\beta$ 40 ratio	Liu et al. 2014 <sup>64</sup>
fAD	PS1 (A246E)	Neurons	Elevated $A\beta$ 42/ $A\beta$ 40 ratio; increased expression of FOXG1, mGLUR1 and SYT1.	Mahairaki et al. 2014 <sup>63</sup>
fAD	APP (V717I)	Forebrain neurons	Elevated $A\beta$ 42/ $A\beta$ 40 ratio; altered APP subcellular localization; increased levels of total tau and p-tau.	Muratore et al. 2014 <sup>62</sup>
sAD	-	Neurons	AD-related protein interaction network composed of APP and GSK3 $\beta$	Hossini et al. 2015 <sup>66</sup>
fAD	PS1 (Y115C) PS1 (M146I) PS1 (intron 4) APP (V717I) APP <sup>Dp</sup>	Cortical excitatory neurons	Elevated $A\beta$ 42 secretion; Increased intracellular levels of tau and p-tau.	Moore et al. 2015 <sup>67</sup>
sAD	SOR1 variants	Neurons	Altered induction of SORL1 expression; altered $A\beta$ peptide production.	Young et al. 2015 <sup>65</sup>
fAD	APP (V717I)	Neurons and glia	High levels of $A\beta$ and sAPP $\alpha$ secreted from both neuronal and glial cells, especially GABAergic neurons.	Liao et al. 2016 <sup>68</sup>

fAD: familial AD; sAD: sporadic AD; PS1: presenilin 1; PS2: presenilin 2; APP: amyloid- $\beta$  precursor protein; APP<sup>Dp</sup>: duplication of the amyloid- $\beta$  precursor protein gene; p-tau: phosphorylated tau.

**Table 3**

Patient iPSC-based modeling of Parkinson's disease (PD)

Patients for iPSC derivation	Gene mutation	Cell types analyzed	Phenotypes in the dish	References
fPD	SCNA triplication	DA neurons	Doubling the expression of $\alpha$ -synuclein protein.	Devine et al. 2011 <sup>70</sup>
fPD	LRRK2 (G2019S)	DA neurons	Elevated oxidative stress response; increased sensitivity to stress-induced cell death.	Nguyen et al. 2011 <sup>43</sup>
fPD	PINK1 (c.1366C>T; p.Q456X) or PINK 1 (c.509T>G; p.V170G)	DA neurons	Impaired recruitment of Parkin to mitochondria; increased copy number of mitochondria; up-regulation of PGC1 $\alpha$ .	Seibler et al. 2011 <sup>69</sup>
fPD	PINK1 (Q456X); LRRK2 (G2019S)	DA and non-DA neurons, & immature cells	Increased vulnerability to stress; dysfunction of mitochondrial.	Cooper et al. 2012 <sup>44</sup>
fPD	PARKIN (exon 2–4 deletion); PARKIN (exon 6, 7 deletion)	Neurons	Increased oxidative stress; activated Nrf2 pathway; abnormal mitochondrial morphology and turnover; elevated accumulation of $\alpha$ -synuclein.	Imaizumi et al. 2012 <sup>71</sup>
fPD	PARKIN (exon 3, 5 deletion) PARKIN (exon 3 deletion)	DA Neurons	Increased oxidative stress; reduced DA uptake; enhanced spontaneous release of DA.	Jiang et al. 2012 <sup>72</sup>
fPD	LRRK2 (G2019S)	Neural stem cells	Increase sensitivity to stress; progressive impairment in nuclear envelope organization; defective self-renewal and neuronal differentiation.	Liu et al. 2012 <sup>46</sup>
fPD & sPD	LRRK2 (G2019S)	DA neurons	Increase apoptosis; reduced neurite numbers and complexity; increased autophagic vacuoles.	Sanchez-Danes et al. 2012 <sup>73</sup>
fPD	SCNA (A53T) SCNA triplication	Cortical neurons	Increased nitrosative stress; elevated ER stress and ERAD substrates.	Chung et al. 2013 <sup>74</sup>
fPD	PINK1 (Q456X) PINK1 (R275W) PARKIN (V324A)	DA neurons	Increased neuronal death; degenerated dendrites; impaired AKT signaling.	Miller et al. 2013 <sup>45</sup>
fPD	LRRK2 (G2019S)	DA neurons	Reduced neurite outgrowth; dysregulated autophagy system; increased cell death in response to neurotoxins; elevated $\alpha$ -synuclein protein level; dysregulation of genes related to dopaminergic neurodegeneration.	Reinhardt et al. 2013 <sup>65</sup>
fPD	SCNA (A53T)	DA neurons	Elevated $\alpha$ -synuclein aggregation and Lewy body-like deposition; induced nitrosative/oxidative stress; increased vulnerability to mitochondrial toxin-induced cell death.	Ryan et al. 2013 <sup>76</sup>
sPD	GBA1 (RecNcil/+) GBA1 (L444P/+) GBA1 (N370S/+)	DA neurons	Elevated levels of $\alpha$ -synuclein and glucosylceramide; defective autophagic/lysosomal machinery; increased basal and induced calcium levels; enhanced vulnerability to ER stress.	Schondorf et al. 2014 <sup>78</sup>
sPD	GBA (N370S/+)	DA neurons	Elevated levels of $\alpha$ -synuclein; reduced level of dopamine; induced expression of MAO-B; disrupted network activity.	Woodard et al. 2014 <sup>79</sup>
fPD	SCNA (A53T)	Neurons	Decreased $\alpha$ -synuclein tetramers; increased neurotoxicity.	Dettmer et al. 2015 <sup>80</sup>



Patients for iPSC derivation	Gene mutation	Cell types analyzed	Phenotypes in the dish	References
fPD and sPD	LRRK2 (G2019S)	DA neurons	Hypermethylation in gene regulatory regions; reduced expression of transcription factors related to PD.	Fernandez-Santiago et al. 2015 <sup>81</sup>
fPD	PARKIN (exon 3, 5 deletion) PARKIN (exon 3 deletion)	TH+ or TH- neurons	Reduced neurite complexity; diminished microtubule stability;	Ren et al. 2015 <sup>82</sup>
fPD	PARKIN (R42P; exon 3 deletion) PARKIN (exon 3, 4 deletion; 255A deletion) PARKIN (R275W) PARKIN (R42P)	DA neurons	Reduced capacity to differentiate into DA neurons; altered mitochondrial volume fraction.	Shaltouki et al. 2015 <sup>83</sup>
sPD	GBA1 mutation	DA neurons	Reduced dopamine storage and uptake; elevated levels of $\alpha$ -synuclein.	Aflaki et al. 2016 <sup>84</sup>
sPD	SNP	Neurons	A PD-associated risk variant that regulates SCNA expression.	Soldner et al. 2016 <sup>55</sup>

DA neurons: dopaminergic neurons; SCNA: the gene encoding  $\alpha$ -synuclein; PINK1: PTEN-induced putative kinase 1; LRRK2: leucine-rich repeat kinase 2; ERAD: endoplasmic reticulum-associated degradation; TH: tyrosine hydroxylase; GBA1: acid  $\beta$ -glucocerebrosidase; MAO-B: monoamine oxidase B.

**Table 4**

human iPSC-derived organoids for modeling development and disease

Starting cells	Organoids	Applications	References
Human ESCs and iPSCs	Cerebral organoids	Modeling human cortical development and microcephaly	Lancaster et al. 2013 <sup>117</sup>
Human ESCs and iPSCs	Cerebral organoids	Modeling human fetal neocortex development	Camp et al. 2015 <sup>107</sup>
Human iPSCs	Brain organoids	Modeling autism spectrum disorders	Mariani et al. 2015 <sup>108</sup>
Human ESCs and iPSCs	Brain organoids	Modeling Zika virus infection	Cugola et al. 2016 <sup>109</sup>
Human iPSCs	Brain-region-specific organoids	Modeling Zika virus infection. Future applications include modeling human brain development and diseases and drug testing.	Qian et al. 2016 <sup>110</sup>
Human iPSCs	Brain organoids	Modeling Zika virus infection	Garcez et al. 2016 <sup>111</sup>
Human iPSCs	Brain organoids	Modeling Seckel syndrome	Gabriel et al. 2016 <sup>112</sup>
Human ESCs and iPSCs	Cortical organoids	Modeling species difference	Otani et al. 2016 <sup>113</sup>
Human iPSCs	Cortical spheroids	Modeling cortical development and diseases	Pasca et al. 2015 <sup>114</sup>
Human iPSCs	Retinal organoids	Modeling glaucoma	Tucker et al. 2014 <sup>106</sup>
Human ESCs and iPSCs	Intestinal organoids	Studying intestinal development. Future applications include intestinal disease modeling.	Spence et al. 2011 <sup>103</sup>
Human ESCs and iPSCs	Small intestinal organoids	Transplantation to demonstrate responsiveness to systemic signals. Future applications include studying intestinal development and diseases.	Watson et al. 2014 <sup>105</sup>
Human iPSC	Liver bud	Organ-bud transplantation for regenerative medicine	Takebet et al. 2013 <sup>99</sup>
Human iPSCs	Cystic organoids	Modeling Alagille syndrome, polycystic liver disease and cystic fibrosis-associated cholangiopathy and drug validation	Sampaziotis et al. 2015 <sup>100</sup>
Human iPSCs	Cystic organoids	Studying biliary development and cystic fibrosis	Ogawa et al. 2015 <sup>101</sup>
Human iPSC	Lung organoids	Studying lung development. Future applications include lung disease modeling.	Dye et al. 2015 <sup>102</sup>
Human iPSCs	Kidney organoids	Future applications include kidney disease modeling, nephrotoxicity screening and cell therapy.	Takasato et al. 2015 <sup>104</sup>
Human ESCs and iPSCs	Gastric organoids	Modeling gastric development and <i>H. pylori</i> infection	McCracken et al. 2016 <sup>98</sup>

**Table 5**

Large scale drug screening using human iPSCs

Disease	Cell type derived from iPSCs	Readout	# compounds screened	Hit rate (%)	Reference
Familial dysautonomia	Patient neural crest cells	Expression of <i>IKBKAP</i>	6,912	0.6	Lee et al. 2012 <sup>121</sup>
Alzheimer's disease	Human cortical neurons	Cell death	Approximately 350	~5.4	Xu et al. 2013 <sup>128</sup>
Motor neuron disease	Human neural precursor cells for the hit validation	Neurite length	11,819	0.3	Hoing et al 2012 <sup>129</sup>
ALS	Patient motor neurons	TDP-43 aggregates	1,757	2.2	Burkhardt et al. 2013 <sup>125</sup>
Fragile X syndrome	Patient NPCs	Expression of FMRP	50,000	4.2	Kaufmann et al. 2015 <sup>221</sup>
Fragile X syndrome	Patient NSCs	Expression of <i>FMR1</i>	Approximately 5,000	0.12	Kumari et al. 2015 <sup>222</sup>
Fragile X syndrome	Human NPCs	Expression of <i>FMR1</i>	1,134	0.17	Li et al. 2016 <sup>223</sup>

**Table 6**

Drug from iPSC research in clinical trials

Candidate drug	Disease	Mechanism	Formulation	Company	Reference
BMS-986168 (IPN-007)	Progressive supranuclear palsy (PSP)	Neutralizing eTau	Antibody	Bristol-Myers-Squibb	Bright et al. 2015 <sup>126</sup>
Ezogabine	ALS	Kv7.2/3 potassium channel agonist	Small molecule compound	GlaxoSmithKline	McNeish et al. 2015 <sup>137</sup>
RG7800	SMA	Increasing SMN protein levels	Small molecule compound	Roche	Naryshkin et al. 2014 <sup>127</sup>

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