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Cross-Sectional Thermo-mechanical Responses of Energy Piles

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34 **Abstract**

35 Despite the widespread research on energy piles, there remain critical knowledge gaps
36 in the cross-sectional thermal responses of concrete energy piles. This paper implements a
37 unique research approach by developing and validating a numerical model with cross-sectional
38 temperatures and strains measured in a field-scale energy pile (diameter = 0.6 m and length =
39 10 m), strengthening the reliability of modelling for energy piles. The numerical model was
40 further used to investigate the influences of inlet fluid temperature, soil thermal conductivity,
41 soil elastic modulus, soil thermal expansion coefficient, and the presence of a nearby energy
42 pile at a centre-to-centre distance of 3.5 m on the cross-sectional thermal responses of an energy
43 pile. These investigations demonstrate the practical significance of the above parameters on
44 the cross-sectional thermal responses of energy piles. The results show that the temperature
45 and stresses were largest at the centre of the pile and reduced with increasing radial distance to
46 the pile's edge, with differences up to 4°C and 2.2 MPa, respectively, between the centre and
47 the edge. A comparison of the cross-sectional results with existing stress estimation methods, in
48 the cross-section of the piles, commonly based on average cross-sectional temperature and
49 temperature measured at a single spot, reveal that existing methods lead to an overdesign of 2
50 MPa. Therefore, the actual temperature and stress variations in the planar cross-section of
51 energy piles should be accounted for in the design of energy piles.

52

53 ***Keywords:*** *Energy piles; field tests; cross-sectional thermal responses; soil property effects; fluid*
54 *temperature effects.*

55

56 **Introduction**

57 It is well established that ground source heat pumps used in tandem with energy piles result
58 in variations in temperature, deformations, and stress in the energy pile and surrounding soil.
59 Due to the transient changes in the temperature of the heat pump circulating fluid, the
60 temperature across an energy pile's cross-section will also vary (Abdelaziz and Ozudogru
61 2016a, 2016b; Caulk et al. 2016; Han and Yu 2020; Liu et al. 2020). However, the majority of
62 field-scale studies on energy piles only measured their thermal response at a single location in
63 the cross-section of the pile (e.g. Laloui et al. 2006; Bourne-Webb et al. 2009; Akrouch et al.
64 2014; Murphy et al. 2015; Murphy and McCartney 2015; Sutman et al. 2015; Faizal et al. 2016,
65 2018; Mimouni and Laloui 2015; Rotta Loria and Laloui 2017a, 2017b, 2018; Fang et al. 2020;
66 Moradshahi et al., 2020a and b; Wu et al. 2020). Assuming that the temperature measured at
67 the single location is representative of the temperature across the cross-section of an energy
68 pile has been shown to lead to errors in estimating thermal strains and stresses, mostly when
69 heating and cooling occur (McCartney et al. 2015; Murphy and McCartney 2015; Abdelaziz
70 and Ozudogru 2016a, 2016b; Caulk et al. 2016).

71 Numerical studies showed that non-uniform temperature and stress variations occurred
72 between the centre and edge of the energy pile (Abdelaziz and Ozudogru 2016a, 2016b; Caulk
73 et al. 2016; Han and Yu 2020; Liu et al. 2020), but fewer field studies have been performed to
74 validate these observations (e.g. Faizal et al. 2019a; 2019b). Although Faizal et al. (2019a,
75 2019b) reported that temperature and stress calculated using sensors at similar radial distances,
76 they did not measure temperatures or thermal axial stresses near the pile-soil interface. The pile
77 temperature at the edge of the pile would be expected to be similar to the soil temperature,
78 hence leading potentially to temperature and stress gradients across the pile's diameter.

79 The numerical studies mentioned above were conducted for a single energy piles with a
80 given inlet fluid temperatures and one set of soil properties. Thus, factors governing the

81 distribution in temperature and stress across an energy pile's cross-section are not fully
82 understood. Accordingly, there is currently a knowledge gap on the effects of inlet fluid
83 temperatures, soil properties, and the presence of a nearby energy pile on the distribution of
84 temperatures and stresses in the cross-section of energy piles. The magnitudes of thermal
85 stresses in energy piles depend on the magnitudes of inlet fluid temperatures (e.g. [You et al.](#)
86 [2014](#); [Mimouni and Laloui 2015](#); [Murphy and McCartney 2015](#); [Faizal et al. 2016](#); [Han and](#)
87 [Yu 2020](#)). A recent parametric study based on field investigations ([Moradshahi et al. 2020b](#))
88 showed that soil parameters (i.e. soil thermal conductivity, λ_{soil} , thermal expansion coefficient,
89 α_{soil} , and elastic modulus, E_{soil}) could affect the axial thermal stresses at the centre of the energy
90 pile. Hence, it can be hypothesised that thermal stresses in the energy pile's cross-section will
91 also be influenced. Variations of λ_{soil} affect the heat transfer between the pile and the soil ([Jeong](#)
92 [et al. 2014](#); [Salciarini et al. 2015, 2017](#); [Guo et al. 2018](#); [Sani et al. 2019](#); [Moradshahi et al.](#)
93 [2020b](#)) which can affect the pile-soil interface temperatures and hence the temperature and
94 stress distribution in the cross-section. Variations in α_{soil} and E_{soil} affect the restrictions imposed
95 by the soil on the thermal expansion and contraction of energy piles ([Bodas Freitas et al. 2013](#);
96 [Bourne-Webb et al. 2015](#); [Salciarini et al. 2015](#); [Khosravi et al. 2016](#); [Rotta Loria and Laloui](#)
97 [2017b](#); [Salciarini et al. 2017](#); [Moradshahi et al. 2020b](#)), which in turn could influence the
98 magnitudes of stresses developed in the cross-section of the energy pile. Moreover, the
99 presence of a nearby energy pile can also influence the cross-sectional temperature and stress
100 distributions of an energy pile due to possible thermal interaction between the piles through the
101 soil.

102 This paper presents a study on cross-sectional thermal responses of a field-scale energy
103 pile obtained experimentally and numerically using a coupled thermo-mechanical model. In
104 particular, the influence of inlet fluid temperatures, soil properties (soil thermal conductivity,
105 λ_{soil} , thermal expansion coefficient, α_{soil} , and elastic modulus, E_{soil}) and the presence of a nearby

106 energy pile on the temperature and stress distribution in the cross-section of the energy pile are
107 investigated and discussed.

108

109 **Site description and experimental procedure**

110 The experiments were conducted on two energy piles installed under a six-storey
111 residential building. A schematic of the piles is shown in [Figure 1](#). The site's soil profile is
112 Brighton Group of materials, consisting of dense to very dense clayey sands ([Barry-Macaulay
113 et al., 2013; Singh et al., 2015; Faizal et al., 2018, 2019a, 2019b](#)). The piles' diameter and
114 length were 0.6 m and 10 m, respectively. The average compressive strength and modulus of
115 elasticity of unreinforced concrete samples measured in the laboratory are 64 MPa and 34 GPa,
116 respectively. The piles were spaced at a centre-to-centre distance of 3.5 m. Both piles had four
117 HDPE pipe U-loops installed up to the piles' depth. One of the two piles (EP1) was
118 instrumented with vibrating wire strain gauges (Model: Geokon-4200) at five depths, as shown
119 in [Figure 1](#). Each depth contained five axial VWGs (V1 to V5) installed in the planar cross-
120 section of EP1. The axial strain gauge V5 was located near the centre of the pile and axial strain
121 gauges V1 to V4 were located approximately 160 mm away from the pile's edge. These axial
122 VWGs across the piles' cross-section were used to achieve this paper's objectives. The
123 ground temperatures were recorded using Type T thermocouples at two boreholes located
124 between the two piles ([Figure 1](#)). A detailed description of the piles' instrumentation is given
125 in [Faizal et al. \(2019a and 2019b\)](#).

126 Two heating and two cooling experiments were conducted on a single pile (EP1) and
127 dual piles (EP1 + EP2). The inlet water temperatures and the ambient temperatures for all
128 experiments are described in [Figure 2](#) and [Table 1](#). The fluid temperatures were recorded using
129 Type T thermocouples. The ambient temperatures were obtained from a weather station located
130 approximately 13 km away from the experimental site. The sudden increase in inlet fluid

131 temperature on day 4 of the dual pile heating experiment, shown in Figure 2, was due to
132 switching on an additional heating element to increase the inlet fluid temperature. The inlet
133 fluid temperature trend for the dual pile cooling experiment was affected on Days 8 and 15 due
134 to some heat pump's performance issues. More details of the experiments are given in [Table](#)
135 [1](#). The temperature data for heating and cooling tests for the single and dual pile experiments
136 were obtained from [Faizal et al. \(2019a\)](#) and [Moradshahi et al. \(2020b\)](#). These data sets were
137 used to validate the numerical model and investigate the influence of different parameters on
138 the cross-sectional temperatures and axial thermal strains and stresses of EP1.

139

140 **Numerical modelling**

141 A numerical study was performed to evaluate the cross-sectional behaviour of EP1 for
142 varying inlet fluid temperatures and soil properties (i.e. soil elastic modulus, E_{soil} , thermal
143 conductivity, λ_{soil} , and thermal expansion coefficient, α_{soil} ,) for single and dual pile
144 experiments. A three-dimensional finite element model was developed and simulated using
145 COMSOL Multiphysics software. The model was validated against field results. The 40×15
146 $\times 30 \text{ m}^3$ model, shown in [Figure 3](#), consisted of 381980 tetrahedral, triangular, prismatic, linear
147 and vertex elements from which 108388 and 53981 mesh elements describe EP1 and EP2,
148 respectively.

149 The model geometry was developed based on the field piles' dimensions and boundary
150 conditions. The soil block dimensions were selected based on a preliminary numerical analysis
151 to avoid boundary effects on the simulated results. Each energy pile was connected to a separate
152 $5 \times 5 \text{ m}$ slab with a thickness of 0.5 m. A working load of 1400 kN ([Faizal et al. 2019](#)) was
153 applied to the surface of the slab overlying the two pile heads (on the axis of pile centre) to
154 simulate the building loads. Roller boundary conditions were applied to the sides of the
155 numerical model to allow vertical movements while movements at the base of the model were

156 entirely restricted. The energy piles and the soil were assumed to be bonded together; hence no
157 interface elements were assigned at the pile-soil interface. Similar assumptions were made in
158 various numerical studies reported in the literature (e.g. Batini et al. 2015; Gawecka et al. 2017;
159 Rotta Loria and Laloui 2017b, 2018; Salciarini 2017; Adinolfi et al. 2018) and in a recent study
160 on the cross-sectional thermal response of energy piles by Liu et al. (2020). There was no
161 groundwater encountered within the soil over the pile's length during installation, and the soil
162 at the site was considered dry.

163 The numerical modelling was conducted under the following assumptions: (a)
164 the energy piles and slabs were isotropic, elastic and incompressible under isothermal
165 conditions; (b) the inertial effects of the solid skeleton were negligible, and the simulations
166 represented quasi-static conditions; (c) the Mohr-Coulomb model governed by non-associated
167 flow rules was used for modelling soil behaviour; and (d) the heat transfer between the piles
168 and the ground was due to conduction. The material thermal and mechanical properties of the
169 soil, energy piles, slab and the HDPE pipe, were adopted from previous studies conducted on
170 the site (Barry-Macaulay et al. 2013; Singh et al. 2015; Faizal et al. 2018, 2019) and other
171 studies reported in the literature (Bowles 1968; Peck et al. 1974; Mitchell and Soga 2005;
172 Bourne-Webb et al. 2009; Amatya et al. 2012, Singh and Bouazza 2013).

173

174 **Field results and numerical validation**

175 The distribution of EP1 temperatures and axial thermal strains were obtained from the
176 axial VWSGs located in the planar cross-section of EP1. The locations of these axial VWSGs,
177 shown in Figure 1, were non-dimensionalised with respect to the radius of EP1. In this regard,
178 the axial VWSG at location V5 (Figure 1) corresponds to the centre of EP1, V1 and V2
179 correspond to the non-dimensional radius of -0.47, and V3 and V4 correspond to the non-

180 dimensional radius of 0.47. The axial thermal stresses in EP1 were estimated using the
181 following equation:

$$182 \quad \sigma_T = E_P(\varepsilon_{obs} - \alpha_{free}\Delta T) \quad (1)$$

183 where E_P is the elastic modulus of the concrete, ε_{obs} is experimentally observed thermal
184 strains, α_{free} is the free thermal expansion coefficient of the concrete (taken as $13 \mu\text{E}/^\circ\text{C}$), and
185 ΔT is the change in temperature of the pile. Positive thermal strains indicate expansion and
186 negative thermal stresses indicate compression.

187 The field and numerical results for Day 14 of each experiment along the cross-section
188 of EP1 for the depths of 3.05 m (near the null point) and 7.28 m (representative of EP1
189 behaviour of lower parts of EP1) are shown in [Figure 4](#). It should be noted that the numerical
190 temperatures, thermal strains and stresses have been obtained from the same aforementioned
191 experimental non-dimensional radius for the purpose of validation. There was a good match
192 between experimental and numerical results, hence giving confidence in using the model for
193 more detailed parametric investigations. A good match between experimental and numerical
194 results was also obtained at other depths. The experimental and numerical results show a low
195 range of variations of temperature (up to 1.5°C), strains (up to $26\mu\text{E}$) and stresses (up to 2 MPa)
196 over the cross-section of EP1 for all experiments ([Figures 4a and 4b](#)). The overall trends and
197 magnitudes of temperatures and axial thermal strains and stresses were similar in the single
198 and dual pile experiments, indicating the negligible effect of the operation of EP2 on the cross-
199 sectional thermal response of EP1.

200 The experimental and numerical transient ground temperature changes in Boreholes 1
201 and 2 (see [Figure 1](#)) for all four experiments are shown in [Figure 5](#). There was a good match
202 between experimental and numerical results. For single heating and cooling experiments, the
203 ground temperature changes in BH1 is greater than that of BH2. However, in the dual pile

204 experiments, the ground temperature changes in BH2 were higher than in the single pile
205 experiments as a result of EP2 being heated or cooled.

206

207 **Numerical investigation**

208 A parametric evaluation was performed using the validated numerical model to
209 investigate the effect of varying fluid temperature and varying λ_{soil} , E_{soil} , and α_{soil} on the cross-
210 sectional thermal response of EP1. For each heating and cooling experiment, two inlet fluid
211 temperatures were studied, as shown in Figure 6. The fluid temperatures were varied by $\pm 10^\circ\text{C}$
212 intervals for heating and cooling operations (i.e. $|\Delta T_f| = 10^\circ\text{C}$, and 20°C , where ΔT_f is the
213 difference between the inlet fluid temperatures at the end of the experiment and the initial fluid
214 temperature of 20°C which is close to the average ground temperature). The intervals of $|\Delta T_f|$
215 $= 10^\circ\text{C}$ were chosen to perform the parametric analysis on the effect of soil properties on the
216 thermal response of EP1 for both heating and cooling operations. Three different values of each
217 soil parameter were investigated (i.e. $0.5\lambda_{soil}$, λ_{soil} , $2\lambda_{soil}$; $0.5E_{soil}$, E_{soil} , $2E_{soil}$; $0.1\alpha_{soil}$, α_{soil} , 10
218 α_{soil}). The initial pile and ground temperatures, fluid flow rate and ambient temperatures were
219 kept the same for all the simulations. The two energy piles were also not connected in series
220 and worked separately with the same inlet fluid temperatures (shown in Figure 6) and the same
221 fluid flow rate of 11 L/min.

222

223 **Results and discussions**

224 ***Thermal responses across different diametrical axes***

225 The cross-sectional thermal response of EP1 over the four different axes (i.e. X-axis,
226 Y-axis, D1-axis, and D2-axis, as shown in Figure 3d) at a depth of 2.5 m for $|\Delta T_f| = 10^\circ\text{C}$ is
227 shown in Figure 7. The depth of 2.5 m had the highest stresses compared to other depths, and
228 is likely the null point's location. The magnitudes of temperatures and thermal strains/stresses

229 were symmetrical between heating and cooling for a given axis. Higher values of temperature,
230 thermal strains and stresses were observed at the centre of EP1 compared to the edge of EP1
231 for both single and dual pile tests. The change in temperature at the centre and edge of the pile
232 were approximately $\pm 8.5^{\circ}\text{C}$ and $\pm 6.9^{\circ}\text{C}$ (difference of $\sim 1.6^{\circ}\text{C}$), respectively, while the
233 stresses were $\pm 1.7\text{ MPa}$ and $\pm 0.4\text{ MPa}$ (difference of $\sim 1.3\text{MPa}$), respectively. The pile
234 temperature reduced to the magnitudes of ground temperatures at the pile-soil interface
235 (discussed in the following sections). The strains and stresses varied along the cross-section
236 due to variations in temperature distribution and variations in the pile's thermal
237 expansion/contraction across the cross-section. The temperatures and strains/stresses are
238 largest with almost constant magnitudes between $R = -0.14\text{ m}$ and $R = 0.14\text{ m}$ since this region
239 is enclosed by the evenly distributed thermally active heat exchanger loops. The reduction in
240 temperatures and thermal strains/stresses between $R = \pm 0.14\text{ m}$ and the pile-soil interface, at
241 $R = \pm 0.3\text{ m}$, is due to the difference in temperatures between the heat exchanger loops and the
242 ground.

243 The differences between the cross-sectional thermal response of EP1 for all different
244 four axes is insignificant with the maximum difference of about 0.3°C , $7\mu\epsilon$, and 0.2 MPa for
245 changes in pile temperature, thermal axial strains, and thermal axial stresses, respectively, for
246 all operations. Therefore, the distribution of thermal responses in the cross-section can be
247 considered similar across different diametrical axes of the pile. As there were no significant
248 differences in the different axes' thermal responses, the X-axis in the following sections of the
249 paper is chosen to investigate the cross-sectional thermal response of EP1 for varying soil
250 parameters.

251 ***Fluid temperatures***

252 The effect of varying inlet fluid temperatures on the cross-sectional thermal responses
253 of EP1 at a depth of 2.5 m and adjacent ground temperature changes at the same depth are

254 shown in [Figure 8](#). The change in pile and ground temperatures and thermal strains/stresses
255 increased with increasing fluid temperatures. The pile temperatures are largest at the centre of
256 the pile ([Figure 8a](#)) and reduce to the value of ground temperatures at the pile-soil interface
257 ([Figure 8b](#)). The two energy piles' operation simultaneously increased/decreased the change in
258 ground temperatures between the two energy piles, compared to single pile operation for
259 heating/cooling operation ([Figure 8b](#)). The ground temperature changes were higher during
260 dual pile tests due to thermal interference between the soil volumes influenced by each energy
261 pile.

262 The difference between the magnitude of temperature and axial thermal stresses
263 between the centre and edge of EP1 increased from 1.6°C to 3.1°C and from 1.3 MPa to 2.1 MPa
264 respectively, with increasing fluid temperature from $|\Delta T_f|$ of 10°C to 20°C. [Liu et al. \(2020\)](#) and
265 [Abdelaziz and Ozudogru \(2016b\)](#) also reported differences of 1.5 MPa and 2 MPa,
266 respectively, between the centre and the edge of the energy pile. Larger fluid temperatures
267 during the operation of the GSHP will therefore induce higher differential temperatures and
268 stresses in the cross-section of the piles. Even though the ground temperatures between the two
269 energy piles were affected by the operation of EP2 in dual pile operation, the temperatures and
270 thermal strains/strains developed in EP1 were similar for both single and dual pile operations.
271 The negligible effects of EP2 on EP1 likely occurred due to minor changes in ground
272 temperatures near the edge of EP1 (up to 0.3 m away from EP1 edge) for both single and dual
273 pile operations. This indicates that the operation of EP2 did not have significant effects on the
274 cross-sectional distribution of temperatures and thermal stresses of EP1. This can be related to
275 the issue that a pile-cap does not connect the piles and that the piles are not close enough to
276 cause any effects on the thermal responses of EP1 as a result of EP2 operation.

277

278

279 ***Soil thermal conductivity***

280 The effect of soil thermal conductivity, λ_{soil} , on the cross-sectional thermal responses
281 of EP1 and adjacent ground temperature changes at a depth of 2.5 m, for $|\Delta T_f| = 10^\circ\text{C}$, is shown
282 in [Figure 9](#). Symmetrical thermal responses were observed for heating and cooling operations
283 for all λ_{soil} values. Higher λ_{soil} resulted in lower EP1 temperature changes. Higher λ_{soil} resulted
284 in faster heat propagation in the soil, which resulted in lower thermal confinement around EP1,
285 hence the pile temperatures were low. For a given λ_{soil} , the changes in ground temperature near
286 EP1 is similar for both single and dual pile operations indicating that variation of λ_{soil} did not
287 affect the temperature changes near EP1 edge for the pile spacing of this study. However,
288 overlapping of the ground temperatures represents thermal interaction in the soil between the
289 two piles between $R = 0.6$ m and 2.7 m for dual pile tests.

290 The stress variations at the centre of EP1 were insignificant compared to those at the
291 edge of EP1 when λ_{soil} increased from $0.5\lambda_{soil}$ to $2\lambda_{soil}$. This can be related to the fact that the
292 centre of EP1 is more influenced by the heat-exchanger loops, whereas the edges of EP1 is
293 more affected by ground temperature changes at the pile-soil interface. As a result, the
294 difference between thermal stresses at the centre and edge of EP1 increased from 0.8 MPa to
295 1.65 MPa when λ_{soil} increased from $0.5\lambda_{soil}$ to $2\lambda_{soil}$. The effect of operating EP2 in dual pile
296 operation on EP1 temperature distribution, axial thermal strains and stresses were insignificant
297 for all values of λ_{soil} , which indicates that thermal interaction between the two energy pile is
298 insignificant in the current study.

299

300 ***Soil elastic modulus***

301 The effect of soil elastic modulus, E_{soil} , on the cross-sectional thermal responses of EP1
302 and adjacent ground temperature changes at a depth of 2.5 m, for $|\Delta T_f|=10^\circ\text{C}$, is shown in [Figure](#)
303 [10](#). The thermal responses were symmetrical for heating and cooling. The pile and ground

304 temperatures were not affected by varying E_{soil} (Figures 10a and b). The thermal stresses
305 increased (and hence decrease in thermal strains) with increasing E_{soil} , which can be attributed
306 to increased soil restriction on thermal expansion/contraction of EP1. Khosravi et al. (2016)
307 and Moradshahi et al. (2020b) also reported an increase in pile thermal stresses with increasing
308 E_{soil} .

309 The distribution of temperatures and thermal stresses and strains were similar over the
310 cross-section of EP1 for both single and dual pile operation indicating that operation of EP2 in
311 dual pile operation did not have significant effects on EP1 thermal responses for different
312 values of E_{soil} . An increase of 1.5 MPa of thermal stresses was observed when E_{soil} increased
313 from $0.5E_{soil}$ to $2E_{soil}$. However, the difference between the thermal stresses between the centre
314 and edge of EP1 remained approximately 1 MPa for any given E_{soil} for single and dual piles'
315 heating and cooling operations.

316

317 ***Soil thermal expansion coefficient***

318 Figure 11 shows the effect of soil's thermal expansion coefficient, α_{soil} , on the cross-
319 sectional thermal responses of EP1 and adjacent ground temperature changes at a depth of 2.5
320 m, for $|\Delta T_f| = 10^\circ\text{C}$. Similar to E_{soil} and λ_{soil} , the thermal responses of EP1 for heating and cooling
321 operations were symmetrical for both single and dual pile operations. Variations of α_{soil} did not
322 affect the pile and ground temperature changes (Figures 11a and 11b).

323 The range of thermal stresses for various magnitudes of α_{soil} was lower than that for
324 E_{soil} . Similar to what was observed for λ_{soil} and E_{soil} , the distribution of thermal stresses in EP1
325 was similar for both single and dual pile operations, hence the operation of EP2 did not affect
326 the thermal responses of EP1 for the pile spacing investigated in this study. The differences in
327 thermal stresses between the centre and edge of EP1 were about 1 MPa for all values of α_{soil} ,
328 for both heating and cooling operations of single and dual piles. A reduction of thermal stresses

329 resulted in higher values of α_{soil} (i.e., $10 \alpha_{soil}$ which corresponds to a ratio of $\alpha_{soil}/\alpha_{pile}$ of 7)
330 which can be attributed to greater soil expansion which resulted in lower soil restriction on
331 EP1. Similar behaviour of thermal stresses was observed by Bourne-Webb et al. (2016) and
332 Salciarini (2017) along the depth of an energy pile.

333

334 ***Comparison of cross-sectional thermal results against conventional energy pile analysis***

335 The stress estimation in the cross-section of conventional energy piles is commonly done
336 based on the average cross-sectional temperature or by measuring the temperature at a single
337 location in the cross-section. A comparison between the cross-sectional results reported herein,
338 and conventional energy pile analysis based on average and single point temperature and stress
339 evaluations is shown in Figure 12. The comparisons are made for EP1 for single pile
340 experiments only, for $|\Delta T_f|=20^\circ\text{C}$, $2E_{soil}$, $2\lambda_{soil}$, and $10\alpha_{soil}$ (these showed maximum cross-
341 sectional thermal responses as discussed earlier).

342 The single point analysis is taken at the centre of the pile; the magnitudes of the temperature
343 at this location were used to calculate the thermal stresses using Equation 1 and were
344 considered the same over the cross-section, as is done for conventional energy pile analysis. In
345 the average temperature's analysis, the average temperature values over the cross section were
346 used to calculate stresses using Equation 1, as is also done for conventional energy pile
347 analysis. The results show significant differences in thermal responses between the current
348 cross-sectional results and conventional methods. The single point analysis shows greater
349 differences against the cross-sectional thermal responses results than the average magnitude's
350 analysis.

351 The maximum differences in temperatures and stresses between the results reported in the
352 current study and single point and average temperature analysis were 2 MPa and 1.5 MPa
353 (3.5°C and 2.5°C), respectively, for $|\Delta T_f|=20^\circ\text{C}$ (Figures 12a and 12b); 1.1 MPa and 0.55 MPa

354 (1.7°C and 1.4°C), respectively, for $2E_{soil}$ (Figures 12c and 12d); 1.5 MPa and 1.1 MPa (1.2°C
355 and 0.8°C), respectively, for $2\lambda_{soil}$ (Figures 12e and 12f); and 1.1 MPa and 0.8 MPa (1.7°C and
356 1.4°C), respectively, for $2\alpha_{soil}$ (Figures 12g and 12h). These results indicate that considering
357 the existing conventional methods may result in over design of energy piles.

358

359 **Conclusions**

360 This paper investigated the cross-sectional thermal response of one of two field-scale
361 energy piles spaced at a centre-to-centre distance of 3.5 m under monotonic heating and cooling
362 operations. A numerical model validated against field data was used to perform a parametric
363 study to investigate the effects of varying inlet fluid temperatures, soil thermal conductivity,
364 thermal expansion coefficient, and elastic modulus on the cross-sectional thermal response of
365 the considered energy pile. The influences of the second energy pile on the temperatures and
366 thermal stresses of the considered energy pile during dual pile operation were negligible for all
367 fluid temperatures and soil parameters for the setting investigated in this study. However, the
368 ground temperatures between the two energy piles during dual pile operation experienced
369 larger changes than the operation of a single energy pile for all studied cases. The temperatures
370 and stresses at the centre of the considered energy pile were larger compared to the edge of the
371 pile, for all fluids and soil properties.

372 The soil elastic modulus effect was more significant on the cross-sectional thermal
373 response of the considered energy pile compared to the soil thermal conductivity and soil
374 thermal expansion. However, the soil thermal conductivity influenced the ground temperatures
375 while the effects of soil elastic modulus and thermal expansion coefficient on ground
376 temperatures were negligible. Variation of soil thermal conductivity mostly affected the
377 magnitudes of thermal stresses at the edge of the considered energy pile due to variations in
378 pile-soil interface temperatures. Comparing the numerical model results with the conventional

379 approach to estimate the thermal stresses in the energy pile showed that the conventional
380 methods might lead to overdesign of the energy piles.

381 This paper's outcomes show that only considering the thermal responses at the centre
382 of energy piles might result in design errors as the temperatures and thermal stresses at the edge
383 of the energy piles are lower than those at the centre of the energy pile. Moreover, the
384 differences between the centre and edge of energy piles will differ for different fluid
385 temperatures and soil properties encountered at different sites and should also be accounted for
386 in energy pile designs.

387

388 **References**

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534 **Table 1.** Details of energy pile experiments.

Operation mode	Description	Inlet water temperature (°C)	Inlet water flow rates (L/min)	Experiment duration (Days)	Ambient temperatures (°C)
Single heating	24 h of heating (Faizal et al. 2019a; Moradshahi et al. 2020)	46	11	18	12-25
Dual heating	24 h of heating (Moradshahi et al. 2020)	42	10	42	12-22
Single cooling	24 h of cooling	1	12	21	10-16
Dual cooling	24 h of cooling	5	10	14	15-23

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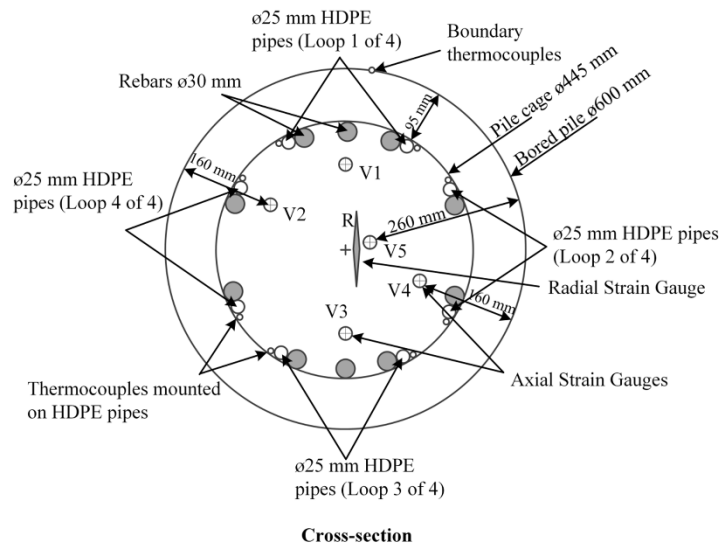
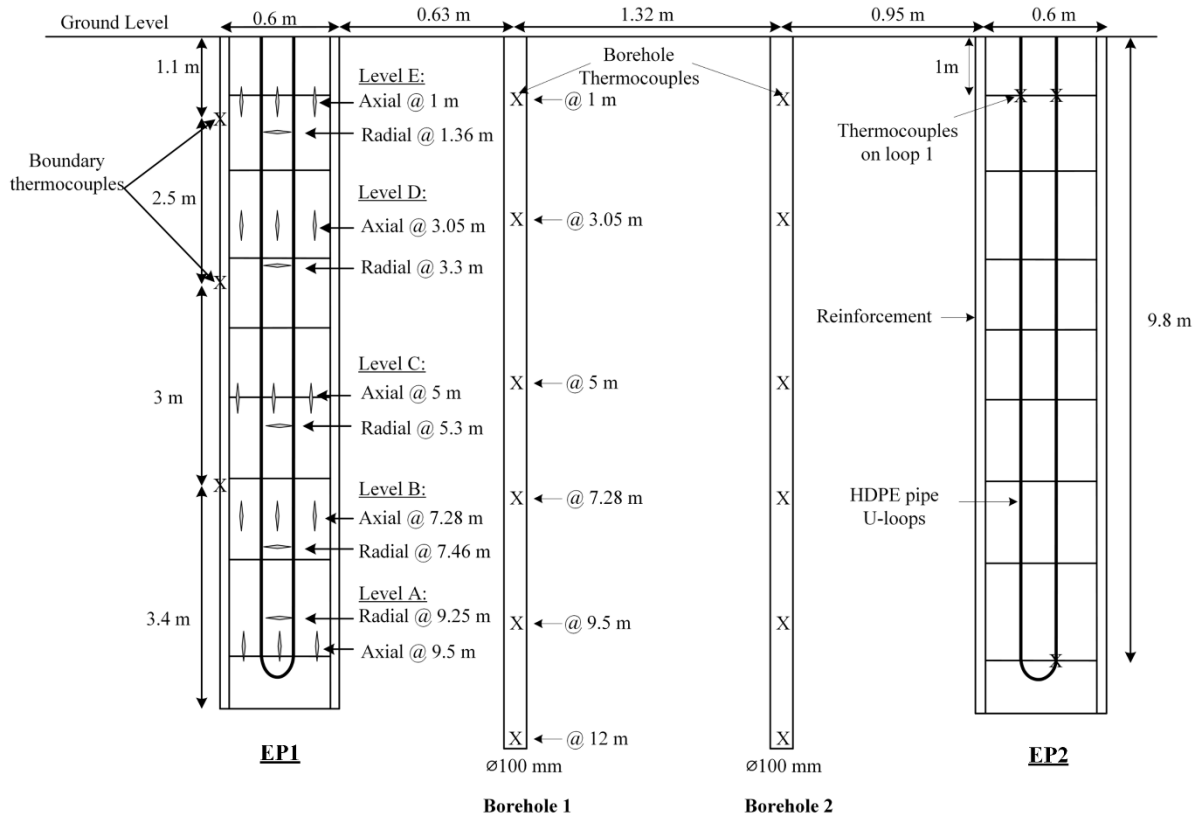
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538 **Table 2.** Material properties for numerical simulations calibrated against field test measurements.

Soil properties	Fill	Dense sand	Sandy clay	Sand	Pile	Slab	HDPE pipes
Depth, z (m)	0.0-0.5	0.5-3.5	3.5-6.0	6.0-12.5	1750	800	—
Elastic modulus, E (MPa)	15	600	75	120	35000	35000	—
Poisson's ratio, ν (—)	0.30	0.28	0.30	0.30	0.22	0.22	—
Total density, ρ (kg/m ³)	1750	1800	1950	2200	2200	850	—
Specific heat capacity, C_p (J/kg°C)	800	840	810	850	810	850	—
Thermal conductivity, λ (W/(m°C))	1.1	1.7	2.0	2.3	1.5	1.5	0.4
Linear coefficient of thermal expansion, α ($\mu\epsilon/^\circ\text{C}$)	10	10	10	10	13	13	—
Friction angle (degrees)	30	38	32	35	—	—	—
Apparent cohesion (kPa)	1	0.1	0.2	0.1	—	—	—

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541 **Figure 1.** Field-scale energy piles details (after [Faizal et al. 2018](#)).

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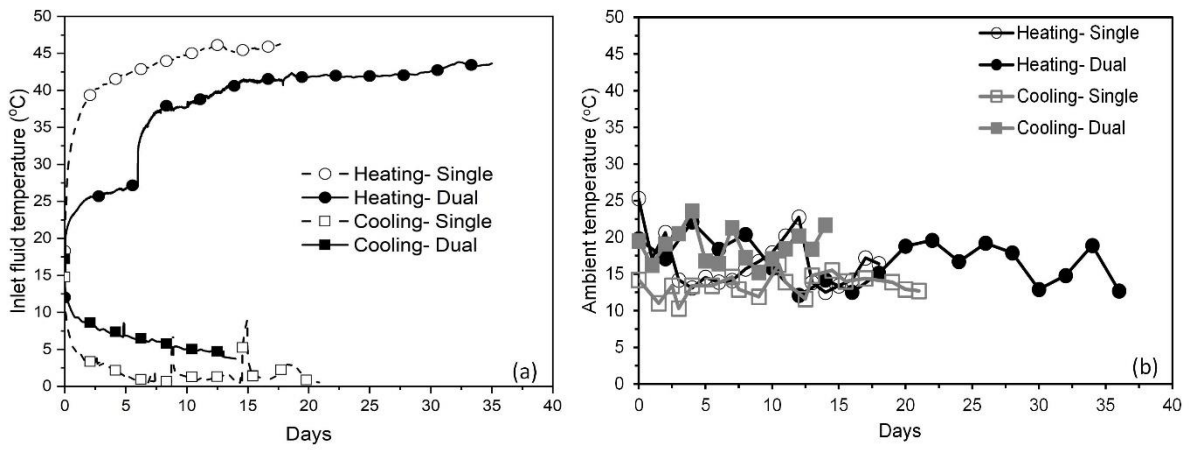
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549 **Figure 2.** Temperatures for single and dual pile heating and cooling experiments (a) fluid temperatures;

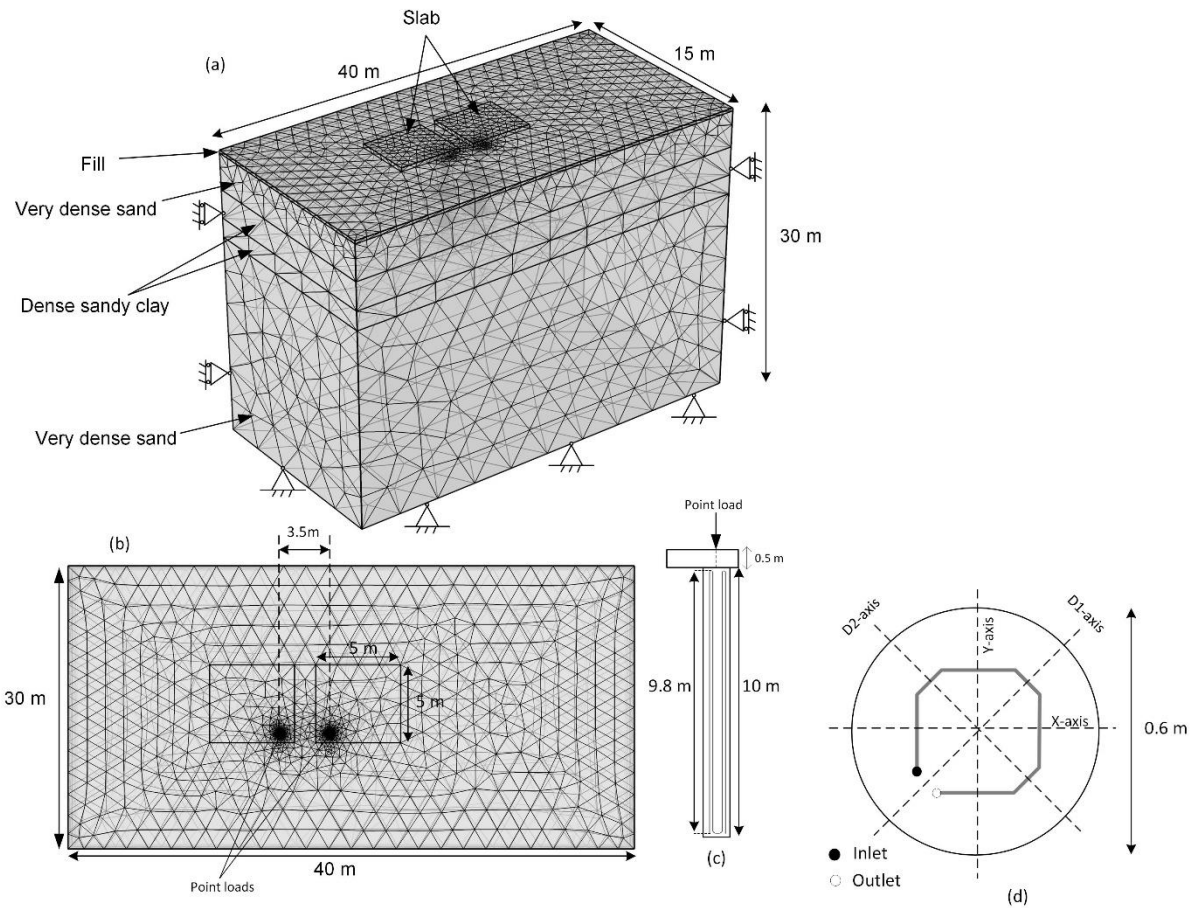
550 and (b) ambient.

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556 **Figure 3.** Finite element mesh of the numerical model (a) 3D view; (b) plan view; (c) side view of
 557 energy pile and heat exchanger loops; (d) plan view of energy pile, heat exchanger loops, and cross-
 558 sectional axes.

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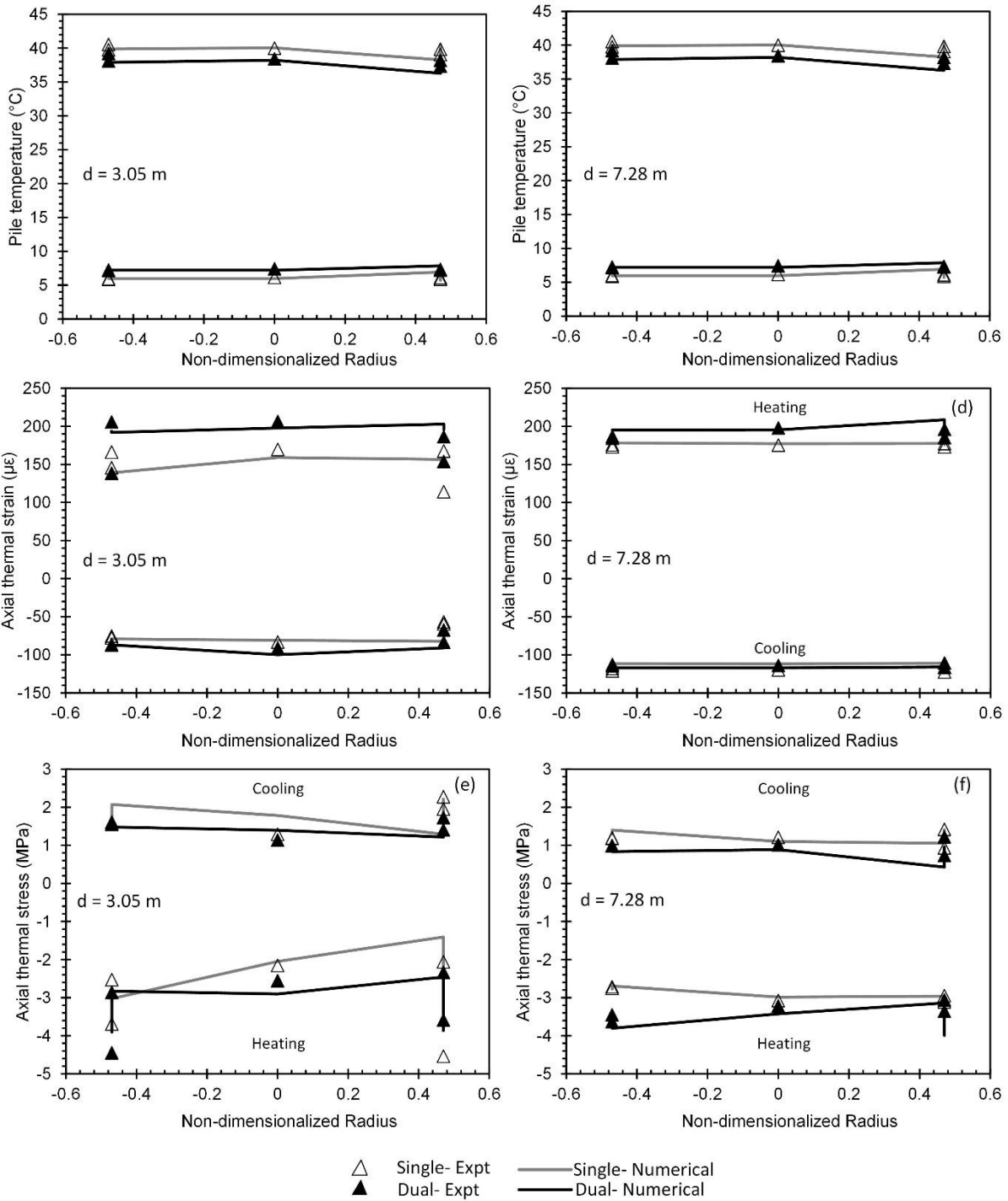
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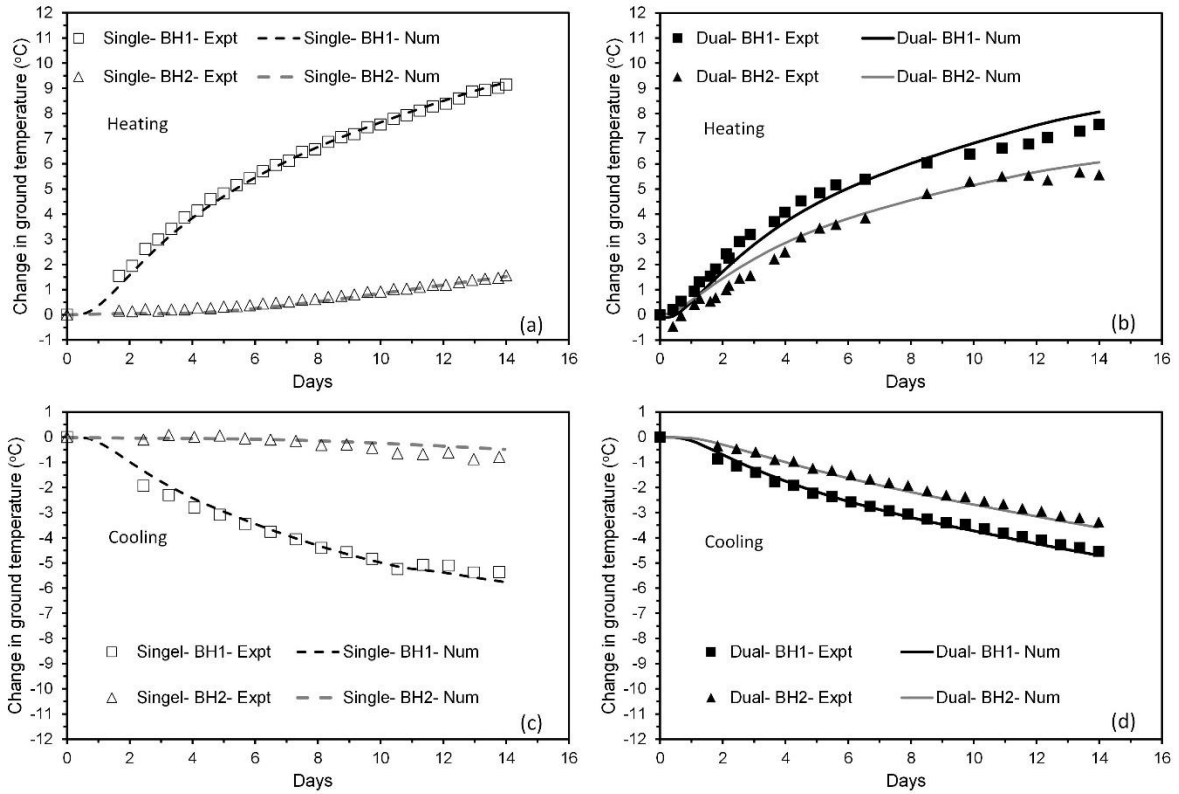
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572 **Figure 4.** Field experimental and numerical cross-sectional distribution of thermal responses for EP1
 573 at the end of Day 14: (a) and (b) temperatures at depths of 3.05 m and 7.28 m, respectively; (c) and (d)
 574 axial thermal strains at depths of 3.05 m and 7.28 m, respectively; and (e) and (f) axial thermal stresses
 575 at depths of 3.05 m and 7.28 m, respectively.

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578 **Figure 5.** Field experimental and numerical change in ground temperatures: (a) for single pile
 579 heating operation; (b) for dual pile heating operation; (c) for single pile cooling operation; and
 580 (d) for dual pile cooling operation at depth of 2.5 m.

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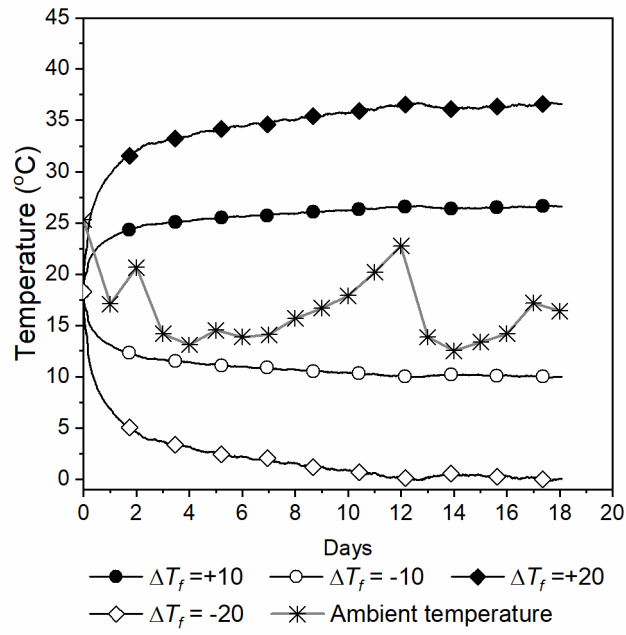
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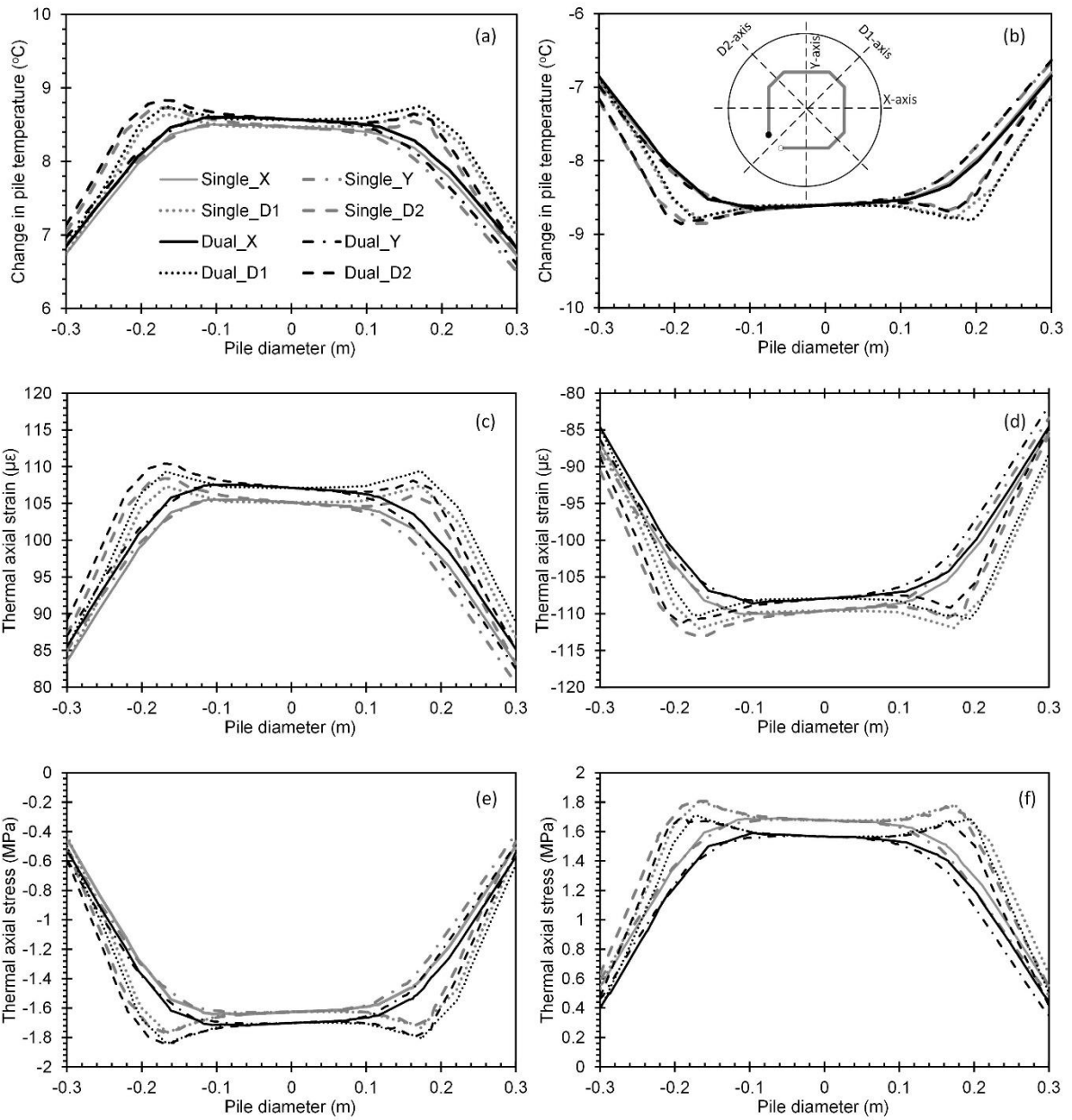
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589 **Figure 6.** Inlet fluid variations considered in the parametric evaluations along with the ambient surface
 590 temperature variation.

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595 **Figure 7.** Numerical predictions of cross-sectional thermal responses of EP1 over different axes (shown
 596 in (b): (a) and (b) change in temperature during heating and cooling, respectively; (c) and (d) axial
 597 thermal strains during heating and cooling, respectively; and (e) and (f) axial thermal stresses during
 598 heating and cooling.

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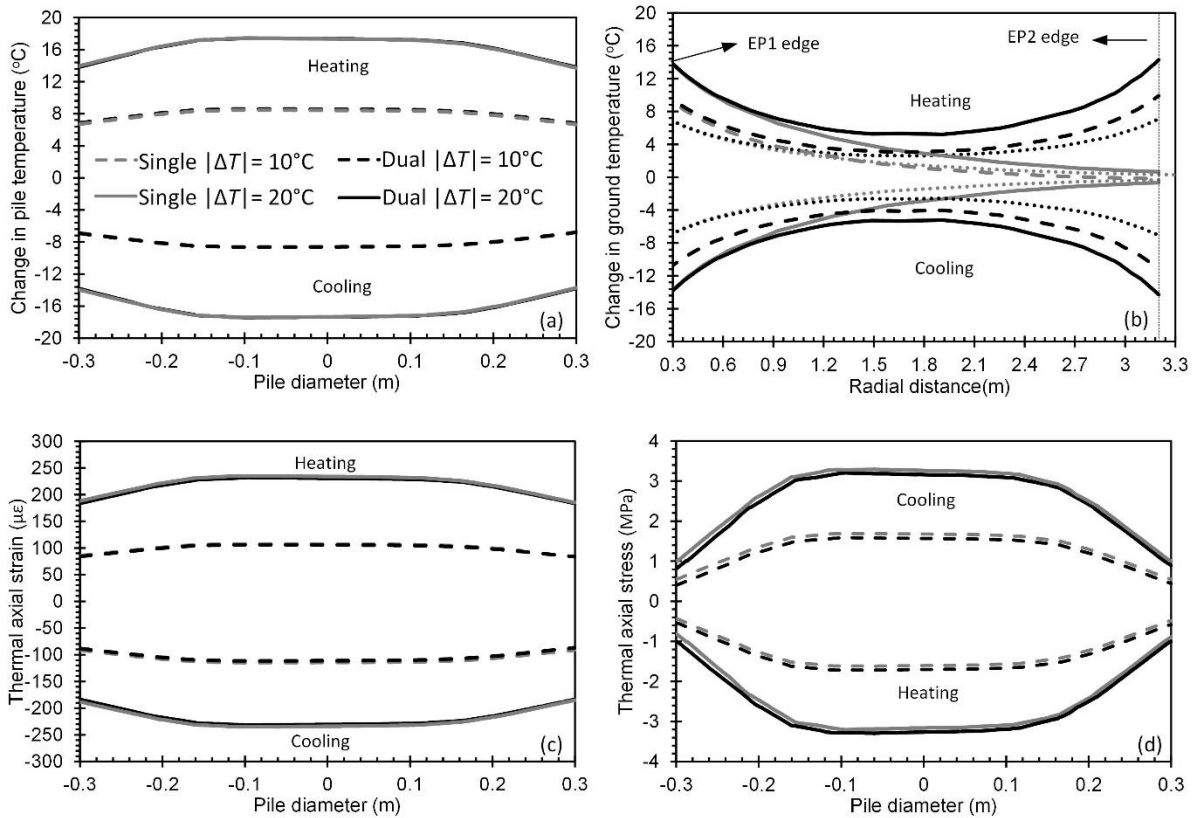
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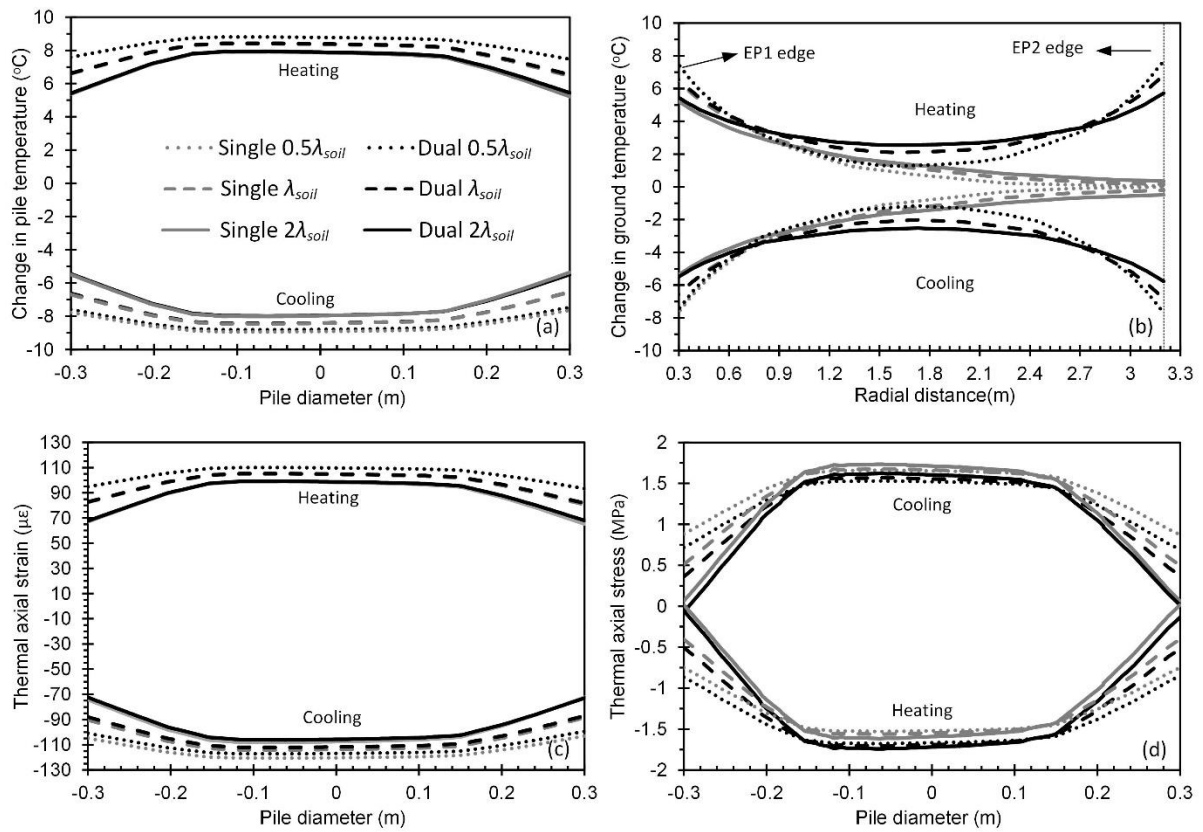
607 **Figure 8.** Numerical predictions of the effect of fluid temperature changes on the cross-sectional
 608 thermal responses of EP1 and ground temperatures: (a) change in pile's temperature; (b) change in
 609 radial distribution of ground temperatures; (c) thermal axial strains; and (d) thermal axial stresses in
 610 EP1.

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616 **Figure 9.** Numerical predictions of the effect of soil thermal conductivity, λ_{soil} , on the cross-sectional
 617 thermal responses of EP1 and ground temperatures: (a) change in pile's temperature; (b) change in
 618 radial distribution of ground temperatures; (c) thermal axial strains; and (d) thermal axial stresses.

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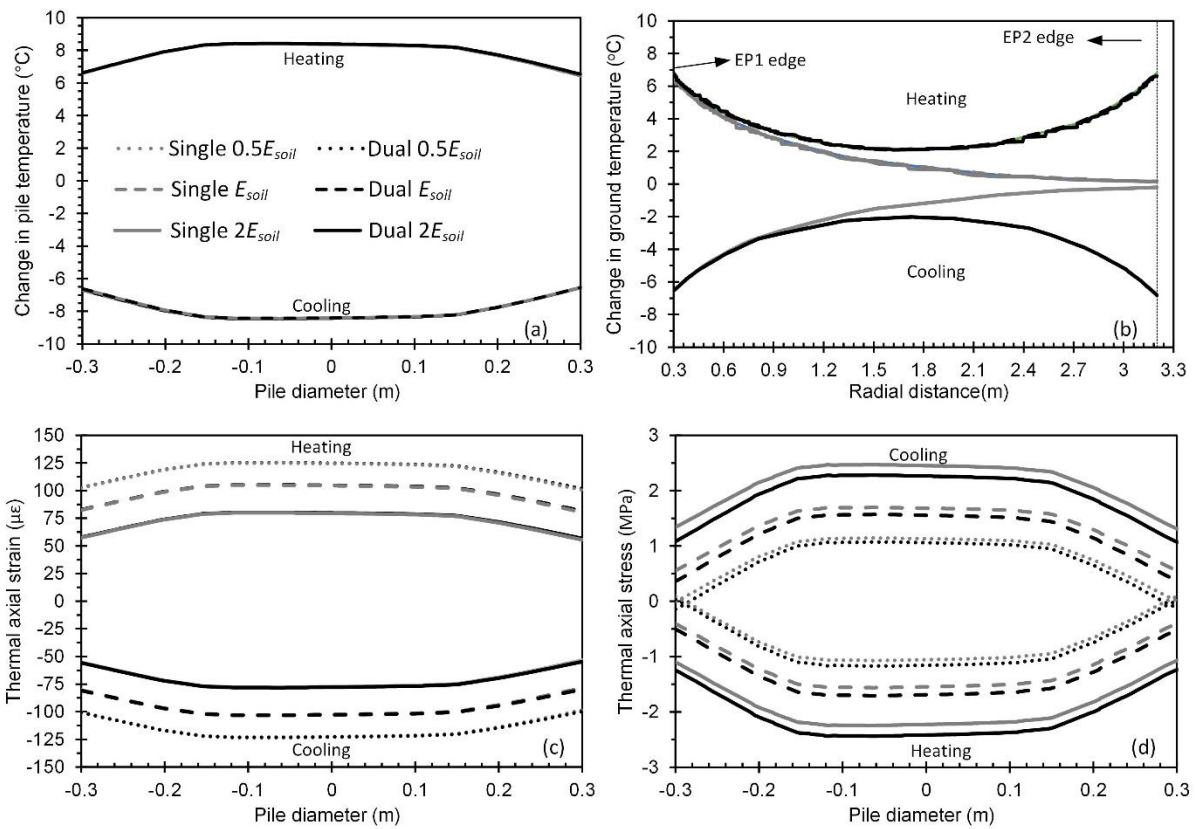
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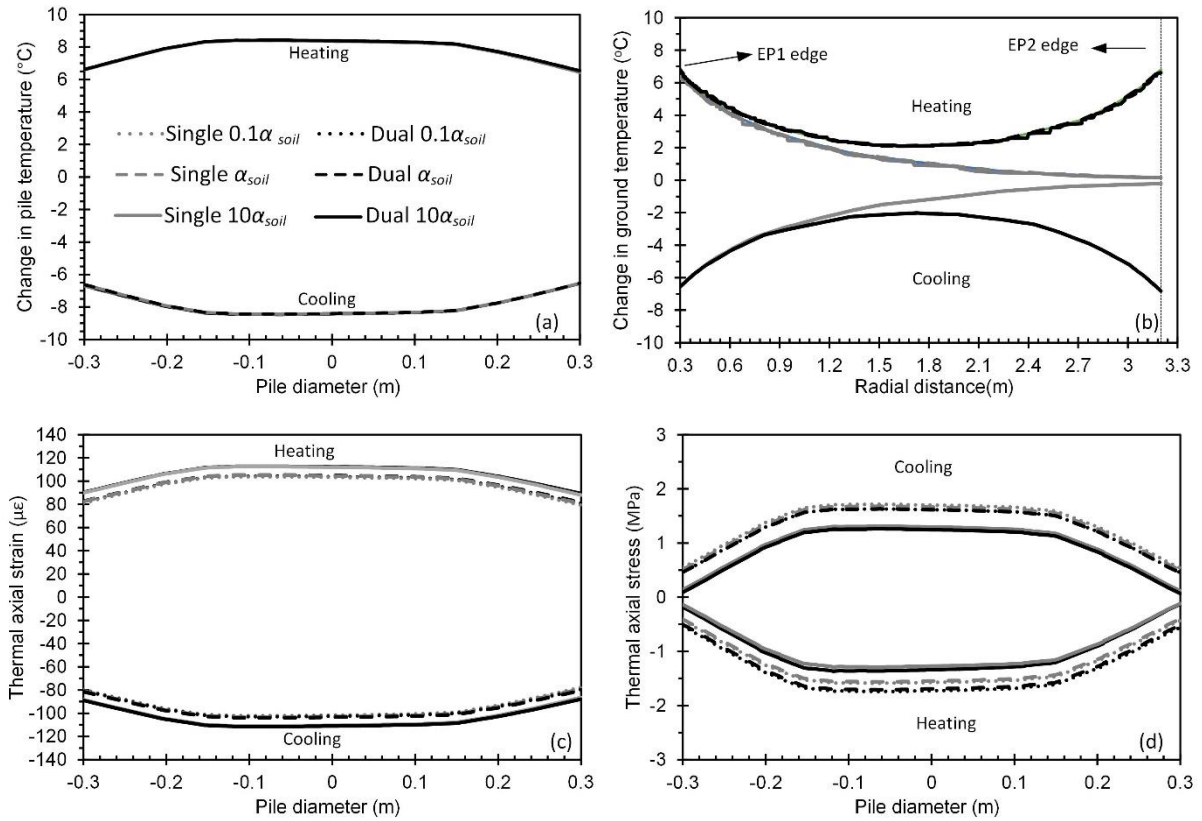
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637 **Figure 10.** Numerical predictions of the effect of soil elastic modulus, E_{soil} , on the cross-sectional
638 thermal responses of EP1 and ground temperatures: (a) change in pile's temperature; (b) change in
639 radial distribution of ground temperatures; (c) thermal axial strains; and (d) thermal axial stresses.

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657 **Figure 11.** Numerical predictions of the effect of soil thermal expansion coefficient, α_{soil} , on the cross-
658 sectional thermal responses of EP1 and ground temperatures: (a) change in pile's temperature; (b)
659 change in radial distribution of ground temperatures; (c) thermal axial strains; and (d) thermal axial
660 stresses.

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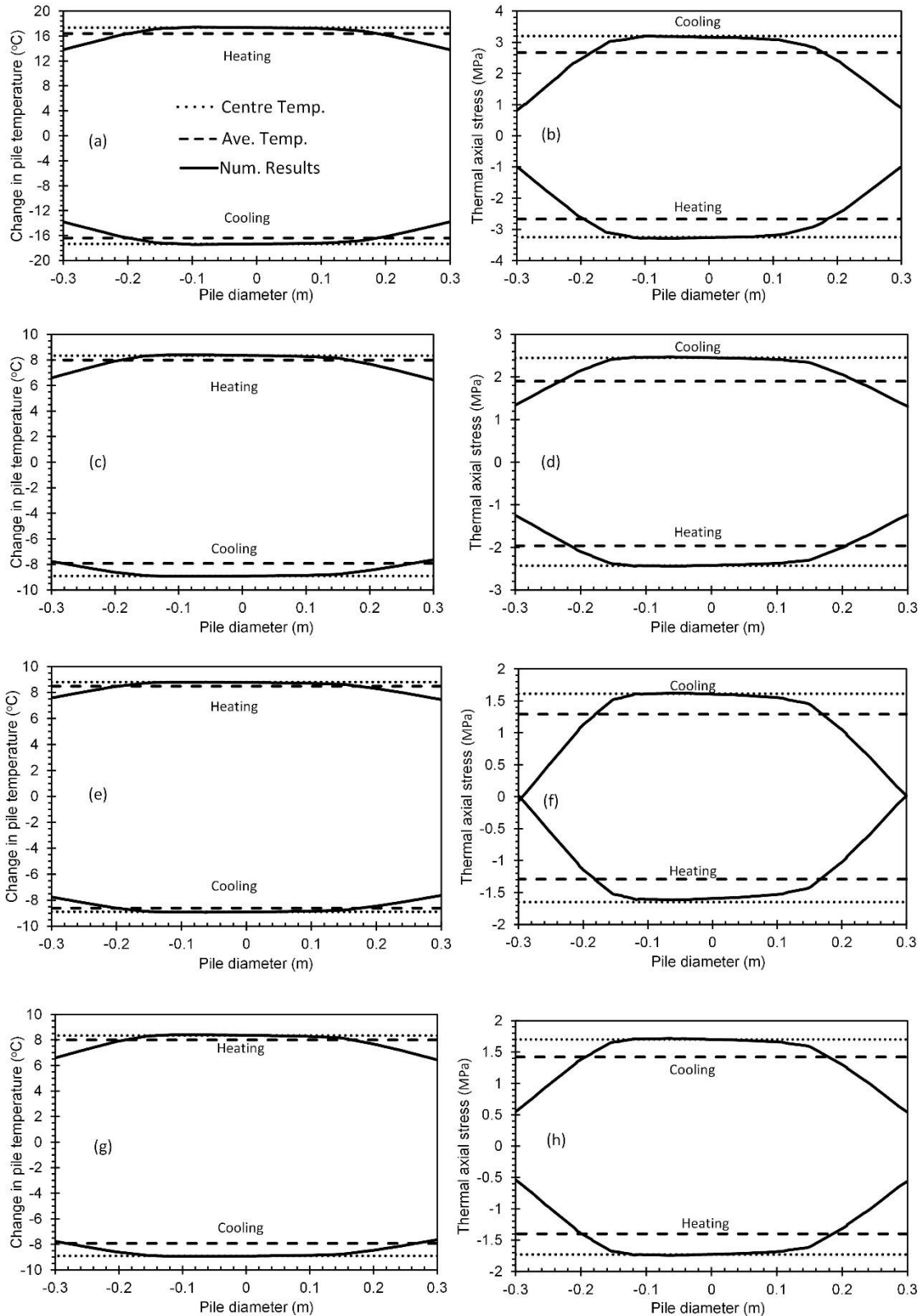
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668 **Figure 12.** Comparison of the cross-sectional thermal responses of EP1 against temperature and stress
 669 distribution at a single location (centre) and average values for: a) and b) temperature and stress for ΔT
 670 $= 20^\circ\text{C}$, respectively, c) and d) temperature and stress for $2E_{soil}$, respectively; e) and f) temperature and
 671 stress for $2\lambda_{soil}$, respectively; and g) and h) temperature and stress for $2\alpha_{soil}$, respectively.

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